

1 **Basal ganglia and cerebellar contributions to vocal emotion processing: a**
2 **high resolution fMRI study**

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26 **Abstract**

27 Until recently, brain networks underlying emotional voice prosody decoding and processing
28 were focused on modulations in primary and secondary auditory, ventral frontal and
29 prefrontal cortices, and the amygdala. Growing interest for a specific role of the basal ganglia
30 and cerebellum was recently brought into the spotlight. In the present study, we aimed at
31 characterizing the role of such subcortical brain regions in vocal emotion processing, at the
32 level of both brain activation and functional and effective connectivity, using high resolution
33 functional magnetic resonance imaging. Variance explained by low-level acoustic parameters
34 (fundamental frequency, voice energy) was also modelled. Wholebrain data revealed expected
35 contributions of the temporal and frontal cortices, basal ganglia and cerebellum to vocal
36 emotion processing, while functional connectivity analyses highlighted correlations between
37 basal ganglia and cerebellum, especially for angry voices. Seed-to-seed and seed-to-voxel
38 effective connectivity revealed direct connections within the basal ganglia—especially between
39 the putamen and external globus pallidus – and between the subthalamic nucleus and the
40 cerebellum. Our results speak in favour of crucial contributions of the basal ganglia,
41 especially the putamen, external globus pallidus and subthalamic nucleus, and several
42 cerebellar lobules and nuclei for an efficient decoding of and response to vocal emotions.

43

44 **Keywords:** basal ganglia, cerebellum, voice, emotion, neuroimaging

45

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47 Social communication through voice entails semantic as well as prosodic meaning, the latter
48 being generally defined as the melody of the human voice. The processing of human voice
49 prosody leads to widespread changes in multiple cerebral regions, especially in the superior
50 temporal and inferior frontal cortices (Ethofer, Anders et al. 2006, Frühholz and Grandjean
51 2013, Frühholz and Grandjean 2013, Grandjean in press). Although rarely put forward, the
52 implication of the basal ganglia should be strongly emphasized. In fact, given their tripartite
53 functional compartmentalization, whereby each basal ganglia (BG) is linked to either the
54 motor, associative or limbic cortex (Alexander and Crutcher 1990, Lambert, Zrinzo et al.
55 2012), there is every reason to suppose that these structures play a major role in emotional
56 processing in humans. This assertion is reinforced by both the BG's intrinsic function and
57 their functional and effective connectivity with the rest of the brain (Pierce and Péron 2020).
58 There is growing evidence for the involvement of the BG in emotional processing, especially
59 for emotions conveyed by the human voice (i.e., emotional prosody), not only directly, but
60 also through their connections with structures known to be involved in emotional processing,
61 such as the superior frontal and temporal gyri, the amygdala and the cerebellum (Thomasson,
62 Saj et al. 2019). This involvement has been revealed by functional magnetic resonance
63 imaging (fMRI) (Péron, Frühholz et al. 2016), electrophysiological data (Péron, Haegelen et
64 al. 2014), lesion studies (Cohen, Riccio et al. 1994), as well as by deep brain stimulation of
65 the BG, a neurosurgical technique that has recently drawn researchers' attention to the
66 possible functional roles of these structures in human emotional processing (for a review, see
67 Péron, Frühholz et al. 2013).

68 Since the role of the BG was first hypothesized in vocal emotion decoding, evidence
69 gathered from fMRI and lesion models has led to the hypothesis that they play a critical and
70 potentially direct role in prosody processing, by promoting efficient decoding of emotional
71 information from vocal cue sequences and rhythmic aspects of speech (Pell and Leonard

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72 2003, Kotz and Schwartz 2010). The highly connected, closed loop nature of the BG make
73 them perfectly situated to coordinate activity in other cortical and subcortical regions related
74 to emotional voice perception. The BG, specifically the subthalamic nucleus (STN), may
75 synchronize neural oscillations within a broader limbic network in order to facilitate efficient
76 processing of auditory and emotion information (Péron, Frühholz et al. 2013). This
77 synchronization would strengthen cortical representations of repeated stimulus-response
78 pairings to form “chunks” of behavioural/cognitive response patterns that could be processed
79 more automatically over learning (Graybiel 2008). Simultaneously, these chunks may be
80 modified by the cerebellum to minimize the prediction error of an internal model based on its
81 representation of the current sensory state and expected outcome of ongoing auditory
82 processing (Sokolov, Miall et al. 2017, Bostan and Strick 2018). Furthermore, the BG and
83 cerebellum may analyse temporal patterns in acoustic stimuli to extract salient emotional cues
84 to feedback to cortex. Nevertheless, the way in which these subcortical and cortical structures
85 exhibit coupling (or decoupling) in order to allow the emergence of a cognitive process such
86 as emotional prosody recognition (i.e., functional integration) remains largely unexplored in
87 affective neuroscience, especially the patterns of connectivity between the BG and the
88 cerebellum, which should play a critical role in vocal emotion decoding (Thomasson, Saj et
89 al. 2019, Pierce and Péron 2020). So far, we spoke about the BG as a whole concept without
90 differentiating their abovementioned subparts and functional sub-territories. As for the
91 subthalamic nucleus, the BG can be divided in at least three functional compartments relative
92 to their cortical efferences: motor, associative and limbic (Alexander and Crutcher 1990,
93 Lambert, Zrinzo et al. 2012, Pierce and Péron 2020). In the present study, we were
94 specifically interested in the limbic BG due to the emotional nature of the stimuli presented to
95 our participants. More specifically, BG regions of interest were the striatum, the globus
96 pallidus (internal and external parts) and the subthalamic nucleus (Schneider, Habel et al.

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97 2003, Wager, Barrett et al. 2008, Kotz, Schwartz et al. 2009, Péron, Frühholz et al. 2015,
98 Pierce and Péron 2020). These BG regions also play a critical role in selecting a relevant
99 response pattern –and inhibiting irrelevant ones– and in reward feedback and anticipation
100 (Pierce and Péron 2020). BG efferences also connect them more directly to the cerebellum,
101 which can also be separated into motor, associative, limbic and cognitive subparts (Leggio
102 and Olivito 2018). Cerebellum functional subparts were recently highlighted by resting state
103 functional connectivity (Buckner, Krienen et al. 2011), specific task-based parcellation (King,
104 Hernandez-Castillo et al. 2019) and cerebellar topography (Leggio and Olivito 2018). In the
105 scope of the present study, the cerebellum would help fine-tune the selected response initiated
106 in the BG, generate an internal model of current goal states and somehow close the loop of
107 reward encoding (Larry, Yarkoni et al. 2019, Pierce and Péron 2020) in addition to
108 simultaneously assessing auditory timing for further iterations of vocal emotion decoding
109 across time (Lesion studies: Grube, Cooper et al. 2010, Breska and Ivry 2016, Breska and Ivry
110 2018). Specific areas of the cerebellum associated with (vocal) emotion processing are the
111 cerebellum crus of ansiform lobule I and II (Crus I,II), cerebellar lobules IV, V, VI, VIIb, VIII
112 and IX, Vermis (Habas, Kamdar et al. 2009, Stoodley and Schmahmann 2009, Stoodley and
113 Schmahmann 2009, Baumann and Mattingley 2012, Leggio and Olivito 2018, Thomasson,
114 Saj et al. 2019, Pierce and Péron 2020) and deep cerebellar nuclei, especially the dentate
115 (Pierce and Péron 2020) and fastigial nucleus (Wang, Dong et al. 2014, Zhang, Wang et al.
116 2016).

117 Although recent neuroimaging studies helped gain new insights into the role(s) of the
118 BG in emotion processing, some of them still presented shortcomings that needed to be
119 overcome. To date, these studies have failed to focus specifically on the BG, meaning that the
120 measurement of the Blood-Oxygenation-Level Dependent (BOLD) signal was not restricted
121 to these regions, thus reducing the spatial resolution in favour of a larger field of view. They

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122 also failed to investigate the functional and effective connectivity among the BG and between
123 the BG and different subparts of the temporal regions (Frühholz, Ceravolo et al. 2011) that
124 sustain emotional prosody processing, and more crucially between the BG and the
125 cerebellum. Finally, the paradigms used so far in the literature did not test the impact of low-
126 level acoustic parameters on voice prosody processing in the BG or cerebellum, even though
127 these parameters greatly impact the BOLD signal at least in temporal and frontal brain regions
128 (Schirmer and Kotz 2006, Frühholz, Ceravolo et al. 2012).

129 Considering abovementioned literature, the present study was designed to improve our
130 current understanding of the functional integration of the BG and cerebellum during
131 emotional prosody processing in humans, taking into account low-level acoustic parameters
132 of interest such as synthesized fundamental frequency (f_0) and energy, using high resolution
133 fMRI in healthy participants. We therefore hypothesized: (i) an increase of BOLD signal in
134 the STN, striatum, globus pallidus (internal, GPi; external, GPe) and cerebellum (Crus I-II,
135 Vermis, cerebellar lobules IV-IX) during the processing of emotional (angry and happy)
136 voices, as opposed to emotionally neutral voice prosody and (ii) similarly for emotional
137 voices when removing variance explained by low-level acoustics (synthesized energy and f_0);
138 (iii) enhanced BOLD signal in the BG (STN, striatum, globus pallidus) for angry voice
139 envelope (synthesized energy); (iiii) functional connectivity between the BG, especially in the
140 STN and GPi/GPe, the cerebellum (Vermis and cerebellar lobules IV-IX, dentate nucleus) and
141 temporal (superior temporal gyrus) and frontal voice areas (inferior frontal cortex,
142 orbitofrontal cortex) when contrasting emotional to neutral voices (independently of
143 synthesized energy and f_0); (iiiiii), enhanced effective coupling within the BG (striatum, STN,
144 GPi/GPe) for angry and/or happy voices.

145

146 **Material and methods**

147 *Participants*

148 We initially included 19 healthy participants but excluded four of them from the analyses
149 because of MRI signal artifacts (N=2) or psychiatric disorder (N=2). The remaining sample
150 consisted of seven males and eight females (N=15), with a mean age of 30.5 years (SD =
151 3.48, range 27-37 years; mean age (SD) for female participants was 30.25 (3.24) and for male
152 participants 30.85 (3.98)). All included participants were right-handed, native French
153 speakers, and had normal or corrected-to-normal vision and normal hearing. None of them
154 had a history of neurological disease or psychiatric disorder. Participants gave written
155 informed consent for their participation in accordance with the ethical and data security
156 guidelines of the University of Geneva. The study was approved by the local ethics committee
157 and conducted according to the Declaration of Helsinki.

158

159 **Experimental setup**

160 *Main task*

161 *Stimuli*

162 The vocal (prosodic) stimuli consisted of two pseudosentences spoken with different
163 emotional prosodies (“*ne kali bam sud molen!*” and “*kun se mina lod belam?*”); mean duration
164 = 1642 ms, range = 854-2788 ms) extracted from a previously validated database, the GENEVA
165 Multimodal Emotion Portrayals (GEMEP) corpus (Banziger and Scherer 2010). Alongside
166 these prosodic stimuli (anger, happiness and neutral), we played synthesized stimuli, built
167 from the original emotional and neutral sounds, in order to control for the temporal dynamics
168 of energy and f_0 . These two basic acoustic features are known to be the most correlated with

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169 emotional prosody judgments (e.g., Banse and Scherer 1996, Grandjean, Banziger et al.
170 2006). The first type of synthetic stimulus (synthesized *intensity*) consisted of a section of
171 white/pink noise, to which the intensity contour of the original stimulus was applied. The
172 second type of synthetic stimulus (synthesized f_0) was a series of pure sine waves (with
173 constant amplitude), the frequency of which corresponded to the f_0 of the original vocal
174 stimulus, allowing us to maintain the temporal dynamics of the f_0 . Both synthetic stimuli had
175 the same duration as in the original recordings. All sounds were matched for mean energy to
176 avoid too strong loudness effects. Two runs were constructed, featuring the different kinds of
177 stimuli in pseudorandom order (no more than three times for the same experimental
178 condition). Each run contained 20 trials featuring anger stimuli, 20 trials featuring happiness
179 stimuli, and 20 trials featuring neutral stimuli, as well as 15 synthesized intensity stimuli, 15
180 synthesized f_0 stimuli, and one section of white noise at the beginning (first stimulus) with a
181 gradual onset to accustom the participants to the auditory material. Each run contained a
182 different list of stimuli. In each prosodic condition, we controlled for the pseudo-sentence
183 being pronounced and the sex of the actor who pronounced the utterances: a female actor
184 pronounced half the stimuli, half of them consisting of the pseudo-sentence "*ne kali bam sud*
185 *molen!*". The total duration of each run was ~10 minutes, and there was a short break between
186 them. Each run contained pairs of identical subsequent stimuli, representing 10% of the total
187 stimuli (pseudorandom order) to allow a one-back task to be performed by the participants,
188 therefore forcing them to carefully attend each stimulus.

189 *Experimental procedure, paradigm*

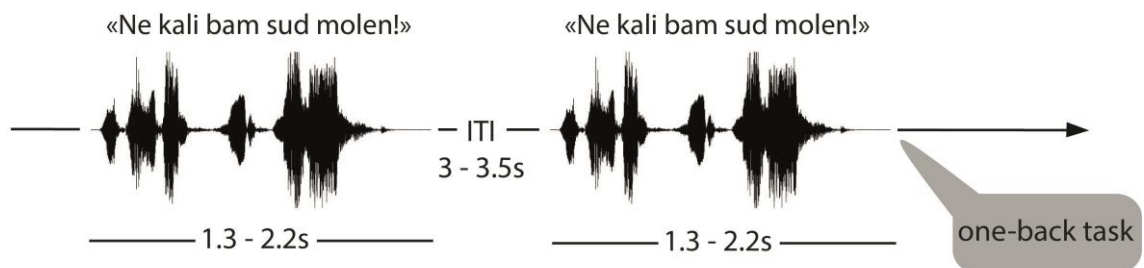
190 In order to avoid expectancy effects, we varied in each trial the duration of the interval
191 between the onset of the fixation cross and the onset of the auditory stimulus. In other words,
192 the presentation of each auditory stimulus was preceded by a silent portion of pseudorandom
193 duration, ranging from 50 to 250 ms, the so-called jitter (Fig.1). After the offset of the sound,

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194 we also included a silent portion ranging from 3000 to 3500 ms. In order to avoid the offset of
195 the sound and the offset of the fixation cross being synchronous, we varied the duration of the
196 interval between these two offsets. Finally, in order to minimize any retinal afterimage, we
197 ensured that the color of the fixation cross did not contrast too greatly with the color of the
198 desktop background.

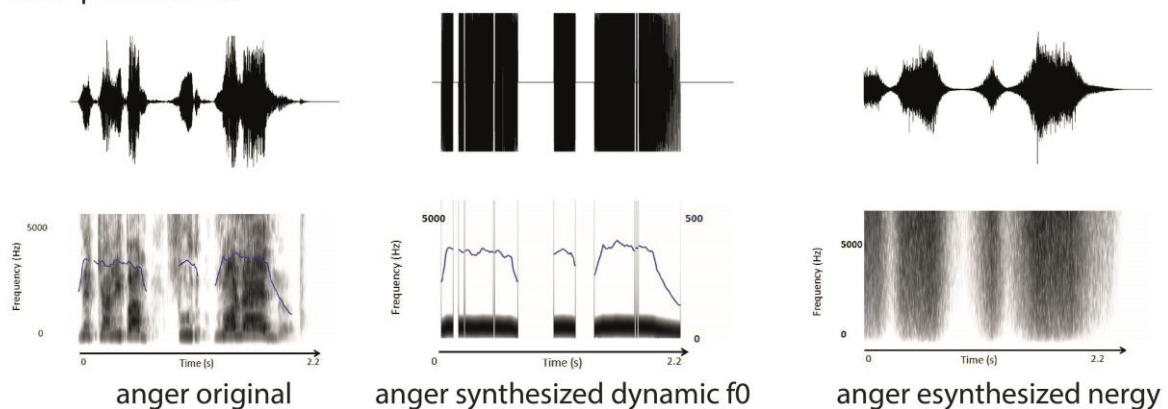
A

Example of two consecutive trials of the one-back task



B

Example of stimuli



199

200 Fig.1: Experimental timeline and details of stimuli for the one-back task. A, Following
201 technical scans (localizer and field map), the first run started for 10 min during which
202 participants had to perform a one-back task on the voice presented auditorily to them using an
203 MRI-compatible button box. The second run followed similarly for 10 more minutes and the
204 session ended with the acquisition of an anatomical image for 5 min. During the complete
205 session, the participant laid down in the scanner and had to pay attention to auditorily
206 presented vocal stimuli and do a one-back task (10% of all trials). All stimuli had a duration
207 of 1.3 to 2.2 s and an inter trial interval of 3 to 3.5 s. B, Voice stimuli consisted of
208 pseudowords arranged in sentences with either original vocal signal, synthesized dynamic f_0
209 manipulation or synthesized energy.

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210

211 For each trial, the participants were asked to keep their eyes open and relaxed. They were told
212 they would hear meaningless speech uttered by male and female actors, as well as synthesized
213 sounds. The binaurally recorded auditory stimuli were played through MR-compatible
214 headphones. Loudness intensity was adjusted for each participant according to her/his hearing
215 threshold at the beginning of the experiment. Participants were asked to focus on these
216 auditory stimuli and to press a button whenever they heard two identical stimuli in a row.
217 These one-back trials represented only 10% of all trials and were excluded from the analyses.
218 The one-back task was administered to ensure that the patients were paying attention to the
219 stimuli. Prior to the task, an MR-compatible response box (Current Designs Inc., Philadelphia,
220 PA, USA) was placed beneath the participant's fingers.

221

222 *Image acquisition*

223 Imaging was conducted at the Brain and Behaviour Laboratory (BBL) of the University of
224 Geneva. For the main task, high-resolution imaging data was acquired on a 3T Siemens Trio
225 System (Siemens, Erlangen, Germany) using a T2*-weighted gradient echo planar imaging
226 sequence with 440 volumes per run (EPI; 1.5x1.5x2.2mm voxels, slice thickness=2mm,
227 gap=0.2mm, 31 slices, RT=2320ms, TE=33ms, flip angle = 90°, matrix=128x128, field of
228 view=192mm). The acquired volumes, representing a truncated field of view compared to
229 standard wholebrain acquisition, were almost perpendicular to the anterior commissure-
230 posterior commissure (AC/PC) line to cover all regions of interest, especially the basal
231 ganglia, cerebellum and the temporal lobe (see Fig.S1 in the Supplementary material).
232 Therefore, the term 'wholebrain' in this manuscript refers exclusively to our truncated field of
233 view, not to volumes covering the wholebrain. The total number of volumes for our fifteen
234 participants was 13'200 for a total number of slices of 409'200. A T1-weighted,

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235 magnetization- prepared, rapid-acquisition, gradient echo anatomical scan (slice
236 thickness=1mm, 176 slices, RT=2530ms, TE=3.31ms, flip angle = 7°, matrix=256x256,
237 FOV=256mm) was also acquired.

238

239 *Image analysis*

240 *Wholebrain analyses*

241 Functional images analysis was carried out using Statistical Parametric Mapping software 12
242 (SPM12, Wellcome Trust Centre for Neuroimaging, London, UK). Preprocessing steps
243 included realignment to the first volume of the time series, slice timing, iterative
244 normalization into the Montreal Neurological Institute space (Collins, Neelin et al. 1994)
245 using the DARTEL toolbox (Ashburner 2007) and spatial smoothing with an isotropic
246 Gaussian filter of 6 mm full width at half maximum. To remove low-frequency components,
247 we used a high-pass filter with a cutoff frequency of 128 s. Anatomical locations were defined
248 using a standardized coordinate database using the Automated Anatomical Labelling atlas
249 (Tzourio-Mazoyer, Landeau et al. 2002) incorporated in the xjView toolbox
250 (<http://www.alivelearn.net/xjview>), an atlas of the brainstem (Fonov, Evans et al. 2011), basal
251 ganglia (Amunts, Lepage et al. 2013) and cerebellum (Diedrichsen, Balsters et al. 2009,
252 Diedrichsen, Maderwald et al. 2011) displayed in FMRIB Software Library v6.0 ('FSL';
253 Smith, Jenkinson et al. 2004) through FSLEyes.

254 A general linear model was used to compute first-level statistics, in which each run
255 was modelled as a distinct session and each trial was convolved with the hemodynamic
256 response function, time-locked to the onset of each stimulus. Separate regressors were created
257 for each condition, namely for the Emotion and the Acoustic Parameters factors (Design
258 matrix columns for each run (N=9): anger original, anger f_0 , anger energy, happy original,

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259 happy f_0 , happy energy, neutral original, neutral f_0 , neutral energy). Finally, regressors of no-
260 interest included the repetition trials of the one-back task that were concatenated across
261 conditions and added as an additional regressor together with six motion parameters for each
262 run to account for movement. Regressors of interest were used to compute nine simple
263 contrasts (one per column of the design matrix, across runs) for each participant (across runs),
264 leading to a main effect of each condition cited above at the first-level of analysis. Simple
265 contrasts were then used in three distinct flexible factorial, second-level analyses. In model 1,
266 the effect of the Emotion (angry, happy, neutral voices, acoustically untouched or ‘original’)
267 factor was modelled with one Participant factor and one Emotion factor. In model 2, factors
268 Participant, Emotion (angry, happy, neutral voices) and Acoustic Parameters (original, f_0
269 synthesized, energy synthesized parameters) were included to model the two-way interaction
270 between our main factors (Emotion*Acoustic Parameters). Model 3 included the main effect
271 of the Acoustic Parameters (normal, f_0 synthesized, energy synthesized parameters) factor,
272 modelled with one Participant factor and one Acoustic Parameters factor. For each model,
273 independence of the Participant factor was set to ‘true’, variance to ‘unequal’ and the
274 Emotion, Acoustic Parameters and Emotion*Acoustic Parameters factors with independence
275 as ‘false’, variance as ‘unequal’.

276 All neuroimaging activations were thresholded in SPM12 by using a wholebrain
277 voxel-wise false discovery rate (FDR) correction at $p < .05$ with an arbitrary cluster extent of
278 $k > 10$ voxels.

279 *Functional and effective connectivity analysis*

280 Functional and effective connectivity analyses were performed using the CONN toolbox
281 (Whitfield-Gabrieli and Nieto-Castanon 2012) version 18.b implemented in Matlab 9.0 (The
282 MathWorks, Inc., Natick, MA, USA) for the two-way interaction between our factors, namely
283 Emotion and Acoustic Parameters (design matrix identical to wholebrain analyses). As in

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284 wholebrain data analysis, repetition trials of the one-back task were modelled as a single
285 column including a concatenation of all their onset times across conditions (regressor of no-
286 interest). Functional connectivity analyses were mainly carried out to orient further effective
287 connectivity analysis and we decided to report both types of connectivity for a clear overview
288 of the results. Functional connectivity analyses were computed using as seeds each region of
289 interest (ROI) of the following atlases: the Automated Anatomical Labelling atlas ('aal'; 58
290 ROI; Tzourio-Mazoyer, Landeau et al. 2002), an atlas of the brainstem (23 ROI; Fonov,
291 Evans et al. 2011), basal ganglia (22 ROI; Amunts, Lepage et al. 2013) and cerebellum (34
292 ROI; Diedrichsen, Balsters et al. 2009, Diedrichsen, Maderwald et al. 2011). All ROI
293 (N=137; Supplementary Table 1) were within the bounds of our truncated field of view.
294 Frontal, parietal and occipital areas outside the bounds of our field of view, specifically of the
295 'aal' atlas, were isolated through CONN time-course visualization and removed from the
296 analyses. For effective connectivity analyses and according to our hypotheses, seed regions
297 were limited to the basal ganglia (22 ROI; Amunts, Lepage et al. 2013). Spurious sources of
298 noise were estimated and removed using the automated toolbox preprocessing algorithm, and
299 the residual BOLD time-series was band-pass filtered using a low frequency window ($0.008 <$
300 $f < 0.09$ Hz). Correlation maps were then created for each condition of interest by taking the
301 residual BOLD time-course for each condition from atlas regions of interest and computing
302 bivariate Pearson's correlation coefficients between the time courses of each voxel of each
303 ROI of the atlas, averaged by ROI ('functional connectivity' analyses). 'Effective
304 connectivity' was approached using multivariate regressions between each seed ROI and all
305 other ROI – or all brain voxels for seed to voxel analysis – and a model was generated and
306 used to characterize the direct connectivity between pairs. For both types of connectivity, we
307 used generalized psychophysiological interaction (gPPI) measures, representing the level of
308 task-modulated (often labelled 'effective') connectivity between ROI or between ROI and

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309 voxels. gPPI is computed using a separate multiple regression model for each target
310 (ROI/voxel). Each model includes three predictors: 1) task effects convolved with a canonical
311 hemodynamic response function (psychological factor); 2) each seed ROI BOLD time series
312 (physiological factor) and 3) the interaction term between the psychological and the
313 physiological factors, the output of which is regression coefficients associated with this
314 interaction term. Finally, group-level analyses were performed on these regression
315 coefficients to assess for main effects within-group for contrasts of interest in seed-to-seed
316 and seed-to-voxel analyses. Therefore, ‘functional connectivity’ is defined in the present
317 study as a gPPI analysis using bivariate correlations between ROI, while ‘effective
318 connectivity’ defines the gPPI analysis using multivariate regressions between ROI/voxels.
319 Connectivity analyses were computed using methods in line with most recent best practices
320 (Reid, Headley et al. 2019). For both analyses, type I error was controlled by the use of seed-
321 level (seed-to-seed analyses) and cluster-level (seed-to-voxel analysis) false discovery rate
322 correction with $p < .05$ FDR to correct for multiple comparisons.

323

324 **Results**

325 *Wholebrain results*

326 We performed voxel-level general linear analyses subdivided into three different models in
327 order to find enhanced brain activity related to the factorial design of our data. The models of
328 interest were model 1 and 2, in which we modelled the Emotion factor and the two-way
329 interaction between Emotion and Acoustic Parameters factors. The former analysis revealed
330 emotion-specific enhanced patterns of activity that are presented in this section (for the
331 general effect of Emotion, see Fig.S2 in the Supplementary material), while the full
332 interaction between factors did not yield any significant results. We present, however, one
333 significant result of interest, as part of our hypotheses, for the rhythmicity of angry voices

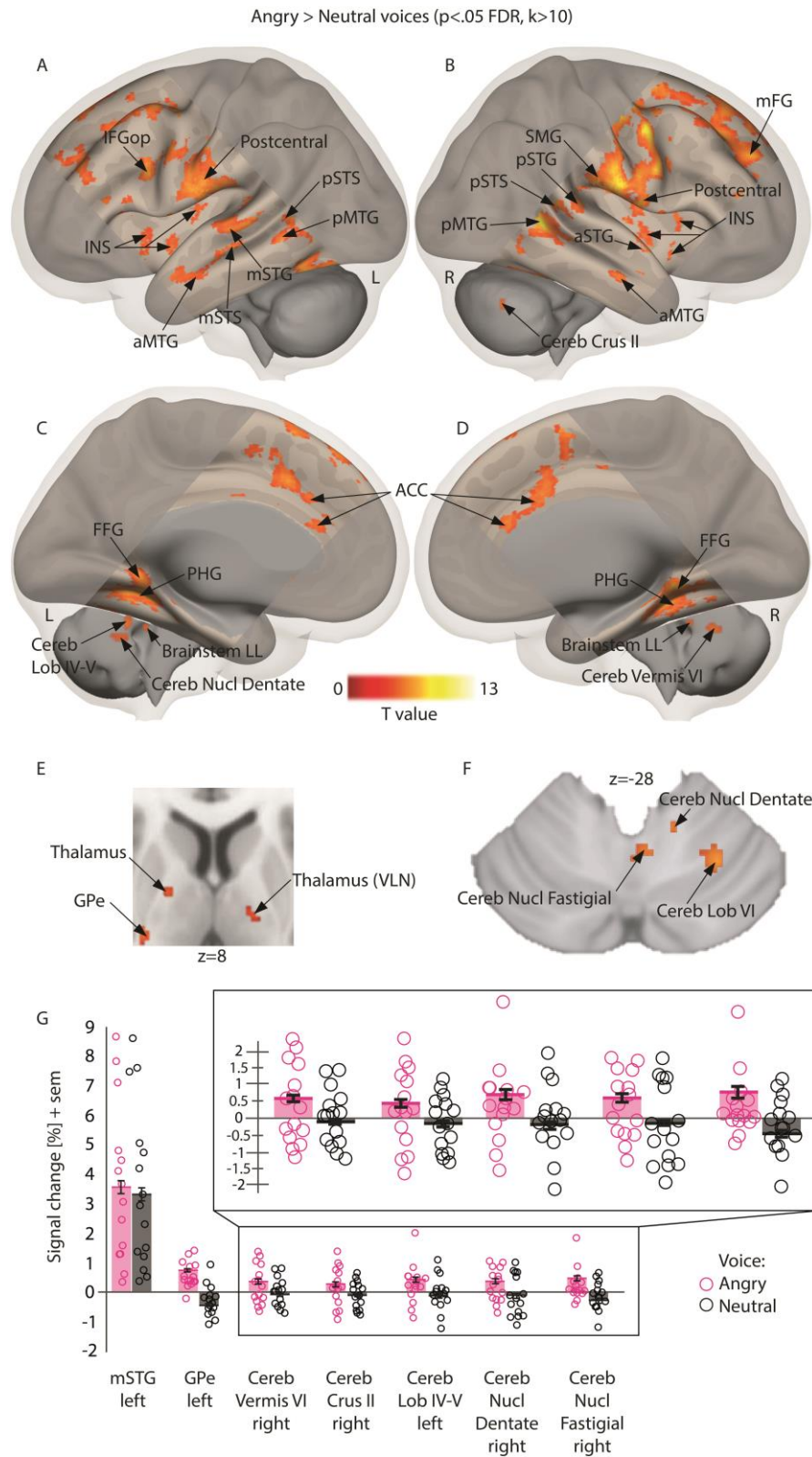
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334 (synthesized energy of angry > neutral prosody). Finally, results for model 3 – the main effect
335 of Acoustic Parameters – are reported in the supplementary data (Supplementary data, Tables
336 2-4).

337 *Main effect of Emotion factor*

338 Wholebrain results for the Emotion factor revealed significant enhanced activity for both
339 angry > neutral voices (Table 1) and happy > neutral voices (Table 2) contrasts. Enhanced
340 activations for emotional (angry and happy) compared to neutral voices were also significant
341 especially in the superior temporal cortex and inferior frontal cortex, bilaterally (see Table 3).
342 Brain activity specific to angry voices (angry > neutral voices) replicated the involvement of
343 the temporal cortex for processing such stimuli, especially in the anterior part of the middle
344 temporal cortex (aMTG) and the posterior superior temporal gyrus and sulcus (pSTG and
345 pSTS, respectively), bilaterally (Fig.2ABG). Enhanced activity was also observed in medial
346 brain areas such as the anterior cingulate cortex (ACC), the parahippocampal gyrus and the
347 fusiform gyrus (Fig.2CD). Activity in the basal ganglia was restricted to the external globus
348 pallidus (GPe) while we also observed enhanced activity in several parts of the thalamus
349 (Fig.2E). Finally, large parts of the cerebellum were also more active (Fig.2G) during angry
350 as opposed to neutral voice processing, namely the Crus II area (Fig.2B), lobules IV-V and VI
351 (Fig.2CF), Vermis area VI (Fig.2D) as well as deep nuclei such as the dentate (Fig.2CF) and
352 fastigial nucleus (Fig.2F). More details are available in Table 1.

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353

354 Fig.2: Enhanced brain measures for implicitly processing angry compared to neutral voices,
 355 corrected for multiple comparisons (wholebrain voxel-wise $p < .05$ FDR, $k > 10$ voxels). A-B,
 356 Lateral activations rendered on a sagittal image highlighting middle and superior temporal
 357 regions. C-D, Medial activations of the anterior cingulate cortex, parahippocampal cortex and

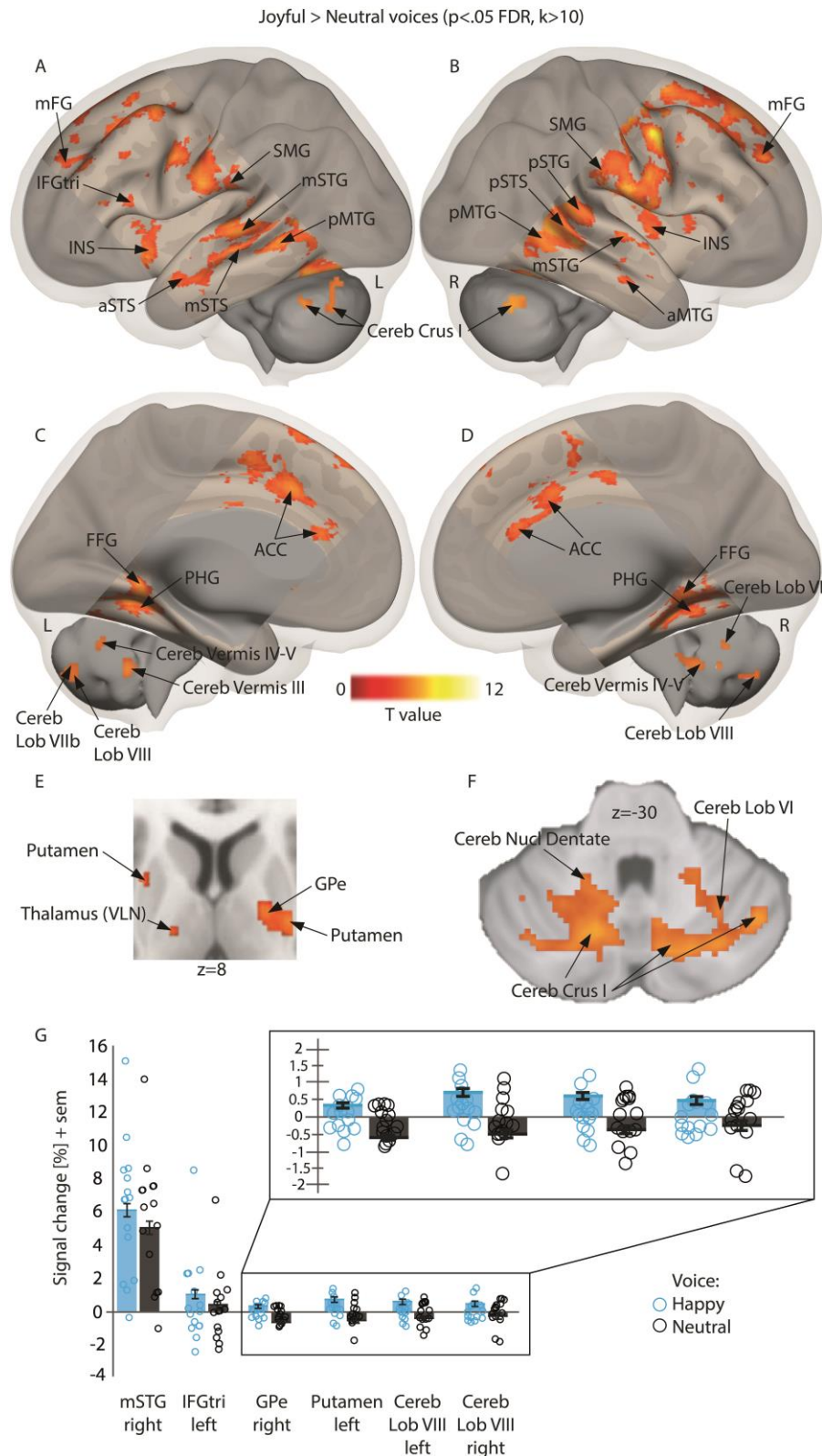
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358 cerebellum. E, Subcortical activity in the thalamus and globus pallidus displayed on an axial
359 slice. F, Cerebellar activations displayed on an axial slice. G, Percentage of signal change
360 extracted using singular value decomposition on 9 voxels around each peak in a subset of
361 regions with individual values (circles), mean values (bars) and standard error of the mean
362 (error bars) for angry and neutral voices. Pink circles: angry voices; Black circles: neutral
363 voices. L: left; R: right; IFGop: inferior frontal gyrus pars opercularis; STG: superior
364 temporal gyrus; STS: superior temporal sulcus; MTG: middle temporal gyrus; INS: insula;
365 SMG: supramarginal gyrus; FG: frontal gyrus; FFG: fusiform gyrus; PHG: parahippocampal
366 gyrus; ACC: anterior cingulate cortex; Cereb: cerebellum; Cereb Lob: cerebellum lobule;
367 Cereb Nucl Dentate: dentate nucleus of the cerebellum; Cereb Nucl Fastigial: fastigial nucleus
368 of the cerebellum; Brainstem LL: lateral lemniscus of the brainstem; Thalamus VLN: ventral
369 lateral nucleus of the thalamus; GPe: external globus pallidus; Cereb Crus: cerebellum crus of
370 ansiform lobule; ACC: anterior cingulate cortex. 'a' prefix: anterior part; 'm' prefix: mid part;
371 'p' prefix: posterior part.

372

373 As for angry voices, brain activity specific to normal happy voices (happy > neutral voices)
374 highlighted the anterior, mid and posterior portions of the temporal cortex (aSTS, aMTG;
375 mSTS, mSTG; pSTS, pSTG, pMTG, respectively), bilaterally (Fig.3ABG). Enhanced activity
376 was medially observed in the ACC, parahippocampal gyrus and fusiform gyrus (Fig.3CD).
377 Increase of activity in the basal ganglia was observed in the GPe and bilateral putamen, and in
378 the ventral lateral nucleus of the thalamus (Fig.3E). Multiple subparts of the cerebellum
379 showed significant differences. Cerebellum areas were more activated (Fig.3G) during happy
380 as opposed to neutral voice processing, especially in the lateral Crus I area, bilaterally
381 (Fig.3ABF), in lobules VI, VIIb and VIII (Fig.3CDF), in Vermis areas III and IV-V
382 (Fig.3CD) as well as in the dentate nucleus (Fig.3F). More details are available in Table 2.

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383

384 Fig.3: Enhanced brain measures for implicitly processing happy compared to neutral voices,
 385 corrected for multiple comparisons (wholebrain voxel-wise $p < .05$ FDR, $k > 10$ voxels). A-B,
 386 Lateral activations rendered on a sagittal image highlighting middle, superior temporal and
 387 cerebellar regions. C-D, Medial activations of the anterior cingulate cortex, parahippocampal

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388 cortex and cerebellum. E, Subcortical activity in the putamen, thalamus and globus pallidus
389 displayed on an axial slice. F, Cerebellar activations displayed on an axial slice. G, Percentage
390 of signal change extracted using singular value decomposition on 9 voxels around each peak
391 in a subset of regions with individual values (circles), mean values (bars) and standard error
392 of the mean (error bars) for happy and neutral voices. Blue circles: happy voices; Black
393 circles: neutral voices. L: left; R: right; IFGtri: inferior frontal gyrus triangularis part; STG:
394 superior temporal gyrus; STS: superior temporal sulcus; MTG: middle temporal gyrus; INS:
395 insula; SMG: supramarginal gyrus; FG: frontal gyrus; FFG: fusiform gyrus; PHG:
396 parahippocampal gyrus; ACC: anterior cingulate cortex; Cereb: cerebellum; Cereb Lob:
397 cerebellum lobule; Cereb Nucl Dentate: dentate nucleus of the cerebellum; Brainstem LL:
398 lateral lemniscus of the brainstem; Thalamus VLN: ventral lateral nucleus of the thalamus;
399 GPe: external globus pallidus; Cereb Crus: cerebellum crus of ansiform lobule; ACC: anterior
400 cingulate cortex. ‘a’ prefix: anterior part; ‘m’ prefix: mid part; ‘p’ prefix: posterior part.

401

402 *Interaction effect between Emotion and Acoustic Parameters factors*

403 The full, two-way interaction between our Emotion and Acoustic Parameters factors did not
404 reveal significant results when contrasting angry or happy voices to neutral voices while
405 taking into account normal compared to synthesized voices. We, however, had a specific
406 hypothesis concerning the rhythmicity of angry voices, namely the impact of the ‘envelope’
407 of such voices on basal ganglia regions. We therefore used model 3 to compute a contrast
408 dedicated to highlighting brain regions sensitive to the envelope of angry compared to neutral,
409 synthesized energy voices [synthesized energy for angry > neutral voices]. The contrast
410 revealed enhanced activity in the left ventral lateral and lateral posterior nucleus of the
411 thalamus, putamen, substantia nigra, right caudate head, thalamus as well as in the bilateral
412 insula, left amygdala and right mid-to-anterior and posterior STG (Table 4). Similar regions,
413 especially large parts of the STG and STS, were also more active for the synthesized energy
414 of happy voices, namely for the [synthesized energy for happy > neutral voices] contrast
415 (Table 5).

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416 *Functional connectivity results*

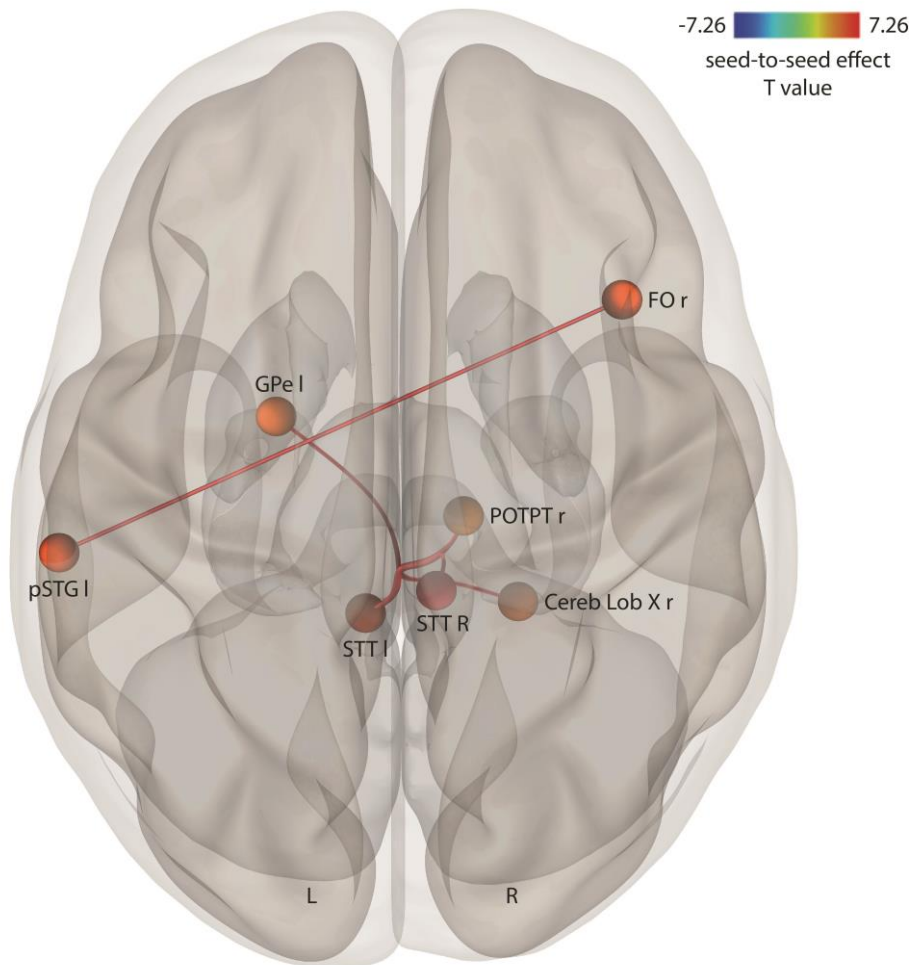
417 Wholebrain analyses revealed significant results for both of our factors (Emotion, Acoustic
418 Parameters) but their interaction did not yield any above-statistical-threshold activations.
419 Computing functional/effective connectivity analyses (both seed-to-seed and seed-to-voxel),
420 however, did reveal several coupled and anti-coupled networks underlying such two-way
421 interaction between the Emotion and the Acoustic Parameters factors. While functional
422 connectivity results were primarily used to further compute effective connectivity, we kept
423 them in the present section due to their specificity and general meaning. These results are
424 presented below.

425 *Seed-to-seed functional connectivity*

426 Computed using 137 ROI composed of 58 ‘aal’ regions within our field of view, 23 brainstem
427 regions, 22 basal ganglia regions and 34 cerebellum regions, seed-to-seed analyses revealed
428 significant results for the interaction between Emotion and Acoustic Parameters factors, for
429 each emotion of interest. Our contrasts of interest therefore included angry or happy
430 compared to neutral voices when spoken normally as opposed to synthesized f_0 and energy
431 voices. Seed-to-seed functional connectivity specific to angry original voices were therefore
432 computed with the [angry > neutral voices * original > f_0 & energy synthesized voices]
433 contrast, revealing coupled networks. As predicted, we observed coupling between the basal
434 ganglia and the cerebellum, more specifically between the left GPe and right cerebellum
435 lobule X (Fig. 4). Coupled functional connectivity was also observed between the left pSTG
436 and right frontal operculum and in the brainstem between major motor (right parieto-occipito-
437 temporo-pontine tract) and sensory tracts (bilateral spinothalamic tract). Detailed results are
438 reported in Table 6.

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Angry > Neutral * Original voices > f_0 & energy synthesized voices
(seed-to-seed analysis, $p < .05$ FDR)



439

440 Fig.4: Coupled seed-to-seed, gPPI functional connectivity for the interaction between the
441 Emotion and the Acoustic parameter factors contrasting angry > neutral voices * original > f_0
442 & energy synthesized voices, corrected for multiple comparisons ($p < .05$ FDR). l and L: left; r
443 and R: right; FO: frontal operculum; GPe: external globus pallidus; pSTG: posterior superior
444 temporal gyrus; STT: spinothalamic tract of the brainstem; POTPT: parieto-occipito-temporo-
445 pontine tract of the brainstem; Cereb Lob: cerebellum lobule.

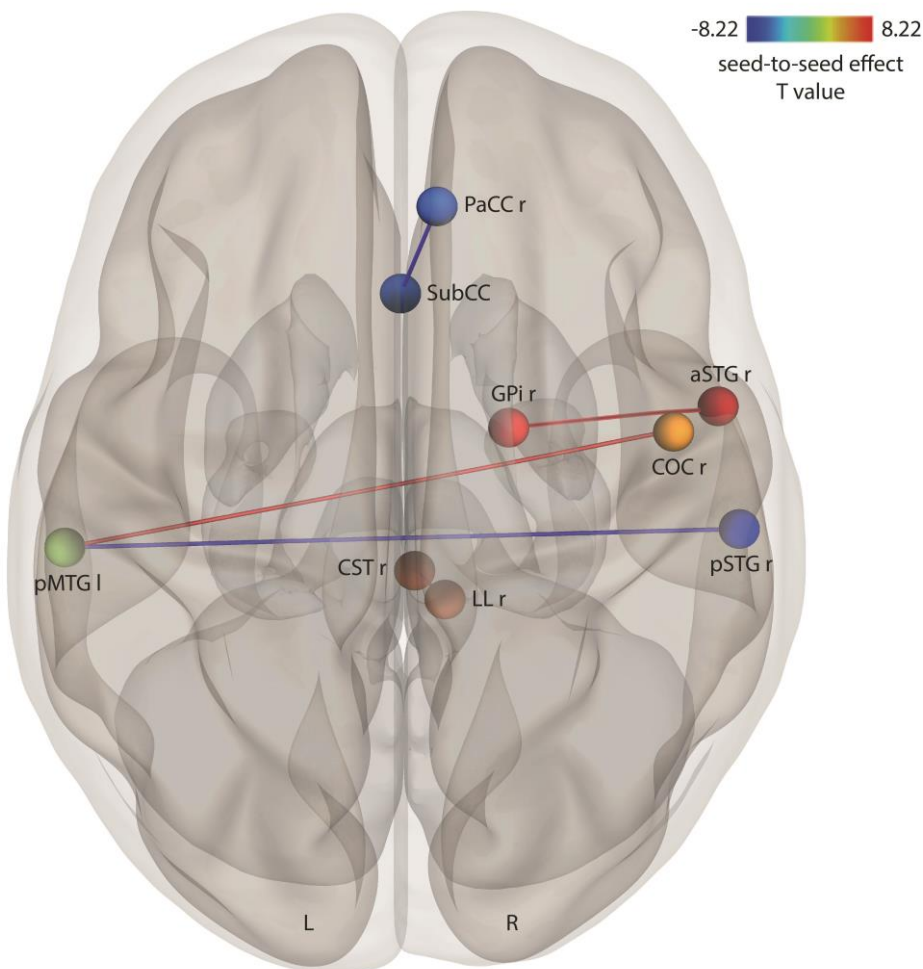
446

447 Looking at positive emotion stimuli, happy voices yielded coupled and anti-coupled seed-to-
448 seed functional connectivity results, as seen in the [happy > neutral voices * original > f_0 &
449 energy synthesized voices] contrast (Fig.5). Coupled functional connectivity revealed three
450 distinct networks: 1) Internal globus pallidus (GPI) and aSTG in the right hemisphere; 2) Left

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451 pMTG and right central operculum cortex; 3) Right corticospinal tract (major motor tract) and
452 right lateral lemniscus (major sensory tract). Happy voices also led to two separate anti-
453 coupled networks involving the right paracingulate cortex and subcalcarine cortex as well as
454 in posterior temporal areas, namely between the left pMTG and right pSTG (Fig.5). Details
455 reported in Table 7.

Happy > Neutral * Original voices > f_0 & energy synthesized voices
(seed-to-seed analysis, $p < .05$ FDR)



456

457 Fig.5: Coupled and anti-coupled seed-to-seed, gPPI functional connectivity for the interaction
458 between the Emotion and the Acoustic parameter factors contrasting happy > neutral voices *
459 original > f_0 & energy synthesized voices, corrected for multiple comparisons ($p < .05$ FDR). L
460 and R: right; PaCC: paracingulate cortex; SubCC: subcalcarine cortex; GPI:
461 internal globus pallidus; COC: central operculum cortex; aSTG: anterior superior temporal

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462 gyrus; pSTG: posterior superior temporal gyrus; pMTG: posterior middle temporal gyrus;
463 CST: corticospinal tract of the brainstem; LL: lateral lemniscus of the brainstem.

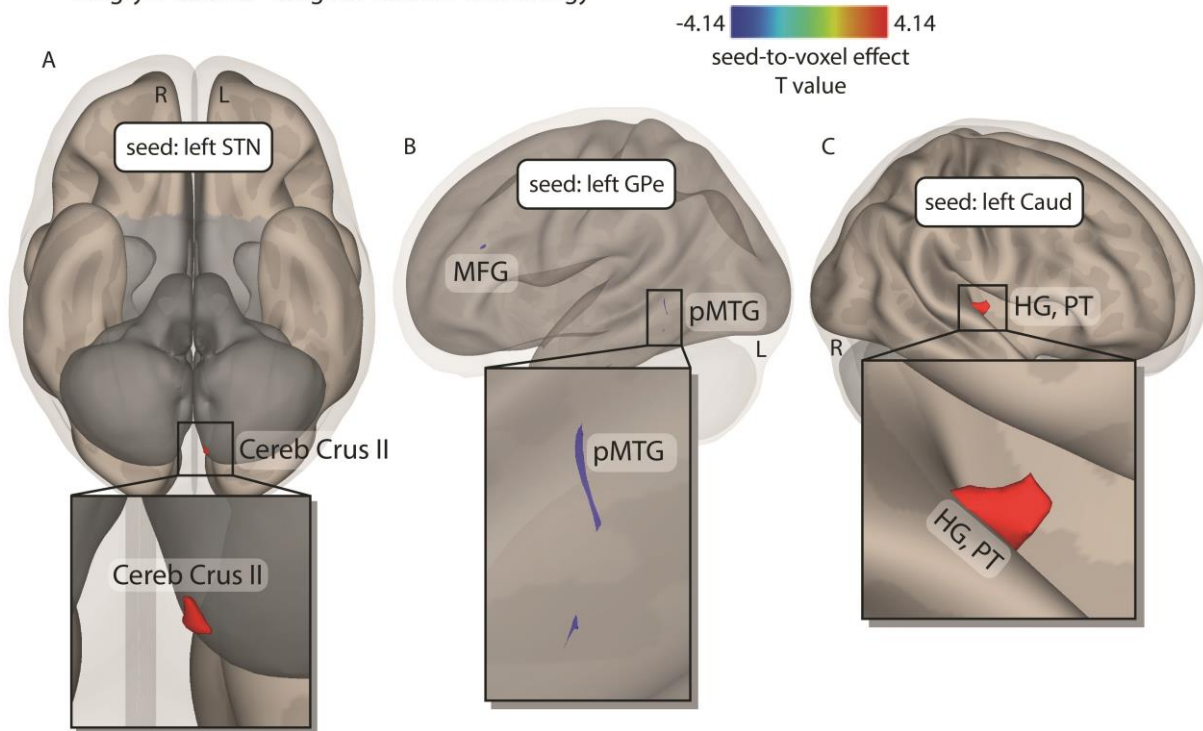
464

465 *Seed-to-voxel effective connectivity with the basal ganglia as seeds*

466 In order to determine the direct relations between BG regions and the rest of the brain, namely
467 each voxel, we computed seed-to-voxel analyses using multivariate regressions and took as
468 seeds only the BG (N=22 ROI; Fig.6). We only observed significant effective connectivity
469 specific to angry –but not happy–voices through the interaction with the Acoustic Parameters
470 factor [angry > neutral voices * original > f_0 & energy synthesized voices]. This multivariate
471 analysis revealed a direct coupling between the left STN (seed) and the ipsilateral cerebellum
472 crus II of ansiform lobule (MNI xyz -4 -86 -42; $t_{14}=4.14$, $k=26$ voxels; $p=0.031$ FDR
473 corrected, two-tailed; Fig.6A). We also observed an anti-coupling between the left GPe (seed)
474 and left temporo-occipital MTG (MNI xyz -60 -50 -2) and MFG (MNI xyz -44 34 20; for both
475 contrasts, $t_{14}=4.14$, $k= 29$ and 20 voxels, respectively; $p=0.018$ and 0.048 FDR corrected, two-
476 tailed, respectively; Fig.6B). Finally, direct coupling was observed between the left caudate
477 nucleus (seed) and voxels covering part of the right primary auditory cortex and planum
478 temporale (MNI xyz 54 -12 0; $t_{14}=4.14$, $k= 64$ voxels, $p=0.00009$ FDR corrected, two-tailed;
479 Fig.6C).

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Effective connectivity analysis (seed-to-voxel), seeds within the basal ganglia, $p < .05$ FDR
Angry > Neutral * Original voices > f_0 & energy



480

481 Fig.6: Coupled and anti-coupled seed-to-voxel, gPPI effective connectivity for the interaction
482 between the Emotion and the Acoustic parameter factors contrasting angry > neutral voices *
483 original > f_0 & energy synthesized voices, corrected for multiple comparisons ($p < .05$ FDR).
484 A, Inferior view showing direct coupling between the left STN (seed) and the ipsilateral
485 Cerebellum Crus II. B, Sagittal view showing direct anti-coupling between the left GPe (seed)
486 and the left MFG and pMTG. C, Sagittal view showing direct coupling between the left
487 caudate nucleus (seed) and the right primary auditory cortex (Heschl's gyrus) and planum
488 temporale. L: left; R: right; STN: subthalamic nucleus; GPe: external globus pallidus; Caud:
489 caudate nucleus; Cereb Crus II: cerebellum crus II of ansiform lobule; pMTG: posterior
490 middle temporal gyrus; MFG: middle frontal gyrus; HG: Heschl's gyrus; PT: planum
491 temporale.

492

493 *Seed-to-seed effective connectivity within the basal ganglia*

494 We were ultimately interested in the effective connectivity within the basal ganglia when
495 processing emotional (angry, happy) voices and independently of low-level acoustic

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496 parameters (synthesized f_0 , energy). We therefore used multiple regression analyses within
497 the BG for our interaction contrasts to highlight direct relations between BG regions. The
498 anger specific contrast [angry > neutral voices * original > f_0 & energy synthesized voices]
499 did not reveal any effective connectivity in BG regions whereas the happiness specific
500 contrast [happy > neutral voices * original > f_0 & energy synthesized voices] revealed
501 coupling between the left putamen and GPi ($t_{14}=3.78$, $p=0.030$ FDR corrected, two-tailed) as
502 well as anti-coupling between the left GPi and the ipsilateral nucleus accumbens ($t_{14}=-3.65$,
503 $p=0.039$ FDR corrected, two-tailed).

504

505 **Discussion**

506 The present study aimed at determining the functional role of both the basal ganglia and
507 cerebellum according to an integrative neural model of vocal emotion perception, decoding
508 and integration using focal, high-resolution fMRI. It was assumed that connectivity –
509 functional and/or effective– between the BG and the cerebellum would underlie the
510 differential processing of emotion, namely angry and/or happy compared to neutral voices,
511 especially when constraining our data by the use of low-level acoustic parameters of no-
512 interest (synthesized f_0 and synthesized energy voices). Our results confirmed the
513 hypothesized involvement of subparts of the BG and cerebellum in processing vocal
514 emotions. The interaction between emotion and acoustical parameters yielded significant
515 results only for connectivity analyses. Functional connectivity data revealed coupled and anti-
516 coupled networks involving the BG and cerebellum, while effective connectivity within the
517 BG and with the BG as seeds, shed new light on the involvement of the internal and external
518 globus pallidus, putamen, left STN and caudate nucleus in vocal emotion processing.

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519 The implication of subcortical structures other than the amygdala involved in emotion
520 processing was only recently emphasized (Wager, Barrett et al. 2008, Tamietto and De Gelder
521 2010) and through deep brain stimulation in the STN as a neurosurgical treatment for
522 Parkinson’s disease and obsessive-compulsive disorder, a new research window opened (for a
523 review, see Péron, Frühholz et al. 2013). According to Péron and colleagues’ model (2013)
524 and in line with existing literature and our results, the processing of emotion would rely on
525 both the direct (‘hyperdirect pathway’) and indirect coupling between STN subterritories
526 (motor, associative and limbic) and the neocortex, especially the orbitofrontal cortex (OFC)
527 and modality-specific primary and secondary cortices. Indirect coupling would transit from
528 the STN to the OFC through the BG, especially the GPi and GPe, thalamus, substantia nigra
529 and ventral tegmental area, and/or through the amygdala that exhibits some direct connections
530 with the BG as well (Péron, Frühholz et al. 2013). The STN could synchronize oscillations in
531 relevant areas across the brain including the cerebellum to shape cortical learning and
532 facilitate habitual, overlearned processing of familiar stimuli types (Pierce and Péron 2020).
533 Our results fit well with such model and constrain it by adding some nuance to the expected
534 synchronized regions across the brain. In fact, we observed enhanced activity in several
535 subparts of the BG and in different territories of the cerebellum. More specifically, we
536 observed for angry –similarly for happy– voice processing the involvement of the GPe and
537 thalamus as well as of several lobules (IV, V, VI), nuclei (fastigial, dentate) and areas (Vermis
538 area VI) of the cerebellum and posterior, mid and anterior temporal regions within the voice-
539 sensitive areas. GP activity fits with a more accurate recognition of vocal emotion in healthy
540 compared to BG-lesioned patients (Paulmann, Pell et al. 2008), and with a general role of the
541 more dorsal BG for the sequencing and anticipation of acoustic temporal variations (Kotz,
542 Schwartze et al. 2009). The BG would therefore be crucial to detect and classify auditory

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543 patterns, subsequently synchronizing activity in other regions for selecting the appropriate
544 response.

545 The ‘limbic’ cerebellum –predominately the vermis and posterior lobules, present in
546 our wholebrain and connectivity results– then could modulate these cortical oscillations based
547 on prediction error feedback relative to the given context (Booth, Wood et al. 2007,
548 Schmahmann 2019). By continuously monitoring incoming stimuli for deviations from
549 expected emotional structure (e.g., an angry voice), the limbic cerebellum and especially its
550 subparts –in our results, lobules IV-VI, VIII and the Vermis IV and VI– could signal the need
551 for greater attentional control of sensory cortical responses. Cerebellum activity in our results
552 would also fit well with response adaptation and motor control, preparing a response
553 following vocal emotion decoding and processing (for a review see Frühholz, Trost et al.
554 2016), especially when the voice or sound is perceived as aversive (Zald and Pardo 2002).
555 Input to the limbic cerebellum (Vermis, cerebellar lobules IV-IX, dentate and fastigial
556 nucleus) from OFC or the BG regarding the salience of emotional stimuli would shape
557 internal models about how an emotional response would affect the individual in its current
558 state, and, thus, how the cerebellum modifies limbic responses, especially in the temporal
559 domain (Breska and Ivry 2018). The idea of temporal pattern analysis in the cerebellum has
560 been proposed, especially when patterns are irregular and not rhythmic (Breska and Ivry
561 2016), which includes vocal emotion and emotional prosody. Specifically, a double
562 dissociation between patients with a BG or cerebellum lesion confirmed that cerebellar
563 lesions alter non-rhythmic – but not rhythmic – temporal prediction while BG lesions showed
564 the opposite pattern (Breska and Ivry 2018). Additionally, misattributions in emotion
565 recognition between surprise and fear correlated with lesions in lobules VIIIb, VIII and X of
566 the cerebellum (Thomasson, Saj et al. 2019), regions that overlap with our results for angry
567 and happy voices in both the wholebrain activation and connectivity analyses and are in line

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568 with previous evidence of emotional processing within these specific regions (Stoodley and
569 Schmahmann 2009, Stoodley and Schmahmann 2009, Leggio and Olivito 2018). Therefore,
570 these cerebellar lobules may play a crucial function in emotion recognition in voices, notably
571 in temporal pattern analysis and critical low-level acoustics integration such as f_0 or pitch.

572 The importance of BG-cerebellum connections in vocal emotion processing, especially
573 for anger, was further emphasized by our functional connectivity data for angry, but not
574 happy, original voice processing (removing the variance explained by synthesized f_0 and
575 energy), which revealed coupling between the GPi and putamen with lobule X of the
576 cerebellum. These results are consistent with a coupling of BG and cerebellum activity in time
577 for autonomic emotional reaction and prediction generation (Annoni, Ptak et al. 2003) but
578 cerebellar lobule X is more rarely observed in emotion-related tasks. This cerebellar lobule
579 was however recently integrated in the ‘triple nonmotor representation’ and evidence shows
580 its limbic ties with the neocortex (Guell, Schmahmann et al. 2018). It is also important to note
581 here that many cerebellar sub-regions often labelled as ‘motor’ (for example, linked to hand
582 or eye movements) are also significantly involved in cognitive or emotional tasks (Stoodley
583 and Schmahmann 2010, Stoodley, Valera et al. 2012), a good example concerns lobules V,
584 VI, VIII (King, Hernandez-Castillo et al. 2019). Our results therefore converge toward a
585 critical role of the cerebellum in coordination with the BG for both the decoding of vocal
586 emotion –in the temporal, voice-sensitive areas– and the conversion to a motor response as an
587 output behaviour following a subjective feeling of emotion (Frühholz, Trost et al. 2016,
588 Pierce and Péron 2020).

589 Furthermore, our effective connectivity results strongly emphasized within-BG direct
590 relations between the putamen and GPi (coupling) and between the GPi and nucleus
591 accumbens (anti-coupling) as well as between BG seeds and frontal and superior temporal
592 regions. Additionally, effective seed-to-voxel connectivity revealed direct coupling between

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593 the left STN and ipsilateral cerebellum crus II of the ansiform lobule. While the role of the
594 STN in emotion processing (Schneider, Habel et al. 2003, Kühn, Hariz et al. 2005, Mallet,
595 Schüpbach et al. 2007, Sieger, Serranová et al. 2015, Péron 2016) and vocal emotion
596 recognition (Péron, Grandjean et al. 2010, Péron, Frühholz et al. 2013, Péron, Frühholz et al.
597 2015, Frühholz, Trost et al. 2016, Péron, Renaud et al. 2017) has gathered strong interest in
598 the recent years, the crus II area of the cerebellum also subserves cognition and emotion
599 processes (Schmahmann 2001, Baumann and Mattingley 2012, Adamaszek, D'Agata et al.
600 2017). Direct coupling was also observed between the left caudate nucleus and the primary
601 auditory cortex and planum temporale, fitting well again with the direct coupling between the
602 BG and modality-specific sensory cortex (Péron, Frühholz et al. 2013) with the caudate
603 playing a critical role in voice arousal (Bestelmeyer, Kotz et al. 2017) and emotion processing
604 (Grandjean 2017).

605 We interestingly also observed direct anti-coupling between the left GPe, involved in
606 the explicit recognition of emotional prosody (Paulmann, Pell et al. 2008), and ipsilateral
607 posterior MTG and MFG, superior to and slightly overlapping with the triangularis part of the
608 IFG. Activity modulations in these latter lateral brain areas were repeatedly observed in voice
609 processing in general (Aglieri, Chaminade et al. 2018) and vocal emotion (Leitman, Wolf et
610 al. 2010, Witteman, Van Heuven et al. 2012), especially when contrasting happy to angry
611 voices (Johnstone, Van Reekum et al. 2006). The fact that posterior MTG activity was
612 previously linked to happy vs. angry voice processing therefore could explain the coupling we
613 observed that is specific to happy voices, especially since GP functioning relates to explicit
614 vs. implicit emotion recognition (Paulmann, Pell et al. 2008).

615 While our data depict a relatively clear image of the importance of the BG and
616 cerebellum for vocal emotion processing and further output response, some limitations should
617 be mentioned. First, sample size was limited and even though we were strict with the

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618 correction of p values in our statistical analyses, a sample size closer to 25 participants would
619 have been better for reliable data generalization. Second, and as often observed in the
620 literature, we included happy, angry and neutral emotions as vocal stimuli but other critical
621 emotions such as fear, surprise, sadness or several others were not included, therefore
622 restricting our conclusions. Third, although we did include low-level acoustic parameters to
623 control for emotion-specific activity, other meaningful ones should be used in the future, for
624 instance the spectral domain related to voice quality perception, which is thought also
625 important for emotional voice recognition. Fourth, we used high-resolution fMRI, greatly
626 improving spatial resolution with, however, the added cost of a truncated field of view. We
627 cannot therefore exclude the fact that frontal and parietal regions, excluded at data acquisition,
628 would play a role in vocal emotion processing, in terms of both activation and connectivity. It
629 is, however, worth mentioning that the focus of the present study was on cerebellar and basal
630 ganglia contributions to vocal emotion processing. Fifth, we did not divide the STN and other
631 BG or cerebellar regions into their known associative, motor and limbic subparts. A more
632 precise understanding of the specific role of each subpart of the BG nuclei is therefore
633 unfortunately not possible at this stage. Such concern should be addressed in the future by the
634 use of subject-level delineation of BG sub-territories and/or by using even higher fMRI
635 resolution, such as with a 7-tesla scanner. Finally, while our functional connectivity results
636 were consistent with existing literature, we cannot rule out that other regions may mediate the
637 correlations between ROI, so these should be taken with more caution than the effective
638 connectivity results that used more direct mathematical association calculations (multiple
639 regressions). In addition to these limitations, future studies should try to highlight emotional
640 substrates within the BG and cerebellum pertaining to sub-components of emotion, such as
641 for example perception and/or decoding, subjective feeling, response output, behavioural

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642 response to emotion, as well as giving more importance to task designs allowing for a clearer
643 topography and parcellation of the affective BG and cerebellum.

644 In conclusion, the present study aimed at a better understanding of the implications of
645 basal ganglia and cerebellum involvement in vocal emotion processing. Through the
646 combination of wholebrain analysis, functional and effective connectivity analyses and with
647 the partial exclusion of low-level acoustics of interest (voice f_0 , energy) our data depict a
648 clearer role of the STN, GP and putamen in vocal emotion processing, especially for auditory
649 pattern detection and synchronization across cortical and subcortical limbic networks. The
650 current results add weight to the assertion that both direct and indirect coupling between these
651 BG regions and the cortex is modulated by BG and cerebellum connections. Our results also
652 favour a framework in which the brain could use temporal regularities ('patterns') to analyse
653 and anticipate the timing of future events, and constrain attention and action accordingly.
654 Further work use a dedicated task and focus on BG and cerebellum subterritories since their
655 specific role(s) is of the highest interest for affective and social neuroscience research.

656

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663

664 **Conflict of interest**

665 The authors report no conflicts of interest.

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868 **Tables**

869 Table 1: Activations, cluster size and coordinates for angry > neutral voices contrast,
870 wholebrain voxel-wise $p < .05$ FDR correction, $k > 10$.

871 MNI coordinates

872	Region label	Hemisphere	X	Y	Z	T value	Cluster size (voxels)
873	Precentral gyrus	R	60	8	26	12.77	11526
874	Postcentral gyrus	R	-58	-14	18	10.91	
875	Precentral gyrus	R	52	2	46	10.88	
876							
877	STG, posterior	R	54	-34	12	9.75	84
878	STS, posterior	L	-64	-48	6	9.04	110
879	ITG, posterior	L	-46	-36	-16	8.71	85
880	Amygdala	L	-26	-6	-24	6.99	84
881	Supp Motor Area	R	8	6	58	6.36	47
882	Mid Frontal gyrus	L	-28	26	42	6.07	211
883	Mid Frontal gyrus	L	-40	36	22	5.70	309
884	Sup Frontal gyrus	L	-12	36	48	5.58	112
885	Cereb Nucl Fastigial	R	6	-56	-28	5.54	133
886	ACC	R	8	16	28	5.49	117
887	Globus Pallidus	R	18	-4	8	5.48	105
888	Cereb Crus 2	R	10	-84	-36	5.29	11
889	Globus Pallidus	L	-18	0	-4	5.07	44
890	Temporal pole	L	-58	10	-8	5.02	31
891	ACC	R	8	30	18	4.92	45
892	IFG triangularis	L	-50	28	10	4.72	14
893	Cereb Lob 8	L	-28	-58	-52	4.59	29
894	Supramarginal gyrus	L	-66	-22	28	4.59	13
895	IFG opercularis	L	-58	16	10	4.33	24
896	Sup Frontal gyrus	R	14	48	34	4.26	32
897	IFG triangularis	L	-42	20	8	4.17	36
898	Cereb Crus 2	L	-40	-74	-44	4.14	10
899	Putamen	L	-14	10	-10	4.09	27
900	Cereb Vermis 1-2	L	0	-42	-24	3.97	20
901	Cereb Lob 6	L	-30	-54	-38	3.96	26
902	Sup Frontal gyrus, medial	L	-8	48	36	3.91	33
903	ACC	L	-12	26	28	3.75	12
904	Cereb Crus 1	L	-40	-76	-26	3.74	18
905	STG, mid	L	-54	-10	-4	3.59	12
906	MTG, anterior	L	-62	-8	-18	3.28	20

907 L: left; R: right; MNI: Montreal neurological institute; STG: superior temporal gyrus; STS: superior temporal
908 sulcus; ITG: inferior temporal gyrus; Supp Motor Area: supplementary motor area; Mid: middle; Sup: superior;
909 Cereb Nucl Fastigial: fastigial nucleus of the cerebellum; ACC: anterior cingulate cortex; Cereb Crus:
910 cerebellum crus of ansiform lobule; IFG: inferior frontal gyrus; Cereb Lob: cerebellum lobule; Cereb:
911 cerebellum; MTG: middle temporal gyrus.

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912 Table 2: Activations, cluster size and coordinates for happy > neutral voices contrast,
913 wholebrain voxel-wise $p < .05$ FDR correction, $k > 10$.

914 MNI coordinates

915	Region label	Hemisphere	X	Y	Z	T value	Cluster size (voxels)
916	Precentral gyrus	R	54	-2	42	12.03	23099
917	<i>STS, posterior</i>	<i>R</i>	<i>62</i>	<i>-44</i>	<i>4</i>	<i>10.57</i>	
918	<i>Rolandic operculum</i>	<i>R</i>	<i>50</i>	<i>-18</i>	<i>20</i>	<i>9.89</i>	
919							
920	Postcentral gyrus	L	-64	-12	20	7.05	594
921	<i>Postcentral gyrus</i>	<i>L</i>	<i>-66</i>	<i>-20</i>	<i>28</i>	<i>6.96</i>	
922	<i>Mid Frontal gyrus</i>	<i>L</i>	<i>-58</i>	<i>10</i>	<i>32</i>	<i>6.35</i>	
923							
924	STG, anterior	L	-52	4	-6	6.39	59
925							
926	STS, anterior	R	66	-12	-4	5.85	119
927	<i>STS, anterior</i>	<i>R</i>	<i>66</i>	<i>-4</i>	<i>-10</i>	<i>5.39</i>	
928							
929	Sup Frontal gyrus	R	4	28	66	5.13	133
930							
931	Mid Frontal gyrus	L	-38	38	28	4.99	60
932							
933	STG, anterior	R	52	-4	-8	4.89	77
934	<i>Temporal pole</i>	<i>R</i>	<i>60</i>	<i>8</i>	<i>-4</i>	<i>3.87</i>	
935							
936	IFG opercularis	L	-56	18	14	3.67	17
937	IFG triangularis	R	32	26	26	3.56	22
938	IFG opercularis	L	-44	20	32	3.39	13

939 L: left; R: right; MNI: Montreal neurological institute; STS: superior temporal sulcus; Mid: middle; STG:
940 superior temporal gyrus; Sup: superior; IFG: inferior frontal gyrus.

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Basal ganglia, cerebellum in vocal emotion

942 Table 3: Activations, cluster size and coordinates for angry & happy> neutral voices contrast,
943 wholebrain voxel-wise $p<.05$ FDR correction, $k>10$.

944 MNI coordinates

945	Region label	Hemisphere	X	Y	Z	T value	Cluster size (voxels)
946	STS, posterior	R	62	-44	2	11.32	18286
947	<i>Rolandic operculum</i>	<i>R</i>	<i>50</i>	<i>-18</i>	<i>20</i>	<i>10.07</i>	
948	<i>Mid Frontal gyrus</i>	<i>R</i>	<i>44</i>	<i>0</i>	<i>54</i>	<i>9.89</i>	
949							
950	Sup Frontal gyrus	L	-10	38	48	6.36	148
951							
952	Mid Frontal gyrus	L	-28	36	16	6.11	348
953							
954	STS, mid	L	-62	-32	-2	6.01	337
955	<i>STS, mid</i>	<i>L</i>	<i>-66</i>	<i>-22</i>	<i>-4</i>	<i>5.72</i>	
956	<i>MTG, mid</i>	<i>L</i>	<i>-66</i>	<i>-46</i>	<i>-6</i>	<i>4.95</i>	
957							
958	Cereb Lob 9	L	-12	-56	-50	4.78	19
959	Insula	L	-40	-4	-8	4.33	18
960	Sup Temporal pole	L	-58	10	-8	4.18	40
961	Mid Frontal gyrus	L	-26	10	48	4.12	32
962	IFG triangularis	L	-50	28	10	4.10	68
963	IFG triangularis	R	46	22	14	4.06	24
964	Globus Pallidus	L	-18	0	0	3.86	18
965	IFG triangularis	L	-58	18	10	3.62	13
966	Sup Frontal gyrus, medial L		-8	50	38	3.34	18

967 L: left; R: right; MNI: Montreal neurological institute; STS: superior temporal sulcus; Mid: middle; Sup:
968 superior; MTG: middle temporal gyrus; Cereb Lob: cerebellum lobule; IFG: inferior frontal gyrus.

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Basal ganglia, cerebellum in vocal emotion

970 Table 4: Activations, cluster size and coordinates for angry > neutral synthesized energy
971 voices contrast, wholebrain voxel-wise $p < .05$ FDR correction, $k > 10$.

972 MNI coordinates

973	Region label	Hemisphere	X	Y	Z	T value	Cluster size (voxels)
974	Precentral gyrus	R	60	8	26	7.99	226
975	Postcentral gyrus	L	-56	-12	18	7.57	397
976	MTG, posterior	R	60	-46	4	6.03	34
977							
978	Insula	R	44	-10	4	5.81	216
979	<i>STG, anterior</i>	<i>R</i>	<i>56</i>	<i>-6</i>	<i>-4</i>	<i>5.23</i>	
980							
981	STG, posterior	R	54	-34	12	5.58	25
982	STS, posterior	L	-62	-48	6	5.43	20
983	Hypothalamus	R	2	-4	-10	5.20	53
984	Amygdala	L	-26	-6	-24	5.12	28
985	MTG, anterior	R	66	-4	-16	4.89	24
986	Parahippocampal gyrus	R	26	-38	-16	4.72	151
987	Substantia nigra	L	-8	-28	-6	4.71	12
988	Parahippocampal gyrus	L	-24	-34	-4	4.04	17
989	Thalamus, LPN	L	-20	-16	10	3.65	10
990	Caudate head	R	4	10	-6	3.43	15
991	Putamen	L	-16	8	-8	3.40	10

992 L: left; R: right; MNI: Montreal neurological institute; MTG: middle temporal gyrus; STG: superior temporal
993 gyrus; STS: superior temporal sulcus; LPN: lateral posterior nucleus.

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Basal ganglia, cerebellum in vocal emotion

995 Table 5: Activations, cluster size and coordinates for happy > neutral synthesized energy
996 voices contrast, $p < .05$ voxel-wise FDR correction, $k > 10$.

997 MNI coordinates

998	Region label	Hemisphere	X	Y	Z	T value	Cluster size (voxels)
999	Precentral gyrus	R	56	-2	42	7.96	229
1000	STS, posterior	R	62	-44	4	6.82	486
1001	STS, posterior	L	-64	-32	-4	6.30	377
1002	Mid frontal gyrus	R	32	28	48	5.76	663
1003	Putamen	R	28	-10	8	5.47	232
1004	Parahippocampal gyrus	L	-22	-44	-4	5.19	454
1005	IFG triangularis	L	-44	26	8	4.57	55
1006	ACC	L	-4	12	38	4.45	153
1007	Putamen	L	-28	-18	10	4.41	33
1008	Amygdala	L	-26	-8	-22	4.20	63
1009	Thalamus, VPLN	L	-18	-14	6	3.45	10
1010	Caudate head	L	-8	20	0	3.35	10

1011 L: left; R: right; MNI: Montreal neurological institute; STS: superior temporal sulcus; Mid: middle; IFG: inferior
1012 frontal gyrus; ACC: anterior cingulate cortex; VPLN: ventral posterior lateral nucleus.

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1016 Table 6: Seed-to-seed functional connectivity (gPPI) for angry > neutral normal > f0 &
1017 energy synthesized voices contrast, $p < .05$ seed-level FDR correction, two-tailed.

1018	Seed region label	Target region label	T value	pFDR
1019	pSTG l	FO r	7.26	0.0006
1020	GPe l	Cereb Lob X r	4.69	0.0470
1021	STT l	POTPT r	5.71	0.0073
1022	STT l	STT r	5.30	0.0077

1023 FO: frontal operculum; GPe: external globus pallidus; pSTG: posterior superior temporal gyrus; STT:
1024 spinothalamic tract of the brainstem; POTPT: parieto-occipito-temporo-pontine tract of the brainstem; Cereb
1025 Lob: cerebellum lobule; l: left; r: right.

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1027 Table 7: Seed-to-seed functional connectivity (gPPI) for happy > neutral normal > f0 &
1028 energy synthesized voices contrast, $p < .05$ seed-level FDR correction, two-tailed.

1029	Seed region label	Target region label	T value	pFDR value
1030	PaCC r	SubCC	-4.73	0.0442
1031	pMTG l	COC r	4.70	0.0374
1032	pMTG l	pSTG r	-4.45	0.0374
1033	aSTG r	GPI r	4.70	0.0466
1034	LL r	CST r	8.22	0.0001

1035 PaCC: paracingulate cortex; SubCC: subcalcarine cortex; GPI: internal globus pallidus; COC: central operculum
1036 cortex; aSTG: anterior superior temporal gyrus; pSTG: posterior superior temporal gyrus; pMTG: posterior
1037 middle temporal gyrus; CST: corticospinal tract of the brainstem; LL: lateral lemniscus of the brainstem.; l: left;
1038 r: right.