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1	Domestication effects on immune response: comparison of cell-mediated immune competence in wild
2	and domesticated Bengalese finch
3	
4	Running title: Effects of domestication on immunity
5	
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## 19 ABSTRACT

20	Domesticated Bengalese finch (Lonchura striata var. domestica) lack natural selection pressures
21	and consequently have more complex songs and altered behavioural and psychological traits when
22	compared to their wild ancestor, the white-rumpud munia (Lonchura striata). Clarifying the sexual traits
23	and life history trade-offs in Bengal finches will be help to improve our understanding of the evolution of
24	complex songs and domesticated traits. Here, we have assessed the immune competence of the Bengalese
25	finch and the white-rumped munia using phytohemagglutinin (PHA) tests to create an index of
26	cell-mediated immune responses. We found that the Bengalese finch had a significantly larger
27	immunocompetence than the white-rumped munia, indicating that they devote more resources to both
28	immunity and reproduction. Thus, there is no trade-off but a positive relationship between
29	immunocompetence and reproductive traits, which may be related to the release from natural selection
30	pressures. These results will be useful in understanding the mechanisms by which domestication-induced
31	changes due to a lack of natural selection pressure affect behavioural and physiological changes.
32	
33	Keywords: Bengalese finch; cell-mediated immune competence; immunity; phytohemagglutinin test;
34	domestication

## 36 **1. Introduction**

37	The Bengalese finch (Lonchura striata var. domestica) is a domesticated strain of the wild
38	strains, white-rumped munia (Lonchura striata) (Washio, 1996; Okanoya 2004a, b). It evolved
39	phonologically and syntactically complex songs, which are considered sexual traits (Honda and Okanoya,
40	1999; Okanoya 2004a, b). Domestication processes have also altered the physiological and behavioural
41	traits of the Bengalese finch. The white-rumped munia have traits that are advantageous for survival in the
42	wild, such as higher fear reactivity, aggression, and corticosterone levels, when compared with the
43	Bengalese finch (Suzuki et al., 2012; 2013; 2014a, b, and unpublished data). It is likely that the latter has
44	developed reproductive traits, rather than the traits that are advantageous in the wild due to the release
45	from natural selection pressures and the presence of artificial selection. Incidentally, the song traits of the
46	Bengalese finch have never been artificially selected by humans (Washio, 1996).
47	There are several possible hypotheses for the relationship between the evolution of sexual traits
48	and life history trade-offs in the Bengalese finch. First, in the wild environment, high immunocompetence
49	must be maintained to protect against the high risk of infection but in the domesticated strains, there is no
50	risk in the rearing environment, so the cost to immunity may be reduced and sexual traits can be developed.
51	Selected lines of domestic fowl (Gallus gallus domesticus) with different immunoresponsiveness levels
52	showed that a high response line had a smaller comb size as a sexual ornament (Verhulst et al., 1999). Thus,
53	there appears to be a negative correlation between immunocompetence and sexual traits. Second, the
54	immunocompetence handicap hypothesis (ICHH) should be considered (Folstad & Karter, 1992). It is
55	known that testosterone develops sexual traits and suppresses immunocompetence. Males that have

56	developed sexual traits and survive without pathogen infections are superior with high immunocompetence.
57	Therefore, sexual traits are considered an indicator of high immunocompetence. For example, there is
58	evidence that male house finches (Carpodacus mexicanus) that survived an epidemic had significantly
59	redder plumage, a sexual trait, than the males that did not survive (Nolan et al., 1998). Third, the
60	developmental stress hypothesis suggests that song complexity is related to stress conditions during
61	development (Buchanan et al., 2003), and since stress lowers immunocompetence and sexual traits, males
62	with lower stress levels may be able to maintain higher immunocompetence and develop complex song
63	traits as attractive sexual traits.
64	By comparing the immunity of Bengalese finch and white-rumped munia, it is thought that the
65	evolutionary mechanisms of the domesticated traits (i.e., complex song evolution) may be better
66	understood. Therefore, the present study aimed to compare the immune competence between domesticated
67	Bengalese finches and their wild ancestor, the white-rumped munia. Phytohemagglutinin (PHA) tests were
68	performed to index the cell-mediated immune responses. The PHA test is practical and reliably measures
69	immunocompetence as acquired T-cell mediated immunocompetence (Tella et al., 2008).
70	
71	2. Method
72	2. 1. Subjects and husbandry
73	Thirty-six adult Bengalese finches (Lonchura striata var. domestica, 19 males and 17 females)
74	and 38 adult white-rumped munia (Lonchura striata, 18 males and 20 females) were used for the

75 experiment. The Bengalese finches were bred in our laboratory. White-rumped munias were bought from a

76	commercial supplier (n = 12), captured in the wild in Taiwan (n = 3), or bred in our laboratory (n = 23).
77	The birds were housed in groups of four (each species and sex) in the same type of stainless-steel cages
78	(cage size: 370 mm $\times$ 415 mm $\times$ 440 mm, equipped with two wooden perches) in a common room at
79	RIKEN Brain Science Institute (BSI) with a 13-/11-h light/dark cycle; the birds were supplied food and
80	water. The ambient room temperature was approximately 25 °C with 50% humidity. Seed mixture, shell
81	grit, and vitamin-enhanced water were available ad libitum. All experimental procedures and the housing
82	conditions of the birds were approved by the Animal Experiments Committee at RIKEN (#H24-2-229),
83	and all of the birds were cared for in accordance with the Institutional Guidelines for Experiments Using
84	Animals.
85	
86	2. 2. PHA test
86 87	2. 2. PHA test Phytohemagglutinin (PHA) responses (wing-web swelling test) are commonly used to assess
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87 88	Phytohemagglutinin (PHA) responses (wing-web swelling test) are commonly used to assess cell-mediated immune competence in passerine birds, as it is a T-cell stimulant (Martin et al., 2006; Tella et
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96 wing-web thickness.

97

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98 2. 3. Statistical analysis
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- 99 The PHA indexes of the Bengalese finch and white-rumped munias were analysed using
- 100 two-way analysis of variance (ANOVA, factors: strain and sex). Comparison of the PHA indices in the
- 101 three groups of white-rumped munias, under different rearing conditions (bred, bought, and captured
- 102 individuals), were also assessed using ANOVA. Statistical analyses were performed using Stat View
- 103 software (version 5, SAS Institute Inc., Cary, NC, USA). Values of p < 0.05 were considered significant.

104

- 105 **3. Results**
- 106 The PHA index (Fig. 1) showed that there were significant main effects for the strain [F(1, 70) =
- 107 37.52, p < 0.0001], but no significant main effects for sex [ $F(1, 70) = 1.398 \times 10^{-6}$ , p = 0.999] or
- 108 interactions between strain and sex [F(1, 70) = 3.733, p = 0.06]. The PHA index was significantly higher
- 109 in the Bengalese finches [mean = 0.846, standard error of mean (SEM) = 0.039] than in the white-rumped
- 110 munias (mean = 0.557, SEM = 0.029). We found no significant differences in the PHA indexes between the
- 111 different rearing conditions (bred, bought, and captured individuals) for the white-rumped munias [F (2,

112 35) = 0.188, p = 0.830].

113

## 114 **4.** Discussion

115 The PHA test was used to compare the immune capacities of the domesticated Bengalese finch

116	and its wild ancestor, the white-rumped munia, and found that the former had a significantly larger
117	immunocompetence than the latter. The results showed that the immune capacity and sexual traits were
118	both higher in the domesticated Bengalese finch than the wild strain. An investigation of zebra finches
119	(Taeniopygia guttata), also found that the domestic birds had a stronger PHA response than the wild birds
120	(Tschirren et al., 2009). Furthermore, the domestication process also seemed to improve the immune status
121	of fish, as F4 tamed Eurasian perch juveniles displayed higher immune capacities than the F1 (Perca
122	fluviatilis; Douxfils et al., 2011). These results indicate that domestication enhances immunity in a variety
123	of species. The laboratory-bred Bengal finches and white-rumped munia were raised under the same
124	rearing conditions and had similar durations and levels of human contact. The results of this study did not
125	show any differences in the PHA responses among the different rearing conditions (bred, bought, and
126	captured individuals) used for the white-rumped munias. Therefore, it is suggested that the PHA of the
127	Bengalese finches was higher due to domestication, irrespective of the differences in their rearing
128	conditions.
129	The domesticated Bengalese finches exhibit a high-level immune response and complex song
130	traits compared with the wild birds. Therefore, there does not appear to be a trade-off between immune
131	capacity and sexual traits. Testosterone is known to develop sexual characteristics and suppress the
132	immune system. According to the immunocompetence handicap hypothesis (ICHH), sexual traits are
133	considered an indicator of high immunocompetence because males that have developed sexual traits and
134	survive without pathogen infections are superior with high immunocompetence (Folstad & Karter, 1992).
135	It is possible that the Bengalese finch could maintain a highly competent immune system even with the use

136	of high testosterone level in the development of its complex song trait as there is no pressure of natural
137	selection. However, there is preliminary data showing that there is no difference in the testosterone levels
138	between Bengal finches and white-rumped munias (Tobari et al., 2019). Therefore, the immunocompetence
139	handicap hypothesis (ICHH) does not seem to apply. Finally, the stress level (faecal corticosterone
140	concentration) during the developmental periods was lower in the Bengalese finch than in the
141	white-rumped munias (Suzuki et al., 2014a, b), and this resulted in improved development of
142	immunocompetence and sexual traits. Previous studies into the repertoire size and song bout durations of
143	European starlings (Sturnus vulgaris) showed that developmental stress decreases song complexity, and
144	there was also a positive relationship with song traits and immune response (PHA response; Buchanan et
145	al., 2003; Spencer et al., 2004). This study suggests that the results are consistent with the developmental
146	stress hypothesis (Buchanan et al., 2003).
146 147	stress hypothesis (Buchanan et al., 2003). The mechanisms by which domestication alters the immune responses are not clear. Differences
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147 148 149 150	The mechanisms by which domestication alters the immune responses are not clear. Differences in allele frequencies for the major histocompatibility complex (MHC), whose molecules play a crucial role in adaptive immune responses, have been identified between wild zebra finch subspecies and domesticated populations, which may indicate immune adaptations to pathogen pressures (Newhouse and Balakrishnan,
147 148 149 150 151	The mechanisms by which domestication alters the immune responses are not clear. Differences in allele frequencies for the major histocompatibility complex (MHC), whose molecules play a crucial role in adaptive immune responses, have been identified between wild zebra finch subspecies and domesticated populations, which may indicate immune adaptations to pathogen pressures (Newhouse and Balakrishnan, 2015). However, further research is required to determine whether these changes actually affect the
147 148 149 150 151 152	The mechanisms by which domestication alters the immune responses are not clear. Differences in allele frequencies for the major histocompatibility complex (MHC), whose molecules play a crucial role in adaptive immune responses, have been identified between wild zebra finch subspecies and domesticated populations, which may indicate immune adaptations to pathogen pressures (Newhouse and Balakrishnan, 2015). However, further research is required to determine whether these changes actually affect the differences in immune capacity.

156	corticosterone and PHA responses in sham-implanted American kestrel (Falco sparverius) nestlings. Birds
157	that naturally maintain higher levels of circulating corticosterone may also have reduced levels of
158	cutaneous immune function (Butler et al., 2010). Moreover, house sparrow (Passer domesticus) nestlings
159	injected with corticosterone showed a weaker immune response (PHA response) than the controls (Loiseau
160	et al., 2008). There are also reports that corticosterone has an immunosuppressive effect (Buchanan 2000).
161	Bengalese finch may maintain high immune capacities as corticosterone levels decrease due to
162	domestication.
163	Zebra finch studies that used a selection line with peak corticosterone levels for the stress
164	responses, found that peak corticosterone titers had a positive effect on the PHA response. The selection
165	line with high peak corticosterone levels had a higher PHA response (Roberts et al., 2007). In the
166	American kestrel study, corticosterone-treated nestlings showed an increased amount of swelling
167	(immunoresponsiveness) after the increase in corticosterone exposure had ended, when compared with that
168	in the controls (Butler et al., 2010). The seemingly different effects of corticosterone on immunity
169	(immunostimulatory or immunosuppressive) may be due to the differences in low-level or high-level and
170	acute or chronic responses, and differences in the effects of the two types of corticosterone receptors:
171	mineralocorticoid and glucocorticoid. Low-levels of corticosterone may enhance immunocompetence after
172	hormone exposure, while chronically elevated corticosterone has immunosuppressive effects (Butler et al.,
173	2010).
174	In summary, these results indicate that domesticated Bengal finches have a higher response to
175	PHA than their wild ancestors, the white-rumped munias do. This suggests that the domestication of

176	Bengal finches allowed them to devote more resources to both immunity and reproduction. Thus, there is
177	no trade-off but a positive relationship between immunity and reproductive traits, which may be related to
178	the release from natural selection pressures and the decrease in stress hormones due to domestication.
179	These results will be useful in understanding the mechanisms by which domestication-induced changes in
180	selection pressure affect behavioural and physiological changes, leading to the formation of domestication
181	traits (domestic syndromes). The details of these mechanisms however need to be further investigated in
182	the future.
183	
184	Acknowledgements
185	We thank Dr. Yuko Ikkatai and Ms. Keiko Asai (RIKEN Brain Science Institute, Tokyo, Japan)
186	for animal care. This study was supported by JST-ERATO and RIKEN Brain Science Institute.
187	
188	Funding
189	This research receives grants from JSPS KAKENHI (15K14581, 17H06380, 20H00105) to KO.
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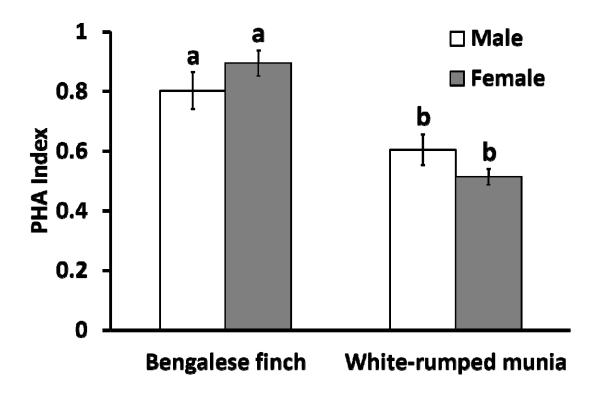


Fig. 1. Phytohemagglutinin (PHA) index in Bengalese finches (n = 36) and white-rumped munias (n = 38).

278 Bars are means, and vertical lines are standard error of mean (SEM). Open bars represent male birds, and

279 closed bars represent female birds. Different letters indicate significant differences. The PHA indexes of

280 the Bengalese finch and white-rumped munias were analysed using two-way analysis of variance (ANOVA,

281 factors: strain and sex). Differences were found in the PHA index between Bengalese finches and

white-rumped munias (P < 0.0001). No sex differences (P > 0.05) were observed in the PHA indexes.

283