Adaptive evolution of *Moniliophthora* PR-1 proteins towards its pathogenic lifestyle

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14 Abstract

Moniliophthora perniciosa and Moniliophthora roreri are hemibiotrophic fungi that harbor a 15 large number of Pathogenesis-Related 1 genes, many of which are induced in the biotrophic 16 interaction with Theobroma cacao. Here, we provide evidence that the evolution of PR-1 in 17 Moniliophthora was adaptive and potentially related to the emergence of the parasitic lifestyle 18 in this genus. Phylogenetic analysis revealed conserved PR-1 genes, shared by many 19 Agaricales saprotrophic species, that have diversified in new PR-1 genes putatively related to 20 pathogenicity in *Moniliophthora*, as well as in recent specialization cases within both species. 21 22 PR-1 families in Moniliophthora with higher evolutionary rates exhibit induced expression in the biotrophic interaction and positive selection clues, supporting the hypothesis that these 23 proteins accumulated adaptive changes in response to host-pathogen arm race. Furthermore, 24 we show that the highly diversified MpPR-1 genes are not induced by two phytoalexins, 25 26 suggesting detoxification might not be their main function as proposed before.

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28 Introduction

Pathogenesis Related-1 (PR-1) proteins are part of CAP (cysteine-rich secretory proteins, antigen 5, and pathogenesis-related 1) superfamily, also known as SCP/TAPS proteins (sperm-coating protein/Tpx-1/Ag5/PR-1/Sc7), and are present throughout the eukaryotic kingdom (Cantacessi et al., 2009; Gibbs et al., 2008). In plants, PR-1 proteins are regarded as markers of induced defense responses against pathogens (van Loon et al., 2006).

These proteins have also been ascribed roles in different biological processes in mammals, 34 insects, nematodes and fungi, including reproduction, cellular defense, virulence and 35 evasion of the host immune system (Asojo et al., 2005; Chalmers et al., 2008; Ding et al., 2000; 36 Gao et al., 2001; Hawdon et al., 1999; Lozano-Torres et al., 2014; Prados-Rosales et al., 2012; 37 Schneiter & Di Pietro, 2013; Zhan et al., 2003). In Saccharomyces cerevisiae, Pry proteins 38 (Pathogen related in yeast) bind and export sterols and fatty acids to the extracellular medium, 39 an activity that has also been demonstrated for other proteins of the CAP superfamily through 40 functional complementation assays (Choudhary & Schneiter, 2012; Darwiche, Mène-Saffrané, 41 et al., 2017; Darwiche & Schneiter, 2016; Gamir et al., 2017; Kelleher et al., 2014). 42

43 The basidiomycete fungi Moniliophthora perniciosa and Moniliophthora roreri are hemibiotrophic phytopathogens that cause, respectively, the Witches' Broom disease (WBD) 44 and Frosty Pod Rot of cacao (*Theobroma cacao*). Currently, three biotypes are recognized for 45 M. perniciosa based on the hosts that each one is able to infect. The C-biotype infects species 46 of Theobroma and Herrania (Malvaceae); the S-biotype infects plants of the genus Solanum 47 (e.g., tomato) and *Capsicum* (pepper); and the L-biotype is associated with species of lianas 48 (Bignoniaceae), without promoting visible disease symptoms (Evans, 1978; Evans, 2007; 49 Purdy & Schmidt, 1996). 50

51 With the genome and transcriptome sequencing of the C-biotype, 11 PR-1-like genes, 52 named MpPR-1a to k, were identified in M. perniciosa (Teixeira et al., 2012). Interestingly, 53 many of these genes are upregulated during the biotrophic interaction of M. perniciosa and T. cacao, which constitutes a strong indication of the importance of these proteins in the disease 54 process (Teixeira et al., 2012; Teixeira et al., 2014). In this context, efforts have been made to 55 56 elucidate the role of these molecules during the interaction of *M. perniciosa* with cacao, such as the determination of the tridimensional structure of MpPR-1i (Baroni et al., 2017) and the 57 58 functional complementation of MpPR-1 genes in yeast Pry mutants (Darwiche et al., 2017). These studies revealed that seven MpPR-1 proteins display sterol or fatty acid binding and 59 export activity, suggesting that they could function as detoxifying agents against plant lipidic 60 61 toxins (Darwiche et al., 2017).

Despite these advances, studies with a deeper evolutionary perspective have not yet been performed for MpPR-1 proteins. Evolutionary analysis can be an important tool for the inference of gene function and the identification of mechanisms of evolution of specific traits, such as pathogenicity. Genes that are evolving under negative selection pressures are

66 likely to play a crucial role in basal metabolism (Oleksyk et al., 2010). On the other hand, genes 67 that are evolving under positive selection may have changed to adjust their function to a 68 relatively new environmental pressure (Manel et al., 2016). Thus, it can be hypothesized that 69 *Moniliophthora* PR-1 might have accumulated adaptive substitutions in response to selective 70 pressures related to a pathogenic lifestyle, and the analysis of these substitutions may reveal 71 protein targets and specific codons that are potentially important for the pathogenicity in 72 *Moniliophthora*.

73 In this study, we performed a two-level evolutionary analysis of Moniliophthora PR-1 genes: (i) their macroevolution in the order Agaricales, which consists mainly of saprotrophic 74 75 fungi, being the Moniliophthora species one of the few exceptions; (ii) and their microevolution within M. perniciosa and its biotypes that differ in host-specificity. By 76 characterizing PR-1 proteins encoded by 22 Moniliophthora genomes, reconstructing their 77 phylogenetic history and searching for evidence of positive selection, we identified an 78 increased diversification in these proteins in Moniliophthora that is potentially related to its 79 pathogenic lifestyle, as supported by expression data, and also presents cases of species-80 specific and biotype-specific diversification. 81

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83 **Results**

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85 Characterization of PR-1 gene families in Moniliophthora

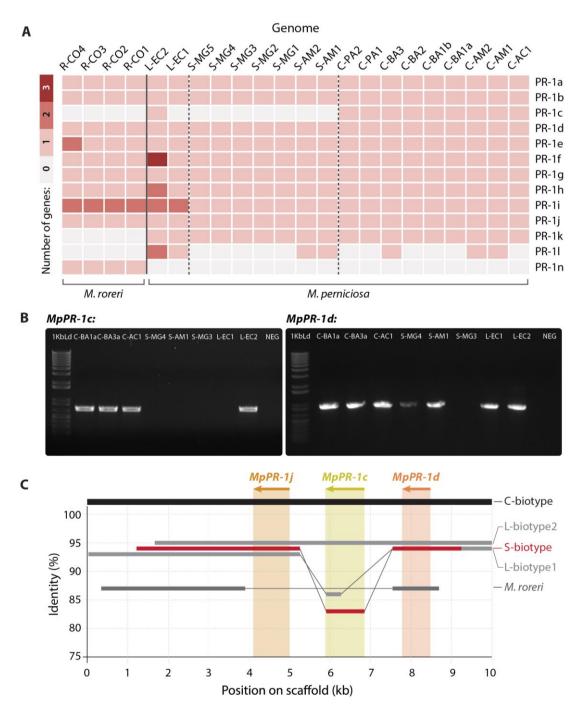
Previous work had already reported the identification of 11 PR-1-like genes in the 86 genome of *M. perniciosa* isolate CP02 (C-biotype), which were named *MpPR-1a* to *MpPR-1k* 87 (Teixeira et al., 2012). Likewise, 12 PR-1-like genes were identified in the genome of M. roreri 88 (MCA2977) (Meinhardt et al., 2014). With the sequencing and assembly of 18 additional 89 genomes of M. perniciosa isolates and other 4 genomes of M. roreri isolates, it was possible to 90 91 characterize the PR-1 gene families in the different biotypes of M. perniciosa and in its sister species *M. roreri* in order to look for similarities and differences at the species and biotype 92 levels. Figure 1.A shows the number of genes identified as *PR-1* per isolate. 93

The examination of orthogroups containing *PR-1-like* hits revealed that the PR-1i orthogroup has the highest number of duplications with two copies in *M. roreri* and in Lbiotype. Moreover, a new PR-1-like orthogroup with seven candidates that are more similar to *MpPR-1i* (67% identity) was found. This newly identified gene was named "*MpPR-1l*" and

was not found in M. roreri. It has the same number and structure of introns and exons as the 98 MpPR-1i gene and they are closely located in the same scaffold, which is evidence of a 99 100 duplication event within M. perniciosa. Interestingly, the sequences corresponding to MpPR-*11* in the five S-biotype isolates from Minas Gerais were found in another orthogroup, in which 101 102 MpPR-11 was fused to the adjacent gene in the genome (a putative endo-polygalacturonase gene containing the IPR011050 domain: Pectin lyase fold) with no start codon found between 103 104 the two domains. Furthermore, we found that the MpPR-1i gene and, consequently, its predicted protein is truncated in almost all S-biotype isolates from MG (except for S-MG2) 105 106 (Figure 4).

107 Examining these gene families to look for other putatively species-specific PR-1 in Moniliophthora, we observed that the MpPR-1k and MpPR-1c genes are not found in the M. 108 roreri genomes analyzed in this work, while MrPR-1n constitutes an exclusive family in this 109 110 species. The MrPR-10 gene previously identified by Meinhardt et al. (2014) was not predicted in any genome as a gene in this work. The protein sequence of *MrPR-10* has higher identity 111 with MrPR-1i (70%), MpPR-1i (66%) and MpPR-1c (56%), but it is shorter than all 3 protein 112 113 sequences and does not have a signal peptide like other PR-1 proteins, which suggested that *MrPR-10* is a pseudogenized paralog of *MrPR-1j*. 114

115 The absence of *MpPR-1c* in all S-biotype genomes suggested that this gene could be 116 biotype-specific within M. perniciosa, however, it was predicted in the L-biotype genome L-EC2. Therefore, we sought to confirm the presence or absence of this gene in different *M*. 117 perniciosa by PCR amplification and synteny analysis. The absence of MpPR-1c in the S-118 biotype isolates and in the L-biotype L-EC1 was confirmed, as well as its presence in L-EC2 119 120 (Figure 1.B). We also amplified the *MpPR-1d* gene, which was predicted in all genomes, in 121 almost all tested isolates, except for S-MG3 because of a mismatch in the annealing regions 122 of both primers. Even though our PCR results indicated that MpPR-1c is not present in the S-123 biotype, synteny analysis of the genome region where MpPR-1*j*-*c*-*d* are found in tandem (22) 124 revealed that, in fact, *MpPR-1c* is partially present in these S-biotype genomes (Figure 1.C), suggesting again that the duplication event of PR-1j occured in the ancestral of Moniliophthora 125 126 but this paralog was also pseudogenized in the evolution of the S-biotype.



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Figure 1. Characterization of PR-1 gene families in M. perniciosa and M. roreri genomes. A. 128 Heatmap of the number of gene copies per family of PR-1-like candidates per Moniliophthora 129 isolate. Identification of genomes are in columns and PR-1 family names are in rows. B. 130 131 Amplification by PCR of MpPR-1c and MpPR-1d genes in the genomic DNA of eight M. perniciosa isolates. 1Kb Ld = 1 Kb Plus DNA Ladder (Invitrogen), Neg = PCR negative control 132 (no DNA). Expected fragment sizes were 687 bp for MpPR-1c and 902 bp for MpPR-1d. C. 133 Synteny analysis of a 10 Kb portion of the genome where the MpPR-1j, c, d genes are found in 134 the three biotypes of *M. perniciosa* and *M. roreri*. The genomes analyzed were C-BA3, S-MG2, 135 R-CO2, L-EC1 and L-EC2. Only identity above 75% to the C-biotype reference is shown. 136

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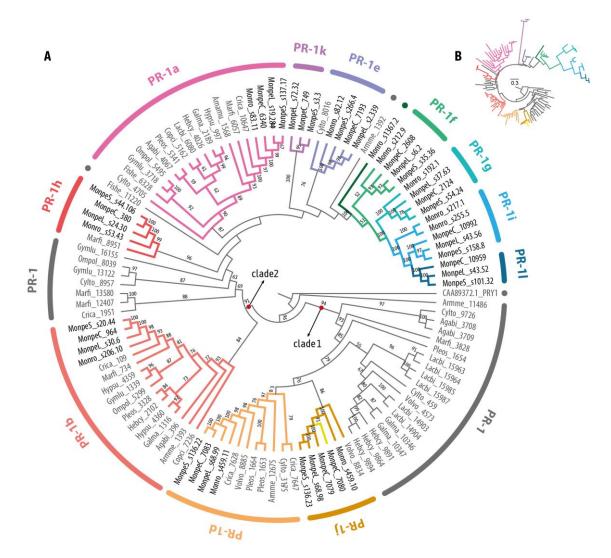
138 PR-1 genes evolution along the Agaricales order

To study the macroevolution of PR-1 proteins, we identified orthologous sequences of genes encoding PR-1-like proteins in 16 genomes of species from the Agaricales order, including 3 selected *M. perniciosa* genomes (one of each biotype) and 1 *M. roreri* genome for comparisons. The phylogenetic reconstruction of Agaricales PR-1 proteins revealed a basal separation of two major clades, hereafter called clade1 and clade2 (Figure 2.A).

144 The first PR-1 clade includes most Agaricales species outside *Moniliophthora* showing PR-1 genes that diverged early in the phylogeny, before the appearance of PR-1a-l-n 145 146 orthologues. From this first clade including the early diverged PR-1 proteins, subsequently 147 diverged PR-1d and PR1-j. The separation between PR-1d and j proposed for *Moniliophthora* 148 only occurs in Volvariella volvacea, while for all other species, paralogous of PR-1d diverged early. In *Moniliophthora*, PR-1j and PR-1d have more recent common ancestors, and the only 149 150 paralogous of these *Moniliophthora* PR-1s originates from a possible duplication of *MpPR-1j* 151 in the C-biotype of *M. perniciosa*, which was previously named *MpPR-1c*, therefore exclusive to this species and biotype. 152

153 The second clade includes all other PR-1 families and other Agaricales PR-1s that do not have a common ancestor with a single PR-1 from Moniliophthora. Clade2 is divided into 2 154 155 subclasses in its base, one of them composed of the PR-1b clade, which is distributed among 14 species. In the second subgroup, PR-1a shows a common ancestor in a total of 16 species, 156 157 being the most common PR-1 here. The great diversification of a PR-1a-like ancestor in Moniliophthora resulted in the formation of at least 4 new and exclusive PR-1 genes (k, g, i, l) 158 159 with high evolutionary rates reflected on the branch lengths (Figure 2.B). PR-1n showed a 160 putative ortholog in Armilaria mellea, the only other plant pathogen in the Agaricales dataset, however, this connection has low branch support. 161

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Figure 2. Phylogenetic cladogram of PR-1 proteins in Agaricales (Basidiomycota). A. 164 Phylogenetic relationships were inferred by maximum likelihood and branch support was 165 166 obtained using 1000 bootstraps. Only branch support values greater than 70 are shown. PR-1c is indicated as a yellow branch inside the PR-1j clade, and PR-1n is indicated with a dark green 167 dot and branch. Proteins with ancestral divergence to more than one family were named with 168 the letters of the derived families. Full species names are in SM1. The branch lengths were 169 dimensioned for easy visualization. **B.** The same phylogeny shown in "A" is presented with 170 the respective branch lengths augmented for the Moniliophthora specific PR-1 genes. 171

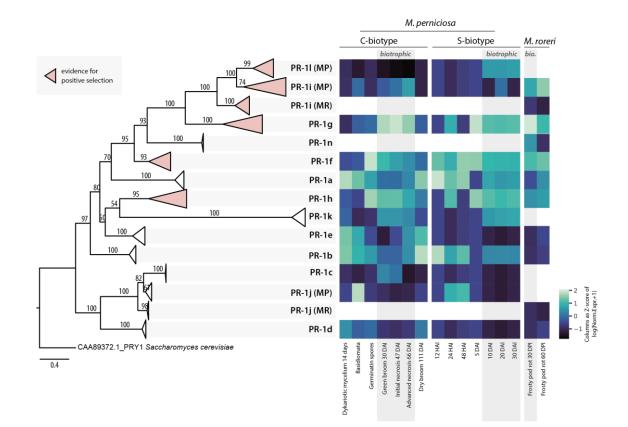
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173 Recent diversification of PR-1 genes in Moniliophthora

Through the investigation of the phylogenetic history of PR-1 proteins within 22 *Moniliophthora* isolates (Figure 3), we found that the previous classification of *MpPR-1a* to *k* and *MrPR-1n* represents monophyletic clades in the tree, except for *MpPR-1c* which is a recent paralogous of *MpPR-1j*. The evolution of *Moniliophthora* PR-1 also reflected the basal divergence between two large clades as observed in the Agaricales PR-1 tree (Figure 2). The
only incongruence between the two phylogenetic trees is the relative position of PR-1k, which
appeared after the divergence of PR-1a in Agaricales and before it in *Moniliophthora*. This
incongruence may be due to the extreme differentiation of PR-1k, with longer branch lengths
in *Moniliophthora*, being its position on the Agaricales tree more reliable.

183 Among the PR-1 families, the proteins with the greatest number of changes in the tree 184 are PR-1g, i, and k, which are exclusive PR-1s in the genus *Moniliophthora*, as pointed out by the previous phylogenetic analysis. In addition, PR-1h also showed a greater branch length 185 than the others, being a family of PR-1s only shared between Moniliophthora and Marasmius 186 187 in the Agaricales PR-1 tree (Figure 2). PR-1c also presented a large number of changes in relation to its ancestor PR-1j. This greater number of changes in these MpPR-1s, and their 188 exclusive presence in comparison to the other Agaricales, indicate a recent potential adaptive 189 process of diversification of these proteins in Moniliophthora. 190





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Figure 3. Phylogenetic reconstruction of PR-1 proteins in *Moniliophthora* and heatmap of
 expression Z-scores of PR-1. Phylogenetic relationships of PR-1 proteins from 18 *M*.
 perniciosa and 4 M. *roreri* isolates were inferred by maximum likelihood and branch support

196 was obtained using 1000 bootstraps. The PRY1 protein of Saccharomyces cerevisiae was used as

an outgroup. Clades filled with pink color represent PR-1 families with evidence of positive 197 selection. A version of this tree with non-collapsed branches can be found in Supplementary 198 Figure 1. For each PR-1 family, the Z-score of log transformed expression levels of MpPR-1 199 200 and MrPR-1 from transcriptomic data was calculated for conditions (columns) and plotted as a heatmap. The heatmap includes *MpPR-1* data from seven conditions of the C-biotype of *M*. 201 202 perniciosa from the Witches' Broom Transcriptomic Atlas, 7 different time points of S-biotype infection in MicroTom tomato plants, and two conditions of M. roreri infection in cacao pods 203 (frosty pod rot). Conditions highlighted with a grey background indicate the biotrophic stage 204 205 of the plant-pathogen interaction.

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207 **Positive selection shaping PR-1 families in** *Moniliophthora*

Based on the observations of high diversification of PR-1 families within Moniliophthora, we hypothesized that positive selection could be shaping these proteins either in the C-biotype or in the S-biotype. To test this hypothesis, we tested the branch-sites evolutionary model for each PR-1 family. None of these tests brought evidence of positive selection in any PR-1 family for the C-biotype branches. For the S-biotype branches, a signal of positive selection was detected for PR-1g on one site of the protein sequence.

214 Considering that the existence of M. perniciosa biotypes are very recent in the evolutionary timescale and that C-biotype itself has almost no genetic variation among its 215 sequences, which makes it very difficult to apply separate dN/dS tests, we tested both C- and 216 S- biotypes together. We tested the hypothesis that there was a single selective pressure 217 shaping PR-1 families throughout the M. perniciosa and M. roreri evolution regardless of the 218 biotype, using the site model test. In these tests, sites with positive selection signs were 219 detected in five families (PR-1f, g, h, i, l) (Table 2). The PR-1n family was not included in these 220 221 tests because all sequences were identical.

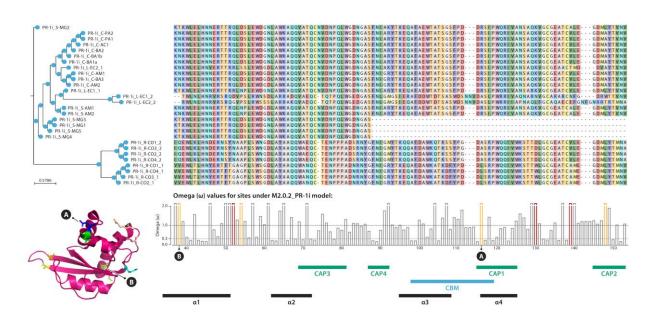
PR-1g stands out for having the highest omega and for being one of the most expressed genes during the green broom phase (Teixeira et al., 2014). Three of the codons under positive selection are part of the 'keke' domain, which is possibly involved in the interaction with divalent ions or proteins (Teixeira et al., 2012). Among the sites detected under positive selection for PR-1i, one is found in the caveolin binding motif (CBM), an important region for binding to sterols, and another site is in the alpha-helix 1, which together with alpha-helix 4 form the cavity for ligation to palmitate (Baroni et al., 2017) (Figure 4).

Although the two exclusive PR-1 families of *M. perniciosa*, PR-1c and PR-1k, do not present evidence of positive selection, both revealed processes of diversification in the PR-1

- 231 phylogenies. It is possible that these families have also undergone selective pressures in their
- evolution, but the short time of evolution of *M. perniciosa* in relation to the genus has reduced
- the accuracy of the dN/dS tests in these exclusive families.
- 234
- Table 2. Omega (dN/dS) values and protein sites (amino acid: position) detected with
 significant probability of positive selection for each PR-1 family in *Moniliophthora*.

Family	Omega	Sites under positive selection (p>0.95)
PR-1a	4.12	None
PR-1b	2.31	None
PR-1c	1	None
PR-1d	2.07	None
PR-1e	1	None
PR-1f	2.74	K: 49, S: 157
PR-1g	7.05	P: 211, P: 234, A: 242, S: 260, S: 271
PR-1h	4.33	S: 78, Y: 107, P: 141, S: 155, E: 187, D: 206, L: 209, M: 224, R: 259, Q: 267
PR-1i	4.38	T: 40, Q: 54, D: 56, R: 118, K: 132, A: 141, L: 150
PR-11	6.39	Q: 65, Q: 76, -: 164, N: 176, R: 192, K: 193, E: 194, F: 196
PR-1j	2.76	None
PR-1k	2.50	None

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Figure 4. Sequence alignment and phylogeny of PR-1i proteins in *Moniliophthora* isolates.

Only a slice of the middle portion of the alignment is shown to highlight the sites with positive selection signs, indicated by red (p-value ≤ 0.01) or orange (p-value ≤ 0.05) bars in the bar chart of omega values below the alignment. Below de bar chart, annotations indicate the

243 locations along the sequence of the CAP domains, caveolin-binding motif (CBM) and alpha-

helices (α). On the 3D crystal structure of MpPR-1i protein (PBD:5V50) and on the bar chart,
"A" indicates the site under positive selection detected in the CBM and "B" indicates the site
under positive selection in alpha-helix 1.

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248 Adaptive evolution of PR-1 is reflected on expression data

249 It has already been shown that *MpPR-1* genes of the C-biotype have distinct expression 250 profiles in several different conditions of the WBD Transcriptome Atlas, which were also 251 confirmed by quantitative RT-PCR (Teixeira et al., 2012; Teixeira et al., 2014). MpPR-1a, b, d, 252 e are ubiquitously expressed during the necrotrophic mycelial stage, while MpPR-1j is mainly expressed in primordia and basidiomata. Six MpPR-1s are highly and almost exclusively 253 expressed during the biotrophic stage of WBD: MpPR-1c, f, g, h, i, k (Teixeira et al., 2012). 254 However, in contrast to MpPR-1i, the newly discovered MpPR-1l is not expressed in any of the 255 conditions analyzed, suggesting that this gene may not be functional in the C-biotype. 256

257 Expression data of MpPR-1 genes from the S-biotype during a time course of the 258 biotrophic interaction with MT tomato revealed that MpPR-1f, g, h, l, and k are highly expressed during 10-30 days after infection (d.a.i.) (Figure 3). These results are similar to the 259 260 expression profile verified for the C-biotype during the biotrophic interaction with T. cacao, with the exceptions that MpPR-1c is absent in the S-biotype and, instead of MpPR-1i, MpPR-1l 261 262 is expressed during tomato infection. S-biotype *MpPR-1s* are highly expressed starting at 10 263 d.a.i., which is usually when the first symptoms of stem swelling are visible in MT tomato (Deganello et al., 2014). MpPR-1a and b appear to have ubiquitous expression profiles since 264 they show similar expression levels in almost all conditions. *MpPR-1j, d, e,* and *i* did not show 265 significant expression in these libraries. Because MpPR-1i is truncated in the S-MG1 genome, 266 quantification of expression was also done with S-MG2 as a reference, since it has a complete 267 MpPR-1i gene. However, we still obtained the same expression profiles as S-MG1 for all MpPR-268 1. This could suggest that *MpPR-11* is expressed in S-biotype even with a fusion to the adjacent 269 270 gene. In the C-biotype, this adjacent gene is only expressed during the biotrophic interaction.

In *M. roreri*, it has been previously reported that *MrPR-1n*, *MrPR-1g* and *MrPR-1i2*were upregulated in samples from the biotrophic phase (30 days post infection of pods), *MrPR-1d* was upregulated in the necrotrophic phase (60 days post infection of pods) and five
other *MrPR-1* were constitutively expressed under these conditions (Meinhardt et al., 2014).
The heatmap in Figure 3 shows that similar to *M. perniciosa's PR-1* expression profile, *MrPR*-

1g is the most expressed *PR-1* gene during the biotrophic stage. Moreover, while *MrPR-1h* and *MrPR-1f* were not differentially expressed when comparing the biotrophic and necrotrophic
stages, they also showed higher expression when compared to other *MrPR-1s* that belong to
the conserved families.

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Expression of recently diversified MpPR-1 was not induced by plant antifungal compounds

It has been previously demonstrated that CAP proteins of M. perniciosa bind to a 283 variety of small hydrophobic ligands with different specificities. Thus, it has been suggested 284 that the MpPR-1 genes induced in the biotrophic interaction could function in the 285 detoxification of hydrophobic molecules produced by the host as a defense strategy 286 (Darwiche et al., 2017). In this context, we investigated if MpPR-1 genes, especially the ones 287 induced in WBD (c, f, h, i, k, g), are differentially expressed by the presence of the plant 288 289 antifungal compounds eugenol or α -tomatin, which are similar to sterol and fatty acids, 290 respectively. However, when the necrotrophic mycelia of M. perniciosa was treated with eugenol, only MpPR-1e, k, d were up-regulated, while MpPR-1f was down-regulated in α -291 292 tomatin-treated samples (Figure 5). In all samples, among all MpPR-1 genes, MpPR-1a and *MpPR-1b* had the highest expression levels, while *MpPR-1c*, *i*, *g*, *j* have the lowest (TPM \leq 2). 293

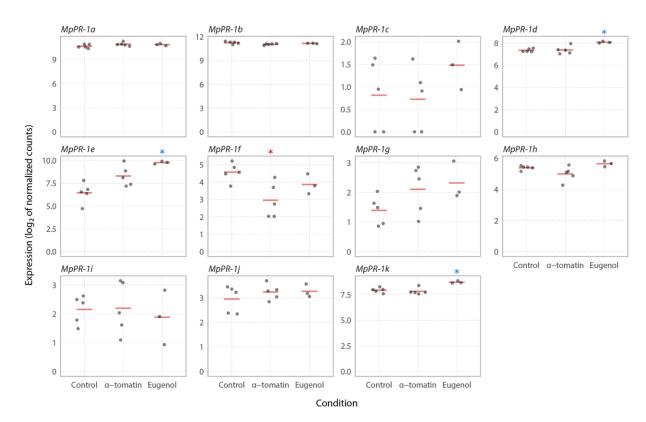


Figure 5. Expression profile of MpPR-1 genes in response to two plant antimicrobial 296 297 **compounds.** The necrotrophic mycelium of *M. perniciosa* C-biotype (C-BA1a) was grown in liquid media in the presence of eugenol, α-tomatin or DMSO (mock condition) for 7 days. The 298 299 expression values (Log2 transformed) for each MpPR-1 were obtained by RNA-Seq and subsequent quantification of read counts and between-sample normalization using size 300 factors. Red bars indicate the mean of expression values within a group of replicates. 301 Asterisks indicate that MpPR-1e, k, d, f are differentially expressed (s-value<0.005) when 302 compared to the mock condition, with blue asterisk indicating up-regulation and red 303 304 indicating down-regulation.

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306 **Discussion**

The evolution of PR-1 and the emergence of pathogenicity among saprotrophs

The plant pathogen M. perniciosa has at least 11 genes encoding PR-1-like secreted 308 proteins, which were previously identified and characterized in the genome of the C-biotype 309 CP02 isolate (Teixeira et al., 2012). Many of these genes were shown to be highly expressed 310 during the biotrophic interaction of *M. perniciosa* and cacao, suggesting that MpPR-1 proteins 311 have important roles during this stage of WBD. M. perniciosa has two other known biotypes (S 312 313 and L) that differ in host specificity and virulence, the closest related species *M. roreri* that also is a T. cacao pathogen, and other nine Moniliophthora species: one described as a non-314 pathogenic grass endophyte (Aime & Phillips-Mora, 2005), three of biotrophic/parasitic habit 315 (Niveiro et al., 2020), and five species of unascertained lifestyle, found in dead or decaying 316 317 vegetal substrates (Kerekes et al., 2009; Kropp & Albee-Scott, 2012; Takahashi, 2002). Because the majority of Moniliophthora related fungi in the Agaricales order are saprotrophs, the 318 occurrence of parasitic *Moniliophthora* species raises the question about the emergence of 319 biotrophic/parasitic lifestyle in this lineage of Marasmiaceae (Niveiro et al., 2020; Teixeira et 320 al., 2015). The evolutionary scenario of host-pathogen arms race that emerges through the 321 322 diversification of the Moniliophthora genus in the Agaricales order and of host-specific 323 biotypes in *M. perniciosa* isolates, is especially suitable for the study of adaptive evolution in pathogenicity-related genes. Besides, the knowledge on putative adaptations gained through 324 325 pathogen evolution are also specially interesting for further development of strategies against 326 the pathogen. Based on our findings, Figure 6 presents a model for the adaptive evolution of 327 PR-1 proteins in Moniliophthora.

Through the characterization of the evolution of PR-1 proteins in Agaricales, we observe that at least one copy of PR-1 is present in all the sampled fungi, with most of the

Agaricales species encoding between 1 and 7 PR-1 proteins. This is in contrast with 330 331 Moniliophthora species, which encode among 10-12 proteins. Moniliophthora PR-1 proteins are derived independently from both ancient clades in the Agaricales gene tree. The longer 332 branch-lengths in PR-1 families exclusive to Moniliophthora along with the evidence of 333 334 evolution under positive selection identified in independently diverged clades suggest that the diversification of PR-1 in the genus was adaptive and related to its pathogenic lifestyle. 335 The accentuated adaptive evolution of PR-1 in Moniliophthora is not only reflected in the 336 genomic evolution of these genes, but also in their expression context. PR-1c, f, g, h, i, k, l, n 337 are upregulated during the biotrophic interaction, while PR-1s that are also conserved in 338 339 other Agaricales species are mainly expressed in mycelial stages of M. perniciosa (MpPR-1a, 340 b, d, e). Most Agaricales species are not plant pathogens, which is also an evidence that PR-1 in Moniliophthora diverged from a few ancestral PR-1s that are related to basal metabolism in 341 fungi and have been evolving under positive selective pressure possibly because of a benefit 342 343 for the biotrophic/pathogenic lifestyle.

The emergence of SCP/TAPs proteins as pathogenicity factors has been reported in 344 other organisms, such as the yeast Candida albicans and the filamentous fungus Fusarium 345 346 oxysporum (Braun et al., 2000; Prados-Rosales et al., 2012). Even though their specific function and mode of action may be different and remains to be characterized in plant pathogens, the 347 348 recent evolution of these proteins towards their pathogenic role in the Moniliophthora genus could have contributed for the transition from a saprotrophic to parasitic lifestyle. 349 350 Accelerated adaptive evolution evidenced by positive selection signs has also been observed in other virulence-associated genes of pathogenic fungi, such as the genes PabaA, fos-1, pes1, 351 and pksP of Aspergillus fumigatus, which are involved in nutrient acquisition and oxidative 352 353 stress response (Fedorova et al., 2008), and several gene families in C. albicans, including cell 354 surface protein genes enriched in the most pathogenic Candida species (Butler et al., 2009).

355

356 Adaptive evolution of PR-1 within *Moniliophthora* species and its biotypes

The high diversification of PR-1 families observed within *Moniliophthora* was reinforced by our findings of positive selection in families that have also augmented expressions during infection: PR-1f, g, h, i, l. Among these five families, PR-1g and PR-1i are two of the most diversified families in *Moniliophthora* and have a more recent common ancestor with PR-1f than with the other PR-1s, placing this monophyletic clade of PR-1f, g, i as the key one to diversification and adaptive evolution of these proteins in the genus. Many

sites that potentially evolved under selective pressure were also found in PR1-h, indicatingthat a parallel process of adaptive evolution occurred in this family.

Within the diversification of PR-1 in Moniliophthora, four cases of putative species-365 366 specific evolution of PR-1 families were found: PR-1c, PR-1k and PR-1l in M. perniciosa and 367 PR-1n in M. roreri. Although vestigial sequences indicate that PR-1c probably emerged as a 368 paralog of PR-1 in the ancestral of both species, it was only kept in the evolution of C-biotype, in which a change in expression profile occurred, thus placing MpPR-1c as a case of PR-1 369 370 diversification within M. perniciosa biotypes and a potential candidate for host specificity. Another candidate for biotype-specific diversification is PR-11, which diverged from a 371 372 duplication of PR-1i. Even though PR-1l was found in all three *M. perniciosa* biotypes, it was expressed only in the S-biotype during the biotrophic interaction, instead of PR-1i, which is 373 374 expressed in *M. roreri* and in the C-biotype. This suggests that the divergence of PR-1i can be 375 host-specific, but further experiments are necessary to clarify if they are either a cause or consequence of M. perniciosa pathogenicity. 376

377 Even though almost all PR-1 families are present in the genomes of L-biotype isolates, this biotype has an endophytic lifestyle and does not cause visible disease symptoms in their 378 379 hosts (H. C. Evans, 1978; Griffith & Hedger, 1994). There is no available expression data for 380 the L-biotype, so it is unknown whether their PR-1 genes could have any role related to their 381 lifestyle or these genes are not pseudogenized yet due to a small evolutionary time. Evans (1978) reported that the L-biotype can induce weak symptoms in seedlings of the Catongo 382 variety of T. cacao. Therefore, it could be possible that host susceptibility is an important 383 factor for the manifestation of WBD symptoms. 384

385

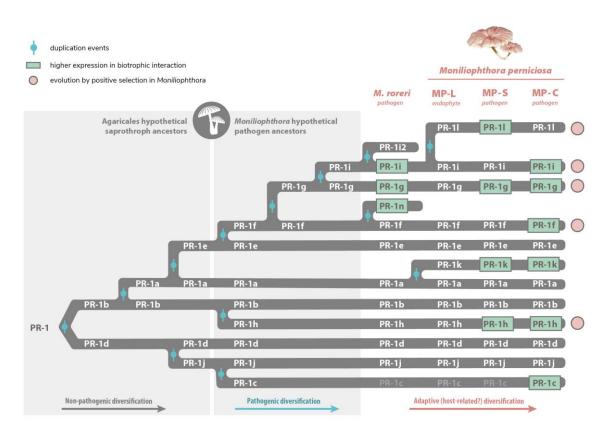
From basal metabolism to key roles in disease: How PR-1 proteins could have functionally adapted for pathogenicity?

It was previously shown that Pry proteins detoxify and protect yeast cells against eugenol (Darwiche, Mène-Saffrané, et al., 2017) and that MpPR-1 proteins can bind to hydrophobic compounds secreted by plants, indicating that they could antagonize the host defense response (Darwiche, El Atab, et al., 2017). When the necrotrophic mycelia of *M. perniciosa* was cultivated with eugenol, expression of *MpPR-1d* and *MpPR-1k* was upregulated, which is in agreement with the ability of these two proteins to bind to plant and fungal sterol compounds (Darwiche, El Atab, et al., 2017). *MpPR-1e* expression was also highly induced by eugenol, even though it was previously shown to bind only to fatty acids, but not sterols. Additionally, MpPR-1f, which is up-regulated during the biotrophic interaction like MpPR-1k, was down-regulated by α -tomatin. Given the above, it appears that *M. perniciosa* does not rely on MpPR-1 for cellular detoxification or this function is not transcriptionally regulated by plant hydrophobic compounds in the necrotrophic mycelia, or even, they could have different roles other than detoxification.

401 Considering that some PR-1 proteins are not associated with infection and are 402 conserved in other saprotrophic fungi, here we hypothesize that the primary function of PR-403 1 in fungi can be related to the export of sterols from basal metabolism, such as ergosterol, 404 the most abundant sterol in fungal cell membrane (Mohd et al., 2011; Zhao et al., 2005). PRY 405 of S. cerevisiae transports acetylated ergosterol to the plasma membrane (Choudhary & 406 Schneiter, 2012) and MpPR-1d, which belongs to a relatively conserved PR-1 family in 407 Agaricales, can also efficiently bind to ergosterol (Darwiche et al., 2017). Furthermore, ergosterol acts as a PAMP molecule (pathogen-associated molecular pattern) in plants 408 409 (Nürnberger et al., 2004), resulting in the activation of defense-related secondary metabolites and genes, including plant PR-1s (Kasparovsky et al., 2003; Klemptner et al., 2014; Lochman 410 411 & Mikes, 2006; van Loon et al., 2006), which are likely to have a role in sequestering sterols from the membranes of microbes (Gamir et al., 2017) and stress signaling (Chen et al., 2014; 412 413 Chien et al., 2015). Additionally, PR-1 receptor-like kinases (PR-1-RLK) from T. cacao are also upregulated on WBD and could be binding to the same ligand of PR-1 (Teixeira et al., 2013). 414 415 Given that, it is possible that MpPR-1 could have evolved different adaptive roles through neofunctionalization. Besides export of hydrophobic compounds of basal metabolism, they 416 417 could be acting in the protection of the cell membrane against the disruption caused by 418 antifungal compounds, in the detoxification of hydrophobic compounds like phytoalexins 419 secreted by the host, or it could even be possible that those MpPR-1s expressed during infection are sequestering the membrane sterols of the fungus itself in order to prevent 420 detection by a possible ergosterol recognition complex from the host (Khoza et al., 2019), thus 421 compromising the elicitation of plant immunity in a similar fashion of MpChi, a chitinase-422 423 like effector that is highly expressed by M. perniciosa during the biotrophic stage of WDB 424 (Fiorin et al., 2018).

It has been shown that the ability of MpPR-1 proteins to bind to sterols can be altered by a point mutation in the caveolin binding motif (Darwiche et al., 2017), highlighting the significance of understanding those sites under positive selection that are detected in

428 important regions of the proteins, such as the candidate sites found in the CBM and alpha-429 helix 1 of PR-1i. These findings are central to learn how changes in the nucleotide or protein 430 sequences could impact binding affinity and function. Even though this is speculative, as the 431 specific role of PR-1 remains unknown, these results can guide further validation 432 experiments and maybe demonstrate another case of adaptive evolution of fungal effectors. 433



434

Figure 6. Proposed model for the adaptive evolution of PR-1 proteins in Moniliophthora 435 towards the pathogenic lifestyle. All Moniliophthora PR-1 proteins derived independently 436 437 from two ancient clades (PR-1b-like and PR-1d-like) within the Agaricales order, as indicated in PR-1 phylogeny. The subsequent diversification of PR-1a and PR-1e from PR-1b, and PR-1j 438 from PR-1d, occured in putative saprotroph lineages before the divergence of Moniliophthora 439 genus, suggesting a diversification not related to pathogenicity. Within Moniliophthora 440 hypothetical pathogenic ancestors, five other PR-1 proteins were derived (c from j, h from b, 441 f-g-i from e) and most of these new lineages showed evidence of positive selection in M. 442 perniciosa samples (indicated by pink circles). New PR-1 copies (n and i2 in M. roreri, l and k 443 in M. perniciosa) diverged within M. species. Recently diversified PR-1 genes in 444 Moniliophthora, not only show an elevated rate of evolution and positive selection evidence 445 but are also predominantly expressed during the biotrophic interaction (indicated by green 446 highlights). This supports the hypothesis that these proteins accumulated adaptive changes 447 related to pathogen lifestyle that might also contribute to the host specialization observed in 448 Moniliophthora species and biotypes. 449

450

451 **Conclusions**

Based on genomic and transcriptomic data, we presented evidence of adaptive 452 453 evolution of PR-1 proteins in processes underlying the pathogenic lifestyle in Moniliophthora. These results reinforce the power of evolutionary analysis to reveal key proteins in the 454 455 genomes of pathogenic fungi and contribute to the understanding of the evolution of pathogenesis. Our results indicate a set of PR-1 families that are putatively related to 456 pathogenicity in the genus (PR-1f, g, h, i) and specialization within M. perniciosa biotypes (PR-457 1c, k and l) and M. roreri (PR-1n). The positive selection analysis also indicates protein sites 458 459 that are putatively related to those adaptations. PR-1 genes and sites with evidence of adaptations are strong candidates for further study and should be evaluated in order to 460 461 understand how changes in these sites can affect structure, binding affinity and function of 462 these proteins.

463

464 Material and Methods

465

466 Identification of PR-1-like gene families

In this study, we used a dataset of families of genes predicted in 22 genomes of 467 468 *Moniliophthora* (unpublished) and 16 genomes of other fungal species of the order Agaricales, 469 which were obtained from the Joint Genome Institute (JGI) Mycocosm database (Grigoriev et al., 2014). The Moniliophthora genomes included are 7 isolates of the S-biotype (collected at 470 the states of Amazonas and Minas Gerais, in Brazil), 9 isolates of the C-biotype (collected at 471 472 the states of Amazonas, Pará, and Acre, in Brazil), 2 isolates of the L-biotype (from Colombia) and 4 samples of M. roreri (from Colombia). Supplementary Table 1 contains the list of species 473 474 and isolates, their genome identification and source (collection location or reference 475 publication).

To identify candidate *PR-1* gene families, we performed a search for genes encoding the CAP/SCP/PR1-like domain (CDD: cd05381, Pfam PF00188) using the HMMER software (Eddy, 2011). The assignment of protein sequences to families of homologues (orthogroups) was done using Orthofinder (v. 1.1.2) (Emms & Kelly, 2015). In addition, we searched all gene families for families containing *PR-1* candidate genes with Blastp (Camacho et al., 2009) using the known 11 MpPR-1 sequences (Teixeira et al., 2012) as baits, in order to search for possible candidates that were not previously identified and/or that have been wrongly assigned to

other orthogroups due to incorrect gene prediction. To verify the presence of the SCP PR1like/CAP domain (InterPro entry IPR014044) in the sequence, the InterProscan platform
(Hunter et al., 2009) was used. All PR-1 candidate sequences identified in this study are
deposited in GenBank under accession numbers MW659198 - MW659445.

487

488 Sequence alignment and phylogenetic reconstruction

489 For the inference of the phylogenetic history of the gene, the protein sequences of the 490 PR-1 homologue families identified in the 22 Moniliophthora isolates were aligned with the PRY1 sequence of S. cerevisiae (GenBank ID CAA89372.1), which was used as outgroup. 491 492 Multiple sequence alignments were performed with Mafft (v. 7.407) (Katoh & Standley, 2013) using the iterative refinement method that incorporates local alignment information in pairs 493 494 (L-INS-i), with 1000 iterations performed. Then, the alignments were used for phylogenetic 495 reconstruction using the maximum likelihood method with IQ-Tree (v. 1.6.6) (Nguyen et al., 496 2015), which performs the selection of the best replacement model automatically, with 1000 497 bootstraps for branch support. Bootstraps were recalculated with BOOSTER (v. 0.1.2) for 498 better support of branches in large phylogenies (Lemoine et al., 2018). Likewise, the 499 phylogenetic inference for PR-1 of the Agaricales group of species was performed with the 500 alignment of the homologous proteins identified in the 16 species obtained from Mycocosm, 501 3 isolates of *M. perniciosa* (C-BA3, S-MG3, L-EC1, one representing each biotype), an isolate of 502 *M. roreri* (R-CO1), and PRY1 of *S. cerevisiae* as the outgroup. To improve alignment quality, trimAl package (Capella-Gutiérrez et al., 2009) was used. For dN/dS analysis, considering each 503 504 gene family independently, the phylogenetic reconstruction was performed using IQ-Tree (v. 1.6.6) with the multiple local alignment of the protein sequences obtained with Mafft (v. 505 506 7.407), and the codon-based alignment of the nucleotide sequences was performed with 507 Macse (v. 2.01) (Ranwez et al., 2018).

508

509 Detection of positive selection signals

To search for genes and regions that are potentially under positive selection in each of the PR-1 families of the 22 isolates, the CodeML program of the PAML 4.7 package (Yang, 2007) was used with the ETEToolkit tool (Huerta-Cepas et al., 2016). CodeML implements a modification of the model proposed by (Goldman & Yang, 1994) to calculate the omega (rate of non-synonymous mutations (dN)/rate of synonymous mutations (dS)) of a coding gene

515 from the multiple alignment sequences and phylogenetic relationships that have been 516 previously inferred.

In order to detect positive selection signals in isolates or specific positions in the 517 518 sequences, we performed tests with the "branch-site" model, which compares a null model 519 (bsA1) in which the branch under consideration is evolving without restrictions (dN/dS = 1)520 against a model in which the same branch has sites evolving under positive selection (bsA) (dN/dS > 1) (Zhang et al., 2005). In these tests, those branches that were tested for significantly 521 522 different evolving rates from the others (foreground branches ω frg) are marked in the phylogenetic trees - in this case, the branches corresponding to the isolates of the C-biotype 523 524 or S-biotype. To detect signs of positive selection at specific sites throughout the sequences, regardless of the isolate, we used the "sites" model (M2 and M1, NSsites 0 1 2) to test all 525 526 branches of the phylogenetic trees.

In both tests, the models are executed several times with different initial omegas (0.2, 0.7, 1.2), and the models with the highest probability are selected for the hypothesis test, in which a comparison between the alternative model and the null model is made through a likelihood ratio test. If the alternative model is the most likely one (p-value <0.05), then the possibility of positive selection (ω >1) can be accepted, and sites with evidence of selection (probability> 0.95) are reported by Bayes Empirical Bayes analysis (BEB) (Zhang et al., 2005).

533

534 Gene amplification and synteny analysis of PR-1c

In order to confirm the presence or absence of MpPR-1c and MpPR-1d genes in the 535 536 genomes of *M. perniciosa* isolates, these genes were amplified by polymerase chain reaction 537 (PCR) from isolates C-AC1, C-BA1a, C-BA3, S-AM1, S-MG3, S-MG4, L-EC1 and L-EC2. The 538 necrotrophic mycelia of these isolates was cultivated in 1.7% MYEA media (15 g L⁻¹ agar; 5 g 539 L⁻¹ yeast extract, 17 g L⁻¹ malt extract) at 28°C for 14 days, then harvested and ground in liquid 540 nitrogen for total DNA isolation with the phenol-chloroform method (Sambrook & Russell, performed with primers 541 2006). PCRs were designed for MpPR-1c (F: 5'-GGATCCCGACTTGACAACTCCATCTCG-3', R: 5'-GAGCTCTCACTCAAACTCCCCGTCATAAT-3') 542 5'-543 and MpPR-1d (F: 5'-GGATCCCCCTCGCAATGGGTTTTC-3', R: 544 GTCGACTCAGTCAAGATCAGCCTGGAGA-3') and amplifications cycles consisting of an initial stage of 94°C for 3 min, 35 cycles of 95°C for 30s, 60°C for 50 s and 72°C for 1 min, and final 545 extension at 72°C for 10 min. 546

547 For synteny analysis, the positions of *PR-1j*, *PR-1c* and *PR-1d* genes were searched in 548 the scaffolds of genomes C-BA3, S-MG2, R-CO2, L-EC1 and L-EC2 by blastn. The scaffolds were 549 then excised 5000bp upstream and 5000bp downstream from the starting position of *PR-1j* in 550 the scaffolds. The resulting 10000 bp excised scaffolds were used for synteny analysis with 551 Mummer (v. 4.0.0beta2) (Kurtz et al., 2004), using the C-BA3 sequence as the reference.

552

553 MpPR-1 expression data

MpPR-1 expression data in RPKM (Reads Per Kilobase per Million mapped reads) values from the C-biotype of *M. perniciosa* in seven biological conditions (dikaryotic mycelium 14 days, basidiomata, germinating spores, green broom, initial necrosis, advanced necrosis, dry broom) were downloaded from the Witches' Broom Disease Transcriptome Atlas (v. 1.1) (http://bioinfo08.ibi.unicamp.br/atlas/).

559 MpPR-1 expression data of M. perniciosa treated with plant antifungal compounds were obtained from RNA-seq data. The C-BA1a isolate's necrotrophic mycelia was initially 560 561 inoculated in 100 mL of liquid MYEA media and cultivated for 5 days under agitation of 150 rpm at 30°C, then 5 mL of this initial cultivation were transferred to 50 mL of fresh MYEA 562 563 liquid media containing eugenol (500µM), a-tomatin (80µM) or DMSO (250 µL, solvent control) and cultivated again under agitation of 150 rpm at 30°C for 7 days. The total RNA was 564 565 extracted using the Rneasy® Plant Mini Kit (Quiagen, USA) and quantified on a fluorimeter (Qubit, Invitrogen). cDNA libraries were prepared in five biological replicates for each 566 567 treatment, plus biological control. The cDNA libraries were built from 1000 ng of total RNA 568 using Illumina's TruSeq RNA Sample Prep kit, as recommended by the manufacturer. The 569 libraries were prepared according to Illumina's standard procedure and sequenced on 570 Illumina's HiSeq 2500 sequencer. The quality of raw sequences was assessed with FastQC 571 (v.0.11.7). Read quantification was performed by mapping the generated reads against 16084 gene models of the C-BA1a genome using Salmon (v.0.14.1) in mapping-based mode (Patro et 572 al., 2017). Read counts were normalized to Transcripts Per Million (TPM) values for plotting. 573 574 Differential expression analysis was performed with the DESeq2 (v.1.22.2) package using Wald test and Log fold change shrinkage by the *apeglm* method (IfcThreshold=0.1, s-value 575 <0.005) (Love et al., 2014). TPM values and DESeq2 results for MpPR-1 genes in these 576 577 experimental conditions are available at Supplementary Table 2.

MpPR-1 expression data in TPM for the S-biotype was obtained from RNA-seq libraries 578 of infected MicroTom tomato plants in 7 different time points after inoculation (12h, 24h, 48h, 579 580 5 days, 10 days, 20 days, 30 days) (Costa et al., under review). The quality of raw sequences was assessed with FastQC (v. 0.11.7). Next, Trimmomatic (v.0.36) (Bolger et al., 2014) was used 581 582 to remove adaptor-containing and low-quality sequences. Quality-filtered reads were then aligned against the S-MG1 or S-MG2 reference genome using HISAT2 (v.2.1.0) with default 583 584 parameters (Kim et al., 2019). Reads that mapped to coding sequences were counted with featureCounts (v.1.6.3) (Liao et al., 2014). TPM values for MpPR-1 genes in these experimental 585 conditions are available at Supplementary Table 3. 586

MrPR-1 expression data in TPM was obtained from RNA-Seq reads of *M. roreri* in the
biotrophic (30 days after infection) and necrotrophic (60 days after infection) stages of frosty
pod rot from (Meinhardt et al., 2014). Reads were mapped and quantified with Salmon
(v.0.14.1) (Patro et al., 2017) using 17910 gene models of *M. roreri* MCA 2997 (GCA_000488995)
available at Ensembl Fungi.

592

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599

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604

605 **Competing interests**

606 The authors declare no competing interests.

607

608 Author contributions

J.J. and R.M.B. conceived and supervised this project. A.A.V. performed identification 609 of PR-1-like candidate genes, evolutionary analysis, and most expression analysis from RNA-610 seq data, executed PCR experiments and generated figures. P.J.P.L.T. and D.P.T.T. conceived 611 the project of genomics of Moniliophthora isolates. P.J.P.L.T., D.P.T.T., P.F.V.P. and G.L.F. 612 executed genomic data acquisition of *Moniliophthora* isolates. P.J.P.L.T. analyzed RNA-Seq 613 libraries of MT plants infected with S-biotype. P.M.T.F. performed gene prediction, 614 615 annotation, and assignment of orthogroups from genomes. A. P. C. helped with genomic and 616 RNA-seq analysis. R.M.B. conceived and executed RNA-seq data acquisition of M. perniciosa treated with antifungal compounds and A.A.V. analyzed this data. A.A.V. and J.J. wrote the 617 618 original draft. J.J. and A.P.C. improved the design of figures. J.J., R.M.B, P.J.P.L.T, D.P.T.T., G.L.F., A.P.C., P.F.V.P. and G.A.G.P. reviewed and edited the draft. M.F.C. and G.A.G.P. 619 620 contributed with project supervision and funding acquisition. All authors read and approved the final manuscript. 621

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