

1 **Macrophage and dendritic cell subset composition can** 2 **distinguish endotypes in adjuvant-induced asthma** 3 **mouse models**

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13

14 **Keywords:**

15 Asthma, endotypes, pDC, interstitial macrophages, exudate macrophages

16

17 **Abbreviations:**

18 AM: alveolar macrophage; BALF: bronchoalveolar lavage fluid; CFA: complete Freud's
19 adjuvant; COPD: chronic obstructive pulmonary disease; DC: dendritic cell; ExM: exudate
20 macrophage; H&E: hematoxylin-eosin; HDM: house dust mite; iBALT: inducible
21 bronchus-associated lymphoid tissue; IM: interstitial macrophage; mDC: myeloid
22 dendritic cell; OVA: ovalbumin; PAS: periodic acid-Schiff stain; pDC: plasmacytoid
23 dendritic cell.

24

25 **Abstract**

26 Asthma is a heterogeneous disease with neutrophilic and eosinophilic asthma as the main
27 endotypes that are distinguished according to the cells recruited to the airways and the
28 related pathology. Eosinophilic asthma is the treatment-responsive endotype, which is
29 mainly associated with allergic asthma. Neutrophilic asthma is a treatment-resistant
30 endotype, affecting 5-10% of asthmatics. Although eosinophilic asthma is well-studied, a
31 clear understanding of the endotypes is essential to devise effective diagnosis and
32 treatment approaches for neutrophilic asthma. To this end, we directly compared
33 adjuvant-induced mouse models of neutrophilic (CFA/OVA) and eosinophilic (Alum/OVA)
34 asthma side-by-side. The immune response in the inflamed lung was analyzed by multi-
35 parametric flow cytometry and immunofluorescence. We found that eosinophilic asthma
36 was characterized by a preferential recruitment of interstitial macrophages and myeloid
37 dendritic cells, whereas in neutrophilic asthma plasmacytoid dendritic cells, exudate
38 macrophages, and GL7⁺ activated B cells predominated. This differential distribution of
39 macrophage and dendritic cell subsets reveals important aspects of the pathophysiology
40 of asthma and holds the promise to be used as biomarkers to diagnose asthma
41 endotypes.

42

43 Introduction

44 Asthma is a chronic airway inflammation with often debilitating impacts on the health of
45 patients. Based on the immune cells that infiltrate into the lung, several subtypes or
46 endotypes for asthma have been described [1–3]. Type 2-high or eosinophilic asthma is
47 the best-understood endotype and is often triggered by inhaled antigens, like house dust
48 mites (HDM), ragweed pollen, mold, or cockroach proteins [1,4]. This eosinophilic asthma
49 is characterized by (i) elevated levels of eosinophils (eosinophilia) and mast cells in the
50 bronchia, by (ii) a type 2-polarized immune response, with the production of Th2
51 cytokines, like IL-4, IL-5, and IL-13, and augmented production of antigen-specific IgE
52 antibodies [1,5,6]. About half of all asthma patients have such an eosinophilic airway
53 inflammation [1,7] and corticosteroids, anti-IgE, anti-IL-5/IL-5R, and anti-IL-4/IL-13
54 treatment are effective at alleviating the symptoms [1,8,9]. However, such treatment is
55 less effective in patients with a type 2-low, neutrophil-dominated form of asthma [10,11].
56 This neutrophilic asthma is characterized, besides the neutrophilia, by an elevated Th1/17
57 immune response, indicated by the cytokines IFN γ and IL-17 [1,12–16]. Although
58 treatments of type-2 low asthma by blocking IL-17, IL1 β , or CXCR2 are in clinical trials,
59 reports show that only a portion of the patients benefitted, suggesting the etiology of
60 neutrophilic asthma is more complex [17].

61 Several *in vivo* mouse models were developed to study the pathogenic mechanisms
62 underlying the endotypes and to develop endotype-specific treatment strategies. These
63 mouse models differ in the means by which lung inflammation is induced. Asthma-like
64 eosinophilic airway inflammation can be modeled by adjuvant-driven immunization
65 [15,18–21], chronic antigen administration [19,22], and transfer of antigen-pulsed cells
66 [18,19,23]. Asthma-like neutrophilic airway inflammation can be induced in mice by
67 subcutaneous administration of either CFA [21,24], LPS [14,25], or poly(I:C) [26], along
68 with a model antigen, like ovalbumin (OVA). For severe non-type 2 asthma, there is still
69 a significant gap between disease-associated pathological features and a particular
70 clinical outcome or treatment strategies. Hence, further research into immunological
71 features and molecular mechanisms is required to develop new endotype-specific asthma
72 treatments.

73 To determine additional immunophenotypic features specific for asthma-like neutrophilic
74 airway inflammation, we adopted here mouse models that allowed for a direct side-by-
75 side comparison of asthma-like eosinophilic and neutrophilic lung inflammation
76 [15,20,21]. Specifically, we compared CFA/OVA-induced neutrophilic and Alum/OVA-
77 induced eosinophilic asthma endotypes in mice [15,20,21] and provide an in-depth
78 immunological analysis of the inflamed lung. Our data show that the two asthma
79 endotypes demonstrate a significantly different distribution of macrophage and dendritic
80 cell subsets.

81

82 Results

83 Adjuvant-induced mouse models of asthma endotypes

84 To study the immune response in the inflamed lung during eosinophilic and neutrophilic
85 asthma side-by-side, we adopted two adjuvant-induced mouse models [15,21] (**Fig 1A**).
86 We first confirmed that the models faithfully reflect the eosinophilic and neutrophilic
87 asthma endotypes. As expected, the cell analysis from BALF and lung showed high levels
88 of eosinophils (**Fig 1B**) or neutrophils (**Fig 1C**) in the eosinophilic (Alum/OVA) or
89 neutrophilic (CFA/OVA) asthma groups, respectively. In both cases, the data from the
90 BALF mirrored the data obtained from the lung (**Fig 1B, C**). Immunohistochemical
91 staining of the inflamed lungs showed severe inflammation with bleeding in the
92 neutrophilic (CFA/OVA) group (**Fig 1D**, upper panel). However, the hyper-mucus
93 secretion, which is an important driver of the airway obstruction in asthma [1], was
94 comparable for both endotypes (**Fig 1D**, lower panel). Furthermore, in line with the
95 literature [27,28], the Th2 cytokines IL-5 and IL-13 were prevalent in eosinophilic asthma,
96 whereas the Th1 cytokine IFN γ predominated in neutrophilic asthma (**Fig 1E**). Next, the
97 antigen-specific humoral immune response was quantified. For serum IgE, higher levels
98 were reported in eosinophilic asthma patients than in neutrophilic asthma patients [29,30],
99 and α IgE therapy was effective for eosinophilic asthma [29,31]. However, in our mouse
100 models, the OVA-specific serum IgE antibody levels were comparable in the eosinophilic
101 (Alum/OVA) and neutrophilic (CFA/OVA) asthma groups (**Fig 1F**). Furthermore, and in
102 line with clinical data [32,33], the antigen-specific serum IgG and IgG1 antibody levels
103 were significantly higher in the eosinophilic than the neutrophilic asthma endotype (**Fig**
104 **1F**). No difference was observed for OVA-specific IgG2b and IgG2c antibody levels (**Fig**
105 **1F**). These data demonstrate that the mouse models used here successfully replicate key
106 features of human eosinophilic and neutrophilic asthma and are suitable to investigate
107 asthma endotypes.

108

109 **Fig 1: Neutrophilic and eosinophilic asthma can successfully be modeled in**
110 **mice. (A)** Experimental design and immunization timeline. C57BL/6 mice (naïve

111 group n = 9 mice/group, experimental asthma groups n = 14-15 mice/group) were
112 either immunized three times intraperitoneal (i.p.) with Alum (1 mg/mouse) for
113 eosinophilic asthma or once subcutaneous (s.c.) with CFA (0.5 mg/mL) for
114 neutrophilic asthma along with the model antigen OVA (20 µg/mouse). Three
115 weeks after the first administration, the experimental groups were challenged with
116 OVA (50 µg/mouse) daily for two days. Samples were collected 16-18 hours post-
117 challenge. Cells from bronchoalveolar lavage fluid (BALF) and lung homogenates
118 were stained for **(B)** eosinophils (live CD45⁺ CD19⁻ CD11c⁻ CD11b⁻ Ly6G⁻ Siglec-
119 F⁺) and **(C)** neutrophils (live CD45⁺ CD19⁻ CD11b^{+/lo} Ly6G⁺). **(D)** H&E (upper panel)
120 and Alcian Blue/PAS (lower panel) immunohistochemistry analysis of inflamed
121 lungs collected from indicated groups (10X magnification, scale 127 µm). **(E)**
122 ELISA values of IFN γ , IL-5, and IL-13 from indicated BALF supernatants. **(F)** OVA-
123 specific IgE, total IgG, IgG1, IgG2b, and IgG2c ELISA results in serum indicated
124 groups (for OVA-specific antibody ELISA results, naïve group n = 3 mice/group,
125 experimental asthma groups n = 14-15 mice/group). Combined data from three
126 independent experiments are shown. The gating strategy for the myeloid cells is
127 detailed in the S1 figure.

128

129 **Neutrophilic asthma is characterized by high numbers of** 130 **plasmacytoid dendritic cells in the BALF**

131 We next optimized a 19-parameter flow cytometry panel to quantify myeloid cells in
132 addition to eosinophils and neutrophils, including basophils, mast cells, monocytes, as
133 well as dendritic cells, and macrophage subsets in the bronchoalveolar lavage fluid
134 (BALF) and lung samples (S1 Fig). A more robust influx of leukocytes into the BALF was
135 observed following neutrophilic (CFA/OVA) than eosinophilic (Alum/OVA) asthma, with
136 up to 4 x 10⁵ total cells/mL (**Fig 2A**). The myeloid cell populations recovered from BALF,
137 sorted from the highest to the lowest frequency, were neutrophils/eosinophils (**Fig 1B**,
138 **1C**), monocytes, macrophages, dendritic cells (DCs) (**Fig 2A**), and few basophils (**Fig**
139 **2B**) and mast cells (**Fig 2C**). In contrast to the BALF, basophils (**Fig 2B**), and mast cells
140 (**Fig 2C**) were found to be enriched in the lung tissue of mice with eosinophilic (Alum/OVA)

141 asthma. These data indicate that basophils and mast cells do not migrate to the BALF
142 during lung inflammation. Although DCs constituted less than 1% of the BALF cells (**Fig**
143 **2A**), they were previously associated with the induction of Th2 immune responses in
144 patients with allergic asthma [34]. To better understand the movement of DCs and their
145 role in eosinophilic vs. neutrophilic asthma, we determined the frequency of DC subsets
146 in the inflamed lung as CD11b⁺ conventional (myeloid) DC (cDCs), CD103⁺ lung resident
147 DCs, and CD45R⁺ plasmacytoid dendritic cells (pDCs). Both CD11b⁺ cDC (**Fig 2D**) and
148 lung resident CD103⁺ DCs (**Fig 2E**) were more frequent in the lung tissue of mice with
149 eosinophilic (Alum/OVA) than neutrophilic (CFA/OVA) asthma. In contrast, the frequency
150 of pDCs did not change in the lung during the inflammation (**Fig 2F**). However, pDCs
151 appeared to be the only DC population to migrate to the alveolar space, which was more
152 prominent during neutrophilic (CFA/OVA) than eosinophilic (Alum/OVA) asthma (**Fig 2F**).

153

154 **Fig 2: DC subsets, mast cells, and basophils are differentially recruited to**
155 **the inflamed lungs in neutrophilic and eosinophilic asthma.** C57BL/6 mice
156 (naïve group n = 12 mice/group, experimental asthma groups n = 17-18
157 mice/group) were immunized as outlined in figure 1A to induce neutrophilic
158 (CFA/OVA) or eosinophilic (Alum/OVA) asthma. Cells from bronchoalveolar
159 lavage fluid (BALF) and lung homogenates were analyzed for indicated cell
160 populations. **(A)** Total cell counts of all leukocytes (live CD45⁺ cells), macrophages
161 (live CD19⁻ CD45⁺ Siglec-F⁻ Ly6G⁻ F4/80⁺ CD64⁺), dendritic cells (live CD19⁻ CD45⁺
162 Siglec-F⁻ Ly6G⁻ F4/80⁻ CD64⁻ CD24⁺ CD11c⁺ MHC class II^{+/+}), and monocytes (live
163 CD19⁻ CD45⁺ Siglec-F⁻ Ly6G⁻ F4/80⁻ CD64⁻ CD24⁻ CD11c⁻ MHC class II^{+/+} Ly6C⁺) in
164 the BALF. Total cell counts and relative cell frequencies of **(B)** basophils (live
165 CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD11b⁺ FcεR1α⁺ CD117⁻ cells); **(C)** mast cells (live
166 CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD11b⁺ FcεR1α⁺ CD117⁺ cells); **(D)** conventional
167 DCs (cDC, live CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD24⁺ CD11c⁺ MHC class II⁺ CD103⁻
168 CD11b⁺ cells); **(E)** CD103⁺ DCs (live CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD24⁺ CD11c⁺
169 MHC class II⁺ CD103⁺ cells); and **(F)** plasmacytoid dendritic cells (pDC, live CD19⁻
170 CD45⁺ Siglec-F⁻ Ly6G⁻ CD11b⁻ CD45R⁺ Ly6C⁺) in indicated organs. Combined data
171 from four (A, D-F) or three (B, C) independent experiments are shown.

172

173 **The frequency of exudate and interstitial macrophages markedly**
174 **differs between asthma endotypes**

175 In the steady-state, pulmonary macrophages consist mainly of two subsets, alveolar
176 macrophages (AMs) and interstitial macrophages (IMs) [35]. AMs, which represent the
177 major portion of lung resident macrophages, are tissue-resident macrophages of
178 embryonic origin that self-renew in the lung [36,37]. In contrast, IMs are derived from
179 blood monocytes that infiltrated into the lung parenchyma [37,38]. During lung
180 inflammations, another blood monocyte-derived macrophage population that can be
181 found in the lung are the non-resident Ly6C^{hi} or exudate macrophages (ExMs) [39–42].
182 To characterize the turnover of macrophages in the two asthma endotypes, the frequency
183 of AMs, IMs, and ExMs was determined in the BALF and lungs. In line with the large
184 increase of macrophages in the lung (Figure 2A), the frequency of AMs considerably
185 declined in the BALF and the lung of both asthma groups compared to the control group
186 (**Fig 3A**). As IMs are located mainly in the lung parenchyma [37], it was expected that
187 their numbers did not greatly increase in the BALF, leading to a sharp decline in their
188 relative frequency in the BALF (**Fig 3B**). Interestingly, however, an increase in the
189 frequency of IMs in the lung was only observed in the mice with eosinophilic (Alum/OVA)
190 and not neutrophilic (CFA/OVA) asthma (**Fig 3B**). In contrast, the influx of ExMs was
191 more prominent in the neutrophilic (CFA/OVA) than the eosinophilic (Alum/OVA) asthma
192 group, which was particularly striking in the BALF (**Fig 3C**). Recently, it was suggested
193 that the IMs themselves can be divided into three subsets, based on the expression of
194 CD11b, CD11c, and MHC class II [38]. When these subsets were analyzed (**Fig 3D-F**),
195 we noticed that the IM population in the eosinophilic (Alum/OVA) inflammation mainly
196 consisted of the CD11c⁺ MHC class II^{neg} population (**Fig 3E, F**), which was described to
197 have the highest phagocytic activity and the lowest turnover rate among the IM subsets
198 [38]. Together, these data indicate that eosinophilic and neutrophilic asthma involve
199 significantly different macrophage populations, with IMs increasing in the lungs with
200 eosinophilic asthma and ExMs increasing in neutrophilic asthma.

201

202 **Fig 3: The distribution of lung macrophage subsets among asthma**
203 **endotypes.** C57BL/6 mice (naïve group n = 12 mice/group, experimental asthma
204 groups n = 17-18 mice/group) were immunized as outlined in figure 1A to induce
205 neutrophilic (CFA/OVA) or eosinophilic (Alum/OVA) asthma. Cells from
206 bronchoalveolar lavage fluid (BALF) and lung homogenates were analyzed for
207 indicated cell populations: **(A)** alveolar macrophages (AMs, live CD45⁺ CD19⁻
208 Siglec-F⁻ Ly6G⁻ CD11c⁺ cells), **(B)** interstitial macrophages (IMs, live CD45⁺ CD19⁻
209 Siglec-F⁻ Ly6G⁻ CD24⁻ F4/80⁺ CD64^{+/-} Ly6C⁻ CD11b⁺ cells); and **(C)** exudate
210 macrophages (ExMs, live CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD24⁻ F4/80⁺ CD64^{+/-}
211 Ly6C⁺ CD11b⁺ cells). **(D)** Representative dot plots showing IMs (Ly6C⁻ CD11b⁺
212 cells) and ExMs (Ly6C⁺ CD11b⁺ cells) in BALF (upper panel) and lung (lower
213 panel) from indicated groups. **(E)** Representative histograms showing the
214 expression of MHC class II, CD11b, and CD11c on bronchial IMs from indicated
215 groups. **(F)** Bronchial IMs (BIMs) were subdivided according to their expression of
216 CD11c and MHC class II and the frequencies of BIM1 (CD11c⁺ MHC class II⁺, left
217 panel), BIM2 (CD11c⁻ MHC class II⁺, middle panel), and BIM3 (CD11c⁺ MHC class
218 II^{neg}, right panel) cells are shown. Combined data from four independent
219 experiments are shown.

220

221 **Th17 cells, but not NKT17 cells, are significantly expanded in** 222 **neutrophilic asthma**

223 Both conventional CD4⁺ T cells [43,44] and innate-like invariant Natural Killer (*i*NKT) cells
224 [45–48] have been shown to be involved in asthma in humans and mouse models.
225 Therefore, we next measured the subset distributions of CD4⁺ T cells (Th1, Th2, Th17,
226 Treg) and *i*NKT cells (NKT1, NKT2, NKT17) in our eosinophilic and neutrophilic asthma
227 model. Surprisingly, for the frequency of Th1 and Th2 cells and of Tregs, no significant
228 difference was observed in the lungs of mice with eosinophilic (Alum/OVA) or neutrophilic
229 (CFA/OVA) asthma (**Fig 4A**). Similarly, the production of the cytokines IFN γ , IL-4, IL-13,
230 and TNF by CD4⁺ T cells did not differ between the eosinophilic (Alum/OVA) and
231 neutrophilic (CFA/OVA) asthma groups (**Fig 4B**). In contrast, the frequency of Th17 cells

232 in the lung (**Fig 4A**), as well as the production of IL-17 by CD4⁺ T cells (**Fig 4B**), was
233 significantly higher in the lungs of mice with neutrophilic (CFA/OVA) than with eosinophilic
234 (Alum/OVA) asthma. The lung *i*NKT cells (**Fig 4C**) were mainly NKT1 cells (**Fig 4D**) and
235 their frequency increased during neutrophilic (CFA/OVA) asthma (**Fig 4D**). No changes
236 were observed in the lungs for NKT2 cells (**Fig 4D**). However, the frequency of NKT17
237 cells decreased during neutrophilic (CFA/OVA) asthma (**Fig 4D**), which was, interestingly,
238 the opposite of the changes observed for Th17 cells (**Fig 4A**).

239

240 **Fig 4: Frequency of CD4⁺ T and *i*NKT cell subsets in the inflamed lungs**
241 **during neutrophilic and eosinophilic asthma.** C57BL/6 mice (naïve group n =
242 12 mice/group, experimental asthma groups n = 17-18 mice/group) were
243 immunized as outlined in figure 1A to induce neutrophilic (CFA/OVA) or
244 eosinophilic (Alum/OVA) asthma. Cells from lung homogenates were stained for
245 indicated cell populations. **(A)** The relative cell frequency of lung Th1 cells (live
246 CD19⁻ CD3 ϵ ⁺ CD4⁺ FoxP3⁻ Tbet⁺ cells), Th2 cells (live CD19⁻ CD3 ϵ ⁺ CD4⁺ FoxP3⁻
247 Gata3⁺ cells), Th17 cells (live CD19⁻ CD3 ϵ ⁺ CD4⁺ FoxP3⁻ ROR γ t⁺ cells), and Tregs
248 (live CD19⁻ CD3 ϵ ⁺ CD4⁺ CD127^{lo/-} FoxP3⁺ cells) is shown. **(B)** Production of the
249 indicated cytokines by lung CD4⁺ T cells following *in vitro* stimulation with PMA and
250 ionomycin. **(C)** Gating for *i*NKT cell (live CD19⁻ CD3 ϵ ⁺ CD1d/PBS57-tetramer⁺
251 cells) subsets in the lung (NKT1 cells: PLZF^{lo} ROR γ t⁺ cells, NKT2 cells: PLZF^{int/hi}
252 ROR γ t⁺ cells, NKT17 cells: PLZF^{int} ROR γ t⁺ cells). **(D)** Relative frequency of NKT1
253 and NKT17 cells in the lung of indicated mice. Combined data from three (C, D),
254 four (A), or two (B) independent experiments are shown. The gating strategy for
255 the lymphoid cells is detailed in the S2 figure.

256

257 **Neutrophilic asthma is characterized by an increase of** 258 **activated B cell numbers**

259 B cells, besides their ability to produce allergen-specific antibodies [49], are involved in
260 the pathogenesis of chronic lung inflammation either by presenting antigens to T cells

261 [50] or by the formation of ‘inducible bronchus-associated lymphoid tissue’ (iBALTs) [51].
262 iBALTs are tertiary lymphoid structures that are rapidly induced and provide an effective
263 local site to drive lymphocyte activation and immune response in the lung [52]. To assess
264 the B cell responses during eosinophilic (Alum/OVA) and neutrophilic (CFA/OVA) asthma,
265 we measured the frequency of B cells and their cytokine production, as well as the
266 frequency of germinal center (GC) B cells in the lungs. Although the overall frequency of
267 B cell was comparable between the BALFs and lungs with eosinophilic (Alum/OVA) or
268 neutrophilic (CFA/OVA) asthma (**Fig 5A**), the IFN γ production of B cells from lungs with
269 neutrophilic asthma was significantly higher than in the neutrophilic asthma group (**Fig**
270 **5B**). No difference was observed for IL-4, IL-17, and TNF production by the B cells (**Fig**
271 **5B**). Importantly, we noticed a significant increase of CD45R/B220⁺ GL7⁺ CD95⁺ germinal
272 center (GC) B cells in the lungs of mice with neutrophilic (CFA/OVA) asthma, which was
273 not observed in the lungs from mice with eosinophilic (Alum/OVA) asthma (**Fig 5C**).
274 These data indicate that iBALT formation was only supported during neutrophilic asthma
275 in our mouse models, a finding that was supported by the immunofluorescence of the
276 inflamed lungs (**Fig 5D**).

277

278 **Fig 5: iBALT formation is more pronounced in neutrophilic than in**
279 **eosinophilic asthma.** C57BL/6 mice (naïve group n = 5 mice/group, experimental
280 asthma groups n = 8-9 mice/group) were immunized as outlined in figure 1A to
281 induce neutrophilic (CFA/OVA) or eosinophilic (Alum/OVA) asthma. Cells from
282 bronchoalveolar lavage fluid (BALF) and lung homogenates were analyzed for
283 indicated cell populations. **(A)** Total cell count (left panel) and relative cell
284 frequencies of B cells (live CD45⁺) in indicated organs. **(B)** Production of the
285 indicated cytokines by lung B cells (live CD45⁺) following *in vitro* stimulation with
286 PMA and ionomycin. The values are given as fold-change of the two experimental
287 groups over the control group. **(C)** Relative cell frequencies of germinal center
288 (GC) B cells (live CD3 ϵ ⁻ CD45R⁺ CD95⁺ GL7⁺ cells) in lung homogenates. **(D)**
289 Inflamed lungs were fixed with 4% PFA (4h, 4°C), prepared for cryostat sectioning
290 (7-10 μ m), and stained with DAPI (white), CD45R-AF647 (blue), GL7-AF488

291 (green), and TCR β -AF594 (red). Scale = 50 μ m. Representative data from three
292 biological replicates are shown. Unless indicated otherwise, combined data from
293 two independent experiments are shown.

294

295 Discussion

296 Neutrophilic asthma responds poorly to the main treatment options currently available for
297 asthma patients, severely impacting the quality of life of patients. A better understanding
298 of the immunological features and underlying immunopathology of the different asthma
299 endotypes is expected to lead the way to improved therapies. Here, we directly compared
300 in detail the immune response (i) between asthma-like neutrophilic airway inflammation
301 in mouse models and (ii) between BALF vs. lung tissue of those mice. Our data
302 demonstrate that the BALF provides a good immunological representation of the inflamed
303 lung. Furthermore, asthma endotypes are characterized by the distinct distribution of
304 several myeloid cells, besides eosinophils and neutrophils; namely cDCs, CD103⁺ DCs,
305 pDCs, interstitial macrophages (IMs), and exudate macrophages (ExMs). For these
306 populations, several differences between BALF and lung tissue were noted, which
307 indicates that pDCs and ExMs in BALF can identify neutrophilic asthma in mice.
308 Furthermore, Th17 cells, but not NKT17 cells or germinal center B cells, were significantly
309 expanded in neutrophilic asthma.

310 Asthma is a heterogeneous disease and new endotypes are being described in the clinic.
311 However, many challenges remain. Airway inflammations, like asthma, have long been
312 modeled *in vivo* in animals to gain insight into pathogenesis, progression, and treatment
313 options. Despite their intrinsic limitations, such animal models are instrumental for our
314 understanding of complex human diseases. Prime/boost immunization of mice with
315 ovalbumin (OVA) together with the adjuvant Alum (aluminum hydroxide) is a well-
316 described approach to induce a type-2 immune response in the airways, including
317 antigen-specific IgEs, hypersensitivity responses, and airway remodeling [32]. Clinically
318 relevant allergens, such as extracts of house dust mites (HDM), have also been used in
319 animal models [53,54]. However, their use is hampered by technical difficulties and a lack
320 of standardization, which reduces reproducibility [55]. For neutrophilic asthma, less work
321 and fewer animal models have been reported [56]. Based on literature data and our
322 preliminary results, we concluded that the adjuvant-induced eosinophilic (Alum/OVA) and
323 neutrophilic (CFA/OVA) asthma models used here are best suited to compare asthma-
324 like eosinophilic and neutrophilic lung inflammation side-by-side.

325 Basophils [57,58] and mast cells [59,60] are associated with allergen-induced airway
326 inflammation due to IgE-mediated effector functions. In line with these reports, we noted
327 a clear increase in basophils (Fig 2B) and mast cells (Fig 2C) in the lungs of mice with
328 eosinophilic airway inflammation (Alum/OVA). Furthermore, our data indicate that
329 basophils and mast cells do not migrate to the BALF during allergen-induced lung
330 inflammation. To our knowledge, this is the first report showing this directly for
331 eosinophilic and neutrophilic asthma. In Th2-low (neutrophilic) asthma, mast cells locate
332 to the proximal airway epithelium [61,62] and the submucosal region [63], whereas in
333 Th2-high (eosinophilic) asthma, they are found in the intraepithelial region [61,62].
334 Therefore, it seems possible that the location of the mast cells, and potentially the
335 basophils, in the inflamed lung limits their propensity to migrate to the BALF.

336 In allergic, eosinophilic airway inflammation, the antigen presentation by pulmonary
337 CD11b⁺ cDCs and CD103⁺ cDCs is essential for the induction of the Th2 response [64].
338 Although pDCs have the ability, similar to other pulmonary DCs, to take up and process
339 antigens [65], they actually prevent the differentiation of effector T cells, and depletion of
340 pDCs could exacerbate lung inflammation in an LPS-induced asthma model [66,67]. In
341 addition, pDCs are associated with the induction of type 1 immune responses and, for
342 example, protect from viral bronchiolitis [68] and suppress ILC2 activity via IFN α during
343 fungus-induced allergic asthma [69]. Similar to the literature, we found that conventional
344 pulmonary DCs, including CD11b⁺ and CD103⁺ cDCs, are significantly expanded in
345 eosinophilic asthma (Fig 2D, 2E). In contrast, in neutrophilic asthma, pDCs were the only
346 DCs that infiltrated the alveolar space of the inflamed lung (Fig 2F). Although pDCs have
347 been reported to increase upon allergen challenge in the BALF [70] and the induced
348 sputum [71] of asthmatic patients, to our knowledge, no study compared their frequency
349 in different endotypes. Interestingly, the increase of pDCs in the BALF was not
350 accompanied by an increase of pDCs in the lung itself (Fig 2F).

351 Macrophages are important innate immune cells involved in tissue homeostasis and host
352 defense. Lung macrophage subsets were initially defined by their location: alveolar
353 macrophages (AMs) are mainly found in the alveolar lumen, whereas interstitial
354 macrophages (IMs) reside in the lung interstitium [35,72]. AMs are essential for the repair
355 of lung injuries induced, e.g. by physical damage [73], LPS [74,75], or infections [39].

356 Consequently, AMs are also protective during allergic airway inflammation [76] and lung
357 fibrosis [77]. In contrast, IMs, which constitute approx. 9% of the macrophages in a
358 healthy lung [38], have primarily proinflammatory functions. For example, they aggravate
359 allergic inflammation [78] and fibrosis [79]. During lung inflammations, blood-monocytes
360 can infiltrate the lung and give rise to a third lung macrophage population, Ly6C^{hi} exudate
361 macrophages (ExMs). ExMs are mainly proinflammatory and have been described, for
362 example, during lung inflammations caused by diphtheria toxin [41] and infections with
363 bacteria [39,42], fungi [40], or viruses [80]. Due to their functional differences, it is
364 important to analyze macrophages on the subset level during pulmonary diseases. When
365 we analyzed AMs, IMs, and ExMs from the BALF and the inflamed lung of mice with
366 eosinophilic and neutrophilic asthma, several changes were apparent. In line with
367 previous findings [81], AMs significantly declined during both types of lung inflammation
368 (Fig 3A). Importantly, we found that the frequency of IMs and ExMs distinguishes the two
369 asthma endotypes, with IMs being expanded more in eosinophilic asthma (Fig 3B) and
370 ExMs being more prevalent in neutrophilic asthma (Fig 3C). Further analysis of the IMs
371 based on surface markers [38], showed that the IMs in both endotypes mainly consisted
372 of the CD11c^{lo} MHC class II^{neg} population (Fig 3E, F), which has high phagocytic activity
373 [38]. It will be important to clarify if the differences in the distribution of macrophage
374 populations can be used as biomarkers to stratify asthma patients.

375 Analyzing T cells, we noted the increase of Th17 cells and IL-17 production in neutrophilic
376 asthma (Fig 4A, B), which is in line with previous reports [82]. In contrast to the Th17
377 cells, however, the frequency of NKT17 cells was decreased in neutrophilic asthma (Fig
378 4D), suggesting that *i*NKT cells might be less relevant for the pathology of this asthma
379 endotype.

380 iBALTs (inducible bronchus-associated lymphoid tissues) are tertiary lymphoid tissue,
381 structurally similar to germinal centers in the lymph nodes, which are formed in the
382 airways during lung inflammation, for example, following infections or during chronic
383 diseases, like COPD (chronic obstructive pulmonary disease) [52]. In allergic asthma
384 models, iBALTs support the Th2 cell responses induced by fungal infection [83] or LPS
385 [84]. Within iBALTs, germinal center (GC) B cells can act as APCs by presenting inhaled
386 antigens to T cells and can drive their differentiation into Th2 cells [50]. Conversely,

387 antigen-specific Th2 cells can support iBALT formation [85]. However, the role of iBALT
388 in neutrophilic asthma and how it would compare to eosinophilic asthma is not known.
389 We provide here, to our knowledge, the first direct comparison of GC B cells and iBALTs
390 in these two asthma endotypes. Histological examination did not indicate that the size of
391 the iBALTs differed between the two endotypes (Fig 5D). However, we found that in
392 neutrophilic but not eosinophilic asthma the frequency of GC B cells was greatly
393 increased (Fig 5C) and that they produce more IFN γ following activation (Fig 5B). These
394 data suggest that activated B cells, locally in the inflamed lung, might contribute to the
395 pathology in neutrophilic asthma.

396 Our side-by-side comparison of BALF and lung tissue also revealed several unexpected
397 discrepancies. Although we observed clear differences between eosinophilic and
398 neutrophilic asthma in the lungs for basophils, mast cells, cDCs, CD103⁺ DCs (Fig 2B-E),
399 as well as IMs (Fig 3B), these changes were not represented in the BALF. As BALF of
400 asthma patients is easier accessible than lung tissue, it is important to know which cell
401 populations in the BALF are most indicative for the lung inflammation. Our data indicate
402 that both pDCs (Fig 2F) and ExMs (Fig 3C) were markedly increased in the BALF of mice
403 with neutrophilic but not eosinophilic asthma, making them possible candidates for the
404 clinical diagnosis of asthma endotypes.

405 In summary, we report here, to our knowledge for the first time, a direct side-by-side
406 comparison of the main myeloid cell populations, *i*NKT cells, and GC B cells from the
407 inflamed lungs of mice with adjuvant-induced eosinophilic and neutrophilic asthma. These
408 data suggest that the subset distribution of macrophages and dendritic cells in the BALF
409 could be used to aid the determination of asthma endotypes. Although further research
410 is required to verify these results in asthma patients, the differential distribution of myeloid
411 cells, other than eosinophils and neutrophils, promises to be helpful as an early biomarker
412 to support the stratification of asthma endotypes.

413

414 **Material and Methods**

415 **Mice**

416 All mice were housed in the vivarium of the Izmir Biomedicine and Genome Center (IBG,
417 Izmir, Turkey) in accordance with the respective institutional animal care committee
418 guidelines. All mouse experiments were performed with prior approval by the institutional
419 ethic committee ('Ethical Committee on Animal Experimentation'), in accordance with
420 national laws and policies. All the methods were carried out in accordance with the
421 approved guidelines and regulations.

422

423 **Reagents, monoclonal antibodies, and flow cytometry**

424 Monoclonal antibodies against the following mouse antigens were used in this study:
425 CD3 ϵ (145.2C11, 17A2), CD4 (RM4-5), CD8 α (53-6.7, 5H10), CD11b (M1/70), CD11c
426 (N418), CD19 (1D3, 6D5), CD24 (M1/69), CD44 (IM7), CD45 (30-F11), CD45.2 (104)
427 CD45R/B220 (RA3-6B2), CD64 (X54-5/7.1), CD95 (SA367H8), CD103 (3E7),
428 CD117/cKit (2B8), CD122 (TM-beta1), CD127 (A7R34, SB/199), CD170/Siglec F (E50-
429 2440), F4/80 (BM8), Fc ϵ R1 α (MAR-1), FoxP3 (FJK-16s), Gata3 (L50-823), GL7 (GL7),
430 IFN γ (XMG1.2), IL-4 (11B11), IL-13 (13A), IL-17A (TC11-18H10), Ly6G (1A8), Ly6C
431 (HK1.4), MHC class II (M5/114.15.2), NK1.1 (PK136), PLZF (9E12), ROR γ t (Q31-378),
432 Tbet (O4-46), TCR β (H57-597), TNF (MP6-XT22). Antibodies were purchased from BD
433 Biosciences (San Diego, CA), BioLegend (San Diego, CA), eBioscience (San Diego, CA),
434 or ThermoFisher Scientific (Carlsbad, CA). Antibodies were biotinylated or conjugated to
435 Pacific Blue, eFluor 450, Brilliant Violet 421, V500, Brilliant Violet 510, Brilliant Violet 570,
436 Brilliant Violet 650, Brilliant Violet 711, Brilliant Violet 785, Brilliant Violet 786, FITC, Alexa
437 Fluor 488, PerCP-Cy5.5, PerCP-eFluor 710, PE, PE-CF594, PE-Cy7, APC, Alexa Fluor
438 647, eFluor 660, Alexa Fluor 700, APC-Cy7, APC-eFluor 780 or APC-Fire750. Anti-
439 mouse CD16/32 antibody (2.4G2) used for Fc receptor blocking was obtained from Tonbo
440 Biosciences. Unconjugated mouse and rat IgG antibodies were purchase from Jackson
441 ImmunoResearch (West Grove, PA). Dead cells were labeled with Zombie UV Dead Cell

442 Staining Kit (BioLegend). Flow cytometry was performed as described [86]. Graphs
443 derived from digital data are displayed using a 'bi-exponential display' [87]. The gating
444 strategy utilized is outlined in the S1 and S2 figures.

445

446 **Adjuvant-induced asthma models**

447 Neutrophilic asthma was induced by one injection (d0) per mouse with 0.5 mg/mL CFA
448 (Complete Freund's Adjuvant, Sigma-Aldrich, St. Louis, MO) mixed with 20 µg OVA
449 (Ovalbumin, Hyglos, Germany) [15,21]. Eosinophilic asthma was induced by weekly
450 injections (d0, d7, d14) per mouse of 1 mg Alum ('Imject Alum', ThermoFisher Scientific)
451 mixed with 20 µg OVA [15,21]. Seven days after the last Alum/OVA injection, all mice
452 were challenged with 50 µg OVA/mouse by pharyngeal/laryngeal installation once on two
453 consecutive days (d21, d22). 16-18 hours later, the mice were sacrificed, and the blood,
454 BALFs, and lungs were collected to assess immune responses by flow cytometry, ELISA,
455 and immunohistochemistry/immunofluorescence.

456

457 **Cell preparation**

458 Bronchoalveolar lavage fluid (BALF) was collected by inflating the murine lungs with 1 mL
459 ice-cold PBS, which was repeated twice with fresh PBS. The three washes were
460 centrifugated separately at 400 g for 7 min at 4°C. The supernatant of the first wash was
461 collected for the ELISA analysis, the cells of all three washes were pooled for the flow
462 cytometric analysis. Single-cell suspensions from mouse lungs were prepared as
463 described [88]. In brief, lungs were removed and minced into smaller pieces in a 6-well
464 plate (Greiner, Germany). The digestion mixture, composed of 1 mg/mL collagenase D
465 and 0.1 mg/mL DNase I (both from Roche, Switzerland) in complete RPMI medium
466 (Gibco, USA), was added to the samples and incubated for 30 min at 37°C on a lateral
467 shaker. The lung samples were filtered through 100 µm mesh with PBS, washed twice,
468 and the red blood cells were eliminated by ACK lysis buffer (Lonza, US).

469

470

471 **ELISA**

472 The IFN γ , IL-5, and IL-13 cytokine levels in BALF were measured with the respective
473 Sandwich-ELISA kits (R&D Systems, MN, US) according to the manufacturer's
474 instructions. OVA-specific antibodies were measured in the sera, collected from mice via
475 cardiac puncture, as described [89]. For detecting total IgG, IgG1, IgG2, and IgA
476 antibodies, the sera were serially diluted and loaded onto 10 μ g OVA-coated plates. Horse-
477 radish peroxidase (HRP)-conjugated anti-mouse IgG, IgG1, IgG2, IgA (Southern Biotech,
478 USA) antibodies were used as detection antibodies. For the detection of OVA-specific
479 IgE antibodies, the sera were diluted 1/10 with PBS containing 1% (w/v) BSA and loaded
480 onto anti-mouse IgE coated (BD biosciences) plates. anti-OVA-HRP antibodies (AbD
481 Serotec, BioRad) were used as detection antibody [89]. The colorimetric change, resulting
482 from the enzymatic reaction between the HRP portion of detection antibody and the
483 substrate TMB, was measured as absorbance at 450 nm (OD450) by Spectrophotometer
484 (Thermo Scientific, Multiskan FC Microplate Photometer). The titers were defined from
485 the reciprocal value of the absorbance at OD450.

486

487 **In vitro stimulation**

488 Lung-derived lymphocytes were stimulated *in vitro* with PMA (50 ng/mL) and ionomycin
489 (1 μ g/mL) (both Sigma-Aldrich, St. Louis, MO) for four hours at 37°C in the presence of
490 both Brefeldin A (GolgiPlug) and Monensin (GolgiStop). As GolgiPlug and GolgiStop
491 (both BD Biosciences, San Diego, CA) were used together, half the amount
492 recommended by the manufacturer were used, as suggested previously [90].

493

494 **Histology**

495 Lungs were inflated with 4% PFA (Cell Signaling, San Diego, US) and fixed for four hours
496 on a lateral shaker at 4°C. After dehydration with 30% sucrose overnight on a lateral
497 shaker at 4°C, samples were embedded in O.C.T (Tissue Tek, Sakura, US) and snap-
498 frozen. 7-10 μ m thick sections were prepared with a cryostat (Leica CM 1950). For the
499 H&E staining, the tissue sections were stained with hematoxylin (Sigma, USA) and eosin

500 (Sigma, USA) for two minutes each. Slide contrast was increased by a brief HCl/ethanol
501 treatment (1/1000, v/v) (Sigma, USA). Slides were fixed by ascending concentration of
502 ethanol (70%, 80%, 90%, 95%, 100%) (Sigma, USA) and a final 5 second xylene (Sigma,
503 USA) treatment. For the Alcian Blue/PAS staining, the tissue sections were stained with
504 an Alcian Blue/PAS staining kit according to the manufacturer's recommendations (Bio
505 Optica, Italy). Once the slides dried, they were mounted with entellan and examined with
506 a light microscope (Olympus IX71). For the immunofluorescence, tissue sections were
507 stained with CD45R-AF647 (BD Biosciences, San Diego, CA, US) and DAPI
508 (ThermoFisher Scientific, Carlsbad, CA) and analyzed with a confocal microscope (Zeiss
509 LSM 880).

510

511 **Statistical analysis**

512 Data are presented as mean \pm standard error of the mean (SEM). The statistical analysis
513 was performed with GraphPad Prism 7.0 software (GraphPad Software, San Diego, CA).
514 One-way ANOVA followed by Holm-Sidak posthoc test are used to compare p values
515 regarded as * $p \leq 0.05$, ** $p \leq 0.01$, and *** $p \leq 0.001$.

516

517

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524

525 **Author Contributions**

526 Conceived and designed the experiments: MO, GW. Performed the experiments and
527 analyzed the data: MO, YCE. Wrote the paper: MO, GW. All authors reviewed and
528 approved the manuscript.

529

530 **Competing financial interests**

531 The authors have declared that no competing interests exist.

532

533

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813

814 **Supplemental figure legends**

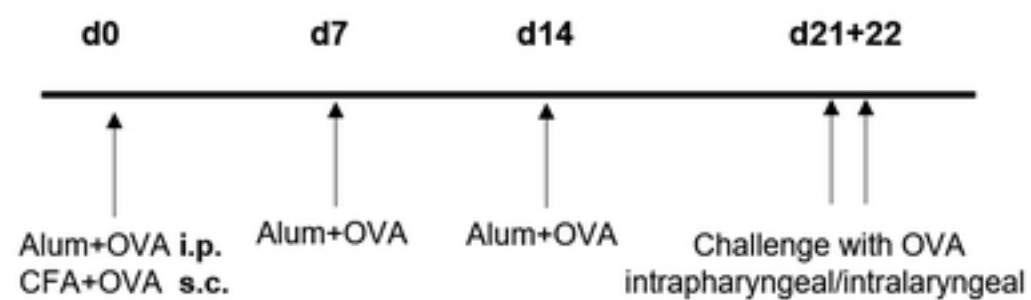
815 **S1 Fig: Gating strategy to identify myeloid cells in the inflamed lung.** A graphic
816 outline **(A)** and exemplary graphs **(B)** are given to illustrate the gating strategy employed
817 to identify myeloid cells in the lung and BALF. Alveolar macrophages (AMs): live CD45⁺
818 CD19⁻ Siglec-F⁺ Ly6G⁻ CD11c⁺ cells; Basophils: live CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD11b⁺
819 FcεRIα⁺ CD117⁻ cells; Conventional DCs (cDC): live CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD24⁺
820 CD11c⁺ MHC class II⁺ CD103⁻ CD11b⁺ cells; CD103⁺ DCs: live CD45⁺ CD19⁻ Siglec-F⁻
821 Ly6G⁻ CD24⁺ CD11c⁺ MHC class II⁺ CD103⁺ cells; Dendritic cells (all): live CD19⁻ CD45⁺
822 Siglec-F⁻ Ly6G⁻ F4/80⁻ CD64⁻ CD24⁺ CD11c⁺ MHC class II^{+/-}; Eosinophils: live CD45⁺
823 CD19⁻ CD11c⁻ CD11b⁻ Ly6G⁻ Siglec-F⁺ cells; Exudate macrophages (ExMs): live CD45⁺
824 CD19⁻ Siglec-F⁻ Ly6G⁻ CD24⁻ F4/80⁺ CD64^{+/-} Ly6C⁺ CD11b⁺ cells; Interstitial macrophages
825 (IMs): live CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻ CD24⁻ F4/80⁺ CD64^{+/-} Ly6C⁺ CD11b⁻ cells;
826 Neutrophils: live CD45⁺ CD19⁻ CD11b^{+/lo} Ly6G⁺ cells; Macrophages (all): live CD19⁻
827 CD45⁺ Siglec-F⁻ Ly6G⁻ F4/80⁺ CD64⁺ cells; Mast cells: live CD45⁺ CD19⁻ Siglec-F⁻ Ly6G⁻
828 CD11b⁺ FcεRIα⁺ CD117⁺ cells; Plasmacytoid dendritic cells: pDC, live CD19⁻ CD45⁺
829 Siglec-F⁻ Ly6G⁻ CD11b⁻ CD45R⁺ Ly6C⁺ cells.

830

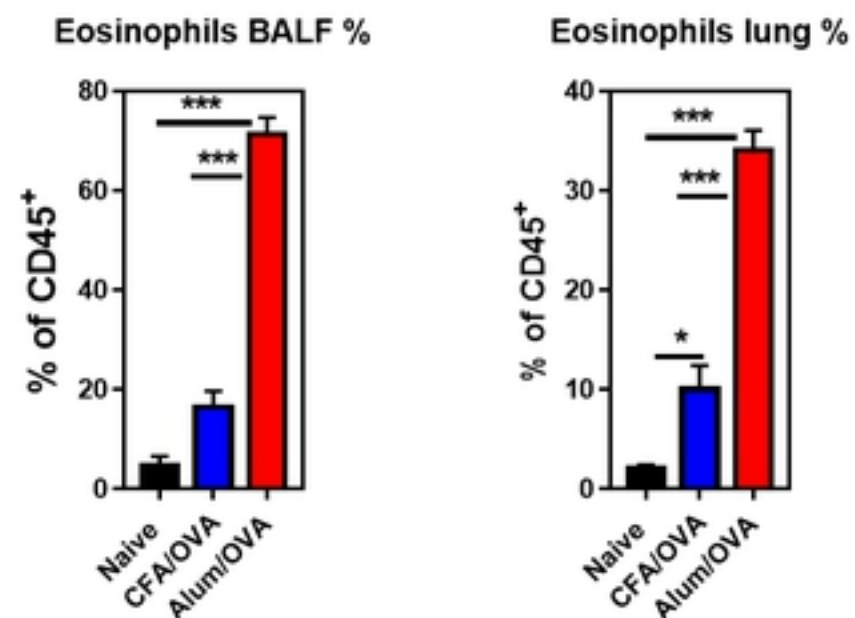
831 **S2 Fig: Gating strategy to identify lymphoid cells in the inflamed lung.** A graphic
832 outline **(A)** and exemplary graphs **(B)** are given to illustrate the gating strategy employed
833 to identify lymphoid cells in the lung and BALF. Th1 cells (live CD19/CD45R⁻ CD3ε⁺ CD4⁺
834 Tbet⁺ cells), Th2 cells (live CD19/B220⁻ CD3ε⁺ CD4⁺ Gata3⁺ cells), Th17 cells (live
835 CD19/CD45R⁻ CD3ε⁺ CD4⁺ RORγt⁺ cells), and Tregs (live CD19/CD45R⁻ CD3ε⁺ CD4⁺
836 CD127^{lo/-} FoxP3⁺), *i*NKT cells (live CD19/CD45R⁻ CD3ε⁺ CD1d/PBS57-tetramer⁺ cells)
837 and its subsets in the lung NKT1 (PLZF^{lo} RORγt⁻), NKT2 cells (PLZF^{int/hi} RORγt⁻), NKT17
838 cells (PLZF^{int} RORγt⁺) cells are shown.

839

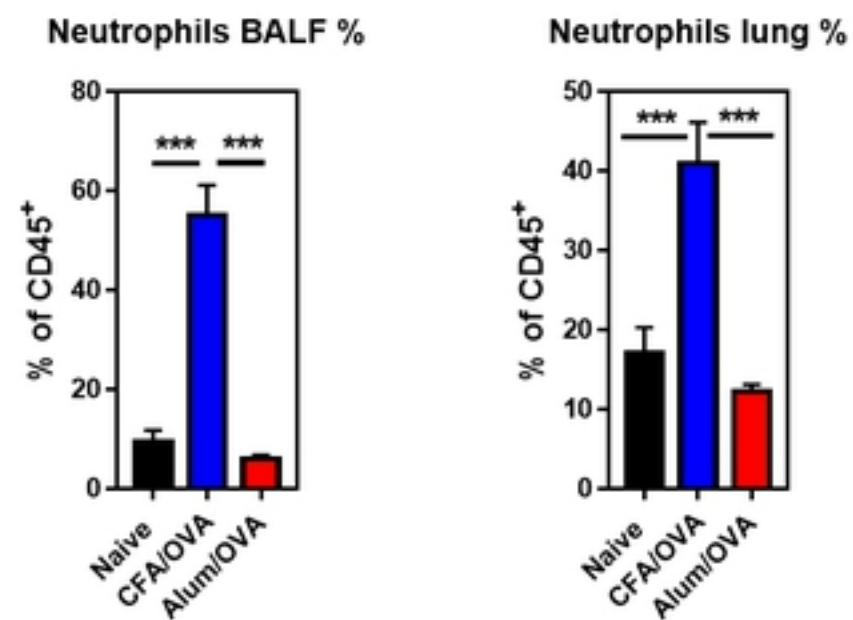
A



B



C



D

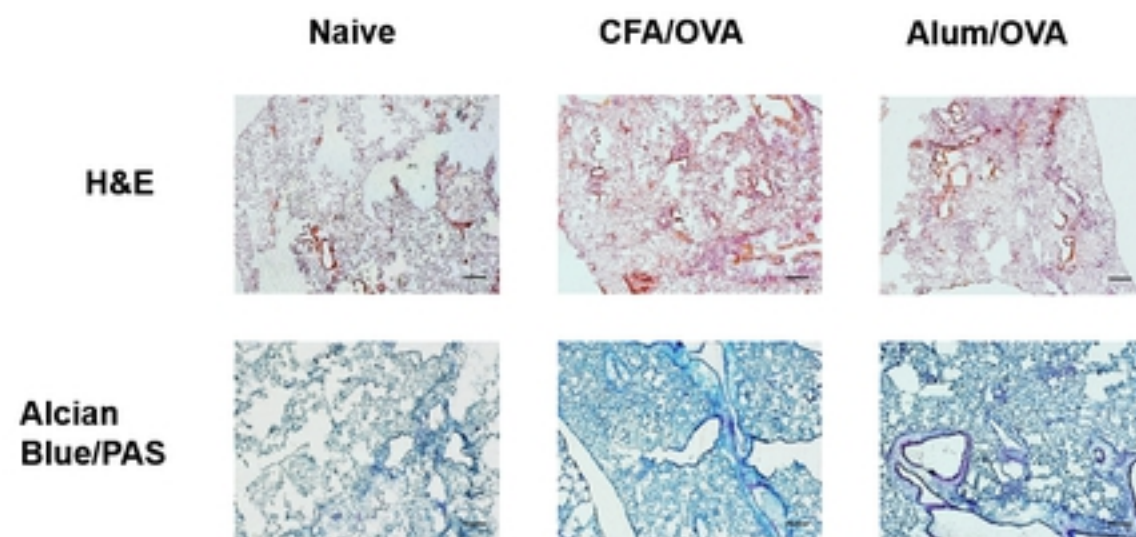


Fig 1 A-D

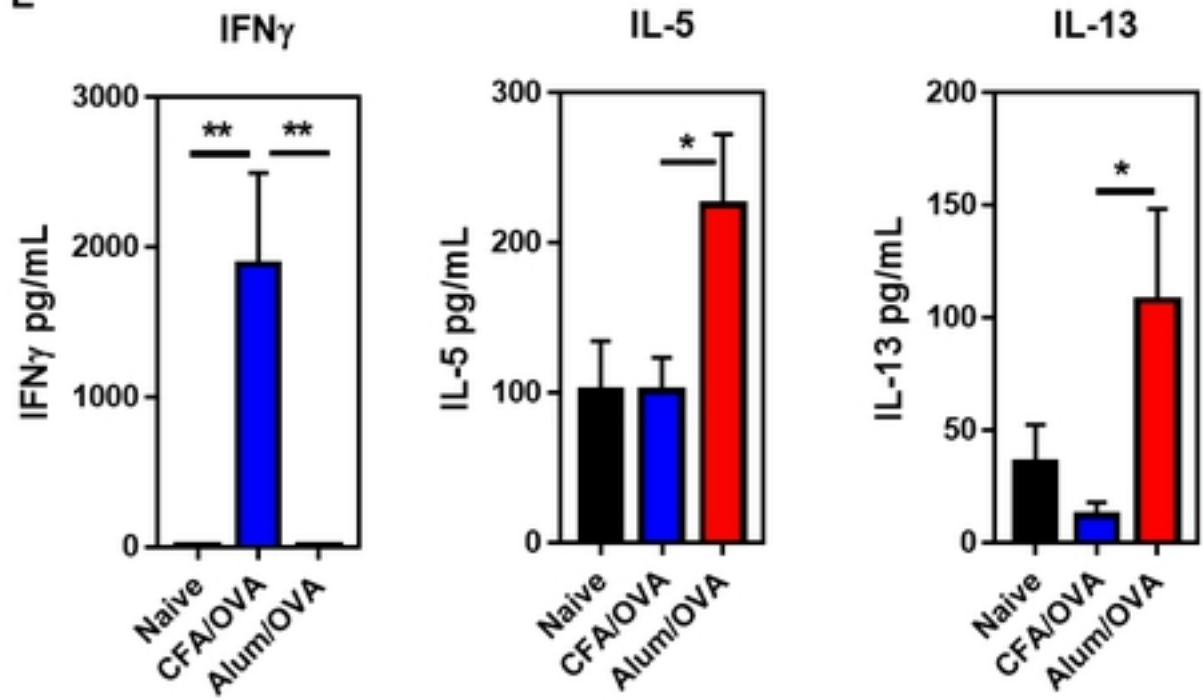
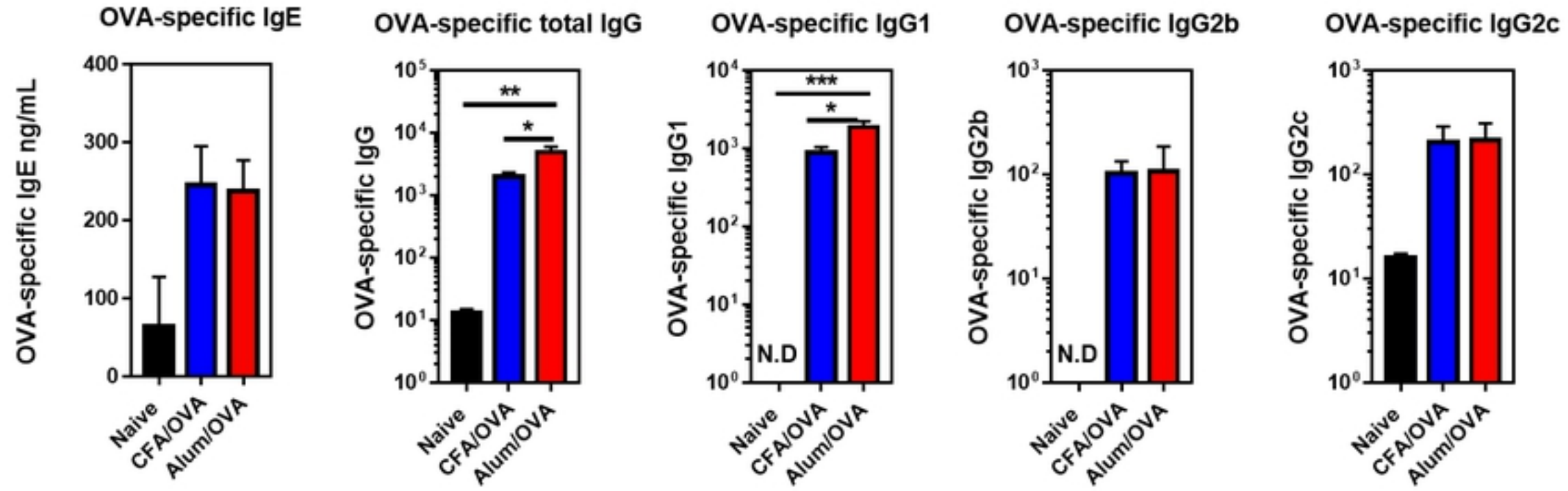
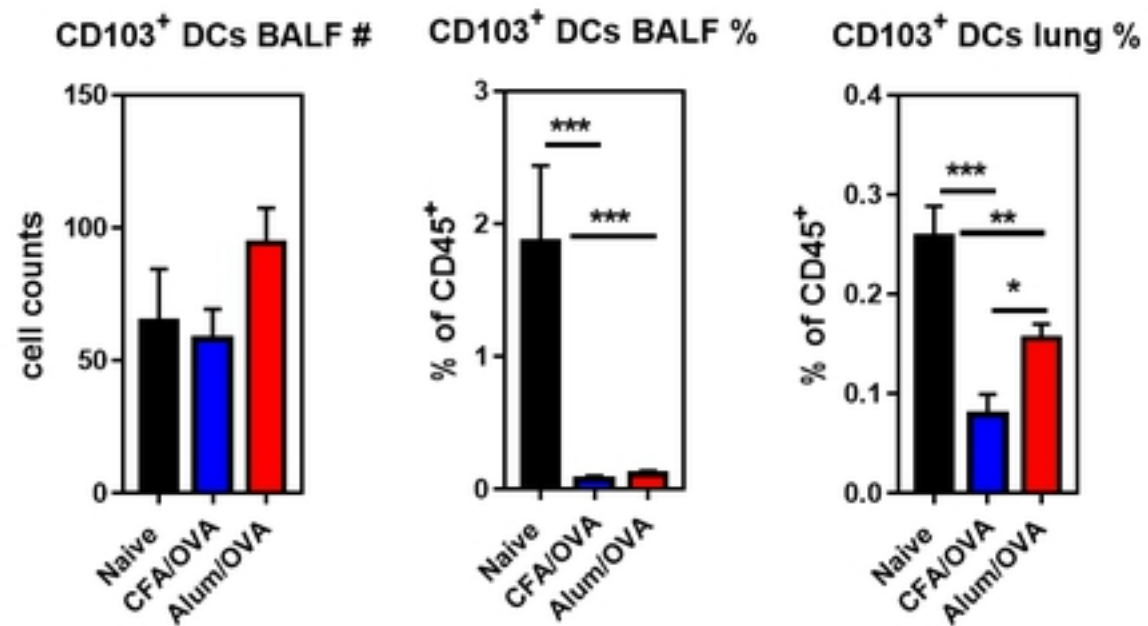
E**F**

Fig 1 E-F

E



F

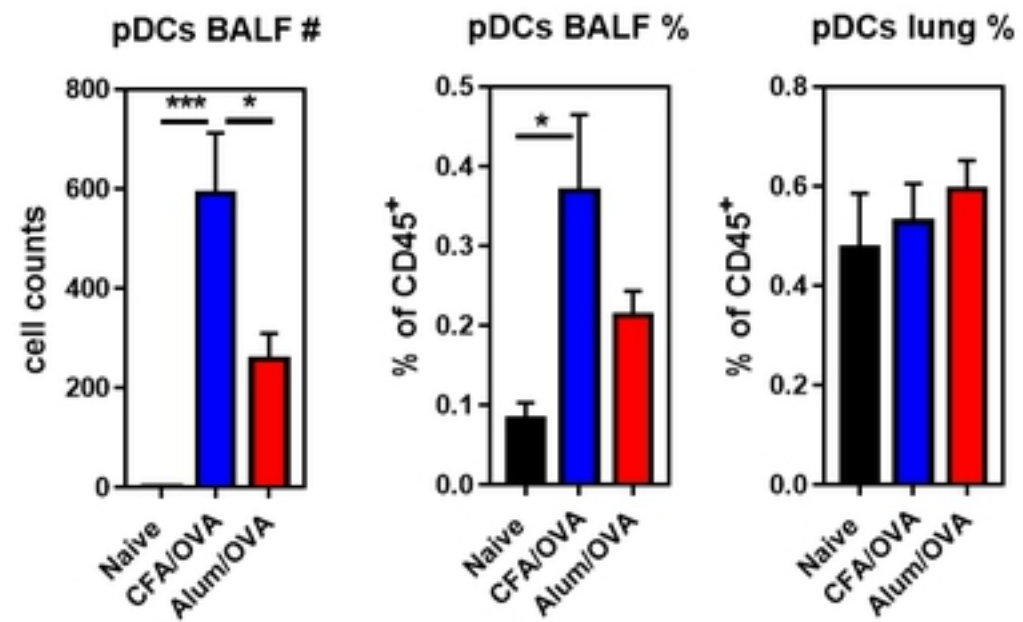


Fig 2 E-F

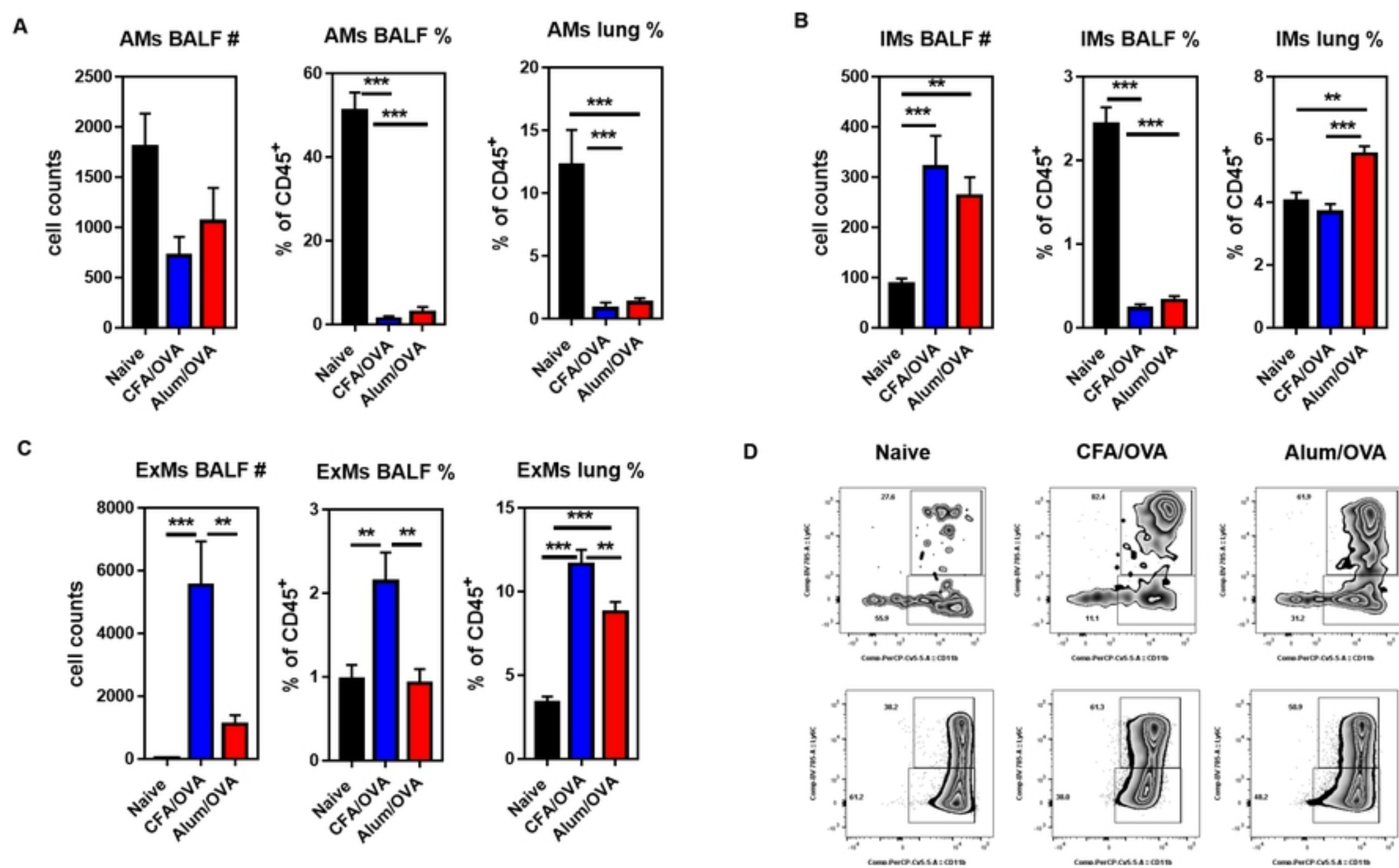
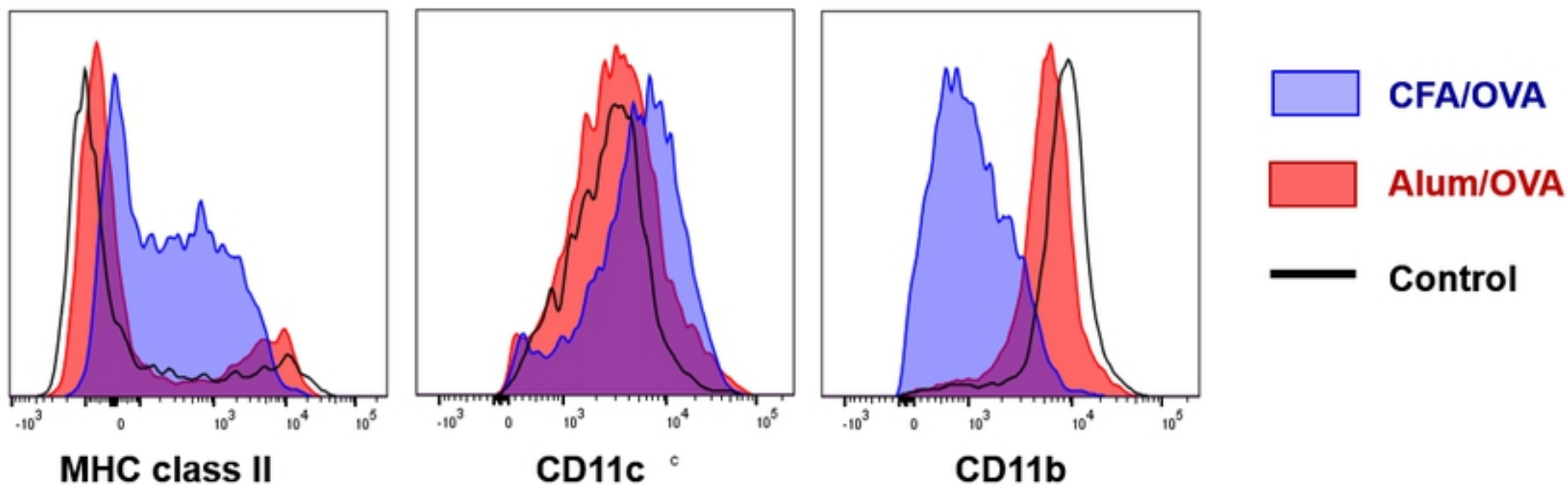


Fig 3 A-D

E



F

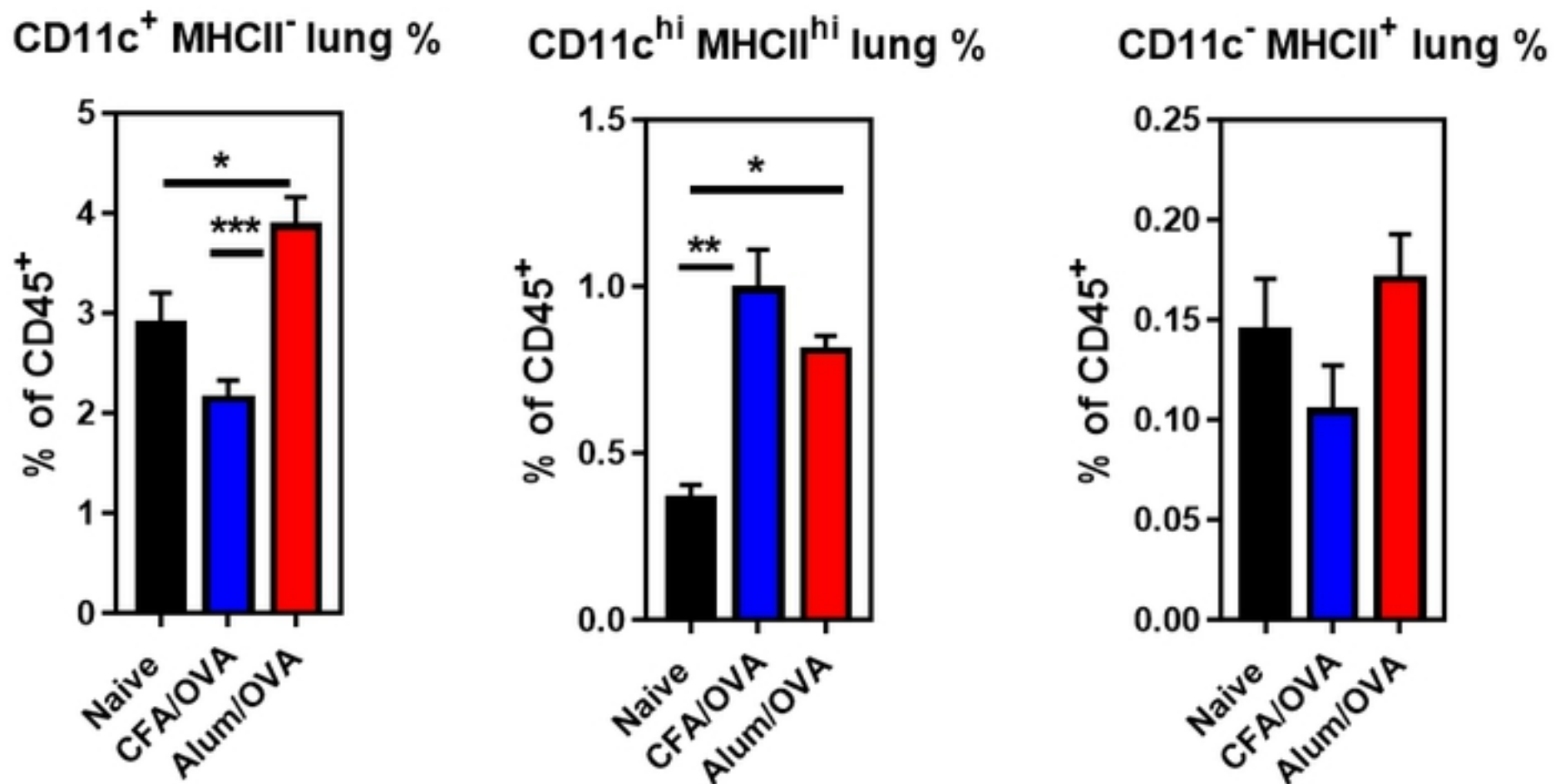


Fig 3 E-F

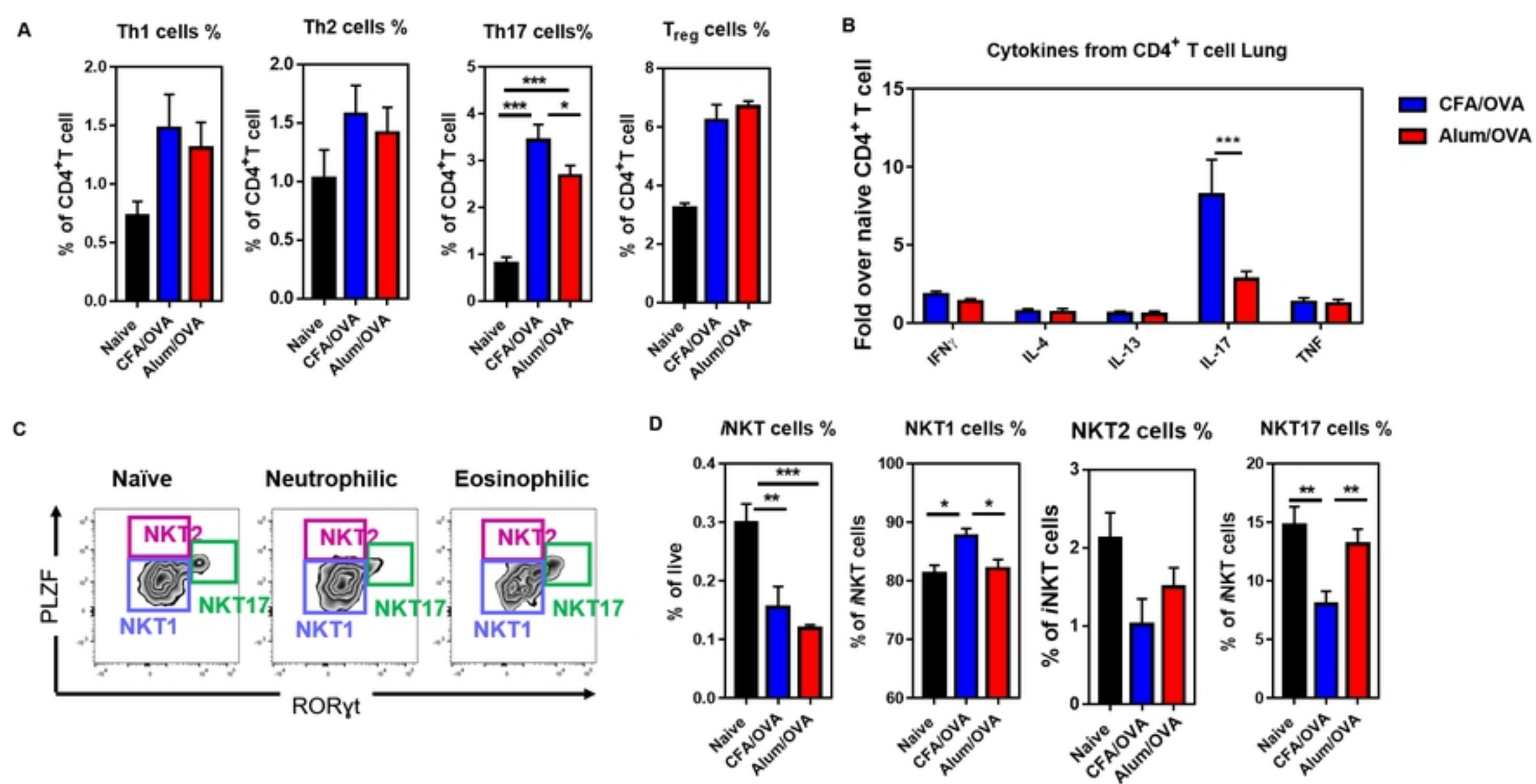


Fig 4

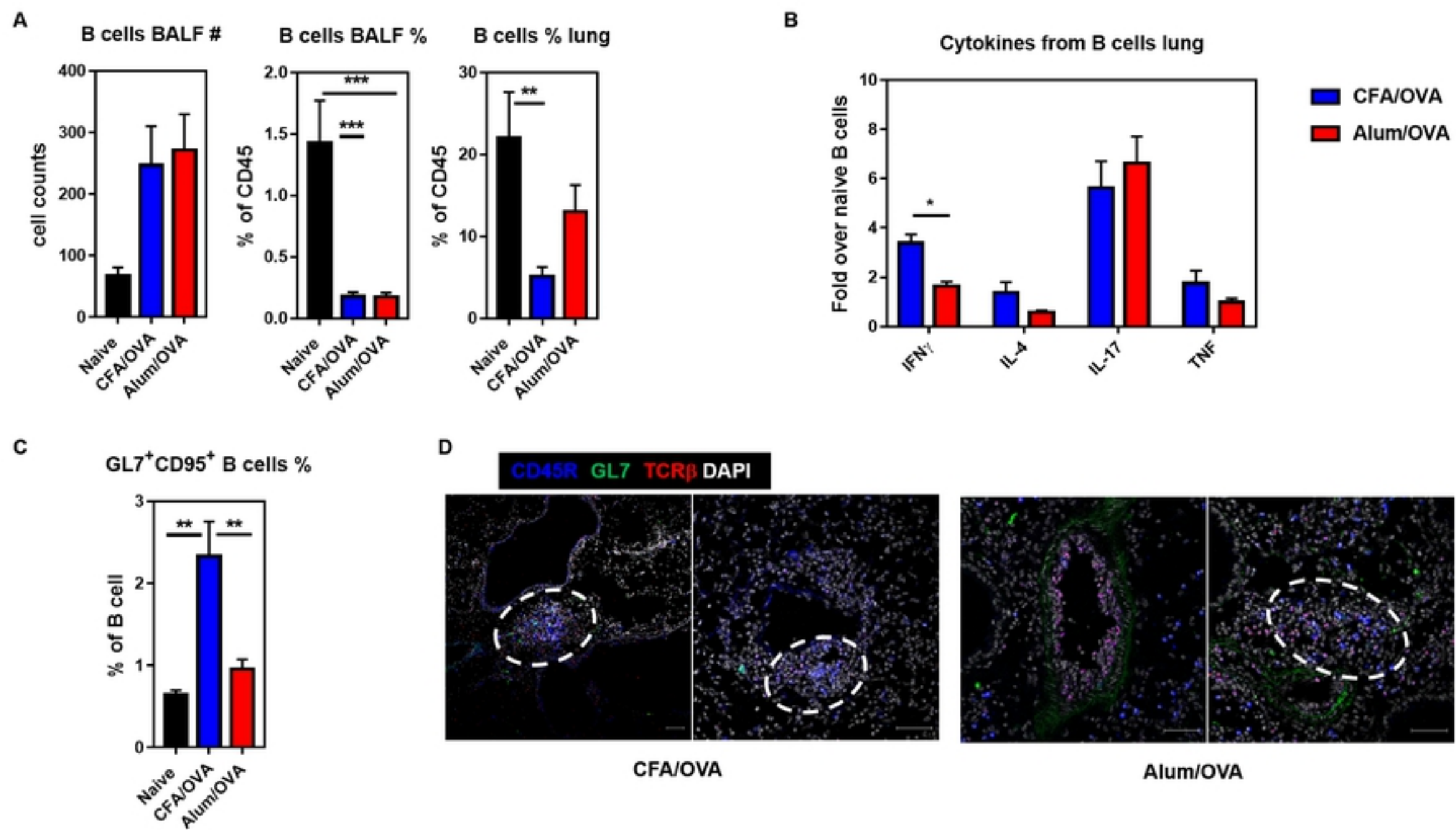
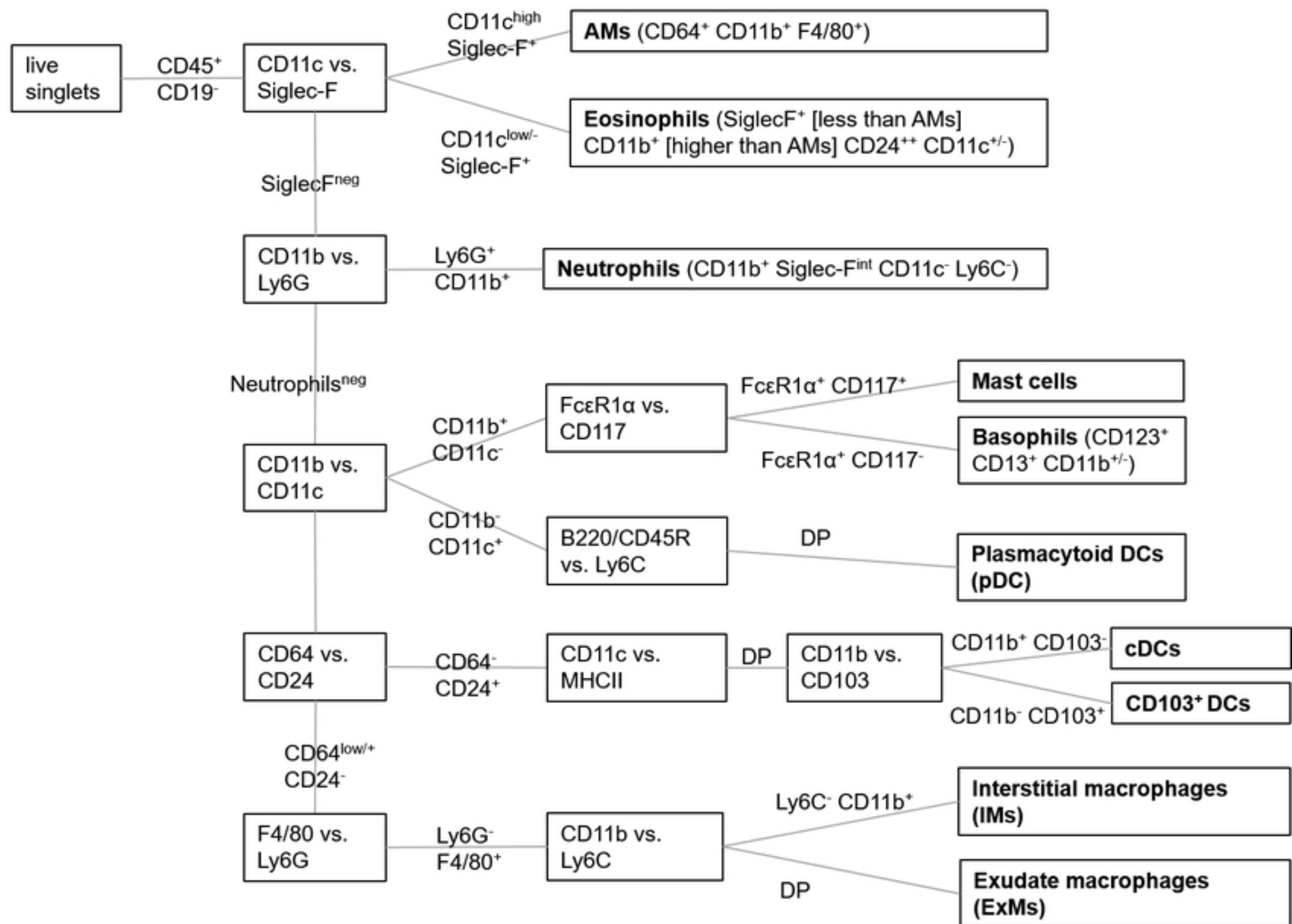
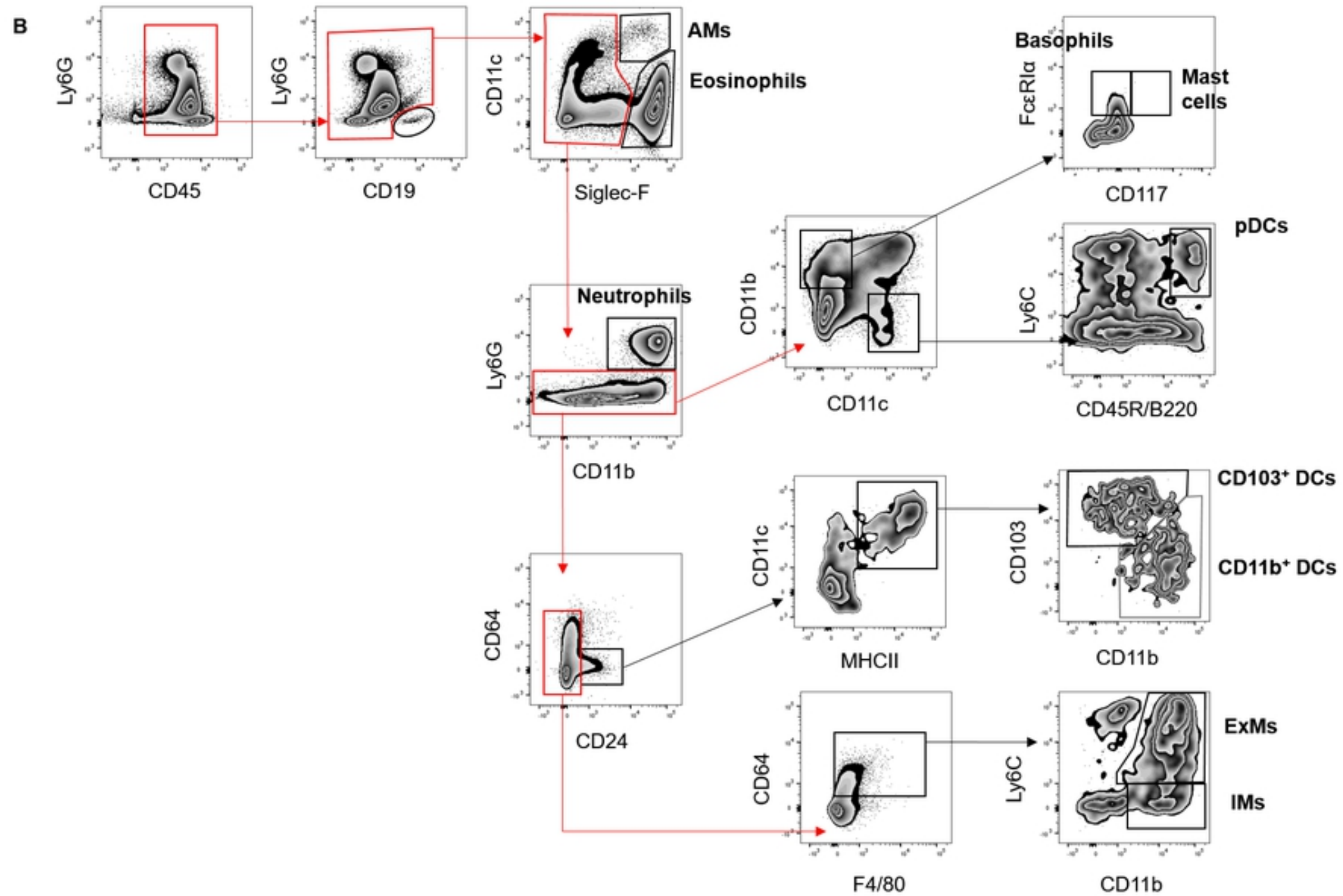


Fig 5

A

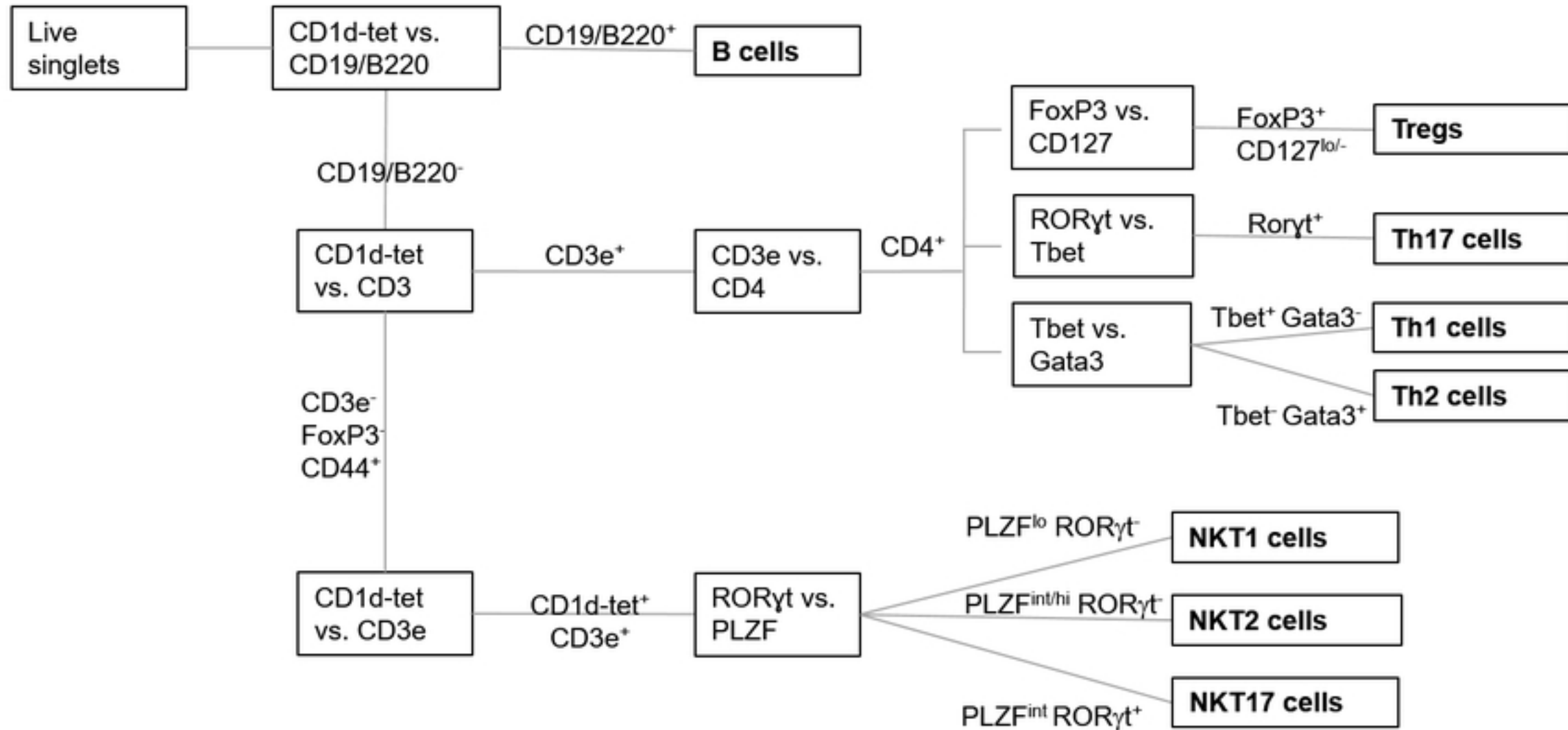


S1 Fig A

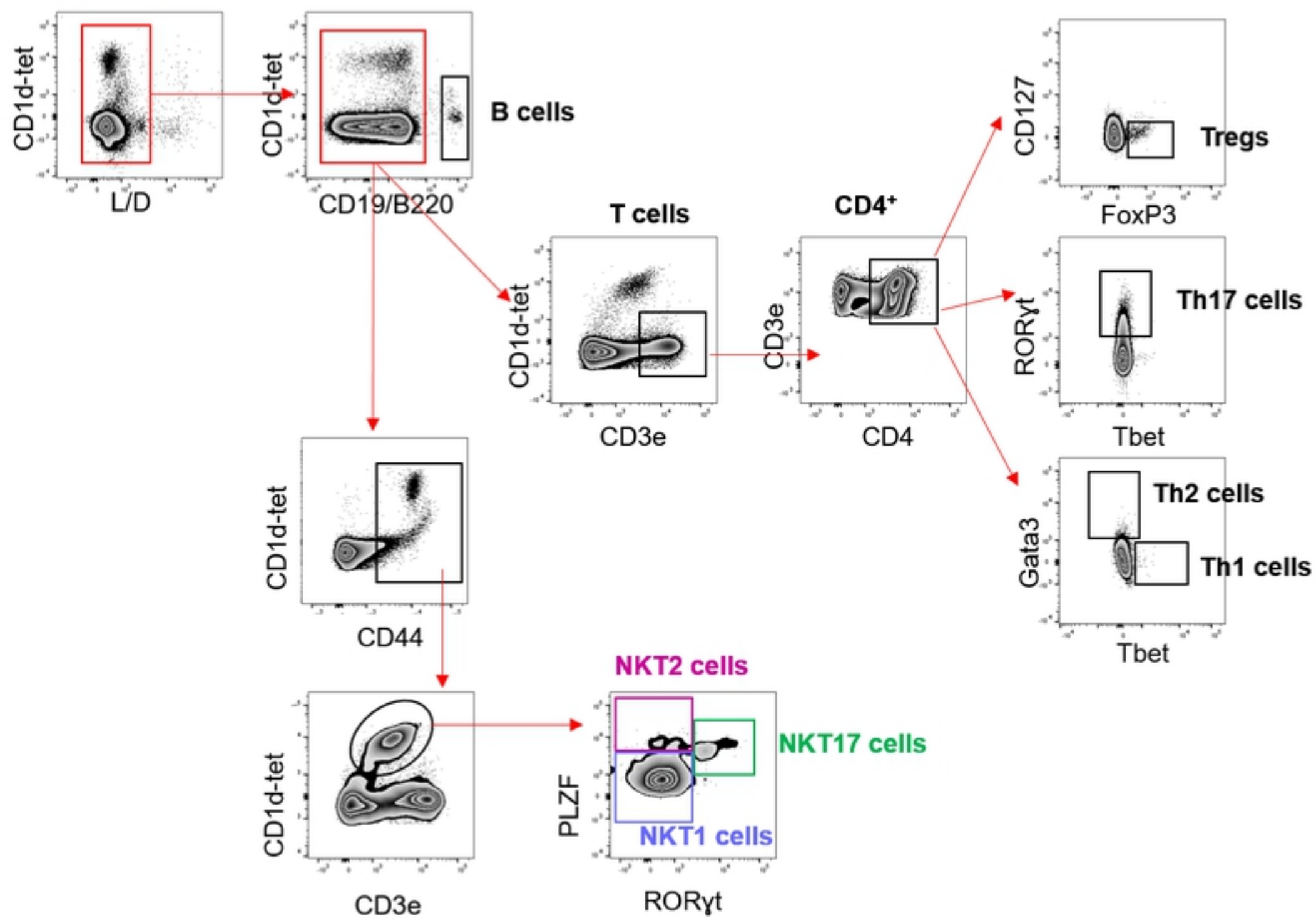


S1 Fig B

A



S2 Fig A

B

S2 Fig B

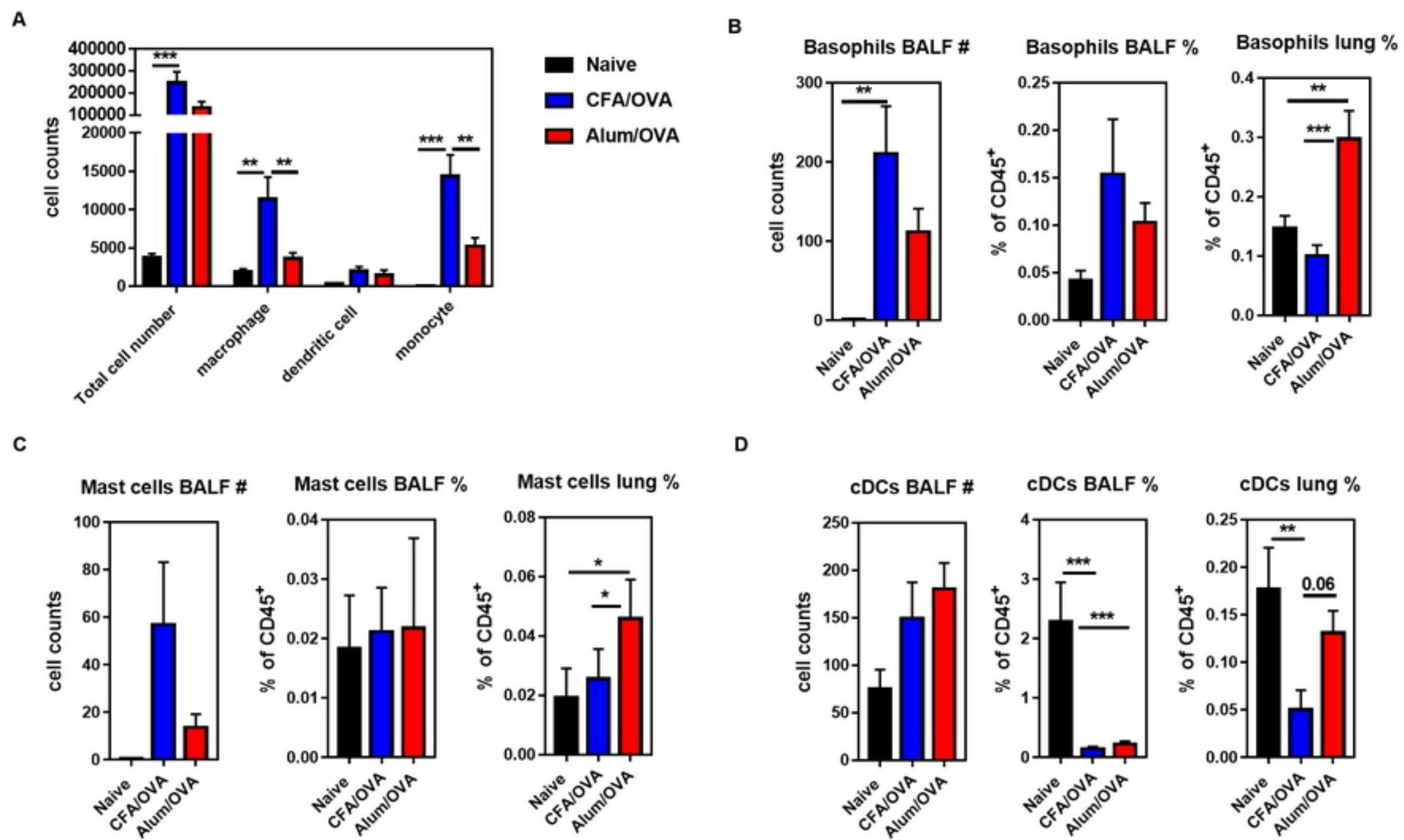


Fig 2 A-D