1 Interferon signaling suppresses the unfolded protein response and induces cell death in

2 hepatocytes accumulating hepatitis B surface antigen

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- 4 Short title: IFNs induce cell death in hepatocytes accumulating hepatitis B surface antigen
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47 Abstract

Virus infection, such as hepatitis B virus (HBV), often causes endoplasmic reticulum (ER) 48 49 stress. The unfolded protein response (UPR) is counteractive machinery to ER stress, and the failure of UPR to cope with ER stress results in cell death. Mechanisms that regulate the 50 51 balance between ER stress and UPR in HBV infection is poorly understood. Type 1 and type 52 2 interferons have been implicated in hepatic flares during chronic HBV infection. Here, we 53 examined the interplay between ER stress, UPR, and IFNs using transgenic mice that express 54 hepatitis B surface antigen (HBsAg) (HBs-Tg mice) and humanized-liver chimeric mice 55 infected with HBV. IFNa causes severe and moderate liver injury in HBs-Tg mice and HBV 56 infected chimeric mice, respectively. The degree of liver injury is directly correlated with 57 HBsAg levels in the liver, and reduction of HBsAg in the transgenic mice alleviates IFNa 58 mediated liver injury. Analyses of total gene expression and UPR biomarkers' protein 59 expression in the liver revealed that UPR is induced in HBs-Tg mice and HBV infected 60 chimeric mice, indicating that HBsAg accumulation causes ER stress. Notably, IFNa 61 administration transiently suppressed UPR biomarkers before liver injury without affecting 62 intrahepatic HBsAg levels. Furthermore, UPR upregulation by glucose-regulated protein 78 63 (GRP78) suppression or low dose tunicamycin alleviated IFNa mediated liver injury. These 64 results suggest that IFNa induces ER stress-associated cell death by reducing UPR. IFNy uses the same mechanism to exert cytotoxicity to HBsAg accumulating hepatocytes. 65 66 Collectively, our data reveal a previously unknown mechanism by which IFNs selectively 67 induce cell death in virus-infected cells. This study also identifies UPR as a potential target 68 for regulating ER stress-associated cell death.

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71 Author summary

72 Hepatitis B virus (HBV) causes acute and chronic infections that kill over 600,000 people 73 every year from severe hepatitis, liver cirrhosis, and cancer. Mechanisms of chronic liver 74 injury remain largely unknown. Both type 1 and type 2 interferons (IFNs) have been 75 implicated in hepatic flares during chronic HBV infection, although HBV per se is a poor 76 IFN inducer. In addition, while IFN α , a type 1 IFN, used to be the first-line treatment for 77 chronic hepatitis B (CHB) patients, adverse side effects, including hepatic flares, severely 78 limit their therapeutic effectiveness. These clinical observations suggest a pathogenic role of IFNs in HBV infection. Here, we demonstrate that IFN-1s cause severe and moderate 79 80 hepatitis in transgenic mice expressing hepatitis B surface antigen (HBs-Tg mice) and human 81 hepatocyte chimeric mice infected with HBV, respectively. HBsAg accumulation appears to 82 cause ER stress because a counteractive response to ER stress, namely, unfolded protein 83 response (UPR), was induced in both HBs-Tg mice and HBV infected chimeric mice. Our 84 results indicate that IFN-1s suppress UPR before causing liver injury. UPR was also 85 suppressed by IFN γ . Induction of UPR in HBs-Tg mice before treatment with IFN α and IFN γ 86 significantly alleviated liver injury. We suggest that IFNs exert cytotoxicity to ER stress 87 accumulating cells by suppressing UPR.

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89 Keywords

90 Interferons, ER stress, unfolded protein response, cell death, hepatitis B virus, surface
91 antigen, liver injury

92 Introduction

93	Interferons (IFNs) play a critical role in host defense against pathogens, particularly
94	viruses, by activating the expression of hundreds of genes that exert antiviral activity [1].
95	IFNs also cause immunopathology during viral infections [2,3]. The basis behind the IFN-
96	mediated immunopathology has yet to be fully elucidated but appears to include
97	phosphorylation of eukaryotic initiation factor-2 α (eIF-2 α), activation of RNase L, and
98	induction of nitric oxide synthase (iNOS) [1,2]. Little is known about whether and how
99	infected cells are preferentially sensitized to IFN-mediated cell death.
100	The endoplasmic reticulum (ER) is responsible for much of a cell's protein synthesis
101	and folding. An imbalance between the protein-folding load and the capacity of the ER
102	causes unfolded or misfolded proteins to accumulate in the ER lumen, resulting in ER stress
103	[4,5]. To cope with ER stress, a protective mechanism called the unfolded protein response
104	(UPR) is activated to reduce protein synthesis and/or enhance degradation, folding, and
105	secretion of the offending proteins [4,5]. UPR has been linked to various pathological states,
106	including malignancies, neurodegenerative, storage, metabolic, and infectious diseases [6-
107	11]. While unmitigated ER stress leads to cell death [12], it is unclear whether the
108	concomitant UPR plays a pro- or anti-cell survival role in such cases. Maladaptation of UPR
109	as the underlying pathogenic mechanism has been poorly studied, particularly in highly
110	secretory organs such as the liver and pancreas that are prone to ER stress [13]. Although
111	infections with several viruses have been reported to induce ER stress [14-19], the interplay
112	between ER stress, UPR, and IFN signaling has not been adequately interrogated.
113	Hepatitis B virus (HBV) causes acute and chronic liver disease. Patients with chronic
114	hepatitis B (CHB) often experience hepatic flares in association with hepatitis B surface
115	antigen (HBsAg) accumulation [20,21]. Factors that cause hepatic flares in CHB patients are

116	incompletely understood. IFN α , a type-1 IFN (IFN-1), is widely used as the first-line drug
117	for the treatment of chronic hepatitis B (CHB) [22]. High serum IFN α levels have been
118	reported in some CHB patients experiencing spontaneous hepatic flares [23] or antiviral
119	therapy withdrawal-associated hepatic flares [24]. However, the molecular mechanisms
120	behind the IFN-1 related liver injury largely remain obscure. In addition, CXCL10, an IFN γ
121	inducible chemokine, has been associated with disease progression [25] as well as HBsAg
122	clearance in CHB patients [26]. In this study, we examined the role of IFNs in liver injury
123	associated with ER stress using transgenic mice that express HBsAg in the liver (HBs-Tg
124	mice) and HBV-infected humanized-liver chimeric mice. Our data suggest that IFNs

125 selectively causes cell death in hepatocytes under ER stress by perturbing UPR.

126 **Results**

127 Type-1 IFNs induce liver injury in association with HBsAg-retention.

128 To investigate the role of IFN-1 signaling in liver injury associated with intrahepatic 129 HBsAg accumulation, we used HBs-Tg mice (lineage 107-5D) that produce HBsAg [27]. 130 Groups of 3-4 HBs-Tg mice or their non-transgenic littermates (WT) were intravenously 131 injected with the IFN-1 inducer poly I:C or saline. Liver injury was monitored by measuring serum ALT activity (sALT) on days 1, 3, and 7 after treatment. As shown in Fig 1A, sALT 132 133 was markedly elevated in the HBs-Tg mice, peaking on day 1 after poly I:C treatment, but 134 not in the WT mice. We also examined whether poly I:C treatment could induce sALT 135 elevation in HBV-replication-competent transgenic (HBV-Tg) mice (Lineage 1.3.32) [28]. 136 As shown in Fig 1B, HBV-Tg mice readily secrete HBsAg, retaining approximately 100-fold 137 less HBsAg in the liver compared with the HBs-Tg mice. Interestingly, no ALT elevation 138 occurred in the HBV-Tg mice after poly I:C treatment (Fig 1C). Taken together, these results 139 suggest that poly I:C-induced liver injury is associated with marked intrahepatic HBsAg 140 accumulation.

141 Fig 1. Poly I:C induces liver injury in association with HBsAg-retention. (A) Serum

142 ALT levels measured on days 0, 1, 3, and 7 after PBS (white bars) or poly I:C (10 µg) (red

143 bars) treatment in HBs-Tg mice (left graph) and WT mice (right graph). (B) Comparison of

144 HBsAg expression levels between HBs-Tg (Lineage 107-5D: white bars) and HBV-Tg mice

145 (Lineage 1.3.32: grey bars) in the serum (left graph) and the liver (right graph). (C) Serum

146 ALT levels in HBs-Tg mice and HBV-Tg mice 24 hours after intravenous injection of PBS or

147 poly I:C. Mean values +/- s.d of pooled data from 3 independent experiments are shown.

149	To examine the role of IFN-1 signaling in the poly I:C induced liver injury in HBs-Tg
150	mice, groups of HBs-Tg mice (n=4) were treated with anti-IFN α/β receptor 1 (IFN $\alpha\beta$ R1)
151	antibody that blocks IFN-1 signaling or a control antibody and then injected with poly I:C 24
152	hours later. The impact of IFN-1 signaling blockade was evaluated by monitoring sALT
153	activity. As shown in Fig 2A, anti-IFN $\alpha\beta$ R1 antibody but not the control antibody treatment
154	prevented sALT elevation, indicating that the liver injury induced after poly I:C treatment in
155	HBs-Tg mice was dependent on IFN-1 signaling. To confirm that IFN-1 induces liver injury,
156	groups of HBs-Tg mice (n=3) and control mice (n=3) were intravenously injected with 5
157	million units (MU)/kg of recombinant mouse IFN α , and sALT was monitored on days 1, 3,
158	and 7. As expected, IFN α induced sALT elevation in the HBs-Tg mice, peaking on day 1 and
159	receding towards baseline on day 3. No sALT elevation occurred in the normal mice (Fig
160	2B). Interestingly, liver immunohistochemical analysis showed almost no inflammatory cell
161	infiltration at 24 hours despite severe sALT elevation, suggesting a sterile nature of IFN α
162	mediated cell-death (Fig 2C). When apoptosis was examined by TUNEL staining at 16 hours
163	after IFN α , a relatively small fraction of TUNEL positive hepatocytes were found scattered
164	throughout the parenchyma (Fig 2D).

165 Fig 2. IFN-1s trigger HBsAg-associated liver injury in the absence of inflammatory cell

166 **infiltration.** (A) Isotype control antibodies (250 μg: red bars) or anti-IFNαβR1 (250 μg:

167 black bars) were intraperitoneally injected into HBs-Tg mice (n=3-4) 24 hours before poly

168 I:C treatment. ALT levels on days 0, 1, 3, and 7 after poly I:C treatment are shown. (B)

169 Serum ALT values in HBs-Tg (left graph) and WT mice (right graph) on days 0, 1, 3, and 7

- 170 after intravenous injection of PBS (white bars) or IFNα (5 million units (MU)/kg: blue bars).
- 171 (C) Histological characteristics after IFNα injection in HBs-Tg mice. The panels show
- 172 representative Hematoxylin and Eosin staining at 24 hours after IFNα. (D) TUNEL staining

at 16 hours after IFNα treatment. The arrows show representative apoptotic cells in HBs-Tg
mice.

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176	To examine the impact of HBsAg reduction on IFN-mediated liver injury, HBs-Tg
177	mice were injected by siRNA targeting HBV (siHBV) that were shown to reduce HBV
178	mRNA in HBV-infected human hepatocyte chimeric mice [29,30] or control siRNA, and
179	then intrahepatic HBsAg and HBV mRNA levels were determined 4 days later (S1A Fig). As
180	shown in S1B Fig, siHBV had no discernable effect on HBsAg, although it strongly
181	suppressed HBV mRNA expression (S1C Fig), indicating the stable nature of intracellular
182	HBsAg. To induce hepatocyte turnover, we adoptively transferred HBsAg-specific T-cells
183	that were shown to induce severe hepatitis in HBs-Tg mice [31] to groups of HBs-Tg mice
184	(n=4) seven days before injecting siHBV or siControl. As shown in Fig 3B, HBsAg was
185	significantly reduced on day 7 after siHBV treatment when hepatocyte turnover was
186	previously induced. These mice were then injected intravenously with recombinant mouse
187	IFN α on day 7 after siHBV treatment. A day after IFN α injection (day 8), serum ALT levels
188	were significantly reduced in siHBV treated mice compared with their siControl treated
189	littermates (sALT 425±103 vs. 4227±2533, p=0.027) (Fig 3C). These results indicate that
190	IFN α mediated liver injury can be prevented by the reduction of intrahepatic HBsAg levels.

191 Fig 3. Reduction of intrahepatic HBsAg alleviates IFN mediated liver injury. (A)

Experimental design. HBs-Tg mice were adoptively transferred with HBsAg-specific effector
CD8 T cells on day 7 day before siRNA treatment. On day 7 after siRNA treatment, mice

194 were intravenously injected with IFNa (5 MU/kg) and, on day 8, monitored for sALT levels.

(B) Suppression of intrahepatic HBsAg expression before IFNα treatment (day 7 after siRNA
treatment). (C) Serum ALT levels before (day 7) and after (day 8) IFNα treatment.

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198 IFN-1 signaling perturbs UPR in association with liver injury in HBs-Tg mice.

199 To elucidate the molecular mechanism by which IFN-1 induces liver injury in HBs-200 Tg mice, we serially profiled the liver transcriptomes of both HBs-Tg and control mice 201 treated with IFN α by microarray analyses. We especially searched for distinct genes whose 202 expression correlated with the IFNa mediated liver injury in HBs-Tg mice. To do so, snap-203 frozen liver samples were obtained from groups of four HBs-Tg and control mice sacrificed 204 prior to (0 hour) and 2, 4, 8, and 24 hours after treatment with 5 MU/kg of IFNa or vehicle. 205 Liver injury progression was also monitored at these time points using sALT. As shown in 206 Fig 4A, a significant sALT increase was observed in HBs-Tg mice from 8 hours post-IFN 207 treatment and peaked at 24 hours (3758 ± 649 U/L). As expected, no sALT elevation 208 occurred in the WT mice. Cluster and enrichment analyses were performed on the 209 microarray data for the selected period prior and up to the onset of liver injury, i.e., 0, 2, 4, 210 and 8-hour time-points. As shown in Figs 4B and 4C, six clusters showing distinct gene 211 expression profiles were identified. Many ISGs could be found in TLR signaling and 212 chemokine signaling pathways of Cluster 6 (Fig 4C), which were induced similarly between HBs-Tg and WT mice (Figs 4B and 4C). Cluster 3 genes were upregulated in HBs-Tg mice 213 214 before IFN treatment, and as ISGs were induced, they were downregulated in both HBs-Tg 215 and WT mice up to 4 hours (Fig 4B), suggesting a suppressive effect of ISGs on these genes. 216 Interestingly, while the expression of these genes rebounded in WT mice, they remained 217 downregulated in HBs-Tg mice at 8 hours after IFN treatment. Importantly, Cluster 3 218 includes genes related to protein processing in the endoplasmic reticulum (Fig 4C), raising

219 the possibility that IFNa induced liver injury in HBs-Tg mice by modulating intrahepatic 220 UPR. To test this, the correlation between liver injury, IFN-1 signaling, and UPR-related 221 molecule expression was further examined. Intrahepatic induction of interferon-stimulated 222 genes (ISGs), UPR biomarkers such as spliced X-box binding protein-1 (XBP1s) 223 phosphorylated- PKR-like ER kinase (phos-PERK) and C/EBP homologous protein (CHOP) 224 (Fig 4D) were assessed by western blot. As shown in S2A Fig, non-treated HBs-Tg mice 225 showed higher baseline expression of XBP-1s, phos-PERK, and CHOP in association with 226 moderate liver injury (sALT 100-300 U/L) than non-treated WT mice (S2B Fig), suggesting 227 that HBsAg accumulation triggered UPR activation in association with mild spontaneous 228 hepatitis. Interestingly, as shown in Fig 4E, the expression of XBP1-s and phos-PERK were 229 transiently suppressed during ISG induction, in concordance with the mRNA levels 230 represented in Cluster 3 of the microarray data (Figs 4B and 4C). As XBP1s and phos-PERK 231 returned towards baseline levels, CHOP began to increase markedly in HBs-Tg mice from 8 232 hours and peaked at 24 hours in direct correlation with sALT. An ER chaperone molecule, 233 glucose-regulated protein 78 (GRP78) was also upregulated in HBs-Tg mice at 24hours (Fig 234 4E), in association with peak liver injury (Fig 4A). The aforementioned changes were not 235 observed in WT mice, although XBP1 expression was modestly reduced immediately after 236 IFNa treatment (Fig 4E). These data suggest that IFN-signaling induces liver injury in HBs-237 Tg mice in association with UPR modulation.

Fig 4. IFN-1 signaling perturbs UPR in association with liver injury in HBs-Tg mice.

239 (A) Liver injury progression after IFN α treatment. The graph shows sALT levels in WT

240 mice (white bars) and HBs-Tg mice (black bars) after IFNa treatment (5MU/kg). Mean

241 values +/- s.d of pooled data from 3 independent experiments are shown. (B) Differentially

- 242 expressed genes (DEGs) in the microarray data of HBs-Tg and WT mice after IFN α
- treatment. Each of the 6 graphs shows a distinct cluster identified using microarray time-

course-specific maSigPro [32] software. (C) List of gene pathways selectively enriched in the
6 clusters. (D) A schematic diagram showing simplified unfolded response pathways. (E)
Representative immunoblots showing UPR-biomarker protein expression in HBs-Tg mice
(left panel) and WT mice (right panel) at specified time points after IFN α treatment.
IFN α induces liver injury in HBV infected human chimeric mice in association with
XBP1 suppression.
To examine the effect of IFN α treatment on UPR in HBV infected human liver, we
used human liver chimeric mice, i.e., uPA-SCID mice whose livers had been repopulated
with human hepatocytes as previously described [33]. We first compared circulating and
intracellular HBsAg levels between HBV-Tg mice, HBs-Tg mice, and chimeric mice infected
with HBV for 6 weeks. As shown in S3 Fig, HBV infected chimeric mice secreted more
HBsAg than HBV-Tg mice (>10-fold difference) and HBs-Tg mice (>100-fold difference)
(S3A Fig) but still retained more HBsAg in the liver than 1.3.32 HBV-Tg mice (S3B Fig).
Next, groups of nine chimeric mice were infected with HBV (genotype C isolate,
C2_JPNAT, from a chronic HBV patient[33]), and after the establishment of persistent
infection (6-8 weeks after HBV inoculation), the mice were intravenously administered with
25 ng/g of pegylated-human IFN α (PEG-hIFN α), and then sacrificed after 8 and 24 hours
(n=3 per group) (Fig 5A) to examine the correlation between liver injury (sALT) (Fig 5B),
IFN-1 signaling, and UPR-related molecules measured by quantitative-PCR (Fig 5C) and
western blot (Fig 5D). Non-infected, non-treated control chimeric mice (n=2) were also
sacrificed and included in the analyses. Before PEG-hIFN α treatment, HBV infected
chimeric mice showed slightly higher sALT activity than untreated mice (Fig 5B, mean 268
vs. 130 U/L, p=0.001) in association with modest intrahepatic upregulation of UPR

268 molecules including XBP1s and PERK (Fig 5C). Importantly, sALT levels increased in HBV 269 infected chimeric mice at 8 (724 \pm 159 U/L) and 24 hours (616 \pm 26 U/L) after PEG-hIFN α 270 treatment (Fig 5B) in association with an increased level of ISG15 expression and reduced 271 levels of UPR-related molecules compared to non-treated HBV infected mice (Fig 5C and 272 5D). Intracellular HBsAg levels were mostly unchanged during the 24-hour period (Fig 5D), 273 suggesting that the suppression of UPR-related molecules, including XBP1s, was not due to a 274 reduction of HBV surface antigens. Collectively, these results indicate that bona fide HBV 275 infection could induce UPR in human hepatocytes, and IFN-1 signaling induces liver injury 276 in association with UPR suppression. 277 Fig 5. IFNa induces liver injury in HBV infected human chimeric mice in association 278 with XBP1 suppression. (A) Experimental design. HBV infected mice were injected with 25 279 ng/g pegylated IFN α -2a and then sacrificed at specified time points (n=3 per time point). (B) 280 Liver injury after IFN treatment in HBV infected chimeric mice. The graph shows serum 281 ALT levels at baseline (0 h), 8, and 24 hours after IFN treatment (black bars) compared with 282 non-infected non-treated chimeric mice (white bars). Data are shown as mean values +/- s.d. 283 (C) Messenger RNA expression levels of UPR biomarkers after IFN treatment. Levels of 284 UPR related molecule mRNA expression determined by quantitative PCR. (D) Association 285 between XBP, ISG15, and HBV surface antigen (HBsAg) after IFN treatment. 286 Representative immunoblots showing UPR biomarkers XBP1, ISG15, and HBsAg levels at 287 0, 8, and 24 hours after IFN treatment. 288 289 IFNs exert direct cytotoxicity to HBsAg accumulating hepatocytes and downregulate

290 UPR.

291 To dissect the mechanism of the IFN α -mediated liver injury, we established a 292 primary mouse hepatocyte (PMH) culture system amenable to knockdown experiments. 293 Primary hepatocytes from both HBs-Tg and WT mice were treated with IFNa or medium, 294 and then cytotoxicity and UPR-related protein expression were assessed 8, 24, and 72 hours 295 later (Fig 6A). The degree of cytotoxicity was analyzed by calculating the percentage of 296 specific cell death based on LDH activity in the supernatant as described in the Materials and 297 Methods. As shown in Fig 6B, cytotoxicity was clearly observed at 72 hours after IFNa 298 treatment in the HBs-Tg PMHs but not in the WT PMHs, indicating that IFNa is directly and 299 specifically cytotoxic to HBsAg accumulating hepatocytes. Interestingly, UPR markers such 300 as XBP1s, phosho-PERK, and CHOP expression were upregulated from 24 hours, and then 301 diminished at 72 hours coinciding with ISG induction and cytotoxicity. Furthermore, GRP78 302 was upregulated at 72 hours in association with cytotoxicity. No UPR modulation was 303 observed in the WT PMHs (Fig 6C). These in vitro data closely recapitulate our observations 304 in vivo despite the slower temporal dynamics of ISG induction in vitro and lower baseline 305 UPR in HBsAg PMHs. To determine whether the observed suppressive effect of IFN α on 306 UPR was a general occurrence or restricted to the HBs-Tg model, we tested the impact of 307 IFN-1 signaling on UPR that was chemically induced by thapsigargin in normal PMHs at 6 308 and 12 hours (Fig 6D). Interestingly, thapsigargin-induced Perk and CHOP but not XBP1s 309 expression were clearly suppressed by IFN α treatment at both time points (Fig 6E), 310 suggesting that UPR suppression by IFN α was in part a general phenomenon.

311 Fig 6. IFNa exerts direct cytotoxicity to HBsAg accumulating hepatocytes and

312 downregulate UPR. (a-c) Effects of IFN signaling and UPR modulation on HBsAg

313 accumulating hepatocytes in vitro. (A) Experimental design. (B) LDH levels in the

314 supernatant of PMH culture were measured at indicated time points after adding medium

315	(white bars) or IFN α (0.1MU/ml) (black bars). (C) The immunoblots of UPR related
316	molecules and ISG15 at specified time points after IFN α treatment. (D, E) IFN α suppresses
317	chemically induced UPR in vitro. (D) Experimental schema. (E) Representative
318	immunoblots show the effect of IFN α on UPR related-protein levels at 0, 6, and 12 hours
319	after treatment with thapsigargin.
320	
321	We also tested the direct effect of IFNy on hepatocytes accumulating HBsAg using
322	the previously described in-vitro culture system (S4A Fig), because IFNy has been reported
323	to induce liver injury in mice that retain HBsAg in the liver [31,34]. As expected, IFN γ
324	(10,000 U/ml) directly and specifically induced cell death in HBs-Tg PMHs (S4B Fig).
325	Again, UPR was upregulated at 24 hours and then downregulated at 72 hours in association
326	with cytotoxicity and GRP78 upregulation (S4C Fig), similar to IFN α .
327	GRP78 suppression reduces IFN-induced hepatocytotoxicity by upregulating UPR.
328	To determine the role of UPR related molecules in IFN α -mediated
329	hepatocytotoxicity, CHOP, GRP78 and XBP1 were downregulated by transfecting HBs-Tg
330	PMHs with target-specific siRNA or a scrambled siRNA control for 24 hours before addition
331	of IFN α (Fig 7A). Cytotoxicity was assessed by measuring secreted LDH levels in culture
332	supernatants 72 hours after IFN α addition. Surprisingly, suppression of GRP78 but not
333	CHOP or XBP1 reduced LDH (Fig 7B) in association with upregulation of UPR related
334	molecules, including PERK and XBP1s (Fig 7C). To determine whether the reduction in
335	IFN α cytotoxicity by siGRP78 was due to the UPR upregulation, GRP78 and the key UPR

337 cytotoxicity by siGRP78 was lost when XBP1 was co-suppressed (Figs 7D and 7E),

338 suggesting that UPR is required for the reduction of cytotoxicity by GRP78 suppression.

339 These results suggest that robust UPR activation by GRP78 suppression rescues HBsAg

340 accumulating hepatocytes from the cytotoxic effect of IFN α .

Fig 7. GRP78 suppression reduces IFNα-induced hepatocytotoxicity in association with

342 UPR upregulation. (A) Experimental design. Small interfering RNA (siRNA) targeting

343 CHOP, GRP78, XBP1, or control scramble siRNA (siControl) (15 µM) were transfected to

primary mouse hepatocytes (PMHs) from HBs-Tg and WT mice before IFNα treatment. (B)

LDH levels in the supernatant of cultured HBs-Tg PMHs after IFNα treatment following

346 knockdown of each UPR-related molecule by specific siRNA. (C) Representative

347 immunoblots showing UPR-related protein levels in the absence/presence of IFNα after

348 indicated specific target downregulation by siRNA. (D) LDH levels in the supernatant of

349 cultured HBs-Tg PMHs after IFN treatment following control, GRP78, and GRP78/XBP1 co-

350 suppression by siRNA. (E) UPR-related protein levels in the absence/presence of IFN α in

351 siControl, siGRP78, and siGRP78/siXBP1 suppressed HBs-Tg PMHs. Mean values +/- s.d of

352 pooled data from 2 independent experiments are shown.

353

354 UPR upregulation alleviates IFN-induced liver injury in HBs-Tg mice.

To determine whether GRP78 suppression could alleviate liver injury in HBs-Tg mice, we intravenously injected siRNA targeting GRP78 (siGRP78), or control siRNA, into groups of 3-4 HBs-Tg mice 4 days before IFN α treatment (Fig 8A). As shown in Fig 8B, siGRP78 treated mice exhibited 3-5-fold lower sALT levels after IFN α treatment compared to control siRNA treated mice (mean 7110 ± 42 U/L vs. 1610 ± 794 U/L, p=0.003). These 360 data suggest that robust UPR induction prevents IFN-induced liver injury in HBs-Tg mice. 361 Therefore, the impact of UPR augmentation on IFN-mediated liver injury was tested using a 362 chemical UPR inducer, tunicamycin. Groups of HBs-Tg and WT mice (n=3) were 363 intraperitoneally injected with a low dose of tunicamycin (0.1 mg/kg) or saline. Significant 364 UPR upregulation could be seen 4 hours after tunicamycin treatment (Fig 8C), at which time 365 point IFN α (5 MU/kg) or 50 ng α -Galactocsylceramide (α Gal; an IFN γ inducer) was 366 injected into these mice. The levels of sALT activity and intrahepatic UPR-related molecule 367 expression were measured 24 hours after IFN α or α Gal treatment. As shown in Fig 8D, 368 sALT elevation was almost completely blocked in the tunicamycin pre-treated animals 369 compared to the vehicle-treated control group after IFNa (3665±500 U/L vs. 207±73 U/L, 370 p<0.001). Tunicamycin treatment also suppressed IFNy-mediated liver injury in HBs-Tg 371 mice as tunicamycin treated mice showed up to a 6-fold reduction in sALT levels compared 372 to the controls after α Gal injection (Fig 8E; 12020±1100 U/L vs. 1940±388 U/L, p<0.001). 373 These data indicate that UPR augmentation rescues HBsAg accumulating cells from the 374 cytolytic effect of type I and type II IFNs. The data also suggest that IFN α and IFN γ utilize a 375 similar molecular mechanism to induce cell death in HBsAg accumulating hepatocytes (Fig 376 8F).

377 Fig 8. UPR upregulation alleviates IFN-induced liver injury in HBs-Tg mice. (A)

378 Experimental design to test the effect of GRP78 suppression on IFN-induced liver injury. (B)

379 sALT levels at 24 hours after IFNα injection in siGRP78 treated HBs-Tg mice compared

380 with controls. (C) Experimental design to test the effect of tunicamycin administration

- 381 (0.1mg/kg) on IFN-induced liver injury. IFN α (5 MU/kg) or α -Galactocsylceramide (α Gal)
- 382 (50ng/mouse) were intravenously injected to HBs-Tg mice at 4 hours after tunicamycin
- 383 administration. The immunoblots below show the intrahepatic level of UPR markers at

- indicated time points. (D) sALT levels at 24 hours after IFNα injection in tunicamycin
- 385 (TUN) treated HBs-Tg mice compared with controls. (E) sALT levels at 24 hours after αGal
- 386 injection in tunicamycin and vehicle-treated HBs-Tg mice. Mean values +/- s.d of pooled
- 387 data from 3 independent experiments are shown. (F) A schema depicting our hypotheses on
- 388 the effect of IFN-1 signaling on UPR to induce ER stress-related cell death in HBsAg
- accumulating hepatocytes.
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- 391

392 **Discussion**

393	In this study, we examined whether and how IFNs induce liver injury in association
394	with HBsAg accumulation in the liver. IFN α directly and specifically induced cell death in
395	HBsAg accumulating hepatocytes in association with suppression of the pro-survival UPR.
396	IFN γ appears to use the same mechanism to cause cellular damage. Importantly, UPR
397	augmentation significantly reduced the cytolytic effect of IFNs on HBsAg accumulating
398	hepatocytes. Our data highlights an as yet unknown characteristic of the IFN signaling-UPR
399	axis that potentially presents important targets for regulating ER-stress associated cell death.
400	The HBV envelope consists of three closely related envelope proteins: small (S),
401	middle (M), and large (L), all of which have identical C-terminal ends [35]. The large
402	envelope protein (LHBs) is filamentous and tends to accumulate in the ER [36]. Lineage 107-
403	5D transgenic mice used in this study produce LHBs predominantly, and were shown to be
404	exquisitely sensitive to IFN γ [31,34,37,38]. IFN γ was also shown to induce cell death in
405	oligodendrocytes that accumulate MHC-1 heavy chains [39]. However, little is known about
406	the mechanism by which IFN γ induces cell death in ER stress-accumulating cells. In contrast,
407	the impact of type I IFNs on ER stress-accumulating cells had not been examined. Due to
408	intracellular pattern recognition receptors (PRRs) such as RIG-I, IFN α can be specifically
409	induced by virus-infected cells [3]. Less understood was whether and how IFN α specifically
410	targets virus-infected cells to exert its antiviral activity. Although many viruses are shown to
411	induce ER stress and UPR [14–19], the interaction between IFNs, ER stress, and UPR
412	remains largely unexplored. To our knowledge, this is the first study that demonstrates the
413	direct and specific cytotoxicity of IFN α on ER stress-accumulating cells.
414	Importantly, the suppressive effect of IFN on UPR was evident not only in HBs-Tg

415 mice (Fig 4) and HBV infected chimeric mice (Fig 5), but also on chemically induced UPR

(Fig 6D and 6E). IFNa suppressed the expression of phosphorylated and unphosphorylated 416 417 PERK, which is a key UPR molecule presumed to suppress protein synthesis [40]. In HBs-418 Tg mice and HBV infected chimeric mice, IFN α also suppressed the expression of spliced 419 XBP1. XBP1 is a key transcription factor that binds to unfolded protein response elements 420 (UPRE) found in several genes encoding molecular chaperones and ER-associated 421 degradation (ERAD) [41]. IFNa did not suppress XBP1 in thapsigargin-treated PMHs. Of 422 note, thapsigargin treatment did not increase LDH in the supernatant, indicating that the 423 nature of ER stress caused by HBs-Tg mice and thapsigargin is very different. Previous 424 studies have shown that hepatic deficiency of PERK [42] or XBP1 [43,44] renders 425 hepatocytes hypersusceptible to ER stress-related cell death and disease. It is currently 426 unclear whether the suppression of these molecules is the primary cause triggering the 427 cascade of cell death after IFN treatment. Knockdown of the key UPR related molecules such 428 as XBP1 and PERK individually by siRNA did not induce cell death in the absence of IFNs. 429 It is possible that the simultaneous suppression of several UPR molecules or other unknown 430 factors induced by IFNs is required to initiate the cytolytic program. Alternatively, the 431 suppression of UPR by IFN signaling may be cytolytic only when GRP78 is highly 432 upregulated. Regardless, the current study demonstrated the detrimental effect of UPR 433 suppression on HBsAg accumulating hepatocytes.

GRP78 was upregulated after IFN treatment selectively in HBs-Tg mice, and its upregulation was clearly associated with liver injury. Because GRP78 regulates the UPR through direct interaction with ER stress sensors [45], it is reasonable that the upregulation of GRP78 results in reduced UPR. Paradoxically, the main function of GRP78 is thought to facilitate protein folding to reduce ER stress, and its expression is also induced by UPR stimulation [46]. Ample evidence suggests the critical role of GRP78 in cancer cell survival and proliferation. Site-specific deletion of GRP78 in the prostate epithelium suppresses

prostate tumorigenesis [47], and knockdown of GRP78 sensitizes various cancer cells to
chemotoxic, anti-hormonal, DNA damaging, and anti-angiogenesis agents [48]. In stark
contrast to these reports, more recent studies point to a pro-apoptotic role of GRP78
translocated to the cell surface. Ligation of a proapoptotic protein, prostate apoptosis
response-4 (Par-4), to GRP78 on cell surface induces apoptosis [49]. Experiments are
currently underway to test whether IFNs induce GRP78 translocation to the surface of
HBsAg accumulating hepatocytes.

448 CHOP does not seem to play a significant role in IFNa mediated cell death associated 449 with HBsAg accumulation in our setting (Fig 7B), although it was highly induced in direct 450 correlation with ALT elevation (Fig 4E). This observation appears to contradict the widely 451 accepted notion that CHOP sensitizes cells to ER stress-mediated death. For example, cells 452 lacking CHOP are significantly protected from the lethal consequences of ER stress [50,51]. 453 However, mouse embryonic fibroblasts (MEFs) derived from CHOP-knockout mice exhibit 454 only partial resistance to ER stress-driven apoptosis [50]. Furthermore, liver-specific CHOP 455 knockdown had no impact on liver damage associated with urokinase plasminogen activator (uPA) accumulation in the ER [52]. Thus, it is possible that the role of CHOP depends on the 456 457 organs and nature of ER stress.

458 Clinically, we do not know to what extent the observed phenomenon contributes to 459 the liver disease in HBV infected patients as HBV is a poor IFN-1-inducer [53]. However, 460 liver damage is one of the most common side effects of IFN α treatment [54]. In addition, 461 accumulating evidence suggests that ALT flares during chronic HBV infection are associated 462 with increases in serum IFN-1s [23,24]. Liver injury is often severe in CHB patients 463 superinfected with hepatitis C or hepatitis D viruses [55,56], both of which induce IFN-1s. 464 While activated NK, NKT, and T cells have been assumed responsible for the liver injury 465 associated with IFNs [23,24], the current study newly identifies UPR downregulation as a

466 potential mechanism of IFN-mediated hepatoxicity. One may argue that the levels of HBsAg 467 accumulation in the HBs-Tg mice cannot be attained in HBV infected patients. However, 468 some chronic hepatitis B patients, particularly those carrying HBV PreS1/PreS2 mutants [57-469 59], exhibit ground glass hepatocytes (GGHs), a manifestation of HBsAg accumulation in the 470 ER, and tend to develop hepatic flares. Immune suppressed CHB patients occasionally experience fibrosing cholestatic hepatitis, a severe form of hepatic flares, and exhibit GGHs 471 472 [20,21]. GGHs have been reported even in HBV-infected chimeric mice in association with 473 very high levels of intrahepatic HBV proteins and also mild hepatitis [60]. It is important to 474 point out that UPR was induced in HBV infected human hepatocytes in chimeric mice, and 475 UPR downregulation was observed in these mice after IFN α treatment, indicating that the 476 similar events observed in HBs-Tg mice could occur during bona fide HBV infection.

In conclusion, the results described herein suggest the previously unappreciated mechanism by which IFNs selectively induces cell death in ER stress accumulating cells. This mechanism may have evolved to selectively eliminate stressed cells due to virus infections or other causes. On the other hand, the same mechanism potentially induces chronic inflammation. Further studies are warranted to determine whether the IFN-UPR axis contributes to the development of other ER stress-associated chronic inflammatory diseases, such as alcoholic and non-alcoholic steatohepatitis, and neurodegenerative disorders.

484

485 Materials and Methods

486 **Ethics statement**

487 All experiments involving mice were performed in the Center for Experimental Animal
488 Science at Nagoya City University, following a protocol approved by the Institutional Animal

489 Care and Use Committee of the Nagoya City University Graduate School of Medical
490 Sciences (approved number: H30M 45).

491

492 Mouse models and treatments

493 Mouse care and experiments were performed at the Nagoya City University Center for 494 Experimental Animal Science following a protocol approved by the Institutional Animal Care 495 and Use Committee. Ten to twelve-week-old male mice were used in all the experiments. 496 HBV transgenic mice (Lineage 1.3.32) and HBs-Tg mice of the lineage 107-5D used in this 497 study were kindly provided by Dr. Francis V. Chisari. HBs-Tg mice produce filamentous 498 HBs proteins under the control of the albumin promoter, as previously described[27]. HBV-499 Tg mice produce infectious Dane particles and HBV subviral particles as described 500 previously [28]. Human hepatocyte chimeric mice were generated by repopulating the livers 501 of severe combined immunodeficient mice transgenic for the urokinase-type plasminogen activator gene (uPA^{+/+}/SCID^{+/+} mice) with human hepatocytes, and purchased from Phoenix 502 503 Bio Co., Ltd, (Hiroshima Japan). Chimeric mice were infected with HBV as previously 504 described ¹⁵, and 3-4 mice/group subcutaneously received 25ng/g of pegylated-human 505 interferon α (Peg-hIFN α -2a) (Hoffmann La Roche, Basel, Switzerland). To activate IFN-1 506 signaling, poly I:C (Sigma) (10 µg/mouse) was injected intravenously. To block type-1 IFN 507 signaling, 250µg anti-IFNαβR1 antibody (clone MAR1-5A3) or isotype control (IgG1) 508 (BioXcell, Lebanon, NH, USA) were injected intraperitoneally. To test the effect of IFNa, 5 509 million units per kg recombinant mouse IFN α (Miltenyi Biotec) were injected intravenously. 510 To upregulate UPR, 0.1mg/kg tunicamycin was injected intraperitoneally (Merck).

511

512 Serum ALT and HBsAg analyses.

513	Serum ALT was measured using the Dri-Chem 3500 analyzer according to the
514	manufacturer's instructions (Fuji, Tokyo, Japan). Serum HBsAg and intrahepatic HBsAg
515	were measured by a chemiluminescent enzyme immunoassay (CLEIA) using a
516	LumipulseG1200 analyzer (Fujirebio, Tokyo, Japan), as previously described [33].
517	
518	Detection of DNA fragmentation by TUNEL method.
519	Mouse livers were perfused with PBS, harvested in Zn-formalin and transferred into 70%
520	ethanol 24 hours later. Tissue was then processed, embedded in paraffin and stained as
521	previously described [61]. In situ apoptosis detection was carried out by using a Terminal
522	deoxynucleotidyl transferase dUTP nick end labelling (TUNEL) apoptosis kit, according to
523	the manufacturer's instructions (ab206386; Abcam Biotechnology, Cambridge, UK). The
524	samples were counterstained with Mayer's hematoxylin for the morphological evaluation and
525	characterization of normal and apoptotic cells.
526	
527	Adoptive HBsAg-specific T cell transfer and In vivo HBsAg suppression.

528 HBsAg-specific CD8+ T cells, derived from TCR transgenic mice (Env-28 specific, Lineage:

529 6C2.16) [62], were simulated in-vitro for one-week as previously described [31]. These cells

530 were intravenously injected into groups of HBs-Tg mice at a dose of 5×10^6 cells/mouse.

531 Groups of HBs-Tg mice were intravenously injected with a single dose (5mg/kg) cocktail of

532 HBV specific siRNA (si75, si251, si1803)[29,30,63] or Control siRNA complexed with a

533 pH-sensitive multifunctional envelope-type nanodevice (MEND) [29].

534

535 Immunoblots

536 Whole-cell extracts were obtained from liver tissue or cell pellets lysed in buffer (0.1%), 537 sodium dodecyl sulfate, 0.1% sodium deoxycholate, 1% IGEPAL) supplemented with 538 Protease and Phosphatase Inhibitor cocktails (Roche). Protein extracts were separated by 539 SDS-polyacrylamide gel electrophoresis then transferred onto polyvinylidene difluoride 540 (PVDF) membranes (Millipore, Temecula, CA). Primary antibodies and secondary antibodies 541 were used according to manufacturers' instructions. Primary antibodies used are GRP78 542 (#3177), CHOP (#2895), XBP1s (#12782), phosphor-PERK (#3179) PERK (#3192), ISG15 543 (#2743) IRE1a (#3294), Stat-1 (#9172), phospho-Stat-1 (#9167) (all from Cell Signaling 544 Technologies), GAPDH (abcam#8245), HBsAg (#5124A, Tokumen, Japan) phospho-IRE1a 545 (Novus #NB100-2323) Detection was performed using the Immobilon Western 546 Chemiluminescent HRP Substrate (Millipore) and image capture was done using the A1680 547 imaging system (GE Healthcare).

548

549 RNA extraction and gene expression analyses

550 RNA was isolated from snap-frozen liver tissue obtained at selected time points using Isogen 551 (Nippon Gene, Tokyo, Japan) according to the manufacturer's instructions. For Microarray 552 analyses, 2 biological replicates for each selected time point were analyzed. Briefly, from 553 100ng total RNA, complementary RNA (cRNA) was prepared using the Low Input Quick-554 Amp Labeling Kit, one color Cy3 protocol (Agilent Technologies, Santa Clara, CA, USA). 555 Purified labeled-cRNA and controls (Agilent One Color Spike-In Kit) were hybridized to 556 Agilent SurePrint G3 Mouse Gene Expression v2.0 Microarray Chips. Detection, data 557 extraction, and pre-analysis were performed using a G2505C Agilent microarray scanner, 558 Feature Extraction v10.10.1.1, and GeneSpring GX v14.8.0 software. Genes showing 559 differential kinetics between IFN treated or non-treated HBsAg and WT samples over the

560 period leading up to the onset of liver injury in HBs-Tg mice, i.e., 0, 2, 4, and 8 hour time 561 points, were identified using the time-course specific R program maSigPro [32]. Briefly, 562 maSigPro assesses the significance of the global model (i.e., if there are significant 563 differences with respect to time or treatment) and of each variable (i.e., which specific time 564 or treatment change is present) by fitting a regression model, considering time as a 565 continuous variable and creating specific variables for each treatment, thereby adjusting a 566 temporal profile for each time course. Genes significantly changed were selected and divided 567 into clusters of similar profiles for visualization of results. Microarray data have been 568 deposited into the NCBI Gene Expression Omnibus Repository (Accession # GSE 138916) 569 For microarray data validation and specific target gene expression analysis, quantitative real-570 time polymerase chain reaction (qRT-PCR) was performed using the StepOnePlus Real-Time 571 PCR System (Applied Biosystems, Foster City, CA). From 2µg total RNA, complementary 572 DNA (cDNA) was synthesized using the High Capacity RNA-to-cDNA Kit (Applied 573 Biosystems). TaqMan Gene Expression Assay primer-probe sets used include; GRP78 574 (Mm00517690 g1, Hs00607129 gH), CHOP (Mm01135937 g1, Hs03834620 s1), XBP1s 575 (Custom), PERK (Mm00438700 m1, Hs00984006 m1), GAPDH (Mm999999915 g1, 576 Hs02758991 g1), IRE1α (Mm00470233 m1), ISG15 (Mm01705338 s1, Hs01921425 s1), 577 IRF1(Hs00971960 m1) (all from Applied Biosystems). All qPCR data were normalized to 578 GAPDH.

579

580 Isolation, culture, and treatment of primary mouse hepatocytes.

581 Primary mouse hepatocytes were isolated from both HBs-Tg and their wildtype littermates

- 582 (controls) using a two-step protocol as previously described [62] with some modification.
- 583 Briefly, the liver was perfused with Liver Perfusion Medium (Gibco #17701) for 4 minutes at

584	a flow rate of 5ml/min followed by 0.8mg/ml Type 1 Collagenase (Worthington, UK) in
585	Dulbecco Minimum Essential Medium (Gibco # 11965-092) for 8-12 minutes at 5ml/min.
586	PMHs were cultured on Collagen 1 Biocoat 6-well plates (BD Biosciences) at a seeding
587	density of 1x10 ⁵ cells/cm ² in Hepatocyte Growth Medium with 2% DMSO. Thapsigargin
588	(5 μ M, Fujifilm-Wako, Osaka, Japan) was added to IFN α -treated WT-PMHs that were then
589	harvested after 6 and 12 hours. Recombinant mouse IFN α was added at 0.1MU/ml.
590	Recombinant mouse IFNy was added at 0.01MU/ml per well.
591	
592	LDH activity assay.
593	Cytotoxicity was measured by the release of lactate dehydrogenase (LDH) into the culture
594	media 72 hours after the addition of IFN α (final concentration, 0.1MU/ml). Collected
595	supernatants were assayed using the Dri-Chem analyzer according to the manufacturer's
596	instructions (Fuji, Tokyo, Japan). Data are presented as percentage specific cell lysis,
597	calculated as the ratio of the experimental LDH release (minus spontaneous release LDH) to
598	the maximum LDH release using 1% Triton-X (minus spontaneous release LDH) for each
599	plate.
600	% specific lysis = LDH [(experimental -spontaneous)/maximum lysis – spontaneous] x100
601	
602	Knockdown of UPR-related target genes.
603	To suppress UPR related molecules in vivo and in vitro, the Invivofectamine 3.0 and
604	Lipofectamine RNAiMAx transfection reagents were used respectively, together with siRNA
605	for the following targets; Control (#1), GRP78 (s607083, s67085), XBP1 (s76114), CHOP
606	(s64888), all according to manufacturer's instructions (all from Thermofisher Scientific).

607

608 Statistics.

- 609 Student's t-test and One-Way ANOVA were performed accordingly. Microarray data
- 610 bioinformatic analyses were performed as previously described [32]. Data are depicted as the
- 611 mean \pm SD, and P values < 0.05 were considered significant.

612

613

614 Acknowledgements

615 The authors are grateful to Dr. Francis V. Chisari (Scripps Research Institute) who produced

and provided the HBV and HBs-Tg mice used in this study and for his critical reading of the

617 manuscript. We also thank Takayo Takagi, Mayumi Hojo, and Kyoko Ito (Nagoya City

618 University, Japan) for their technical assistance.

619

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- 634 Takaji Wakita, Matteo Iannacone, and Yasuhito Tanaka
- 635

636 Funding

- 637 This research was supported by grants-in-aid from the Ministry of Education, Culture, Sports,
- 638 Science, and Technology, Japan, from the Japan Society for the Promotion of Science
- 639 (KAKENHI) under grants 17K09436 (M.I.) and 20K08313 (M.I.), and from the Research
- 640 Program on Hepatitis from the Japan Agency for Medical Research and Development
- 641 (AMED) under grants 19fk0310103h2003 (M.I.), 20fk0310103h2004 (M.I.), and
- 542 JP19fk0310101, JP20fk0310101 (Y.T.). The funders had no role in study design, data
- 643 collection and analysis, decision to publish, or preparation of the manuscript.
- 644

645 **Competing interests**

646 The authors have declared that no competing interests exist.

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837 Supporting information

838 S1 Fig

839 Reduction of intrahepatic HBsAg. (A) Experimental design. In vivo suppression of HBsAg

- 840 using siRNA. HBs-Tg mice were intravenously injected with mixed siRNA targeting HBV
- 841 (5mg/kg) then sacrificed at the specified time points. (B) The graph shows >10 fold reduction
- 842 in HBV mRNA levels by siHBV treatment. (C) HBsAg levels in HBs-Tg mice treated with
- siHBV siHBV compared with control mice.

844

845 S2 Fig

- 846 (A) Baseline expression of UPR markers in non-treated 107-5D HBs-Tg mice. The
- 847 Immunoblots show the expression of UPR molecules like CHOP, XBP1 and GRP78 in non-

848 treated HBs-Tg mice compared with normal WT mice. (B) Serum ALT levels in non-treated

849 HBs-Tg mice compared with normal WT mice.

850

851 S3 Fig

852 Characterization of the baseline extracellular and intracellular HBsAg levels in non-treated

853 HBV infected chimeric, HBV-Tg and HBs-Tg mice. (A) A graph showing the serum HBsAg

levels between chimeric mice, HBV-Tg and HBs-Tg mice. (B) A graph showing the

855 intracellular HBsAg levels per µg of liver protein between chimeric mice, HBV-Tg and

856 HBs-Tg mice.

857

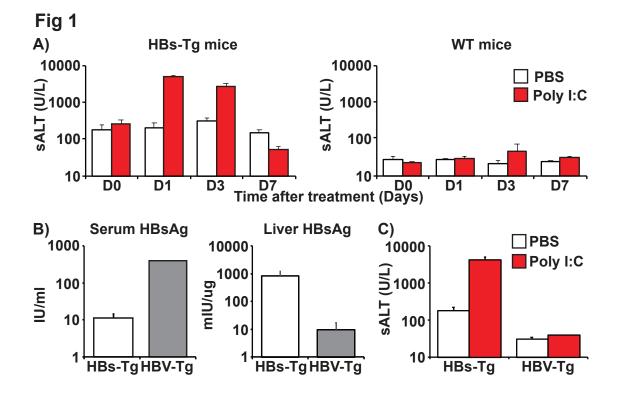
858 S4 Fig

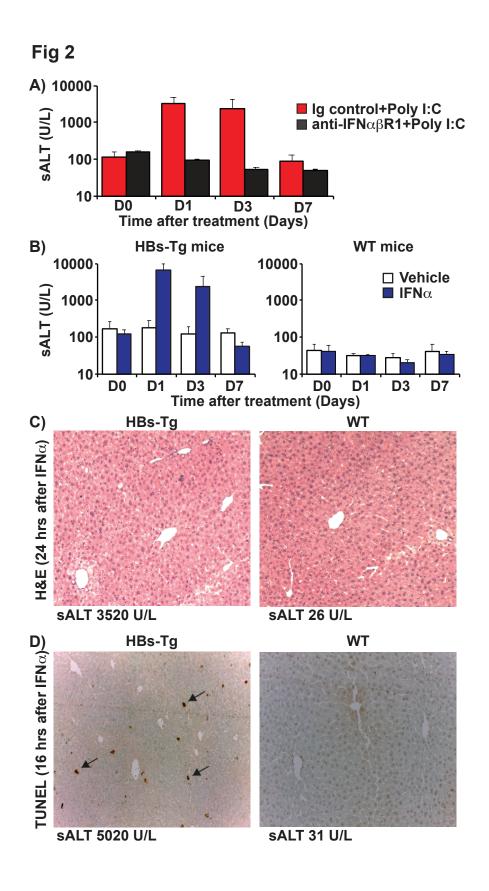
859 IFNγ is directly cytotoxic to hepatocytes accumulating HBsAg. (A) Timeline showing how

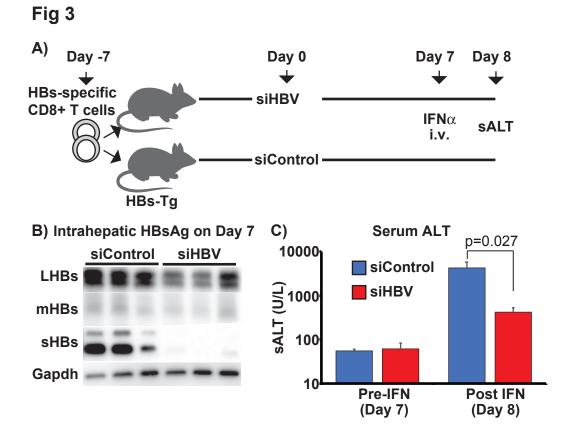
860 primary mouse hepatocytes (PMHs) from both HBs-Tg and WT mice were isolated, plated,

- and treated with either IFN γ , while monitoring cytotoxicity at the specified time points. (B)
- 862 The graph shows significant LDH increase in HBs-Tg derived PMHs 72 hours after IFNy
- addition. (C) The immunoblots show the effect of IFN-1 signaling on UPR-related proteins at
- 864 specified time points after IFNγ treatment.

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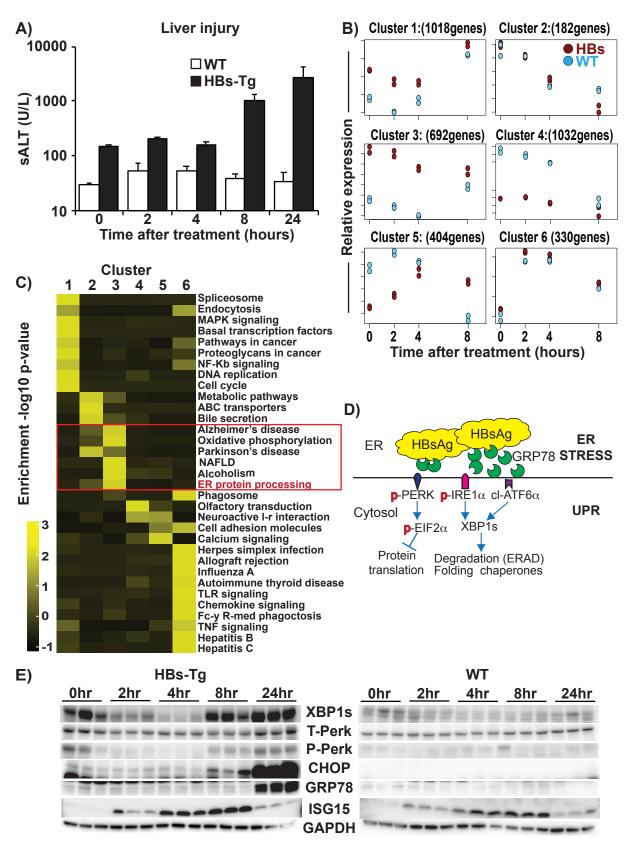
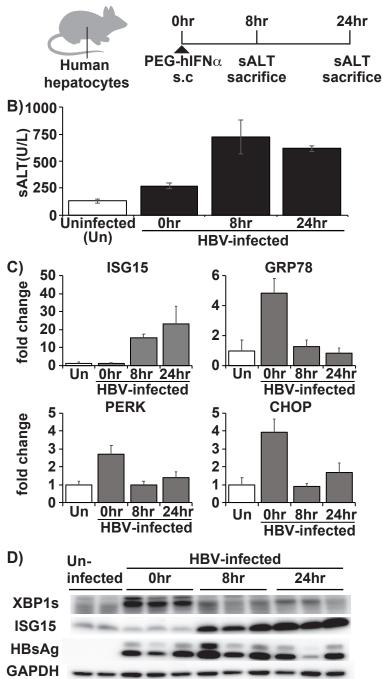
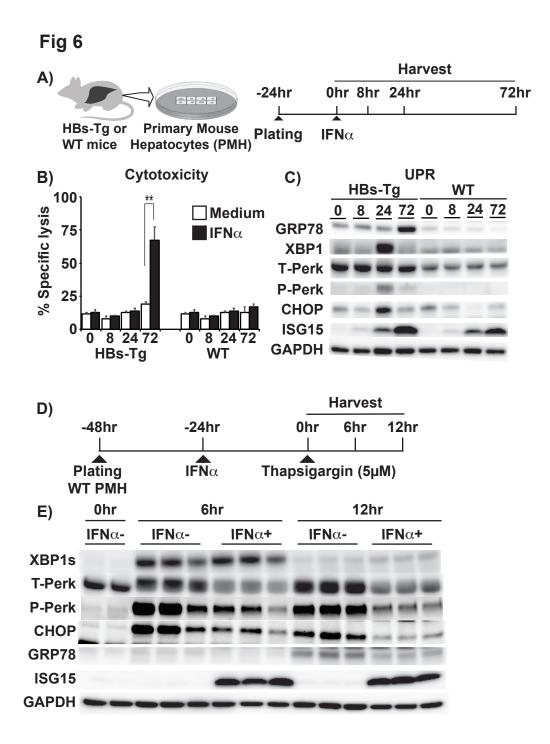
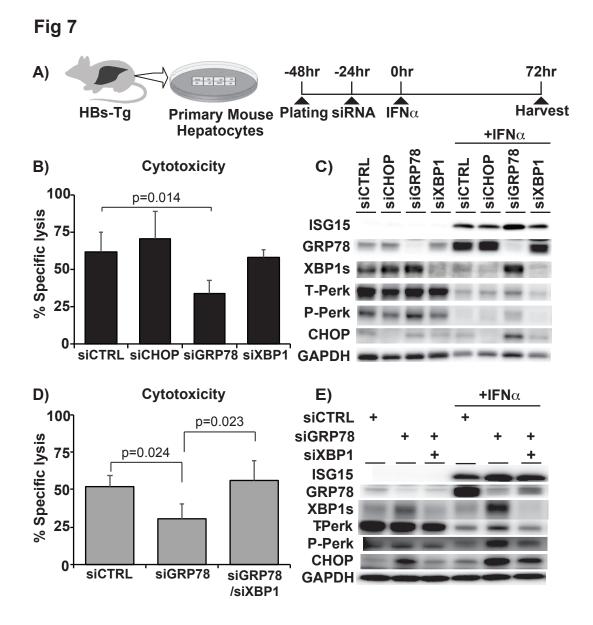


Fig 5

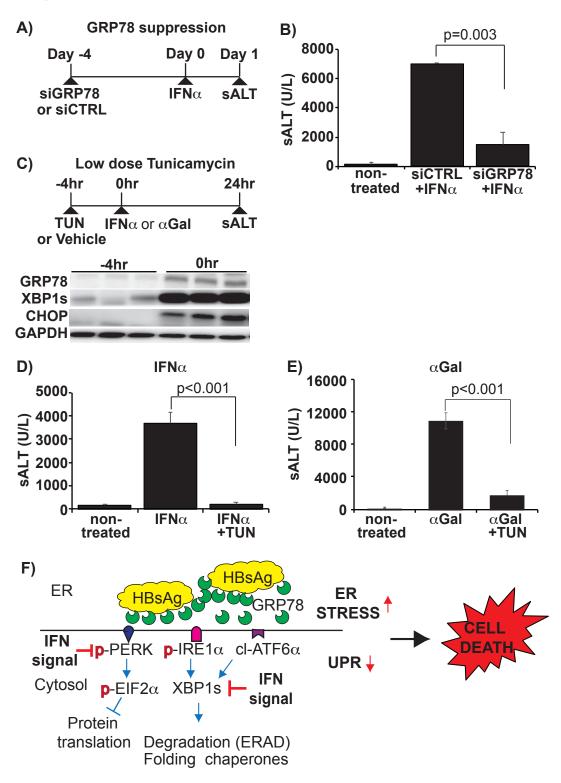


A) HBV infected human chimeric mice









S1 Fig

