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2 **Exploring the impact of Andean uplift and climate on life & landscape evolution: from Amazonia**
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4

5 *Title*

6 **Mountain radiations are not only rapid and recent: Ancient diversification**
7 **of South American frog and lizard families related to Paleogene Andean**
8 **orogeny and Cenozoic climate variations**

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37 ***Abstract***

38 Mountainous areas host a disproportionately large fraction of Earth's biodiversity, suggesting a causal
39 relationship between mountain building and biological diversification. Mountain clade radiations are
40 generally associated with changes in environment, climate, and the increase in heterogeneity therein
41 during mountain building. However, examining the causal relationship between mountain building and
42 diversification is a complex challenge, because isolating the effects of surface uplift from other abiotic
43 (climate) or biotic variables is not straightforward. In this study, we investigate the relative contributions
44 of abiotic climate-driven (temperature) and geology-driven (elevation) drivers on evolutionary rates of
45 ancient groups of organisms in the South American Andes. We present regional curves of Andean
46 elevation based on a recent compilation of paleo-elevational data back to the Late Cretaceous, and
47 analyse the diversification history of six Andean frog and lizard families that originated equally far back
48 in time. For two clades (Aromobatidae and Leptodactylidae), we find that they diversified most rapidly
49 during the early phase of mountain building (Late Cretaceous - Paleogene), when the first high-
50 elevation habitats emerged in South America. The diversification of one clade (Centrolenidae) is
51 correlated with Cenozoic temperature variations, with higher speciation rates during warm periods. The
52 last three clades (Dendrobatidae, Hemiphractidae and Liolaemidae) are best explained by environment-
53 independent diversification, although for Liolaemidae, an almost equally strong positive correlation
54 was found between speciation and Andean elevation since the late Eocene. Our findings imply that
55 throughout the long-lived history of surface uplift in the Andes, mountain building drove the
56 diversification of different clades at different times, while not directly affecting other clades. Our study
57 illustrates the importance of paleogeographic reconstructions that capture the complexity and
58 heterogeneity of mountain building in our understanding of the effects that a changing environment
59 plays in shaping biodiversity patterns observed today.

60

61 ***Keywords***

62 Andes, biodiversity, diversification, phylogenetics, Neotropics, uplift

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64 ***Highlights***

- 65 • We provide novel regional paleoelevation curves for the Andes back to the Late Cretaceous
- 66 • The diversification history of six Andean-centered clades is studied
- 67 • We find clade-specific responses to environmental changes
- 68 • The impact of Andean uplift could reach further back in time than previously thought

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74 **1. Introduction**

75 Mountainous areas cover approximately 25% of the surface of the continents, yet are home to 85% of
76 the world's terrestrial amphibian, bird, and mammal species (Körner et al. 2016; Rahbek et al. 2019b).
77 This strong imbalance in species richness suggests a causal relationship between mountain building and
78 species radiations (Antonelli et al. 2018a; Hoorn et al. 2018; Rahbek et al. 2019a), and such radiations
79 have been documented for various mountainous regions, across disparate groups of organisms (e.g.
80 Drummond et al. 2012; Schwery et al. 2015; Favre et al. 2016; Lagomarsino et al. 2016; Ebersbach et
81 al. 2017; Hutter et al. 2017; Xing and Ree 2017; Condamine et al. 2018; Esquerré et al. 2019; Muellner-
82 Riehl et al. 2019; Ye et al. 2019; Ding et al. 2020). Mountain clade radiations are generally explained
83 by landscape changes and the associated changes in climate and habitat connectivity, which lead to
84 ecological opportunities and evolutionary innovations (Drummond et al. 2012; Hughes and Atchinson
85 2015; Favre et al. 2016; Lagomarsino et al. 2016; Cortés et al. 2018). As a result, mountain clade
86 radiations can be expected to be coeval with the timing of surface uplift. Although geological evidence
87 for uplift histories of mountain ranges come with large uncertainties (Blisniuk and Stern 2005; Rowley
88 and Garzzone 2007; Botsyun et al. 2020) and uplift is often spatially heterogeneous (e.g. Liu et al. 2016;
89 Spicer et al. 2020; Boschman 2021), most of the present-day mountain habitats across the globe are
90 thought to have formed gradually throughout the Cenozoic (e.g. Chamberlain et al. 1999, 2012;
91 Nakajima et al. 2006; Fauquette et al. 2015; Liu et al. 2016; Boschman 2021). It is therefore surprising
92 that documented mountain radiations are found to be generally recent (largely confined to the Pliocene
93 and Pleistocene) and rapid (Linder 2008; Hughes and Atchinson 2015; Quintero and Jetz 2018).

94 The South American Andes, stretching over ~7,000 km from tropical Colombia to sub-polar
95 Patagonia, are the most biodiverse mountains in the world, and the northern, Tropical Andes are
96 considered the most species-rich of all biodiversity hotspots (Myers et al. 2000; Mittermeier et al. 2004).
97 Including some of the most diverse radiations on Earth (Hughes and Eastwood 2006; Antonelli and
98 Sanmartín 2011; Madriñan et al. 2013; Lagomarsino et al. 2016; Pérez-Escobar et al. 2017; Esquerré et
99 al. 2019; Testo et al. 2019), this exceptional species richness is thought to be primarily the result of
100 environmental heterogeneity along both the latitudinal and altitudinal gradients, resulting in a wide
101 variety of climates, landscapes and vegetation types including dry forests and woodlands, tropical
102 rainforests, cloud forests, and permanently or seasonally snow-covered grasslands (Squeo et al. 1993;
103 Josse et al. 2011; Luebert and Weigend 2014; Cuesta et al. 2017). However, in addition, the
104 paleoclimatic and paleogeographic history of the South American continent and of the Andes in
105 particular is thought to have played a significant role (Gentry 1982; Antonelli and Sanmartín 2011).
106 Since the break-up of the supercontinent Pangea and Late Cretaceous separation from Africa, South
107 America remained an isolated “island-continent” (Simpson 1980) until the late Miocene formation of
108 the Panama Isthmus (Montes et al. 2015; O’Dea et al. 2016), and shifted little in latitude. These ~90
109 Myr of isolation and relative climatic stability may have favoured endemism and the gradual
110 accumulation and preservation of lineages (Antonelli and Sanmartín 2011). Nonetheless, global-scale

111 climate fluctuations and mountain building led to significant variations in environment (Armijo et al.
112 2015), affecting the evolution of life. For example, plant diversity has been shown to be positively
113 correlated with Cenozoic temperature, whereby diversity levels during the warm Eocene likely
114 exceeded the Holocene and present (Wilf et al. 2003; Jaramillo et al. 2006). Andean mountain building
115 affected diversity in multiple ways: locally, mountain building is thought to have increased diversity
116 through isolation and allopatric speciation (Hazzi et al. 2018) and ecological adaptation to altitude
117 (Nevado et al. 2018). Regionally, the Andes are often considered to have acted as a “species pump”,
118 producing lineages that colonized the surrounding Neotropical lowlands (e.g. Santos et al. 2009;
119 Luebert and Weigend 2014; Chazot et al. 2019). Moreover, the environmentally diverse slopes of the
120 Andes have repeatedly attracted non-Andean lineages, acting as a “species attractor”, increasing species
121 richness through colonization (e.g. Drummond et al. 2012; Chazot et al. 2016; Hutter et al. 2017;
122 Toussaint et al. 2019). These mechanisms are not mutually exclusive because for some clades, a mixture
123 of both processes (colonization in and out of the Andes) has been reported, increasing diversity in both
124 the mountains and the surrounding lowlands (Brumfield and Edwards 2007; Pérez-Escobar et al. 2017;
125 Antonelli et al. 2018b).

126 The many recent (Neogene) documented mountain radiations in and around the Andes are
127 commonly explained in light of recent uplift (Hughes and Eastwood 2006; Hoorn et al. 2010; Antonelli
128 and Sanmartín 2011; Madriñan et al. 2013; Luebert and Weigend 2014; Lagomarsino et al. 2016; Pérez-
129 Escobar et al. 2017; Esquerré et al. 2019; Testo et al. 2019). This recent uplift is inferred from studies
130 presenting stable isotope paleoaltimetry and fossil leaf physiognomy data from the Eastern Cordillera
131 of Colombia and the eastern Altiplano/Eastern Cordillera of the central Andes, concluding that rapid
132 uplift occurred in the last ~12 million years (Gregory-Wodzicki 1998, 2000; Garzzone et al. 2008).
133 However, the sedimentary records from the Andean foreland basins indicate that uplift in the Andes
134 initiated already in the Late Cretaceous: ~100 million years ago (Ma) in Patagonia, and ~70 Ma in the
135 central and northern Andes (Horton 2018). Moreover, since the studies of Gregory-Wodzicki (1998;
136 2000) and Garzzone et al. (2008), a wealth of paleoaltimetry datasets has been published, depicting a
137 much more complex picture (e.g. Bershaw et al. 2010; Leier et al. 2013; Canavan et al. 2014; Carrapa
138 et al. 2014; Garzzone et al. 2014; Hoke et al. 2014; Saylor and Horton 2014; Quade et al. 2015; Anderson
139 et al. 2015, 2016; Fiorella et al. 2015; Kar et al. 2016; Rohrmann et al. 2016; Colwyn et al. 2019). This
140 body of work, compiled and summarized in Boschman (2021), shows that (i) topography was already
141 in place in Patagonia and in the western ranges along the Pacific coast during the Late Cretaceous and
142 Paleogene, (ii) most of the central and eastern ranges were uplifted during the last 50 to 30 Myr, and
143 (iii) uplift migrated further eastwards towards the Subandean zone in the last 10 Myr (**Fig. 1**).
144 Nonetheless, the idea that the bulk of the topography in the Andes has emerged very recently has
145 persisted in biogeographic and macroevolutionary literature (e.g. Hughes and Eastwood 2006;
146 Lagomarsino et al. 2016; Pérez-Escobar et al. 2017; Hazzi et al. 2018).

147 Examining the causal relationship between mountain building and diversification is a complex
148 challenge, because isolating the effects of surface uplift throughout the Cenozoic from other abiotic
149 (climate) or biotic variables is not straightforward (Marx and Uhen 2010; Ezard et al. 2011, 2016;
150 Condamine et al. 2019a,b). Moreover, these abiotic and biotic variables are not independent, as
151 mountain building affects both local and regional climate and biotic mechanisms and interactions,
152 which, in turn, have their effects on diversification (Hoorn et al. 2010; Favre et al. 2015; Antonelli et
153 al. 2018; Ding et al. 2020). Because of this synergy of factors that can affect diversification, there is
154 debate over whether mountain uplift was the primary factor in promoting diversification or that instead,
155 climate change may have played a crucial role (Drummond et al. 2012; Hoorn et al. 2013; Mutke et al.
156 2014; Hughes and Atchison 2015; Hutter et al. 2017; Nevado et al. 2018). An important step towards
157 answering such questions is the quantitative correlation between diversification rates and environmental
158 variables. Four studies so far, focussing on radiations of young (Neogene) clades, have attempted this,
159 and found positive correlations between speciation rates and the paleoelevation of the Andes, suggesting
160 a causal link between surface uplift and diversification of the studied clades (Lagomarsino et al. 2016;
161 Pérez-Escobar et al. 2017; Esquerré et al. 2019; Testo et al. 2019). However, these studies have applied
162 elevation-dependent diversification models, without comparing, in a common statistical framework,
163 other diversification models considering the effect of time alone, or of other environmental variables
164 (e.g. temperature). In this study, we embrace the challenge to study the relative contributions of climate-
165 driven abiotic and geology-driven abiotic drivers on evolutionary rates of ancient groups of organisms.
166 We aim at teasing apart the contribution of Andean mountain building, for which we use a novel
167 reconstruction that includes surface uplift since the Late Cretaceous, global climate change, and time,
168 on the diversification of Neotropical frog and lizard groups mostly distributed in the Andes. This study
169 provides the next step towards an understanding of why some lineages diversify extensively during
170 uplift while others do not, and consequently, of our understanding of modern biodiversity patterns
171 including the relationship between topography and species richness.

172

173 **2. Materials and methods**

174 *2.1. Selection of biological groups occurring in the Andes*

175 We focus in this study on amphibians and squamates that are particularly well diversified in the Andes
176 (Myers et al. 2000; Mittermeier et al. 2004). We compiled a dataset of species-level time-calibrated
177 phylogenies from the literature, including family-level phylogenies with a rich species diversity that
178 sampled at least 65% of the total species diversity (i.e. sampling fraction of 0.65: ratio of sampled
179 species over known species). For amphibians, we relied on a phylogeny of 2,318 hyloid frog species
180 (Hutter et al. 2017), which was constructed with a supermatrix analysis of molecular data. There are
181 2,488 known species of Hyloidea in South America, and 1,594 were sampled in the tree (64%). From
182 this tree, we selected the five best sampled frog families with an average sampling fraction of 0.793
183 (654 sampled species over 825 known species) and with an average age of origin of 65.4 Ma (**Table 1**).

184 For squamates, we only selected the lizard family Liolaemidae, which is one of the richest vertebrate
185 Andean radiation with over 320 species (258 sampled), originating around 37 Ma (**Table 1**). The
186 species-level time-calibrated phylogeny was retrieved from the study of Esquerré et al. (2019). Four of
187 the six selected clades originated during the Late Cretaceous; two are younger and originated during
188 the late Eocene (Liolaemidae) or at the Eocene-Oligocene boundary (Centrolenidae).

189 The six selected clades are widespread in either the whole (Leptodactylidae), central and
190 southern (Liolaemidae), or northern and north-central Andes (the other four; **Supplementary**
191 **materials**). However, except for Liolaemidae, they are not confined to the Andean ranges alone, and
192 for Aromobatidae and Leptodactylidae, the main center of species diversity is in fact outside of the
193 Andes: in Amazonia and the Guiana Shield region, and in the Atlantic Forest, respectively. Species of
194 the genus *Adenomera* are for example most widely distributed throughout the lowlands of tropical South
195 America east of the Andes (Fouquet et al. 2014). Nonetheless, the origin and geographic range evolution
196 of Aromobatidae and Leptodactylidae are thought to be intimately linked to the Andes (Santos et al.
197 2009; Hutter et al. 2017), and most species in these clades Aromobatidae and Leptodactylidae are
198 associated with montane environments and are leaf-litter dwelling, which favors allopatry (Fouquet et
199 al. 2013; Santos et al. 2020).

200

201 **Table 1.** Summary of diversity, distribution, and ages of hyloid frog and lizard clades used to estimate
202 the diversification rates. The frog data are from the study of Hutter et al. (2017) with updates from the
203 AmphibiaWeb (<http://amphibiaweb.org/>), while the lizard data are from the study of Esquerré et al.
204 (2019) with updates from the Reptile Database (<http://www.reptile-database.org/>).

205

Clade	Age of origin (Ma)	Number of genera	Species diversity (sampled)	Sampling fraction	Elevational range (m)	Distribution range
Aromobatidae	67.18	5	127 (118)	0.929	500 (0-3300)	Northern and central Andes, Amazonia, Atlantic Forest
Centrolenidae	33.4	12	160 (128)	0.8	1400 (0-3501)	Northern and central Andes, Amazonia, Atlantic Forest
Dendrobatidae	67.35	16	197 (136)	0.69	840 (0-3799)	Northern and central Andes, Amazonia

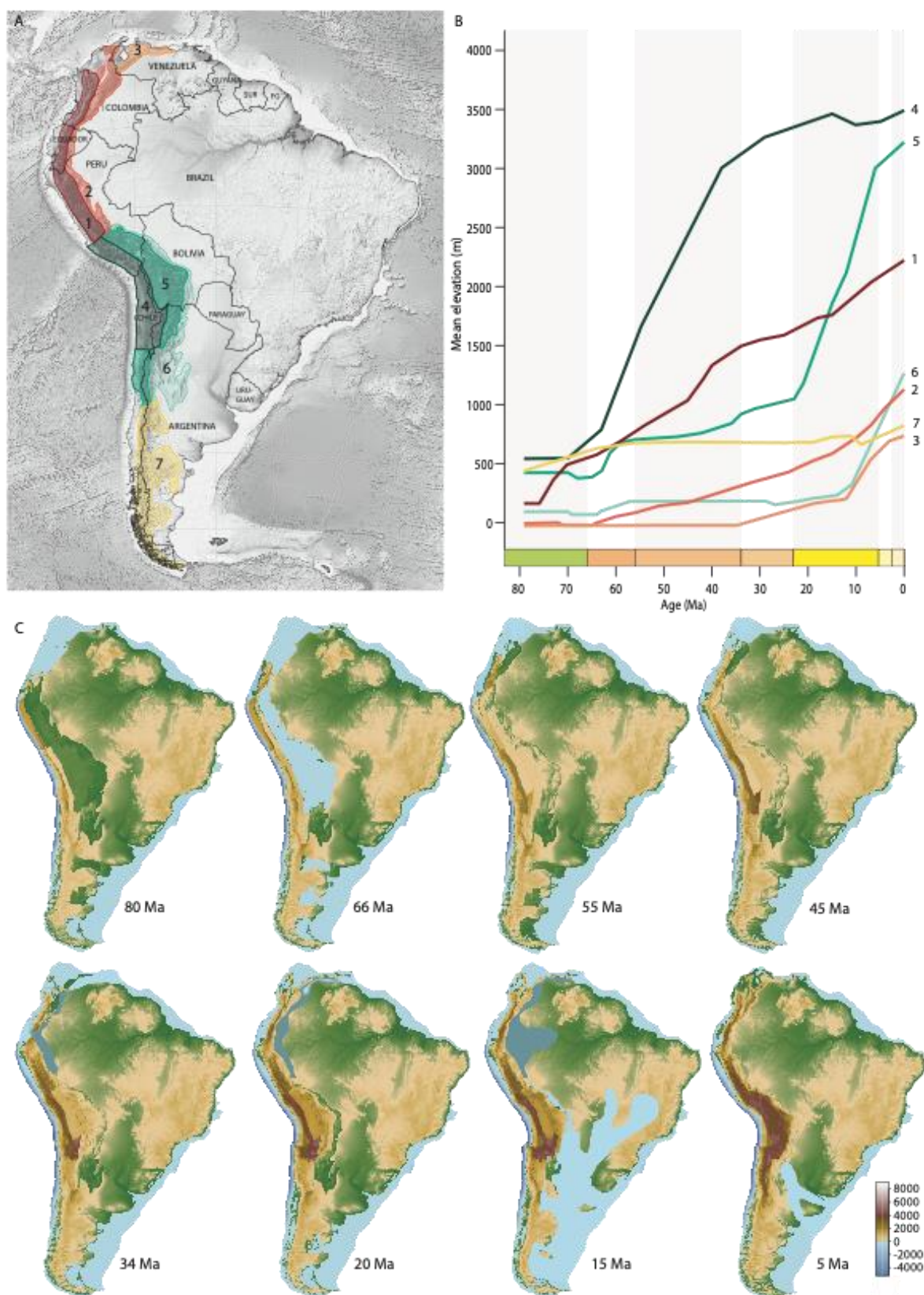
Hemiphractidae	80.69	6	118 (86)	0.729	1640 (0-4600)	Northern and central Andes, Atlantic Forest
Leptodactylidae	78.07	14	223 (186)	0.834	500 (0-4480)	whole South America
Liolaemidae	36.9	3	321 (258)	0.804	2150 (20- 5000)	Central and southern Andes

206

207 2.2. Reconstruction of Andean uplift

208 We used the reconstruction of paleoelevation in the Andes since 80 Ma of Boschman (2021), which is
209 based on a wide variety of input data, including stable isotope paleoaltimetry, stratigraphy,
210 thermochronology, paleosurfaces, paleobotany, paleontology, palynology and fossil leaf physiognomy.
211 This reconstruction is presented as a series of raster files, one per million-year time step, in 0.1 degree
212 resolution. It thereby provides a very detailed overview of the history of Andean mountain building,
213 including the stark contrast in timing and magnitude of uplift between the different domains of the
214 Andes. To convert this reconstruction (**Fig. 1C**) into a quantitative time series that we can use in the
215 birth-death modelling, we first condensed it into uplift curves (in m.a.s.l. through geological time) for
216 seven individual geomorphological domains (**Fig. 1A, B**) for which we describe the history of uplift
217 below. Second, we computed curves of elevation through time for each of the selected clades, whereby
218 we included the elevational history of the Andean ranges that fall within the distribution ranges of the
219 clades (**Supplementary materials**), resulting in curves for (1) the northern and north-central Andes
220 (domains 1-3 of Fig. 1) for Aromobatidae, Centrolenidae, Dendrobatidae and Hemiphractidae, (2) the
221 central and southern Andes (domains 4-7 of Fig. 1) for Liolaemidae, and (3) the whole Andes (all 7
222 domains) for Leptodactylidae (**Fig. 2**).

223 In the southern (Patagonian) Andes (**Fig. 1**, domain 7), uplift initiated the earliest, at around
224 100 Ma (Dalziel et al. 1974; Bruhn and Dalziel, 1977, Kohn et al. 1995, Fosdick et al. 2011; Horton
225 2018), and modern elevations were reached at around 55 Ma (Colwyn et al. 2019). During the Miocene,
226 the southern part of the orogen experienced additional uplift, primarily through expanding its width
227 (Davis et al. 1983; Blisniuk et al. 2005, 2006; Giambiali et al. 2016). In the central Andes, including
228 the world's second largest and highest plateau area (the Altiplano-Puna Plateau), significant uplift
229 initiated along the western margin of the South American plate at around 70 Ma (Horton 2018). Since
230 then, uplift has migrated eastward, with major phases of uplift in the late Paleocene-Eocene at the
231 western margin of the plateau area (**Fig. 1**, domain 4), in the Miocene at the eastern margin of the
232 plateau area (domain 5), and active uplift since the middle Miocene in the easternmost Subandean zone
233 (domain 6)(Carrapa et al. 2006, 2014; Scheuber et al. 2006; Uba et al. 2006; Leier et al. 2013; Canavan

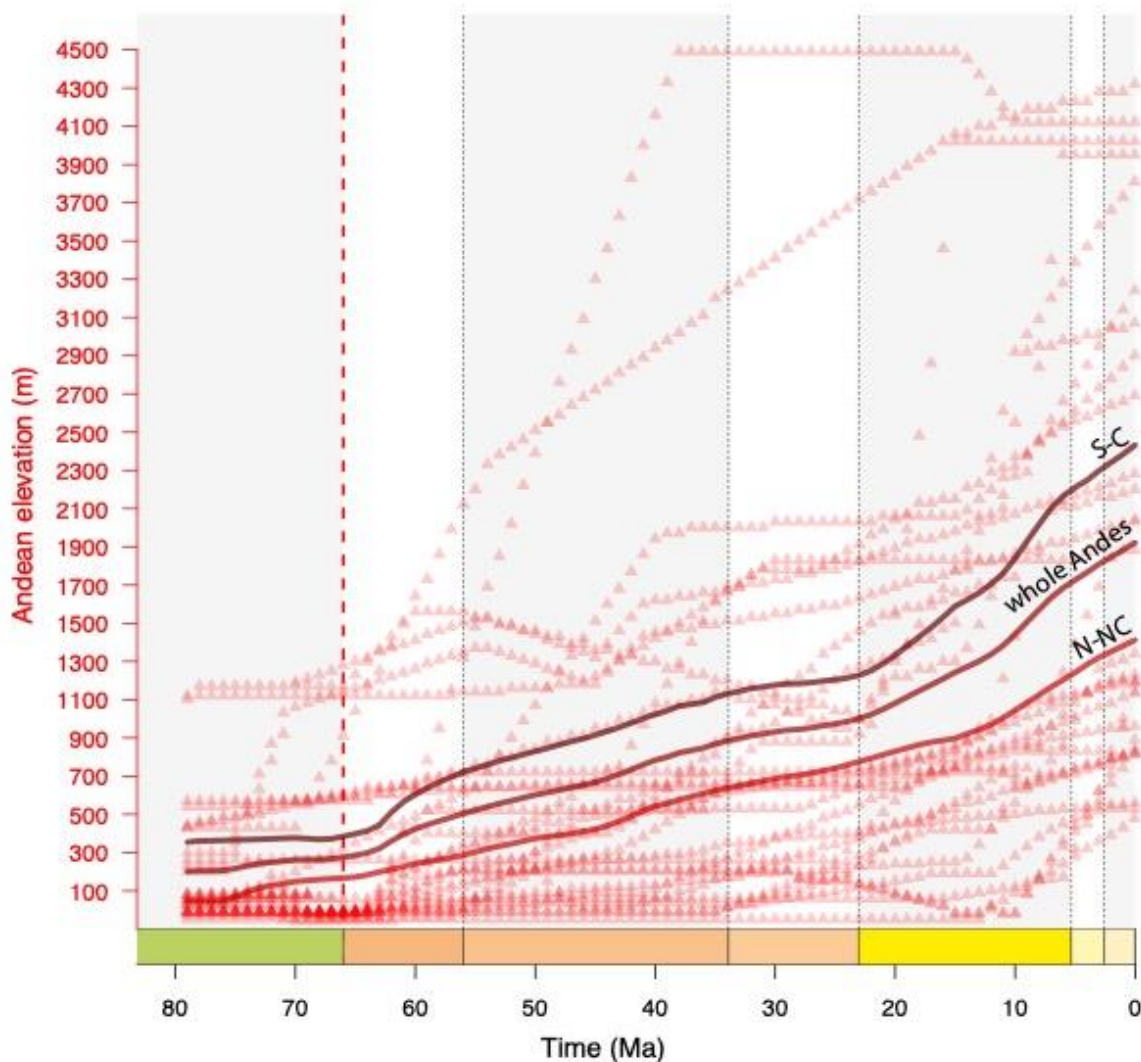


234

235 **Figure 1.** A) Map of South America; digital elevation model in black and white from Etopo1 (Amante
236 and Eakins 2009). The Andes are divided into seven geomorphological domains, based on uplift history.

237 B) Paleoelevation history of the seven domains since 80 Ma, showing the spatial and temporal

238 heterogeneity of the uplift. Negative average elevations (of northern Andean domains) represent
239 elevations below sea level. C) Maps of Andean mountain building. The maps include marine incursions
240 (in light blue) and lakes and wetlands (in grey-blue) based on Hoorn and Wesselingh (2001) for
241 Amazonia and Hernández et al. (2005) for southeastern South America. Topography of the eastern part
242 of the continent is not reconstructed (modern topography is shown) and the maps do therefore not
243 include much less well-documented changes in topography in the Guiana and Brazilian shields areas,
244 or other paleogeographic features. Paleoelevation curves and maps are based on Boschman (2021).



245
246 **Figure 2.** The Andean elevation curves used to estimate Neotropical diversification over the last 80
247 Myr. Red triangles represent data from individual geomorphological domains as reconstructed by
248 Boschman (2021); solid lines represent the smoothed curves that are used as input in the elevation-
249 dependent birth-death models (see descriptions in 2.4 and 2.5). S-C: southern and central Andes
250 (domains 4-7 of Fig. 1), paleoelevation curve for Liolaemidae; N-NC: northern and north-central Andes
251 (domains 1-3 or Fig. 1), paleoelevation curve for Aromobatidae, Centrolenidae, Dendrobatidae and
252 Hemiphractidae; whole Andes (all 7 domains of Fig. 1) curve for Leptodactylidae.

253 et al. 2014; Garzzone et al. 2014; Fiorella et al. 2015; Quade et al, 2015; Rohrman et al. 2016). Mountain
254 building in the northern Andes resulted from ~70 Ma collision of the leading edge of the Caribbean
255 Plate with the South American continental margin at the latitude of what is today Ecuador, and occurred
256 initially only at the location of collision (Montes et al. 2019). Most of the northwestern corner of the
257 South American continent remained below sea level throughout the Late Cretaceous and early
258 Paleogene (Sarmiento-Rojas 2019). During the Paleogene, uplift slowly migrated to the north and east,
259 reaching the Central Cordillera (part of domain 1) in the Eocene and the Perija Range and Santander
260 Massif in the latest Eocene-Oligocene (part of domain 2). During the early Miocene, the Eastern
261 Cordillera, Garzon Massif (part of domain 2) and Merida Andes (domain 3) experienced pronounced
262 uplift, and uplift in these latter eastern ranges intensified since the Miocene (Gómez et al. 2005a,b;
263 Villagómez et al. 2011; Anderson et al. 2015, 2016; Bermúdez et al. 2017; Horton 2018).

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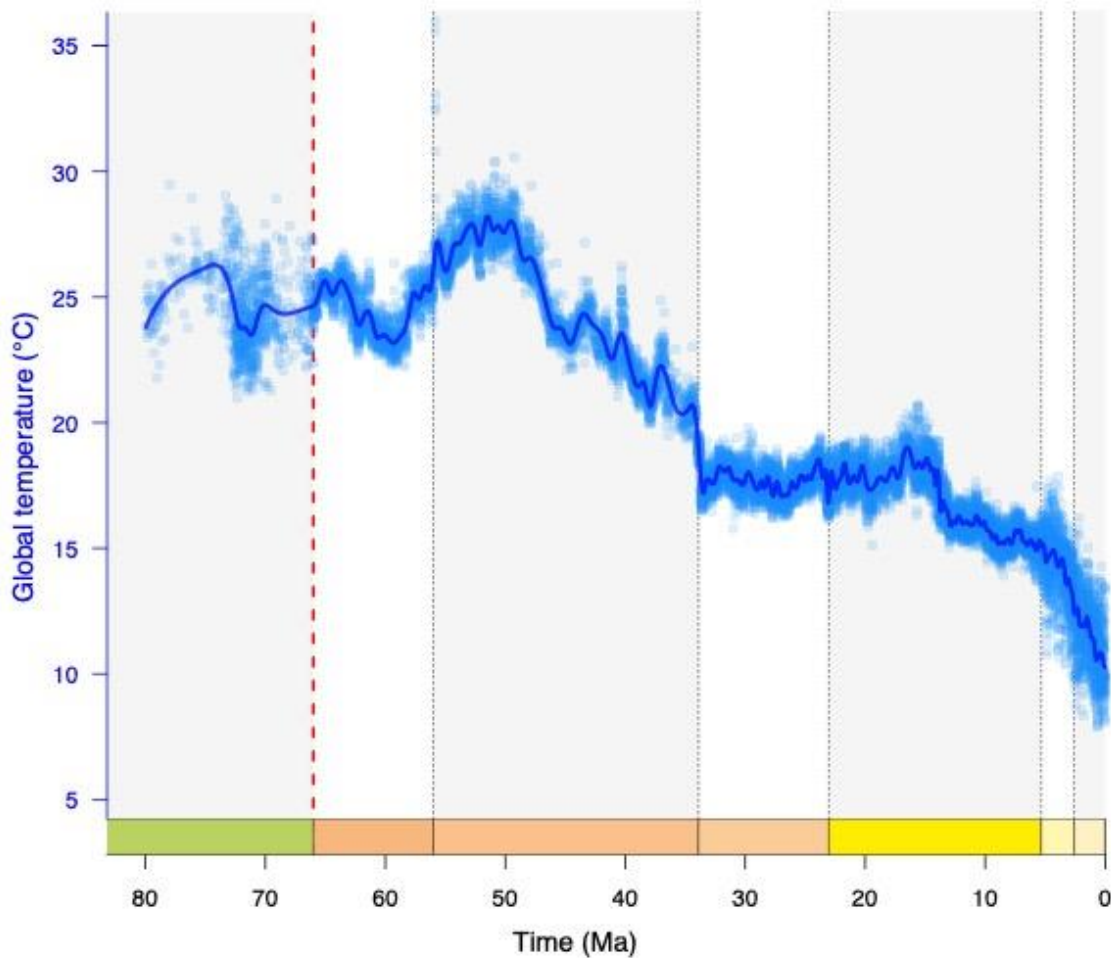
265 *2.3. Temperature data*

266 To capture the major trends in global climate through time throughout the clades' evolutionary histories,
267 we computed a temperature curve based on $\delta^{18}\text{O}$ data measured from deep-sea benthic foraminifera
268 shells preserved in oceanic sediments (**Fig. 3**). For the Cenozoic, we used the dataset from Westerhold
269 et al. (2020; available at: <https://doi.org/10.1594/PANGAEA.917717>), and for the Cretaceous (80-66
270 Ma), the dataset from Veizer and Prokoph (2015; available at:
271 <https://doi.org/10.1016/j.earscirev.2015.03.008>). To convert $\delta^{18}\text{O}$ measurements to temperature values,
272 we used the equations of Hansen et al. (2013), which convert $\delta^{18}\text{O}$ to deep-ocean temperatures (T_{∞}) and
273 subsequently, to surface temperatures (T_s); these equations are provided in the supplementary materials
274 1. We then summarized these data into a continuous estimate of temperature through time through
275 calculation of a smoothing spline (degrees of freedom: 80). While each individual data point is subject
276 to certain biases (e.g. some of them do not account for sea-level fluctuations, which are important during
277 periods of large-scale glaciations, Cramer et al. 2011), the spline curve smoothens such biases, as well
278 as geographical variations, providing a reliable estimate of global temperature trends (Veizer and
279 Prokoph 2015). The surface temperature curve reflects planetary-scale climatic trends that can be
280 expected to have led to temporally coordinated diversification changes in several clades rather than
281 local or seasonal fluctuations (Erwin 2009; Hannisdal and Peters 2011; Mayhew et al. 2012; Condamine
282 et al. 2019a).

283

284 *2.4. Modelling the effects of environmental change on diversification*

285 Many studies have shown that the environment, shaped by long-term climate change and plate tectonics,
286 plays a prominent role in the diversification of species over evolutionary and geological time scales
287 (e.g. Mayhew et al. 2012; Zaffos et al. 2017). Yet, these studies have mostly based their conclusions on
288 descriptive comparisons between dated speciation or extinction events estimated from fossil or



289

290 **Figure 3.** The global temperature curve used to estimate Neotropical diversification over the last 80
291 Myr. The trend of temperature is based on oxygen isotope ratios in benthic foraminifera shells; data
292 from Veizer and Prokoph (2015) for the Late Cretaceous, and Westerhold et al. (2020) for the Cenozoic.
293 Isotope ratios are converted to surface temperatures using the equations from Hansen et al. (2013). Blue
294 circles represent data from individual $\delta^{18}\text{O}$ measurements; the solid line represents the smoothed curve
295 that is used as input in the temperature-dependent birth-death models (see descriptions in 2.4 and 2.5).

296

297 phylogenetic data and paleoenvironmental curves (e.g. Delsuc et al. 2004; Antonelli et al. 2009; Eronen
298 et al. 2015; Fan et al. 2020). Such an approach is suitable for events that occurred “instantaneously” in
299 the context of geological time (i.e. within a million year), such as mass extinctions, but may not always
300 be appropriate when comparing slower environmental changes. Most climate “events”, such as the
301 Eocene Climatic Optimum (~56-46 Ma), the middle Miocene climatic optimum (~17-15 Ma) or the
302 overall Cenozoic cooling trend, take place over longer timescales (Veizer and Prokoph 2015;
303 Westerhold et al. 2020), and so do environmental changes related to plate tectonics such as the uplift of
304 the Andes, which took place over the last ~100 Myr (Boschman 2021). Furthermore, geological events

305 are often intrinsically related to climate change, which complicates linking isolated environmental
306 changes to single diversification events. For example, the uplift of the Andes affected climate in the
307 eastern Pacific Ocean and above the South American continent (Sepulchre et al. 2010; Armijo et al.
308 2015), and uplift of the Qinghai-Tibetan Plateau shaped the Asian monsoon system (Molnar et al. 1993;
309 An et al. 2011; Favre et al. 2015). In addition, the aforementioned descriptive approaches generally use
310 phylogenetic trees — branching trees that represent the evolutionary relationships among species —
311 that have uncertainties in their configuration and in age estimates of the speciation events, which makes
312 comparison between a given environmental variable and the diversification processes ambiguous.
313 Finally, these approaches do not quantify the long-term effect of environmental factors in shaping
314 diversification rates, but rather look for punctuated change in diversification.

315 To account for these issues, methods have been developed in recent years to quantitatively
316 explore factors that are potentially linked to speciation and/or extinction of lineages throughout
317 geological and evolutionary time, thereby providing new opportunities to address questions about the
318 mechanisms that shape diversity patterns (Egan et al. 2008; Condamine et al. 2013; Davis et al. 2016).
319 Such methods have been made possible by the increased availability of statistical tools to analyse
320 molecular and fossil data, and accessibility of time-calibrated molecular phylogenies. We focus in this
321 study on phylogeny-based approaches, but note that these are beginning to converge with fossil-based
322 approaches in their conceptual development and inferences to estimate temporal variations of
323 diversification rates (Morlon et al. 2011; Silvestro et al. 2014, 2019), and evolutionary response to
324 variations of the environment (Condamine et al. 2013; Silvestro et al. 2015; Lehtonen et al. 2017).

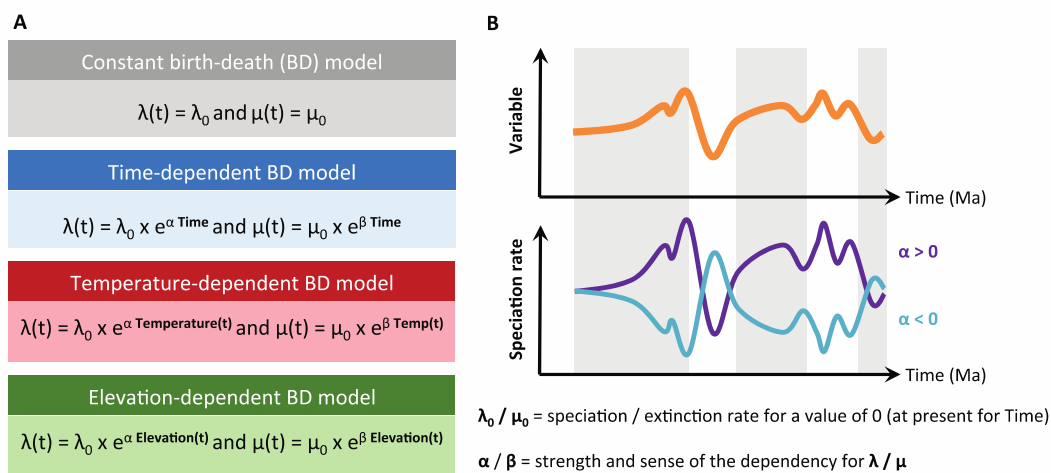
325 The approach used in this study, developed by Condamine et al. (2013, 2019a) and hereafter
326 termed the environment-dependent diversification model, builds on time-dependent diversification
327 models (Nee et al. 1994; Morlon et al. 2011), but allows speciation and extinction rates to depend not
328 only on time but also on an external variable (which may vary through time). This methodology is
329 implemented in the R-package *RPANDA* (Morlon et al. 2016). Clades are assumed to evolve under a
330 birth-death process, in which both speciation and extinction follow a Poisson process, meaning that the
331 expected waiting time to an event follows an exponential distribution (Nee 2006). As a result, we
332 assume the speciation and extinction functions to be exponential. Speciation (λ) and extinction (μ) rates
333 can vary through time, and both can be influenced by one or several environmental variables (here:
334 temperature (T), and Andean elevation (A)) that also vary through time. We consider the phylogeny of
335 n species sampled from the present, and allow for the possibility that some extant species are not
336 included in the sample by assuming that each extant species was sampled with probability $f \leq 1$. Time
337 is measured from the present to the past such that it denotes branching times in the phylogeny.

338

339 *2.5. Analyzing the diversification of Andean clades*

340 In this study, we fitted 14 diversification models to each of the six selected phylogenies using maximum
341 likelihood (Stadler 2013; Morlon 2014). We consider four types of models with diversification rates

342 that are constant (2 models), time-varying (4 models), temperature-dependent (4 models), and
 343 elevation-dependent (4 models) (**Fig. 4A; Table 2**). These models are fitted by maximum likelihood
 344 using the *fit_bd* (for the time-constant and time-varying models) and *fit_env* functions (for the
 345 temperature- and elevation-dependent models) from the R-package RPANDA 1.9 (Morlon *et al.* 2016).
 346 We accounted for missing species by specifying the sampling fraction corresponding to each phylogeny.
 347 We used the “crown” condition, which conditions the likelihood of a speciation event at the crown age
 348 and survival of the two daughter lineages.



349
 350 **Figure 4.** Schematic description of the birth-death (BD) models used to estimate diversification rates.
 351 A) List of the four types of BD models with corresponding rate functions for speciation and extinction.
 352 B) Example of speciation rate through time $\lambda(t)$ obtained from the relationship between speciation rate
 353 and the past variation of an environmental variable (orange curve). The relationship between speciation
 354 rate and environment can be positive ($\alpha > 0$; purple curve) or negative ($\alpha < 0$; light blue curve). If $\alpha =$
 355 0 there is no effect of the environment, and the speciation rate is constant.

356
 357 In the time-dependent models, λ or both λ and μ vary as a continuous function of time (**Table 2**):
 358 $\lambda(t) = \lambda_0 e^{\alpha t}$ or $\mu(t) = \mu_0 e^{\beta t}$, where λ_0 (μ_0) is the speciation (extinction) rate at present. A positive α (β) reflects
 359 a slowdown of speciation (extinction) towards the present, while a negative α (β) reflects a speed-up of
 360 speciation (extinction) towards the present, and the sign and value of α and β depends on the data and
 361 model optimization. In the environment-dependent models, speciation rates, extinction rates, or both
 362 vary as a continuous function of Andean elevation A (**Fig. 2**) or temperature T (**Fig. 3**), for which the
 363 curves are computed using a spline interpolation which the degree of freedom set to 80 (df=80 in the
 364 *fit_env* function). We consider the same exponential dependency as above, but with t replaced by $T(t)$
 365 or $A(t)$. In this case λ_0 (μ_0) is the expected speciation (extinction) rate under a temperature of 0°C or an
 366 altitude of 0 meter, and α (β) measures the sign and strength of the temperature or paleoelevation
 367 dependence (**Fig. 4B**). A positive α (β) indicates that speciation (extinction) rates are

Type of model	Model description	Model equation	Number of parameters	Model acronym in Table 3
Constant-rate models	Constant speciation and no extinction	$\lambda(t)=\lambda_0$ and $\mu(t)=0$	1	BCST
	Constant speciation and constant extinction	$\lambda(t)=\lambda_0$ and $\mu(t)=\mu_0$	2	BCSTDCST
Time-dependent models	Speciation variable and no extinction	$\lambda(t)=\lambda_0e^{\alpha t}$ and $\mu(t)=0$	2	BTimeVar
	Speciation variable and constant extinction	$\lambda(t)=\lambda_0e^{\alpha t}$ and $\mu(t)=\mu_0$	3	BTimeVarDCST
	Constant speciation and extinction variable	$\lambda(t)=\lambda_0$ and $\mu(t)=\mu_0e^{\beta t}$	3	BCSTDTimeVar
	Both speciation and extinction variable	$\lambda(t)=\lambda_0e^{\alpha t}$ and $\mu(t)=\mu_0e^{\beta t}$	4	BTimeVarDTimeVar
Temperature-dependent models	Speciation variable and no extinction	$\lambda(t)=\lambda_0e^{\alpha T(t)}$ and $\mu(t)=0$	2	BTempVar
	Speciation variable and constant extinction	$\lambda(t)=\lambda_0e^{\alpha T(t)}$ and $\mu(t)=\mu_0$	3	BTempVarDCST
	Constant speciation and extinction variable	$\lambda(t)=\lambda_0$ and $\mu(t)=\mu_0e^{\beta T(t)}$	3	BCSTDTempVar
	Both speciation and extinction variable	$\lambda(t)=\lambda_0e^{\alpha T(t)}$ and $\mu(t)=\mu_0e^{\beta T(t)}$	4	BTempVarDTempVar
Elevation-dependent models	Speciation variable and no extinction	$\lambda(t)=\lambda_0e^{\alpha A(t)}$ and $\mu(t)=0$	2	BAndesVar
	Speciation variable and constant extinction	$\lambda(t)=\lambda_0e^{\alpha A(t)}$ and $\mu(t)=\mu_0$	3	BAndesVarDCST
	Constant speciation and extinction variable	$\lambda(t)=\lambda_0$ and $\mu(t)=\mu_0e^{\beta A(t)}$	3	BCSTDAndesVar
	Both speciation and extinction variable	$\lambda(t)=\lambda_0e^{\alpha A(t)}$ and $\mu(t)=\mu_0e^{\beta A(t)}$	4	BAndesVarDAndesVar

368

369 **Table 2.** Descriptions of the 14 different birth-death models fitted to the six Neotropical amphibian and
370 squamate families, including speciation and extinction equations, the number of free parameters to
371 optimize by maximum likelihood, and the acronym as used in Table 3, which reports the results per
372 family.

373

374 higher under warm climatic conditions or when elevations were high, while a negative α (β) indicates
375 that speciation (extinction) rates are higher under cold climatic conditions or when elevations were low
376 (**Fig. 4B**).

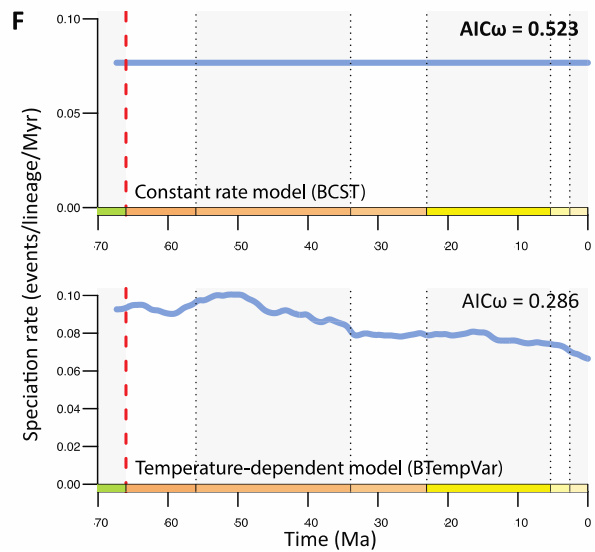
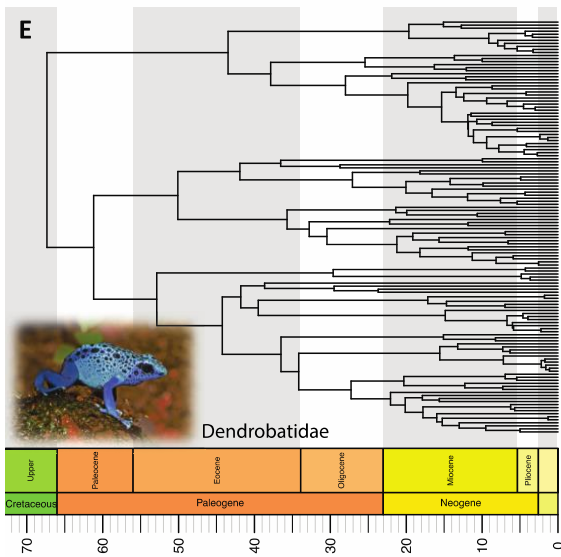
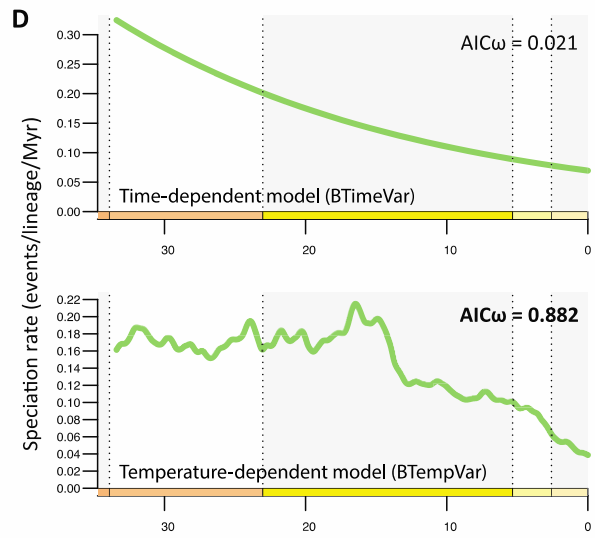
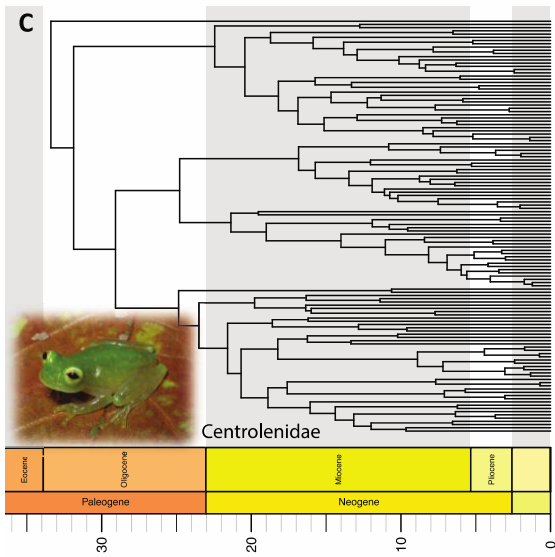
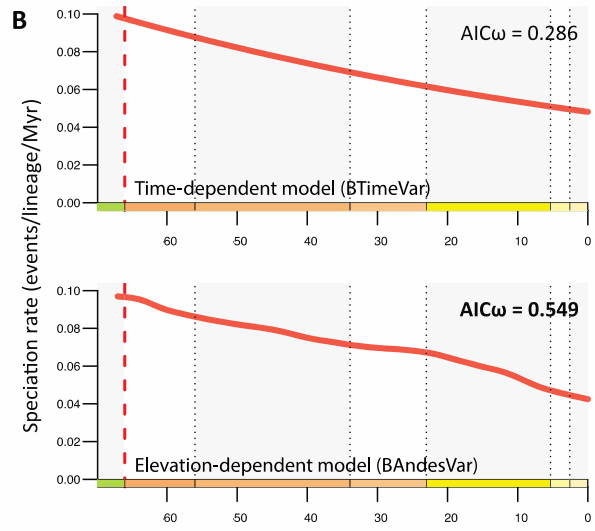
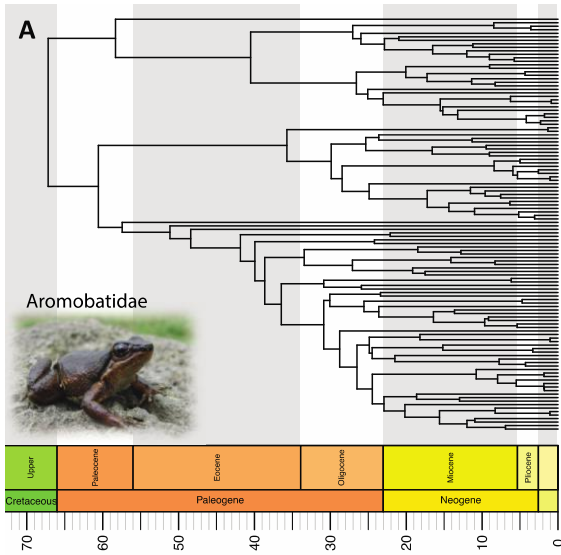
377

378 We fitted each of the models to each phylogeny by maximum likelihood, starting with the
379 simplest (constant rate) models and progressively increasing in complexity. The maximum-likelihood
380 algorithm optimizes parameter values (of λ_0 , μ_0 , α and/or β) that maximize the probability of the observed
381 data (the phylogenetic tree) under a given model. Because these optimization algorithms can be
382 sensitive to the choice of initial parameter values (they can converge to local optima in the vicinity of
383 the initial parameter values), we informed the initial parameter values of more complex models by those
384 previously estimated on simpler models. The 14 tested models are not all nested, and we used the
385 corrected Akaike Information Criterion (AICc; Burnham and Anderson 2002) to compare models. The
386 AICc is useful to compare the probability of observing branching times as explained by various
387 individual paleoenvironmental variables (Condamine et al. 2015, 2018, 2019a). A series of models can
388 be designed to quantify the effect that various environmental variables, taken in isolation, had on
389 diversification. We thus compared the AICc scores for the best-fit models between multiple
390 environmental variables (temperature, Andean uplift) to determine which has the strongest effect on
391 diversification. The best-fitting model is selected using Akaike weights ($AIC\omega$).

391

392 3. Results

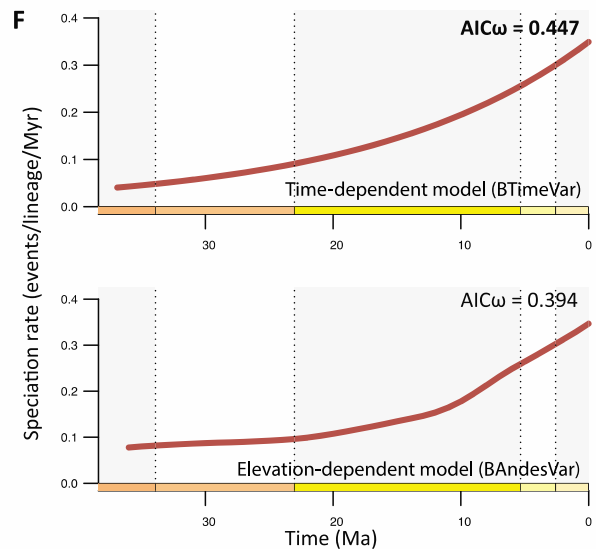
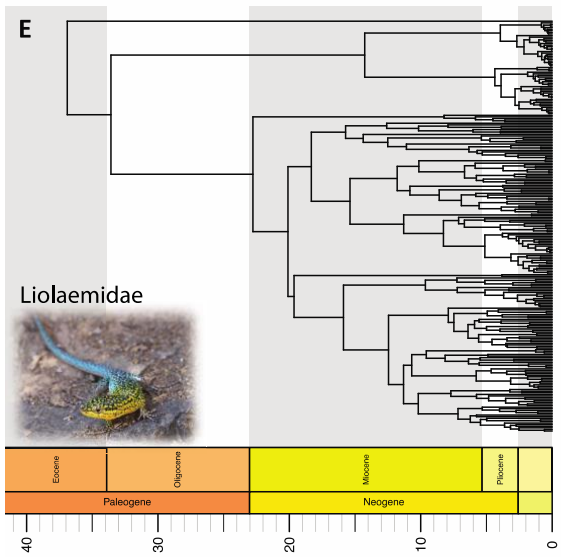
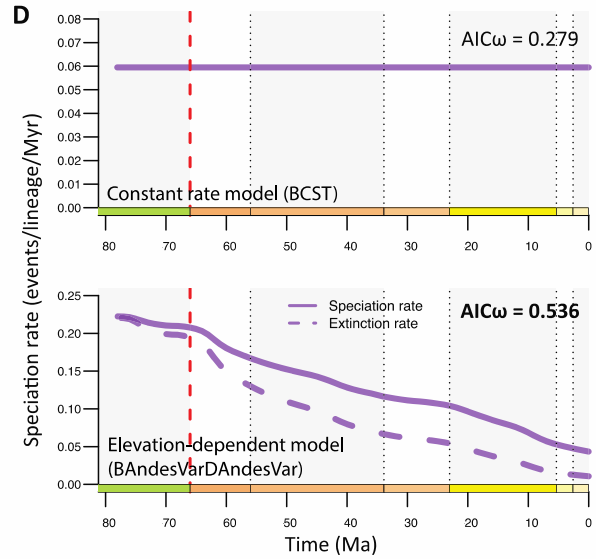
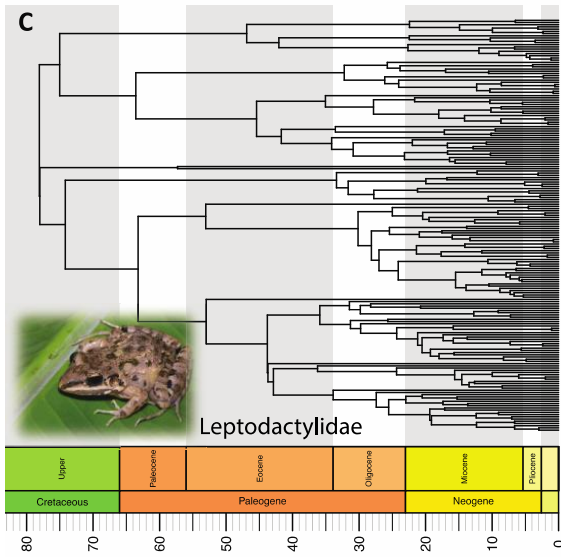
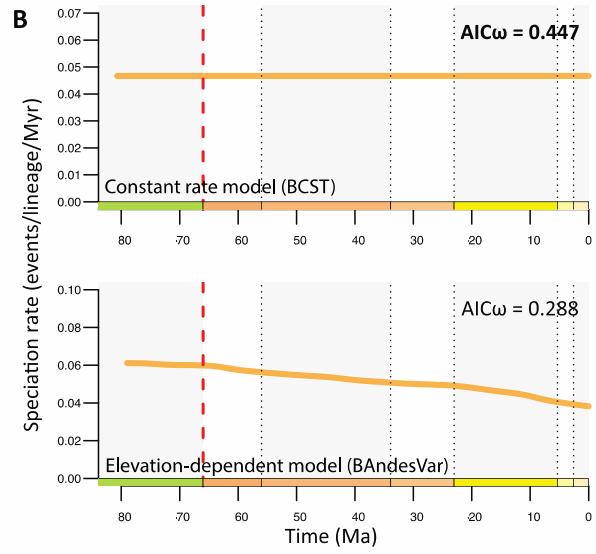
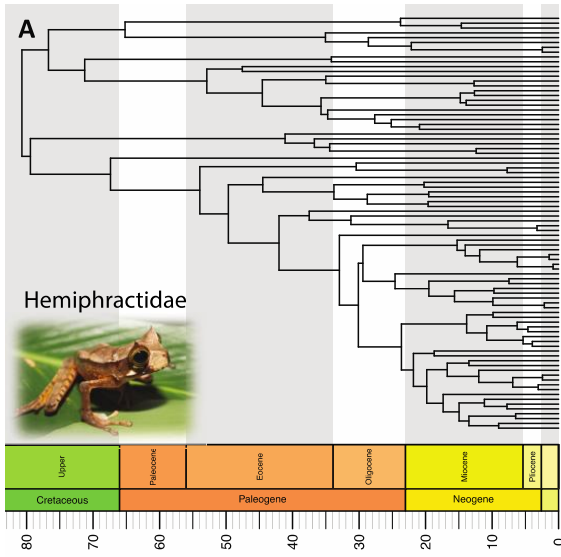
393 Within the six clades, we found that three clades primarily supported an environment-independent
394 model of diversification (**Figs. 5 and 6, Table 3**): the constant-rate model best fitted the diversification
395 of Dendrobatidae ($AIC\omega=0.523$; $AIC\omega=0.286$ for the second best model that is a temperature-



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404 **Table 3 (previous pages).** Results of all diversification analyses performed on the six Neotropical
405 amphibian and squamate families. Panels on the left report the models (14 in total), the number of
406 parameters in each model, the estimated log-likelihood (logL), the corrected Akaike information
407 criterion (AICc), the Akaike weight of the model considering all 14 models (AIC ω), and the
408 corresponding parameter estimates (λ_0 = speciation rate at present, α = parameter controlling the
409 dependency of speciation rate on time or temperature, μ_0 = extinction rate at present, and β = parameter
410 controlling the dependency of extinction rate on time or temperature). The best-fitting model is
411 highlighted in bold. Panels on the right report the same, except the Akaike weight (AIC ω) is now
412 calculated after considering only the best-fit models of each of the three categories (environment-
413 independent, temperature-dependent, elevation-dependent).

414

415 **Figure 5 (previous pages).** Estimating the diversification processes for three Neotropical frog families
416 distributed in the Andes. Left panels show the time-calibrated phylogenies for three Neotropical frog
417 families: A) Aromobatidae (cryptic forest frogs), C) Centrolenidae (glass frogs), and E) Dendrobatidae
418 (poison dart frogs); from Hutter et al. (2017). Right panels show, for each family, the results of the best
419 environment-independent diversification model (top panel) and of the best environment-dependent
420 diversification model (bottom panel). Akaike weights (AIC ω) for both models are shown and the best-
421 fit model for each family is indicated in bold. Pictured for Aromobatidae is *Rheobates palmatus* by
422 G.A. Chaves Portilla, for Centrolenidae is *Hyalinobatrachium fleischmanni* by M. Rivera Correa, and
423 for Dendrobatidae is *Dendrobates tinctorius* by H. Zell.

424

425 **Figure 6 (previous pages).** Estimating the diversification processes for two Neotropical frog families
426 and one Neotropical lizard family distributed in the Andes. Left panels show the time-calibrated
427 phylogenies for three Neotropical frog families: A) Hemiphractidae (marsupial frogs), C)
428 Leptodactylidae (southern frogs), and E) Liolaemidae (liolaemid lizards); from Hutter et al. (2017) and
429 Esquerré et al. (2019). Right panels show, for each family, the results of the best environment-
430 independent diversification model (top panel) and of the best environment-dependent diversification
431 model (bottom panel). Leptodactylidae is the only clade for which extinction rates are estimated in the
432 best-fit model, which are shown in panel D. Akaike weights (AIC ω) for both models are shown and the
433 best-fit model for each family is indicated in bold. Pictured for Hemiphractidae is *Gastrotheca cornuta*
434 by B. Gratwicke, for Leptodactylidae is *Leptodactylus fragilis* by E. Alzate, and for Liolaemidae is
435 *Liolaemus tenuis* by Kaldari.

436

437 dependent model, **Fig. 5E, F**) and of Hemiphractidae (AIC ω =0.447; AIC ω =0.288 for the second best
438 model that is an elevation-dependent model, **Fig. 6A, B**), while the time-dependent model best explains
439 the diversification of Liolaemidae (AIC ω =0.447; AIC ω =0.394 for the second best model that is an
440 elevation-dependent model, **Fig. 6E, F**). The remaining three clades showed statistical support for an

441 environment-dependent model of diversification with either a temperature-dependent model for
442 Centrolenidae ($AIC_{\omega}=0.882$; $AIC_{\omega}=0.096$ for the second best model that is an elevation-dependent
443 model, **Fig. 5C, D**), or an elevation-dependent model for Aromobatidae ($AIC_{\omega}=0.549$; $AIC_{\omega}=0.286$
444 for the second best model that is a time-dependent model, **Fig. 5A, B**), and Leptodactylidae
445 ($AIC_{\omega}=0.536$; $AIC_{\omega}=0.279$ for the second best model that is a constant-rate model, **Fig. 6C, D**).

446 For Centrolenidae, the temperature-dependent model estimated a positive correlation between
447 speciation rates and global temperatures ($\alpha=0.2013$, **Fig. 5D**), which translates into faster speciation
448 during warmer periods. For the other five clades, the temperature-dependent model was not selected as
449 the best-fit model, but did indicate a positive correlation between speciation rates and global
450 temperatures, except for Liolaemidae (**Table 3**). The elevation-dependent model estimated a negative
451 correlation between speciation rates and Andean elevation for both Aromobatidae and Leptodactylidae
452 ($\alpha=-6.00E-04$, -0.001 , respectively, **Figs. 5B and 6D**). This implies that these groups diversified faster
453 in the early stages of the Andean orogeny. Moreover, the model for Leptodactylidae indicated a negative
454 correlation between extinction rates and Andean elevation ($\beta=-0.0018$), which suggests higher
455 extinction rates during the initial phase of mountain building.

456 Except for Liolaemidae, all elevation-dependent models (whether best-fit or not) estimated
457 negative correlations between speciation rates and Andean elevation (**Table 3**), meaning high speciation
458 rates during low elevations, and thus, during the early stages of the Andean orogeny. For Liolaemidae,
459 one of the two younger (~37 Ma) clades, the best fit-model is a time-dependent model, which estimated
460 an increase in speciation through time ($\alpha=-0.0584$) with a speciation rate of $\lambda_0=0.3491$
461 events/lineage/Myr at present (**Fig. 6F**). However, it is important to mention the second best-fitting
462 model indicating a positive correlation ($\alpha=0.0011$) between speciation and Andean elevation, because
463 the difference between the two best models is small ($\Delta AIC_c=0.256$, **Table 3**). Liolaemidae is the only
464 studied clade showing a positive relationship between speciation and Andean elevation (**Fig. 6F**). This
465 result suggests higher speciation rates during the later stages of Andean orogeny, when the Andes were
466 higher.

467 The support for the models best explaining the diversification of the six clades is variable
468 (AIC_{ω} ranging from 0.231 to 0.60, with a mean of 0.316; **Table 3**), but always above the 0.071 weight
469 (1/14) that would be expected if all models were equally likely. Nonetheless, after selecting the best-
470 fitting model from each of the three main types (non-environment-dependent [including constant and
471 time-dependent], temperature-dependent or elevation-dependent), and comparing only these three, the
472 strength of support of the best-fitting models was reasonably high (mean AIC_{ω} of 0.473 for non-
473 environment-dependent models, 0.882 for temperature-dependent models, and 0.542 for elevation-
474 dependent models). These values are consistently above the 0.33 (1/3) weight that would be expected
475 if non-environment-dependent, temperature-dependent rate and elevation-dependent models models
476 were equally likely.

477

478 **4. Discussion**

479 *4.1 Long-lived mountain building triggering both ancient and recent radiations*

480 We have studied potential drivers behind the diversification histories of six South American clades,
481 four originating in the Late Cretaceous, and two during the latest Eocene-earliest Oligocene. We show
482 that for Dendrobatidae, Hemiphractidae and Liolaemidae, the diversification history is likely primarily
483 driven by environment-independent factors; for Centrolenidae, primarily by Cenozoic temperature
484 variations, and for Aromobatidae and Leptodactylidae, primarily by Andean mountain building (**Figs.**
485 **4 and 5**). Interestingly, the two clades for which we link diversification to Andean elevation
486 (Aromobatidae and Leptodactylidae) diversified faster during the incipient (Late Cretaceous -
487 Paleogene) phase of Andean orogeny. During this phase, the western margin of the South American
488 continent transformed from a continental margin hosting a magmatic arc with localized topography only
489 and average elevations below 1,000 m (Vergara et al. 1995; Boekhout et al. 2012; Horton 2018), into a
490 fold-thrust belt with significant and continuous topography (Horton 2018; Boschman 2021; **Fig. 1**).
491 During this time, the Andes were still significantly lower and less wide compared to their modern extent,
492 but the first (arid) high-altitude habitats were appearing, especially in the southern (Mathiasen and
493 Premoli 2010) and central (Quade et al. 2015) Andes.

494 Aromobatidae and Leptodactylidae are both widely distributed in the Andes, but for both
495 clades, the center of species richness is located outside of the Andes. Nonetheless, for Aromobatidae,
496 diversification has previously been linked to early Miocene uplift of the Eastern Cordillera of Colombia
497 and the resulting middle Miocene onset of aridity in the Magdalena Valley (Muñoz-Ortiz et al. 2015).
498 Additionally, indirect effects of Andean uplift shaped river and lake system dynamics in western
499 Amazonia, which acted as a source of aromobatid diversity for the rest of Amazonia (Vacher et al. 2017;
500 Réjaud et al. 2020). With this study, we now add evidence for the influence of early Andean orogeny
501 to Aromobatidae and Leptodactylidae diversification (**Figs. 4B and 5D**). This result is in line with
502 results from Santos et al. (2009), who concluded that montane habitats in the Guiana Shield and Atlantic
503 Forest regions were colonized at a later stage.

504 Although diversification of Liolaemidae is best explained by an increase of speciation toward
505 the present without invoking the role of environment, the second best-fit model (almost equally strong;
506 **Fig. 5F**) indicates a positive relation between speciation rates and elevation. This result is in line with
507 the study of Esquerré et al. (2019), who concluded that uplift of the Andes promoted Liolaemidae
508 diversification through allopatric fragmentation, and acted as a species pump for the surrounding
509 regions.

510 Perhaps surprisingly, our analyses did not select the elevation-dependent model as the best fit
511 model for the hyloid frog clades Centrolenidae, Dendrobatidae and Hemiphractidae, contrary to
512 previous conclusions reached concerning these groups (Hutter et al. 2017). For Centrolenidae, our
513 analyses instead yielded strong support for the temperature-dependent model with high speciation rates
514 during warm periods (**Fig. 4D, F**). This suggests a substantial effect of Cenozoic temperature variations

515 in shaping Neotropical diversification in the Andes; a model that had so far not been tested.
516 Alternatively, these results could be misleading, because the temperature-model has been shown to fit
517 well overall across tetrapod families (Condamine et al. 2019a). Finally, the best model for
518 Dendrobatidae and Hemiphractidae is a model with constant speciation rates through time. For the latter
519 clade, this model could reflect true evolutionary mechanisms, because marsupial frogs are unique
520 among anurans in that the eggs develop on the back or in dorsal pouches in the female (Castroviejo-
521 Fisher et al. 2015). Females of *Cryptobatrachus*, *Hemiphractus*, and *Stefania* carry their eggs and young
522 on their backs, and in other hemiphractids, the eggs develop in a closed pouch (*Gastrotheca* and some
523 *Flectonotus*) or in an open pouch (other *Flectonotus*). This breeding behaviour could buffer rates of
524 diversification because these species are independent of water sources; they avoid the eggs having to
525 hatch in ponds and develop as free-swimming tadpoles, which is prone to predation, and relies on
526 favorable environmental conditions.

527 The effect of Paleogene orogeny that we report differs from the conclusions of a wealth of
528 studies finding recent and rapid mountain clade radiations across the world's mountain belts (e.g.
529 Leneveu et al. 2009; Mao et al. 2010; Drummond et al. 2012; Jabbour and Renner 2012; Wang et al.
530 2012; Schwery et al. 2015; Lagomarsino et al. 2016; Esquerré et al. 2019; Ye et al. 2019). These findings
531 do not contradict ours, but rather, it shows that so far, ancient mountain radiations have largely been
532 undetected. In the study of Andean radiations, two of the main reasons for the absence of ancient
533 radiations are the focus on Neogene Neotropical clades, and in some cases, the misconception that the
534 bulk of Andean topography is young. By incorporating the early history of mountain building, and
535 selecting old (Late Cretaceous) clades, we demonstrate the potential effect of the initial emergence of
536 high-altitude habitats on diversification. This implies that throughout the long-lived history of surface
537 uplift in the Andes, mountain building drove the diversification of different clades at different times,
538 while not affecting yet other clades altogether.

539 An analogy can be made with the history of uplift and diversification in the Tibetan Plateau
540 region. Renner (2016) showed that many macroevolutionary and biogeographic studies have linked
541 (potentially non-existent) recent uplift to young and rapid radiations (e.g. Favre et al. 2015, 2016;
542 Ebersbach et al. 2017; Ye et al. 2019), despite geological evidence pointing to an Eocene 4 km-high
543 Tibetan Plateau. Although controversy about the uplift history of the Tibetan Plateau is not yet fully
544 resolved (see e.g. Rowley and Garzzone 2007; Botsyun et al. 2019), both the synthesis of Renner (2016)
545 and the current study illustrate the importance of accurate and detailed paleogeographic reconstructions
546 that capture the complexity and heterogeneity of mountain building over geological time, and the
547 importance of connecting geologists and biologists in the attempt to solve these interdisciplinary
548 problems.

549

550 *4.2 Limitations of current modelling approaches and future perspectives*

551 Distinguishing between the drivers of diversification requires datasets that adequately sample large
552 geographical and taxonomic scales through both long and short time intervals (e.g. Marx and Uhen
553 2010; Eronen et al. 2015; Lagomarsino et al. 2016; Lehtonen et al. 2017; Condamine et al. 2018, 2019).
554 To unravel the processes governing the tempo and mode of lineage diversification, phylogenies are
555 required that encompass at least 80% of the total standing diversity within the clade of interest (e.g.
556 Cusimano and Renner 2010; Höhna et al. 2011; Davis et al. 2013). This sampling ideally captures the
557 entire range of ecological, geographic and morphological diversity, which requires accurate taxonomy,
558 and clear documentation of the numbers of identifiable species in the region(s) of interest. As
559 taxonomists remain underfunded, consensus on this basic information is not yet reached for all groups,
560 yet is increasingly available for vertebrate groups. Knowledge of species ecology, including species
561 interactions and species traits, may greatly enhance the design of macroevolutionary analyses in relation
562 with hypotheses to test. Fortunately, phylogenies are increasingly improving, even in species-rich
563 groups, and biological data, including morphology, ecology and distribution, are accumulating at an
564 unprecedented rate (e.g. Pigot et al. 2020).

565 The state-of-the-art analytical framework used in this study is a step forward in our
566 understanding of the diversification of lineages and its drivers, but is not without limitations. First, as
567 with many macroevolutionary models, it suffers from the fundamental dilemma of extrapolation of
568 correlations to causations. Second, difficulties remain in the estimation of speciation and extinction
569 rates from phylogenies of extant species alone (e.g. Nee 2006; Ricklefs 2007; Rabosky and Lovette
570 2008; Crisp and Cook 2009; Quental and Marshall 2010; Burin et al. 2019; Pannetier et al. 2021). For
571 instance, simulation and empirical studies have shown that it is complicated to distinguish between
572 decreasing speciation or increasing speciation when the net diversification rate declines through time
573 (Rabosky and Lovette 2008; Crisp and Cook 2009). Furthermore, Burin et al. (2019) show that two
574 popular methods perform equally well when varying speciation rates control decline, but when decline
575 was only caused by an increase in extinction rates both methods wrongly assign the variation in net
576 diversification to a drop in speciation. Several clades of our study show decay of speciation through
577 time and no extinction (**Figs. 5 and 6**), and we thus remain cautious with these estimations. Recently,
578 Pannetier et al. (2021) demonstrated that phylogenetic trees contain insufficient information to detect
579 the presence or absence of diversity-dependent diversification, and we thus refrained ourselves to use
580 this model although it can provide additional tests of macroevolutionary hypotheses on the role of
581 within-clade species interactions compared to abiotic factors (Condamine et al. 2019a). Third, it remains
582 challenging to decipher the direct drivers of speciation or extinction rates and events (Ezard et al. 2016),
583 as for instance, paleoelevation *per se* may not be a direct driver of diversification, but rather, the many
584 indirect consequences of uplift, such as habitat fragmentation or local climate change (Lagomarsino et
585 al. 2016; Pérez-Escobar et al. 2017; Nevado et al. 2018). Furthermore, we here compare environment-
586 independent, temperature-dependent and elevation-dependent birth-death models, but temperature and
587 elevation are not the only possible drivers of diversification, as many other a(biotic) processes (e.g.

588 regional sea level variations, precipitation, erosion, interactions between species) may have played a
589 role. However, compared to paleoelevation and global paleotemperature, quantifying or reconstructing
590 these other possible drivers of diversification is a much bigger challenge, which hampers testing them
591 in diversification models. In addition, it is questionable whether it truly is elevation (or temperature)
592 that is driving diversification, rather than the change in elevation (or temperature). Although biological
593 interpretation might become less straightforward, future studies might consider incorporating
594 environmental-change diversification models, using the time-derivative of environmental variables.

595 To uncover the extent to which speciation and extinction vary and according to which drivers,
596 macroevolutionary studies may need to combine diversification (birth-death) models with parametric
597 biogeography models (Ree and Smith 2008; Quintero et al. 2015) or mechanistic eco-evolutionary
598 simulation models (Rangel et al. 2018; Hagen et al. 2021). Such combined approaches can for example
599 be used to estimate whether speciation occurred via allopatry (vicariance) or sympatry (ecological
600 speciation), which specific factors in paleo-environmental change caused speciation and/or extinction,
601 and in which locations these diversification events took place. It is worth mentioning that some models
602 exist to test the role of diversity-dependence in the context of allopatry (Pigot et al. 2010; Valente et al.
603 2015).

604 New developments in the field of trait-dependent models form a second line of research
605 contributing to our understanding of the interactions between biotic and abiotic factors and their effects
606 on diversity dynamics (Maddison et al. 2007; Goldberg et al. 2011; Beaulieu and O'Meara 2016).
607 Cantalapiedra et al. (2014) developed a climate-based trait-dependent diversification model, in which
608 rates of speciation not only vary as a function of the same global temperature curve that we incorporated
609 into our analysis, but also among traits. The model is thereby able to identify differences in
610 diversification history between clades with different functional traits as a function of changes in
611 environment. Cantalapiedra et al. (2014) showed that ruminant clades with three feeding modes
612 (browsers, grazers and mixed feeders) diversified differently during warm or cold periods.

613 A remaining, outstanding question is which role competition plays in ecologically similar
614 clades that are phylogenetically unrelated (Benton 1987; Hembry & Weber 2020). Although it is
615 difficult to model the effect of external clades interacting with the focal clade and their effects on
616 speciation and/or extinction with current models, some progress has been made with fossil-based
617 models showing that clade competition occurred over long timescales (Liow et al. 2015; Silvestro et al.
618 2015). It is thus possible to assess the effect of competition on diversification, in which speciation and
619 extinction rates are correlated with the diversity trajectory of another clade. Under competitive
620 interaction scenarios, increasing species diversity has the effect of suppressing speciation rates and/or
621 increasing extinction rates. Although in phylogenetic studies, diversity dependence is typically tested
622 within a single clade (Etienne et al. 2012), our phylogeny-based diversification model can be extended
623 to allow for competition among species that are not closely related, but share similar ecological niches
624 (Condamine et al. 2020).

625 Lastly, studying the effects of environmental (i.e. climatic or geological) change requires
626 accurate reconstructions of these changes through time. Despite the existence of contrasting datasets
627 and resulting controversy, there is a relative abundance of data on the history of uplift of the two highest,
628 largest (and most biodiverse) mountain belts on Earth: the Andes (see compilation in Boschman 2021)
629 and the Tibetan Plateau-Himalayas-Hengduan mountains (e.g. An et al. 2001; Rowley and Garzzone
630 2007; Botsyun et al. 2019), and to a lesser extent, of the North American Cordillera (e.g. Chamberlain
631 et al. 2012). As a result, the majority of studies on environment-dependent diversification have focussed
632 on these regions (Lagomarsino et al. 2016; Pérez-Escobar et al. 2017; Esquerré et al. 2019; Testo et al.
633 2019; Ding et al. 2020). Working towards a global understanding of the relationship between
634 environmental change and lineage diversification (Antonelli et al. 2018a; Quintero and Jetz 2018;
635 Rahbek et al. 2019a,b) calls for the collection of paleoelevation data on lesser-studied smaller orogens,
636 particularly in species rich regions, such as for example New Guinea, hosting the world's richest island
637 flora (Cámara-Leret et al. 2020).

638 In conclusion, we still face important limitations in data availability as well as methodological
639 shortcomings, but by acknowledging them we can target where to focus future efforts of the geological,
640 macroevolutionary and biogeographical communities. Together, we may gain a better understanding of
641 how environmental and biotic triggers are intertwined and of the rich, deep past of Earth's biological
642 diversity. We hope that our approach (and analytical framework) will help the forward movement in
643 that direction, and that it will provide perspectives for future investigations on other model groups, and
644 in other regions.

645

646 **5. Conclusions**

647 Analyzing the role of paleogeographic and paleoclimatic history in species diversification through
648 macroevolutionary analyses has never been more exciting and promising than today. The conjunction
649 of rapid and massive increases in the availability of biological datasets (phylogenies, fossils,
650 georeferenced occurrences and ecological traits) and paleoclimate and paleogeographic reconstructions
651 on the one hand, and the successful development of powerful analytical tools on the other, now enables
652 assessing the relative roles of climate change and mountain building on lineage diversification.

653 Here, we analysed the diversification history of six Neotropical frog and lizard families in light
654 of Cenozoic climate variations and Andean mountain building. The recent (Neogene) rise of the Andes
655 is often considered as the prime driver of biological diversification in the Neotropics, but here we unveil
656 a more complex evolutionary history for ancient yet species-rich frog and lizard families. We find clade-
657 specific responses to temperature and elevation, and conclude that throughout the long-lived history of
658 surface uplift in the Andes, mountain building drove the diversification of different clades at different
659 times, while not directly affecting other clades. Although we find that the diversification of
660 Aromobatidae and Leptodactylidae was influenced by the rise of Andes, we conclude that this effect
661 played a role in the Late Cretaceous-Paleogene phase of orogeny, much earlier than previously proposed

662 (i.e. Neogene). Moreover, we demonstrate that other drivers must be considered when studying
663 mountain clade radiations: diversification rates of Centrolenidae were high during the warmer periods
664 of the Cenozoic. Additionally, even a strict Andean-endemic lizard radiation shows mixed evidence for
665 a direct role of Andean uplift driving the diversification. Therefore, our study argues that pre-Neogene
666 environmental changes, either triggered by Andean uplift or resulting from global climate variations,
667 should not be dismissed as drivers of Neotropical diversification, and calls for a greater appreciation of
668 the ancient Andean paleo-elevation history in the build-up of species on the Earth's most biodiverse
669 continent.

670

671 **Declaration of Competing Interest**

672 The authors declare that they have no known competing financial interests or personal relationships that
673 could have appeared to influence the work reported in this paper.

674

675 **Data availability**

676 All data files (phylogenies, environmental variables) and scripts necessary to perform the analyses will
677 be provided via an online repository (Figshare).

678

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685

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1190 Supplementary materials with

1191 **Mountain radiations are not only rapid and recent: Ancient diversification of South American**
1192 **frog and lizard families related to Paleogene Andean orogeny and Cenozoic climate variations**

1193 *By Boschman and Condamine*

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1196 **Supplementary Table 1.** Equations (from Hansen et al., 2013, modified by Westerhold et al. 2020) to
1197 calculate deep-ocean temperature (T_{do}) and surface air temperature (T_s) from $\delta^{18}O$ data.

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$\delta^{18}O$ to T_{do} :

0.0 Ma to 3.660 Ma: $T_{do} (^{\circ}C) = 1 - 4.4 * ((\delta^{18}O (‰) - 3.25 / 3)$

3.660 Ma to 34.025 Ma: $T_{do} (^{\circ}C) = 5 - 8 * ((\delta^{18}O (‰) - 1.75 / 3)$

34.025 Ma to 67.0 Ma: $T_{do} (^{\circ}C) = (-4 * \delta^{18}O (‰)) + 12$

T_{do} to T_s :

0.0 Ma to 1.81 Ma: $T_s (^{\circ}C) = 2 * T_{do} + 12.25$

1.81 Ma to 5.33 Ma: $T_s (^{\circ}C) = 2.5 * T_{do} + 12.15$

5.33 Ma to 67.0 Ma: $T_s (^{\circ}C) = T_{do} + 14.15$

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1202 **Supplementary Figure 1.** Distribution ranges of Aromobatidae, Centrolenidae and Dendrobatidae.

1203 From GBIF (the Global Biodiversity Information Facility, www.gbif.com).

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1205 **Supplementary Figure 2.** Distribution ranges of Hemiphractidae, Leptodactylidae, Liolaemidae. From

1206 GBIF (the Global Biodiversity Information Facility, www.gbif.com).

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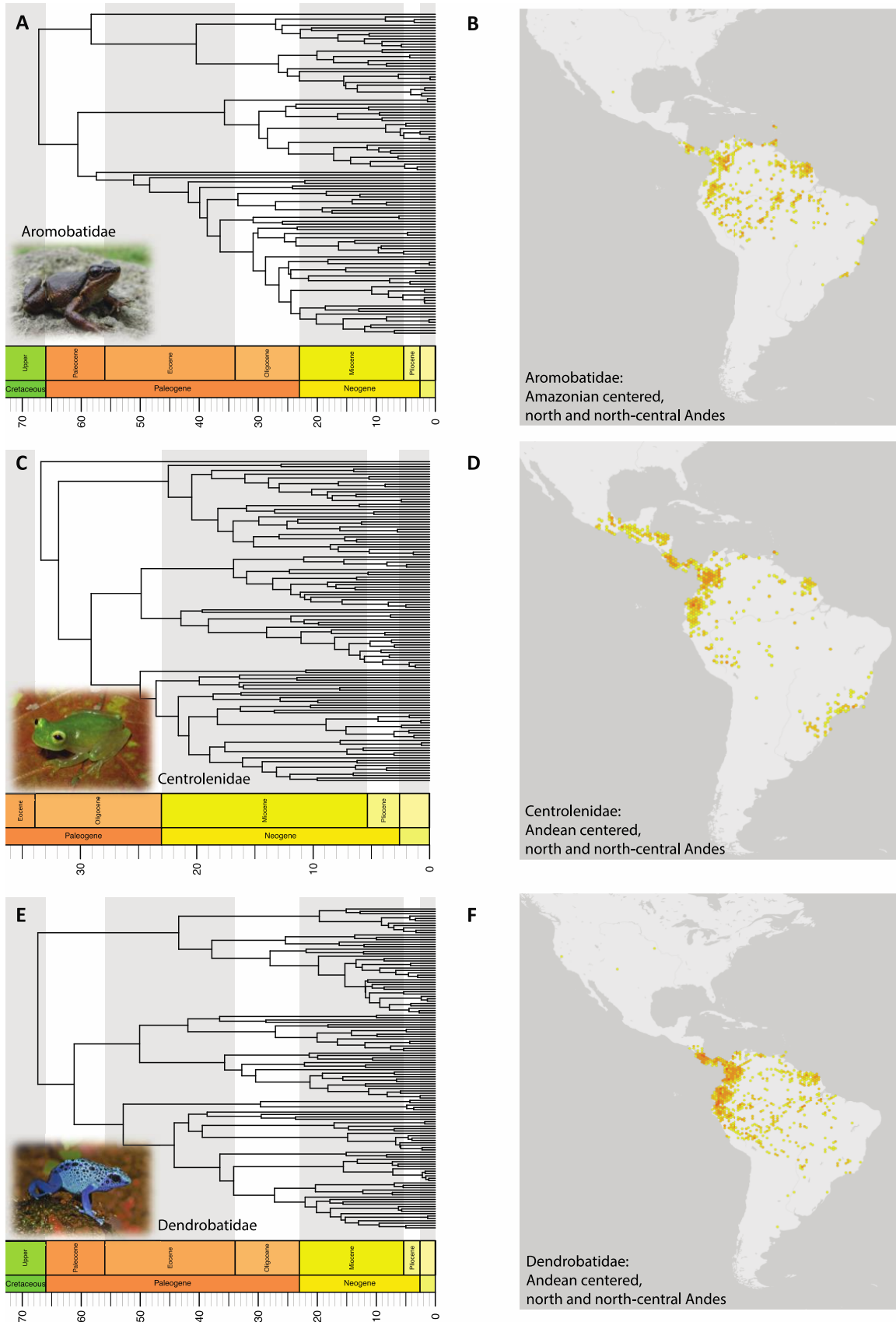
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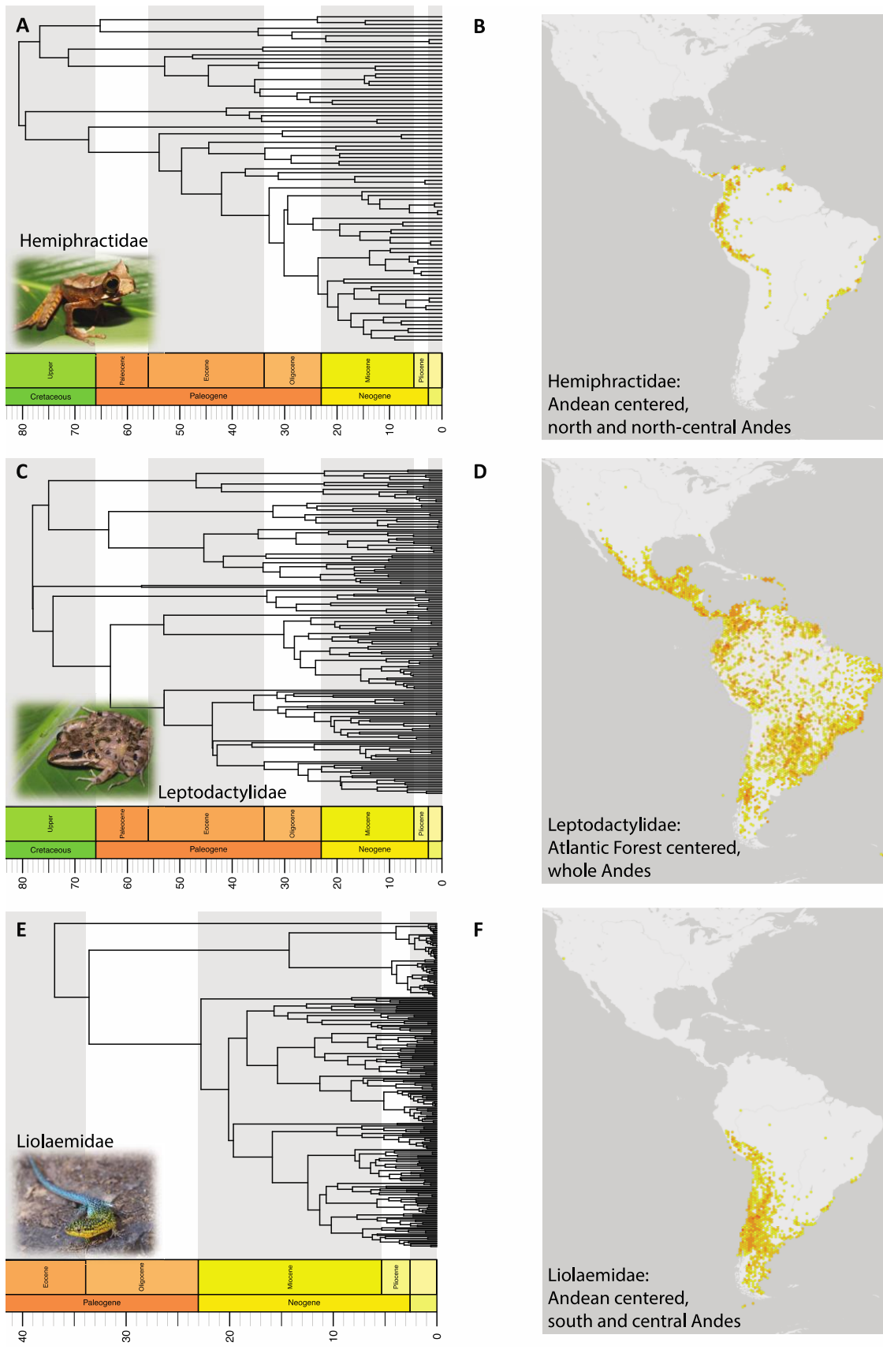


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1229 **Supplementary Figure 2.** Distribution ranges of Hemiphractidae, Leptodactylidae, Liolaemidae. From
1230 GBIF (the Global Biodiversity Information Facility, www.gbif.com).