1 Title Page

- 2 <u>Title:</u> Mapping the human auditory cortex using spectrotemporal receptive fields generated with
- 3 magnetoencephalography

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- 16 **Data availability statement:** A sample of our dataset is freely available for download from the
- 17 OpenNeuro platform at the following link:
- 18 https://openneuro.org/datasets/ds003082/versions/1.0.0. All other data can be provided upon
- 19 request.
- 20 **Funding statement:** This work was funded in part by grants from the Natural Sciences and
- 21 Engineering Research Council of Canada (NSERC), the Centre for Research on Brain,
- 22 Language and Music (CRBLM), and the Réseau québécois de recherche sur le vieillissement
- 23 (RQRV).
- 24 **<u>Conflict of interest disclosure:</u>** The authors declare having no potential competing interests.
- <u>Ethics approval statement:</u> This study was approved by the research ethics board of the
 Montreal Neurological Institute.
- 27 **Patient approval statement:** All participants provided written informed consent.

28 Abstract

29 We present a novel method to map the functional organization of the human auditory cortex 30 noninvasively using magnetoencephalography (MEG). More specifically, this method estimates 31 via reverse correlation the spectrotemporal receptive fields (STRF) in response to a dense pure 32 tone stimulus, from which important spectrotemporal characteristics of neuronal processing can 33 be extracted and mapped back onto the cortex surface. We show that several neuronal 34 populations can be found examining the spectrotemporal characteristics of their STRFs, and demonstrate how these can be used to generate tonotopic gradient maps. In doing so, we show 35 36 that the spatial resolution of MEG is sufficient to reliably extract important information about the 37 spatial organization of the auditory cortex, while enabling the analysis of complex temporal 38 dynamics of auditory processing such as best temporal modulation rate and response latency 39 given its excellent temporal resolution. Furthermore, because spectrotemporally dense auditory 40 stimuli can be used with MEG, the time required to acquire the necessary data to generate 41 tonotopic maps is significantly less for MEG than for other neuroimaging tools that acquire 42 BOLD-like signals.

43 Introduction

An important goal of auditory neurophysiology is to model the functional organization of the human auditory cortex (AC). This involves developing an intricate understanding of auditory processing along both spectral and temporal dimensions, and relating these features to the spatial topographical organization of the AC. 48 Frequently, the topographical organization of the human AC has been studied noninvasively using functional magnetic resonance imaging (fMRI) in terms of tonotopy, or best frequency 49 50 maps, which has been found to be a key organizational feature (Da Costa et al., 2011; 51 Formisano et al., 2003; Humphries, Liebenthal, & Binder, 2010; Langers & van Dijk, 2012; Talavage & Edmister, 2004; Woods et al., 2010). Although details such as the orientation of the 52 53 tonotopic gradient are still debated, an anterior to posterior high-low-high best frequency 54 organization centered on Heschl's gyrus (HG) is found and agreed upon in most human fMRI 55 studies (Gardumi, Ivanov, Havlicek, Formisano, & Uludağ, 2017), and is consistent whether 56 pure tones or natural sounds are used (Moerel, Martino, & Formisano, 2012). Coupled with the 57 spatial organization of other neuronal response characteristics such as the broadness of 58 frequency tuning, and paired with findings from cyto- and myeloarchitectural studies, the AC has 59 been further divided by fMRI into subfields with unique processing properties (Moerel, De 60 Martino, Formisano, 2014).

61 However, the role of temporal processing within the micro-organization of the human AC 62 remains unclear from the available fMRI literature alone (Leaver and Rauschecker, 2016). 63 Crucial aspects of our sensory experience, such as speech perception and music enjoyment. 64 clearly rely heavily on precise temporal encoding of auditory information (Abrams et al., 2011). 65 Invasive electrophysiological recordings in several animal species have shown the importance 66 of temporal features in understanding the functionality of AC subfields (Linden et al., 2003: 67 Nagel & Doupe, 2008). Moreover, studying the temporal domain of auditory processing is 68 necessary to gain a complete understanding of auditory plasticity (Schreiner & Polley, 2014; 69 Carlin & Elhilali, 2015). For example, auditory training using temporal discrimination tasks can 70 lead to improvements in the processing of temporal features that do not result in improvements 71 in spectral processing (van Wassenhove & Nagarajan, 2007), reinforcing the importance of

studying both dimensions. Similarly, studying temporal dynamics can yield insights into age related changes in auditory processing (de Villers-Sidani et al., 2010).

74 Unfortunately, while fMRI boasts an excellent spatial resolution to answer questions pertaining 75 to the spatial organization of the AC, it cannot provide sufficient temporal resolution to 76 adequately study temporal dynamics and short-latency events. The hemodynamic response to 77 neuronal activity measured by fMRI occurs on the order of seconds (Aguirre, Zarahn, & 78 D'esposito, 1998), which precludes precise characterization of neuronal activity occurring on the 79 order of milliseconds. Furthermore, because of the relatively long acquisition time, stimuli sets 80 are typically small and offer less flexibility than one would ideally want to study the response to 81 complex sounds. Studying auditory processing in fMRI has also been limited by loud operating 82 noise, even though workarounds have been developed (Cha, Zatorre, & Schönwiesner, 2016).

83 MEG is an attractive alternative modality for *in vivo* electrophysiological recording of neuronal 84 activity in the AC. It not only provides superior temporal resolution on the order of milliseconds 85 (Regan, 1989), but also provides a completely silent acquisition environment. An important 86 barrier preventing its widespread use has been related to concerns regarding its ability to 87 spatially resolve the millimetric spatial organization of the AC (Langers & van Dijk, 2012; Moerel, 88 De Martino, Formisano, 2014), in particular its tonotopic organization. This concern is offset by 89 recent successes in capturing the retinotopic organization of the visual cortex using MEG at a 90 spatial resolution of 7 mm in smooth cortical regions and less than 1 mm near curved gyri 91 (Nasiotis, Clavagnier, Baillet, & Pack, 2017). Moreover, early efforts at identifying a basic 92 tonotopic gradient using MEG have been successful in some respects. Dipole depth beneath 93 the scalp has consistently been found to correlate with stimulus frequency, and orientation of 94 the gradient has been shown to vary with gyral morphology (Romani, Williamson, & Kaufman, 95 1982; Pantev et al., 1988; Kuriki & Murase, 1989; Huotilainen et al., 1995; Verkindt, Bertrand, 96 Perrin, Echallier, & Pernier, 1995). Other studies have also identified a posterior to anterior

97 gradient, lower frequencies being represented more posteriorly, with the possibility of there
98 being multiple tonotopic gradients (Pantev et al., 1995; Weisz, Wienbruch, Hoffmeister, & Elbert,
99 2004). Finally, a recent MEG study using speech sounds was able to identify a tonotopic
100 gradient similar to that obtained in fMRI (Su, Zulfiqar, Jamshed, Fonteneau, & Marslen-Wilson,
101 2014).

102 Encouragingly, relatively simple study design tweaks could potentially yield improvements in the 103 spatial resolution of MEG, notably through the use of higher stimulus density. There is evidence 104 from research with owl monkeys pointing to an inverse relationship between stimulus density 105 and the tuning width of neurons in the AC, as shown by the smaller size of their receptive fields 106 with such stimuli (Blake & Merzenich, 2002). This could be due to increased peri-neuronal 107 inhibition when stimuli are presented at a faster rate, increasing the spectrotemporal specificity 108 of each neuron, and therefore improving the spatial resolvability of neuronal subpopulations. 109 Using a dense stimulus could therefore improve the spatial resolution of MEG with respect to 110 tonotopic organization.

111 Here, we describe a novel method to map the functional organization of the AC using MEG. 112 Specifically, we take advantage of the MEG's high temporal resolution to extract the spectral 113 and temporal characteristics of sound processing for each neuronal source by computing their 114 spectrotemporal receptive field (STRF), and demonstrate how the characteristics of STRFs can 115 then be extracted and mapped onto the cortical surface to study organizational features such as 116 tonotopy. STRFs have indeed been commonly used to describe the dynamics of neuronal 117 activity in response to auditory stimuli (see for e.g.: Calabrese, Schumacher, Schneider, 118 Paninski, & Woolley, 2011; Depireux, Simon, Klein, & Shamma, 2001; Kowalski, Depireux, & 119 Shamma, 1996; Linden, Liu, Sahani, Schreiner, & Merzenich, 2003; Miller, Escabí, Read, & 120 Schreiner, 2002; Nagel & Doupe, 2008; Sen, Theunissen, & Doupe, 2001; Theunissen, Sen, & 121 Doupe, 2000; Woolley, Fremouw, Hsu, & Theunissen, 2005; Woolley, Gill, & Theunissen, 2006). 122 They represent the spectral and temporal patterns of auditory stimuli that elicit the maximal 123 response from a neuron. To estimate STRFs, several methods have been used for varying 124 stimulus types (Theunissen, Sen & Doupe, 2000), but the foundational technique revolves 125 around reverse correlation and involves averaging the stimulus content preceding neuronal 126 spikes (de Boer & Kuyper, 1968). Doing so results in a spike-triggered average that can reliably 127 estimate the STRF when using a stimulus that is uncorrelated in the spectral and temporal 128 dimensions, as is typical for stimuli used for mapping tonotopy. 129 We show here that spectrotemporally dense auditory stimuli composed of isointensity pure 130 tones (IIPTs) can vield sufficient spatial resolution to allow for mapping the tonotopic 131 organization of the AC using reverse correlation-based STRFs generated from MEG. This 132 method can therefore be reliably used to investigate the spatial organization of the AC, with the 133 added benefit of MEG's excellent temporal resolution to study short-latency-dependent events 134 and complex spectrotemporal characteristics, permitting an in-depth non-invasive functional

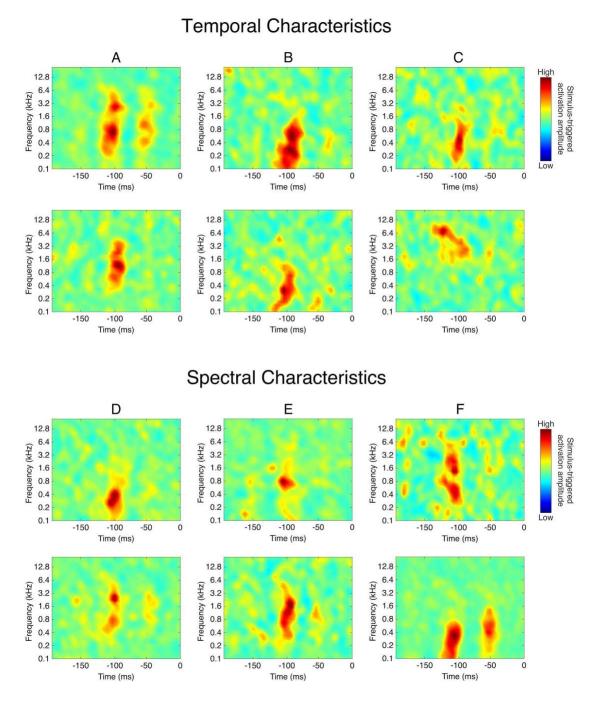
135 study of auditory processing in humans.

136 **Results**

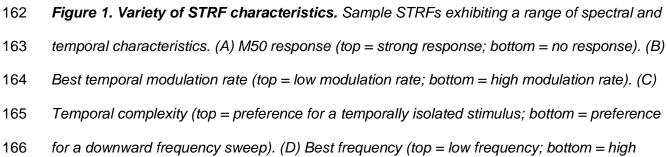
137 I. Estimation of STRFs

For this analysis, we recorded the neural responses to a 10-minute IIPT stimulus train noninvasively in ten subjects (labeled S1 to S10) using a 275-channel whole-head MEG system (CTF MEG International Services Ltd.). MEG records magnetic fields outside the head, and a reverse problem must be solved to localize the source of the magnetic fields from where they originate inside the brain as electrical currents produced by neuronal activity. To do so, we used Weighted Minimum Norm Estimates (wMNE) (Lin et al., 2006) which constrains each source to

144 a one-dimensional perpendicular orientation with respect to a cortex surface obtained through 145 an MRI-based cortical reconstruction generated with FreeSurfer (Dale & Sereno, 1993). Our 146 analysis was conducted on a high cortical tessellation (150,000 sources) to maximize the 147 potential for high spatial resolution. 148 We generated STRFs for each source within our region of interest (ROI) in the right and left 149 hemispheres of ten subjects (S1 to S10) using a technique based on reverse correlation 150 analysis adapted to MEG data and detailed in *Materials and Methods*. The STRF represents the 151 average stimulus-triggered activation amplitude (the average z-score value of every significant 152 neuronal activation event). The resulting STRFs clearly display several important 153 spectrotemporal characteristics expected of neurons in the AC (Figure 1). These include 154 temporal features such as best temporal modulation rate and response latency, as well as 155 spectral features such as best frequency and frequency bandwidth. The STRFs provide 156 information about the auditory stimuli most likely to elicit a significant response from a given 157 source. While the majority of STRFs had a single peak at a latency of about 100 ms 158 (representing the M100 response), we could identify a number of sources that exhibited a 159 smaller peak at a latency of 50 ms (representing the earlier M50 response). Some sources 160 exhibited complex STRF spectrotemporal patterns, including some with frequency sweeps.







167 frequency). (E) Frequency bandwidth (top = small bandwidth; bottom = large bandwidth). (F) Spectral complexity (top = two spectral peaks eliciting an M100 response; bottom = two spectral 168 169 peaks eliciting an M100 and/or an M50 response). 170 Key properties that can be obtained through analysis of STRFs are shown in Figure 2. These 171 histograms represent a group-level average among all subjects. Best frequencies were 172 represented along a bimodal distribution with one peak at 0.283 kHz and another at 0.8 kHz. 173 However, the range was large, extending throughout all presented frequencies. On average, 174 90% of sources per subject had a best frequency between 0.2 and 3.2 kHz. Frequency 175 bandwidths were most commonly 2.5 octaves, with the remainder of sources exhibiting a large 176 range of bandwidth. M100 latency was most commonly at 110 ms. Finally, best temporal 177 modulation rate also followed a bimodal distribution, with one peak at 15 Hz (with rates ranging 178 from 10 to 24 Hz), and another centered around 33 Hz (with rates ranging from 25 to 100 Hz).

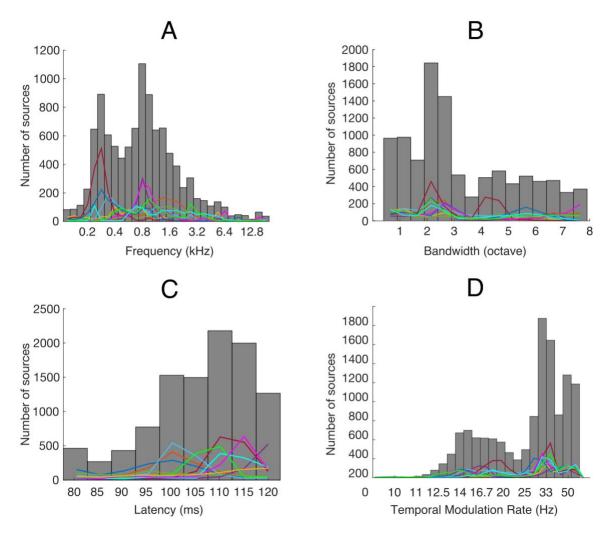


Figure 2. Histograms of STRF characteristics. Histograms showing the total number of
sources from all 10 subject for each of the following STRF characteristics: best frequency (A),
bandwidth (B), latency (C), and best temporal modulation rate (D). Lines representing the
individual contribution of each subject are superimposed onto the histograms.

184 II. Selection of IIPT-Responsive Sources

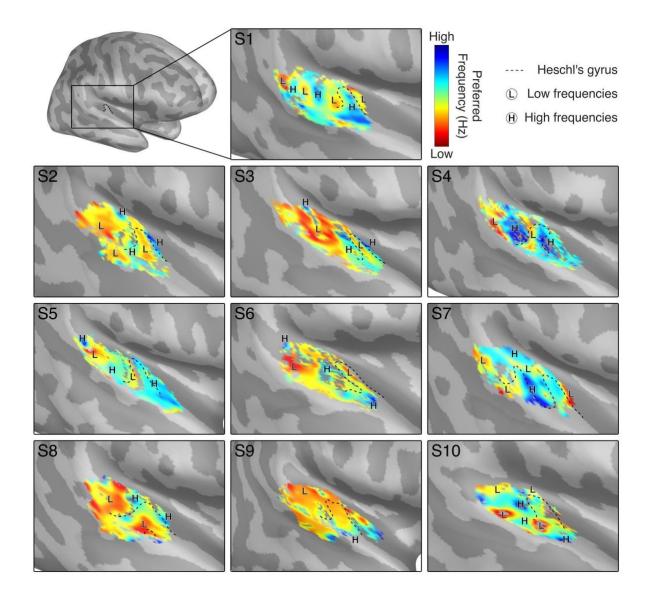
185 We defined IIPT-responsive sources as those having an STRF M100 response peak greater

- than a z-score of 3.5, with a latency between 80-120 ms, and a minimum STRF bandwidth of
- 187 0.375 octaves (see Materials and Methods for precise definitions). The high z-score threshold

enables the selection of only those sources that are very IIPT-responsive. This threshold is
should be determined based on the amount of smoothing that is used in the STRF-generation
and the signal-to-noise ratio of an experiment. The latency thresholds enable the identification
of the M100 response with a range of response latencies. Finally, the bandwidth threshold
enables the selection of physiologically plausible receptive fields, eliminating sources that could
have a significant "single-bin" receptive field due to chance alone, given the high number of data
bins present in the STRF.

195 III. Identification of a tonotopic gradient

196 To demonstrate the utility of computing STRFs in MEG to study the spatial topographic 197 organization of the auditory cortex, we generated tonotopic maps from the best frequency 198 values of the STRFs for each IIPT-responsive neuronal source. A tonotopic organization could 199 be identified in the right temporal lobe for all subjects, as shown in Figure 3. Because of 200 variability between subjects in the position of tonotopic gradient reversals and in the underlying 201 cortical anatomy which covers only a very small area, we do not show a group-level average 202 using currently available tools in the Brainstorm suite, as this leads to loss of valuable gradient 203 information. The gradient pattern is best analyzed individually or, alternatively, using a manual 204 landmark-based averaging method which has proven successful in some fMRI studies (e.g. 205 Humphries et al. 2010).



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Figure 3. Best frequency maps and tonotopic gradient organization. Best frequency maps
are shown for subjects 1 to 10. Major regions of high and low frequencies are marked as H and
L, respectively, and Heschl's gyrus is outlined for reference. Tonotopic gradients can be
identified in all subjects. Colormap limits are set near the local minima and maxima of each
subject to best visualize gradient patterns.

- For the majority of subjects (S1 to S8), a primary tonotopic gradient perpendicular to the
- 213 longitudinal axis of HG could be identified. This primary gradient is most often centered on the
- 214 posterior part of HG. Among the two subjects who did not have a perpendicular gradient

215 progression, S9 had a simple antero-posterior gradient oriented parallel to the longitudinal axis

of HG, and S7 had several circular zones of low and high frequencies with a complex

217 organization not observed in other subjects. Of note, all subjects had a single HG, while S7 had

- a complete duplication of HG, and S8 had a partial duplication of HG. There was a more
- 219 variable tonotopic organization present in planum temporale (PT), usually with a relative
- 220 overrepresentation of low frequencies.
- 221 Other characteristics of STRFs can be projected onto the cortical surface, including bandwidth,
- 222 latency, and temporal modulation. The right-hemisphere maps for these characteristics are
- presented in Figure S1, S2, and S3.

IV. Investigation of lateralization to IIPT stimuli

- 225 Identification of tonotopic gradients was more robust in the right cerebral hemisphere of
- subjects, which is why the remainder of our analysis was performed on the right. Best frequency
- 227 maps for the left hemisphere are shown in Figure S4.
- 228 The left hemisphere's tonotopic maps had a decreased signal-to-noise ratio, a shorter range of
- 229 best frequencies, and less elaborate tonotopic gradients with some subjects having no
- 230 discernible gradient. To investigate whether this was associated with a difference in the number
- 231 of IIPT-responsive sources in each hemisphere, we calculated the percentage of IIPT-
- responsive sources within each hemisphere's ROI (Figure 4). While there were over 50% of
- 233 IIPT-responsive sources in the left hemisphere's ROI, a two-tailed paired t-test revealed that the
- right hemisphere had 24.3% more IIPT-responsive sources than the left hemisphere (95% CI:
- 235 14.8 33.8; p = 0.0003), confirming a lateralization to the right.

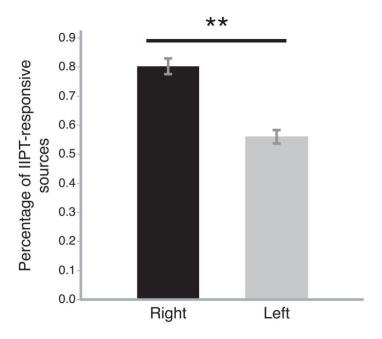




Figure 4. Average percentage of IIPT-responsive sources for each cerebral hemisphere among all subjects. The average percentage of IIPT-responsive sources is presented for the right and left hemispheres. The percentage represents the number of IIPT-responsive sources over all possible sources within our region of interest. The error bars represent the standard error to the mean. Two-tailed paired t-test results in p = 0.0003, t-value -5.7887, DF = 9.

242 Discussion

We have described a novel method for functional mapping of the human AC using MEG,
showing that it can reliably extract important information about the spatial organization of
auditory processing when using STRFs generated from a dense pure tone auditory stimulus.
This supports the hypothesis that the spatial resolution of MEG is more than sufficient to study
tonotopic gradients in the human AC, and allows us to leverage the MEG's excellent temporal
resolution to study short-latency-dependent events and complex spectrotemporal characteristics
inherent in auditory processing that are impossible to study using fMRI.

250 I. Estimation of STRFs using MEG

251 MEG has been used in the past to successfully generate physiologically plausible STRFs using 252 discrete (Constantino et al., 2017) and continuous stimuli (Crosse et al., 2016; Ding & Simon, 253 2012), but to the best of our knowledge, this is the first in vivo MEG study to estimate STRFs 254 using reverse correlation in human subjects for the purpose of mapping the functional 255 organization of the AC. Our findings support that it is possible to generate physiologically 256 plausible STRFs with excellent variety in terms of spectrotemporal patterns, akin to what has 257 been reported in other mammalian studies (see for e.g.: Elhilali, Fritz, Chi, & Shamma, 2007; 258 Massoudi, Van Wanrooij, Versnel, & Van Opstal, 2015). Some STRFs exhibited complex 259 patterns, both spectrally and temporally, which is a testament to the high temporal resolution 260 and sufficient spatial resolution of MEG to be able to isolate such a large variety of neuronal 261 sub-populations in a relatively small cortical region. In some cases, we could even detect a 262 strong M50 response.

263 Our analysis of the distribution of these STRF properties revealed a bimodal distribution of best frequency centered on 0.283 kHz and 0.8 kHz, with the vast majority of sources (90%) having a 264 265 best frequency between 0.2 kHz and 3.2 kHz. Our results are comparable with those of a small 266 study of four epilepsy patients with intracranial electrode recordings, where only the frequencies 267 0.32 kHz to 3.2 kHz elicited neuronal responses, and 0.25 to 2.0 kHz elicited the strongest 268 responses (Bitterman, Mukamel, Malach, Fried, & Nelken, 2008). This finding likely reflects 269 emphasis on frequencies used in speech sounds. In an articulation test (intelligibility of speech 270 communication), subjects scored 95% accuracy when a low-pass filter of 4.0 kHz was applied to 271 speech sounds and 100% when the low-pass filter was 7.0 kHz (Monson, Hunter, Lotto, & 272 Story, 2014), suggesting that spectral content below 7.0 KHz is the most important for speech 273 comprehension. The wider audible frequency range in humans extends up to about 20 kHz for

274 young healthy individuals (Monson et al., 2014), and while these were represented in our 275 dataset, they were markedly underemphasized when compared to the frequency band of 276 speech. One possible confounder pertains to the E-A-RTONE 3A insert earphones, which have 277 frequency responses that, although audible, progressively decreases in intensity beyond 3 kHz. 278 Although this could contribute to the underrepresentation of higher frequencies in our dataset. 279 the fact that frequency representation begins dropping well below 3.0 kHz (starting at 0.8 kHz) 280 suggests that this finding is truly representative of the underlying functional organization. 281 The ability to extract STRFs using MEG is significant. The STRFs we have produced are in 282 keeping with what is physiologically expected of neurons in the human AC. The spectrotemporal 283 characteristics of auditory processing are likely important in understanding the subdivisions of 284 neuronal populations in humans. STRF analyses have also been crucial to better understand 285 the mechanisms underlying plasticity using the AC as a model, particularly in mice. In this 286 setting, STRFs have provided an excellent means of visualizing the changes in spectrotemporal 287 characteristics of neuronal response over time (Kamal, Holman, & de Villers-Sidani, 2013). Until 288 now, correlating STRFs with spatial organization in the auditory cortex was only possible in 289 animal studies and intracranial recording studies in humans, but our proposed methodology

290 provides a novel non-invasive method to do so in humans.

²⁹¹ II. MEG-generated tonotopic maps

A mirror-symmetric tonotopic gradient has been described in most fMRI studies (Da Costa et al., 2011; Formisano et al., 2003; Humphries et al., 2010; Langers & van Dijk, 2012; Moerel, De Martino, Formisano, 2012), and the majority of our subjects exhibit a very similar gradient pattern, usually centered around a region of low frequency in the posterior part of HG. The directionality of the gradient found using our method is most closely aligned with the findings of Humphries et al., Da Costa et al., Formisiano et al., and Moerel et al. (Humphries et al., 2010, 298 Da Costa et al., 2011, Formisano et al., 2003; Moerel, De Martino, Formisano, 2012), who also 299 describe a primary gradient perpendicular to the longitudinal axis of HG (though Langers & van 300 Dijk (2012) in contrast describe a latero-medial progression). Furthermore, a review publication 301 integrating fMRI research with cyto- and myeloarchitectural studies proposed a model of the 302 human AC with a tonotopic gradient oriented at a similar angle with respect to HG (Moerel, De 303 Martino, Formisano, 2014). Therefore, the fact that the tonotopic organization we describe is in 304 keeping with what is found in the fMRI literature supports the accuracy of the findings generated 305 by our technique. It adds to the body of evidence pointing to a primary gradient that is 306 perpendicularly oriented to the longitudinal axis of HG, which likely represents the primary AC. 307 This primary gradient is most often centered on HG. 308 There was significant intersubject variability in our dataset, which is consistent with the findings 309 of methods boasting greater spatial resolution such as fMRI (Humphries et al., 2010). 310 Nonetheless, we could still identify consistent tonotopic gradient progressions that shared 311 similar patterns and directionality among the majority of subjects. These patterns extend from 312 the core auditory cortex to the putative location of the belt and parabelt areas. These other 313 subfields have been characterized by relying on spatial organization of best frequency (Moerel, 314 De Martino, Formisano, 2012). The technique presented here has the spatial resolution that 315 would allow further characterization of these subfields' features by harnessing the MEG's 316 temporal resolution.

317 III. Right-hemispheric lateralization of response to pure tones

The tonotopic maps produced using our methodology have led us to identify a right-hemispheric lateralization of the tonotopic organization in response to IIPTs at M100. Despite there being over 50% of sources in the left hemisphere that responded to the IIPT stimulus, the characterization of a tonotopic organization was less robust than in the right hemisphere, with

322 some subjects having no discernible gradient. While functional lateralization of the human AC 323 has been extensively studied with respect to stimuli involving music and speech sounds 324 (Tervaniemi & Hugdahl, 2003), lateralization of tonotopy using pure tone stimuli has received 325 less attention in the literature. In a single fMRI study, the presence of a clearer tonotopic 326 organization was noted in the right primary AC compared to the left, although there was 327 significant inter-subject variability (Langers, Backes, & van Dijk, 2007). Right-sided 328 specialization for frequency-specific tuning has also been noted in intracranial recordings of 329 auditory evoked potentials (Liégeois-Chauvel, Giraud, Badier, Marguis, & Chauvel, 2001) and in 330 a previous study using MEG (Ozaki & Hashimoto, 2007). There is also evidence pointing to left-331 ear advantage (and therefore right hemispheric lateralization) when human subjects are 332 presented with tonal, but not noise stimuli (Sininger & Bhatara, 2012).

333 The bulk of the evidence from the available literature studying pitch and music points to the right 334 hemisphere having better spectral resolution (Zatorre, Belin, & Penhune, 2002), and therefore 335 implicating it more in music, pitch and tonal processing. This contrasts with the left hemisphere's 336 better temporal resolution, rendering it more important in the processing of much faster temporal 337 variations in the sound amplitude envelope, as is the case in speech. These hypotheses are 338 supported by lesioning studies showing that lesions affecting the right HG result in deficits in the 339 perception of pitch, by electrophysiological studies showing an association between pitch 340 perception and the timing of cortical activity in the right hemisphere, and by a variety of 341 functional imaging studies showing a predilection for tonal processing in the right hemisphere 342 (Zatorre, Evans, & Meyer, 1994; Zatorre, Evans, Meyer, & Gjedde, 1992; Perry et al., 1999; 343 Halpern & Zatorre, 1999; Griffiths, Johnsrude, Dean, & Green, 1999; Penhune, Zattore, & 344 Evans, 1998; Hugdahl et al., 1999; Tervaniemi et al., 2000).

Several fMRI studies have identified a tonotopic organization in the left hemisphere (see for e.g.
Formisano et al., 2003; Talavage et al., 2004; Langers et al., 2007). While we could identify a

347 tonotopic organization in a subset of participants' left hemispheres, this was less robust than on 348 the right. This discrepancy could be due to at least two reasons. First, it is possible that the type 349 of stimulus could be implicated. We used a spectrotemporally dense pure tone stimulus with a 350 much greater rate of stimulus presentation than is typically used in fMRI studies. However, 351 because the left hemisphere is thought to be important in the processing of temporal 352 characteristics of sound (Zatorre et al., 2002), it would be difficult to explain why such a 353 difference in the stimulus presentation rate could result in a lateralization to the right 354 hemisphere. Second, the discrepancy could be related to the timing of acquisition and the 355 temporal resolution of the two modalities. With MEG, the high temporal resolution allows us to 356 isolate specific auditory cortical responses such as the M100 response, whereas the BOLD 357 response used in fMRI results from neuronal activity occurring over a much longer time period, 358 dictated by hemodynamic properties. Therefore, the activity captured through fMRI may relate to 359 activity taking place much later than the M100 response in the auditory processing hierarchy. 360 We believe this to be the more likely explanation behind this observation.

361 IV. Limitations

362 There are limitations to the method we propose. First, the sound intensity (volume) of stimulus 363 presentation is a limiting factor in the ability to resolve a tonotopic gradient. In order to truly 364 capture the characteristic (best) frequency of a neuron, the lowest sound intensity that will elicit 365 a response must be found; however, current electrophysiological and functional neuroimaging 366 techniques are not sensitive enough to record neuronal responses barely above threshold, and 367 therefore require the use of higher sound intensities. Coupled with the notion that neurons 368 respond to a broader range of frequencies when stimulated by higher sound intensities 369 (Recanzone, 2000), doing so may result in the spread of activation limiting the accuracy and 370 resolvability of the measured tonotopic gradient (Tanji et al., 2010). While this limitation cannot

371 be avoided, we used A-weighted stimulus intensity to compensate for the differences in volume 372 necessary to lead to equivalent intensity perception at each frequency (Fletcher & Munson, 373 1933).

374 There are possible artifacts related to recording auditory evoked fields in the region of the AC. 375 MEG is selectively sensitive to current along the walls of sulci, and cannot detect current at the 376 crest of gyri and bottom of sulci (Puce & Hämäläinen, 2017). Moreover, the activity recorded 377 from regions lying in close proximity to other surfaces, as is the case with the AC, could 378 potentially be altered or even canceled by conflicting currents occurring simultaneously on the 379 adjacent surface (Ahlfors et al., 2010). In our dataset, we did not observe any deficiency in the 380 identification of IIPT-responsive sources in the crests of gyri and bottom of sulci. This leads us 381 to believe that any potential alteration in signal occurring as a consequence of the 382

macroanatomy of the AC did not prevent adequate source estimation with MEG.

383 Although our analysis is based on the earliest consistently detectable response in MEG (Pantev 384 et al., 1988), the M100 response, what it represents remains controversial. Intracranial 385 recordings have localized M100 to the lateral portion of HG and PT (Godey, Schwartz, de Graaf, 386 Chauvel, & Liégeois-Chauvel, 2001; Liégeois-Chauvel, Musolino, Badier, Marquis, & Chauvel, 387 1994), while non-invasive recordings have localized it exclusively to PT (Lütkenhöner & 388 Steinsträter, 1998; Engelien, Schulz, Ross, Arolt, & Pantev, 2000), which may be interpreted as 389 activity in secondary ACs. This evidence rightfully has led some to question the claim that M100 390 originates from the primary AC (Moerel, De Martino, & Formisano, 2014). However, our data is 391 not entirely consistent with this view. We show that there is clear activity at M100 along the 392 purported anatomical location of the primary AC, HG. There are two possible ways to reconcile 393 these differences. It may be that the spatial resolution of MEG is such that activity in spatially 394 separated cortical areas appears to be overlapping. In this case, most of the observed activity 395 could be originating from PT but falsely appear to be extending beyond PT into HG. We believe

396 this is unlikely, particularly given that tonotopic gradients were identified as progressing in 397 shorter distance increments than the distance between PT and HG. Another possibility is that 398 primary and secondary auditory processing are overlapping in some regions of the AC. If this 399 were the case, it would indicate that HG is both involved in primary and secondary processing. 400 There is evidence showing that the earlier 50 ms-latency response (M50) is in fact located 401 within the same anatomical region as the M100 response (Wang et al., 2014), which could 402 support this hypothesis. Even if M100 represents higher order processing, we assume, as 403 others have (Su et al., 2014), that the tonotopic organization of auditory processing should in 404 theory remain stable over at least several hundred milliseconds. Even if it does not, 405 investigation of the M100 response using MEG remains valuable, as insights into later auditory 406 processing steps can be gained from studying the response in secondary ACs.

407 V. Conclusions

408 Here, we show that MEG can be used to characterize the tonotopic organization of the AC by 409 generating STRFs with a spectrotemporally dense pure tone stimulus. We described a large 410 variety of STRF patterns consistent with the expected variety of neuronal subtypes that can be 411 further studied both spectrally, through measures such as frequency bandwidth, and temporally, 412 through measures such as best temporal modulation rate, and latency. The best frequency 413 maps and tonotopic gradients we were able to generate shared strong similarities with those 414 observed in other fMRI studies. MEG therefore is able to provide sufficient spatial resolution to 415 study the spatial functional organization of the human AC, including the microarchitecture of 416 auditory subfields, while providing additional benefits through its high temporal resolution. Our 417 proposed method has significant implications for the field of auditory processing, as it is the first 418 to effectively capture both high spatial resolution and spectrotemporal information, which 419 together provide a more complete understanding of auditory processing in humans.

420 Materials and Methods

421 I. Participants

- 422 Ten right-handed subjects were recruited into the study (henceforth labeled S1 to S10). Three
- 423 were female and the average age was 23 (range 19-27). All subjects reported being free of
- 424 hearing impairment or neurological conditions that could affect brain function, including mild
- 425 cognitive impairment, dementia and previous history of stroke. All subjects provided written
- 426 informed consent. This study was approved by the research ethics board of the Montreal
- 427 Neurological Institute.
- 428 The MEG and anatomical MRI recordings of S3 are freely available for download from the
- 429 OpenNeuro platform at the following link:
- 430 https://openneuro.org/datasets/ds003082/versions/1.0.0

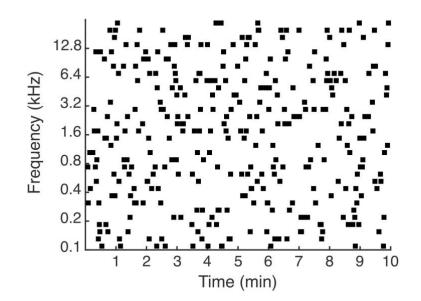
431 II. MEG Analysis

432 II.a. Stimuli presentation

433 Stimuli were generated by a Sound Blaster X-Fi Titanium HD audio card (Creative, Jurong East,
434 Singapore) connected to a pair of E-A-RTONE 3A insert earphones (3M company, Indianapolis,
435 Indiana).

- 436 The stimulus train was a 10-minute train of 50-ms long gated IIPTs (Figure 5). Thirty two
- 437 different frequencies were presented with A-weighted intensities for the resulting stimulus train
- to be perceived at a similar intensity (Fletcher & Munson, 1933). More specifically, A-weighting
- 439 describes the decibel attenuation necessary for each frequency to be perceived at the same
- intensity, because the perceived intensity varies depending on the frequency of the stimulus.

There was an average of 55 pure tones per frequency, per recording, totaling 1,795 pure tones per recording. Frequencies ranged from 0.1 kHz to 25.6kHz, each separated by a quarter of an octave. The inter-stimulus interval was randomly generated from a gamma distribution with shape parameter 6 to achieve an average presentation rate of 3 Hz. Tones could overlap but less than 1% of tones did, and only 2 tones for every 64 were adjacent.



446

Figure 5: Iso-intensity pure tones stimulus. Sample stimulus spectrogram used to obtain
STRFs. Frequencies range from 0.1 kHz to 25.6kHz and each is separated by a quarter of an
octave. Tones are presented binaurally at an average rate of 3 Hz for a total of 10 minutes. Note
that the width of the squares in this figure is larger than their true duration (50 ms) for the sake
of presentation, and more tones appear to be overlapping than is truly the case. Refer to Figure
A for a true representation of the duration of each stimulus over a smaller time-window.

453 Subjects were instructed to fixate on a visual fixation cross throughout the stimulus presentation 454 to reduce eye movement artifacts. The volume intensity was set to a comfortable hearing level.

455 II.b. MEG Acquisition

456 Using a 6 degrees-of-freedom digitizer (Patriot - Polhemus; Matlab interface RRID:

457 SCR_006752) each subject's head was digitized. The head shapes contained about 100 to 200 458 points distributed across the scalp, evebrows and nose to precisely coregister the activity to the 459 structural MRI. Three coils were attached to fiducial anatomical locations on the head (nasion, 460 and left and right pre-auricular points) to capture head movement inside the MEG. To record 461 blinks and eye movements, we placed bipolar electro-oculographic (EOG) leads about 1 cm 462 above and below one eye, and about 1 cm lateral of the outer canthi. Electrocardiographic 463 (ECG) activity was recorded with one channel. The electrical reference was placed at the 464 opposite clavicle. Both EOGs, ECG and the electrical reference were used for subsequent MEG 465 artifact detection and removal. MEG was recorded using a 275-channel (axial gradiometers) 466 whole-head MEG system (CTF MEG International Services Ltd.). All data were downsampled to 467 2400 Hz.

468 II.c. Structural MRI

Three-dimensional T1-weighted anatomical MR image volumes covering the entire brain were acquired on either a 1.5T Siemens Sonata or 3T Siemens Magnetom Prisma scanner with an 8 channel head coil (repetition time = 27 ms; echo time = 9.20 ms; between 176 and 192 sagittally oriented slices with slice thickness of 1 mm; acquisition matrix = 240x256; field of view = 256 mm).

474 II.d. MEG Data Pre-Processing and Spatial Modeling

475 MEG data analysis was performed in Matlab (RRID: SCR_001622; MATLAB and Statistics

- 476 Toolbox Release 2015b), coupled with the Brainstorm extension (Tadel, Baillet, Mosher,
- 477 Pantazis, & Leahy, 2011), which is documented and freely available for download online under

the GNU general public license (RRID: SCR_001761; Tadel, 2019). MRI-based cortical
reconstruction and volumetric segmentation were performed with the FreeSurfer image analysis
suite (RRID: SCR_001847; Fischl, 2013; Dale & Sereno, 1993; Fischl, Sereno, & Dale, 1999;
Fischl, Liu, & Dale, 2001).

Raw MEG data was pre-processed to remove signal contamination due to ocular, cardiac, and
muscular artifacts using signal-space projections (Tesche et al., 1995; Uusitalo & Ilmoniemi,
1997). Each recording was then manually reviewed to discard any segment still experiencing
significant contamination from artifacts.

486 The forward problem was solved using the overlapping-sphere approach (Huang, Mosher, & 487 Leahy, 1999), which fits a sphere to the scalp surface. This simplified modeling method can be 488 used given that the magnetic fields recorded from the brain are not distorted by the shape of the 489 skull (Barth, Sutherling, Broffman, & Beatty, 1986; Okada, Lahteenmäki, & Xu, 1999). wMNE 490 (Lin et al., 2006) was used to solve the reverse problem, with sources being constrained to a 491 one-dimensional perpendicular orientation with respect to the cortex surface. The MRI-based 492 cortex surface was generated with FreeSurfer and contained 330,000 sources (Dale & Sereno, 493 1993). Otherwise, default Brainstorm parameters were used in the wMNE modeling (SNR: 3 / 494 Whitening: PCA; Regularize noise covariance: 0.1; Depth weighting: Order 0.5 / Maximal 495 amount 10).

To reduce computation time, a lower resolution cortical tessellation (15,000 sources) was used to generate the wMNE source model for the purpose of regional time-frequency analysis. A high resolution cortical tessellation (150,000 sources) was used for the remainder of the analysis to maximize the spatial resolution.

500 II.e. Time-Frequency Decomposition

501 A time-frequency (TF) decomposition was done to select the optimal band-pass filter to apply to 502 the pre-processed IIPT recording before further analysis. This analysis was conducted on all 503 subjects using a randomly selected subset consisting of 10% of the presented IITPs. An 504 anatomical ROI was selected for the TF decomposition. Given the putative primary AC's 505 location over HG (Liegeois-Chauvel, Musolino, & Chauvel, 1991), the ROI was based on the 506 Desikan-Killiany parcellation for HG generated by FreeSurfer (Desikan et al., 2006), which was 507 then manually enlarged to cover the surrounding sulcal space on both hemispheres. Using the 508 15,000 source-model, the analyzed ROI overlying HG covered an average of 320.6 sources (SD 509 23.7) or 49.6 cm² (SD 4.28) per subject.

510 The recording was divided into trials of 1 s, from -500 to 500 ms with respect to each IIPT. The 511 DC offset was corrected using the 500 ms period before each IIPT as a baseline. Time-series 512 for each source within the ROI were extracted for each trial. These time-series were then 513 subjected to a TF-decomposition using Morlet wavelets (Tallon-Baudry & Bertrand, 1999) 514 characterized by a central frequency of 1Hz and a time resolution of 1 s. The decomposition 515 was analyzed in 1 Hz-sized frequency bins. These parameters were chosen to maximize the 516 spectral resolution at the 100 ms response latency (M100), with the goal of using the M100 517 response for the remainder of the analysis. The M100 is the earliest detectable event-related 518 response attributable to the AC that can be reliable measured with auditory evoked fields 519 (Pantev et al., 1988). Moreover, the M100 response measured by MEG correlates well spatially 520 with the response measured through intracranial recordings (Godey et al., 2001).

521 The resulting TF-decompositions were then normalized by z-score transformation using a 250 522 ms-baseline before each IIPT, and an average across all ROI sources for each subject was 523 obtained. A conservative z-score threshold of 1 was applied to the average TF-decomposition to

identify the information-containing frequency bands at the 100 ms latency. Across all subjects,

- 525 the minimum lower cutoff frequency was 3 Hz and the maximum upper cutoff frequency was 13
- 526 Hz (for the average TF-decomposition, see Figure S5; for the individual subjects' TF-
- 527 decomposition values, see Table S1). A band-pass filter of 3-13 Hz with a stopband attenuation
- 528 of 60 dB was therefore applied to the pre-processed IIPT recordings for further analysis.

529 II.f. Estimation of STRFs

- 530 The ROI was constructed with the Desikan-Killiany parcellation of the transverse temporal gyrus
- 531 (HG) and the part of the superior temporal gyrus that is posterior to HG, given that our focus
- 532 was on the purported region of the primary auditory cortex. STRFs were generated using a
- 533 technique based on the reverse correlation approach for each source within our ROI. Figure 6
- 534 depicts this process.

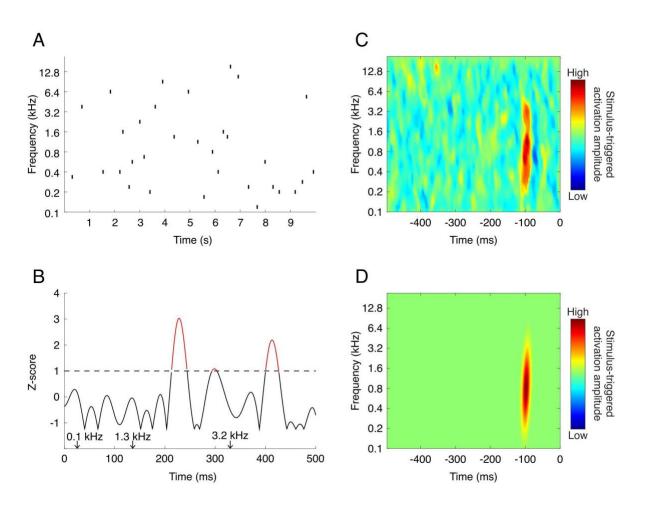


Figure 6. Generation of STRFs. The process of generating an STRF is depicted. (A) 10second sample of the IIPT recording. (B) Z-score transformed source-space time series. The
threshold for defining a significant activation event is shown with a dashed line at a z-score of 1.
Significant activation events are shown in red. The time points at which three sample pure tones
were played are shown with arrows above the x-axis. (C) Sample STRF, representing the
average stimuli preceding all significant activation events for a given source. This particular
STRF has a best frequency of 1.3 kHz. (D) Gaussian-fitted STRF.

535

The source-space time series was first extracted and converted to absolute values to eliminate the effect of the dipole current's directionality which is not of interest for our application. The absolute value of the time series was then transformed into a z-score normalized time series dynamically by recalculating the mean and standard deviation at each reasonably long segment of silence. This segment of silence had to be at least 100 ms long and begin a minimum of 350
ms after the end of the previous IIPT stimulus to avoid contaminating the baseline with late
stimulus-related responses.

550 From the z-score transformed source-space time-series, local maxima were extracted. A 551 significant activation event was defined as a local-maximum with z-score > 1 (shown in red in 552 Figure 6, panel B). Such a conservative z-score threshold was chosen to avoid missing 553 activation events that could be reliably time-locked to a stimulus but that may have an amplitude 554 that is relatively low. This choice is counterbalanced by the fact that we weigh activations 555 proportionally to their z-score amplitude, as explained below. We believe this low z-score 556 threshold in combination with a weighting system leads to a more objective selection of 557 significant activations. In comparison, choosing a higher z-score threshold arbitrarily to select a 558 smaller number of activations could be highly dependent on the signal-to-noise ratio of a 559 particular experiment, where different thresholds may lead to different tonotopic maps.

560 To calculate STRFs, a method based on reverse correlation analysis (deCharms, Blake, & 561 Merzenich, 1998; de Boer & Kuyper 1968) was used. Reverse correlation analysis can be used 562 to reliably estimate a neuron's STRF when the stimulus is uncorrelated, or sampled randomly 563 and uniformly across the spectrotemporal dimensions as is the case with our IIPT stimulus 564 (Theunissen, Sen & Doupe, 2000). In summary, the STRF produced through reverse correlation 565 represents the linear estimate of the optimal stimulus preceding a neuronal activation event. It is 566 calculated by computing the average stimulus, in both spectral and temporal dimensions, that 567 precedes a neuronal activation event. For several authors (see for e.g. deCharms, Blake, & 568 Merzenich, 1998), this neuronal activation event is a spike rate, and the STRF quantity is 569 therefore a stimulus-triggered spike rate average. In the method described below, we used a 570 stimulus-triggered activation amplitude average (the average z-score value of the significant 571 activation events), which is more in keeping with the metric being recorded by MEG. The

importance of a given activation event on the resulting STRF is therefore proportional to itsamplitude.

574 More specifically, this STRF was computed as a matrix STRF(f, t), where f represents each 32 575 presented stimulus frequencies and t represents 4 ms bins within the 500 ms time window 576 preceding a significant activation event. For each significant activation event i, the stimulus 577 content in the preceding 500 ms time window was extracted. For each stimulus with frequency f578 and time t within this time-window, a value corresponding to the z-score amplitude of the 579 corresponding significant activation event was defined as $Z_i(f, t)$. This z-score amplitude was 580 then corrected for the slight variation in the total number of stimuli presented for each stimulus frequency by multiplying it by the coefficient $(f) = \frac{\bar{s}}{s_f}$, where \bar{s} represents the mean number of 581 582 stimuli presented per stimulus frequency, and S_f represents the total number of stimuli 583 presented of frequency f. The corrected z-score activation amplitudes corresponding to each 584 stimulus within the reverse correlation time-windows were then summated to generate the final 585 matrix representing the average stimulus-triggered activation amplitude:

586
$$STRF(f,t) = \frac{1}{n} \sum_{i=1}^{n} [C(f) \cdot Z_i(f,t)]$$

587 The final STRF was smoothed using a gaussian-weighted moving average with a window size 588 of 4x4. The M100 response was then defined as the highest spike within a latency window of 80 589 to 120 ms. The STRF's best frequency was defined as the frequency that elicited the maximal 590 amplitude of activation at a latency corresponding to the M100 response. The best frequency 591 was z-score transformed using the segment of the STRF from -500 to -350 ms for the purpose 592 of determining which STRF showed a significant M100 response (see *Results, Selection of* 593 *IIPT-Responsive Sources*). 594 The STRF was finally fitted to a 2D-gaussian surface, aligned on the peak corresponding to the 595 M100 response, in order to smooth the data for estimation of bandwidth and best temporal 596 modulation rate. To normalize its value according to the overall amplitude, the bandwidth was 597 defined as the full spectral width at half maximum of the gaussian-fit and represents the range 598 of frequencies that can elicit an M100 response. The best temporal modulation rate was 599 calculated as $R = (2W)^{-1}$, where W represents the temporal width of the gaussian-fit. The best 600 temporal modulation rate represents a source's preference for a stimulus with a particular 601 temporal modulation.

- 602 Only sources with best frequencies ranging from 0.119 kHz to 18.102 kHz were included in the 603 subsequent analysis (total of 30/32 frequencies). The frequency extremes were eliminated to 604 eliminate the edge-effect caused by smoothing the STRFs.
- 605 The Brainstorm process used to generate STRFs and map the STRF features onto a cortex
- surface is available under an open source BSD license at the following GitHub repository:
- 607 https://github.com/NeuroSensoryBiomarkingLab/MEGACmapping.

608 Acknowledgements

- 609 We thank Sylvain Baillet, PhD, Robert Zatorre, PhD, and Kuwook Cha, PhD, from the
- 610 Department of Neurology and Neurosurgery at McGill, for providing helpful comments about our
- 611 methods and analysis.

612 Additional Files

613 Supplementary File 1

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