Decoding the silence: Neural bases of zero pronoun resolution in Chinese

Shulin Zhang University of Georgia

Jixing Li New York University Abu Dhabi

Yiming Yang Jiangsu Normal University

John Hale University of Georgia

Abstract

Chinese is one of many languages that can drop subjects. We report an fMRI study of language comprehension processes in these "zero pronoun" cases. The fMRI data come from Chinese speakers who listened to an audiobook. We conducted both univariate GLM and multivariate pattern analysis (MVPA) on these data time-locked to each verb with a zero pronoun subject. We found increased left middle temporal gyrus activity for zero pronouns compared to overt subjects, suggesting additional effort searching for an antecedent during zero pronoun resolution. MVPA further revealed that the intended referent of a zero pronoun seems to be physically represented in the Precuneus and the Parahippocampal Gyrus shortly after its presentation. This highlights the role of memory and discourse-level processing in resolving referential expressions, including unspoken ones, in naturalistic language comprehension.

Keywords: zero pronoun, pro-drop, Chinese, MVPA, fMRI, left middle temporal gyrus, Precuneus

1 1 Introduction

Our ability to ascertain which entity a pronoun refers to is a central part of human language 2 understanding. Many East Asian languages, such as Mandarin Chinese, pronouns can be freely 3 omitted in both subject and object positions given proper discourse context (Huang, 1989). This phenomenon of "zero pronoun resolution" has been extensively studied in formal linguistics 5 (e.g., Barbosa, 2011, 2019; Bi & Jenks, 2019; C. N. Li & Thompson, 1976; Neeleman & Szendrői, 6 2007; Song, 2005), yet its neural bases are barely discussed, especially with naturalistic stimuli 7 that can reveal language processes at the discourse level. Here we report the results of the first 8 fMRI study to examine the brain regions involved in zero pronoun processing while Chinese 9 participants listen to a naturalistic narrative. 10

The status of zero pronouns as the deleted counterparts of regular pronouns is debated in formal linguistics. While some assumed that null pronouns are overt pronouns that fail to be realized at the phonological interface (e.g., Neeleman & Szendrői, 2007), others suggested that null pronouns are derived from semantically distinct noun phrases (Bi & Jenks, 2019). From a cognitive perspective, zero pronouns are the "missing spots" in texts and speech, and they constitute a "harder" case for pronoun resolution as they offer no phonological or morphosyntactic information. By comparing brain activity during the processing of zero and non-zero arguments, we aim to better understand the neural mechanisms involved in understanding
unpronounced pronouns in *pro*-drop languages.

20 1.1 Brain regions involved in reference processing

While no prior neuroimaging study has directly investigated zero pronoun processing, there are 21 some fMRI and MEG studies on referential processing in general (Brodbeck & Pylkkänen, 2017; 22 Brodbeck et al., 2016; Hammer et al., 2007; J. Li et al., 2021; Matchin et al., 2014; Nieuwland et al., 23 2007; Santi & Grodzinsky, 2012). However, no consensus has been reached on the neural corre-24 lates for pronoun processing. In addition, previous studies adopted different task manipulations, 25 making it unclear whether they tapped the same cognitive processes. For example, Nieuwland 26 et al. (2007) compared the BOLD responses when participants read sentences containing a "refer-27 entially failing pronoun" (e.g., "Rose told Emily that he had a positive attitude towards life.") 28 or a coherent pronoun (e.g., "Ronald told Emily that *he* had a positive attitude towards life."). 29 Nieuwland et al. showed that referentially failing pronouns were associated with increased 30 activation in the medial parietal regions and bilateral inferior parietal regions, possibly reflecting 31 morpho-syntactic processing. Hammer et al. (2007) manipulated the syntactic gender matching 32 between the antecedent and pronouns using German sentences and found that gender incon-33 gruency elicited the bilateral Inferior Frontal Gyrus (IFG), the left Medial Frontal Gyrus (MFG), 34 and the bilateral Supramarginal/Angular Gyrus compared to congruent pronoun-antecedent 35 pairs. Hammer et al. (2011) further investigated the possible interactions between gender and 36 distance between the antecedents and the pronoun. The results showed a fronto-temporal 37 network including the bilateral IFG, the Superior Temporal Gyrus (STG), and posterior Middle 38 Temporal Gyrus (pMTG) for long-distance conditions, with the pMTG additionally driven by 39 syntactic gender violation. These authors suggested that the temporal regions are sensitive to the 40 morpho-syntactic information of the antecedents since the long distance between the antecedent 41 and the pronoun increased the overall syntactic complexity of the sentence. Matchin et al. (2014) 42 also examined the effect of distance but with the backward anaphora/filler-gap dependencies 43 contrast. Matchin and colleagues observed specific activity in the bilateral Anterior Temporal 44 Lobes (ATLs), the bilateral Angular Gyrus (AGs), and the left Precuneus activity during the 45 processing of backward anaphora compared to wh-fillers. Santi & Grodzinsky (2012) compared 46 null pronouns, a parasitic-gap and a *wh*-trace in English sentences such as "[Which paper] did 47

the tired student submit [*wh*-trace] after reviewing [parasitic gap/it]?". The results showed
increased activity in the right Middle Frontal Gyrus (MFG), the left Ventral Precentral Sulcus,
and the Left Supramarginal Gyrus for pronouns compared to parasitic gaps.

In addition to the morpho-syntactic manipulations, Brodbeck & Pylkkänen (2017) and 51 Brodbeck et al. (2016) used a visual world paradigm in magnetoencephalography (MEG) and 52 found medial parietal activity in cases of successful reference resolution. More relevant to the 53 current study is J. Li et al.'s (2021) study on third person pronoun processing using the same 54 naturalistic listening paradigm. In both fMRI and MEG, Li et al. found that the left middle 55 temporal gyrus (LMTG) is consistently activated for third person pronoun processing in both 56 English and Chinese. Yet they also found additional medial parietal activity from the MEG 57 data, consistent with Brodbeck & Pylkkänen (2017), Brodbeck et al. (2016), and Nieuwland et al. 58 (2007).59

To sum up, referential processing has been implicated in a number of regions, including the medial parietal lobe. Zero pronoun resolution, as a special case of referential processing, is expected to involve similar brain regions.

63 1.2 Zero pronouns in Chinese

As a "radical *pro*-drop" language, Chinese can have a null pronoun as the subject or object of a tense clause in appropriate contexts. Unlike ordinary "*pro*-drop" languages, such as Spanish and Italian, that exhibit rich verbal agreement systems, Chinese does not have verbal inflections that provide person or gender information to help recover the omitted pronouns (See (1), data from Huang (1989)). Instead, in Chinese, zero pronouns and their overt coreferential noun phrases form a topic chain structure, a discourse structure that enables covert as well as overt coreference (Kun, 2019; W. Li, 2004; Shi, 1993).

(1) "Zhangsan kanjian Lisi le ma?" ("Did Zhangsan see Lisi?")
a. "Ta kanjian ta le." ("He saw him.")
b. "[] kanjian ta le." ("[He] saw him.")
c. "Ta kanjian [] le." ("He saw [him].")
d. "[] kanjian [] le." ("[He] saw [him].")

A topic chain is a chain of clauses sharing an identical topic that occurs overtly once in

one of the clauses, and its boundary may cross several sentences and even paragraphs (W. Li,
2004). The topic chain can integrate information from multiple clauses (Kun, 2019), which makes
long-distance coreference between zero pronouns and overt noun phrases possible. We can
understand coreference resolution as searching for an appropriate antecedent in the topic chain.
This searching process likely recruits memory and discourse-related brain regions.

The existence of the topic chain, coupled with the lack of morphological markers, makes zero pronoun resolution a "harder" case of pronoun resolution in Chinese, such that additional cognitive resources may be needed to recover the omitted arguments. We would expect the involvement of brain regions related to discourse-level processing in Chinese zero pronoun resolution, and higher brain activation level compared with overt noun phrases.

82 1.3 Current study

The current study examines which brain regions are responsible for the processing of the dropped pronouns in Chinese. We compared brain activity time-locked to zero and non-zero subjects during naturalistic listening. Since zero pronouns are not pronounced in the speech, we marked the onsets of the main verbs that follow either a zero or an overt subject as the time point where the zero/non-zero argument occurs (See Section 2.2 for details on the annotation steps).

In a mass univariate analysis with a General Linear Model (GLM), we show that zero 88 pronoun resolution demands higher activity in anterior as well as posterior LMTG, compared 89 to overt reference resolution (See Section 3.1). Given the LMTG's role in pronoun resolution 90 (Hammer et al., 2007, 2011; J. Li et al., 2021, e.g.,), our results suggest that zero pronoun resolution 91 evokes additional effort expended in the search for an antecedent. With searchlight-based 92 Multivariate Pattern Analysis (MVPA), we identify a network that includes the Precuneus and 93 Parahippocampal Gyrus, which are regarded as part of the "extended" language network, 94 compared to the "core" language network including brain regions such as the temporal lobe 95 (Fedorenko et al., 2011; Ferstl et al., 2008; Xiong & Newman, 2021). These results suggest that 96 brain regions beyond the "core" language network subserve zero pronoun resolution in Chinese. 97

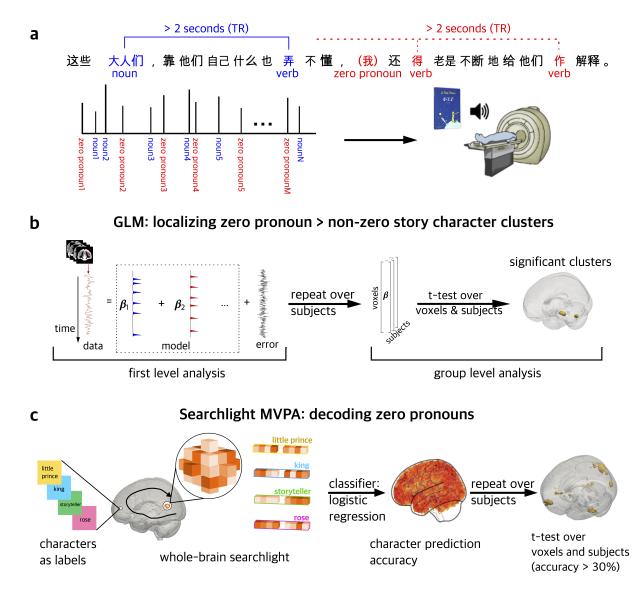


Figure 1: Schematic illustration of the analysis procedure. **a.** Stimuli and fMRI data collection. fMRI data were collected while Chinese native speakers were listening to a naturalistic audiobook. Zero pronouns and Non-zero nouns in subject position are annotated by Chinese native speakers, and their corresponding main verbs were taken as timestamps for GLM and MVPA analyses. The distance between a zero or non-zero noun to its main verb was controlled to be longer than 2 seconds so that they cannot be in the same fMRI scan. **b.** Two-stage General Linear Model analyses. At the first stage, a general linear model was fitted to each participants' fMRI data, and the regressors used in the model include audio sound pressure, word frequency, zero-pronoun feature, non-zero noun feature (See Section 2.5). At the second stage, a *t*-test was performed on the distribution of β values across subjects and voxels, and the significant clusters were retrieved with p < .05 FWE and k > 20. **c.** Whole-brain searchlight multivariate pattern analysis. Among all annotated zero pronouns, four story characters' (See Section 2.6) main verb scans were used in the MVPA decoding analyses. A logistic regression classifier was used to derive an average accuracy value for decodability of the four story characters, based on an N-voxel neighborhood. T-tests were performed on these accuracy values across subjects to identify clusters with above-chance accuracy. (p < .001 FWE and k > 50).

98 2 Material and methods

99 2.1 Participants

Participants were 35 healthy, right-handed, young adults (15 female, mean age=19.3, range
 = 18-25). They self-identified as native Chinese speakers and had no history of psychiatric,
 neurological, or other medical illness that could compromise cognitive functions. All participants
 were paid for and gave written informed consent prior to participation, in accordance with the
 guidelines of the Ethics Committee at Jiangsu Normal University.

105 2.2 Stimuli and annotations

The stimuli is a Chinese translation (xiaowangzi.org, 2021) of Saint-Exupéry's *The Little Prince*. To annotate zero and non-zero subjects, we first located all verbs (i.e., "VV"s) in the text using ZPar (Zhang & Clark, 2011). We then annotated each verb as Zero or Non-zero based on whether it has an overtly pronounced subject. For example, as shown in Figure 1a, the verb phrase (VP) "弄不懂 (make no understanding)" is marked as non-zero as its subject "大人们 (grown-ups)" is overt; the VP "做解释 (make explanations)" is marked as "zero" since its subject "我 (I)" is omitted.

113 2.3 Procedure

After giving their informed consent, participants were familiarized with the MRI facility and assumed a supine position on the scanner. The presentation script was written in PsychoPy 2 (Peirce, 2007). Auditory stimuli were delivered through MRI-safe, high-fidelity headphones (Ear Bud Headset, Resonance Technology, Inc, California, USA) inside the head coil. The headphones were secured against the plastic frame of the coil using foam blocks. An experimenter increased the sound volume stepwise until the participants could hear clearly.

The Chinese audiobook lasted for about 99 minutes and was divided into nine sections, each lasted for about ten minutes. Participants listened passively to the nine sections and completed four quiz questions after each section (36 questions in total). These questions were used to confirm their comprehension and were viewed by the participants via a mirror attached to the head coil and they answered through a button box. The entire session lasted for around 2.5 hours.

126 2.4 fMRI data collection and preprocessing

¹²⁷ MRI images were acquired with a 3T MRI GE Discovery MR750 scanner with a 32-channel ¹²⁸ head coil. Anatomical scans were acquired using a T1-weighted volumetric Magnetization ¹²⁹ Prepared RApid Gradient-Echo (MP-RAGE) pulse sequence. Functional scans were acquired ¹³⁰ using a multi-echo planar imaging (ME-EPI) sequence with online reconstruction (TR=2000 ¹³¹ ms; TEs=12.8, 27.5, 43 ms; FA=77°; matrix size=72 x 72; FOV=240.0 mm x 240.0 mm; 2 x image ¹³² acceleration; 33 axial slices, voxel size=3.75 x 3.75 x 3.8 mm). Cushions and clamps were used to ¹³³ minimize head movement during scanning.

All fMRI data were preprocessed using AFNI version 16 (Cox, 1996). The first 4 volumes in each run were excluded from analyses to allow for T1-equilibration effects. Multi-echo independent components analysis (ME-ICA) (Kundu et al., 2012) was used to denoise data for motion, physiology, and scanner artifacts. Images were then spatially normalized to the standard space of the Montreal Neurological Institute (MNI) atlas, yielding a volumetric time series resampled at 2 mm cubic voxels.

140 **2.5 GLM analysis**

A whole-brain GLM analysis was conducted to localize the brain regions involved in zero and 141 non-zero reference resolution. We modeled the timecourse of each voxel's BOLD signals for each 142 of the nine sections by a binary zero pronoun regressor and a binary non-zero subject regressor, 143 time-locked to the onset of the verb for the zero subject (510 cases) and non-zero subject (1942 144 cases) in the audiobook. We included three control variables: the root mean square intensity 145 (*RMS intensity*) for every 10 ms of each audio section, the binary regressor time-locked to the 146 offset of each word in the audio (*word rate*), and the unigram frequency of each word (*frequency*), 147 estimated using Google ngrams (Version 20120701) and the SUBTLEX corpora for Chinese (Cai 148 & Brysbaert, 2010). These regressors were convolved with SPM12's (Penny et al., 2011) canonical 149 HRF function and matched the scan numbers of each section. (See Supplementary Figure 5 150 for the correlation matrix of the regressors, and Supplementary Figure 4 for a visualization of 151 the regressors.) At the group level, the contrast images for zero and non-zero subjects were 152 examined by a factorial design matrix. An 8 mm full-width at half-maximum (FWHM) Gaussian 153 smoothing kernel was applied on the contrast images from the first-level analysis to counteract 154 inter-subject anatomical variation. Significant clusters were thresholded at p < .05 FWE with 155

cluster size of k > 20. The GLM analysis was performed with the python package nilearn (0.7.0) (Abraham et al., 2014).

158 2.6 MVPA for zero pronoun resolution

A whole-brain searchlight MVPA was performed to discriminate patterns of activation pertaining to the omitted story characters. The fMRI scans which contain both a zero pronoun and its previous overtly pronounced antecedent are excluded from the MVPA. We selected the four most frequent story characters for the classification, and there were 188 zero-pronoun instances used in MVPA, including: "小王子 (the little prince)", 84 instances; "我 (I/the storyteller)", 67 instances; "国王 (the king)", 25 instances; "花 (the rose)", 12 instances.

Searchlight MVPA identifies voxels where the pattern of activation in its local neighbor-165 hood can discriminate between conditions (i.e. story characters). For each subject, a spherical 166 ROI (radius = 8 mm) centered in turn on each voxel in the brain scans time-locked to 5 seconds 167 after the zero pronouns' presentation. A 5-second delay serves to capture BOLD signals at 168 approximately the peak of their hemodynamic response to the zero pronouns. Each vector 169 contains all the voxels in each sphere without feature selection. A logistic regression classifier 170 was trained to differentiate the vectors of all four story characters. A 3-fold cross-validation 171 process was adopted in the training process, which means 2/3 of the original labeled data were 172 used as a training dataset, and the rest as a testing set. Prediction accuracy was averaged over 173 the three testing results. 174

This whole process was repeated for the sphere centered by each voxel for each subject. The resulting maps contain each voxel's decoding accuracy for each subject. Higher accuracy indicates better performance on decoding the reference of the zero pronouns. At the group level, a *t*-test was conducted for all voxels across all subjects. Voxels with an accuracy higher than 30% (higher than the chance baseline 25% ¹) were highlighted. Family-wise error correction was applied with an alpha level of <.001 and an adequate cluster size of *k* > 50. The MVPA analysis was performed using the python packages nilearn (0.7.0) (Abraham et al., 2014), and scikit-learn

¹The empirical distribution of references to story characters in pro-drop contexts is unbalanced as expected in naturalistic texts (See Section 2.6 for details). To help interpret accuracy levels, weighted logistic regression was applied in MVPA such that examples were weighted according to the prevalence of each class in the training data (This was realized by the scikit-learn (Pedregosa et al., 2011) class_weight= "balanced" option). The average accuracy from guessing randomly according to the empirical distribution in this weighted problem is 25%.

¹⁸² (Pedregosa et al., 2011).

To characterize chance performance, we carried out another MVPA analysis on a scrambled dataset where story character labels were assigned randomly. In this supplementary analysis, a story character label randomly selected out of the four story characters was assigned to each zero pronoun, and the same MVPA analysis steps introduced above were conducted to test whether there are brain regions able to decode randomly assigned labels.

188 **3 Results**

189 3.1 GLM: Localizing brain regions for zero pronoun processing

The contrast between zero pronouns and overt references to story characters revealed significantly higher activity in the anterior and posterior LMTGs (p < .001 FWE, peak *t*-value = 6.02, cluster size = 680 mm³ and p < .001 FWE, peak *t*-value = 5.87, cluster size = 1344 mm³, respectively; see Figure 2a,b). The MNI coordinates for the peak of each cluster are shown in Figure 2c. No significant cluster was found for the opposite contrast, i.e. Non-zero > Zero.

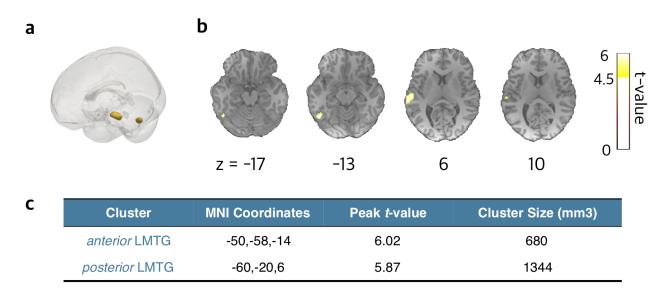


Figure 2: GLM results for the contrast between zero and non-zero reference resolution. **a** Whole-brain view on a 3D brain. **b** Coronal slices of significant clusters **c** MNI coordinates, cluster size and their peak level statistics, thresholded at p < .05 FWE and k > 20.

195 3.2 MVPA: Decoding references of zero pronouns

¹⁹⁶ Searchlight MVPA results are shown in Figure 3. Brain regions with a decoding accuracy greater

than 30% for the zero pronouns include the Precuneus (the right Precuneus: p < .001 FWE,

peak *t*-value = 12.9, cluster size = 3027 mm^3 ; the left Precuneus: p < .001 FWE, peak *t*-value 198 = 11.78, cluster size = 5676 mm³), the LMFG (p < .001 FWE, peak t-value = 11.76, cluster size 199 = 1608 mm³), the right Interior Temporal Gyrus (RITG; p < .001 FWE, peak t-value = 11.15, 200 cluster size = 2176 mm^3), the LAG (p < .001 FWE, peak *t*-value = 10.78, cluster size = 1955 mm^3), 201 the LMTG (p < .001 FWE, peak t-value = 10.55, cluster size = 2522 mm³), the left Frontal Pole 202 $(p < .001 \text{ FWE}, \text{ peak } t\text{-value} = 10.29, \text{ cluster size} = 2680 \text{ mm}^3)$, the RAG (p < .001 FWE, peak)203 *t*-value = 10.01, cluster size = 1671 mm³), and the right Parahippocampal Gyrus (p < .001 FWE, 204 peak *t*-value = 9.27, cluster size = 2712 mm^3). 205

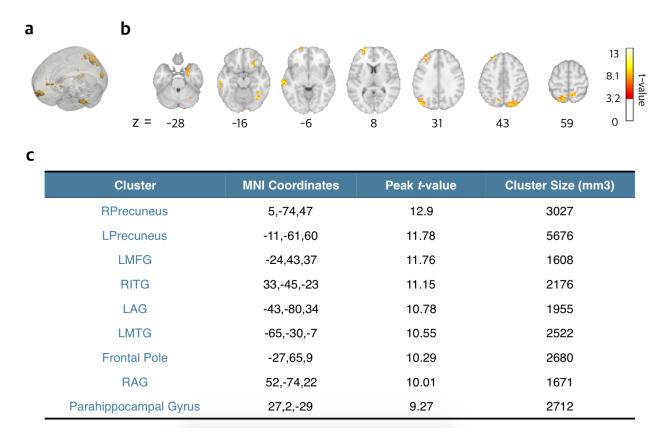


Figure 3: MVPA results for brain regions with decoding accuracy significantly higher than 30%. **a** Whole-brain view on a 3D brain. **b** Coronal slices of significant clusters **c** MNI coordinates, cluster size and their peak level statistics, thresholded at p < .001 FWE and k > 50.

Both the GLM and MVPA results implicate the LMTG. Not only did the LMTG show higher activity for zero pronoun resolution compared to non-zero reference resolution, but also showed high story character decoding accuracy for story characters. MVPA further revealed a network for decoding zero pronouns, including the Precuneus, the LAG, the frontal pole, the LMFG, and the Parahippocampal Gyrus.

3.3 Scrambled MVPA: Decoding zero pronouns with randomly assigned story character labels

²¹³ When the correct story character labels are replaced by randomly assigned story character labels, ²¹⁴ no significant brain region was detected from the MVPA results (p < .001 FWE, cluster size ²¹⁵ > 50). The null result with randomly-assigned label supports the idea that the main MVPA ²¹⁶ analysis in Section 3.2 in fact does identify brain regions where story character information is ²¹⁷ represented.

218 4 Discussion

This study examines the neural bases of zero pronoun resolution in Chinese. Chinese is especially 219 suitable for studying zero pronoun resolution as it does not have verbal inflections that could 220 interfere with zero pronoun resolution at the phonological and morpho-syntactic levels. The 221 GLM results show increased LMTG activity during zero pronoun resolution compared to non-222 zero reference processing. MVPA results further reveal a network of activity including the 223 Precuneus and the Parahippocampal Gyrus in addition to the "core" language network. The 224 results suggest that zero pronoun resolution involves additional effort in the search of an 225 antecedent compared to regular noun phrases. Both "core" and "extended" nodes of the language 226 network appear to contribute to resolving the reference of zero pronouns. 227

228 4.1 LMTG for retrieving the antecedents during zero pronoun resolution

Both anterior and posterior regions within the LMTG showed significantly higher activity for 229 zero pronouns compared to overt references to story characters. In previous studies, the LMTG 230 has been shown to play an essential role in language comprehension (Dronkers et al., 2011; 231 Matchin & Hickok, 2020). The LMTG has also been associated with biological and syntactic 232 gender processing (Heim et al., 2002; Hammer et al., 2007, 2011; Miceli et al., 2002) during 233 pronoun processing. For example, Hammer et al. (2007) showed that German sentences with 234 congruent biological and syntactic gender evoked higher activity in the LMTG; Miceli et al. 235 (2002) found increased LMTG activity when the subjects were asked whether a written noun 236 has a masculine or feminine gender. However, J. Li et al. (2021) using the same naturalistic 237 paradigm in fMRI, showed that the LMTG is also implicated for pronoun processing in Chinese. 238 In addition, P. Li et al. (2004) showed a number of brain regions including the LMTG during a 239

lexical-judgement task while Chinese participants saw nouns, verbs, and noun/verb-ambiguous
words, supporting the LMTG's role in lexical representation. Ferstl et al.'s (2008) meta-analysis
for the language network further suggests that the LMTG contributes to the comprehension of
coherence, and shows stable significant results as part of the "core" language network.

In the context of this existing evidence regarding LMTG's role in morpho-syntactic matching and discourse coherence, increased LMTG activity for zero pronouns in the current study could reflect the greater difficulty of a reference-resolution problem in zero pronoun cases that lack phonological as well as morpho-syntactic information.

248 4.2 The neural network for zero pronoun resolution

²⁴⁹ Whole-brain searchlight-based MVPA revealed a network of brain regions implicated in the
²⁵⁰ comprehension of reference to story characters, including the bilateral Precuneus, the bilateral
²⁵¹ AG, the left Frontal Pole, the LMFG, the LMTG, the RITG, and the right Parahippocampal Gyrus
²⁵² (See Figure 3).

The Precuneus has been previously related to "extra-linguistic" processing such as 253 discourse-level information integration and memory retrieval (Bhattasali et al., 2019; Diachek 254 et al., 2020; Foudil et al., 2020; Mashal et al., 2014; Wehbe et al., 2020). Foudil et al. (2020), 255 for example, showed that brain activation level in the Precuneus was modulated by storyline 256 consistency, suggesting its role in discourse information integration. (Mashal et al., 2014) found 257 that schizophrenia patients with impaired capability towards metaphor comprehension showed 258 higher activity in the left Precuneus compared to healthy participants. Bhattasali et al. (2019) 259 using a same naturalistic listening paradigm, showed that the right Precuneus was correlated 260 with the retrieval of stored expressions. On the other hand, the Precuneus is also suggested to be 261 a "processing core" that connects to the MTG and the AG and integrates multiple brain functions 262 such as memory retrieval (Mar, 2011). Here the results show that the Precuneus represents 263 story character information, and this is consistent with the idea that the Precuneus is crucial for 264 discourse-level processing. 265

The Parahippocampal Gyrus has also been implicated in discourse-level language processing (Allendorfer et al., 2012; Wallentin et al., 2005). For example, Allendorfer et al. (2012) showed higher Parahippocampal Gyrus activity while participants were generating verbs silently for a given noun. Wallentin et al. (2005) found the right Parahippocampal Gyrus activity while

processing real motion sentences (e.g. "the man goes through the house") and fictive motion sen-270 tences (*e.g.* "the trail goes through the house"). The decodability of story characters in the right 271 Parahippocampal Gyrus further suggests that the search for antecedent involves discourse-level 272 language processing. The Parahippocampal Gyrus, in previous studies, has also been reported 273 relative to semantic memory retrieval and semantic verbal memory processing (Bartha et al., 274 2003). In Bartha et al.'s fMRI study, the subjects performed a semantic decision task while they 275 heard spoken concrete nouns designating objects and made a decision on whether these objects 276 were available in the supermarket and their costs compared to certain amounts. Bartha et al. 277 observed activation in the Parahippocampal Gyrus, along with the medial temporal lobe and 278 the inferior temporal lobe, and they inferred these brain regions' relativity to semantic verbal 279 memory processing. These results support the Parahippocampal Gyrus's role for semantic 280 language processing and discourse-level language processing as a brain region in the extended 281 language network. 282

Apart from the Precuneus and the Parahippocampal Gyrus, we also identified a number of 283 regions within the language network. The left AG has been suggested to support multimodal and 284 multi-sensory associations that connect with brain regions for attention, episodic and semantic 285 memory, and sentence level comprehension (Bonner et al., 2013; Humphreys et al., 2021; Price 286 et al., 2015; Ramanan et al., 2018; Seghier, 2013). Using Transcranial Magnetic Stimulation 287 (TMS), Branzi et al. (2021) found that the left AG is critical for integrating context-dependent 288 information during language processing. Moreover, Davis & Yee (2019) suggested that the left 289 AG's connectivity to hippocampal regions underpins its essential role in processing thematic 290 relations. The Frontal Pole is part of the deep track ventral pathway in the language network 291 (Brauer et al., 2013) and is implicated for higher-level cognition processes, such as reasoning, 292 episodic memory, and prospective memory (Tsujimoto et al., 2011). The left MFG is related to 293 attention, working memory, and language processing (Briggs et al., 2021; Hazem et al., 2021). 294 In a meta-analysis of fMRI studies by Wu et al. (2012), the LMFG had been found relevant for 295 phonological and semantic processing in Chinese. The RITG has also been associated with 296 language tasks such as metaphor and humor understanding (Ahrens et al., 2007; Bartolo et al., 297 2006) and noun processing (Crepaldi et al., 2013). To summarize, the brain network for resolving 298 zero pronouns includes both the core language network and the extended language network e.g. 299 the Precuneus and the Parahippocampal Gyrus. The involvement of brain regions related to 300

discourse-level language processing and memory retrieval supports our previous assumption
 that zero pronoun resolution requires the involvement of these brain regions. Incidentally, these
 brain regions have been found to be closely connected under resting state functional connectivity
 analysis (Xu et al., 2019, 2015).

305 5 Conclusions

This study examines the neural bases of zero pronoun processing in Chinese. By comparing fMRI BOLD responses for zero pronoun processing with that of non-zero reference processing during naturalistic listening, we show that zero pronoun resolution evokes increased activity in the LMTG, suggesting additional effort in the search for an antecedent. By decoding brain activity patterns for zero pronouns with different references, we show a network of activity, including the Precuneus and the Parahippocampal Gyrus that are outside the core language network.

313 References

Abraham, A., Pedregosa, F., Eickenberg, M., Gervais, P., Mueller, A., Kossaifi, J., ... Varoquaux,
G. (2014). Machine learning for neuroimaging with scikit-learn. *Frontiers in neuroinformatics*, *8*,
14.

Ahrens, K., Liu, H.-L., Lee, C.-Y., Gong, S.-P., Fang, S.-Y., & Hsu, Y.-Y. (2007). Functional mri
of conventional and anomalous metaphors in mandarin chinese. *Brain and language*, 100(2),
163–171.

Allendorfer, J. B., Lindsell, C. J., Siegel, M., Banks, C. L., Vannest, J., Holland, S. K., & Szaflarski,
 J. P. (2012). Females and males are highly similar in language performance and cortical
 activation patterns during verb generation. *Cortex*, 48(9), 1218–1233.

Barbosa, P. P. (2011). Pro-drop and theories of pro in the minimalist program part 1: Consistent
 null subject languages and the pronominal-agr hypothesis. *Language and Linguistics Compass*,
 5(8), 551–570.

Barbosa, P. P. (2019). Pro as a minimal nP: Toward a unified approach to pro-drop. *Linguistic Inquiry*, 50(3), 487–526.

- ³²⁸ Bartha, L., Brenneis, C., Schocke, M., Trinka, E., Köylü, B., Trieb, T., ... others (2003). Medial
- temporal lobe activation during semantic language processing: fmri findings in healthy
 left-and right-handers. *Cognitive Brain Research*, 17(2), 339–346.
- Bartolo, A., Benuzzi, F., Nocetti, L., Baraldi, P., & Nichelli, P. (2006). Humor comprehension and
 appreciation: an fmri study. *Journal of cognitive neuroscience*, *18*(11), 1789–1798.
- Bhattasali, S., Fabre, M., Luh, W.-M., Al Saied, H., Constant, M., Pallier, C., ... Hale, J. (2019).
- ³³⁴ Localising memory retrieval and syntactic composition: an fmri study of naturalistic language
- comprehension. *Language*, *Cognition and Neuroscience*, 34(4), 491–510.
- Bi, R. A., & Jenks, P. (2019). Pronouns, null arguments, and ellipsis in mandarin chinese. In
- ³³⁷ M. Espinal, E. Castroviejo, M. Leonetti, L. McNally, & C. Real-Puigdollers (Eds.), *Proceedings of*
- *sinn und bedeutung* 23 (Vol. 1, pp. 127–142). Universitat Autònoma de Barcelona.
- Bonner, M. F., Peelle, J. E., Cook, P. A., & Grossman, M. (2013). Heteromodal conceptual
 processing in the angular gyrus. *Neuroimage*, *71*, 175–186.
- Branzi, F. M., Pobric, G., Jung, J., & Lambon Ralph, M. A. (2021). The left angular gyrus is
 causally involved in context-dependent integration and associative encoding during narrative
 reading. *Journal of Cognitive Neuroscience*, 1–14.
- Brauer, J., Anwander, A., Perani, D., & Friederici, A. D. (2013). Dorsal and ventral pathways in
 language development. *Brain and language*, 127(2), 289–295.
- ³⁴⁶ Briggs, R. G., Lin, Y.-H., Dadario, N. B., Kim, S. J., Young, I. M., Bai, M. Y., ... others (2021).
- ³⁴⁷ Anatomy and white matter connections of the middle frontal gyrus. *World Neurosurgery*.
- Brodbeck, C., Gwilliams, L., & Pylkkänen, L. (2016). Language in context: Meg evidence for
 modality-general and -specific responses to reference resolution. *eNeuro*, *3*, e0145-16.2016
 1–16.
- ³⁵¹ Brodbeck, C., & Pylkkänen, L. (2017). Language in context: Characterizing the comprehension
 ³⁵² of referential expressions with meg. *NeuroImage*, 147, 447-460.
- ³⁵³ Cai, Q., & Brysbaert, M. (2010). Subtlex-ch: Chinese word and character frequencies based on
 ³⁵⁴ film subtitles. *Plos ONE*, *5*, e10729.

³⁵⁵ Cox, R. W. (1996). Afni: software for analysis and visualization of functional magnetic resonance

neuroimages. *Computers and Biomedical research*, 29(3), 162–173.

³⁵⁷ Crepaldi, D., Berlingeri, M., Cattinelli, I., Borghese, N. A., Luzzatti, C., & Paulesu, E. (2013).

³⁵⁸ Clustering the lexicon in the brain: a meta-analysis of the neurofunctional evidence on noun ³⁵⁹ and verb processing. *Frontiers in human neuroscience*, *7*, 303.

³⁶⁰ Davis, C. P., & Yee, E. (2019). Features, labels, space, and time: Factors supporting taxonomic

³⁶¹ relationships in the anterior temporal lobe and thematic relationships in the angular gyrus.

Language, Cognition and Neuroscience, 34(10), 1347–1357.

³⁶³ Diachek, E., Blank, I., Siegelman, M., Affourtit, J., & Fedorenko, E. (2020). The domain-general

multiple demand (md) network does not support core aspects of language comprehension: a
 large-scale fmri investigation. *Journal of Neuroscience*, 40(23), 4536–4550.

³⁶⁶ Dronkers, N. F., et al. (2011). The neural architecture of the language comprehension network:
 ³⁶⁷ converging evidence from lesion and connectivity analyses. *Frontiers in systems neuroscience*, *5*,
 ³⁶⁸ 1.

Fedorenko, E., Behr, M. K., & Kanwisher, N. (2011). Functional specificity for high-level
 linguistic processing in the human brain. *Proceedings of the National Academy of Sciences*, 108(39),
 16428–16433.

Ferstl, E. C., Neumann, J., Bogler, C., & Von Cramon, D. Y. (2008). The extended language
network: a meta-analysis of neuroimaging studies on text comprehension. *Human brain mapping*, 29(5), 581–593.

Foudil, S.-A., Kwok, S. C., & Macaluso, E. (2020). Context-dependent coding of temporal distance between cinematic events in the human precuneus. *Journal of Neuroscience*, 40(10), 2129–2138.

Hammer, A., Goebel, R., Schwarzbach, J., Münte, T. F., & Jansma, B. M. (2007). When sex meets
syntactic gender on a neural basis during pronoun processing. *Brain Research*, 1146, 185-198.

Hammer, A., Jansma, B. M., Tempelmann, C., & Münte, T. F. (2011). Neural mechanisms of
anaphoric reference revealed by fMRI. *Frontiers in Psychology*, 2, 1-9.

- 382 Hazem, S. R., Awan, M., Lavrador, J. P., Patel, S., Wren, H. M., Lucena, O., ... others (2021).
- Middle frontal gyrus and area 55b: Perioperative mapping and language outcomes. *Frontiers in Neurology*, 12, 194.
- Heim, S., Opitz, B., & Friederici, A. D. (2002). Broca's area in the human brain is involved in
 the selection of grammatical gender for language production: Evidence from event-related
- ³⁸⁷ functional magnetic resonance imaging. *Neuroscience Letters*, 328, 101–104.
- Huang, C.-T. J. (1989). Pro-drop in Chinese: A generalized control theory. In O. Jaeggli & K. Safir
 (Eds.), *The null subject parameter* (p. 185-214). Springer.
- Humphreys, G. F., Ralph, M. A. L., & Simons, J. S. (2021). A unifying account of angular gyrus
 contributions to episodic and semantic cognition. *Trends in Neurosciences*.
- Kun, S. (2019). The integration functions of topic chains in chinese discourse. *Acta Linguistica Asiatica*, 9(1), 29–57.
- Kundu, P., Inati, S. J., Evans, J. W., Luh, W.-M., & Bandettini, P. A. (2012). Differentiating bold
 and non-bold signals in fmri time series using multi-echo epi. *Neuroimage*, 60(3), 1759–1770.
- Li, C. N., & Thompson, S. A. (1976). Subject and topic: A new typology. In C. N. Li (Ed.), *Subject and topic* (p. 457-89). New York, USA: Academic Press.
- Li, J., Wang, S., Luh, W.-M., Pylkkänen, L., Yang, Y., & Hale, J. (2021). Cortical processing of
 reference in language revealed by computational models. *bioRxiv* 2020.11.24.396598.
- Li, P., Jin, Z., & Tan, L. H. (2004). Neural representations of nouns and verbs in chinese: an fmri study. *Neuroimage*, 21(4), 1533–1541.
- Li, W. (2004). Topic chains in chinese discourse. *Discourse Processes*, 37(1), 25–45.
- Mar, R. A. (2011). The neural bases of social cognition and story comprehension. *Annual review of psychology*, *62*, 103–134.
- ⁴⁰⁵ Mashal, N., Vishne, T., & Laor, N. (2014). The role of the precuneus in metaphor comprehension:
- evidence from an fmri study in people with schizophrenia and healthy participants. *Frontiers*
- 407 *in human neuroscience, 8,* 818.

- Matchin, W., & Hickok, G. (2020). The cortical organization of syntax. *Cerebral Cortex*, 30(3),
 1481–1498.
- Matchin, W., Sprouse, J., & Hickok, G. (2014). A structural distance effect for backward anaphora
 in Broca's area: An fMRI study. *Brain and Language*, 138, 1-11.
- ⁴¹² Miceli, G., Turriziani, P., Caltagirone, C., Capasso, R., Tomaiuolo, F., & Caramazza, A. (2002).

The neural correlates of grammatical gender: An fMRI investigation. *Journal of Cognitive Neuroscience*, 14, 618-628.

- ⁴¹⁵ Neeleman, A., & Szendrői, K. (2007). Radical pro drop and the morphology of pronouns.
 ⁴¹⁶ Linguistic Inquiry, 38(4), 671–714.
- ⁴¹⁷ Nieuwland, M. S., Petersson, K. M., & Van Berkum, J. J. (2007). On sense and reference:
 ⁴¹⁸ Examining the functional neuroanatomy of referential processing. *NeuroImage*, 37(3), 993–
 ⁴¹⁹ 1004.
- Pedregosa, F., Varoquaux, G., Gramfort, A., Michel, V., Thirion, B., Grisel, O., ... others (2011).
 Scikit-learn: Machine learning in python. *the Journal of machine Learning research*, *12*, 2825–2830.
- Peirce, J. W. (2007). Psychopy—psychophysics software in python. *Journal of neuroscience methods*,
 162(1-2), 8–13.
- Penny, W., Friston, K., Ashburner, J., Kiebel, S., & Nichols, T. (2011). *Statistical parametric mapping: The analysis of functional brain images*. Academic Press.
- Price, A. R., Bonner, M. F., Peelle, J. E., & Grossman, M. (2015). Converging evidence for the
 neuroanatomic basis of combinatorial semantics in the angular gyrus. *Journal of Neuroscience*,
 35(7), 3276–3284.
- Ramanan, S., Piguet, O., & Irish, M. (2018). Rethinking the role of the angular gyrus in
 remembering the past and imagining the future: the contextual integration model. *The Neuroscientist*, 24(4), 342–352.
- Santi, A., & Grodzinsky, Y. (2012). Broca's area and sentence comprehension: A relationship
 parasitic on dependency, displacement or predictability? *Neuropsychologia*, 50, 821-832.

- Seghier, M. L. (2013). The angular gyrus: multiple functions and multiple subdivisions. *The Neuroscientist*, 19(1), 43–61.
- ⁴³⁶ Shi, D. (1993). The nature of topic comment constructions and topic chains.
- ⁴³⁷ Song, Z. (2005). A comparative study of subject pro-drop in old chinese and modern chinese.

⁴³⁸ University of Pennsylvania Working Papers in Linguistics, 10(2), 18.

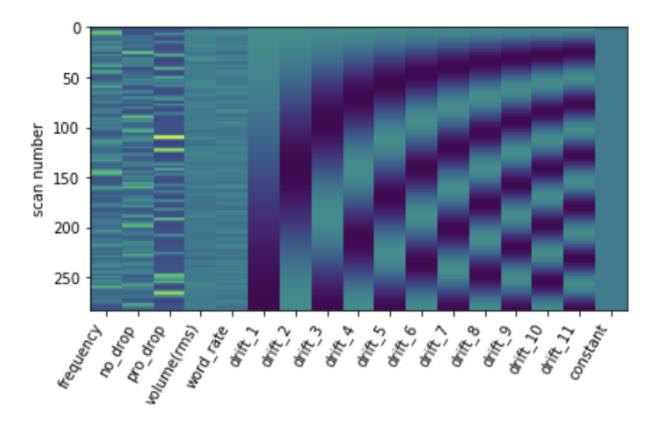
- Tsujimoto, S., Genovesio, A., & Wise, S. P. (2011). Frontal pole cortex: encoding ends at the end
 of the endbrain. *Trends in cognitive sciences*, 15(4), 169–176.
- Wallentin, M., Østergaard, S., Lund, T. E., Østergaard, L., & Roepstorff, A. (2005). Concrete
 spatial language: See what i mean? *Brain and language*, 92(3), 221–233.
- Wehbe, L., Blank, I. A., Shain, C., Futrell, R., Levy, R., von der Malsburg, T., ... Fedorenko,

E. (2020). Incremental language comprehension difficulty predicts activity in the language

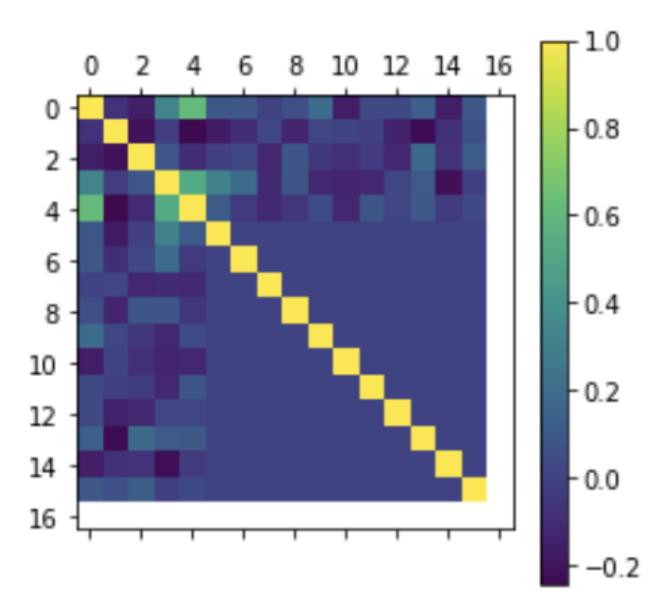
⁴⁴⁵ network but not the multiple demand network. *bioRxiv*.

- Wu, C.-Y., Ho, M.-H. R., & Chen, S.-H. A. (2012). A meta-analysis of fmri studies on chinese
 orthographic, phonological, and semantic processing. *Neuroimage*, 63(1), 381–391.
- 448 xiaowangzi.org. (2021). 小王子网站. http://www.xiaowangzi.org/. (Accessed: 2021-04-03)
- Xiong, Y., & Newman, S. (2021). Both activation and deactivation of functional networks support
 increased sentence processing costs. *Neuroimage*, 225, 117475.
- ⁴⁵¹ Xu, J., Lyu, H., Li, T., Xu, Z., Fu, X., Jia, F., ... Hu, Q. (2019). Delineating functional segregations of
 ⁴⁵² the human middle temporal gyrus with resting-state functional connectivity and coactivation
- 453 patterns. *Human brain mapping*, 40(18), 5159–5171.
- ⁴⁵⁴ Xu, J., Wang, J., Fan, L., Li, H., Zhang, W., Hu, Q., & Jiang, T. (2015). Tractography-based
 ⁴⁵⁵ parcellation of the human middle temporal gyrus. *Scientific Reports*, 5(1), 1–13.
- Zhang, Y., & Clark, S. (2011). Syntactic processing using the generalized perceptron and
 beam search. *Computational Linguistics*, 37(1). Retrieved from http://aclweb.org/anthology/
 J11-1005 doi: 10.1162/coli_a_00037

459 A Supplemental Material



Supplementary Figure 4: GLM analysis design matrix



Supplementary Figure 5: Pearson's correlation coefficients between each predictor, the order of regressors are the same as shown in the design matrix in Figure 4