Association versus Prediction: the impact of cortical surface smoothing and parcellation on brain age

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7 Abstract

8 Association and prediction studies of the brain target the biological consequences of aging and their 9 impact on brain function. Such studies are conducted using different smoothing levels and parcellations 10 at the preprocessing stage, on which their results are dependent. However, the impact of these parameters on the relationship between association values and prediction accuracy is not established. 11 In this study, we used cortical thickness and its relationship with age to investigate how different 12 13 smoothing and parcellation levels affect the detection of age-related brain correlates as well as brain age prediction accuracy. Our main measures were resel numbers - resolution elements - and age-related 14 variance explained. Using these common measures enabled us to directly compare parcellation and 15 smoothing effects in both association and prediction studies. In our sample of N=608 participants with 16 age range 18-88, we evaluated age-related cortical thickness changes as well as brain age prediction. 17 18 We found a negative relationship between prediction performance and correlation values for both 19 parameters. Our results also quantify the relationship between delta age estimates obtained based on 20 different processing parameters. Furthermore, with the direct comparison of the two approaches, we 21 highlight the importance of correct choice of smoothing and parcellation parameters in each task, and 22 how they can affect the results of the analysis in opposite directions.

23 1 Introduction

24 From a biological standpoint, aging is defined by the structural and functional alterations in living 25 organisms (López-Otín et al., 2013). Traditionally, brain imaging studies have used neuroimaging data 26 to find associations between age and tissue alterations across brain areas, using chronological age as 27 the ground truth (Booth et al., 2013; Curiati et al., 2009; Hu et al., 2014; Lemaître et al., 2005; 28 Takahashi et al., 2011; Ziegler et al., 2012). However, biological age might vary between individuals 29 with identical chronological age as well as across different tissues within the same person (Horvath, 30 2013). To non-invasively measure the biological age of the brain, neuroimaging data is used to predict age. The difference between predicted age and chronological age is then defined as "delta" or brain 31 age gap estimate i.e. "BrainAGE" to compare the subjects' chronological age with the predicted brain 32 33 age in a given reference population (James H. Cole & Franke, 2017; Franke et al., 2012; Franke & 34 Gaser, 2019a; Smith et al., 2019a).

Both age related brain alterations and delta age have been studied and used extensively in the neuroimaging literature. Age association studies translate and generalize easily across different

37 datasets. These association studies are applied across brain regions and can distinguish the differential 38 effect of age on different brain areas (Storsve et al., 2014). Furthermore, they directly relate to 39 biological measures and mechanistic changes in the brain (Khundrakpam et al., 2015). More recently, 40 it has been recognized that association studies are prone to overfitting and more studies focus on 41 prediction as the main goal of the study (Bzdok et al., 2020; Yarkoni & Westfall, 2017). Brain age 42 studies (i.e. age prediction studies based on neuroimaging data) rely on modeling and prediction 43 accuracy. This goal is generally achieved by using a feature set that can capture the variability between 44 and within subjects. On the other hand, prediction tasks face a trade-off between a more accurate whole 45 brain model with no regional specificity versus a model with lower accuracy and increased spatial 46 resolution (James H. Cole & Franke, 2017; Franke & Gaser, 2019a). This limitation also results in a 47 more indirect relationship between delta age and other phenotypes without a direct mechanistic and 48 biological model. Nonetheless, the difference between brain age and chronological age is associated 49 with cognitive decline (Gaser et al., 2013), predisposition to neuropsychiatric and neurodegenerative 50 disorders (Kaufmann et al., 2019), and mortality (J. H. Cole et al., 2018). While evidence supports the 51 application of delta age as a valuable measure to study aging in health and disease, it has been criticized 52 due to its reliance on prediction accuracy (i.e. more accurate models result in lower delta values) (James

53 H. Cole & Franke, 2017).

54 The results of both association studies and delta estimation studies are impacted by processing steps 55 such as data normalization, spatial resolution, and parcellation level (i.e. size of the parcels) of the 56 analysis. Most association studies use smoothing to (i) normalize the distributions of cortical thickness 57 across subjects, (ii) minimize registration and anatomical misalignment across subjects, (iii) reduce 58 measurement noise, and (iv) increase statistical power (Lerch et al., 2006; Lerch & Evans, 2005; 59 Worsley et al., 1999; Zhao et al., 2013). These advantages are gained at the cost of losing individual 60 variability and spatial resolution. In fact, smoothing has been studied and optimized for best 61 performance in association studies, using simulation as well as in real datasets. The smoothing level 62 has been proposed as a dimension within the parameter space in the association analysis that needs to 63 be searched for the given statistical contrast (Lerch & Evans, 2005; Zhao et al., 2013).

64 Brain age prediction studies have been conducted with various levels of data smoothing. Moreover, 65 these studies rely on various dimension reduction techniques, brain parcellations, or a combination of the two approaches for feature extraction (Franke & Gaser, 2019b; Smith et al., 2019b). The optimal 66 parcellation for a given task is an open research topic and it can vary between studies (Eickhoff et al., 67 68 2018; Gorgolewski et al., 2016; Salehi et al., 2020). While some studies have predicted brain age with 69 multiple parcellation resolutions (Khundrakpam et al., 2015; J. D. Lewis et al., 2019), others have used 70 a predetermined number of parcels. However, the effect of smoothing and parcellation in brain age 71 prediction is not studied systematically. Furthermore, these changes in prediction accuracy also affect 72 the delta estimate (i.e. the variable of interest), and it is not clear whether the delta estimates are robust 73 or sensitive toward these initial choices.

In this study, we used cortical thickness as the brain measure of interest and examined the effect of smoothing and parcellation level on both brain associations with age and brain age prediction. Using different levels of parcellation and smoothing, we projected brain measures onto a lower dimension data representation space and investigated how this mapping affects the derived associations and predictions. We further examined the relationship between the two approaches. Finally, we examined how delta age estimates alter based on different smoothing and parcellation levels.

80 2 Methods

81 2.1 Data

Data used in this study included subjects with T1-weighted MRI data available from the second stage of the Cambridge Centre for Ageing and Neuroscience (CamCAN, https://www.camcan.org/index.php?content=dataset) dataset, described in more detail in (Shafto et al., 2014; Taylor et al., 2017). Subjects were screened for neurological and psychiatric conditions and those with such underlying disorders were excluded from the study.

87 2.2 MRI acquisition

88 T1-weighted MRIs were acquired on a 3T Siemens TIM Trio, with a 32 channel head-coil using a 3D

89 magnetization-prepared rapid gradient echo (MPRAGE) sequence (TR=2250ms, TE=2.99ms,

90 TI=900ms; FA=9 deg; FOV=256x240x192mm; 1mm isotropic; GRAPPA=2; TA=4mins 32s). For

- 91 detailed acquisition parameters see:
- 92 https://camcan-archive.mrc-cbu.cam.ac.uk/dataaccess/pdfs/CAMCAN700_MR_params.pdf.

93 2.3 MRI processing

94 We used CIVET 2.1.1 (http://www.bic.mni.mcgill.ca/ServicesSoftware/CIVET, release December 2019), a fully automated structural image analysis pipeline developed at the Montreal Neurological 95 Institute, to perform surface extraction and cortical thickness estimation. Briefly, each subject's T1-96 97 weighted MRI is corrected for nonuniformity artifacts using the N3 algorithm (N3 distance = 125 mm) (Sled et al., 1998) and linearly registered to stereotaxic MNI152 space (voxel resolution = 0.5 mm) 98 99 (Collins et al., 1994). The brain is extracted and undergoes tissue classification into three classes: white 100 matter (WM) tissue, grey matter (GM) tissue, and cerebrospinal fluid (CSF) (Tohka et al., 2004; 101 Zijdenbos et al., 2002). White and grey matter surfaces are extracted using the marching cube algorithm 102 and constrained Laplacian-based automated segmentation with proximities (CLASP) algorithms, 103 respectively (Kabani et al., 2001; Kim et al., 2005; MacDonald et al., 2000). Using the extracted 104 surfaces, cortical thickness is measured as the distance between the white and grey cortical surfaces 105 using the Laplace's equation (Jones et al., 2000). For blurring, a surface-based diffusion smoothing 106 kernel (not to be confused with volumetric kernels) is used, which generalizes Gaussian kernel 107 smoothing and applies it to the curved cortical surfaces (Chung et al., 2002). We applied 6 different 108 smoothing levels with FWHM = 0, 5, 10, 20, 30, and 40 mm. Cortical thickness was measured across 109 the cortical surface for 81924 vertices (40962 vertices per hemisphere). The results underwent visual 110 inspection, specifically subjects with major errors in extracted pial and gray-white surfaces were 111 excluded.

112 2.4 Cortical parcellations

113 We used the Schaefer functional MRI parcellations (Schaefer et al., 2018), a data-driven atlas based 114 on the widely used seven large-scale functional network parcellations by (Thomas Yeo et al., 2011). 115 We used Schaefer parcellation with 100, 200, 400, and 1000 regions (referred to as parcellation levels). 116 All atlases were registered to the MNI cortical surface template and used in the MNI space (L. B. Lewis 117 et al., 2019). Cortical thickness measurements with different smoothing levels were averaged across 118 these parcellations. These parcellation based measures of cortical thickness were used alongside 119 vertex-wise measurements to examine the interaction between the effect of brain parcellation averaging 120 and smoothing on statistical associations as well as brain age prediction accuracies.

121 **2.5** Cortical resels and effective smoothing

122 In order to compare the findings between smoothing levels and different parcellations, first all obtained 123 cortical thickness were projected to the brain surface. We used the number of resels (i.e. resolution 124 elements) as the measure of interest, since it takes the statistical dependence of the brain map into 125 consideration and is independent of the analysis resolution (at least from a theoretical standpoint) (Lerch et al., 2006; Worsley, 1996; Worsley et al., 1992, 1999). Using the statistical maps between 126 127 aging and cortical thickness, we estimated the number of resels for each smoothing and parcellation 128 level and used it to quantify the similarity between these conditions. Resels are the number of resolution 129 elements approximated for a given search space (i.e. D(S2), S2= brain surface) and a given smoothness 130 level FWHM. While the effective FWHM measure varies across brain areas, we defined the overall 131 effective smoothness of the brain map as the square root of the surface search space divided by the 132 number of resels estimated across brain areas (Hayasaka et al., 2004). For the purpose of the current 133 study, the main statistical maps considered are the linear associations between cortical thickness and 134 the chronological age of the participants. All analysis were performed using SurfStat toolbox 135 https://www.math.mcgill.ca/keith/surfstat/.

136 **2.6 Statistical methods**

To examine the effect of the smoothing and parcellations, mean (μ) and standard deviation (σ) of 137 138 cortical thickness for each vertex/parcel was calculated across the population. The coefficient of 139 variation (CV), $CV = \sigma/\mu$, was used as the main measure of variability. The CV was averaged across the 7 main cytoarchitectural brain regions (von Economo, CF; Koskinas, 1927) in order to examine the 140 141 effect of parcellation and smoothing across major cytoarchitectural regions and identify any differential 142 impact on a given brain region. Finally, to measure the association between chronological age and 143 cortical thickness across lifespan, correlation coefficient (r) for each vertex/region was calculated. Variance explained (r^2) was used to visualize the results. 144

145 **2.7 Brain age prediction**

146 We used principal component analysis (PCA), a singular value decomposition based data factorization 147 method, as the dimensionality reduction approach for our predictive variables (i.e. cortical thickness 148 data) (Smith et al., 2019b). This approach allowed us to use the same number of features across 149 parcellation levels and smoothing kernels and therefore made it possible to compare model 150 performance across these conditions. Our analysis for each condition included 1 to 100 first principal 151 components as features to study different levels of dimensionality reduction. 100 is used as the 152 maximum possible number of independent components for the lowest number of parcels (i.e. Schaefer 153 100). To predict brain age, we used linear regression as the main prediction model, and to ensure 154 generalizability and avoid overfitting, we used 10-fold cross validation. Finally, to increase robustness, 155 results averaged over 100 repetitions are reported. Root-mean-squared error (RMSE) was used as the 156 natural cost function for linear regression models. Mean absolute error (MAE) and correlation between 157 chronological age and predicted age (two other common error metrics in the age prediction literature 158 (Franke & Gaser, 2019b)) are also reported in the supplementary materials.

159 **2.8** The relationship between Brain age prediction and age related brain association

160 To compare brain age association and age prediction, we used the variance explained between 161 dependent and independent variables as the main measure of interest for each model. This common 162 measure enabled us to quantify the two analyses in relation to each other. Furthermore, we examined 163 how the number of resels affects whole brain associations with age as well as brain age prediction. To 164 translate the age prediction error into variance explained, we used the predictive features in a linear

165 model, calculating the variance explained for age using adjusted R^2 . Finally, the overfitting bias

between the variance explained (i.e. adjusted R^2) using this linear model and the cross validated prediction (i.e. r^2 between predicted age and chronological age) is reported.

168 **2.9 Delta age**

169 The main goal of brain age prediction studies is to calculate the deviation from chronological age based

- 170 on the population norm, also known as delta age. Here, we examined the effect of smoothing and 171 parcellation on delta age estimation:
- 172

$$Y = X\beta_1 - \delta_1 \longrightarrow \delta_1 = X\beta_1 - Y$$

where Y denotes chronological age, X denotes the neuroimaging features, and δ_1 denotes the difference between predicted and chronological age. δ_1 is a measure of brain state/health compared to the population with similar chronological age, and is used to study the predisposition to different brain disorders as well as individual cognitive abilities in neuroimaging literature.

177 δ_1 being residual of the predictive model is by definition: (1) orthogonal to the predictive measures X,

and in the case of linear models (2) correlated with the output *Y*(i.e. chronological age) (Le et al., 2018;

179 Liang et al., 2019; Smith et al., 2019b). The first feature is unfavorable, since we are interested in brain

related discrepancy between chronological and predicted age. The lack of association between δ_1 and

brain features predicting age undermines the interpretability of δ_1 in relation to brain measures. The second property is also an adverse feature, since it makes it difficult to distinguish the effect of the

second property is also an adverse feature, since it makes it difficult to distinguish the effect of the chronological age from the additional biological delta age (due to their collinearity). Therefore, in the

current study, we followed the recommendation of smith and colleagues (Smith et al., 2019b) and used

185 δ_2 , the orthogonalized residuals against chronological age:

186
$$\boldsymbol{\delta}_2 = \boldsymbol{\delta}_1 - \boldsymbol{Y}\boldsymbol{\beta}_2$$

187 δ_2 is then used as the main measure of interest for association across conditions. The results for δ_1 is

provided in the supplementary materials. Note that δ_2 is also consistently calculated using the same 10-fold cross validation with 100 repeats as δ_1 . All statistical and prediction analyses were performed

- using MATLAB 2018a.
- 191 **3 Results**

192 **3.1** Cortical thickness aging, resels and practical smoothness

The parcellations have a considerable impact on the number of resels and function as region-based smoothing kernels applied across the brain (Figure 1-A). This change in the number of resels affects the statistical power and the association as well as prediction results. Across parcellation levels from 100 to 1000, the effect of the smaller smoothing kernels with FWHM 0-10 mm is negligible, while applying larger kernels reduces the number of resels dramatically. This equivalency plot also suggests that at the vertex level, the smoothing kernels act as a non-specific parcellation (from an anatomical perspective) across the brain.

200 3.2 Cortical thickness variability

While keeping the mean cortical thickness measure intact, smoothing resulted in underestimation of the cortical thickness in the gyri areas and overestimation in the sulci regions. The results are similar for parcellations in the case of uniformly sized parcels and balanced inclusion of gyri and sulci in each

204 parcel (both criteria are met in Schaefer parcellations). Cortical thickness variability (i.e. CV) reduces 205 significantly both as a result of using greater smoothing and larger parcels (Figure 2-A).

The association cortices have the lowest CV across resolutions and parcellations. Both smoothing and parcellation result in the highest decrease in CV in limbic and insular cortices, while primary sensory

and motor areas show the lowest change (Figure 2-B). The results are shown for 0 mm smoothing

across parcellations. The greatest change occurs with increasing the FWHM value from 10 to 20 mm,

as well as decreasing the number of parcels from 400 to 200. The results for different smoothing kernels

211 at vertex level were also similar (Supplementary Figure 1).

212 **3.3** statistical association between cortical thickness and aging

Figure 3-A. shows the association between age and cortical thickness (using variance explained r^2), 213 214 calculated for each voxel/parcel for all conditions, after Bonferroni correction to account for the 215 multiple comparisons at each level. The correlation increases with greater smoothing and larger 216 parcels. Changing smoothing kernel size results in the highest variability in the correlation distribution 217 across the brain at vertex level resolution (Figure 3-B, top panel), whereas smoothing doesn't change the results within Schaefer 100 parcellations (Figure 3-B, bottom panel). The same pattern is evident 218 219 between parcellation levels with 0 mm smoothing showing the highest variability, and 40 mm 220 smoothing with lowest variability across parcellations. These findings are further explained with 221 reference to the number of resels and effective smoothing in section 3.5. Finally, while present across 222 all brain areas, the variability between correlation maps is the highest within association cortices, 223 primary motor, and insular cortex.

224 **3.4** brain age prediction based on cortical thickness

225 For age prediction, vertex-level data outperformed all parcellation-based data using the same (or a 226 smaller) number of principal components as predictive features. The accuracy was also higher for lower 227 smoothing kernel size. However, this effect was more pronounced for FWHMs greater than 10mm, 228 and the results for FWHM values of 0, 5, and 10 mm showed a very similar performance in the vertex-229 level analysis. A similar pattern was present within each parcellation level. The best performing models 230 (i.e. 0 and 5 mm smoothed vertex-wise), reach their minimum error using the first 20-30 principal 231 components as features in the prediction model (i.e. a sample to feature ratio of 28-18). The pattern 232 was similar for MAE and correlation between predicted age and chronological age (Supplementary 233 Figure 2 and 3).

3.5 The relationship between prediction and association

235 As expected, there was a negative relationship between the overall correlation between age and cortical thickness across brain regions (measured by median r^2) and the number of resels within each condition 236 (Figure 5-A). Interestingly, we found a positive association between the number of resels and the 237 238 overall ability of cortical thickness features to explain the variance of chronological age (as measured by adjusted R^2 of the linear model) shown in Figure 5-B. These results suggest that the higher number 239 240 of resels results in lower correlation values, but since resels are independent based on their relationship 241 with age, they can explain different modes of chronological age within the population (hence the higher 242 adjusted R^2), whereas, in conditions with lower resel numbers (i.e. higher smoothing and larger 243 parcels) the correlation values are higher but homogenous across the brain and therefore explain a 244 lower proportion of the age variance.

Finally, there was a strong linear relationship between (i) the overall variance explained (adjusted R^2) using a linear model with age as dependent variable and PCs as independent variable and (ii) the

247 predictive performance of the linear regression model, with a bias due to overfitting in the linear model

248 (Figure 5-C). Figure 5-D shows the overfitting bias of the adjusted R^2 compared to the cross-validated

- 249 prediction, as a function of the number of features in the model. Taken together, these results explain 250 the opposing directions between correlation results and prediction accuracy across parcellation and
- the opposing directions between correlation results and prediction accuracy across parcellation
- 251 smoothing conditions.

3.6 The effect of smoothing and parcellation on the estimation of brain age delta

In this section, we present δ_2 age prediction accuracy results with 10-fold cross validation. The 253 254 prediction accuracy based on the modified δ_2 is presented in Figure 6. One of the main assumptions in 255 age prediction studies is that delta age measured in different studies using different processing 256 parameters are similar and can be interpreted as the same measure. We have examined the relationship 257 between the optimal δ_2 across different parcellations and smoothing kernels (Figure 7). These results demonstrate the degree of sensitivity of δ_2 as a function of our choice for parcellation and smoothing 258 259 kernel. While there is high correlation for large smoothing kernels (20-40 mm) as well as lower number 260 of parcels, these conditions have the lowest prediction accuracies. The correlations between these 261 conditions and higher accuracy conditions (i.e. vertex-wise and 1000 parcels with 0-10 mm smoothing) are lower ($r \sim 0.55$). See the results for δ_1 in the Supplementary Figure 4. 262

263 4 Discussion

In this article, we compared the effect of different smoothing and parcellation on associations between cortical thickness and chronological age as well as brain age prediction accuracy. We showed that the optimal choice for association analysis might indeed undermine age prediction accuracy, and vice versa. We further investigated this relationship and demonstrated the underlying differences that lead to this trade-off between the two analyses. Finally, we examined the effect of smoothing and parcellation on delta age estimation and showed that the initial smoothing and parcellation choices can change the delta estimation which in turn will affect any downstream analysis.

271 We used brain association with age and brain age prediction as our target analyses, since age is used 272 as the main variable of interest or at least a confounding variable in most neuroimaging studies. We 273 used cortical thickness as the main measure of interest. Due to the wide availability of T1-weighted 274 MRI in research and clinical settings, cortical thickness is a suitable measure which has been widely 275 used to study brain anatomy in general (Toga, 2015), and more specifically, brain aging and predicting 276 brain age (Groves et al., 2012; Kandel et al., 2013; Liem et al., 2017; Wang & Pham, 2011). Finally, 277 our results are presented based on a sample size of N~600 which is a common sample size for publicly 278 available datasets in the field of neuroimaging.

279 Given the limited number of subjects in neuroimaging studies compared to potential features (number 280 of vertices/voxels), most prediction studies apply dimension reduction as an initial step. We used PCA 281 for dimension reduction of the cortical thickness data. Due to its simplicity and interpretability, PCA 282 has been widely used in the brain age prediction literature. Furthermore, we employed linear regression 283 with cross-validation as our prediction model (Smith et al., 2019a). As expected, we observed an initial 284 drop in the prediction error, followed by a plateau/increase in the error as the sample to feature ratio 285 increases (Hastie et al., 2009). At each parcellation level, the accuracy drops with increased smoothing, 286 and for each smoothing level, the accuracy decreases with larger parcels/regions.

287 It is commonplace for neuroimaging studies to use smoothing and parcellation as the first step of their 288 analysis to achieve higher statistical power with reducing the individual variability within the data. 289 Furthermore, with increased availability of public neuroimaging datasets, it is commonplace to release 290 a preprocessed version of the data with a fixed smoothing level and averaged based on a given 291 parcellation. Many research groups in the field use preprocessed and parcellation-based data releases 292 as the starting point for their analyses. In fact, in many cases, the raw data is not publicly distributed, 293 and the preprocessed parcellated data is the only version of data available. For example, some of the 294 most influential public datasets in the field of neuroimaging such as Adolescent Brain Cognitive 295 Development (ABCD, for details see https://nda.nih.gov/abcd) Study and UKBiobank (for details see 296 https://www.ukbiobank.ac.uk) provide cortical thickness data using Desikan-Killiany-Tourville 297 parcellations (Klein & Tourville, 2012) with 62 regions (smoothing varies across studies) as one of 298 their pre-calculated measures. Our findings can help provide a guide to interpret these available 299 measures and shed light on the effect of these preselected parameters/parcellation when applied in 300 aging studies.

301 Higher correlation values across brain regions (as a result of smoothing) can be explained by increased 302 signal to noise ratio and reduced individual variability (Figure 2). The effect of smoothing on brain 303 related associations has previously been studied (Lerch & Evans, 2005). Indeed, Zhao and colleagues 304 propose smoothing as a scaling dimension which needs optimization for any given target analysis 305 (Zhao et al., 2013). The effect of parcellation on brain association has been addressed in several studies. 306 However, the optimal parcellation level is still an open question dependent on the specific case of 307 interest (Eickhoff et al., 2018). Here, we showed that parcellation level has a similar impact, by 308 reducing variability, using both CV (Figure 2) and number of resels (Figure 1).

309 In neuroimaging, smoothing and parcellations are generally studied separately. In this study, we used 310 a unified metric to directly compare the effect of smoothing and parcellation. Using resel numbers and 311 variance explained in the model, we have calculated common measures for both association and 312 prediction results. Our results show that with increased smoothing and larger parcels (i.e. lower number 313 of resels), cortical thickness variability reduces. This will remove inter-individual differences across 314 brain regions and result in higher associations between cortical thickness and aging (Figure 5-A). 315 However, while this improves the regional correlation with age, most of this general trend can be 316 captured in a few PCs (mainly the first component) and the rest of the PCs do not explain the remaining 317 variance of age. On the other hand, this relationship is reversed in the conditions with higher resel 318 numbers (i.e. lower smoothing and higher spatial resolutions). While in these cases higher regional 319 variability results in lower correlation with age, the age related associations capture different portions 320 of age variance in different PCs and overall they have a higher adjusted R^2 (Figure 5-B). There was a consistent bias in the adjusted R^2 across conditions (Figure 5-C and 5-D), however, the effects 321 322 remained similar after removing the overfitting with cross-validation. Altogether, these analyses 323 explain the seeming opposite direction of correlation values and prediction accuracies for different 324 smoothing/parcellation levels in section 3.3 and 3.4.

Brain age studies investigate the relationship between and other phenotypes, using a given smoothing and vertex/parcellation resolution as their initial step (James H. Cole & Franke, 2017). However, the effect of the preprocessing condition on estimation is not studied. In the current manuscript, we found a range of associations (0.5-1) between δ_2 s obtained in different conditions. These results suggest not only that each study needs to optimize their choice of the smoothing and parcellation level, but also when interpreting results from different studies in the field, these parameters should be considered. 331 One of the main limitations of the current study is the number of subjects ($N \sim 600$), particularly given 332 that their age spans across 70 years. This leads to overfitting as the number of features increase. In fact, 333 for vertex-wise prediction (with 0 mm smoothing), the first 30 PCs only explain 20 % of the variability in the data. This number is around 40% for 10 mm smoothing. In comparison, the first 30 PCs for 100 334 335 parcels explain 80 and 90% of the variance of the cortical thickness data for 0 mm and 40 mm 336 smoothing levels, respectively (Supplementary Figure 5). Given the higher performance of the vertex-337 wise PCs at 0-10 mm smoothing, it is likely that with a larger sample size and increased sample to 338 feature ratio, the accuracy can be further improved. It should be noted that in each case the variance 339 explained corresponds to the total variability for the corresponding smoothing and parcellation 340 condition. Another limitation in the current study is the use of functionally driven Schaefer 341 parcellations. While this does not automatically suggest a disadvantage, multi-resolution anatomically 342 driven parcellations have the theoretical advantage of a more relevant initial feature space for cortical 343 thickness studies. Finally, CamCAN data used in our study is cross-sectional. This potentially 344 decreases the detection power of our study, since we can only estimate the effect of time between 345 subjects with individual variability as part of the measurement, whereas a longitudinal dataset can 346 decrease variability by estimating the effect of aging within subjects.

347 Traditionally, neuroimaging studies have targeted brain related associations with a given 348 phenotype/symptom or the statistical differences between different groups for a given brain region, 349 followed up with the association of these differences with a given biological or behavioral variable of 350 interest. More recently, there has been an ongoing conversation in the field towards prediction as an 351 alternative approach. Along the same line, the field of brain aging, has pursued age related associations 352 as well as age prediction. The relationship between the two approaches is often taken for granted (since 353 in ideal settings, i.e. large sample size and low inter-individual variability or noise levels, the results 354 would be equivalent) and ignored in practice. In this study, we have directly addressed both age 355 association and prediction as a function of smoothing and parcellation levels. Within our sample size, we found an inverse relationship between regional age related associations and brain age prediction 356 357 accuracy as a function of smoothing and parcellation level, highlighting the importance of the 358 parameter selection based on the goal of the study.

359 5 Conflict of Interest

360 The authors declare no conflict of interest.

361 **6** Author Contributions

362 YZ contributed to the study plan, analysed the data, and wrote the manuscript. AE contributed to the363 study plan and revision of the manuscript.

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378 9 Data Availability Statement

- 379 CamCAN dataset can be accessed after submitting an online application at https://camcan-
- 380 <u>archive.mrc-cbu.cam.ac.uk/dataaccess/datarequest.php</u> and accepting the use agreement. Detailed
- 381 information available at <u>https://www.cam-can.org</u> .

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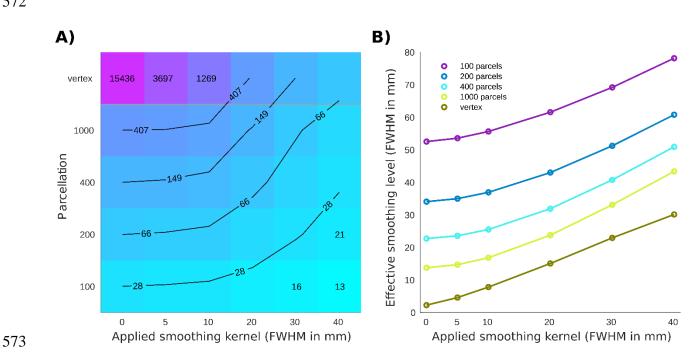
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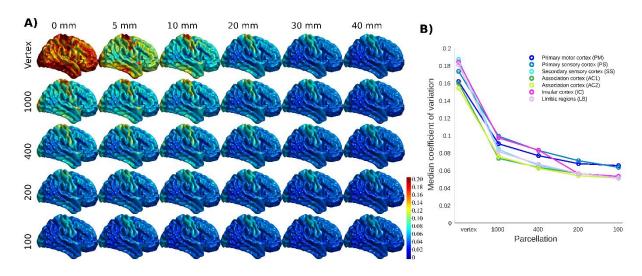




574 Figure 1 | Number of resels and affective smoothing for cortical thickness association with age. A) Number of resels estimated for different parcellations/smoothing pairs. The lines show the interpolated 575 576 iso-response values. **B**) Effective smoothing based on the number of resels for each condition. The 577 results show the initial effective smoothing as a result of parcellation with additional smoothing with 578 applied smoothing kernels.



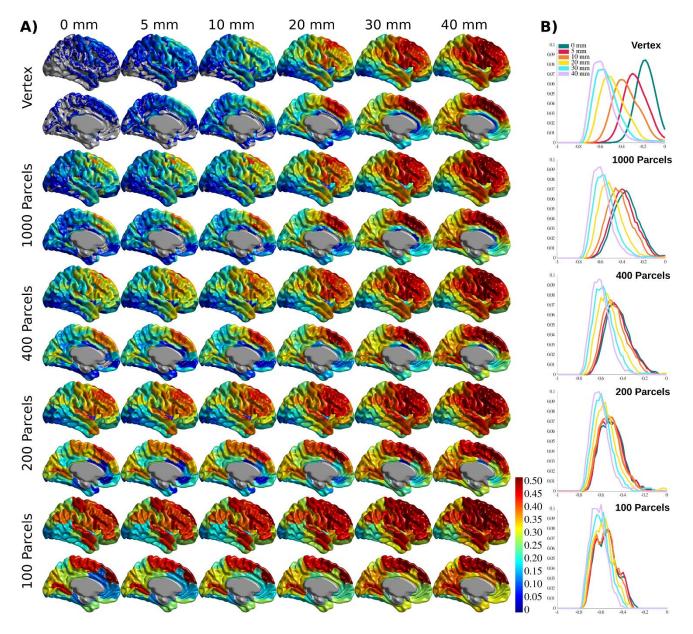
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581 Figure 2 | The coefficient of variation (CV) of cortical thickness across population. A) CV projected

582 across brain vertices for each parcellations/smoothing pair. **B**) CV shown at 0 mm smoothing level for

583 each cytoarchitectural region across parcellation resolutions.



584

Figure 3 | Cortical thickness variance explained by age (r^2) . **A**) Cortical thickness variance explained by age (r^2) for each vertex/parcel across smoothing/parcellation conditions. **B**) Histograms for correlation values ® for each parcellation conditions, grouped by smoothing level.

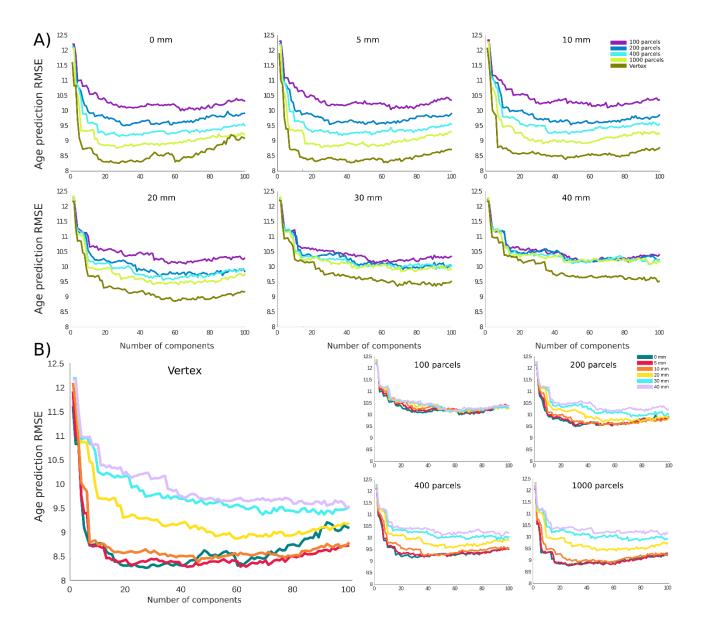
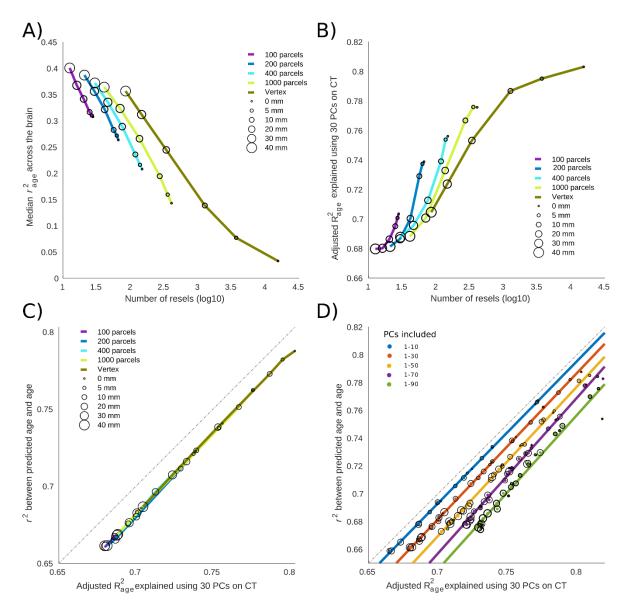


Figure 4 | Root mean square error (RMSE) for age prediction as a function of number of principal
components included as features in the predictive model. A) Results grouped together based on the
smoothing level. B) Results grouped together based on the parcellation resolution.



593 Figure 5 | The relationship between cortical thickness association with age versus brain age prediction. 594 A) Median variance explained of cortical thickness across the brain. The results are grouped based on 595 the parcellation. Circles represent the something level within each parcellation. B) Total variance 596 explained of age by the first 30 principal components (PCs) of cortical thickness as independent variables. C) The relationship between age prediction accuracy and total variance explained of age. In 597 the case of prediction, the first PCs are used as predictive features alongside cross validation to prevent 598 599 overfitting. The total variance explained of age is the same as depicted in B. D) The overfitting bias of 600 linear model compared to the same model used with cross validation. As expected, a higher number of 601 predictive features results in higher level of overfitting bias.

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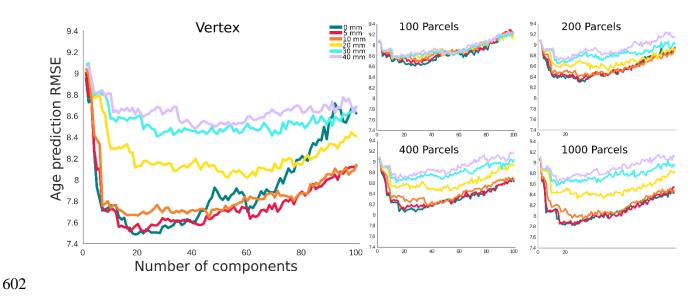






Figure 6 | Root mean square error (RMSE) for Age prediction with δ_2 as the error term. The x axis shows the number of principal components included as features. The results are grouped based on the 604

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606 parcellation resolution.

