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2	The entorhinal cortex modulates trace fear memory
3	formation and neuroplasticity in the lateral amygdala
4	via cholecystokinin
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13 Abstract

14 Although the neural circuitry underlying fear memory formation is important in fear-related 15 mental disorders, it is incompletely understood. Here, we utilized trace fear conditioning to 16 study the formation of trace fear memory. We identified the entorhinal cortex (EC) as a critical 17 component of sensory signaling to the amygdala. Moreover, we used the loss of function and 18 rescue experiments to demonstrate that release of the neuropeptide cholecystokinin (CCK) 19 from the EC is required for trace fear memory formation. We discovered that CCK-positive 20 neurons extend from the EC to the lateral nuclei of the amygdala (LA), and inhibition of CCK-21 dependent signaling in the EC prevented long-term potentiation of sensory signals to the LA 22 and formation of trace fear memory. Altogether, we suggest a model where sensory stimuli 23 trigger the release of CCK from EC neurons, which potentiates sensory signals to the LA, 24 ultimately influencing neural plasticity and trace fear memory formation.

25 Introduction

26 Learning to associate environmental cues with subsequent adverse events is an important 27 survival skill. Pavlovian fear conditioning is widely used to study this association and is 28 performed by pairing a neutral stimulus (conditioned stimulus, CS), such as a tone, with a 29 punishing stimulus (unconditioned stimulus, US), such as a shock (Pavlov, 1927). The CS-US 30 pair elicits fear behaviors, including freezing and fleeing, which are often species-specific. 31 Canonical delay fear conditioning is performed by terminating the CS and US at the same time. 32 However, conditioned and unconditioned stimuli do not necessarily occur simultaneously in 33 nature, and the brain has evolved mechanisms to associate temporally distinct events. Trace 34 fear conditioning is used to study these mechanisms by inserting a trace interval between the 35 end of the CS and the beginning of the US. The temporal separation between the CS and the 36 US substantially increases the difficulty of learning as well as the recruitment of brain 37 structures (Crestani et al., 2002; Runyan et al., 2004). Although trace fear conditioning 38 provides essential insight into the neurobiology of learning and memory, many unanswered 39 questions remain. For instance, the detailed neural circuitry underlying the formation of this 40 trace fear memory and the potential modulatory chemicals involved in this process need to be 41 further characterized.

42 Synaptic plasticity is the basis of learning and memory and refers to the ability of neural 43 connections to become stronger or weaker. Long-term potentiation (LTP) is one of the most 44 widely-studied forms of synaptic plasticity. The lateral nucleus of the amygdala (LA) receives 45 multi-modal sensory inputs from the cortex and thalamus and relays them into the central 46 nucleus of the amygdala (CeA), which then innervates the downstream effector structures 47 (Phelps & LeDoux, 2005). LTP is developed in the auditory input pathway that signals to the 48 LA. Auditory-responsive units in the LA fire faster after auditory-cued fear conditioning (Quirk 49 et al., 1995). Optogenetic manipulation of the auditory input terminals in the LA leads to the 50 suppression or recovery of LTP in the LA and can correspondingly suppress or recover 51 conditioned fear responses (Nabavi et al., 2014). Together, these studies demonstrate that 52 synaptic plasticity in the LA is impressively correlated with the formation of fear memory.

In addition to the amygdala, other neural regions, including the hippocampus (Bangasser, 2006),
 anterior cingulate cortex (ACC) (Han et al., 2003), medial prefrontal cortex (mPFC) (Runyan
 et al., 2004), and entorhinal cortex (EC) (Ryou et al., 2001), take part in trace fear conditioning.

The EC is integrated in the spatial and navigation systems of the animal (Fyhn et al., 2004; Hafting et al., 2005) and is essential for context-related fear associative memory (Maren &

58 Fanselow, 1997). Moreover, the EC functions as a working memory buffer in the brain to hold

⁵⁹ information for temporal associations (Fransén, 2005; Schon et al., 2016). Here, a scenario of

60 the dependence on the EC to associate the temporally-separated CS and US is manifested.

61 The neuropeptide cholecystokinin (CCK) is universally accepted as the most abundant 62 neuropeptide in the central nervous system (CNS) (Rehfeld, 1978). CCK is recognized by two receptors in the CNS: CCK A receptor (CCKAR) and CCK B receptor (CCKBR). Previous 63 64 studies in our laboratory unveiled that CCK and CCKBR enabled neuroplasticity as well as associative memory between two sound stimuli and between visual and auditory stimuli in the 65 66 auditory cortex (X. Chen et al., 2019; Li et al., 2014; Z. Zhang et al., 2020). CCK and its 67 receptors are intrinsically involved in fear-related mental disorders including anxiety (Q. Chen 68 et al., 2006), depression (Shen et al., 2019), and post-traumatic stress disorder (PTSD) (Joseph 69 et al., 2013). Moreover, the CCKBR agonist CCK-tetrapeptide (CCK-4) induces acute panic 70 attacks in individuals with a panic disorder as well as in healthy human subjects (Bradwein, 71 1993). Despite the clear connection between CCK and fear-related disorders, it remains elusive 72 that the involvement of CCK in Pavlovian fear conditioning and the formation of cue-specific

73 fear memory, which is possibly the neural foundation of these disorders.

74 In the present study, we investigated the involvement of CCK-expressing neurons in the EC in

75 trace fear memory formation. We then examined how CCK enabled neuroplasticity in the

auditory pathway to the LA by conducting the *in vivo* recording in the LA. Finally, we studied

77 the contribution of the pathway from the EC to LA on the formation of trace fear memory in a

78 physiological and behavioral context.

79 **Results**

80 Loss of CCK results in deficient trace fear memory formation in CCK-/- mice

81 The first question we asked here was whether CCK is involved in trace fear memory formation.

82 We studied transgenic CCK^{-/-} mice (Cck-CreER, strain #012710, Jackson Laboratory), which

- 83 lack CCK expression (X. Chen et al., 2019). We subjected CCK^{-/-} and wildtype control (WT,
- 84 C57BL/6) mice to trace fear conditioning using two training protocols: long trace interval and
- 85 short trace interval training.

86 Trace fear conditioning was performed by collecting baseline readouts on pre-conditioning day, 87 training with the appropriate CS-US pairings on conditioning days, and testing the conditioned 88 fear responses on post-conditioning/testing day. In the long trace protocol, mice sequentially 89 received a 10-second pure tone (as the CS), a 20-second gap (trace interval), and a 0.5-second 90 foot shock (as the US) (Figure 1a). We calculated the percentage of time frames where mice 91 displayed a freezing response as the measure of fear memory. Freezing percentages were 92 compared before (baseline) and after (post-training) trace fear conditioning as well as before 93 (Figure 1b) and after (Figure 1c) presentation of the CS. At baseline, $CCK^{-/-}$ (N = 10) and WT 94 (N = 14) mice showed similarly low freezing percentages both before (Figure 1b) and after 95 (Figure 1c) the CS (Figure 1b, two-way repeated-measures analysis of variance [RM ANOVA], 96 significant interaction, F [1,22] = 4.65, P < 0.05; pairwise comparison, WT vs. CCK^{-/-} before 97 CS, $7.0\% \pm 1.1\%$ vs. $5.9\% \pm 0.8\%$; Bonferroni test, P > 0.05; Figure 1c, two-way RM ANOVA], 98 significant interaction, F [1,22] = 13.87, P < 0.05; pairwise comparison, WT vs. CCK^{-/-} after CS, $9.9\% \pm 1.6\%$ vs. $9.6\% \pm 1.5\%$, Bonferroni test, P > 0.05). After conditioning, CCK^{-/-} mice 99

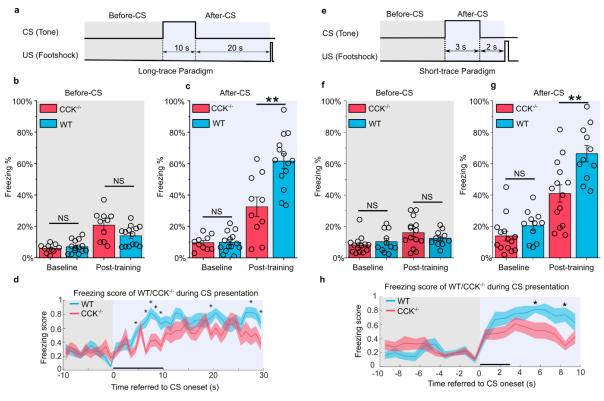


Figure 1. Trace fear memory formation deficit in CCK^{-/-} mice.

(a) Schematic diagram of the fear conditioning paradigm with a long trace interval of 20 s. Gray and light blue shadowed areas indicate the time frames before and after the onset of the CS (Before CS, After CS). CS, conditioned stimulus; US, unconditioned stimulus.

(**b–c**) Freezing percentages before (b) and after (c) the CS. Freezing percentages were recorded at baseline on the pre-conditioning day and post-training on the post-conditioning day. WT, wildtype, N = 14; CCK^{-/-}, CCK-knockout, N = 10. *P < 0.05; **P < 0.01; ***P < 0.001; NS, not significant. Statistical significance was determined by two-way RM ANOVA with Bonferroni post-hoc pairwise test. RM ANOVA, repeated measures analysis of variance.

(d) Freezing score plot of the two groups of mice during the testing session. Solid lines indicate the mean value, and shadowed areas indicate the SEM. The black bar indicates the presence of the CS from 0 s to 10 s. *P < 0.05; two-sample t-test; SEM, standard error of the mean.

(e) Schematic diagram of the fear conditioning paradigm with a short trace interval of 2 s.

(**f**-g) Freezing percentages before (**f**) and after (**g**) the CS. WT, N = 11; CCK^{-/-}, N = 14.

(h) Freezing score plot of the two groups of mice during the testing session. The black bar indicates the presence of the CS from 0 s to 3 s. *P < 0.05; two-sample t-test.

showed significantly lower freezing percentages (32.5% \pm 6.2%) than WT mice after receiving

101 the CS ($61.6\% \pm 4.6\%$, pairwise comparison, P < 0.01), indicating poor performance in 102 associating the CS with the US (Figure 1c, Movie S1, S2). This effect was not due to elevated

associating the CS with the US (Figure 1c, Movie S1, S2). This effect was not due to elevated 102

basal freezing levels caused by training in WT animals (Figure 1b). Instead, we found that

104 CCK^{-/-} mice (20.7% \pm 3.0%) had slightly higher freezing percentages than WT mice (14.0% \pm

105 1.7%) in the absence of the CS (pairwise comparison, P > 0.05). Together, these results suggest

- 106 that trace fear conditioning results in elevated conditioned freezing percentages in WT mice,
- 107 which are primarily elicited by the CS, and that loss of CCK impairs the freezing response to

the CS. Furthermore, we defined an empirical threshold of moving velocity and converted the moving velocity to a binary freezing score plot, in which value 1 represents active status, and value 0 represents freezing status (see <u>Methods</u>). Using this method, we were able to assess the freezing response of the animal as it occurred during the CS presentation. Again, we found that WT mice obtained higher average freezing scores than CCK^{-/-} mice during the presentation of the CS (Figure 1d, **P* < 0.05, two-sample t-test).

114 In addition to the long trace interval, we also investigated freezing responses of mice during a short trace fear conditioning paradigm. Mice were presented a 3-second CS followed by a 2-115 116 second trace interval and a 0.5-second electrical foot shock (Figure 1e). Before training, WT (N = 11) and CCK^{-/-} (N = 14) mice showed similarly low freezing percentages both before 117 118 (Figure 1f) and after (Figure 1g) presentation of the CS (Figure 1g, two-way RM ANOVA, 119 significant interaction, F [1,23] = 4.85, P < 0.05; pairwise comparison, WT vs. CCK^{-/-} in the baseline session, $20.4\% \pm 2.9\%$ vs. $13.9\% \pm 3.1\%$, P > 0.05; Figure 1f, two-way RM ANOVA, 120 121 interaction not significant, F [1,23] = 1.8, P = 0.19 > 0.05; pairwise comparison, WT vs. CCK⁻ ^{*i*} in the baseline session, $10.3\% \pm 2.1\%$ vs. $8.2\% \pm 1.5\%$, P > 0.05). Consistent with results 122 from the long trace paradigm, CCK^{-/-} mice showed an impaired freezing response (41.0% \pm 123 124 5.5%) to the CS after training compared to WT mice ($66.3\% \pm 5.2\%$, pairwise comparison, P 125 < 0.01, Figure 1g, Movie S3, S4). Additionally, we observed no significant difference between fear conditioned WT and CCK^{-/-} mice prior to the presentation of the CS (Figure 1f, pairwise 126 127 comparison, WT vs. CCK^{-/-} in the post-training session, $12.4\% \pm 1.4\%$ vs. $16.0\% \pm 2.4\%$, P >128 0.05). Finally, we found significant differences in freezing scores between WT and CCK^{-/-} mice 129 when presented the CS (Figure 1h, *P < 0.05, two-sample t-test).

We conducted the innate hearing and fear expression examinations to rule out a potential 130 inherent deficit derived from genome editing in CCK^{-/-} transgenic mice. To evaluate hearing, 131 we recorded the open-field auditory brainstem response (ABR) in anesthetized animals. We 132 observed five peaks in both WT and CCK^{-/-} mice at sound intensities above 50 dB of sound 133 134 pressure level (dB SPL) (Figure S1b), and we did not observe any remarkable differences between the waveforms. Compared to WT mice, CCK^{-/-} mice had better hearing $(40.0 \pm 1.2 \text{ dB})$ 135 in CCK^{-/-} mice, N = 15, vs. 47.3 \pm 2.1 dB in WT mice, N = 11, two-sample t-test, P < 0.01, 136 137 Figure S1c). Thus, auditory perception does not account for the deficient trace fear memory 138 formation of CCK^{-/-} mice.

- As fear expression is the behavioral output of fear conditioning, we wondered if CCK^{-/-} mice suffered from a deficit in fear expression, which is observed in Klüver-Bucy syndrome and other diseases (Lilly et al., 1983). To test whether the CCK^{-/-} mice have a deficit in fear expression, we presented a loud (90 dB SPL) white noise and quantified sound-driven innate freezing. We found no statistical difference between WT (46.1% ± 5.5%, N = 11) and CCK^{-/-}
- 145 freezing: we found no statistical difference between w1 (46.1% \pm 5.5%, N = 11) and CCK 144 mice (46.5% \pm 6.6%, N = 14, two-sample t-Test, P > 0.05, Figure S1d), indicating that CCK^{-/-}
- mice (40.5% \pm 0.0%, N = 14, two-sample terest, T > 0.05, <u>Figure 510</u>), indicating that CCK mice can express passive defensive behaviors such as freezing. Thus, the deficient trace fear
- 146 memory formation of CCK^{-/-} is not due to a deficit in fear expression and may be due to a
- 147 deficit in establishing an association between the CS and the US.
- 148 In summary, CCK^{-/-} mice display deficient trace fear memory formations in both short and long 149 trace models that are not caused by inherent abnormalities in hearing or fear expression.

150 **Deficient neural plasticity in the LA of CCK**^{-/-} **mice**

- 151 As neural plasticity in the LA is widely regarded as the basis of fear memory formation (Kim
- 152 & Cho, 2017; LeDoux, 2000; Nabavi et al., 2014; Rogan et al., 1997), we examined LTP in the
- 153 LA of WT and CCK^{-/-} mice by *in vivo* recording (Figure 2a). First, we successfully recorded
- 154 the auditory evoked potential (AEP) in the LA of anesthetized WT and CCK^{-/-} mice (Figure

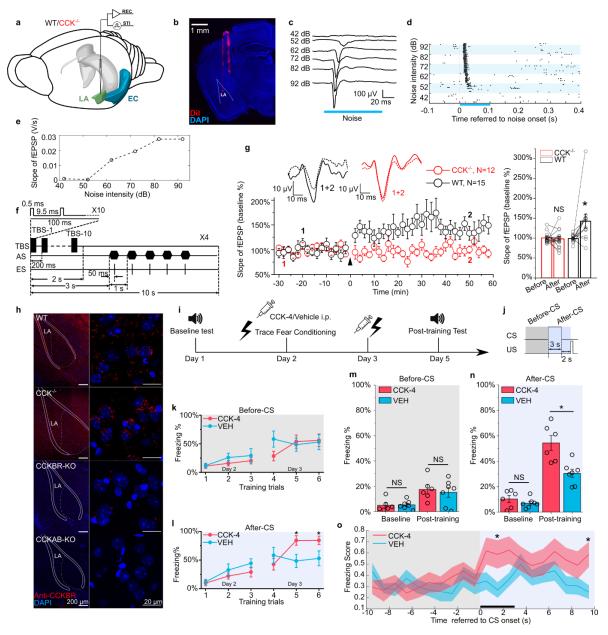


Figure 2. Neural plasticity deficit in the LA of CCK^{-/-} mice and the rescuing effect of exogenous CCK.

(a) Schematic diagram of *in vivo* recording in the LA. EC, entorhinal cortex; LA, lateral amygdala. STI, stimulation. REC, recording.

(b) Post-hoc verification of electrode tracks and recording area.

(c) Representative AEP traces in response to different levels of noise stimulus. AEP, auditory evoked potential.

(d) Representative traces of multiunit spikes to different levels of noise stimulus.

(e) Representative input/output (I/O) curve of the slope of AEP versus noise intensity. fEPSP, field excitatory postsynaptic potential.

(f) Schematic diagram of the pairing protocol to induce LTP of AEP via theta-burst stimulation (TBS). LTP, long term potentiation; ES, electrical stimulation; AS, auditory stimulation.

(g) Time course plot of the normalized AEP slope during LTP. The WT group is indicated in black, and the CCK^{-/-} group is indicated in red. Representative traces of the AEP before (dotted line) and after (solid line) TBS are shown in inset panels for both groups. The average normalized slopes 10 min before pairing (-10-0 min, before) and 10 min after pairing (50–60 min, after) in the two groups of mice are shown on the right. ***P < 0.05; two-way RM ANOVA with Bonferroni post-hoc pairwise test; RM ANOVA, repeated measures analysis of variance; NS, not significant.

(h) Immunofluorescent staining of CCK B receptor (CCKBR) in brain slices from WT, CCK^{-/-}, CCKBR-KO, and CCKAB-KO mice. Magnified images are shown on the right. CCKBR-KO, CCK B receptor knock-out mouse; CCKAB-KO, CCK A receptor and B receptor double knock-out mouse.

(i) Experimental timeline for (j–o).

(j) Schematic diagram of the CS-US presentation. Gray and light blue shadowed areas indicate the time frames before and after CS presentation (Before-CS, After-CS).

(k–l) Freezing percentages before (k) and after (l) the CS during fear conditioning training on training day. Animals underwent six trials during a 2-day training (day 2 and 3). CCK-4, N = 6; VEH, N = 6; *P < 0.05; two-sample t-test.

(m–n) Freezing percentages before (m) and after (n) the CS on the pre-training day (baseline) and the post-training day. CCK-4, N = 6; VEH, N = 6; *P < 0.05; NS, not significant; two-way RM ANOVA with Bonferroni post-hoc pairwise test; RM ANOVA, repeated measures analysis of variance.

(o) Freezing score plot of the two groups of mice during the testing session on day 5. Solid lines indicate the mean value, and shadowed areas indicate the SEM. The black bar indicates the presence of the CS from 0 s to 3 s. *P < 0.05; two-sample t-test; SEM, standard error of the mean.

- 155 <u>2b-e</u>). Then, we used theta-burst electrical stimulation (TBS) to induce LTP of AEP (AEP-156 LTP) (<u>Figure 2f</u>). Interestingly, AEP-LTP was effectively induced in WT mice (N = 15) but
- was not in CCK^{-/-} mice (N = 12). WT mice demonstrated remarkable potentiation (Figure 2g, two-way RM ANOVA, significant interaction, F [1,25] = 6.8, P = 0.015 < 0.05; pairwise comparison, after vs. before induction, 142.7% ± 17.5% vs. 99.1% ± 2.8%, P = 0.011 < 0.05), whereas CCK^{-/-} mice showed no potentiation (pairwise comparison, after vs. before induction, 98.0% ± 5.8% vs. 100.6% ± 3.4%, P > 0.05). These results suggest that CCK^{-/-} mice have a deficit in neural plasticity in the LA that may contribute to their reduced response to trace fear
- 163 conditioning.

164 Stimulation of CCKBR rescues the formation of trace fear memory in CCK^{-/-} mice

Although the translation and release of CCK are disrupted in CCK^{-/-} mice, we found that the 165 predominant CCK receptor, CCKBR, was expressed normally in both WT and CCK^{-/-} mice 166 (Figure 2h). Therefore, we hypothesized that exogenous stimulation of CCKBR might rescue 167 trace fear memory deficits in CCK^{-/-} mice. CCKBR can be stimulated by several agonists, 168 169 including CCK octapeptide sulfated (CCK-8s) and CCK tetrapeptide (CCK-4). As CCK-8s is 170 a potent agonist of both CCKAR and CCKBR, we selected CCK-4, which is a preferred 171 CCKBR agonist (Berna et al., 2007). To monitor CCK signaling in vivo, we expressed a G protein-coupled receptor (GPCR)-activation-based CCK sensor (GRAB_{CCK}, AAV-hSyn-172 173 CCK2.0) in the LA of CCK^{-/-} mice (Jing et al., 2019). Using this model, binding of the GPCR 174 CCKBR with endogenous or exogenous CCK results in increased fluorescence intensity, which 175 we measured by fiber photometry in the LA (Figure S2a). We first confirmed that intraperitoneal (i.p.) administration of CCK-4 permeated the blood-brain-barrier (BBB) and
activated the CCK2.0 sensor. Moreover, we demonstrated that administration of CCK-4
evoked a clear and long-term increase in the fluorescent signal (Figure S2b). Together, these
data verify that CCK-4 passes through the BBB and binds with CCKBR in the LA.

After validating our model, we conducted short trace fear conditioning in CCK^{-/-} mice on two 180 181 consecutive days just after intraperitoneal administration of CCK-4 or the corresponding 182 vehicle (VEH) (Figure 2i-i). We collected data during the two conditioning days to monitor the learning curve of mice as conditioning progressed. The learning curves were plotted as the 183 freezing percentages of CCK-4- or VEH-treated CCK^{-/-} mice during the six training trials 184 185 (Figure 2k-1). During the first three trials on the first conditioning day and even in the fourth 186 trial on the second conditioning day, we did not observe any statistical differences between the 187 two groups. During the fifth and sixth training trials conducted on the second conditioning day, 188 we found that CCK-4-treated mice had significantly higher freezing levels than VEH-treated 189 mice (Figure 21, $84.2\% \pm 8.4\%$ in the CCK-4 group [N = 6] vs. $48.4\% \pm 11.5\%$ in the VEH 190 group [N = 7] in the fifth trial; $84.4\% \pm 7.3\%$ in the CCK-4 group vs. $52.9\% \pm 13.0\%$ in the 191 VEH group in the sixth trial, two-sample t-test, both P < 0.05). In support of this evidence, we 192 did not find a statistical difference between the two groups prior to CS presentation during the 193 fifth or sixth trials (Figure 2k, $53.8\% \pm 11.5\%$ in the CCK-4 group vs. $52.5\% \pm 11.8\%$ in the 194 VEH group in the fifth trial; $56.0\% \pm 10.8\%$ in the CCK-4 group vs. $47.8\% \pm 11.8\%$ in the 195 VEH group in the sixth trial, two-sample t-test, both P > 0.05). Together, these data suggest 196 that mice in the CCK-4- and VEH-treated groups showed similar baseline freezing levels and 197 that CCK-4 treatment improved trace fear conditioning learning responses in CCK^{-/-} mice.

198 We went on to assess the conditioned fear response in CCK-4- and VEH-treated CCK^{-/-} mice 199 two days after training in comparison to fear responses at baseline prior to training (Figure 2m-200 n). We found that CCK4-treated mice showed remarkably higher freezing levels than VEH-201 treated mice post-training, whereas no significant difference was detected at baseline (Figure 202 2n, two-way RM ANOVA, significant interaction, F [1,11] = 6.40, P = 0.028 < 0.05; pairwise 203 comparison, CCK-4 vs. VEH at baseline, $10.4\% \pm 2.8\%$ vs. $7.0\% \pm 1.4\%$, P > 0.05; CCK-4 vs. 204 VEH post-training, $54.3\% \pm 5.9\%$ vs. $30.4\% \pm 3.3\%$, P < 0.05; Movie S5, S6). There was no statistical difference between the two groups before the presentation of the CS (Figure 2m, 205 206 two-way RM ANOVA, the main effect of drug application [CCK-4 vs. VEH] on freezing 207 percentage was not significant, F [1,11] = 0.15, P = 0.70). Additionally, CCK-4-treated mice 208 had significantly higher freezing scores than VEH-treated mice (Figure 20). These results 209 indicate that CCK-4 treatment effectively improved learning response to trace fear conditioning 210 in CCK^{-/-} mice. Moreover, this rescue was not an artifact caused by reduced locomotion after 211 drug application and fear conditioning training, as there was no difference between the two 212 groups in the freezing percentage prior to presentation of the CS (Figure 2m). Therefore, the 213 exogenous application of a CCKBR agonist activated endogenous CCKBR and improved the 214 fear memory formation of CCK^{-/-} mice after trace fear conditioning.

215 CCK neurons in the EC are critical for the formation of the trace fear memory

We next examined the source of endogenous CCK that signals to the LA. We injected a potent retrograde neuronal tracer Cholera Toxin Subunit B (CTB) conjugated to a fluorescent tag Alexa-647 (CTB-647) into the LA and dissected the upstream anatomical brain regions that

project to the LA (Figure 3a). In addition to regions that are canonically involved in fear

- 220 circuitry, including the auditory cortex (AC) and the medial geniculate body (MGB), we found
- that EC was also densely labeled with retrograde CTB-647, suggesting that the EC is connected
- 222 with the LA (Figure 3b-e). We next injected a Cre-dependent retrograde AAV (retroAAV-
- hSyn-FLEX-jGcamp7s) into the LA of CCK-ires-Cre (CCK-Cre) mice to label CCK-positive

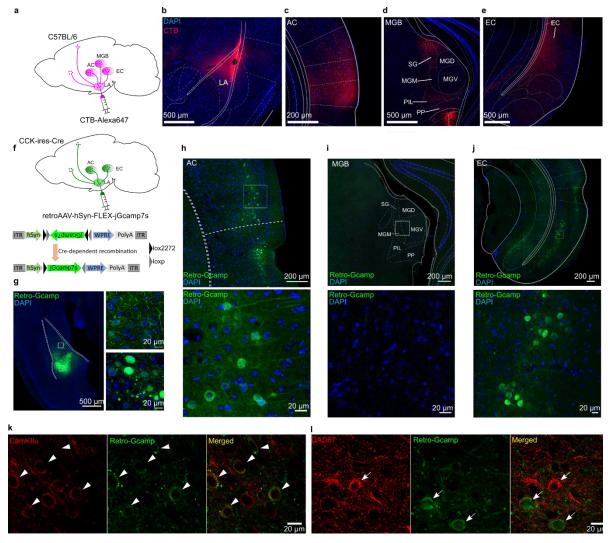


Figure 3. Dissection of inputs of the LA with retrograde tracer and virus.

(a) Schematic diagram of retrograde tracing with Alexa647-conjugated cholera toxin subunit B (CTB).

 $(\mathbf{b}-\mathbf{e})$ Representative fluorescent images of the injection site of the CTB tracer (\mathbf{b}) , the canonical upstream regions, including the auditory cortex (\mathbf{c}) and the auditory thalamus (\mathbf{d}) , and the non-canonical entorhinal cortex (\mathbf{e}) . AC, auditory cortex; MGB, medial geniculate body; SG, suprageniculate thalamic nucleus; MGM, medial MGB; PIL, posterior intralaminar thalamic nucleus; PP, peripeduncular nucleus; EC, entorhinal cortex.

(f) Schematic diagram of cell type-specific retrograde tracing with Cre-dependent retrograde AAV (retroAAV-hSyn-FLEX-jGcamp7s).

(g) Verification of the injection site in the LA. Magnified images are shown in insets on the right. Retro-Gcamp, retrograde jGcamp7s signal.

(h-j) Retrograde signals in the AC (h), MGB (i), and EC (j). Magnified images are shown in the bottom insets.

(k-l) Co-immunofluorescent staining of retrograde tracing of the LA with either the excitatory neuronal marker CamKII α (k) or the inhibitory neuronal marker GAD67 (l).

224 neurons that project into the LA, to further confirm the above observation (Figure 3f-g). In 225 CCK-ires-Cre mouse line, Cre expression was restricted to the CCK-expressing neurons, where the Cre-mediated recombination took place and the Cre-dependent green, fluorescent protein 226 227 jGcamp7s was expressed (Figure 3f). Fluorescent signal was detected in the AC and the EC, 228 but not in the MGB (Figure 3h-j), which suggests that CCK may originate from these two brain 229 regions during trace fear memory formation. Immunofluorescent staining revealed that most 230 CCK-positive neurons in the EC that project to the LA are glutamatergic (Figure 3k-1), which 231 is consistent with our previous findings in CCK-positive neurons in the EC (X. Chen et al., 232 2019).

233 Interestingly, the EC is involved in the formation of trace fear memory but is not a component 234 of canonical delay fear memory (Esclassan et al., 2009). This selectivity suggests that the EC 235 may be a component of the neural circuit underlying trace fear memory formation. To evaluate 236 a requirement for the EC in trace fear memory, we utilized a Designer Receptors Exclusively 237 Activated by Designer Drugs (DREADD) system to silence EC neurons (Armbruster et al., 238 2007). Specifically, the inhibitory receptor hM4Di was expressed in the EC of WT mice 239 (Figure 4a) and was activated by administration of the designer drug clozapine (CLZ). 240 Activation of hM4Di by CLZ induces membrane hyperpolarization, effectively silencing 241 neurons. We verified EC neuron silencing by in vivo electrophysiological recording (Figure 242 4b-d and Figure S3). We found that a low dose of CLZ (0.5 mg/kg) effectively suppressed 243 both instant and long-term neuronal firing. Of note, we used CLZ instead of the canonical 244 DREADD ligand clozapine-N-oxide (CNO). A recent study identified CLZ as the active 245 metabolite of CNO (Gomez et al., 2017), and CLZ more effectively penetrates the BBB and 246 binds with DREADD receptors compared to CNO. As a result, a much lower dose of CLZ can 247 elicit similar behavioral effects as higher doses of CNO (Gomez et al., 2017). Therefore, we 248 used a low dose of CLZ (0.5 mg/kg) in our experiments.

249 Six weeks after injection of AAV9-hSyn-hM4Di-EGFP or AAV9-hSyn-EGFP, we 250 administered CLZ by intraperitoneal injection and conducted short trace fear conditioning 30 251 min later. We repeated the CLZ treatment and trace fear conditioning the following day and 252 tested conditioned fear responses two days after that. As expected, mice expressing hM4Di 253 (hM4Di, N = 7) showed significantly lower freezing percentages in response to the CS than 254 those expressing the control virus (EGFP, N = 7) post-training (Figure 4f, two-way RM 255 ANOVA, significant interaction, F [1,12] = 7.42, P = 0.018 < 0.05; EGFP vs. hM4Di post-256 training, $68.1\% \pm 10.0\%$ vs. $39.0\% \pm 5.7\%$, P = 0.035 < 0.05; Movie S7, S8). No significant differences were observed between the two groups at baseline (Figure 4f, pairwise comparison, 257 258 EGFP vs. hM4Di at baseline, $12.0\% \pm 3.1\%$ vs. $15.0\% \pm 3.3\%$, P > 0.05) or prior to the CS 259 (Figure 4e, two-way RM ANOVA, interaction not significant, F [1, 12] = 0.05, P = 0.82 > 0.05; 260 pairwise comparison, EGFP vs. hM4Di post-training, $16.0\% \pm 3.8\%$ vs. $16.4\% \pm 4.7\%$, P >261 0.05).

262 As we have shown that CCK-positive neural projections extend from the EC to the LA, we 263 transfected CCK-expressing neurons in the EC with a Cre-dependent hM4Di in CCK-Cre mice 264 (Figure 4h–j). These mice received an i.p. injection of CLZ (N = 10) or VEH (N = 10) prior to long trace fear conditioning. After training, mice injected with CLZ showed significantly lower 265 266 freezing percentages than those injected with the VEH, whereas no statistical differences were 267 observed at baseline or prior to the CS (Figure 4l, two-way RM ANOVA, significant interaction, F [1,18] = 5.90, P = 0.026 < 0.05; pairwise comparison, CLZ vs. VEH at baseline, 268 269 $12.9\% \pm 1.7\%$ vs. $14.2\% \pm 2.2\%$, P > 0.05; CLZ vs. VEH post-training, $48.4\% \pm 7.4\%$ vs. 27.1%270 \pm 3.7%, P = 0.017 < 0.05; Figure 4k, two-way RM ANOVA, interaction not significant, F [1, 271 [18] = 0.043, P = 0.84 > 0.05; pairwise comparison, CLZ vs VEH at baseline, $10.2\% \pm 2.4$ vs.

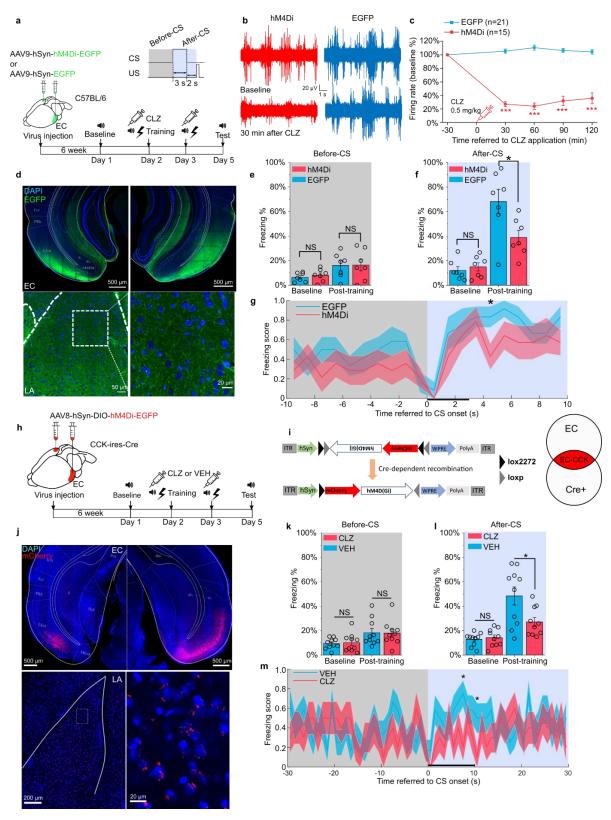


Figure 4. Formation of trace fear memory is suppressed by chemogenetic inhibition of the EC and CCK-positive EC neurons.

(a) Schematic diagram of trace fear conditioning and chemogenetic inhibition of the EC. EC, entorhinal cortex; hM4Di, inhibitory DREADD receptor; CLZ, clozapine.

(**b**) Representative traces of extracellular recording in the EC before and after systemic application of CLZ in hM4Di-expressing (red) and EGFP-expressing mice (blue).

(c) Normalized firing rate of the EC neurons before and after systemic CLZ application. ***P < 0.001; two-sample t-test.

(d) Verification of viral expression in the bilateral EC (top panel) and the EC-LA projection (bottom left panel). A magnified image of the EC-LA projection is shown in the bottom right inset.

(e-f) Freezing percentages before (e) and after (f) the CS during the testing session in hM4Di-expressing (N = 7) or EGFP-expressing mice (N = 7). *P < 0.05; NS, not significant; two-way RM ANOVA with Bonferroni post-hoc pairwise test; RM ANOVA, repeated measures analysis of variance.

(g) Freezing score plot of hM4Di-expressing and EGFP-expressing mice during the testing session. Solid lines indicate the mean value, and shadowed areas indicate the SEM. The black bar indicates the presence of the CS from 0 s to 3 s. *P < 0.05; two-sample t-test; SEM, standard error of the mean.

(h–i) Schematic diagrams of chemogenetic CCK inhibition in the EC. Cre-dependent hM4Di was expressed in CCK-Cre mice. After Cre-mediated recombination, CCK neurons in the EC were transfected with hM4Di.

(j) Verification of viral expression in the bilateral EC (top panel) and the EC-LA projection (bottom left panel). A magnified image of the EC-LA projection is shown in the bottom right inset.

(k-l) Freezing percentages before (k) and after (l) the CS during the testing session in mice treated with CLZ or vehicle (VEH). *P < 0.05; NS, not significant; two-way RM ANOVA with Bonferroni post-hoc pairwise test.

(**m**) Freezing score plot of CLZ- and VEH-treated mice during the testing session. The black bar indicates the presence of the CS from 0 s to 10 s. *P < 0.05; two-sample t-test; SEM, standard error of the mean.

272 $9.4\% \pm 1.4\%$, P > 0.05; CLZ vs. VEH post-training, $18.0\% \pm 3.2\%$ vs. $18.3\% \pm 3.4\%$, P > 0.05;273Movie S9, S10). These results mirror those observed in CCK-^{*i*} mice and suggest that trace fear274memory formation relies on intact and functional CCK-positive neurons in the EC.

275 CCK-positive neuronal projections are predominant in the EC-LA pathway

- 276 To further demonstrate that afferents to the amygdala originate from CCK-expressing neurons
- 277 in the EC, we locally injected a Cre-dependent color-switching virus (AAV-CAG-DO-
- 278 mCherry-DIO-EGFP) in the EC of CCK-Cre mice (N = 2; Figure 5a–b). With this combination,
- 279 CCK-positive neurons express EGFP, and CCK-negative neurons express mCherry (Saunders
- et al., 2012). We found that EGFP+ (i.e., CCK+) neurons made up a slightly higher proportion of labeled neurons than mCherry+ (i.e., CCK-) neurons (Figure 5c-d, EGFP vs. mCherry, 58.9%
- $\pm 4.8\%$ vs. $38.6\% \pm 5.0\%$, one-way RM ANOVA, Wilks' Lambda = 0.58, F [1,6] = 4.34, P =
- 0.0822 > 0.05). Interestingly, we found that CCK+ neural projections from the EC to the LA
- were densely labeled with EGFP, whereas mCherry labeling of CCK– projections was dramatically weaker. Quantitative analysis revealed that the projection intensity of the EC^{CCK+} \rightarrow LA was 3-fold higher than the EC^{CCK-} \rightarrow LA (35.6% ± 9.5%). In other words, CCK-
- 286 EC \rightarrow LA was 3-fold higher than the EC \rightarrow LA (35.6% ± 9.5%). In other words, CCK-287 positive afferents constituted approximately 75% of total afferents from the EC to the LA
- 288 (Figure 5e–f).

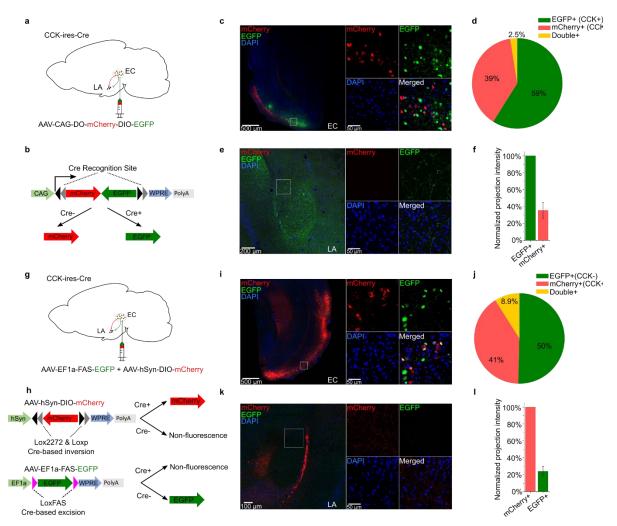


Figure 5. CCK-expressing projections predominate in the EC-LA pathway.

(a-b) Schematic diagram of Cre-dependent color-switch labeling in the EC-LA pathway. AAV-CAG-DO-mCherry-DIO-EGFP was injected in the EC. Using this labeling scheme, EGFP is expressed in CCK+ neurons, and mCherry is expressed in CCK- neurons.

(**c**-**d**) Visualization (**c**) and quantification (**d**) of viral expression in the EC. Representative immunofluorescent images in the EC 7 weeks after viral injection (**c**). Scale bar = 500 μ m (left). Magnified images are shown in insets on the right. Scale bar = 50 μ m. Percentages of EGFP+ (CCK+), mCherry+ (CCK-), and double-positive neurons (**d**). No statistical differences were observed. *P* = 0.08; one-way RM ANOVA, repeated measures analysis of variance.

(e-f) Visualization (e) and quantification (f) of EGFP-expressing (CCK+) and mCherryexpressing (CCK-) afferents in the amygdala stemming from the EC. The fluorescent intensity of neuronal projections was normalized to the EGFP+ signal, which was approximately 3-fold stronger than the mCherry+ signal ($35.6\% \pm 9.5\%$).

(g-h) Schematic diagram of Cre-dependent color-switch labeling in the EC-LA pathway. A mixture of AAV-hSyn-DIO-mCherry and AAV-EF1 α -FAS-EGFP was injected in the EC. Using this labeling scheme, mCherry is expressed in CCK+ neurons, and EGFP is expressed in CCK- neurons.

(i–j) Visualization (i) and quantification (j) of viral expression in the EC. Representative immunofluorescent images in the EC 7 weeks after viral injection (c). Scale bar = 500 μ m (left). Magnified images are shown in insets on the right. Scale bar = 50 μ m. Percentages of mCherry+ (CCK+), EGFP+ (CCK-), and double-positive neurons (j). No statistical differences were observed. *P* = 0.55; one-way RM ANOVA; Wilks' Lambda = 0.94; F (1,6) = 0.39.

(k–l) Visualization (k) and quantification (l) of EGFP-expressing (CCK+) and mCherryexpressing (CCK–) afferents in the amygdala stemming from the EC. The fluorescent intensity of neuronal projections was normalized to the mCherry+ signal, which was approximately 4-fold stronger than the EGFP+ signal ($24.0\% \pm 5.6\%$).

- 289 To determine if the fluorescent reporter proteins interfered with projection strength, we
- inverted the color combination by combining two AAVs: AAV-hSyn-DIO-mCherry and AAV EF1α-FAS-EGFP (Saunders et al., 2012). These Cre-dependent AAVs were injected into the
- 292 EC of CCK-Cre mice. In CCK-Cre mice, AAV-hSyn-DIO-mCherry induces Cre-ON mCherry
- 293 expression in CCK+ neurons, and AAV-EF1α-FAS-EGFP induces Cre-OFF EGFP expression
- in CCK- neurons (Figure 5g-h). With the mixed AAVs, we labeled approximately 50% CCK-
- EGFP+ neurons, 41% CCK+ mCherry+ neurons, and 8.9% double-positive neurons (Figure
- 296 <u>5i-j</u>). The higher percentage of double-positive neurons present in this system indicates a
- higher probability of off-target effects compared to the previous color-switching AAV (8.9% $\pm 2.7\%$ vs. $2.5\% \pm 1.1\%$). Consistent with the previous color-switching AAV, we observed that CCK+ (mCherry+) projections were predominant. Specifically, the intensity of the
- 300 $EC^{CCK+} \rightarrow LA$ was approximately 4-fold higher than the $EC^{CCK-} \rightarrow LA$ (24.0% ± 5.6%). 301 Altogether, our results suggest that the $EC^{CCK+} \rightarrow LA$ is the predominant subpopulation of
- 302 projections, and that these projections are of functional significance in the EC-LA pathway.

303 CCK-positive neural projections from the EC to the LA enable neural plasticity and 304 modulate trace fear memory formation

305 Finally, we asked whether CCK-positive projections from the EC modulate neural plasticity in the LA. First, we expressed a Cre-dependent high frequency-responsive channelrhodopsin 306 307 (ChR2) variant E123T (ChETA) under control of the universal EF1a promoter in CCK-Cre mice (Figure 6a). Then, we implanted optic fibers targeting the LA to illuminate $EC^{CCK+} \rightarrow LA$ 308 309 projections and electrodes to conduct *in vivo* electrophysiological recording as before (Figure 310 6b). Post-hoc anatomical analysis confirmed the distribution of ChETA in the EC-LA axon 311 terminals (Figure 6c). These CCK+ projections were innervated with postsynaptic CCKBR 312 (Figure 6d), suggesting that CCK+ projections from the EC effectively activated CCKBR in 313 the LA. Finally, we recorded auditory evoked potential (AEP) and visual evoked potential 314 (VEP) in the LA of anesthetized mice (Figure 6e-g). Although AEP and VEP had similar 315 waveforms, the latency of AEP was much shorter than VEP (Figure 6e–f, peak latency: $38.9 \pm$ 316 3.2 ms for AEP, N = 13, vs. 89.5 ± 3.1 ms for VEP, N = 11, two-sample t-test, P < 0.001). This 317 observation implies that input pathways other than the canonical thalamo-cortico-amygdala 318 and thalamo-amygdala projections regulate the transmission of visual cues. We applied high-319 frequency-laser-stimulation (HFLS, Figure 6h) of the EC-LA axons before the auditory stimulus (AS) to trigger AEP-LTP in the LA. After induction, the AEP slope in the ChETA-320 expressing group (n = 10) increased significantly, whereas the VEP slope did not change 321 322 (Figure 6i–j, two-way RM ANOVA, significant interaction, F [1,9] = 14.46, P = 0.0042 < 0.01; 323 pairwise comparison, AEP before vs. after pairing, $97.8\% \pm 5.5\%$ vs. $187.6\% \pm 15.6\%$, P <324 0.001; VEP before vs. after pairing, $96.3\% \pm 4.9\%$ vs. $120.7\% \pm 9.1\%$, P = 0.67). Additionally, 325 we injected a non-opsin expressing control AAV (AAV- $EF1\alpha$ -DIO-EYFP, n = 20) and the

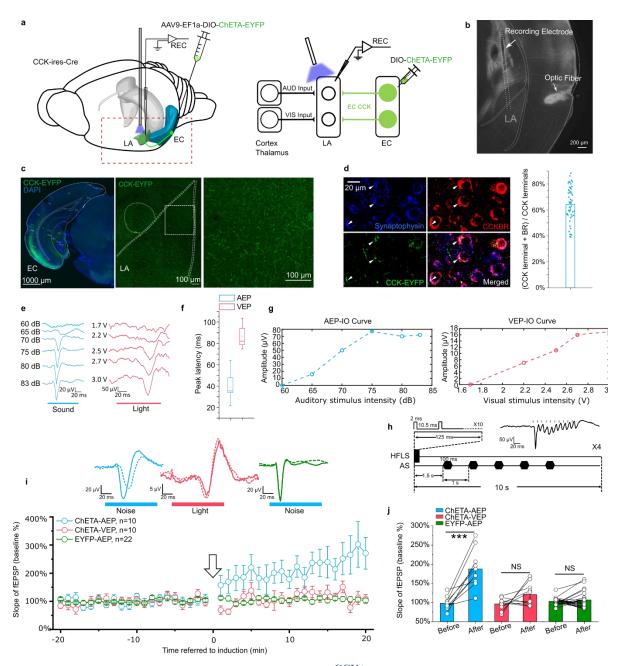


Figure 6. High frequency activation of the $EC^{CCK+} \rightarrow LA$ pathway induces LTP of AEP in the LA.

(a) Schematic diagram of the experiment. The Cre-dependent high frequency-responsive opsin ChETA was expressed in the EC of CCK-Cre mice. Electrodes were inserted into the LA, and blue light was used to illuminate the recording area. The red rectangle in the left panel is magnified in the right panel to illustrate the neural pathways that are recruited during recording. AUD, auditory stimulus; VIS, visual stimulus; LA, lateral amygdala; EC, entorhinal cortex; REC, recording.

(b) Post-hoc verification of the electrode tracks and optic fiber placement.

(c) Post-hoc verification of viral expression in the EC (left) and in CCK-positive projections in the LA (middle). A magnified image is shown in the right panel and corresponds to the boxed area of the middle panel.

(d) Co-immunofluorescent staining of the CCK-positive fiber (EYFP), the axon terminal (synaptophysin), and CCKBR in the LA. The white arrowhead indicates a triple-positive neural terminal. Quantification of the CCK and CCKBR double-positive neural terminals out of all CCK-positive terminals (right).

(e) Representative traces of auditory evoked potential (AEP) and visual evoked potential (VEP) at different sound and light intensities.

(f) AEP and VEP peak latency.

(g) Representative input/ouput (IO) curves for AEP (left) and VEP (right).

(h) Detailed pairing protocol to induce LTP. Representative averaged fEPSP trace evoked by HFLS is shown in the inset. HFLS, high frequency laser stimulation; AS, auditory stimulation.

(i) Time course plot of the normalized slope of AEP and VEP during LTP. The arrow indicates the application of LTP induction. Representative traces of averaged AEP/VEP before (-10-0 min, dotted line) and after (10-20 min, solid line) induction from the three groups are shown in the top insets.

(j) The average normalized slopes 10 min before pairing (-10-0 min, before) and 10 min after pairing (10-20 min, after) in the three groups. ***P < 0.001; two-way RM ANOVA with Bonferroni post-hoc pairwise test; RM ANOVA, repeated measures analysis of variance; NS, not significant.

- 326 AEP-LTP was not induced with the same protocol (two-way RM ANOVA between CHETA
- and EYFP, F [1,30] = 46.65, P < 0.001; pairwise comparison, before vs. after pairing in the EYFP group, $102.8\% \pm 2.2\%$ vs. $106.7\% \pm 4.8\%$, P > 0.05, Figure 6h-i) These results suggest that high frequency activation of EC^{CCK+}→LA switches the AEP-LTP in the LA.

330 In the next experiment, we examined the possibility of other neuroactive molecules that are coreleased with CCK and contribute to HFLS-induced AEP-LTP. We adopted an RNA 331 332 interference technique that specifically knockdown the CCK expression in the EC. We 333 accomplished this by injecting a Cre-dependent AAV cassette carrying a ChR2 variant 334 (E123T/T159C) and a short hairpin RNA (shRNA) targeting CCK (anti-CCK) or a nonsense 335 sequence (anti-Scramble) into the EC of CCK-Cre mice (Figure 7a-c). The inclusion of laser-336 responsive ChR2 allowed us to induce the above AEP-LTP by specifically stimulating the $EC^{CCK+} \rightarrow LA$ pathway. We applied our HFLS pairing protocol in these mice and found that 337 AEP-LTP could not be induced in the anti-CCK group but could successfully induced in the 338 339 anti-Scramble group (Figure 7d-f, two-way RM ANOVA, significant interaction, F [1,31] =340 14.94, P < 0.001; pairwise comparison, before vs. after pairing in the anti-CCK group, 101.5% 341 $\pm 2.5\%$ vs. 98.0% $\pm 4.8\%$, P > 0.05; before vs. after pairing in the anti-Scramble group, 103.0% 342 $\pm 3.8\%$ vs. 138.8% $\pm 9.7\%$, P < 0.001). This observation implies that CCK alone is responsible 343 for HFLS-induced AEP-LTP.

- To dissect the real-time behavioral dependency of trace fear memory formation on the EC^{CCK+} \rightarrow LA pathway, we employed optogenetics. We expressed the inhibitory opsin eNpHR3.0 (AAV-EF1 α -DIO-eNpHR3.0-mCherry) or GFP control (AAV-hSyn-FLEX-GFP) in the EC of CCK-Cre mice. We also implanted optic fibers targeting the LA in these mice and then subjected the mice to trace fear conditioning (Figure 8a–b). During trace fear conditioning, EC^{CCK+} \rightarrow LA were stimulated at a frequency of 5 Hz (i.e., 100 ms illumination + 100 ms interval) by the optic fibers for the duration of the CS and trace interval, as indicated in Figure
- 351 <u>8a</u>. For these experiments, mice were positioned in a head-fixed setup on a moveable surface,

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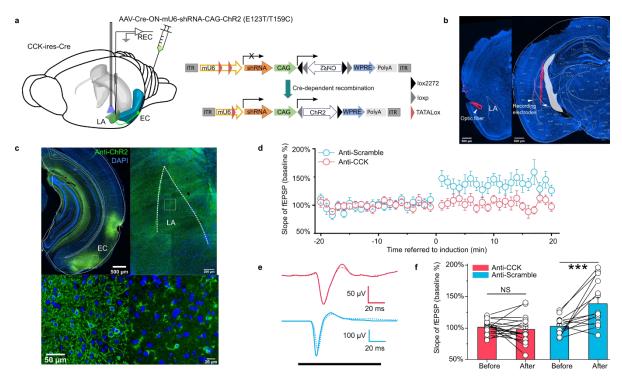


Figure 7. *In vivo* knockdown of CCK expression blocks AEP-LTP induction in the LA.

(a) Schematic diagram of the experiment. CCK-Cre mice were injected in the EC with an AAV expressing shRNA (anti-CCK or anti-Scramble) and ChR2. *In vivo* recording was conducted in the LA (left). After Cre-mediated recombination, EC-CCK neurons were transfected with shRNA targeting CCK (anti-CCK) or nonsense sequence (anti-Scramble) as well as the excitatory opsin ChR2 variant E123T/T159C (right). AAV, adeno-associated virus; EC, entorhinal cortex; LA, lateral amygdala; REC, recording; ITR, inverted terminal repeat; mU6, mouse U6 promoter; CAG, CMV enhancer, chicken β -actin promoter; WPRE, woodchuck hepatitis virus (WHP) posttranscriptional regulatory element.

(b) Post-hoc verification of the electrode tracks and optic fiber.

(c) Post-hoc immunofluorescent staining targeting ChR2 in the EC (left) as well as in the CCK-positive projections distributed in the LA (right). Magnified images are shown in the bottom insets.

(d) Time course plot of the normalized AEP slope before and after pairing in mice expressing anti-CCK or anti-Scramble shRNA.

(e) Representative traces of the averaged AEP before (-10-0 min, dotted line) and after (10-20 min, solid line) induction in the two groups. Anti-Scramble is indicated in blue, and anti-CCK is indicated in red.

(f) The average normalized slopes 10 min before pairing (-10-0 min, before) and 10 min after pairing (10-20 min, after) in the two groups. ***P < 0.001, two-way RM ANOVA with Bonferroni post-hoc pairwise test; RM ANOVA, repeated measures analysis of variance; NS, not significant; fEPSP, field excitatory postsynaptic potential.

and an electrical tail shock was given as the US. After administration of the US, we most commonly observed flight (running). Interestingly, we found that after a few training trials, some GFP control mice (3/6 animals, data not shown) began running before the US was given, 355 suggesting that GFP mice associate the CS with the US and make predictions in subsequent 356 training trials (Movie S11). In contrast, we observe much fewer conditioned defensive 357 responses in the eNpHR group throughout the training process (1/8 animals and 2/40 observed 358 training trials, data not shown, Movie S12). Additionally, we recorded the freezing percentages 359 in response to the CS before and after head-fixed fear conditioning (Figure 8c-d). We found 360 that mice in the eNpHR group showed impaired freezing percentages post-training compared 361 to mice in the GFP group (Figure 8d, two-way RM ANOVA, significant interaction, F [1,12] 362 = 19.20, P < 0.001; pairwise comparison, GFP vs. eNpHR post-training, $39.0\% \pm 2.0\%$ vs. 363 $12.2\% \pm 4.8\%$, P < 0.001; Movie S13, S14). We did not observe any differences between the 364 two groups at baseline (Figure 8d, pairwise comparison, GFP vs. eNpHR at baseline, $12.7\% \pm$ 365 3.4% vs. 12.2% \pm 4.8%, P > 0.05) or prior to the CS (Figure 8c, two-way RM ANOVA, 366 interaction not significant, F [1, 12] = 0.67, P = 0.43; pairwise comparison, GFP vs. eNpHR at 367 baseline, $15.0\% \pm 2.8\%$ vs. $8.0\% \pm 1.7\%$, P > 0.05; GFP vs. eNpHR post-training, $19.3\% \pm$ 368 3.8% vs. 17.8% \pm 5.4%, P > 0.05). Altogether, our results suggest that trace fear memory formation is disturbed by real-time inhibition of the $EC^{CCK+} \rightarrow LA$ pathway. 369

370 In summary, the release of the neuropeptide CCK from the EC neurons switches neural

371 plasticity in the LA, and facilitates the formation of trace fear memory. Dysfunction in any part

of this pathway impairs the formation of trace fear memory in mice. These results extend our

understanding of learning and memory formation and have important implications for fear-

374 related mental disorders.

375 **Discussion**

376 Here, we employed classical Pavlovian trace fear conditioning to test the formation of trace 377 fear memory in CCK^{-/-} and WT mice. We demonstrate that CCK^{-/-} mice have impaired fear 378 responses compared to WT mice in both short and long trace fear conditioning. We also 379 confirm that this behavioral defect is not caused by other abnormalities, including deficits in 380 hearing and fear expression. Indeed, we demonstrate that depletion of CCK expression in mice 381 impairs trace fear conditioning responses, which can be rescued by exogenous activation of 382 CCKBR with its agonist CCK-4. Overall, our study suggests that trace fear memory formation 383 and neural plasticity in the LA are dependent on a functional CCK network in the CNS.

384 Trace fear conditioning includes a gap between the CS and the US, which distinguishes it from 385 the simultaneous CS-US termination in delay fear conditioning. In trace fear conditioning, mice 386 must retain information from the CS during the trace interval and associate it with the 387 subsequent US. As a result, the learning process in trace fear conditioning is slower than in 388 delay fear conditioning, and fear generalization is more pronounced. We previously reported 389 that WT animals form CS-US associations after three trials with minimal fear generalization in 390 auditory-cued delay fear conditioning (X. Chen et al., 2019). In our previous report, we also demonstrated that CCK^{-/-} mice have difficulties in forming auditory-cued delay fear memory, 391 392 visually-cued delay fear memory, or electrically-cued trace fear memory in which an electrical 393 pulse stimulus in the auditory cortex is paired with a foot shock (X. Chen et al., 2019; Z. Zhang 394 et al., 2020). Together, the results of our previous work and the present study indicate that the 395 absence of the neuropeptide CCK has broad damaging effects on multiple forms of fear 396 memory and is not limited to trace fear memory.

Fear conditioning can potentiate the signals of auditory responsive units in the LA (Quirk et al., 1995) in a phenomenon referred to as LTP. As a result, many studies have identified LTP

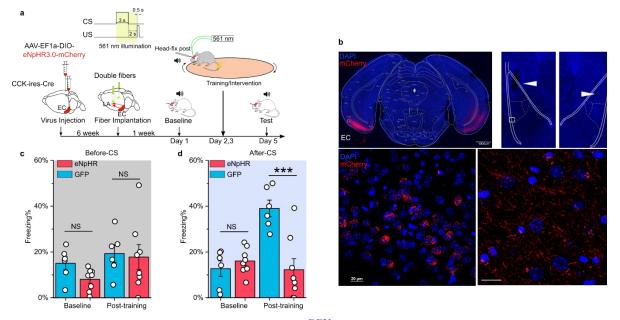


Figure 8. Real-time inhibition of the $EC^{CCK+} \rightarrow LA$ pathway impairs trace fear memory formation.

(a) Schematic diagram of the experiment. The Cre-dependent inhibitory opsin eNpHR3.0 was expressed in the EC of CCK-Cre mice. Optic fibers were implanted near the LA to illuminate the CCK-positive fiber that signals from the EC to the LA during auditory-cued trace fear conditioning. The inset at the top right shows the timing of illumination, which covers the CS presentation and trace interval. EC, entorhinal cortex; LA, lateral amygdala; CS, conditioned stimulus; US, unconditioned stimulus.

(b) Post-hoc verification of viral expression in the EC (top left) and of the optic fiber track in the LA (top right). The white rectangle in the top right panel is magnified in the bottom right panel. Magnified images show the transfected EC-CCK neurons (bottom left) and the CCK-positive EC-LA fibers (bottom right).

(c-d) Freezing percentages before (c) and after (d) the CS in eNpHR-expressing mice (red, N = 8) and GFP-expressing control mice (blue, N = 6) on pre-training day (baseline) and post-training day. ***P < 0.001; NS, not significant; two-way RM ANOVA with Bonferroni post-hoc pairwise test; RM ANOVA, repeated measures analysis of variance.

- 399 as a physiological hallmark of fear conditioning (Blair HT, Schafe GE, Bauer EP, Rodrigues
- 400 SM, 2001; Maren, 2001). In our study, we used *in vivo* recording to measure auditory-evoked
- 401 field excitatory postsynaptic potential (fEPSP) or AEP. We did not find any apparent
- 402 abnormalities in AEP (such as amplitude or latency) in CCK^{-/-} mice, suggesting that cortical 403 and thalamic auditory inputs to the LA were functional. CCK^{-/-} mice did fail to induce AEP-
- 403 and thalamic auditory inputs to the LA were functional. CCK^{-/-} mice did fail to induce AEP-404 LTP in the LA, strongly suggesting a deficiency in neural plasticity. However, we cannot
- simply assume that AEP-LTP induction is equivalent to trace fear memory. Occasionally, AEP-
- 406 LTP is not sufficient to trigger the expression of fear behaviors. Kim and Cho reported that
- 407 LTP in the LA was maintained during fear extinction (Kim & Cho, 2017). Thus, LTP in the
- 408 LA is necessary but not sufficient for fear memory formation.
- 409 As the EC has been previously implicated in trace fear memory and behaviors, we manipulated
- 410 EC function in our present study and investigated the behavioral and signaling outcomes. We
- 411 found that silencing EC neurons with DREADD hM4Di impaired the formation of trace fear
- 412 memory, which is consistent with several previous studies. For instance, electrolytic lesion of

413 the EC impairs trace eyeblink conditioning performance in mice (Ryou et al., 2001), and 414 neurotoxic lesions as well as M1 receptor blockade in the EC impair trace fear memory 415 formation but not delay fear memory formation (Esclassan et al., 2009). Although this 416 preferential association with trace fear memory has also been observed in certain areas of the 417 hippocampus (Bangasser, 2006), the EC is a promising regulatory unit, because EC neurons 418 maintain persistent spikes activity in response to stimuli (Egorov et al., 2002; Fransén et al., 419 2006). This sustained neuronal activity is thought to be the neural basis of 'holding' CS 420 information during trace intervals to allow for CS-US association even after long trace intervals 421 (20 seconds in our study). This information 'holding' theory is consistent with neuroimaging 422 reports on working memory in subjects who 'hold' stimuli for specific periods (Nauer et al., 423 2015).

424 Auditory responses have been previously found in the EC and its upstream circuit (G. W. Zhang 425 et al., 2018), however, these responses were limited to loud noise and did not involve the pure 426 tone used in our behavioral paradigm. We reasoned that if the EC perceives and delivers the 427 CS to downstream structures, then lesions in the EC would disturb the delay fear conditioning 428 as well. Instead, previous studies have robustly demonstrated that EC lesions leave delay fear 429 memory intact (Esclassan et al., 2009). The amygdala responds directly to the AS, and receives 430 inputs from the AC, the MGB, and hippocampus directly. Thus, the EC is likely involved in 431 the CS-US association in a more complicated manner, and this mechanism requires further 432 investigation. We speculate that this mechanism is probably similarly as our previous finding 433 in the sound-sound association (X. Chen et al., 2019) and visuo-auditory association (Z. Zhang 434 et al., 2020), which is neuropeptide-based hetero-synaptic modulation machinery.

435 With cell type-specific tracing systems, we demonstrated that the EC is an upstream brain 436 region that projects CCK-positive afferents to the LA, and these CCK-expressing EC neurons 437 are primarily excitatory (Figure 3). Using anterograde Cre-dependent color switch labeling in 438 the EC, we also found that CCK-expressing neurons were the predominant source of EC-LA 439 projections, implying that CCK is integral to EC-LA connection and communication. Cell type-440 specific chemogenetic inhibition of CCK-expressing neurons in the EC also impaired the 441 formation of trace fear memory. However, we cannot exclude the possibility that CCK may 442 originate in other brain regions and contribute to fear memory formation.

443 We triggered the release of CCK from axon terminals after in vivo HFLS of CCK-expressing 444 fibers in the LA (Hökfelt, 1991). In the presence of this artificially released CCK neuropeptide, 445 we then presented the AS. The AS activates presynaptic axons via the canonical LA fear circuit, 446 which is supported by the known role of the LA in receiving auditory input from both the 447 auditory cortex and the thalamus (Romanski & LeDoux, 1992). In our study, the AS triggered 448 postsynaptic neural firing. Therefore, our HFLS-mediated AEP-LTP induction protocol 449 combines the released CCK with pre- and postsynaptic activation altogether in the LA and this pairing leads to the potentiation of AEP in the LA. 450

451 In the current study, we successfully excluded the contribution of substances co-released with CCK to the induction of AEP-LTP by applying the in vivo RNA interference to knockdown 452 453 the expression of *Cck* in CCK-positive neurons of the EC. We found that knockdown of *Cck* 454 blocked the induction of AEP-LTP and our in vivo application of shRNA supports the clinical 455 use of shRNA to target mental disorders related to the CCK system. Our results that the 456 inhibition of CCK-positive EC afferents to the LA impaired trace fear memory formation 457 during both the learning and response phases suggest that establishing the CS-US association 458 during trace fear conditioning requires functional CCK-positive EC-LA projections.

459 In conclusion, we found that EC-LA projections modulate neuroplasticity in the LA and 460 therefore contribute to the formation of trace fear memory. The CCK terminals of the EC 461 neurons in the LA release CCK that enable hetero-synaptic neuroplasticity of the auditory 462 pathway to the LA. Our findings add a novel insight into the participation of the neuropeptide 463 CCK in the formation of the trace fear memory. As various mental disorders, including anxiety (Davis, 1992), depression (Shen et al., 2019; Siegle et al., 2007), and PTSD (Shin et al., 2006), 464 465 are highly correlated with hyperactivation and dysfunction of the amygdala and the fear memory circuitry, our finding supports CCK and its receptors as potential new targets for future 466

467 therapeutic applications in these disorders.

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477 Author Contributions

JH, HF and XC designed the experiments; HF conducted the electrophysiological and
behavioral experiments in mice; JS designed and manufactured two AAVs; HF, WF collected
the data of behavioral experiments; HF, WF collected and analyzed the anatomy data; JH, and
HF wrote the manuscript.

482 **Declaration of Interests**

483 The authors declare no conflict of interest.

484 Materials and Methods

485 **Table 1. Key Resources**

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies	1	1
Anti-CCKBR (1:1000)	Thermo Fisher Scientific, Waltham, MA, USA	Cat# PA3-201, RRID: AB_10979062
Anti-CCKBR (1:200)	Santa Cruz Biotechnology, Dallas, TX, USA	Cat# sc-166690, RRID: AB 2070487
Anti-Synaptophysin (1:500)	Sigma-Aldrich, St. Louis, MO, USA	Cat# S5768, RRID: AB 477523
Anti-CamKIIa (1:500)	Abcam, Cambridge, UK	Cat# Ab52476, RRID: AB 868641
Anti-GAD67 (1:500)	Millipore, Burlington, MA, USA	Cat# MAB5406, RRID: AB 2278725
Anti-ChR2 (1:2000)	American Research Products, Waltham, MA, USA	Cat# 03-651180
Alexa647 Donkey-anti- Mouse (1:500)	Jackson ImmunoResearch Labs, West Grove, PA, USA	Cat# 715-605-150, RRID: AB_2340862
Alexa647 Donkey-anti- Rabbit (1:500)	Jackson ImmunoResearch Labs, West Grove, PA, USA	Cat# 711-605-152, RRID: AB_2492288
DyLight 594 Goat-anti- Mouse (1:500)	Thermo Fisher Scientific, Waltham, MA, USA	Cat# 35511, RRID: AB_1965950
Alexa488 Donkey-anti- Mouse (1:500)	Jackson ImmunoResearch Labs, West Grove, PA, USA	Cat# 715-545-150, RRID: AB_2340846
Alexa594 Goat-anti-Mouse (1:500)	Jackson ImmunoResearch Labs, West Grove, PA, USA	Cat# 111-585-144, RRID: AB_2307325
Virus	·	•
AAV-Eflα-DIO-ChETA- EYFP	Addgene, Watertown, MA, USA	RRID: Addgene_26968
AAV-EF1α-DIO-EYFP	BrainVTA, Wuhan, China	NA
AAV-hSyn-FLEX-GFP	BrainVTA, Wuhan, China	NA
AAV-hSyn-hM4Di-EGFP	BrainVTA, Wuhan, China	NA
AAV-hSyn-EGFP	Addgene, Watertown, MA, USA	RRID: Addgene_105539
AAV-hSyn-DIO-hM4D(Gi)- mCherry	Addgene, Watertown, MA, USA	RRID: Addgene_44362
AAV-hSyn-DIO-mCherry	Addgene, Watertown, MA, USA	RRID: Addgene_50459
AAV-EF1α-DIO-eNpHR3.0- mCherry	BrainVTA, Wuhan, China	NA
AAV-EF1α-FAS-EGFP	Taitool, Shanghai, China	NA

AAV-CAG-DO-mCherry-	This paper	NA			
DIO-EGFP		NA			
AAV8-Cre-ON-ChR2-	This paper	NA			
antiCCK					
AAV8-Cre-ON-ChR2-	This paper	NA			
antiScramble	Addama Watartawa	DDID: Address 104401			
retroAAV-hSyn-FLEX- jGcamp7s	Addgene, Watertown, MA, USA	RRID: Addgene_104491			
AAV-hSyn-CCK2.0	Vigene Bioscience, Ji'nan,	NA			
	China				
Oligonucleotides					
Anti-CCK	BGI, Shenzhen, China	GACTCCCAGACCTAATG			
		TTGC			
Anti-Scramble	BGI, Shenzhen, China	GTTGGCTCCTAGCAGAT			
		CCTA			
Primers for genotyping of CCK ^{-/-}	BGI, Shenzhen, China	ATGCAGGCAAATTTTGG			
CCK		TGT; GAGCGGACACCCTTACC			
		TTT;			
		GACTTCTGTGTGCGGGA			
		CTT			
Recombinant DNA					
pAAV-CAG-Flex-tdTomato	Addgene	28306			
PUC57-mU6 with TATALox					
PUC57-CAG-DIO-	Addgene	35509; 101766			
ChR2(E123T/T159C)-Flag					
pUC57-CAG-DIO-mCherry-	Addgene	34582; 98750			
EYFP (inverted) Chemicals, Peptides, and Recombinant Proteins					
Urethane	Sigma-Aldrich, St. Louis,	Cat# U2500			
Stethalie	MO, USA	02500			
Pentobarbital (20%	Alfasan International B.V.,				
Dorminal)	Woerden, Netherlands				
CCK4	Abcam, Cambridge, UK	Cat# ab141328			
Dil Stain	Thermo Fisher Scientific,	Cat# D282			
	Waltham, MA, USA				
Clozapine	Sigma-Aldrich, St. Louis,	Cat# C6305			
Alexa Fluor 647-conjugated	MO, USA Thermo Fisher Scientific,	Cat# C34778			
Cholera Toxin Subunit B	Waltham, MA, USA	Cat# C34778			
Experimental Models: Organis					
Mouse: C57BL/6	The Laboratory Animal				
	Services Centre, Chinese				
	University of Hong Kong,				
	Laboratory Animal				
	Research Unit, City				
	University of Hong Kong				
Mouse: CCK-ires-Cre	The Jackson Laboratory,	Cck ^{tm1.1(Cre)Zjh} /J,			
	Bar Harbor, ME, USA	Stock No: 012706			

Mouse: CCK-CreER	The Jackson Laboratory,	Cck ^{tm2.1(tm2.1/ERT2)Zjh} /J,			
	Bar Harbor, ME, USA	Stock No: 012710			
Mouse: CCK-ABKO	The Jackson Laboratory,	Stock No: 006365			
	Bar Harbor, ME, USA				
Mouse: CCK-BR KO	The Jackson Laboratory,	Stock No: 006369			
	Bar Harbor, ME, USA				
Software and Algorithms					
Origin 2018	OriginLab, Northampton,				
-	MA, USA				
Matlab R2020a	Mathworks, Natick, MA,				
	USA				
Fiji	(Schindelin et al., 2012)	https://imagej.net/Fiji			
TDT OpenEX	Tucker-Davis				
	Technologies, Alachua,				
	FL, USA				
Photoshop CC	Adobe, San Jose, CA,				
-	USA				
Excel	Microsoft, Redmond, WA,				
	USA				
Inkscape		https://inkscape.org/			
Offline Sorter	Plexon, Dallas, TX, USA				
NeuroExplorer	Plexon, Dallas, TX, USA				
Bonsai	(Lopes et al., 2015)	https://bonsai-rx.org/			
CellProfiler	(McQuin et al., 2018)	https://cellprofiler.org/			

486

487 Animals

488 Adult male and female C57BL/6, CCK^{-/-} (CCK-CreER), and CCK-Cre (CCK-ires-Cre) mice

489 were used in experiments. For behavioral experiments, only adult male mice were used. Mice

490 were housed in a 12 hour light/12 hour dark cycle (dark from 08:00 to 20:00) and were provided

491 food and water *ad libitum*. All experimental procedures were approved by the Animal Subjects

492 Ethics Sub-Committee of the City University of Hong Kong.

493 For surgical procedures when doing virus injection and optic fiber implantation, mice were 494 anesthetized with pentobarbital sodium (80 mg/kg, i.p., 20% Dorminal, Alfasan International B.V., Woerden, Netherlands,). For acute electrophysiological recording, mice were 495 496 anesthetized with pentobarbital sodium (80 mg/kg, i.p.) or urethane sodium (2 g/kg, i.p., 497 Sigma-Aldrich, St. Louis, MO, USA). Both anesthetics were periodically supplemented during 498 the experiment to maintain anesthesia. Mice were fixed in a stereotaxic device, and the scalp 499 was incised. A local anesthetic (xylocaine, 2%) was applied to the incision site for analgesia. 500 After skull levelling, craniotomies were performed with varying parameters based on the region

501 of the brain being accessed.

502 Auditory and visual stimuli

Auditory stimuli, including pure tones and white noise, were digitally generated by a specialized auditory processor (RZ6 from Tucker-Davis Technologies [TDT], Alachua, FL, USA). For behavioral experiments, auditory stimuli were delivered via a free-field magnetic speaker (MF-1, TDT) mounted 60cm above the animal. Sound intensity was adjusted by a condenser microphone (Center Technology, Taipei) to \sim 70 dB when it reached the animal. For *in vivo* recording, auditory stimuli were delivered via a close-field speaker placed 509 contralaterally to the recording side. The sound intensity that induced 50%–70% of the 510 maximum response was selected. Visual stimuli were generated by a direct current (DC)-driven 511 torch bulb via the analog voltage output of the TDT workstation. Light intensity was roughly 512 quantified as the value of the trigger voltage. For *in vivo* recording, the light intensity that 513 induced 50%–70% of the maximum response was selected.

514 Auditory brainstem response recording

515 Mice were anesthetized with pentobarbital sodium (80 mg/kg, i.p.) and placed on a clean and 516 warm blanket in a soundproof chamber. A free-field magnetic speaker (MF-1, TDT) was placed 517 10 cm away from the right ear of mice. Recording, reference and ground needle electrodes 518 (Spes Medica, Genova, Italy) were subcutaneously inserted below the forehead, right ear and 519 left ear, respectively. Auditory stimuli (wide spectrum clicks, 0.1 ms) were presented to the 520 mouse with a decreasing level from 80 dB to 20 dB with an interval of 5 dB. For each level of 521 click stimulus, total 512 times of presentation were given at a frequency of 21 Hz. ABR signals 522 were collected via a specialized processor (RZ6, TDT) and digitalized with a bandpass filter 523 from 100 Hz to 5 kHz. Stimuli generation and data processing was performed with software 524 BioSigRZ (TDT).

525 **Trace fear conditioning**

526 On pre-conditioning day, each mouse was placed into the testing context (acrylic box with 527 white wallpaper measuring 25 cm \times 25 cm \times 25 cm) for habituation and baseline recording. 528 After 3 min of habituation, a CS (2.7 kHz or 8.2 kHz pure tone, 70 dB SPL, 3 s for the short 529 trace paradigm and 10 s for the long trace paradigm) was given three times within 20 min.

530 On conditioning day, the mouse was placed into the fear conditioning context (acrylic box with 531 brown wallpaper measuring 18 cm wide \times 18 cm long \times 30 cm high and equipped with foot 532 shock stainless steel grid floor). After 3 min of habituation, a CS-US pairing was given. In the 533 short trace interval paradigm, an US (0.5 mA foot shock, 0.5 s) was given 2 s after a 3-s-long 534 CS. Three trials were given on each training day, and the interval between trials was 10–15 535 min. Totally two training days were given. The mouse was kept in the fear conditioning context 536 for a 10 min consolidation period after the last training trial. In the long trace interval paradigm, 537 an US was given 20 s after a 10-s-long CS. Eight training trials were given each training day, 538 and the interval between trials was 2-3 min. The mouse was kept in the fear conditioning 539 context for a 5 min consolidation period after the last training trial. After training, each animal 540 was kept in a temporary cage and returned to their home cage after all individuals finished 541 training.

542 On post-conditioning day (test day), the mouse was placed into the testing context. After 3 min 543 of habituation, a CS was presented to the animal twice with a 2 min-long interval between 544 stimuli. Two min after the last trial, the animal was transferred to a temporary cage and returned 545 to its home cage after all individuals in its cage finished testing.

All contexts were cleaned thoroughly with 75% ethanol after each individual session. All of 546 547 the above procedures were conducted in a soundproof chamber, and all videos (baseline, 548 training, and testing) were recorded with a webcam (Logitech C270) set in the ceiling of the 549 chamber. Videos were analyzed with a custom program based on an open-source platform 550 (Lopes et al., 2015) (https://bonsai-rx.org). Briefly, the centroid of the animal was extracted 551 from the videos. By comparing the coordinates of the centroid frame by frame, we then 552 calculated the distance moved between two frames. The instant velocity of the animal was 553 calculated by dividing this distance by the time span between two adjacent frames. The freezing 554 percentage was defined as the percentage of frames with an instant velocity lower than the 555 threshold of all frames in an observed time window. We compared the output of this program

to results observed by the naked eye. Finally, we selected 0.1 ($pixel^2/s$) as the appropriate moving threshold to define freezing. Freezing score was defined as the binary value (0 or 1) of time frame with instant velocity higher (0, 'not freezing') or lower (1, 'freezing') than the threshold. For freezing score plot shown in Figure 1, 2 and 4, freezing scores from all test sessions were averaged per second for data visualization.

561 Electrophysiological recording in the LA and EC

- Mice were subjected to the surgical procedures describe above. Tracheotomy was conducted 562 563 to facilitate breathing and to prevent asphyxia caused by tracheal secretions during the 564 experiment. Craniotomy was performed 1.0-2.0 mm posterior and 3.0-4.0 mm lateral to the bregma to target the LA. Dura mater was partially opened using a metal hook made of a 29G 565 566 syringe needle. Tungsten recording electrodes (0.5–3.0 M Ω , FHC, Bowdoin, ME USA) were 567 slowly inserted into the LA (approximately 3.5 mm from the brain surface). For laser 568 stimulation experiments, another craniotomy was performed at the temporal lobe (1.0-2.0 mm 569 posterior to the bregma) to expose the lateral rhinal vein. One optic fiber (200 µm diameter, 570 0.22 NA, Thorlabs, Newton, NJ, USA) was inserted below the rhinal vein and forwarded till 571 1.0–1.5 mm from the surface. The angle of the optic fiber was approximately 75° from the 572 vertical reference. Responses were recorded and passed to a pre-amplifier (PZ5, TDT) and an 573 acquisition system (RZ5D, TDT). Signals were filtered for field potential or spikes with 574 respective bandwidth ranges of 10-500 Hz and 1-5000 Hz. All recordings were stored using 575 TDT software (OpenEx, TDT). The maximum sound intensity was defined as the intensity that 576 elicited a saturated AEP. The AEP baseline was recorded with 50% of the maximum sound 577 intensity at a 5 s intertrial interval (ITI) for 20 min. For high-frequency electrical stimulation 578 (HFS) experiments, we used $\sim 70\%$ of the maximum sound intensity and a 150 µA electrical 579 stimulation current. For high-frequency laser stimulation (HFLS) experiments, we used > 10580 mW laser power to ensure activation of transfected axons. After AEP-LTP induction, we 581 recorded the AEP for another 20 min.
- 582 For recording in the EC, we applied the protocol from the Li I. Zhang laboratory (G. W. Zhang 583 et al., 2018). Craniotomy was performed at the juncture of the temporal, occipital, and 584 interparietal bones and exposed the caudal rhinal vein and the transverse sinus (Figure S3). 585 Electrodes were inserted approximately 1 mm below the dura mater.
- All field potential data were extracted and processed in the MATLAB program, and all single
 unit data were extracted from the TDT data tank to the Offline Sorter (Plexon) for spike sorting.
 Sorted data were forwarded to the Neuroexplorer (Plexon) for additional processing and
 visualization.

590 **Plasmid construction and AAV packaging**

- 591 The sequence and cloning details of plasmid will be described elsewhere (Su et al., manuscript 592 in preparation). In principle, we generated AAV vectors that allow Cre-controlled expression 593 of shRNA and channelrhodopsin in neurons. For plasmid pAAV-Cre-ON-mU6-ShRNA-CAG-594 ChR2(E123T/T159C), shRNA was placed under the control of a mouse U6 (mU6) promoter 595 inserted with a TATALox element (Ventura et al., 2004). CAG-DIO-ChR2(E123T/T159C) 596 cassette was inserted following the mU6-TATAlox-ShRNA cassette.
- In brief, the pAAV backbone was recovered after digesting pAAV-CAG-Flex-tdTomato (Addgene 28306) with NdeI and HindIII. Fragment 1 (pUC57-Cre-ON-mU6-shRNA) was acquired by digesting pUC57-Cre-ON-mU6(TATALox) with HpaI and XhoI and then ligating it with annealed oligos that targets the coding sequence of Cck mRNA (Anti-CCK) or nonsense sequence (Anti-Scramble). Fragment 2 was acquired by digesting pUC57-CAG-DIO-ChP2(E123T/T159C) Elag) with XhoI and HindIII. Fragment 3 was acquired by digesting

pUC57-CAG-DIO-mCherry-EYFP (inverted)) with EcoRI and HindIII. pAAV backbone,
Fragment 1 and Fragment 2 was ligated to make pAAV-Cre-ON-mU6-ShRNA-CAG-DIOChR2 (E123T/T159C)-Flag. pAAV backbone, Fragment 1 without shRNA, Fragment 3 was
ligated to make pAAV-CAG-DO-mCherry-DIO-EYFP. DNA templates and shRNA oligoes
mentioned above were acquired from Addgene or synthesized from BGI (Shenzhen, China)
and verified by sequencing.

609 For AAV packaging (Xiong et al., 2015), HEK293T cells were seeded into 5 dishes (15cm, 610 poly-D-lysine coated) for 1 viral preparation one day before transfection. Standard medium (DMEM, +10% FBS and antibiotics) were used for HEK293T cells. For PEI transfection, mix 611 612 35 µg AAV8 helper plasmid, 35 µg AAV vector, 100 µg pHGTI-adenol, 510 µL of PEI (1 613 µg/mL, Sigma) with DMEM (without FBS or antibiotics) to final volume of 25 mL. Incubate 614 this mixture at room temperature for 15 min. Meanwhile, replace the media in dishes with 615 DMEM + 10% NuSerum (Bio-gene) + antibiotics (20 mL/plate). Then add 5 mL of 616 transformation mix per plate. 24 hours after transfection, change the culture media to DMEM + antibiotics without Serum. 72 hours after transfection, culture medium was collected and 617 618 filtered to get rid of cell pellets. Collected medium was stirred at 4 °C for 1.5 hours, meanwhile 619 mixed with NaCl (final concentration of 0.4 M) and PEG8000 (final concentration of 8.5% 620 w/v). Virus were precipitated by centrifugation at 7000 g for 10 min. Supernatant was discarded 621 and 10 mL lysis buffer (150 mM NaCl, 20 mM Tris pH = 8.0) was added to re-suspend the 622 virus pellet. Virus was then concentrated and purified via Iodixanol gradients ("Optiprep" 623 Sigma D1556-250mL). Centrifuge the gradients for 90 min at 46,500 rpm at 16 °C. The virus 624 in 40% fraction was harvested and mixed with PBS and then transferred to an Amacon 100K 625 columns- UFC910008 to remove the Iodixanol. Purity and titer of virus were then assessed by 626 SDS-PAGE and SYPRO ruby staining (S-12000, Life technologies, Carlsbad, CA, USA).

627 Viral and tracer injection

Mice were subjected to the surgical procedures described above. For viral injection into the EC, 628 629 the following rostral parameters were used: Anterior-Posterior (AP) = 3.25 mm, Medial-Lateral 630 (ML) = 3.80 mm, Dorsal-Ventral (DV) = 3.60 mm from the surface, volume = 100 nL. Similarly, the following caudal parameters were used: AP = 4.25 mm, ML = 3.60 mm, DV =631 2.60 mm from surface, volume = 200 nL. For injection of tracer or virus into the LA, we used 632 633 the following parameters: AP = 1.70 mm, ML = 3.40 mm, DV = 3.70 mm from the surface, 634 volume = 200 nL. Craniotomy was performed after skull levelling and partial opening of the 635 dura mater using a syringe needle hook (29G). We used the Nanoliter2000 system (World 636 Precision Instruments [WPI], Sarasota County, FL, USA) for all infusions. Viral or tracer 637 infusions were slowly pumped into brain tissue trough a fine-tip glass pipette filled with silicon oil at a speed of no more than 50 nL/min. After infusion, the pipette was left in the injection 638 639 site for an extra 5–10 min before slow withdrawal. After withdrawal of the pipette, the scalp 640 was sutured, and a local anesthetic was applied. The animal was returned to its home cage after 641 awaking. For axon stimulation (observation), the virus was expressed for at least 7 weeks, and 642 for cell body stimulation (observation), the virus was expressed for at least 4 weeks. For CTB 643 tracer labeling, we perfused animals after 7 days of viral expression.

644 **Optic fiber implantation**

645 Mice were subjected to the surgical procedures described above. Craniotomy was performed 646 bilaterally to target the LA using the coordinates described above. Optic fibers (optic cannulae) 647 were gently inserted into the LA (50–100 μ m above the target area) and fixed with dental 648 cement (mega PRESS NV + JET X, megadental GmbH, Büdingen, Germany). For head 649 fixation, a long screw was fixed to the skull with dental cement at a 45° angle from the vertical 650 axis.

651 **Fiber photometry**

652 The commercial 1-site Fiber Photometry System (Doric Lenses Inc, Quebec, Canada) coupled with the RZ5D processor (TDT, USA) was used in the current study. Excitation light at 470 653 654 nm and 405 nm was emitted from two fiber-coupled LEDs (M470F3 and M405FP1, Thorlabs) 655 and sinusoidally modulated at 210 Hz and 330 Hz, respectively. The intensity of the excitation 656 light was controlled by an LED driver (LEDD1B, Thorlabs) connected with the RZ5D 657 processor via the software Synapse. Excitation light was delivered to the animal through a 658 dichroic mirror embedded in single fluorescence MiniCube (Doric Lenses, Quebec, QC, 659 Canada) in a fiber-optic patch cord (200 µm, 0.37 NA, Inper, Hangzhou, China). The intensity 660 of the excitation light at the tip of the patch cord was adjusted to less than 30 µW to avoid 661 photobleaching. The emission fluorescence was collected and transmitted through a bandpass 662 filtered by the MiniCube. The fluorescent signal was then detected, amplified, and converted to an analog signal by the photoreceiver (Doric Lenses). Finally, the analog signal was 663 664 digitalized by the RZ5D processor and analyzed using Synapse software at 1 kHz with a 5 Hz 665 low-pass filter.

- 666 Optical fiber implantation and fiber photometry were used to visualize CCK activity in vivo
- via a fluorescent sensor. Briefly, the GPCR-activation-based CCK sensor (GRAB_{CCK}, AAV-
- 668 hSyn-CCK2.0) was developed by inserting a circular-permutated green fluorescent protein
- 669 (cpEGFP) into the intracellular domain of CCKBR (Jing et al., 2019). Binding of CCKBR with
- its endogenous or exogenous ligand (CCK) induces a conformational change in cpEGFP and
- 671 results in increased fluorescence intensity, which we measured by fiber photometry.

672 Chemogenetic manipulation

- 673 Each animal (with DREADD virus injection) received CLZ (0.5 mg/kg, Sigma-Aldrich,
- dissolved with 0.1% DMSO) or vehicle (sterilized saline with 0.1% DMSO) by intraperitoneal
- 675 injection. After injection, animals were kept in transfer cages for 30 min to allow the drug to
- 676 penetrate the blood-brain-barrier (BBB) and bind to the DREADD receptor (Gomez et al.,
- 677 2017). Animals were then placed in conditioning boxes for further training.

678 **Optogenetic manipulation**

CCK-Cre mice were injected with AAV-EF1a-DIO-eNpHR3.0-mCherry or control AAV-679 680 hSyn-FLEX-GFP. After 7 weeks, animals received bilateral optic fiber implantation as 681 described above. Mice were allowed a 1-week recovery period to adjust to the head-fix setup. Baseline freezing percentages were recorded in the testing context on the pre-conditioning day 682 683 as described above. On the conditioning day, mice were head-fixed, and limbs were allowed to 684 move freely on a smooth-rotatory round plate. Optic cables were connected to the implanted 685 optic cannulae after cleaning the cannulae ends with 75% alcohol. Short trace training procedures were performed as described above with two exceptions. First, the US was 686 687 delivered to the tail by attached wires. Second, the current was increased to 1.0 mA, because 688 the fur on the tail can hamper perception of electrical shock. A 561 nm green laser (10–20 mW) was applied from the onset of the CS to the onset of the US with a frequency of 5 Hz (100 ms 689 690 illumination + 100 ms interval). On post-conditioning day, the conditioned response of the 691 animal was recorded in the fear conditioning context. All activity was captured by a camera on 692 the ceiling and analyzed with the previously-described Bonsai program.

693 Anatomy and immunohistochemistry

694 Animals were anesthetized with an overdose of pentobarbital sodium, perfused with ice-cold

- 695 phosphate buffered saline (PBS, 0.01 M, Sigma-Aldrich), and fixed with paraformaldehyde
- 696 solution (PFA, 4% in PBS, Santa Cruz Biotechnology, Dallas, TX, USA). Animals were
- decapitated, and the brain was gently removed and submerged into 4% PFA solution for

698 additional fixation (~48 hours). Brains were sectioned into 40-µm-thick slices on vibratome 699 (Leica VT1000 S). To observe viral expression, neural tracer labeling, or electrode track verification, sections were counter-stained with DAPI (1:10000, Santa Cruz Biotechnology) 700 701 for 10 min and mounted onto slides with 70% glycerol (Santa Cruz Biotechnology) in PBS. 702 For immunohistochemistry, sections were washed with 0.01 M PBS three times for 7 min each 703 and blocked with blocking solution (5% goat serum and 0.1% triton X-100 in PBS) at room 704 temperature for 1.5 hours. Each primary antibody was diluted to the appropriate concentration 705 (Table 1) in blocking solution and incubated on sections overnight at 4°C. The next day, 706 sections were washed with PBS three times for 7 min each and stained with secondary antibody, which was prepared in PBST (0.1% triton X-100 in PBS). Each secondary antibody was 707 708 incubated on sections at room temperature for 3 hours. After secondary incubation, the sections 709 were washed with PBS three times for 7 min each and counter stained with DAPI for 10 min. 710 Finally, sections were washed three times with PBS and mounted onto slides with 70% glycerol 711 mounting medium. Fluorescent images were captured with a Nikon Eclipse Ni-E upright 712 fluorescence microscope and a Zeiss LSM880 confocal microscope.

713 Image analysis

714 Imaging signal analysis, including quantification of intensity and percent positivity, was 715 conducted in Fiji(https://imagej.net/Fiji) (Schindelin et al., 2012). To quantify the number 716 (percentage) of viral- or immunohistochemical-positive neurons, we used the Cell Counter 717 plugin in Fiji. To quantify the projection intensity of viral-positive neural fibers, we used the 718 FeatureJ plugin in Fiji. We applied Hessian filter to extract the fiber-like structures and 719 converted the raw images to eigen images with smallest eigen values selected. Eigen images 720 were then converted to binary image by applying a threshold in Fiji and pixel density was 721 measured as the intensity of neural projection (Grider et al., 2006). To quantify the colocalization of the CCK+ terminal (CCK-EYFP and synaptophysin double positive) and the 722 723 CCKBR-innervating CCK+ terminal (CCK-EYFP, synaptophysin, and CCKBR triple 724 positive), we extracted the double positive and triple positive pixels in Fiji and adopted the 725 pixel-based colocalization analysis algorithm from CellProfiler 726 (https://cellprofiler.org/examples) (McQuin et al., 2018) to calculate the colocalization ratios.

727 Statistical analysis

728 Group data are shown as mean \pm SEM (standard error of the mean) unless otherwise stated.

729 Statistical analyses, including two sample t tests, paired sample t tests, one-way RM ANOVA

730 (repeated measures analysis of variance), and two-way RM ANOVA, were conducted in Origin

731 2018 (OriginLab, Northampton, MA, USA). Statistical significance was defined as P < 0.05732 by default.

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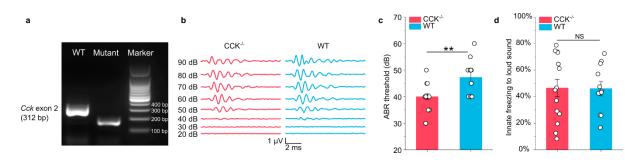
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903 Supplementary Figures



904

905 Supplementary Figure S1. Genetic and behavioral examination of CCK^{-/-} mice.

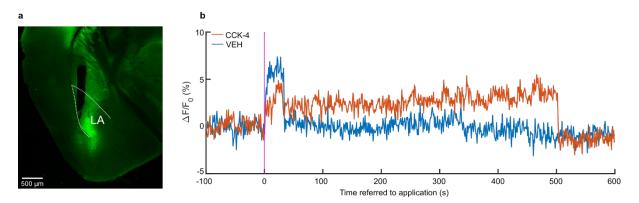
906 (a) PCR-based genotyping results showing the absence of the *Cck* exon 2 (312 bp) fragment in907 the mutant sample. The band in the mutant sample is a fragment of the CreER gene.

908 (b) Representative auditory brainstem response (ABR) traces from a CCK^{-/-} mouse and a WT
 909 mouse, respectively.

910 (c) ABR thresholds in WT (N = 11) and CCK^{-/-} (N = 15) mice. ** P < 0.01; two-sample t-test.

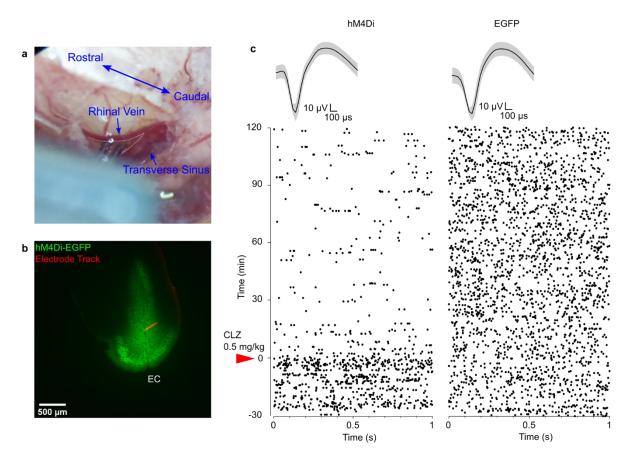
911 (d) Innate freezing levels in WT (N =14) and CCK^{-/-} (N = 10) mice. NS, not significant; P >

912 0.05; two-sample t-test.



915 Supplementary Figure S2. Exogenous CCK-4 activates CCKBR in the LA.

- 916 (a) Verification of CCK-sensor2.0 expression and the optic fiber track in the LA.
- 917 (b) Representative traces of fluorescence signal of the CCK-sensor before and after the
- 918 peripheral application (intraperitoneal injection) of CCK-4 (1 mM, 200 µL) or vehicle (VEH).
- 919 Fluorescence signal was measured by fiber-photometry with an implanted optical fiber in the
- 920 LA.





922 Supplementary Figure S3. Verification of chemogenetic suppression in the EC via in

923 *vivo* electrophysiological recording.

(a) Image showing the location of the *in vivo* recording in the mouse. The caudal rhinal vein
and the transverse sinus were as landmarks. The triangular area between these two veins (area
defined by gray dotted line) was used to target the EC.

- 927 (b) Post-hoc verification of hM4Di-EGFP viral expression and the electrode track, which was
 928 visualized using Alexa594-conjugated CTB.
- 929 (c) Representative raster plots of single unit firing in the EC before and after intraperitoneal
- 930 CLZ application in hM4Di-expressing (hM4Di, left) and EGFP-expressing (EGFP, right) mice.
- 931 Waveforms of these two representative units are shown above the raster plots.