## **1** Voluntary alcohol consumption disrupts coupling of prefrontal cortical activity to arousal

- 2 Grayson Sipe<sup>1</sup>, Ivan Linares-Garcia<sup>2</sup>, My Nguyen<sup>2</sup>, Elena Vazey<sup>3</sup>, Rafiq Huda<sup>2\*</sup>
- 3 1. Department of Brain and Cognitive Science
- 4 Picower Institute for Learning and Memory
- 5 Massachusetts Institute of Technology, Cambridge United States
- 6 2. WM Keck Center for Collaborative Neuroscience
- 7 Department of Cell Biology and Neuroscience
- 8 Rutgers University New Brunswick, Piscataway United States
- 9 3. Department of Biology
- 10 The University of Massachusetts, Amherst United States
- 11 \*Correspondence: rafiq.huda@rutgers.edu

## 12 Abstract

Alcohol use disorder (AUD) exacts a major personal, societal, and economic toll. Top-down 13 control from the prefrontal cortex (PFC), a critical hub for decision making, executive, and other 14 cognitive functions, is key for the regulation of alcohol consumption. Arousal exerts profound 15 16 effects on cortical processing, allowing it to potentially modulate PFC functions relevant for 17 alcohol consumption and AUD. Despite this, it is unclear whether and how arousal-mediated modulation of PFC circuits relates to voluntary alcohol drinking behaviors. Two-photon 18 19 microscopy is ideally suited for dissecting the neural circuit mechanisms underlying the effect of 20 alcohol on intact circuits in behaving animals. We addressed a major limitation of this technology 21 by developing a novel behavioral paradigm for voluntary drinking in head-fixed mice. We recorded responses of layer 2/3 excitatory neurons in the anterior cingulate cortex (ACC) 22 23 subdivision of the PFC as mice voluntarily consumed ethanol, along with video recording of the 24 pupil to track momentary fluctuations in arousal. Ethanol consumption bidirectionally modified 25 the activity of subsets of ACC neurons, both at slow (minutes) and fast (sub-second) time scales. Remarkably, we found that the coupling of arousal to ACC activity before drinking was associated 26 27 with subsequent ethanol engagement behavior. In turn, ethanol consumption modulated neuronalarousal coupling. Together, our results suggest neuronal-arousal coupling as a key biomarker for 28 29 alcohol drinking and lays the groundwork for future studies to dissect the therapeutic potential of 30 this process for AUD and other substance use disorders.

## 31 Introduction

Alcohol use disorder (AUD) is a debilitating set of chronic behaviors related to alcohol dependence 32 that lead to long-term health, social, and economic detriments. Behavioral paradigms in which 33 animals voluntarily self-administer ethanol are critical for understanding the molecular, cellular, 34 35 circuit, and systems level neuronal processes underlying alcohol-related behaviors. Binge alcohol 36 drinking is a pattern of alcohol consumption that can lead to the development of AUDs, however 37 the mechanisms that predispose binge drinkers to develop AUDs are poorly understood. Although a wealth of mechanistic information has been generated by the various volitional drinking 38 paradigms<sup>1-6</sup>, little is known about how *in vivo* neurophysiology actively changes while an animal 39 40 drinks alcohol.

The prefrontal cortex (PFC) has canonical roles in decision making, attention, 41 reward/punishment processing, social behavior, and other functions<sup>7-9</sup>. Consistent with the 42 association of AUD with the dysfunction of these higher-order processes<sup>10-13</sup>, the PFC is highly 43 implicated in AUD in humans<sup>14</sup> as well as in non-human primate<sup>15</sup> and rodent models<sup>16-18</sup>. 44 Significant evidence describes mechanistic changes in PFC structure and function following acute 45 or chronic ethanol exposure<sup>19,20</sup>, with its effect usually assessed in separate cohorts of animals at 46 various time points throughout the exposure paradigm. Understanding how neuronal processing in 47 48 PFC networks on the sub-second time scale relevant for ethanol consumption behavior relates to voluntary drinking and tracking this relationship across drinking is crucial for identifying the key 49 neuroadaptations that contribute to the development of AUD. 50

Many PFC-driven behaviors are modulated by internal states, including by momentary 51 fluctuations in arousal that can be approximated via changes in pupil size<sup>21-25</sup>. In turn, cortical 52 activity is highly influenced by changes in arousal, with pupil dilations correlated to both increased 53 and decreased activity relative to periods of pupil constrictions<sup>24-29</sup>. The coupling of cortical 54 activity to arousal is coordinated via multiple neuromodulatory systems, such as norepinephrine<sup>22</sup>, 55 acetylcholine<sup>22</sup> and serotonin<sup>30</sup>, as well as shifts in local inhibitory and disinhibitory circuits<sup>27,31,32</sup>. 56 Ethanol exposure affects both neuromodulation<sup>18,33-35</sup> and inhibition in the brain<sup>16,17,36-39</sup>. Yet, the 57 relationship between voluntary ethanol consumption and the coupling between cortical activity 58 59 and arousal remains unexplored. Establishing this relationship is particularly important given the relevance of arousal states to stress and anxiety-like phenotypes<sup>40-44</sup> as well as neuropsychiatric 60

61 disorders like posttraumatic stress disorder (PTSD), which are well-established factors 62 contributing to dysfunction of the PFC<sup>45-47</sup> and AUD<sup>41,48-50</sup>.

63 Testing the effect of ethanol consumption on the activity of PFC neurons and their coupling to arousal requires simultaneous measurement of neuronal activity and arousal while animals 64 voluntary consume ethanol. Several studies have utilized behavioral paradigms in which freely 65 moving animals consume ethanol, allowing for sophisticated studies of neurophysiology during 66 drinking, including extracellular electrophysiology<sup>51-54</sup>, one-photon mini-scopes<sup>55</sup>, fiber 67 photometry<sup>56</sup>, and optogenetics<sup>57,58</sup>. To our knowledge, two-photon microscopy has not yet been 68 leveraged to investigate changes in neurophysiology during volitional ethanol consumption. Two-69 photon microscopy complements these techniques by providing fine spatial and temporal 70 resolutions for studying the effects of ethanol on the brain. It enables analysis of population-level 71 72 neuronal activity at cellular resolution to study how ethanol consumption affects activity dynamics. It also enables longitudinal imaging of single cells and subcellular compartments, thereby 73 74 providing information about the dynamics within single drinking sessions as well as over the longer time scales of days and weeks. However, a key drawback of two-photon microscopy is that 75 76 it necessitates head-fixation for stable imaging, thereby limiting the scope of behaviors that can be studied. 77

78 We reasoned that mice with a history of binge-like drinking would transfer their behavior to drinking ethanol while being head-fixed under a two-photon microscope. We report here such 79 80 a paradigm to open the possibility of applying two-photon microscopy and other recording modalities requiring head-fixation to the study of voluntary consumption of ethanol. In addition to 81 82 two-photon imaging, we also collected pupil dynamics and licking behavior to correlate changes in neurophysiology in the PFC with changes in arousal and quantitative metrics of ethanol 83 84 drinking. We targeted the anterior cingulate cortex (ACC) subdivision of the PFC for recordings. In humans, the ACC is affected by moderate doses of ethanol<sup>12</sup> and its structural features and 85 functional connectivity are prospectively associated with future drinking<sup>59,60</sup>. Moreover, the ACC 86 is a key component of the cortical circuitry for interoceptive processing<sup>61</sup>, making it a prime 87 candidate for assessing how coupling between arousal and cortical activity relates to voluntary 88 89 ethanol behaviors. We find that mice consume as much or more ethanol during head-fixed drinking as they do during the drinking in the dark (DID)<sup>1,2</sup> paradigm in their home cages. Furthermore, we 90 91 find unexpected changes in neuronal activity as animals consume ethanol, especially with regards

- 92 to its coupling to pupil dynamics indicative of changing arousal states. These data represent the
- 93 first reported two-photon imaging of PFC networks while animals voluntarily consume ethanol as
- 94 a head-fixed extension of the DID paradigm.

#### 95 **Results**

#### 96 Novel paradigm for studying voluntary ethanol consumption behavior in head-fixed mice

We designed a novel behavioral paradigm for head-fixed mice to enable two-photon calcium 97 98 imaging during voluntary self-administration of ethanol. Mice were implanted with a head-fixation bar and chronic imaging window to provide optical access for two photon microscopy in the 99 100 anterior cingulate cortex (ACC) subdivision of the prefrontal cortex. After recovery from surgery, mice were first allowed to self-administer ethanol using the 'drinking in the dark' (DID) 101 paradigm<sup>1,2</sup>. Mice were provided access to a bottle containing 20% ethanol in drinking water (v/v)102 three hours into the dark phase of their light cycle for three hours every day (Fig. 1A). Mice 103 104 gradually increased their ethanol intake during the DID (Fig. 1B). After eight days of DID, we 105 habituated mice to head-fixation in daily sessions of 30 minutes for five days, during which mice continued to undergo DID. After habituation, the DID procedure was discontinued and mice 106 instead self-administered ethanol while head-fixed under a two-photon microscope. The head-107 fixed drinking (HFD) paradigm was performed three hours into dark phase of their light cycle, at 108 the same circadian time as the DID procedure. 109

110 Head-fixed mice could collect drops of a 20% ethanol solution by licking a metal spout. We custom designed an electronic circuit to control ethanol delivery via a solenoid valve and to 111 measure lick responses with a capacitive sensor (Fig. S1). This circuit interfaced with custom 112 113 software, allowing us to control the timing and amount of ethanol delivered. Head-fixed drinking 114 sessions were organized into trials, the structure of which was not cued to the animal (Fig. 1C). 115 Each trial started with a randomized delay sampled from an exponential distribution (10s mean with cutoffs at 5s and 20s). Mice triggered a drop of ethanol by licking the spout during the query 116 period (last 1s of the delay). Ethanol delivery was delayed by 1s until a lick was made during the 117 query period, thereby requiring the animal to volitionally initiate ethanol delivery via licking. The 118 119 un-cued trial structure and randomized delays introduced uncertainty in the ethanol delivery time, potentially incentivizing licking behavior. Thus, with this self-paced contingency, mice could 120 acquire varying amounts of ethanol based on their level of engagement with drinking. Practically, 121 it also ensured that mice collected the previously dispensed drop through licking before additional 122 123 ethanol was delivered.

Mice underwent five days of HFD while being simultaneously imaged using two-photon 124 microscopy. The imaging sessions were divided into three consecutive blocks consisting of a 10 125 126 minute pre-drinking baseline period followed by two 10 minute drinking blocks, which we refer 127 to as early and late drinking, respectively (Fig 1A). Mice readily adapted to drinking while headfixed and consumed large amounts of ethanol in the short span of 20 minutes (4.89±0.04 g/kg, 128 129 n=20 sessions, 5 days, 4 mice; Fig. 1B; Supplementary Video 1). This volume corresponded to a binge-like level of blood-ethanol content (BEC; 199.3±62.19 mg/dL, n=4 mice, final day of 130 imaging)<sup>62</sup>. We assessed whether mice front loaded ethanol consumption in this paradigm by 131 comparing the amount consumed during the early and late drinking blocks. Across sessions, mice 132 drank a similar amount of ethanol in each of the two drinking blocks (early: 0.39±0.03mL; late: 133 0.35±0.03mL; Fig. 1D). As mice voluntarily consumed ethanol, we measured the calcium 134 responses of layer 2/3 excitatory neurons of transgenic mice (CaMKII-Cre x Ai148D) stably 135 136 expressing the genetically encoded calcium sensor GCaMP6f (Fig. 1E, F). In addition, we used high-speed videography to measure changes in the pupil diameter as a proxy for momentary 137 fluctuations in arousal<sup>26,63,64</sup>. Together with the high-resolution readout of licking behavior, this 138 HFD paradigm allowed us to assess the effect of voluntary ethanol consumption on ACC activity 139 as well as its coupling to simultaneously measured changes in arousal (Fig. 1F). 140

## 141 Effect of ethanol consumption on single neuron and network level activity

Previous work suggests that AUD is associated with disrupted PFC processing and that ethanol 142 consumption dysregulates PFC function<sup>65</sup>. We determined how voluntary ethanol consumption in 143 144 this paradigm affects neuronal activity in the ACC. While the high spatial resolution of two-photon 145 microscopy allowed us to repeatedly find the same field of views (FOVs) and longitudinally track the activity of the same neurons across days of drinking (Fig. S1), in this study we prioritized 146 analysis of large populations of neurons and included responses from all recorded neurons. To 147 determine the effect of ethanol consumption on ACC activity, we detected transient increases in 148 GCaMP6f traces to quantify the frequency and amplitude of calcium events in individual neurons 149 (Fig. 2A). Inspecting the activity of single neurons across the slow time scale of the entire imaging 150 session showed diverse types of responses with drinking; while some neurons increased or 151 decreased activity, others were unaffected (Fig. 2A). To assess how the ACC population as a whole 152 153 responds to ethanol consumption, we compared the calcium event frequency of all recorded

neurons during pre-drinking and late drinking. Overall, event frequencies were largely similar 154 across these conditions (Fig. 2B). This lack of a population level effect is likely driven by 155 156 heterogeneity in the response profiles of individual neurons, as suggested by the single neuron 157 examples (Fig. 2A). The lack of a trial structure for the pre-drinking block makes it difficult to statistically assess changes in activity levels for individual neurons in an unbiased way. We 158 159 addressed this issue by performing for each neuron a shuffle test which compared the observed difference in activity during pre-drinking and the two drinking blocks to the difference expected 160 by chance given the overall activity in the entire recording period (see Methods). We found that 161 ethanol consumption significantly modulated the activity of a subset of neurons, increasing the 162 activity of  $11.6\pm1.4\%$  and decreasing the activity of  $13.4\pm1.8\%$  of neurons (p = 0.54, Wilcoxon 163 signed-rank test; n = 12 sessions from 4 mice; Fig 2C-F). 164

165 The above analysis considered activity changes during drinking on the timescale of minutes, possibly reflecting the slow and cumulative effect of ethanol consumption throughout the 166 167 session. Next, we addressed how activity is modulated on the faster timescale of individual ethanol delivery events. We aligned neuronal responses to the time of ethanol delivery and identified 168 169 modulated neurons by comparing responses before and after delivery. Similar proportions of neurons had increased and decreased activity ( $6.6\pm3.8\%$  &  $4.3\pm3.5\%$ , p > 0.05; Fig. 2G-I). Since 170 171 mice licked the metal tube to collect the ethanol drop, this activity could be related to motor responses. If this were the case, we would expect that these same neurons respond to licking in 172 173 general. Aligning neuronal responses to the onset of licking bouts around ethanol delivery (Fig. S2A) or licking during the inter-trial interval (Fig. S2B) did not show a consistent modulation in 174 175 activity. Hence, the observed activity modulation likely reflects the delivery and/or receipt of ethanol. Together, these analyses show that ethanol delivery bidirectionally modulates ACC 176 177 activity both at the slow time scale of minutes and at the sub-second time scale around ethanol 178 delivery.

## 179 Effect of ethanol consumption on pairwise neuronal correlations

Information processing in cortical networks is critically shaped by inter-neuronal correlations between pairs of neurons<sup>66,67</sup>. We took advantage of the large number of simultaneously recorded neurons in our dataset to test the effect of ethanol consumption on pairwise correlations. For each recording session, we computed the Pearson correlation coefficient between the activity of unique

pairs of neurons (Fig. 2J, K). Visualizing the activity of single example pairs showed diverse 184 changes, with correlations increasing, decreasing, or being unaffected during late drinking (last 5 185 186 minutes of the second drinking block) as compared to pre-drinking (Fig. 2K). To examine this 187 process at the population level, we compared correlations averaged over all pairs recorded simultaneously in single behavioral sessions. Ethanol consumption did not significantly affect 188 189 pairwise correlations (Fig. 2L). While pairwise correlations decreased as a function of distance between recorded pairs of neurons, there was no difference in this relationship between pre- and 190 late drinking (Fig. S3A, B). Similarly, accounting for the average level of activity between neuron 191 pairs did not show any differences (Fig. S3C). The percentage of pairs with significant correlations 192 was similar between pre- and late drinking (Fig. S3D). Together, these analyses show that neuronal 193 correlations in the ACC are largely unaffected by ethanol consumption when considering all 194 195 recorded ACC neurons.

# Coupling between ACC activity and arousal during pre-drinking is prospectively associated with ethanol engagement

Our results thus far show that ethanol delivery modulates ACC activity. The activity of cortical 198 neurons is profoundly affected by arousal<sup>26,27</sup>, which is reflected in changes in pupil size. We tested 199 whether arousal-mediated modulation of ACC activity is related to ethanol consumption. Previous 200 work shows that while activity in neurons of the posterior cortex (e.g., visual cortex) shows 201 202 predominantly positive correlations with the pupil (i.e., increased activity during pupil dilations), 203 frontal cortex neurons exhibit both positive and negative correlations (i.e., increased and decreased activity during pupil dilations, respectively)<sup>27</sup>. This suggests that subsets of frontal cortical neurons 204 are activated as others are inhibited by fluctuations in arousal. Correlating neuronal calcium traces 205 with pupil diameter during pre-drinking identified neurons with significant pupil-activity 206 207 correlations, with individual neurons exhibiting positive, negative, or no correlation with changes 208 in pupil diameter (Fig. 3A,B). Across the population, the pre-drinking activity of a similar proportion of neurons was positively and negatively correlated with changes in pupil diameter, 209 albeit with large session-to-session variability (Fig 3C). The ability to detect activity-pupil 210 correlations could depend on the level of neuronal activity, especially for neurons with negative 211 212 correlations as this requires high levels of spontaneous activity when the pupil is constricted. We quantified the frequency and amplitude of detected calcium events and compared these measures 213

between neurons with positive and negative correlations to pupil size. Overall, neurons correlated
with pupil had similar level of activity during the pre-drinking period (Fig. 3D). Thus, subsets of
ACC neurons have sufficient level of spontaneous activity to measure their positive and negative
coupling to arousal.

We addressed the relationship between cortical coupling to arousal during pre-drinking and 218 219 the level of engagement with ethanol in the subsequent drinking block. In our paradigm, mice engage with ethanol by licking during the query period to trigger delivery. We defined low and 220 high engagement sessions based on the median split of triggered ethanol delivery (Fig. 3E). The 221 222 percentage of neurons with significant positive pupil-activity correlations during pre-drinking was higher in sessions preceding high levels of ethanol engagement as compared to sessions with low 223 engagement (Fig. 3F). In contrast, the percentage of neurons with negative activity-pupil 224 225 correlations was similar for low and high engagement sessions (Fig. 3G). There was no difference in the frequency of pre-drinking calcium activity preceding high and low sessions (Fig. 3F,G), 226 suggesting that the overall level of activity in neurons is not associated with the level of ethanol 227 engagement. 228

229 We further examined this phenomenon by aligning neuronal activity to the time of individual pupil dilation events. To perform this analysis, we first identified individual pupil events 230 231 (Fig. 4A). Importantly, there were no differences in the frequency, amplitude, or duration of pupil 232 events during pre-drinking blocks preceding low and high engagement sessions (Fig. 4B). This 233 suggests that differences in pre-drinking pupil dynamics do not account for the level of subsequent ethanol engagement. As expected, neurons with positive pupil-activity correlations were activated 234 235 as the pupil started to dilate, reaching maximal activity before the peak of pupil dilation; meanwhile, neurons negatively coupled to arousal had decreased activity during pupil dilations 236 237 (Fig. 4C). Importantly, neurons with positive pupil-activity correlations had increased pupil-238 aligned responses preceding high as compared to low engagement sessions (Fig. 4C, D). In contrast, the pupil aligned activity of negatively correlated neurons was similar for both sessions 239 (Fig. 4C, E). 240

Thus far, we have focused on neurons that show significant pupil-activity correlations. We next assessed overall neuronal-arousal coupling in the ACC population by analyzing the pupil aligned responses of all neurons. As expected, combining neurons with both positive and negative pupil correlations as well as uncoupled neurons showed less arousal modulation than observed before (Fig 4F). Despite this, activity around pupil dilation events was higher during pre-drinking
blocks preceding high engagement sessions as compared to low sessions (Fig. 4G). Together, we
find that the level of positive coupling between ACC activity and pupil-linked arousal during predrinking is prospectively associated with subsequent engagement with ethanol. Importantly, this
association is not explained by differences in pre-drinking pupil dynamics (Fig. 4B). Rather, it
reflects the effect of arousal on cortical circuits, predominantly on neurons that are activated by
arousal (Fig. 4C, D, F, G).

## 252 Ethanol consumption reconfigures the coupling between arousal and neuronal activity

253 The above results establish neuronal-arousal coupling as an important factor for ethanol related 254 behavior in this paradigm. We determined how ethanol consumption affects this coupling. Our 255 previous analysis (Figs. 3, 4) only examined neuronal-arousal coupling during the pre-drinking period, leaving open the possibility that additional neurons in the population become coupled to 256 257 arousal with drinking. Hence, we identified arousal modulated neurons as ones with significant 258 pupil-activity correlations either during pre-drinking or the subsequent drinking blocks. Across the population, a similar proportion of neurons had positive or negative coupling to arousal (positive: 259 55 $\pm$ 5.2%; negative: 45.5 $\pm$ 5.6%; p = 0.14, two-sided Wilcoxon rank-sum test; n = 12 behavioral 260 sessions from 4 mice). To track the evolution of neuronal-arousal coupling during the entire 261 imaging session, we computed pupil-activity correlation coefficients in five-minute bins across 262 263 drinking (Fig 5A). For cells with positive coupling, drinking had no significant effect on pupil-264 activity correlations (Fig. 5A, B). In contrast, drinking decreased negative coupling to arousal (Fig. 5D, E). Importantly, the frequency of calcium events for both positively and negatively coupled 265 neurons were unaffected by drinking (Fig. 5C, F), suggesting that the observed changes in coupling 266 to arousal are not due to gross changes in neuronal activity. 267

We investigated which factors contribute to the observed changes in pupil-activity correlations with ethanol consumption. Drinking had moderate effects on the pupil dynamics, leading to a non-significant decrease in the pupil event frequency and increase in event duration (Fig. S4). A change in the frequency of pupil fluctuations without a concomitant change in the frequency of neuronal activity could in principle account for the observed decrease in pupilactivity correlations. We controlled for this by analyzing pupil-aligned neuronal responses. The pupil-aligned responses of positively coupled neurons were increased in late drinking compared

to pre-drinking, largely mirroring the broadening of pupil dilation events observed with drinking 275 (Fig. 5G). In contrast, the activity of negatively coupled neurons exhibited less arousal-mediated 276 277 modulation with drinking, showing a reduction in decreased activity observed around pupil 278 dilation events compared to pre-drinking (Fig. 5H). These results suggest that ethanol consumption shifts the balance of neuronal-arousal responses to favor excitatory coupling. In agreement, pupil-279 280 aligned activity of all ACC neurons analyzed without regard to their coupling to arousal showed increased activity with drinking as compared to pre-drinking (Fig. 5I). Together, these results 281 282 demonstrate that ethanol consumption asymmetrically reconfigures the coupling between arousal and neuronal activity, weakening negative and strengthening positive neuronal-arousal coupling. 283

#### 284 **Discussion**

285 We developed a novel behavioral paradigm for voluntary ethanol consumption in head-fixed mice (Fig. 1). This allowed the application of two-photon microscopy to measure diverse facets of 286 neuronal signaling in the ACC, components of which were prospectively associated with ethanol 287 engagement in addition to being affected by drinking. Freely moving mice readily transitioned 288 from the DID paradigm to consuming ethanol while head-fixed (Fig. 1A-C). Measuring neuronal 289 activity in layer 2/3 excitatory neurons of the ACC showed that ethanol self-administration 290 291 modulates neuronal activity, both at the sub-second time scale during consumption of individual drops of ethanol and at the slower time scale of minutes throughout drinking (Fig. 2). Interestingly, 292 293 there was a bidirectional relationship between ethanol consumption and neuronal-arousal 294 coupling. Increased neuronal excitation in response to arousal during pre-drinking was prospectively associated with higher levels of ethanol engagement (Figs. 3, 4). Importantly, pupil 295 dynamics were similar preceding high and low ethanol engagement sessions; hence, this coupling 296 297 effect is due to cortical responses to arousal rather than the level of arousal itself. In parallel, 298 drinking shifted the balance of neuronal-arousal coupling, weakening inhibitory and strengthening 299 excitatory responses to arousal (Fig. 5). Although small subsets of ACC neurons did show activity 300 modulation with drinking, the observed changes in neuronal-arousal coupling were not 301 accompanied by changes in the overall activity level of neurons. This demonstrates that for most ACC neurons, ethanol consumption largely exerts a selective effect on responses to momentary 302 303 fluctuations in internal state shifts rather than a non-specific effect on their activity. Together, the 304 fact that ethanol increased excitatory responses to arousal and that increased responses to arousal

before drinking are prospectively associated with high ethanol engagement suggests ethanol mediated reconfiguration of neuronal-arousal coupling as an important signature of
 neuroadaptations relevant for AUD.

The extension of the DID paradigm to head-fixed drinking allows the application of two-308 photon microscopy to the study of voluntary ethanol-related behaviors. The high spatial resolution 309 of two-photon imaging allows longitudinal tracking of physiological adaptations simultaneously 310 with ethanol engagement (Fig. S1B). While longitudinal experiments with cellular-resolution have 311 been performed with one-photon microendoscopy in freely moving animals<sup>55</sup>, the GRIN lenses 312 required for this technique have a limited depth of imaging compared to two-photon imaging 313 through chronic windows. Moreover, a key advantage of using head-fixation is the ability to collect 314 precise behavioral measures of ethanol consumption including simultaneous high-speed 315 acquisition of pupil dynamics, locomotion, lick microstructure, whisking, and limb kinetics<sup>68,69</sup>. 316 Combination of two-photon imaging with specific transgenic mouse lines<sup>70,71</sup> and viral vectors for 317 projection-specific labeling<sup>72</sup>, along with the expanding gamut of optical sensors for measuring 318 neuronal activity as well as extracellular release of neurotransmitters<sup>73</sup>, will allow future 319 320 experiments to study the effect of voluntary ethanol consumption on processing by cell-specific circuits. Indeed, two-photon imaging was recently used to examine changes in cortical visual 321 processing in anesthetized mice that had previously undergone chronic exposure to ethanol<sup>74</sup>. 322 Similarly, two-photon calcium imaging is beginning to identify how systemically injected ethanol 323 modulates neuromodulator release and non-neuronal cell types<sup>75</sup>. 324

The head-fixation required for two-photon imaging poses limitations. Recent work shows 325 326 that head-fixation in mice initially increases levels of the stress-related hormone corticosterone, which normalizes over days with habituation<sup>76</sup>. We previously measured circulating corticosterone 327 328 levels under head fixation relative to other stressors. While habituated head-fixation elicits significantly less stress hormone release compared to restraint stress paradigms, it is still 329 significantly elevated from baseline in naïve mice<sup>77</sup>. Another limitation is the temporal resolution 330 of measured neuronal activity using two-photon microscopy. Calcium-based neuronal activity 331 332 profiles are orders of magnitude slower than electrophysiological techniques, though our head-333 fixed approach can be used with emerging tools for voltage imaging or high-density electrophysiology such as NeuroPixel probes<sup>78</sup> that also require head-fixation. Given these 334

limitations, two-photon microscopy is a complementary approach to electrophysiological studiesin freely-moving paradigms with specific unique advantages.

337 While we used the head-fixed drinking paradigm to study various aspects of activity in the ACC and volitional ethanol consumption, we envision our approach as a baseline head-fixed 338 paradigm that can be easily modified to address other important questions relevant for AUD, 339 including stress-ethanol interactions<sup>79</sup> and instrumental ethanol seeking<sup>80</sup>. We found that mice 340 consumed large quantities of ethanol during head-fixed drinking. Given the well-appreciated 341 relationship between stress and excessive drinking in humans and in animal models<sup>48,81,82</sup>, one 342 possibility is that the mild stress associated with head-fixation promotes drinking in this paradigm. 343 Hence, this paradigm, combined with other stressors, can be leveraged to dissect the relationship 344 between ethanol consumption and stress as well as the neuronal-arousal coupling described here. 345 This paradigm may also facilitate the study of compulsive ethanol-related behaviors<sup>51,83,84</sup> in head-346 fixed mice. Here, drops of ethanol were available after random delays drawn from an exponential 347 348 distribution. Such delays have a flat subjective hazard rate and disallow subjects from predicting when an event will occur<sup>85,86</sup>. In this regard, our paradigm is similar to conditioning experiments 349 350 using random interval reinforcement schedules, which are thought to promote compulsive behavior<sup>87</sup>. This suggests that the high levels of drinking observed in our model may be extended 351 352 to investigate punishment-resistant compulsive drinking, at least in a subset of mice. Future modifications that allow testing this and other AUD-related behaviors in head-fixed mice will 353 354 allow application of two-photon microscopy to these questions.

We found that voluntary ethanol consumption in awake mice both increased and decreased 355 356 the activity of subpopulations of ACC neurons at the slow time scale of minutes as well as on the faster time scale of individual ethanol delivery events (Fig. 2). In anesthetized rats, intraperitoneal 357 358 injection of ethanol dose-dependently inhibited the activity of PFC neurons recorded via extracellular electrophysiology<sup>88</sup>. Subsequent work directly compared the effect of ethanol 359 injections in anesthetized vs. awake conditions and found decreased activity during anesthesia but 360 generally no overall changes in activity levels during wakefulness<sup>89</sup>. This suggests that ethanol has 361 362 a particularly depressive effect on PFC activity in the anesthetized state. Compared to systemic 363 ethanol injections, voluntary ethanol consumption may induce more heterogenous activity patterns that potentially reflect multiple processes including the intent to drink, olfaction, 364 gustatory/consummatory responses, olfaction, and others. Future adaptations in the behavioral 365

paradigm that carefully distinguish between these processes are needed to clarify the precise natureof this observed activity.

368 We focused on the activity of layer 2/3 excitatory neurons, however the observed coupling of neuronal activity to the pupil suggests involvement of inhibition. Processing in cortical circuits 369 is crucially coordinated by precise spatiotemporal inhibition, with recent studies highlighting the 370 role of molecularly defined inhibitory neurons in neuronal-arousal coupling<sup>70,90,91</sup>. Vasointestinal 371 peptide (VIP) expressing interneurons provide inhibition onto other inhibitory neurons, including 372 the somatostatin (SOM) expressing subtype<sup>32,92-94</sup>. Activation of pyramidal neurons during arousal 373 is thought to reflect a disinhibitory microcircuit motif wherein arousal-mediated activation of VIP 374 cells causes inhibition of SOM cells and disinhibition of pyramidal neurons, thereby providing a 375 potential mechanism for positive responses to arousal. In the frontal cortex, direct VIP inhibitory 376 inputs onto pyramidal cells are proposed to mediate the negative responses to arousal<sup>27</sup>. Thus, one 377 possibility is that ethanol exposure reconfigures neuronal-arousal coupling by reshaping these 378 379 inhibitory circuits and shifting the balance between inhibition and disinhibition of pyramidal neurons. Alterations in inhibition are commonly found in response to ethanol exposure, with the 380 general finding that inhibition is reduced<sup>95,96</sup>. Importantly, recent work has started addressing how 381 specific subtypes of cortical interneurons are affected, uncovering changes in SOM neuron 382 383 intrinsic excitability and synaptic outputs that could be particularly relevant for neuronal-arousal coupling<sup>97,98</sup>. 384

385 In addition to altered inhibition, cortical brain state shifts are strongly correlated with brainwide release of neuromodulators including norepinephrine, acetylcholine, corticosterone, and 386 serotonin<sup>22,24,28,30</sup>. Numerous studies have implicated dysregulated neuromodulatory activity with 387 AUDs, suggesting that neuronal coupling to arousal may be a signature of neuromodulation 388 indicative of drinking behaviors<sup>33,49,99</sup>. Relatedly, acute exposure to ethanol profoundly disrupts 389 the activity of locus coeruleus noradrenergic neurons<sup>75,100</sup>, which form a key component of the 390 brain's neuromodulatory arousal system<sup>101</sup>. Future work will be needed to address the causal 391 relationship between neuromodulatory systems, arousal-coupled cortical activity, and ethanol 392 393 consumption.

Although considerable mechanistic research has been done on the acute cellular effects of ethanol, including effects on the fast time scales of ion channel activity and synaptic transmission<sup>102-107</sup>, as well as the chronic long-term circuit neuroadaptations<sup>36,108-110</sup>, relatively few 397 studies have studied changes in the intervening time course of cortical information processing. Our 398 work bridges these timescales by focusing on how acute ethanol engagement modifies circuit 399 dynamics on the order of internal state shifts, which are highly relevant for behavioral functions 400 often associated with the PFC. Better understanding the effect of ethanol on this intermediate 401 timescale will further clarify the relationship between the myriad of behavioral and neuronal 402 adaptations that comprise AUD.

## 403 Figures



405 Figure 1. Head-fixed mice voluntarily consume ethanol during two-photon imaging. (A) Top: timeline of the 'drinking in the dark' paradigm (DID) in relation to zeitgeber time (ZT). Regular 406 407 bottles were replaced with 20% ethanol (v/v) in drinking water for 3 hours beginning 3 hours into the dark phase (ZT15, blue) with habituation occurring prior (ZT12, gray). Bottom: timeline of 408 409 head-fixed drinking (HFD). Animals were provided access at ZT15 for 3x10 minute sessions consisting of pre, early (blue), and late (orange) ethanol access. (B) Ethanol consumption across 410 411 DID, DID with head-fixed habituation, and HFD periods. n = 4 mice. (C) Task structure for voluntary ethanol delivery (ITI, intertrial interval). (D) Volume of ethanol consumed during early 412 and late drinking blocks (paired t-test, t(11) = 0.77, p = 0.46; n = 12 sessions from 4 mice). (E) 413 Schematic of imaging setup (bottom) with examples of drinking camera, 2-photon imaging, and 414 pupil camera.. (F) Example simultaneously measured DFF traces of an example neuron (green), 415 416 pupil size (black), ethanol delivery (blue), and the observed lick bouts (magenta). n.s., not

417 significant.



Figure 2. Effect of ethanol consumption on single neuron ACC activity and pairwise 419 420 correlations. (A) Activity of three example neurons during pre, early, and late drinking. Detected 421 calcium events (black) are shown overlaid on the z-scored DFF signal (green). (B) DFF event rates during pre (gray) and late (orange) drinking for all neurons. Cumulative probability (prob.) 422 distribution ( $p = 9x10^{-18}$ , k = 0.1638; n = 1471 neurons from 4 mice) and session-wide mean of 423 events rates (p = 0.88, z = -0.16; n = 12 sessions from 4 mice) is shown. (C) Same as B, except for 424 neurons with increased activity modulated by ethanol (prob. distribution,  $p = 10^{-47}$ , k = 0.82, n =425 158 neurons from 4 mice; mean event rates, p = 0.002; z = -3.06; n = 12 sessions from 4 mice). 426 (D) Same as B, except for neurons with decreased activity modulated by ethanol (prob. distrubtion, 427  $p = 10^{-43}$ , k = 0.69, n = 202 neurons from 4 mice; mean event rates, p = 0.002; z = 3.06; n = 12428 sessions from 4 mice). (E) Raster plot showing detected events for neurons with significantly 429 430 increased activity across drinking (top). Rows show activity for individual neurons. Mean event rates in 1 min bins averaged across all increased activity neurons are shown below. (F) Same as E, 431 except for decreased activity neurons. (G) Color plot showing the trial-averaged activity of neurons 432 (rows) showing increased activity around the time of ethanol delivery. (H) Same as G, except for 433 434 neurons showing decreased activity around ethanol delivery. (I) z-scored DFF averaged across neurons with increased (green) and decreased (magenta) activity that are shown in G and H, 435 436 respectively. (J) Example field of view (FOV), noting the spatial location of four representative neurons (white circles/numbers). (K) The activity of pairs of neurons shown in J for pre-drinking 437 438 and late drinking blocks. (L) Mean pairwise correlation coefficients averaged for all unique pairs during individual pre- and late drinking blocks (p = 0.18, z = -1.33, n = 12 sessions from 4 mice; 439 440 Wilcoxon signed-rank test). In B-D, comparison of cumulative probability distributions with twotailed, two-sample Kolmogorov-Smirnov test and comparison of means with two-tailed Wilcoxon 441 442 signed-rank test. \*\*\*p < 0.005, n.s., not significant.



444 Figure 3. Pupil-activity correlation during pre-drinking is associated with subsequent engagement with ethanol. (A) Traces of pupil diameter (z-scored, black) overlaid on GCaMP6f 445 446 DFF responses (z-scored, green) of representative neurons from two example sessions showing varying levels of pupil-activity coupling. (B) Histogram (left y-axis) and cumulative probability 447 distribution (right y-axis) for Pearson correlation coefficient between fluctuations in neuronal 448 activity and pupil diameter (n = 1519 neurons from 4 mice). (C) Percentage of neurons with 449 450 significant positive and negative activity-pupil correlations (n = 13 sessions from 4 mice; p = 0.78, z = 0.28). (D) DFF event frequency (left; p = 0.20, z = -1.28) and amplitude (right; p = 0.20, z = -1.28) 451 1.28) for positively and negatively coupled neurons (n = 13 sessions from 4 mice). (E) High (red) 452 and low (blue) ethanol engagement sessions were defined based on median split of the number of 453 454 ethanol drops triggered during early drinking (high, 7 sessions from 4 mice; low, 6 sessions from 3 mice). (F) Percentage of neurons with positive coupling to pupil in low and high engagement 455

- 456 sessions (p = 0.02, z = -2.36) and their DFF event rates in the two sessions (p = 0.52, z = 0.64) (G)
- 457 Same as F, but for neurons with negative pupil-activity correlations (percent of neurons, p = 0.23,
- 458 z = 1.21; event rate, p = 0.83, z = -0.21). Statistical testing with two-tailed Wilcoxon rank-sum
- 459 tests in all panels. p < 0.05, n.s., not significant.



461 Figure 4. Pupil-aligned neuronal responses during pre-drinking are associated with 462 subsequent ethanol engagement. (A) Trace of pupil diameter (z-scored, black) from an example 463 session showing peaks of individual dilation events (circles). (B) Quantification of pupil event rate (p = 0.94, z = -0.07), duration (p = 0.62, z = 0.5), and amplitude (p = 0.35, z = -0.92) for low (blue) 464 and high (red) engagement sessions (n = 7 high sessions from 4 mice, 6 low sessions from 3 mice). 465 (C) Session-averaged pupil events (top) and neuronal responses from positively (middle; low, n =466 467 171 neurons from 3 mice; high, n = 297 neurons from 4 mice) and negatively (bottom; low, n =242 neurons from 3 mice; high, n = 212 neurons from 4 mice) coupled neurons, with signals 468 aligned to the peak of pupil dilation events. Responses from low (blue) and high (red) engagement 469 sessions are shown. (D) Cumulative probability distribution (left panel; p = 0.00013, k = 0.21) and 470 median (right panel; p = 0.002, z = -3.17) pupil-aligned responses averaged over a window of -2 471 to -1s relative to peak pupil dilation. (E) Same as D, except for negatively coupled neurons 472 (cumulative distribution: p = 0.92, k = 0.05; box plot: p = 0.85, z = -0.19). (F) Same as C, except 473 for all neurons regardless of correlation with the pupil (low, n = 718 neurons from 3 mice; high, n 474 = 801 neurons from 4 mice). (G) Same as D, except for all neurons (cumulative distribution, p = 475  $2x10^{-9}$ , k = 0.16; box plot, p =  $5x10^{-9}$ , z = -5.84). Box plot elements: center line, median; box 476 limits, upper and lower quartiles; whiskers, 1.5x interquartile range; outliers not shown). 477 478 Comparisons in B and the box plots in D, E, G with two-tailed Wilcoxon rank-sum test; significance testing of cumulative distributions in D, E, G with two-tailed, two-sample 479 480 Kolmogorov-Smirnov test. \*\*\*p < 0.005, n.s., not significant.



Figure 5. Voluntary ethanol consumption disrupts neuronal-arousal coupling. (A) Session-482 averaged correlation (corr.) coefficients for positive pupil-activity modulated neurons, quantified 483 484 in 5 min bins across drinking (H(4,55) = 2.13, p = 0.71; n = 12 sessions from 4 mice). (B) Average pupil-activity correlation coefficients during pre-drinking and last 5 minutes of late-drinking (p = 485 0.81, z = -0.24). (C) DFF event frequency for pre-drinking (gray) and late (orange) drinking block 486 (p = 0.48, z = 0.71). (D, E, F) Same as A, B, C except for negative pupil-activity neurons (panel 487 D, H(4,55) = 9.7, p = 0.046; panel E, p = 0.012, z = -2.51; panel F, p = 0.14, z = 1.49). (G) Pupil 488 responses (z-scored, top) and pupil-aligned activity (z-scored, bottom) of neurons with significant 489 positive pupil-activity correlations during pre- and late drinking. Activity was compared in 1s 490 windows using two-tailed Wilcoxon signed-rank test; gray shading denotes windows with 491 significant difference between the two blocks using Bonferroni corrected a-value of 0.0033 492 493 (0.05/15). (H, I) Same as G, except for negative pupil-activity neurons (H) and all recorded neurons

- 494 (I). Significant testing with Krusal-Wallis test in A and D, and with Wilcoxon signed-rank test in
- 495 B, C, E, and F. \*p < 0.05, n.s., not significant.





496

Supplementary Figure 1. Voluntary ethanol engagement with cortical imaging over days. (A)
Circuit diagram for lick detection (analog input, green) and ethanol delivery (digital output, blue).
(B) Example low-magnification field of view of anterior cingulate cortex (ACC) and imaging
region (white dashed box). (C) Example imaging of excitatory ACC neurons across 3 days.
Coordinates of 0.5mm anterior to Bregma and 0.3mm lateral to the midline were targeted using
recorded imaging depth, vasculature shadow patterns, and background fluorescence of neuronal
somata.



505 **Supplementary Figure 2. Licking does not significantly modulate neuronal activity.** (A) 506 Activity of neurons with increased ethanol delivery-activity shown in Fig. 2G but aligned to licking 507 bouts occurring around ethanol delivery. (B) Same as A, but for neurons with decreased delivery-508 activity neurons shown in Fig. 2H. (C) Averaged DFF responses of increased (green) and 509 decreased (magenta) delivery-activity neurons (as in Fig. 2I), but aligned to lick bouts around 510 ethanol delivery. (D, E, F) Same as A, B, C but aligned to licking bouts in the inter-trial interval 511 (ITI).

504



Supplementary Figure 3. Effect of ethanol consumption on pairwise activity correlations. (A) 513 Session-averaged pairwise correlation coefficients between all unique pairs of simultaneously 514 recorded neurons binned by the distance between neurons in each pair (bin size, 50µm). (B) 515 Activity correlations of proximal (<50µm apart) and distal (>300µm apart) pairs during pre (gray) 516 and late (orange) drinking (proximal, p = 0.58, z = -0.55; distal, p = 0.21, z = -1.26). (C) 517 Comparison of correlation coefficients of pairs with low and high activity, defined based on the 518 519 median split of the calcium event frequency averaged across the neurons in a pair (low activity, p = 0.88, z = -0.15; high activity, p = 0.07, z = -1.80). (D) Percentage of neuronal pairs with 520 521 significant positive or negative pairwise correlations (positive, p = 0.94, z = -0.08; negative, p =.0.041, z = 2.04). Error bars are standard error of the mean (n = 12 sessions from 4 mice). 522 523 Significant testing with Wilcoxon rank-sum test; \*p < 0.05; n.s., not significant.



524

525 **Supplementary Figure 4. The effect of drinking on pupil dynamics.** (A-C) Frequency (H(4,55)

526 = 6.82, p = 0.14), amplitude (H(4,55) = 4.71, p = 0.22), and duration (H(4,55) = 8.95, p = 0.06) of

527 detected pupil dilation events in 5 min bins across drinking (n = 12 sessions from 4 mice).

528 Significance testing with one-way Kruskal-Wallis test.

529

## 530 Supplementary Video 1. Home-cage video of mice after voluntary head-fixed ethanol

## 531 **consumption.**

## 532 Methods

533 **Mice:** Animals expressing GCaMP6f under the CaMKII promoter were generated by crossing the 534 commercially available Camk2a-Cre (005359, Jackson) and Ai148D (030328, Jackson) mouse 535 lines, both on a C57/B16 background. Animals were maintained in their home cages under a 12/12 536 reverse light/dark cycle with *ad libitum* access to standard mouse chow and water. All animals 537 used in this study were ~P60 male mice. All animal procedures were performed in strict accordance 538 with protocols approved by the MIT Division of Comparative Medicine and conformed to NIH 539 standards.

540 Surgical Procedures: Surgeries were performed under isoflurane anesthesia (3% induction, 1.5% 541 maintenance) and body temperature was maintained at 37.5°C using a temperature controller 542 (ATC2000, World Precision Instruments). Animals were dosed with slow-release buprenorphine (0.1mg/kg) prior to surgery, and meloxicam (1mg/kg) every 24 hours post-surgery for 72 hours or 543 544 until fully recovered. Once stably anesthetized, animals were head-fixed in a stereotaxic frame (51500D, Stoelting), scalp hair removed, and scalp sterilized using alternating betadine scrub and 545 546 70% ethanol solutions. A portion of the scalp was removed and conjunctive tissue cleared after 547 treatment with hydrogen peroxide. A 3mm diameter craniotomy was then drilled over centered over left ACC/M2 (from bregma, AP: 1.0mm, ML: 1.0mm). A chronic cranial window was then 548 implanted that consisted of a 5mm diameter coverslip glued to two 3mm coverslips (Warner 549 550 Instruments) using optical UV-cured adhesive (61, Norland). The window was carefully lowered 551 with the 5mm coverslip on top and firmly held in the craniotomy using the stereotax while adhered 552 to the skull using dental acrylic mixed with black ink (Metabond, Parkell). Once the dental acrylic had cured around the cranial window, a custom stainless-steel head-fixation plate was mounted 553 around the cranial window and cured with dental acrylic. Animals were then allowed to recover in 554 555 their own cage with a warm water blanket and moistened food chow. Mice were singly housed for 556 the remainder of the experiment and continued to recover for one week before beginning the drinking in the dark paradigm. 557

**Drinking in the dark (DID) paradigm:** Following recovery from window implantation, mice were introduced to the drinking in the dark paradigm (DID) as previously described for 13 days. Mice were weighed, and their water bottles replaced with 15ml conical tubes containing 20% ethanol (v/v) diluted in the mouse drinking water 3 hours into their dark phase (ZT15). Tubes were

filled with ~10mL of ethanol solution, fitted with a custom rubber stopper and standard lick spout, 562 and then weighed before being placed in each cage. One drop of ethanol solution was allowed to 563 564 drip to ensure the displacement of air. A control cage without any mice was also fitted with an ethanol drinking tube to account for the displacement drop and evaporation. Mice were then left 565 alone for 3 hours during which they could voluntarily consume the ethanol solution. After 3 hours, 566 567 the ethanol tubes were removed, weighed, and regular drinking water bottles returned. The amount of ethanol consumed was calculated by subtracting the final weight from the initial weight of each 568 tube to get a session difference. The control tube difference was then subtracted from each mouse 569 570 tube difference, and then consumption computed as ethanol consumed (g) per weight of the animal (kg). After 9 days of normal DID exposure, mice were head-fixed on the two-photon imaging rig 571 for 30 minutes (ZT 12-15) prior to the DID paradigm (ZT15) for 5 days to habituate the mice to 572 573 the imaging setup.

574 Head-fixed drinking paradigm: After animals completed 13 days of DID, last 5 days of which 575 they were habituated to head-fixation, mice were imaged while voluntarily consuming ethanol under the two-photon microscope. Animals were head-fixed on an elevated platform with a 576 577 lickspout delivering 20% EtOH (v/v) in mouse drinking water positioned within easy access for 578 licking. The lickspout was made from a brass tube (3.97mm diameter, 8128, K&S Precision 579 Metals) that was wrapped with conductive wire and connected to a capacitive sensor (P1374, 580 Adafruit) integrated to a breadboard and connected to an Arduino board (Uno Rev3, A000066, 581 Arduino) as an analog input and recorded via custom MATLAB scripts. Ethanol delivery was 582 initiated by custom MATLAB scripts that sent a digital signal via the Arduino to toggle a transistor 583 on the breadboard (IRF520PBF, Digi-Key) and open a 12V solenoid (VAC-100 PSIG, Parker). Ethanol solution was maintained in a graduated syringe, gravity fed into the solenoid, and 584 calibrated to deliver a small drop (~8µL) with each trigger. Following each session, total ethanol 585 586 delivered was compared between the graduated syringe and the calculated trigger volume to ensure accurate quantification of total ethanol delivered (for detailed circuit diagram and session structure 587 see Fig. 1 and Supp. Fig. 1). 588

589 For the initial sessions after switching from DID to head-fixed drinking, mice could lick 590 the spout to receive ethanol soon after head-fixation. To determine how drinking affects ACC 591 activity relative to before drinking, in later sessions we introduced a 10 minute pre-drinking 592 imaging block in which animals were allowed to lick the spout but no ethanol was delivered.

Following the pre-drinking block, animals underwent two subsequent 10 minute drinking blocks 593 in which licking the spout could deliver a drop of ethanol. The drinking blocks were structured 594 595 such that after initiation, there was a pseudorandom delay before a lick query (exponential 596 distribution with a 10s mean and cut-offs at 5s and 20s). If the animal had licked within 1s prior to the query, a drop of ethanol solution was dispensed, otherwise an additional delay of 1s was 597 598 imposed before another lick query. Hence, the animal had to lick during the query period to trigger ethanol delivery. This design promoted continuous licking of the spout in order to obtain more 599 ethanol, thereby limiting unconsumed ethanol delivery. Moreover, the self-paced design allowed 600 601 us to assess the animal's level of engagement with ethanol by quantifying the number of drops that were triggered. A camera (LifeCam, O2F-00013, Microsoft) was positioned to record licking 602 behavior and to validate that ethanol delivery was consumed. To quantify changes in arousal, an 603 604 infrared CMOS camera (DCC1545M, ThorLabs) was fitted with a telecentric lens (SilverTL, 58-430, Edmund Optics) and positioned over the pupil for high-speed acquisition (20Hz) via custom 605 606 MATLAB scripts. The pupil was illuminated by an infrared LED array (LIU780A, ThorLabs). Ethanol delivery, image acquisition, and pupil imaging were all synchronously triggered at the 607 608 start of the session to accurately align temporal epochs across measurements.

We analyzed data from sessions with imaging during both pre-drinking and drinking blocks. We had 13 such sessions, which were included in the analysis of pre-drinking activity (Figs. 3, 4). 1 session had poor quality imaging data in the late drinking block and hence was excluded from data presented in Figs. 2 and 5.

Measurement of blood ethanol concentration: Blood ethanol concentration (BEC) was 613 614 quantified after the final imaging session. Immediately the session, animals were rapidly anesthetized by isoflurane, decapitated, and whole trunk blood collected in tubes lined with EDTA 615 (BD Microtainer, 365974, Becton, Dickinson and Company) and placed on ice. Whole blood was 616 617 then centrifuged at 3000xg for 10 minutes at 4°C. Separated plasma was then aliquoted and immediately stored at -80°C for further analysis. Quantification of BEC was performed using a 618 colorimetric assay as previously described. Ethanol standards and plasma samples were diluted in 619 sample reagent (all reagents obtained from Millipore Sigma): 100mM KH2PO4 (P3786), 100mM 620 K2HPO4 (P3786), 0.7mM 4-aminoantropyrine (A4382), 1.7mM chromotropic acid (27150), 621 50mg/L EDTA (E4884), and 50mL/L Triton X100 (X100). Working reagent was created by 622 623 mixing alcohol oxidase from *Pichia* (5kU/L, A2404) and horseradish peroxidase (3kU/L, 77332)

with sample reagent and mixed with samples on a 96-well plate. Following 30 minutes of
incubation at room temperature, the samples and standards were read on a standard plate reader
(iMark, Bio-Rad Laboratories) at 595nm. Samples and standards were run 6x in parallel and BEC
calculated according to the standard curve.

628 Two-Photon calcium imaging: GCaMP6f fluorescence from neuronal somas was imaged through 629 a 16x/0.8 NA objective (Nikon) using resonance-galvo scanning with a Prairie Ultima IV twophoton microscopy system. Image frames were collected as 4-frame averages at 480x240 pixel 630 631 resolution an acquisition rate of 16Hz. Excitation light at 900nm was provided by a tunable Ti:Sapphire laser (Mai-Tai eHP, Spectra-Physics) with ~10-20 mW of power at sample. Emitted 632 633 light was filtered using a dichroic mirror (collected with GaAsP photomultiplier tubes (Hamamatsu). Layer 2/3 GCaMP6f-expressing neurons were imaged with 1.5x optical zoom, 120-634 635 200µm below the brain surface. Neuronal activity in the ACC was collected at the following coordinates: ~0.5mm AP, ~0.5mm ML. 636

**GaMP6f fluorescence signal processing:** We used the software Suite2P<sup>111</sup> for semi-automatic 637 detection of neuronal somas from calcium imaging movies. Movies from the three imaging blocks 638 639 were concatenated together and the non-rigid translation function in Suite2P was used to correct for x-y translations that may have occurred between blocks. Suite2P detects neuronal regions of 640 interest (ROI) by clustering neighboring pixels with similar fluorescence time courses. Moreover, 641 it provides for each detected neuron a neuropil mask which surrounds the detected ROI and 642 643 excludes other detected neuronal ROIs. The automatically detected ROIs were manually curated using the GUI such that ROIs without clear visual evidence for neuronal somas were rejected and 644 neurons missed by the algorithm were added manually. 645

To minimize the contribution of the neuropil signal to the somatic signal, corrected neuronal fluorescence at each time point t was estimated as  $F_t = Fraw\_soma_t - (0.3 \text{ x}$ Fraw\\_neuropil\_t)<sup>112</sup>. The DFF ( $\Delta$ F/F) for each neuron was calculated as  $\Delta$ F/F(t) = 100 x (F(t) -F<sub>0</sub>)/F<sub>0</sub>, where F<sub>0</sub> represents the mode of the distribution of fluorescence values (estimated using the MATLAB function 'ksdensity'). The resulting DFF trace was z-scored. We identified individual calcium events as transient increases in the z-scored DFF signal. Using the 'findpeaks' function in MATLAB, we detected events with minimum peak prominence of 2.5 z-scored DFF and minimum width of 3 imaging frames (~200ms) at half-height of the event peak. All analyses
either used the z-scored DFF or detected calcium event frequency and amplitude.

Analysis of change in neuronal activity with ethanol consumption: We tested how ethanol 655 consumption affects neuronal activity over the slow time scale of minutes across the entire imaging 656 657 session. A challenge with this analysis is that the pre-drinking block has no trial structure, making 658 it difficult to align activity to specific events and statistically compare how drinking affects activity. While one strategy is to compare inter-event intervals between pre-drinking and drinking 659 660 blocks, we reasoned that the sparse cortical activity observed in individual blocks would be a limiting factor and produce false negatives. Hence, we instead addressed this issue by devising a 661 662 shuffle test. This test circularly shifted traces of detected calcium events in time by a random amount in intervals of 30s, thus maintaining the temporal structure of activity while randomizing 663 664 the timing at which it occurred. We reiterated this process 1000 times for each neuron. On every iteration, we computed the difference in event frequency between pre-drinking and 1) the first 665 666 drinking block; and 2) the second drinking block. This allowed us to generate null distributions for the difference in event frequency expected by chance given the overall activity level of the neuron. 667 668 The two-tailed p-value for each drinking block was computed as the proportion of activity changes 669 in the null distribution that were as or more extreme than the experimentally observed change on 670 either side of the distribution. Neurons with p < 0.05 for either drinking block were considered 671 significant and classified as positively or negatively modulated depending on how their activity changed with drinking. 672

We also tested how individual ACC neurons were modulated on a faster time scale, around 673 674 the time of ethanol consumption and licking. We aligned neuronal activity to the time of ethanol delivery and compared responses 1s before and after delivery using a one-tailed Wilcoxon signed-675 rank test to identify positively or negatively modulated neurons. Neurons with p < 0.01 were 676 677 considered significant. We similarly aligned responses to licking bouts occurring at any time, during a 4s window after ethanol delivery, or during the inter-trial delay (i.e., 4s after ethanol 678 delivery). Licking bouts were defined as two or more consecutive licks that occurred with a delay 679 of less than 500ms. 680

Pairwise neuronal correlation analysis: We assessed the effect of ethanol consumption on
Pearson correlations between the z-scored DFF traces for all unique pairs of neurons in each

recording session. To facilitate comparison between drinking and pre-drinking blocks, activity 3s 683 684 after ethanol delivery in the drinking blocks was not included in the analysis. Correlations were 685 computed using the last 5 minutes of the pre and late drinking blocks. Pair-wise correlations of all simultaneously recorded pairs in a single session were averaged together separately for the pre-686 drinking and drinking blocks. These session-averaged values were then compared between the 687 688 blocks using a Wilcoxon signed-rank test. We quantified pair-wise correlations as a function of the distance between neurons in each pair. We calculated the Euclidean distance between each pair 689 690 of neurons as the length of a straight line connecting the center coordinates of each neuronal ROI. 691 We defined proximal pairs as neurons less than 50 µm apart and distal pairs as neurons with more than 300 µm distance between them. We also analyzed whether the overall level of activity in the 692 pair of neurons is related to ethanol's effect on pair-wise correlations. We did a median-split for 693 694 the average event frequency for each pair and compared pair-wise correlations separately for pairs with high and low levels of activity. Lastly, we quantified and compared the proportion of neuron 695 pairs with significant positive or negative pair-wise correlations during pre-drinking and post-696 drinking (p < 0.05). 697

698 Neuronal-arousal coupling analysis: We performed two types of analyses to study the relationship between neuronal-arousal coupling and ethanol consumption: 1) correlation between 699 700 fluctuations in pupil size and neuronal activity; 2) analysis of pupil-aligned neuronal activity. The 701 pupil was imaged at a frequency 20Hz. We downsampled the pupil signal to match the frequency 702 of two-photon calcium imaging data (~16 Hz) using the MATLAB function 'interp1'. For activitypupil correlation analysis, we determined the Pearson correlation coefficient between neuronal 703 704 activity and traces of z-scored pupil diameter. To evaluate the association of neuronal-arousal coupling during the pre-drinking block with subsequent drinking, we separately analyzed sessions 705 with high and low levels of drinking, which were defined based on the median split of the total 706 707 number of ethanol drops triggered by the animal in the first drinking block. Neurons were defined as positively or negatively modulated if they had a significant correlation with the pupil (p < 0.05) 708 and depending on the sign of their correlation coefficient. Pre-drinking activity-pupil correlations 709 were quantified for the second half of the pre-drinking block (i.e., last 5 minutes). 710

For tracking how correlations evolve across pre-drinking and drinking, we computed activity-pupil correlations coefficients in 5 min bins starting from the last 5 minutes of the predrinking to the end of the late drinking block. To facilitate comparison between pre-drinking and drinking blocks, neuronal and pupil data from 3s after each ethanol drop delivery during the drinking block were excluded from the analysis. Neurons with significant positive or negative correlations in any of the bins were included in the analysis (p < 0.05).

To analyze pupil-aligned neuronal activity, we first identified individual dilation events in traces of z-scored pupil diameter using the MATLAB function 'interp1' with a threshold prominence of 1 z-score. This allowed us to quantify the pupil dilation event rate, duration, and amplitude, in addition to identifying the time at which the peak of the dilation event occur. Neuronal responses were aligned to this peak time. For pre-drinking analysis, pupil-aligned responses for each neuron were averaged for all pupil events occurring in the last 5 mins of the block; for late drinking, last 5 mins of the second drinking block was used.

## 724 Data availability

The data that support the findings of this study are available from the corresponding author uponreasonable request.

## 727 Code availability

728 Custom code used in this work is available from the corresponding author upon reasonable request.

## 729 Acknowledgements

This work was supported by grants from National Eye Institute F32EY028028 to G.O.S.; from National Institute of Mental Health R00MH104716 to E.V. and MH112855 to R.H.; and National Institute on Alcohol Abuse and Alcoholism U01AA025481 to E.V. We thank Mriganka Sur for generously providing the use of his laboratory for these experiments.

## 734 Author contributions

G.O.S. and R.H. conceived the project, designed the experiments, and developed the concepts presented. G.O.S. performed the experiments with contributions from R.H. and E.V. R.H. performed the analysis with contributions from G.O.S., I.L. and M.N. E.V. provided feedback on overall experimental design and data interpretation. R.H. and G.O.S. wrote the manuscript with input from all authors.

## 740 Competing interests

741 The authors declare no competing interests.

## 742 **References**

- Rhodes, J. S., Best, K., Belknap, J. K., Finn, D. A. & Crabbe, J. C. Evaluation of a simple
  model of ethanol drinking to intoxication in C57BL/6J mice. *Physiol Behav* 84, 53-63,
  doi:10.1016/j.physbeh.2004.10.007 (2005).
- 7462Thiele, T. E. & Navarro, M. "Drinking in the dark" (DID) procedures: a model of binge-747like ethanol drinking in non-dependent mice. Alcohol 48, 235-241,748doi:10.1016/j.alcohol.2013.08.005 (2014).
- Sprow, G. M. & Thiele, T. E. The neurobiology of binge-like ethanol drinking: evidence from rodent models. *Physiol Behav* 106, 325-331, doi:10.1016/j.physbeh.2011.12.026 (2012).
- Simms, J. A. *et al.* Intermittent access to 20% ethanol induces high ethanol consumption
  in Long-Evans and Wistar rats. *Alcohol Clin Exp Res* 32, 1816-1823, doi:10.1111/j.15300277.2008.00753.x (2008).
- Huynh, N., Arabian, N. M., Asatryan, L. & Davies, D. L. Murine Drinking Models in the
  Development of Pharmacotherapies for Alcoholism: Drinking in the Dark and Two-bottle
  Choice. *J Vis Exp*, doi:10.3791/57027 (2019).
- Crabbe, J. C., Harris, R. A. & Koob, G. F. Preclinical studies of alcohol binge drinking.
   *Ann N Y Acad Sci* 1216, 24-40, doi:10.1111/j.1749-6632.2010.05895.x (2011).
- 760 7 Alexander, W. H. & Brown, J. W. Medial prefrontal cortex as an action-outcome predictor.
   761 *Nat Neurosci* 14, 1338-1344, doi:10.1038/nn.2921 (2011).
- Dixon, M. L., Thiruchselvam, R., Todd, R. & Christoff, K. Emotion and the prefrontal cortex: An integrative review. *Psychol Bull* 143, 1033-1081, doi:10.1037/bul0000096 (2017).
- Miller, E. K. & Cohen, J. D. An integrative theory of prefrontal cortex function. *Annu Rev Neurosci* 24, 167-202, doi:10.1146/annurev.neuro.24.1.167 (2001).
- Bechara, A. *et al.* Decision-making deficits, linked to a dysfunctional ventromedial
  prefrontal cortex, revealed in alcohol and stimulant abusers. *Neuropsychologia* **39**, 376389, doi:10.1016/s0028-3932(00)00136-6 (2001).
- George, O. & Koob, G. F. Individual differences in prefrontal cortex function and the transition from drug use to drug dependence. *Neurosci Biobehav Rev* 35, 232-247, doi:10.1016/j.neubiorev.2010.05.002 (2010).
- Ridderinkhof, K. R. *et al.* Alcohol consumption impairs detection of performance errors in mediofrontal cortex. *Science* 298, 2209-2211, doi:10.1126/science.1076929 (2002).
- Park, S. Q. *et al.* Prefrontal cortex fails to learn from reward prediction errors in alcohol dependence. *J Neurosci* 30, 7749-7753, doi:10.1523/JNEUROSCI.5587-09.2010 (2010).
- Wilcox, C. E., Dekonenko, C. J., Mayer, A. R., Bogenschutz, M. P. & Turner, J. A.
  Cognitive control in alcohol use disorder: deficits and clinical relevance. *Rev Neurosci* 25, 1-24, doi:10.1515/revneuro-2013-0054 (2014).
- Jedema, H. P. *et al.* The acute impact of ethanol on cognitive performance in rhesus
  macaques. *Cereb Cortex* 21, 1783-1791, doi:10.1093/cercor/bhq244 (2011).
- Pava, M. J. & Woodward, J. J. Chronic ethanol alters network activity and endocannabinoid signaling in the prefrontal cortex. *Front Integr Neurosci* 8, 58, doi:10.3389/fnint.2014.00058 (2014).
- Salling, M. C. *et al.* Alcohol Consumption during Adolescence in a Mouse Model of Binge
   Drinking Alters the Intrinsic Excitability and Function of the Prefrontal Cortex through a

Reduction in the Hyperpolarization-Activated Cation Current. *J Neurosci* 38, 6207-6222,
doi:10.1523/JNEUROSCI.0550-18.2018 (2018).

- Robinson, S. L. *et al.* Medial prefrontal cortex neuropeptide Y modulates binge-like
  ethanol consumption in C57BL/6J mice. *Neuropsychopharmacology* 44, 1132-1140,
  doi:10.1038/s41386-018-0310-7 (2019).
- Lu, Y. L. & Richardson, H. N. Alcohol, stress hormones, and the prefrontal cortex: a proposed pathway to the dark side of addiction. *Neuroscience* 277, 139-151, doi:10.1016/j.neuroscience.2014.06.053 (2014).
- Cannady, R. *et al.* Interaction of chronic intermittent ethanol and repeated stress on structural and functional plasticity in the mouse medial prefrontal cortex. *Neuropharmacology* 182, 108396, doi:10.1016/j.neuropharm.2020.108396 (2021).
- Joshi, S. & Gold, J. I. Pupil Size as a Window on Neural Substrates of Cognition. *Trends Cogn Sci* 24, 466-480, doi:10.1016/j.tics.2020.03.005 (2020).
- Reimer, J. *et al.* Pupil fluctuations track rapid changes in adrenergic and cholinergic activity in cortex. *Nat Commun* 7, 13289, doi:10.1038/ncomms13289 (2016).
- Urai, A. E., Braun, A. & Donner, T. H. Pupil-linked arousal is driven by decision 802 23 803 uncertainty and alters serial choice bias. Nat Commun 8. 14637. doi:10.1038/ncomms14637 (2017). 804
- Joshi, S., Li, Y., Kalwani, R. M. & Gold, J. I. Relationships between Pupil Diameter and
  Neuronal Activity in the Locus Coeruleus, Colliculi, and Cingulate Cortex. *Neuron* 89,
  221-234, doi:10.1016/j.neuron.2015.11.028 (2016).
- Reimer, J. *et al.* Pupil fluctuations track fast switching of cortical states during quiet wakefulness. *Neuron* 84, 355-362, doi:10.1016/j.neuron.2014.09.033 (2014).
- McGinley, M. J., David, S. V. & McCormick, D. A. Cortical Membrane Potential Signature
  of Optimal States for Sensory Signal Detection. *Neuron* 87, 179-192,
  doi:10.1016/j.neuron.2015.05.038 (2015).
- 813 27 Garcia-Junco-Clemente, P. *et al.* An inhibitory pull-push circuit in frontal cortex. *Nat Neurosci* 20, 389-392, doi:10.1038/nn.4483 (2017).
- Larsen, R. S. & Waters, J. Neuromodulatory Correlates of Pupil Dilation. *Front Neural Circuits* 12, 21, doi:10.3389/fncir.2018.00021 (2018).
- Batista-Brito, R., Zagha, E., Ratliff, J. M. & Vinck, M. Modulation of cortical circuits by
  top-down processing and arousal state in health and disease. *Curr Opin Neurobiol* 52, 172181, doi:10.1016/j.conb.2018.06.008 (2018).
- Cazettes, F., Reato, D., Morais, J. P., Renart, A. & Mainen, Z. F. Phasic Activation of
  Dorsal Raphe Serotonergic Neurons Increases Pupil Size. *Curr Biol*,
  doi:10.1016/j.cub.2020.09.090 (2020).
- 82331Dipoppa, M. *et al.* Vision and Locomotion Shape the Interactions between Neuron Types824in Mouse Visual Cortex. Neuron 98, 602-615 e608, doi:10.1016/j.neuron.2018.03.037825(2018).
- Fu, Y. *et al.* A cortical circuit for gain control by behavioral state. *Cell* 156, 1139-1152, doi:10.1016/j.cell.2014.01.050 (2014).
- Kash, T. L. The role of biogenic amine signaling in the bed nucleus of the stria terminals
  in alcohol abuse. *Alcohol* 46, 303-308, doi:10.1016/j.alcohol.2011.12.004 (2012).
- Yorgason, J. T., Ferris, M. J., Steffensen, S. C. & Jones, S. R. Frequency-dependent effects
  of ethanol on dopamine release in the nucleus accumbens. *Alcohol Clin Exp Res* 38, 438447, doi:10.1111/acer.12287 (2014).

- Pleil, K. E. *et al.* NPY signaling inhibits extended amygdala CRF neurons to suppress binge
  alcohol drinking. *Nat Neurosci* 18, 545-552, doi:10.1038/nn.3972 (2015).
- Kumar, S. *et al.* The role of GABA(A) receptors in the acute and chronic effects of ethanol:
  a decade of progress. *Psychopharmacology (Berl)* 205, 529-564, doi:10.1007/s00213-0091562-z (2009).
- Woodward, J. J. & Pava, M. Ethanol inhibition of up-states in prefrontal cortical neurons
  expressing the genetically encoded calcium indicator GCaMP3. *Alcohol Clin Exp Res* 36, 780-787, doi:10.1111/j.1530-0277.2011.01674.x (2012).
- 841 38 Cheng, Y. *et al.* Distinct Synaptic Strengthening of the Striatal Direct and Indirect
  842 Pathways Drives Alcohol Consumption. *Biol Psychiatry* 81, 918-929,
  843 doi:10.1016/j.biopsych.2016.05.016 (2017).
- Badanich, K. A., Mulholland, P. J., Beckley, J. T., Trantham-Davidson, H. & Woodward,
  J. J. Ethanol reduces neuronal excitability of lateral orbitofrontal cortex neurons via a
  glycine receptor dependent mechanism. *Neuropsychopharmacology* 38, 1176-1188,
  doi:10.1038/npp.2013.12 (2013).
- Rodriguez-Romaguera, J. *et al.* Prepronociceptin-Expressing Neurons in the Extended
  Amygdala Encode and Promote Rapid Arousal Responses to Motivationally Salient
  Stimuli. *Cell Rep* 33, 108362, doi:10.1016/j.celrep.2020.108362 (2020).
- 41 Koob, G. F. A role for brain stress systems in addiction. *Neuron* 59, 11-34, doi:10.1016/j.neuron.2008.06.012 (2008).
- 42 Naegeli, C. *et al.* Locus Coeruleus Activity Mediates Hyperresponsiveness in
  Posttraumatic Stress Disorder. *Biol Psychiatry* 83, 254-262,
  doi:10.1016/j.biopsych.2017.08.021 (2018).
- Koob, G. F. Corticotropin-releasing factor, norepinephrine, and stress. *Biol Psychiatry* 46, 1167-1180, doi:10.1016/s0006-3223(99)00164-x (1999).
- Bloch, S., Rinker, J. A., Marcus, M. M. & Mulholland, P. J. Absence of effects of intermittent access to alcohol on negative affective and anxiety-like behaviors in male and female C57BL/6J mice. *Alcohol* 88, 91-99, doi:10.1016/j.alcohol.2020.07.011 (2020).
- 45 Chen, P. *et al.* Prefrontal Cortex Corticotropin-Releasing Factor Neurons Control
  Behavioral Style Selection under Challenging Situations. *Neuron*,
  doi:10.1016/j.neuron.2020.01.033 (2020).
- 46 Arnsten, A. F., Wang, M. J. & Paspalas, C. D. Neuromodulation of thought: flexibilities and vulnerabilities in prefrontal cortical network synapses. *Neuron* 76, 223-239, doi:10.1016/j.neuron.2012.08.038 (2012).
- 47 Arnsten, A. F. Stress signalling pathways that impair prefrontal cortex structure and function. *Nat Rev Neurosci* 10, 410-422, doi:10.1038/nrn2648 (2009).
- Kaysen, D. *et al.* Posttraumatic stress disorder, alcohol use, and physical health concerns. *J Behav Med* **31**, 115-125, doi:10.1007/s10865-007-9140-5 (2008).
- 49 Vazey, E. M., den Hartog, C. R. & Moorman, D. E. Central Noradrenergic Interactions
  with Alcohol and Regulation of Alcohol-Related Behaviors. *Handb Exp Pharmacol*,
  doi:10.1007/164\_2018\_108 (2018).
- 50 Gilpin, N. W. & Weiner, J. L. Neurobiology of comorbid post-traumatic stress disorder and alcohol-use disorder. *Genes Brain Behav* **16**, 15-43, doi:10.1111/gbb.12349 (2017).
- Halladay, L. R. *et al.* Prefrontal Regulation of Punished Ethanol Self-administration. *Biol Psychiatry* 87, 967-978, doi:10.1016/j.biopsych.2019.10.030 (2020).

- Linsenbardt, D. N., Timme, N. M. & Lapish, C. C. Encoding of the Intent to Drink Alcohol
  by the Prefrontal Cortex Is Blunted in Rats with a Family History of Excessive Drinking. *eNeuro* 6, doi:10.1523/ENEURO.0489-18.2019 (2019).
- Linsenbardt, D. N. & Lapish, C. C. Neural Firing in the Prefrontal Cortex During Alcohol
  Intake in Alcohol-Preferring "P" Versus Wistar Rats. *Alcohol Clin Exp Res* 39, 1642-1653,
  doi:10.1111/acer.12804 (2015).
- Cannady, R., Nimitvilai-Roberts, S., Jennings, S. D., Woodward, J. J. & Mulholland, P. J.
  Distinct Region- and Time-Dependent Functional Cortical Adaptations in C57BL/6J Mice
  after Short and Prolonged Alcohol Drinking. *eNeuro* 7, doi:10.1523/ENEURO.007720.2020 (2020).
- Siciliano, C. A. *et al.* A cortical-brainstem circuit predicts and governs compulsive alcohol drinking. *Science* 366, 1008-1012, doi:10.1126/science.aay1186 (2019).

Rinker, J. A. *et al.* Monitoring Neural Activity During Exposure to Drugs of Abuse With
In Vivo Fiber Photometry. *bioRxiv*, 487546, doi:10.1101/487546 (2018).

- Seif, T. *et al.* Cortical activation of accumbens hyperpolarization-active NMDARs mediates aversion-resistant alcohol intake. *Nat Neurosci* 16, 1094-1100, doi:10.1038/nn.3445 (2013).
- Millan, E. Z., Kim, H. A. & Janak, P. H. Optogenetic activation of amygdala projections
  to nucleus accumbens can arrest conditioned and unconditioned alcohol consummatory
  behavior. *Neuroscience* 360, 106-117, doi:10.1016/j.neuroscience.2017.07.044 (2017).
- Zakiniaeiz, Y., Scheinost, D., Seo, D., Sinha, R. & Constable, R. T. Cingulate cortex functional connectivity predicts future relapse in alcohol dependent individuals. *Neuroimage Clin* 13, 181-187, doi:10.1016/j.nicl.2016.10.019 (2017).
- 60 Cheetham, A. *et al.* Volumetric differences in the anterior cingulate cortex prospectively
  902 predict alcohol-related problems in adolescence. *Psychopharmacology (Berl)* 231, 1731903 1742, doi:10.1007/s00213-014-3483-8 (2014).
- 61 Critchley, H. D., Wiens, S., Rotshtein, P., Ohman, A. & Dolan, R. J. Neural systems supporting interoceptive awareness. *Nat Neurosci* 7, 189-195, doi:10.1038/nn1176 (2004).
- Thiele, T. E., Crabbe, J. C. & Boehm, S. L., 2nd. "Drinking in the Dark" (DID): a simple mouse model of binge-like alcohol intake. *Curr Protoc Neurosci* 68, 9 49 41-12, doi:10.1002/0471142301.ns0949s68 (2014).
- Breton-Provencher, V. & Sur, M. Active control of arousal by a locus coeruleus
  GABAergic circuit. *Nat Neurosci* 22, 218-228, doi:10.1038/s41593-018-0305-z (2019).
- 91164Privitera, M. et al. A complete pupillometry toolbox for real-time monitoring of locus<br/>coeruleus activity in rodents. Nat Protoc 15, 2301-2320, doi:10.1038/s41596-020-0324-6<br/>(2020).913(2020).
- 65 Abernathy, K., Chandler, L. J. & Woodward, J. J. Alcohol and the prefrontal cortex. *Int Rev Neurobiol* 91, 289-320, doi:10.1016/S0074-7742(10)91009-X (2010).
- 66 Kohn, A., Coen-Cagli, R., Kanitscheider, I. & Pouget, A. Correlations and Neuronal
  Population Information. *Annu Rev Neurosci* 39, 237-256, doi:10.1146/annurev-neuro070815-013851 (2016).
- 67 Cohen, M. R. & Kohn, A. Measuring and interpreting neuronal correlations. *Nat Neurosci*920 14, 811-819, doi:10.1038/nn.2842 (2011).
- Musall, S., Kaufman, M. T., Juavinett, A. L., Gluf, S. & Churchland, A. K. Single-trial neural dynamics are dominated by richly varied movements. *Nat Neurosci* 22, 1677-1686, doi:10.1038/s41593-019-0502-4 (2019).

- 69 Vinck, M., Batista-Brito, R., Knoblich, U. & Cardin, J. A. Arousal and locomotion make
  925 distinct contributions to cortical activity patterns and visual encoding. *Neuron* 86, 740-754,
  926 doi:10.1016/j.neuron.2015.03.028 (2015).
- 70 Kuchibhotla, K. V. *et al.* Parallel processing by cortical inhibition enables context928 dependent behavior. *Nat Neurosci* 20, 62-71, doi:10.1038/nn.4436 (2017).
- 71 Knoblich, U., Huang, L., Zeng, H. & Li, L. Neuronal cell-subtype specificity of neural synchronization in mouse primary visual cortex. *Nat Commun* 10, 2533, doi:10.1038/s41467-019-10498-1 (2019).
- Huda, R. *et al.* Distinct prefrontal top-down circuits differentially modulate sensorimotor
  behavior. *Nat Commun* 11, 6007, doi:10.1038/s41467-020-19772-z (2020).
- 934 73 O'Banion, C. P. & Yasuda, R. Fluorescent sensors for neuronal signaling. *Curr Opin*935 *Neurobiol* 63, 31-41, doi:10.1016/j.conb.2020.02.007 (2020).
- 936 74 O'Herron, P., Summers, P. M., Shih, A. Y., Kara, P. & Woodward, J. J. In vivo two-photon imaging of neuronal and brain vascular responses in mice chronically exposed to ethanol.
  938 *Alcohol* 85, 41-47, doi:10.1016/j.alcohol.2019.12.001 (2020).
- Ye, L. *et al.* Ethanol abolishes vigilance-dependent astroglia network activation in mice by
  inhibiting norepinephrine release. *Nat Commun* 11, 6157, doi:10.1038/s41467-020-194755 (2020).
- Juczewski, K., Koussa, J. A., Kesner, A. J., Lee, J. O. & Lovinger, D. M. Stress and behavioral correlates in the head-fixed method: stress measurements, habituation dynamics, locomotion, and motor-skill learning in mice. *Sci Rep* 10, 12245, doi:10.1038/s41598-020-69132-6 (2020).
- 946 77 Stowell, R. D. *et al.* Noradrenergic signaling in the wakeful state inhibits microglial
  947 surveillance and synaptic plasticity in the mouse visual cortex. *Nat Neurosci*,
  948 doi:10.1038/s41593-019-0514-0 (2019).
- Jun, J. J. *et al.* Fully integrated silicon probes for high-density recording of neural activity. *Nature* 551, 232-236, doi:10.1038/nature24636 (2017).
- Gilpin, N. W., Herman, M. A. & Roberto, M. The central amygdala as an integrative hub 951 79 disorders. 952 for anxiety and alcohol use Biol *Psychiatry* 77, 859-869, doi:10.1016/j.biopsych.2014.09.008 (2015). 953
- 80 Corbit, L. H. & Janak, P. H. Habitual Alcohol Seeking: Neural Bases and Possible
  955 Relations to Alcohol Use Disorders. *Alcohol Clin Exp Res* 40, 1380-1389,
  956 doi:10.1111/acer.13094 (2016).
- 81 Silberman, Y. *et al.* Neurobiological mechanisms contributing to alcohol-stress-anxiety
  958 interactions. *Alcohol* 43, 509-519, doi:10.1016/j.alcohol.2009.01.002 (2009).
- Becker, H. C., Lopez, M. F. & Doremus-Fitzwater, T. L. Effects of stress on alcohol drinking: a review of animal studies. *Psychopharmacology (Berl)* 218, 131-156, doi:10.1007/s00213-011-2443-9 (2011).
- 83 Hopf, F. W. & Lesscher, H. M. Rodent models for compulsive alcohol intake. *Alcohol* 48, 253-264, doi:10.1016/j.alcohol.2014.03.001 (2014).
- 964 84 Vendruscolo, L. F. *et al.* Corticosteroid-dependent plasticity mediates compulsive alcohol
  965 drinking in rats. *J Neurosci* 32, 7563-7571, doi:10.1523/JNEUROSCI.0069-12.2012
  966 (2012).
- 967 85 Hangya, B., Ranade, S. P., Lorenc, M. & Kepecs, A. Central Cholinergic Neurons Are
  968 Rapidly Recruited by Reinforcement Feedback. *Cell* 162, 1155-1168,
  969 doi:10.1016/j.cell.2015.07.057 (2015).

- Janssen, P. & Shadlen, M. N. A representation of the hazard rate of elapsed time in macaque area LIP. *Nat Neurosci* 8, 234-241, doi:10.1038/nn1386 (2005).
- Seiler, J. L., Cosme, C. V., Sherathiya, V. N., Bianco, J. M. & Lerner, T. N. Dopamine
  Signaling in the Dorsomedial Striatum Promotes Compulsive Behavior. *bioRxiv*,
  2020.2003.2030.016238, doi:10.1101/2020.03.30.016238 (2020).
- 88 Tu, Y. *et al.* Ethanol inhibits persistent activity in prefrontal cortical neurons. *J Neurosci*976 27, 4765-4775, doi:10.1523/JNEUROSCI.5378-06.2007 (2007).
- Morningstar, M. D., Linsenbardt, D. N. & Lapish, C. C. Ethanol Alters Variability, But
  Not Rate, of Firing in Medial Prefrontal Cortex Neurons of Awake-Behaving Rats. *Alcohol Clin Exp Res* 44, 2225-2238, doi:10.1111/acer.14463 (2020).
- 980 90 Khan, A. G. *et al.* Distinct learning-induced changes in stimulus selectivity and interactions
  981 of GABAergic interneuron classes in visual cortex. *Nat Neurosci* 21, 851-859,
  982 doi:10.1038/s41593-018-0143-z (2018).
- 983 91 Cardin, J. A. Inhibitory Interneurons Regulate Temporal Precision and Correlations in 984 Cortical Circuits. *Trends Neurosci* **41**, 689-700, doi:10.1016/j.tins.2018.07.015 (2018).
- 985 92 Lee, S., Kruglikov, I., Huang, Z. J., Fishell, G. & Rudy, B. A disinhibitory circuit mediates
  986 motor integration in the somatosensory cortex. *Nat Neurosci* 16, 1662-1670,
  987 doi:10.1038/nn.3544 (2013).
- 98 93 Pi, H. J. *et al.* Cortical interneurons that specialize in disinhibitory control. *Nature* 503, 521-524, doi:10.1038/nature12676 (2013).
- 990 94 Pfeffer, C. K., Xue, M., He, M., Huang, Z. J. & Scanziani, M. Inhibition of inhibition in visual cortex: the logic of connections between molecularly distinct interneurons. *Nat Neurosci* 16, 1068-1076, doi:10.1038/nn.3446 (2013).
- 95 Centanni, S. W., Burnett, E. J., Trantham-Davidson, H. & Chandler, L. J. Loss of delta964 GABAA receptor-mediated tonic currents in the adult prelimbic cortex following
  995 adolescent alcohol exposure. *Addict Biol* 22, 616-628, doi:10.1111/adb.12353 (2017).
- 996 96 Pleil, K. E. *et al.* Effects of chronic ethanol exposure on neuronal function in the prefrontal
  997 cortex and extended amygdala. *Neuropharmacology* 99, 735-749,
  998 doi:10.1016/j.neuropharm.2015.06.017 (2015).
- 999 97 Dao, N. C., Brockway, D. F., Nair, M. S. & Crowley, N. A. Bi-directional control of a
  prelimbic somatostatin microcircuit decreases binge alcohol consumption. *bioRxiv*,
  2020.2011.2027.400465, doi:10.1101/2020.11.27.400465 (2020).
- 1002 98 Joffe, M. E., Winder, D. G. & Conn, P. J. Contrasting sex-dependent adaptations to synaptic physiology and membrane properties of prefrontal cortex interneuron subtypes in 1003 drinking. mouse model of binge Neuropharmacology 178. 108126. 1004 a doi:10.1016/j.neuropharm.2020.108126 (2020). 1005
- 1006 99 Nimitvilai, S., Lopez, M. F. & Woodward, J. J. Effects of monoamines on the intrinsic excitability of lateral orbitofrontal cortex neurons in alcohol-dependent and non-dependent female mice. *Neuropharmacology* 137, 1-12, doi:10.1016/j.neuropharm.2018.04.019 (2018).
- 100 Aston-Jones, G., Foote, S. L. & Bloom, F. E. Low doses of ethanol disrupt sensory responses of brain noradrenergic neurones. *Nature* 296, 857-860, doi:10.1038/296857a0 (1982).
- 1013 101 Berridge, C. W. Noradrenergic modulation of arousal. *Brain Res Rev* 58, 1-17, doi:10.1016/j.brainresrev.2007.10.013 (2008).

- 1015 102 Kashem, M. A. *et al.* Actions of alcohol in brain: Genetics, Metabolomics, GABA
  1016 receptors, Proteomics and Glutamate Transporter GLAST/EAAT1. *Curr Mol Pharmacol*, 1017 doi:10.2174/1874467213666200424155244 (2020).
- Harrison, N. L. *et al.* Effects of acute alcohol on excitability in the CNS.
   *Neuropharmacology* 122, 36-45, doi:10.1016/j.neuropharm.2017.04.007 (2017).
- 1020 104 Gutman, A. L. & Taha, S. A. Acute ethanol effects on neural encoding of reward size and delay in the nucleus accumbens. *J Neurophysiol* 116, 1175-1188, doi:10.1152/jn.00204.2014 (2016).
- 1023 105 Xu, M. & Woodward, J. J. Ethanol inhibition of NMDA receptors under conditions of altered protein kinase A activity. *J Neurochem* 96, 1760-1767, doi:10.1111/j.1471-1025
  4159.2006.03703.x (2006).
- 106 Adermark, L. & Lovinger, D. M. Ethanol effects on electrophysiological properties of astrocytes in striatal brain slices. *Neuropharmacology* 51, 1099-1108, doi:10.1016/j.neuropharm.2006.05.035 (2006).
- 1029 107 Crews, F. T., Morrow, A. L., Criswell, H. & Breese, G. Effects of ethanol on ion channels.
   1030 *Int Rev Neurobiol* 39, 283-367, doi:10.1016/s0074-7742(08)60670-4 (1996).
- 1031 108 Avchalumov, Y. *et al.* Chronic ethanol exposure differentially alters neuronal function in
  1032 the medial prefrontal cortex and dentate gyrus. *Neuropharmacology*, 108438,
  1033 doi:10.1016/j.neuropharm.2020.108438 (2020).
- 1034 109 Pati, D. *et al.* Chronic intermittent ethanol exposure dysregulates a GABAergic
   1035 microcircuit in the bed nucleus of the stria terminalis. *Neuropharmacology*, 107759, doi:10.1016/j.neuropharm.2019.107759 (2019).
- 1037 110 Renteria, R., Cazares, C. & Gremel, C. M. Habitual Ethanol Seeking and Licking
   1038 Microstructure of Enhanced Ethanol Self-Administration in Ethanol-Dependent Mice.
   1039 Alcohol Clin Exp Res 44, 880-891, doi:10.1111/acer.14302 (2020).
- 1040 111 Pachitariu, M. *et al.* Suite2p: beyond 10,000 neurons with standard two-photon 1041 microscopy. *Biorxiv* (2017).
- 1042 112 Chen, T.-W. *et al.* Ultrasensitive fluorescent proteins for imaging neuronal activity. *Nature*1043 499, 295, doi:10.1038/nature12354 (2013).