Ornament, armament, or toolkit? Modelling how population size drives the evolution of

2 birdsong, a functional cultural trait

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7 Abstract

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- 8 Oscine songbirds have been an important study system for social learning, particularly because
- 9 their learned songs provide an analog for human languages and music. Here we propose a
- 10 different analogy; from an evolutionary perspective, could a bird's song be more like an
- 11 arrowhead than an aria? We modify an existing model of human tool evolution to accommodate
- cultural evolution of birdsong: each song learner chooses the most skilled available tutor to
- 13 emulate, and more likely produces an inferior copy than a superior one. Similarly to human tool
- 14 evolution, we show that larger populations foster greater improvements in song over time, even
- when learners restrict their pool of tutors to a subset of individuals. We also demonstrate that
- 16 randomly sampling tutors from the population offers no clear benefit over sampling only existing
- 17 connections in a structured social network, and that by allowing a lower quality trait to be easier
- 18 to imitate than a higher quality one, simpler songs can be maintained after population
- 19 bottlenecks. We show that these processes could plausibly generate empirically observed patterns
- 20 of song evolution, and we make predictions about the types of song elements most likely to be
- 21 lost when populations shrink. More broadly, we aim to connect the modeling approaches used by
- 22 researchers studying social learning in human and non-human systems, moving toward a
- 23 cohesive theoretical framework that accounts for both cognitive and demographic processes.

24 Introduction

- Social learning—"learning that is facilitated by observation of, or interaction with,
- another individual or its products" [1,2]—is pervasive across the animal kingdom, from
- invertebrates [3–5] to cetaceans [6] and primates [7]. Social learning is a special case of

28 inheritance that provides a non-genetic pathway for adaptive traits to be passed on from one
29 generation to the next. How does the pattern and process of evolution differ when important
30 traits are learned rather than transmitted genetically between generations? In the 20th century, the
31 discovery of genes as the primary unit of inheritance meant that Darwin's ideas about natural
32 selection could be synthesized with an underlying mechanism of transmission. A similar
33 synthesis between genetics, evolutionary processes, and broad behavioral patterns is still needed
34 for learned traits. In combination with experiments investigating the mechanisms of learning,
35 mathematical models can help generate hypotheses and test assumptions about cognitive
36 processes related to the transmission of social information.

The oscine songbirds offer a promising system for modeling the interaction of evolution 37 and culture; like humans, members of this group of songbirds must undergo a learning process in order to produce effective vocal communications. In contrast to language, however, bird song is often shaped by the transmission properties of the environment, such as foliage density [8,9] or background noise [10]. Most notably, much research on birdsong has focused on social selection, 41 including female preferences for song elaboration or vocal performance (reviewed in [11,12] but see [13]). These preference-shaped features are (to varying degrees) socially transmitted and constrained by the availability of tutors. For example, even if the song trait of repertoire size has a genetic and developmental component [14–16], pupils with a small pool of potential tutors will be limited in the types of sounds from which to build their repertoires [17]. Returning to an 46 analogy with human culture, then, subtle variations in a bird's song can potentially be linked to fitness differences, much like the skill to craft a sharp arrowhead can translate to increased fitness for a human hunter. In both cases, a pupil's learned skill depends on the social 49 environment in which it learns. 50

Here, we propose that treating birdsong as a functional tool is a novel and complementary approach to analogizing birdsong to human language. Although songs, unlike tools, are not physical objects, songs have fitness consequences and may experience cumulative cultural change towards a more effective version (which rarely happens in language). By emphasizing song's functional aspects, we gain new methods to understand its evolutionary dynamics and how demographic factors affect song over time. We use a cultural evolutionary approach to

social learning and human tool evolution [18] to make predictions about song evolution. In essence, we represent song as a difficult skill that all learners in the population attempt to 58 reproduce with varying success. This simple but powerful framework has yielded novel insights into human behavior (e.g. [19]; discussed in more detail below), and it holds promise to do the same for cultural traits in other species. In particular, this approach provides a specific 61 mechanism to explain why attractive elements of song are sometimes lost when bird species expand into new habitat and experience an associated population bottleneck. Unlike cultural drift, which can be dominated by random processes, the model we propose here incorporates selection—the assumption that certain forms of a cultural trait are beneficial or preferable—while integrating population demographics and specific aspects of cognitive ability 66 [20–24]. Additionally, we apply the tools of social network analysis to show how changing population connectivity affects trait evolution. Finally, we model the outcome if trait difficulty varies with the elaboration of the trait, for example, if less attractive songs are easier to learn and transmit than more attractive ones.

Basic model

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In the tradition of anthropologists and cultural evolutionary theorists [25–27], Henrich 73 [18] applied mathematical models to show that the progressive loss of complex skills observed 74 across several thousand years of the Tasmanian archaeological record was best explained as an 75 outcome of demographic factors (namely, effective population size), rather than adaptations to the climate or consequences of cognitive declines [28–30]. In Henrich's mathematical model, a 77 learned trait (such as a netweaving technique, or the straightness of an arrow shaft, p. 200 of ref. 78 [18]) gradually improves or declines across generations in a culture, depending on the interaction 79 of three factors: 1) how difficult the trait is to accurately copy (α) , 2) how widely learners vary in their attempts to copy the trait (β) , and 3) how many learners and potential tutors exist in the current population (N), a non-cognitive factor that nevertheless plays an important role in cultural change. Henrich describes the relationship of these terms in a modified Price equation: 83 $\Delta \overline{z} = -\alpha + \beta (\varepsilon + \ln(N))$ 84 (1)

Here $\Delta \overline{z}$ is the population-level mean change in the skill level of a culturally transmitted 85 behavioral trait, and ε is Euler's constant (≈ 0.577). This equation represents a model of social 86 learning where all individuals attempt to imitate a trait produced by the most competent individual in the previous generation, and there is no limit to the number of individuals that can imitate the same tutor. Population size N is important because larger populations have more individuals, increasing the probability that an individual produces a superior imitation. It is important to note that there is no direct inheritance in this model, genetic or otherwise; each pupil attempts to imitate the best tutor (z_{max}) in the population, and in each generation the resulting z value for each pupil is drawn from a Gumbel distribution centered at $z_{max} - \alpha$ (Figure 1). Importantly, and perhaps counterintuitively, the population variation in skill of available 94 tutors does not influence trait change in the next generation in this model; only the highest value tutor is chosen by pupils to attempt to imitate. Given a trait that is difficult to learn, most individuals will produce inferior imitations 97 and thus only sufficiently large populations will contain enough pupils to consistently produce slightly improved imitations by chance, therefore increasing the maximum value of the trait in the next generation. When $\Delta \overline{z} > 0$, the mean skill of the population increases, including the skill of the best tutor in the next generation (Fig 2). Conversely, $\Delta \overline{z} < 0$ results in a decline in the 101 population's skill over time, shifting the distribution to the left. This process differs from drift in 102 that traits that decline in skill level over time are not random but rather tend to be the most 103 difficult traits to imitate [18]. While the application of this model to empirical evidence in human cultural evolution has been widespread, if contentious (e.g. [31–33]), to our knowledge it has

106 never been applied to non-human cultures.

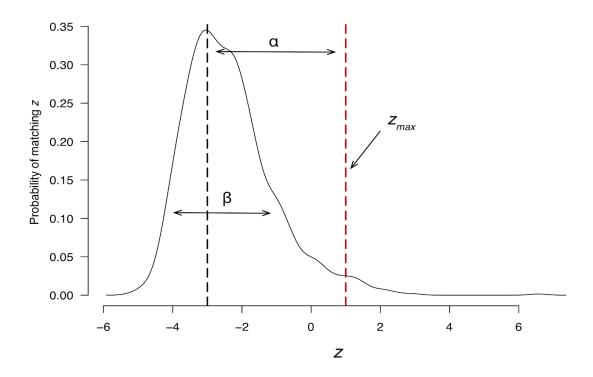
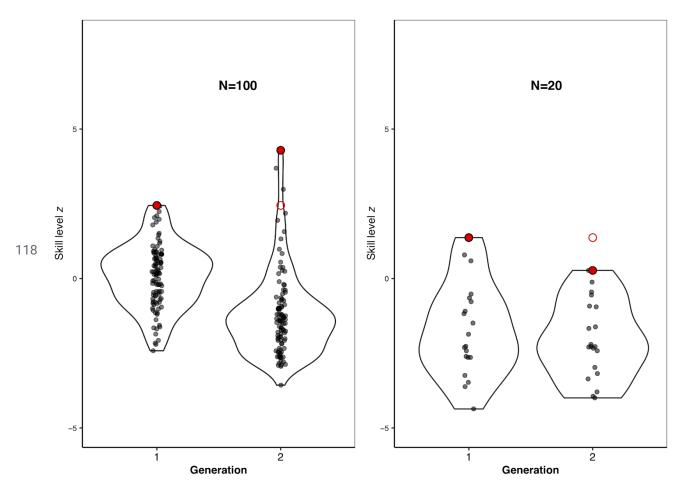


Figure 1. The probability distribution of a learner attempting to copy a trait with the highest z value possible. The trait value z varies in a population (here, N = 100 individuals). The maximum z value of the tutor population, z_{max} , is denoted with a dotted red vertical line. The skill a pupil acquires is drawn from the shown Gumbel distribution, with its mode (dashed line) set at $z_{max} - 112$ α and a dispersion of β . As this distribution shows, most pupils in the population will end up learning to produce the trait with z values below the maximum possible value; by chance, however, some individuals will produce imitations in the far right tail of the distribution, such that large populations are more likely to exceed the previous maximum value in the population.



119 **Figure 2.** The change in maximum population skill level (z_{max}) from the first to second timestep when population size is 100 (left) and 20 (right). The second generation learns from the first generation, attempting to copy the example of the most skilled (highest z) individual in the 121 population (filled red circle on the left). The open circle shows the maximum z value of the 122 previous generation. Learners usually fall short of this model; however, in a large enough population, as shown on the left, one or more individuals will by chance improve in relation to the tutor (value of the filled circle in the second generation exceeds that of the open circle). 125 Whenever the maximum value in the subsequent generation exceeds that of the previous 126 generation, the trait will improve over time (value of filled circle exceeds open circle); if the maximum value is less than the previous generation, the mean value for that trait will decrease, as shown on the right. 129

Applying the model to birdsong: methods and results

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To apply the above model to birdsong, it is necessary to translate the concept of an individual's skill along a continuous axis (z) to a measurable feature of song. Linking specific song traits to fitness is challenging, but some authors have shown how reproductive success

135 relates to particular performance traits in many taxa, including birds (reviewed in Byers et al 2010); for example, in oscine songbirds, a preference for the production of rapidly trilled notes seems widespread [34–37]. Additional song features that are thought to be under selection 137 include repertoire size [38–40] and the production of particular elements [41–44]. Although not all components of birdsong are learned, it is reasonable to compare a male's ability to copy and 139 produce a successful song (i.e. one that is capable of attracting a mate) with learned skills that 140 increase survival in human cultures. Importantly, for the predictions of the Price equation to 141 apply, it is only necessary for some degree of social inheritance to take place; song traits with partial genetic control can still be modelled if the distribution of learner performance is affected 143 by the availability of skilled tutors. Furthermore, a better song (higher z) is defined loosely as any song that increases the singer's reproductive success and, thus, its fitness. In most oscine 145 birds, this concept can also be expressed as a song that is more attractive from the receiver's perspective (usually, a prospective female mate). 147 Henrich's model assumes that, due to the incentive to produce high-quality imitations of 148 these important traits, learners choose the best tutor in their population to imitate. In swamp sparrows, artificially slowed renditions of natural songs (<40% of the natural speed) are not imitated [45], suggesting that learners at least have a minimum set of criteria for tutor choice. 151 Moreover, in captivity, young males in this species given only accelerated tutor songs will 152 attempt to imitate higher trill rates than they are capable of producing, resulting in atypical 153 syntax with a few trilled notes punctuated by longer silences [46]. Although such "broken" songs 154 are not encountered in nature, young sparrows are able to imitate them in the lab, suggesting both a flexibility that could enable novel song adoption (in cases where such songs are not 156 maladaptive), and a directional preference for copying faster trills. In another species of 157 sparrow, artificial tutor songs with a single note removed were much less likely to be imitated 158 than complete tutor songs, suggesting another kind of threshold for selecting quality tutors [47]. In addition to judging their songs, juvenile birds might also gauge potential tutors using social cues: for example, juveniles may preferentially imitate the songs of older [48] or more 161 aggressive [49] males. This is somewhat analogous to cultural processes of prestige bias and success bias in humans [50-52]: in addition to judging tool quality (z), humans can also select

164 tutors based on social factors. While many aspects of song learning and tutor choice still need to be studied in a natural context, enough is known to construct preliminary models that help explain patterns of song divergence and make predictions that can motivate future fieldwork.

When we apply Henrich's adaptation of the Price equation to birdsong using a generic 167 metric of song quality (z), we find, as expected, that the mean skill level of the population 168 decreases over time when population sizes are small (lower N) or when traits are more difficult to imitate (higher α), and the mean skill level increases when population sizes are larger or when traits are easier to imitate (Figure 3a). The tradeoff between population size (N) and trait difficulty (α) is shown by the white boundary in the heatmap, where $\Delta z = 0$ and the skill level remains constant. Above this boundary ($\Delta z > 0$), the trait is expected to decline in quality over time. Conversely, increasing variance in learning (β) tends to promote an increase in z over time 175 for a given population size (Figure 3b).

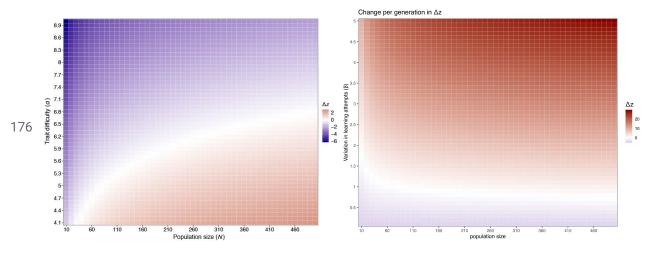


Figure 3. (a). The effect of population size (N) and trait difficulty (α) on the change in mean trait skill level per generation (Δz), where $\beta = 1$. When population size is small, the mean change in learned skill is negative each generation, even for lower values of α (easier traits). As population size increases, cultural traits that are increasingly difficult to copy (higher α) can be maintained and improved (positive Δz). (b). Increased learning variance (β) promotes the cumulative increase in trait values. Here, $\alpha = 5$ and β varies between 0 and 5. 182

Application to specific birdsong characteristics: 184

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So far, z has referred to any generic song trait that is under selection. Next, we apply a variation of this model to specific aspects of learned song. One aspect of birdsong that lends

187 itself well to the Price equation model of cultural evolution is trill rate. This component of song is constrained by morphological limits [53], but also by the quality of the tutor song available: swamp sparrows presented with only artificially slowed songs could not produce songs reaching 189 natural performance levels, although they did improve on their "tutor's" performance [45]. Moreover, trill rate is functionally important for mate attraction [34,36,41] and territory defense 191 [54–56]. However, several bird species show geographic variation in the rate or presence of trills 192 [57–59], raising the question of why these seemingly important features of song are present (or 193 more elaborated) in some populations and not others. In Figure 4, we show 20 replicates of possible trajectories of this trait over 60 195 generations, starting from a uniform population trill rate of 4 notes per second. Population size 196 was set at N = 100 individuals with $\alpha = 5$ and $\beta = 1$. These values were chosen because a population of 100 is realistic and not computationally prohibitive, whereas the values of α and β represent a "boundary" at which minor changes to parameters will be visible due to changes in 199 trait value (see Fig. 3). These replicates differ due to the stochastic sampling of the Gumbel 200 distribution in each time step. In the majority of replicates, the mean z of the population 201 decreased over 60 generations; the mean of all replicates was a trill rate of 2.8 notes/second. This result suggests that, at these cognitive parameters (α and β), a population greater than 100 203 individuals is required to maintain trill rate at or close to the physiological limit. It is important to 204 note that for most learned birdsong traits, physical or physiological limits exist that will constrain 205 song expression; e.g. bill size determines how rapidly a male can trill [60,61], while 206 neurophysiology places limits on auditory sensitivity [62,63]. Regardless of this limit, in our 207 model smaller populations experience a decline in trill rate as learners, over successive 208 generations, largely fail to exceed the skill level of the best tutor of their generation. Thus, over 209 time, trills may disappear altogether as the rate becomes so low as to no longer function in mate 210 attraction. Indeed, one replicate in this simulation reached a mean z < 0 before 60 generations (red line), which we represent as the loss of the trait. While the precise values are in some sense arbitrary (100 birds may be adequate to maintain most learned vocal behaviors in nature), this 213 example illustrates the general principle that smaller populations are more likely to lose difficult-to-imitate traits than large ones.

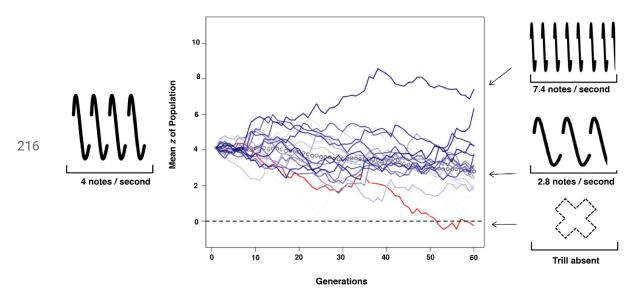


Figure 4. The term z (a measure of skill level in a culturally transmitted trait) in the Price equation here represents the trill rate (number of notes repeated per second). Lines show the mean z of each replicate population over time. Open circles represent the mean z value across all 20 replicates for that generation. The range of final z values (maximum, mean, and minimum) 220 after 60 generations are noted on the right y-axis. Since the simulation is stochastic, some 221 replicates resulted in the cultural trait improving over time (e.g. z = 7.4, trill rate increased), whereas others resulted in a negative z and a loss of the trilled syllable (dashed x). For all replicates, $\alpha = 5$, $\beta = 1$, and N = 100. 224

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Even for song characteristics that are more difficult to quantify than trill rate, this model makes useful predictions about the direction of song evolution over time. For example, we can envision a bird's song composed of multiple syllables that vary in their difficulty to learn (Figure 5). In the language of birdsong literature, this would be a more syntactically complex song than one composed of a single repeated note. Like trill rate, syllable and song complexity has been shown to play a role in mate attraction for some species, although the strength and ubiquity of this effect is contested (see meta-analyses by [13,64]). For example, female Bengalese finches prefer songs with higher complexity, but stressful conditions early in life limit a male's syntactical complexity, indicating that these songs are more difficult to produce (large α) [65]. Repertoire size is another attractive feature of song that could be negatively affected by low 235 population size. Although repertoire size per se may not be a culturally transmitted trait, the

237 availability of diverse models to copy necessarily limits the achieved repertoire size of imitative 238 learners, as seen in marsh wrens given a small pool of tutors from which to learn [17].

In the event of demographic changes such as population bottlenecks, our model predicts 239 that syllables with a high trait difficulty (large α) would be the most likely to disappear from the 240 population, while those that are simpler to learn (smaller α) would be maintained even after 241 population size shrank. This differs from the prediction of song component loss through neutral 242 processes (akin to genetic drift), because the loss of syllable types is not random. Rather, we 243 would expect that more difficult-to-imitate syllables would be disproportionately lost if population size is reduced. This is true even if producing these syllables is beneficial to the 245 singer. We illustrate one such scenario in Figure 5, with a hypothetical bird song initially 246 composed of three different syllable types, each with its own imitation difficulty (α value). We 247 show the results in a single population over 60 generations, in which each note is learned independently following the Price equation. Over 60 generations, the terminal syllable (which is 249 the most difficult to imitate) rapidly decreases in z, while the middle syllable remains mostly the 250 same and the initial notes increase in z value (Figure 5). Note that the exact interpretation of the z 251 value in this context is more complex than in the case of the trill. One way to conceptualize a syllable with a larger z value is that the syllable is more salient or attractive to receivers (for 253 example, one that is faster, more stereotyped, or more complex). In contrast, a syllable with a 254 lower z value may be less salient to receivers (and perhaps disappears entirely from the song 255 256 when z = 0).

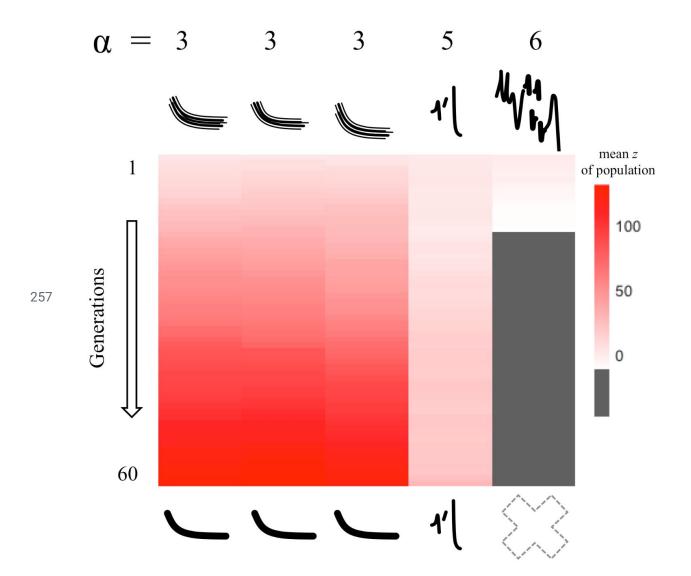


Figure 5. An ancestral song composed of 5 syllables of varying difficulty (a) is learned by a population of 100 individuals over 60 generations. The mean population value for z for each 259 syllable (a measure of the attractiveness/effectiveness of that syllable) is indicated by color over 260 time, with red indicating higher values of z. The first three syllables are relatively easy to learn 261 accurately (lower α) and increase in z over 60 generations, represented here as less noisy, more consistent renditions; by contrast, the fourth syllable is more difficult to accurately learn, and the 263 z value remains the same over time, while the fifth syllable is the most difficult to learn and 264 quickly reaches a negative z (gray). In the context of bird song evolution, $z \le 0$ can be thought of 265 as a syllable disappearing from a population's song repertoire. This model shows that a 266 population of 100 is more likely to maintain song components below some threshold degree of 267 difficulty (here, the threshold is between $3 < \alpha < 6$). 268

270 Song learning in a social network

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Henrich's application of the Price equation necessarily simplifies the nature of social 271 interaction in a population. Avian researchers might object that the pool of individuals from 272 which a male could potentially learn his song is much smaller than the population sizes proposed in the examples above. A more sophisticated model would include an estimation of the size of both the overall population and those immediately accessible for copying at each timestep. 275 276 Kobayashi and Aoki [66] devised such a model to reflect the fact that most individuals in a population are not able to learn from all members; in their model, individuals are randomly assigned k individuals out of the total population as potential cultural tutors, and choose the best tutor (highest z) from among those k individuals. Below we extend the methods of Kobayashi 279 and Aoki in two ways to apply social network methodology to the question of how song might 280 evolve in socially structured populations. 281

B Disentangling population size (N) from connectivity of a network (degree)

A key characteristic of social networks is degree, or the number of connections possessed 284 by each member (node) of the network (networks with different degrees are illustrated in Figure 285 S1). To tease apart the impact of local social network dynamics from overall population size on 286 song evolution, we modeled two different network scenarios. First, we simulated a network with 287 N = 100 in which every individual was connected to twenty five others in the population (degree 288 of 25). Initially, all individuals are assigned a z value of zero. Thus, in the first time step of our 289 model, individuals are assigned an identical tutor randomly from the 25 connected individuals. In 290 all following time steps, each individual selects the individual with the highest skill level (z) of its 25 connections to be its tutor. The likelihood that a pupil exceeds the tutor's z value is determined by the Gumbel distribution, defined by α and β , as before. 293

Second, we compared the above network to a fully connected network with N = 26, such that each individual still has 25 connections, but they are to every other individual in the population. We found that the larger network with a population of 100 and degree of 25 consistently achieves a higher mean z value after 50 generations than a network of 26 fully

298 connected individuals (Figure 6a), showing that there is indeed a "benefit" to being a part of a larger population—the mean skill level of the population will be higher—even if individuals in both populations only have 25 individuals to learn from at each time step. This benefit, as expected, decreases as the subset k approaches the size of the whole population (Figure 6b).

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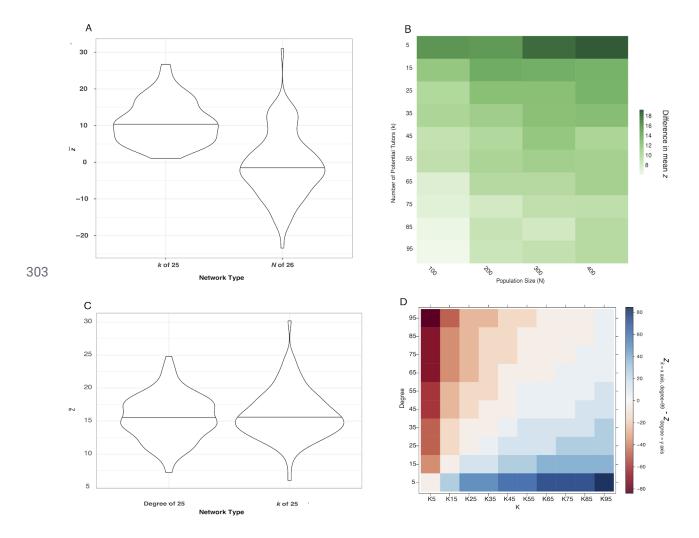


Figure 6. (A) The mean z value of the network in a 100-member network with a uniform degree of 25 (left) and a (fully connected) 26 member network with a uniform degree of 25 (right). Each simulation ran for 50 generations, and the violin plots show the outcome of 100 simulations for each type of network. (B) Each cell shows the difference between the final mean z of a population after 50 generations with the total N and k on the x- and y-axes respectively, and a population with the same parameters but a total N equal to k. Values shown are the mean of 20 replicates. (C) The effect of degree (the 25 network connections between nodes are maintained over generations) versus k (each node draws 25 individuals randomly each generation) on z over

time. N = 100, replicates = 100, generations = 100. (**D**) The difference in mean z after 50 generations for populations where N = 100, between networks with a k between 5 and 95 (where k potential tutors are sampled randomly from the network each timestep), and networks with degree between 5 and 95 (where the same connections are maintained for all 50 timesteps).

312 The effect of randomized versus consistent tutors

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Next, we investigated two different scenarios in which only a subset of individuals in the 313 314 network were available as potential tutors. In the first, we created a 100-member network and ran a simulation exactly as before, with each individual connected to 25 others (i.e. the network had a uniform degree of 25). Again as before, these individual connections were retained for the entire run of 100 generations. At each timestep, each individual chooses the highest k among its connected nodes, and based on that maximum value, generates its new z value ("learns") according to the Price equation. After every individual in the population has generated a new z value, the timestep is completed and the next begins. We replicated this simulation 100 times for 100 generations each.

We also created 100-member networks where each individual had the opportunity to learn from 25 individuals randomly drawn from the population in each timestep (k of 25). In contrast to the previous scenario, the 25 individuals were picked randomly for each individual 319 during each learning event. We found that a fully connected network in which k individuals are randomly selected as potential tutors, and a network with a degree of k, yield the same mean z after 100 generations, as illustrated in Figure 6c. This suggests that whether the pool of tutors is static over multiple generations, or shuffled each time, does not affect the overall outcome of song evolution. In other words, re-sampling tutors for each new generation of individuals does not provide any benefit for trait evolution by increasing the effective population size. Figure 6d illustrates that this pattern holds for values of k and degree between 5 and 95; when k and degree are the same, the difference in mean \bar{z} after 50 generations is close to zero (light-colored diagonal line).

The relationship between trait quality and difficulty: dynamic α as a function of z_{max}

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Following Henrich [18], we have so far assumed that the value of α for a given trait remains constant over time, regardless of the average skill level or complexity of that trait in the 323 population. However, it is plausible that as the skill level of a trait decreases (for example, trill rate decreases or syllables become less structurally complex), learners will find it easier to successfully imitate. In this case, we would predict a positive correlation between α and z: as the quality of the trait increases (higher z values), the trait difficulty should also increase (higher α) and vice versa. Below, we model this scenario by modifying α at each timestep, according to how far above or below the initial z value the current maximum z is at that timestep. Incorporating this plausible correlation between z and α produced two culturally and biologically relevant patterns. First, when α increases with z, mean trait performance improves until reaching a plateau, rather than a biologically unrealistic linear increase ad infinitum. As the 332 performance or quality of a trait improves in a population, the trait becomes progressively more difficult to learn, acting as a check on the unbounded increase in trait quality seen in the original model. Secondly, traits may be maintained after drastic decreases in population size, albeit in 335 simpler forms, rather than being lost altogether. In other words, as trait quality or performance declines in a small population, the trait becomes progressively easier to transmit and is rescued from loss. This concords with the relatively stable song traditions observed in most oscines, even in small or isolated populations.

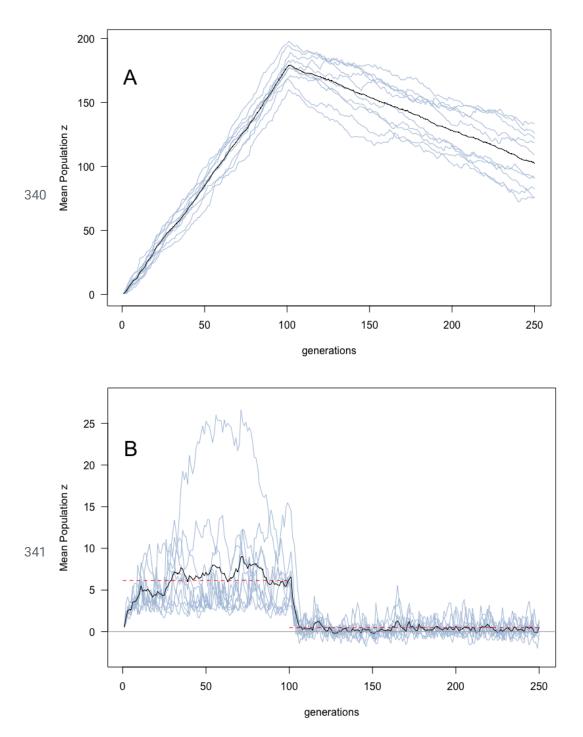


Figure 7. (A) In these ten simulations, $\alpha = 5$, $\beta = 1$, and the population shrinks from 500 to 50 at generation 50 (note the difference in the *y*-axes between panels). (B) In these ten simulations, α varies between 3 and 7, changing each timestep depending on the mean value of z. Other parameters are the same as in the top panel ($\beta = 1$, population shrinks from 500 to 50 at generation 50), α varies between 3 and 7. Change from the original z_{max} (10) is used as an index

to increase or decrease within a range of possible alphas. Red dotted lines indicate the mean z for the pre- and post-bottleneck populations.

Discussion

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Analogies between research fields can be useful in sparking the application of novel 351 techniques to longstanding problems and encouraging interdisciplinary thinking. It is thus worth 352 periodically revisiting the scientific analogies we use most, and adopting new and 353 complementary ways of thinking about well-studied traits. Birdsong has often been compared to human language [67,68] and music [69], both symbolic traits; these analogies have no doubt 355 encouraged the many decades of birdsong research in animal behavior, neuroscience, and 356 psychology. We argue that re-framing birdsong as a functional tool is likely to spur further 357 fruitful research. We draw an analogy between birdsong and human technologies—both of which are fitness-enhancing culturally transmitted traits—and leverage models from cultural 359 evolutionary theory to shed new light on animal behavior evolution. We have shown, using a 360 relatively simple model based on the Price equation, that the size and network stability of a 361 population can influence the retention of song traits over time. Population size and network structure in turn interact with cognitive parameters, such as the difficulty of copying a certain 363 song feature and variance of copying attempts, to shape song evolution. The interaction of 364 demographic and cognitive parameters, we argue, is likely to affect the evolution of signals in 365 oscine songbirds in similar ways to their effects on the evolution of human cultural traits; the 366 structure of a population and the properties of its learned behaviors both play a role in cultural 367 evolutionary dynamics. Specifically, populations that are small or sparsely connected will tend to 368 lose their most difficult-to-copy traits over time, even if these traits continue to be advantageous to their bearers. 370

Empirical support for the hypothesis that population size affects song evolution can be found in studies of island populations of songbirds. For example, in a chain of island colonizations, chaffinches progressively lost syntactical structure compared with the ancestral mainland population [70], and additionally showed simpler phrases and trills than mainland chaffinches [71]. Studies of this kind are hard to replicate, as they rely on large-scale phylogeographic events, but other researchers have shown rapid changes in songs on islands

[72,73] and in the associated recognition behavior [74]. Some common patterns emerge; for example, in a comparison of 49 pairs of mainland and island species, island species were less likely to sing rattles or trills [75], which are fast, complex sounds and thus potentially the most difficult to reproduce. There are already hints that difficult-to produce-elements (such as trilled notes) may be vulnerable to loss in small wild populations not restricted to islands, as predicted by our model; e.g. golden-crowned sparrows in the furthest northwest breeding population lack terminal trills or buzzes in their songs [58]. Similarly, in the barn swallow, the populations that have the shortest and least complex songs also have the slowest trills [57]. In species of conservation concern, a common pattern when songbirds experience population bottlenecks is the shrinking of repertoire size [73,76,77]. One complication in detecting this pattern in more common species is that apparently small, isolated populations may in fact be in contact with a larger number of potential tutors throughout their lifetime, for example during migration or wintering [78]. Determining the demographic history, as well as the current effective number of tutors, of populations that have slow or no trills, versus those with rapid trills, could provide a test of the hypothesis that trills are lost when population size falls below a certain threshold.

A key assumption of Henrich's model is that trait difficulty (α) stays constant for a given 378 trait over time; in reality, a trait may become easier to learn as it simplifies. We modelled this 379 variation and found an intuitive and important result: z approaches a minimum value as it becomes easier to replicate, enabling the trait to be stably maintained, though in a simpler form (small but positive z), in small populations that might have lost the trait when α was constant (negative z). However, even when trait difficulty (α) varies with trait quality (z), the trait can be lost from the population (z approaches a stable, but negative, value) under some parameter combinations. That simpler renditions of a species-typical song could be copied and maintained is consistent with empirical work in swamp sparrows [46], which shows that "poor" copies of song (with broken syntax, to accommodate trill rates beyond performance limits) are accepted as models by young sparrows and retained in future generations.

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While population size has often been invoked in human cultural evolutionary theory as a factor in the maintenance or loss of learned skills [18,19,32,79–85], the existence of a straightforward relationship between population size and cultural complexity in humans has been

contested [86–96]. Empirical research in humans has provided evidence both for 383 [79,87,88,90,97–99] and against [94–96,100–103] such a relationship; similarly, there is also 384 debate around the relationship between population size and maintenance of a large inventory of 385 sounds in language [104–108]. The effects of population size may be complicated by other properties of a population, such as migration rates and connectivity, and cultural features such as 387 conformity, that are also important to cultural evolution. For example, a recent study showed that 388 multiple, partially connected human groups can maintain a higher diversity of solutions to a 389 complex problem, and maintaining this heterogeneity of solutions enables more complex 390 combinations [109]. This framework offers a promising perspective for investigating the origin 391 and maintenance of dialects in many bird species, where spatial heterogeneity in song types can 392 persist in the absence of obvious physical barriers. 393

We cannot rule out that there is cultural selection directly on elements of song, as in some aspects of human language [110], although there is no reason to suppose this will tend towards simplifying songs. Very rapid changes in bird song cultures do occur (e.g. [78]), and in the absence of obvious anthropogenic factors, such changes may be tied to cultural selection.

Anthropogenic changes to the soundscape may also be a major factor in selection on song elements in the future, including elements that persist in the absence of noise [111] and may be transmitted socially [10]. Although our model does not address the effects of cultural selection or biased transmission on birdsong, clearly these mechanisms are extremely important for understanding evolution of learned vocalizations.

Bird species vary in the relative importance of song for mate attraction (e.g., high in zebra finches, [112]) versus territory defense (e.g. high in seaside sparrows, [113]). The strength of selection on song, and whether it arises from mate choice or intrasexual competition, likely affects how closely the assumptions of this model apply. Species where there is strong directional selection on song via mate choice by females, which most closely fits with the classic Fisherian runaway model, seem most likely to conform to the predictions we describe above. The stronger the link between trait and fitness outcome, the more we might expect song to behave in a tool-like way. We encourage researchers interested in vocal learning and other forms of

- 411 non-human cultural evolution to consider what novel predictions could be made in their system
- 412 by embracing this subtle but important change of perspective.

414 References

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