Iota-carrageenan prevents the replication of SARS-CoV-2 on an in vitro respiratory epithelium model

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- 13 Abstract
- 14 There are, except for remdesivir, no approved antivirals for the treatment or prevention of SARS-
- 15 CoV-2 infections. Iota-carrageenan formulated into a nasal spray has already been proven safe and
- effective in viral respiratory infections. We explored this antiviral activity in Calu-3, a human
- 17 respiratory model cell line. A formula of iota-carrageenan and sodium chloride, as a nasal spray,
- already approved for human use, effectively inhibited SARS-CoV-2 infection in vitro, providing a
- more substantial reference for further clinical studies or developments.

20 1 Introduction

- 21 The severe acute respiratory coronavirus 2 (SARS-CoV-2) is responsible for the currently ongoing
- pandemic coronavirus disease (COVID-19), counting more than 144.878.978 confirmed cases and
- 23 more than 3.075.042 deaths worldwide by April 23, 2021 (Dong et al., 2020). There are still no
- 24 adequate therapeutic or preventive medicines for COVID-19; repurposing established medications
- 25 with recognized safety profiles is a possible approach for preventing or treating the disease and
- shortening the time-consuming drug development stages.
- 27 During the first days of the infection, the virus replicates mainly in the nasal cavity and the
- 28 nasopharynx; therefore, nasal sprays with antiviral activity would reduce the viral load in these
- 29 cavities.
- Marine-derived polysaccharides, such as carrageenans, are a family of linear sulfated polysaccharides
- 31 extracted from red seaweeds, widely used as thickening agents and stabilizers for food. Besides these
- 32 properties, the iota-carrageenan demonstrated antiviral activity against several viruses, including
- respiratory viruses such as human rhinovirus, influenza A H1N1, and common cold coronavirus
- 34 (Grassauer et al., 2008; Leibbrandt et al., 2010; Morokutti-Kurz et al., 2015). Iota-carrageenan
- inhibits virus infection mainly based on its interaction with the surface of viral particles, preventing

- them from entering cells and also trapping the viral particles released from the infected cells. It has
- 37 also been shown that their inhibitory activity also relies on affecting the viral replication cycle at
- different steps, like entry and genome replication, and additionally activates the host's antiviral
- immune response (Gomaa and Elshoubaky, 2016; Chen et al., 2020; Hans et al., 2020).
- 40 Iota-carrageenan formulated into a nasal spray has already been proven safe and effective in the
- 41 common cold treatment (Koenighofer et al., 2014). Based on these observations, the hypothesis has
- been raised that a nasal spray with iota-carrageenan could be effective against SARS-CoV-2. It has
- 43 recently been described that iota-carrageenan has activity against the SARS-CoV-2 virus and its
- Spike Pseudotyped Lentivirus (SSPL) in Vero E6 cell culture (Bansal et al., 2020; Morokutti-Kurz et
- al., 2020; Song et al., 2020). The Vero E6 cell line, originally derived from African green monkey
- 46 kidney, is deficient for interferon-α (IFNα) and -β (IFNβ) due to genetic deletions, for instance highly
- 47 susceptible to a vast number of different viruses, like measles virus, rubella virus, arboviruses,
- 48 adenoviruses, influenza, and some coronavirus, including SARS-CoV-2 (Osada et al., 2014; Barrett
- 49 et al., 2017; Banerjee et al., 2020).
- Various studies have proposed the need to study SARS-CoV-2 infection in human respiratory
- epithelium, in order to get closer to the central target tissue of the disease in patients (Holwerda et al.,
- 52 2020). Calu-3 is a non-small-cell lung cancer cell line that grows in adherent culture and displays
- epithelial morphology. This cell line is considered a sensitive and efficient preclinical model to study
- 54 human respiratory processes and diseases (Zhu et al., 2010). Upon stimulation with viruses or
- environmental toxins, the Calu-3 cell line synthesizes and releases different cytokines, including IL-6
- 56 (Zhu et al., 2008), which play a central role in the inflammatory cascade associated with more severe
- 57 COVID-19 (Gubernatorova et al., 2020).
- 58 The kinetics of SARS-CoV-2 infection show differences between Vero E6 and Calu-3 cells, most
- 59 probably related to the differential expression of the angiotensin-converting enzyme 2 (ACE2) entry
- 60 receptor and other facilitating molecules like the cellular serine protease TMPRSS2. Calu-3 cells
- express ACE2 on the apical membrane domain and are infected by SARS-CoV-2 via this route. The
- 62 higher number of Vero E6 infected cells is also associated with the differences in viral entry pathway
- and the expression of pro-apoptotic proteins, which increased drastically in these cells but not in
- 64 Calu-3 cells (Banerjee et al., 2020; Murgolo et al., 2021; Park et al., 2021; Saccon et al., 2021).
- In this study, we assessed the effect of carrageenan as a viral infection inhibitor in an in vitro
- 66 respiratory epithelium model, providing a set of data that support previous results and that it could be
- 67 helpful against SARS-CoV-2 infection when applied in a formulation as a nasal spray.

2 Materials and Methods

2.1 Cells and Virus

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- African green monkey kidney Vero E6 cells (ATCC® CRL-1586TM) and human airway epithelial
- 71 Calu-3 cells (ATCC® HTB-55TM) were obtained from the American Type Culture Collection. The
- 72 Calu-3 cells were cultured in Dulbecco's modified Eagle's medium (DMEM, Corning, NY, USA)
- 73 containing 10% fetal bovine serum (FBS, Thermo Fisher Scientific, Waltham, MA, USA), 100 U/ml
- 74 penicillin, and 100 μg/ml streptomycin (Thermo Fisher Scientific, Waltham, MA, USA). The Vero
- 75 E6 cells were cultured in complete minimal essential medium (c-MEM) (Corning, NY, USA),
- supplemented with 5% FBS (Thermo Fisher Scientific, Waltham, MA, USA). The cells were
- incubated in 95% air and 5% CO₂ at 37°C.

- 78 The SARS-CoV-2 isolate was kindly provided by Dr. Sandra Gallegos (National University of
- 79 Córdoba, Argentina). Viral master seed stock was prepared using T175 flasks of Vero E6 cells. Each
- 80 flask was harvested on day two post-infection, and the supernatant was centrifuged twice at 220 x g
- for 15 minutes to remove cellular debris. The titer of virus stock was determined by plaque assay on
- 82 Vero E6 cells and expressed as plaque-forming units per ml (pfu/ml). The experiments using the
- virus were carried out in BSL3 facilities from the School of Medicine at the University of Buenos
- 84 Aires.

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2.2 Preparation of sample formulations

- 86 Solutions with iota-carrageenan and sodium chloride were prepared using a sterile nasal spray for
- 87 therapeutic use. All the formulations and placebos were prepared at Laboratorio Pablo Cassará S.R.L.
- 88 (Argentina) under aseptic conditions. The composition of active and placebo formulations is depicted
- 89 in Table 1.
- 90 To determine antiviral efficacy of formulations by titer reduction assay, sample formulations were
- 91 used at a final iota-carrageenan concentration of 600 μg/ml; 60 μg/ml, 6 μg/ml, 0.6 μg/ml and 0,06
- 92 μg/ml. An equivalent concentration of placebos was used for titer reduction assay as controls.

93 2.3 Viability cellular assays

- Calu-3 cells were seeded in 96-well tissue culture microplates at 3x10⁴ cells/well, and incubated
- overnight at 37°C under 5% CO₂. Then, the cells were treated or not with iota-carrageenan 600, 60,
- 96 6, 0.6, and 0.06 μg/ml or vehicle in culture medium for 48 h at 37°C. After incubation, cells were
- 97 washed and treated with MTS/PMS (CellTiter 96® Aqueous Non-Radioactive Cell Proliferation
- 98 Assay, Promega, USA).

99 2.4 Infection assays

- 100 In three independent experiments, Calu-3 cells were seeded in 96-well tissue culture microplates at
- 3x10⁴ cells/well. After 48 h of incubation at 37°C, treated or not with iota-carrageenan 600, 60, 6,
- 102 0.6, and 0.06 μg/ml or vehicle and 2 h later infected with SARS-CoV-2 (multiplicity of infection
- (MOI) = 0.01 and 0.1) in serum-free DMEM (Thermo Fisher) for 1 h at 37°C. Then, cells were
- washed and placed in culture medium for 48 h. After that, supernatants were harvested and stored at -
- 105 80°C.

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2.5 Viral titration

- 107 Vero E6 cells were seeded into 96-well microplates and grown overnight at 37°C under 5% CO2.
- Tenfold dilutions of virus samples from Calu-3 cells were added to monolayers of 80% confluent
- 109 Vero cells at 37°C for 1 h. After incubation, the inoculum was removed, and monolayers were
- overlaid with DMEM. The cells were incubated at 37°C for 72 h and fixed using 4% formaldehyde.
- Finally, cells were stained with 0.1% crystal violet in 20% ethanol and counted. Virus endpoint titer
- was determined using the Reed-Muench formula and expressed as 50% tissue culture infectious dose
- 113 (TCID50) per ml.

2.6 Statistical analysis

- In the cellular viability assays, One-way ANOVA followed by Dunnett's multiple comparisons test
- was performed, and in the infection assays, the results were analyzed statistically by two-way

- ANOVA, both using GraphPad Prism version 9.1.0, GraphPad Software, San Diego, California USA,
- www.graphpad.com.

119 **3 Results**

- The antiviral effects of iota-carrageenan on SARS-CoV-2 were tested in a dose-dependent manner. In
- the first set of experiments, Vero E6 cells were pre-treated with different iota-carrageenan
- 122 concentrations (600 μg/ml to 0.06 μg/ml), and cell viability was quantified (Figure 1A). No
- difference in cell viability was observed in iota-carrageenan treated cells compared to vehicle-treated
- 124 control cells.
- Next, Vero cells were pre-treated with iota-carrageenan (600 µg/ml to 0.06 µg/ml) for 2 h and then
- infected with SARS-CoV-2 (MOI: 0.01), after that, cells were washed to remove the viral inoculum,
- and fresh medium was added. Forty-eight hours later, supernatants were harvest. The SARS-CoV-2
- production was evaluated by adding the supernatants to Vero E6 cells for 1 hour. After incubation,
- the inoculum was removed, and monolayers were incubated at 37 °C for 72 hours. Then cells were
- fixed and stained with crystal violet. Virus endpoint titer was determined by Reed-Muench formula
- and expressed as TCID50/ml. Our results showed that iota-carrageenan markedly inhibits SARS-
- 132 CoV-2 production in a dose-dependent manner (Figure 1, B and C). No antiviral activity was only
- observed at the lowest concentration of iota-carrageenan (0.06 µg/ml). Lastly, there was no reduction
- in virus production with vehicle formulation, suggesting that the iota-carrageenan, not the sample
- excipient components, inhibited the SARS-CoV-2 replication (Figure 1B). Finally, Figure 1C shows
- that inhibition of viral production is also observed when pretreatment with carrageenan is applied to
- the cells, followed by an infection at a higher MOI (0.1).

138 4 Discussion

- 139 Calu-3 cell culture infection has been used as a model to evaluate the activity against SARS-CoV-2
- of different drugs: suramin (Salgado-Benvindo et al., 2020), nafamostat (Yamamoto et al., 2020),
- exogenous interferon (Felgenhauer et al., 2020), chloroquine, and hydroxychloroquine (Hoffmann et
- 142 al., 2020).
- Recent research has shown that the Vero and Calu-3 cells results do not always coincide. One
- remarkable example is hydroxychloroquine's antiviral activity when tested in Vero cells, which could
- not be reproduced on infected Calu-3 cells. These preliminary results, obtained in Vero cells,
- prompted the premature use of this drug to prevent or treat COVID-19 in patients. Still, the results of
- clinical trials carried out later have not shown efficacy, in accordance with what was observed in
- 148 Calu-3 studies.
- When considering Vero E6 as a model, it should consider their deficient expression ACE2 and
- 150 TMPRSS2 and the non-specific endocytic viral uptake mechanisms responsible for viral entry in this
- cell line. Calu-3 cells, besides of the exposed before, are very similar to primary cultures of bronchial
- epithelium obtained by biopsy or surgery; it develops the characteristic tight junctions present in the
- respiratory epithelium, expression of cystic fibrosis transmembrane conductance regulator (CFTR)
- chloride channels, capacity for the secretion of mucus and proteins towards the apical end and
- exchange of water and electrolytes (Duszyk, 2001; Zhu et al., 2008).
- In our research, we used dilutions of a commercially available iota-carrageenan spray. The
- 157 concentrations found to be active in vitro, as shown in previous (Bansal et al., 2020), and in the
- current work, are those that would be achieved using the spray according to the approved dosage.
- These results are supporting the efficacy of this spray in the prevention of COVID-19 in a clinical

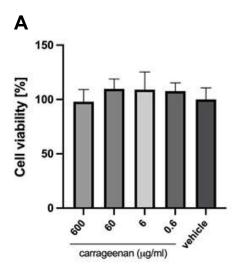
- trial recently carried out with frontline healthcare personnel exposed to SARS-CoV-2 (Figueroa et
- 161 al., 2021).
- 162 5 Conclusion
- In summary, our results confirm that a formulation of iota-carrageenan and sodium chloride available
- as a nasal spray effectively inhibited SARS-CoV-2 infection in vitro in human respiratory epithelial
- cell line culture, strengthening the hypothesis that a nasal spray with iota-carrageenan may be helpful
- in the prevention or treatment of COVID-19 and reinforces the interest in the development of clinical
- trials on this topic.

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- 6 Conflict of Interest
- The authors declare that the research was conducted in the absence of any commercial or financial
- relationships that could be construed as a potential conflict of interest.
- **7 Author Contributions**
- 172 AC, AVD, and JMF conceptualized the study. AC, AV, CP and AVD developed the methodology.
- 173 JMF wrote and prepared the original draft. AC, AVD, and CP wrote, reviewed, and edited the
- manuscript. AC and AVD supervised the study. All authors contributed to the article and approved
- the submitted version.
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Figure 1



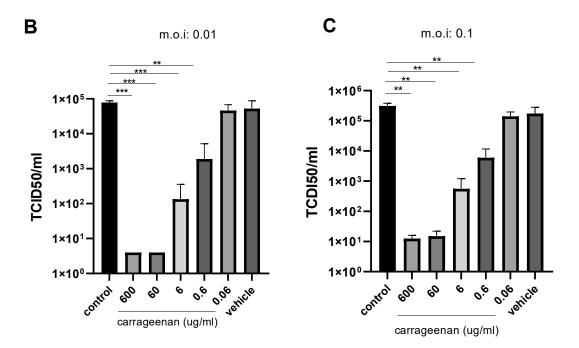


Figure 1. A) Cellular viability assays. Calu-3 cells were treated with iota-carrageenan or vehicle (600 μg/mL to 0 μg/mL) for 48 h at 37°C. After incubation, cellular viability was analyzed, and no statistically significant difference was found between the groups compared to the vehicle control group. Data are expressed as mean \pm SD derived from three independent experiments. **B & C) Infection assays.** Calu-3 cells were pre-treated with iota-carrageenan or placebo (600 μg/mL to 0 μg/mL) for 1 h. After one hour of pretreatment, cells were infected, in two different conditions MOI: 0.01 (1B) and MOI: 0.1 (1C) with SARS-CoV-2 and incubated for 48 h in the presence of iota-carrageenan. Supernatants were harvested and virus yield. Data are expressed as mean \pm SD derived from three independent experiments.

Table 1. Composition of candidate nasal formulations (samples containing iota-carrageenan)

Component	Sample	Vehicle
Iota-carrageenan	1.7 mg/mL	-
Sodium Chloride	9 mg/mL	9 mg/mL
pH adjusted to	6.00 - 7.00	6.00 - 7.00

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