

1 **An Examination of an Enhanced Remote Sensing Method for Agent Attribution of**
2 **Forest Disturbance**

3

4 [bioRxiv]

5

6 Hugh Marshall Worsham¹

7 ¹*Energy and Resources Group, University of California, Berkeley*

8

9 Corresponding:

10 Hugh Marshall Worsham

11 310 Barrows Hall

12 University of California, Berkeley

13 Berkeley, CA 94720

14 United States

15 worsham@berkeley.edu

16 <https://orcid.org/0000-0001-7924-040X>

17 **Abstract**

18 Patterns of disturbance in Sierra Nevada forests are shifting as a result of changing climate
19 and land uses. These changes have underscored the need for a monitoring system that both
20 detects disturbances and attributes them to different agents. Addressing this need will aid
21 forest management and conservation decision-making, potentially enhancing forests'
22 resilience to changing climatic conditions. In addition, it will advance understanding of the
23 patterns, drivers, and consequences of forest disturbance in space and time. This study
24 proposed and evaluated an enhanced method for disturbance agent attribution. Specifically,
25 it tested the extent to which textural information could improve the performance of an
26 ensemble learning method in predicting the agents of disturbance from remote sensing
27 observations. Random Forest (RF) models were developed to attribute disturbance to three
28 primary agents (fire, harvest, and drought) in Stanislaus National Forest, California,
29 U.S.A., between 1999 and 2015. To account for spectral behavior and topographical
30 characteristics that regulate vegetation and disturbance dynamics, the models were trained
31 on predictors derived from both the Landsat record and from a digital elevation model. The
32 predictors included measurements of spectral change acquired through temporal
33 segmentation of Landsat data; measurements of patch geometry; and a series of landscape
34 texture metrics. The texture metrics were generated using the Grey-Level Co-Occurrence
35 Matrix (GLCM). Two models were produced: one with GLCM texture metrics and one
36 without. The per-class and overall accuracies of each model were evaluated with out-of-bag
37 (OOB) observations and compared statistically to quantify the contribution of texture
38 metrics to classification skill. Overall OOB accuracy was 72.0% for the texture-free model
39 and 72.2% for the texture-dependent model, with no significant accuracy difference between
40 them. Spatial patterns in prediction maps cohered with expectations, with most harvest
41 concentrated in mid-elevation forests and fire and stress co-occurring at lower elevations.

42 Altogether, the method yielded adequate identification of disturbance and moderate
43 attribution accuracy for multiple disturbance agents. While textures did not contribute
44 meaningfully to model skill, the study offers a strong foundation for future development,
45 which should focus on improving the efficacy of the model and generalizing it for systems
46 beyond the Central Sierra Nevada.

47 1. Introduction

48 Disturbance regulates the composition and structure of temperate forests by altering
49 processes of vegetation growth, death, decomposition, and regeneration (Turner 2010).

50 Disturbance agents interact with pre-disturbance conditions to produce variable effects
51 with profound consequences for post-disturbance regeneration (Collins and Roller 2013,
52 Coop et al. 2016, Shive et al. 2018), as well as for carbon storage, water cycling, timber
53 productivity, wildlife habitat, and other ecological goods and services that forests provide.

54 Consider three examples. In the highest-intensity regions of a wildfire, living trees
55 of all age classes may be carbonized or left as standing or downed deadwood, while organic
56 material is consumed from the surface through much of the root zone (Cochrane and Ryan
57 2009, Perry et al. 2011). On the lower-intensity margins, fire may thin the understory or
58 selectively kill weakened individuals and more vulnerable species, freeing resources that
59 enable mid- to late-seral species to release (Braziunas et al. 2018). A clear-cut harvest, in
60 turn, abruptly removes most or all tree cover, leaving few or no standing stems (Franklin et
61 al. 2002, Tappeiner et al. 2015). Post-harvest regeneration must begin from “the ground
62 up,” via an existing seedbank or artificial seeding or planting. On the other hand, some
63 forest disturbances are less abrupt. Mortality due to desiccation stress or beetle infestation
64 typically unfolds over months or years, often with species or age-class selectivity.

65 Infestations yield relatively slow declines in chlorophyll canopy content, frequently yielding
66 distinctive “red” and “gray” phases of decline, and standing dead stems may remain on site
67 for many years (Ciesla 2000). When salvage logging is not applied, much of the nutrient
68 stock may also be retained on site, as dead stems fall and decompose, but the site may face
69 an increased wildfire risk (Tappeiner et al. 2015, Larvie et al. 2018).

70 From a theoretical perspective, what constitutes a disturbance, and how
71 disturbances ought to be differentiated from other kinds or degrees of perturbation that

72 affect biological communities, have proven thorny questions to answer (Sousa 1984).
73 Disturbances are often labeled “natural” (e.g., fire, windthrow, flood, pest infestation,
74 drought) or “anthropogenic” (e.g., biological invasion, forest management treatment,
75 fragmentation, roadcut, plantation-conversion) (Dale et al. 2001, Turner and Gardner
76 2015). However, insisting on a sharp line between these categories is unrealistic. In the
77 western United States, as in other parts of the world, the legacies of indigenous landscape
78 management practices likely cannot be disentangled from the region’s “natural” fire regime
79 (Conedera et al. 2009, Trauernicht et al. 2015). Equally, anthropogenic climate change
80 appears to be influencing “natural” disturbance processes such as desiccation stress and
81 dieback in western U.S. forests (Clark et al. 2016).

82 Two further problems beset theoretical characterizations of disturbance. First,
83 without some qualification, the concept implies a possibility of stasis that rarely occurs in
84 natural systems (Connell and Sousa 1983, Sousa 1984). Many biological communities
85 readily shift among a set of alternative stable states (Beisner et al. 2003), or even
86 alternative transient states (Fukami and Nakajima 2011). In the absence of an objective
87 way to identify where a system lies within its alternative-state frontier at any moment, it is
88 hard to say when a perturbation is disruptive enough to qualify as a disturbance. Second,
89 disturbance agents often interact: to cite one example, drought stress can inhibit trees’
90 defenses against infections and parasites, in addition to rendering them more vulnerable to
91 fire. (Anderegg et al. 2015, Johnstone et al. 2016, Seidl and Rammer 2017, Simler et al.
92 2018). To attempt to differentiate particular agents as proximate or ultimate causes of
93 disturbance often seems more a hermeneutic exercise than an empirical one.

94 Considering these difficulties, while acknowledging that disturbance is nevertheless
95 a useful way to describe a class of environmental phenomenon, this paper holds with the
96 idea that disturbance “lies near one extreme of the continuum of perturbations that affect

97 organisms” (Sousa 1984). It also assumes, *sec.* Peters et al. (2011), that an adequate
98 description of a given disturbance needs to account for at least: (1) the environmental
99 agent(s) of disturbance (“drivers”), (2) structural and functional characteristics of the
100 system prior to the disturbance (“initial system properties”), and (3) interactions between
101 the first two components that give rise to physical, chemical, and biological mechanisms of
102 change (“mechanisms”). An adequate description of disturbance should also consider the
103 consequences explicitly. The outcomes of forest disturbance can include vegetation
104 morbidity and mortality in the short-term and changes in age class, species composition
105 and dominance, hydrologic function, or ecosystem state (among others) in the long-term.
106 For this paper’s purposes, I take forest disturbance to mean a discrete application of energy
107 to, or expenditure of energy within, a forested landscape that results in mortality,
108 morbidity, or displacement of vegetation and that opens opportunities for the establishment
109 of new individuals. I am primarily concerned with disturbances observable at the hectare
110 scale (10,000 m²) and larger, because of size of the area of study (~3600 km²) and the spatial
111 resolution of the observations used (~900 m²).

112

113 *1.1. Forest disturbance and climate change*

114 A growing body of evidence suggests that patterns of disturbance in the forests of
115 the Sierra Nevada of California are shifting (Breshears et al. 2005, Millar et al. 2007,
116 Adams et al. 2010, Allen et al. 2010, Cohen et al. 2016). For instance, timber harvesting in
117 national forests has decreased since the 1970s, while the incidence of wildfire and pest
118 infestation has increased (Oswalt et al. 2019). In the Sierra Nevada, desiccation stress was
119 widespread during the 2012–2015 drought, but it was also attended in some areas, such as
120 Sequoia & Kings Canyon National Parks, by severe outbreaks of western and mountain
121 pine beetles (Larvie et al. 2018).

122 So far, one consistent net effect of these shifts is high tree mortality (Potter 2017,
123 Crockett and Westerling 2018, Fettig et al. 2019). The new dynamics may also be inducing
124 species shifts and biodiversity losses (Paz-Kagan et al. 2017), and they could drive the
125 replacement of forests by non-forest land cover types, such as shrubland or meadow
126 (Thorne et al. 2017, 2018). Some have projected that these trends will continue as a result
127 of climate change and anthropogenic activity, with consequent impacts on the services that
128 currently forested landscapes provide.

129 Given these trends, advancing understanding of the patterns, drivers, and
130 consequences of forest disturbance in space and time is a research priority (Trumbore et al.
131 2015, Johnstone et al. 2016). McDowell et al. (2015) note a “lack of a comprehensive
132 monitoring system” that can both identify terrestrial disturbances and attribute them to
133 specific agents. Filling this gap would help forest resource managers understand how
134 forests respond to changing climatic conditions. In addition, reliable quantification of
135 historical and emerging disturbances will help to improve the skill of empirical models of
136 spatial pattern, population dynamics, forest regeneration, carbon storage, and water
137 cycling. In the long run, this effort could also improve the prospects for quantitative
138 description of ecological disturbance in the context of alternative stable (or transient) states
139 by improving the resolution of pre- and post-disturbance baselines. Finally, it will support
140 strategies for conserving, restoring, or adaptively transitioning forests in areas facing
141 increasing vulnerabilities to various agents of disturbance (Millar et al. 2007, Hansen and
142 Turner 2019).

143

144 *1.2. Review of remote sensing methods for forest disturbance detection and attribution*

145 Over the past decade, efforts to detect and attribute forest change have proliferated.
146 However, the field has yet to settle on a set of approaches that produce reliable estimates

147 that can be compared across disturbance regimes or regions. The field currently comprises a
148 somewhat incongruent set of algorithms, satellite and aerial monitoring platforms, and
149 field assessment protocols. The USDA Forest Service's Forest Inventory and Analysis (FIA)
150 program provides data on most classes of forest-disturbance agent, but only across a
151 network of sampling plots (Schroeder et al. 2014). In California, the dataset extends back to
152 2001, with repeat surveys conducted on each plot approximately once a decade (Christensen
153 et al. 2016). This relative infrequency, along with the FIA's policy of obscuring the precise
154 locations of most sample plots, substantially limits the data's suitability for quantifying
155 spatially continuous change. Aerial insect and disease detection surveys are similarly
156 discontinuous and coarsely resolved in space and time. In response to these limitations,
157 researchers and managers have increasingly turned to satellite remote sensing methods for
158 their ability to capture a wide range of spatial and temporal variability across large regions.

159 The history of remote sensing methods for forest change detection extends at least
160 as far as the 1920s, when an entomology study analyzed oblique aerial photography to
161 identify spruce budworm mortality in Canadian spruce forests (Ciesla 2000). This process
162 was improved substantially by a double-sampling approach developed in the 1950s and
163 1960s, in which tree mortality estimates were made through stereoscopic interpretation of a
164 large sample of photographs and scaled up through statistical comparison with a smaller
165 sample of ground plots (Heller et al. 1959, Wear et al. 1966). Double sampling allowed for
166 statistically valid estimations of canopy loss across wide geographic areas (Lund 1997).
167 With the increasing availability of color and infrared film, researchers and forest managers
168 also began to exploit spectral information to identify crown fade and red and grey phases of
169 beetle infestations (Hadfield 1968, Hanson and Lautz 1971). With the launch of the first
170 civilian Earth-observing satellites, Landsat I in 1972, Geostationary Operational

171 Environmental Satellite (GOES-1) in 1975, and the Advanced Very High Resolution
172 Radiometer (AVHRR) in 1978, remote sensing methods for forest change detection boomed.
173 Methods developed early on—and still in widespread use today—derive information
174 by comparing two or more images made at separate points in time over the same
175 geographic area. In pre-classification change detection methods, analysts compare the
176 images' raw spectral data. In post-classification techniques, each pixel in an image is
177 assigned to a defined land-cover type, and intertemporal differences are evaluated as
178 changes in class (Iverson et al. 1989). While post-classification approaches allow for the
179 integration of multiple data types and can minimize the effects of exogenous atmospheric or
180 radiometric distortions, they can carry an additional error burden due to information loss in
181 the classification procedure (Coops et al. 2007). More complex approaches in this category
182 involve principal component analysis, in which correlated spectral returns are compressed
183 so that change detection is performed on independent linear transformations of the original
184 data, and change vector analysis, which decomposes spectral responses into magnitude and
185 directional components (Fung and Ledrew 1987, Lu et al. 2004, Khorram et al. 2016). In
186 addition to uncovering dramatic vegetation changes around the world, these two-date
187 change-detection approaches identified two important requirements for any attempt at
188 forest change detection. First, special care must be taken to align the images geometrically
189 and radiometrically as nearly as possible to avoid false-negative change detection as a
190 result of registration inconsistencies. Second, it is imperative to account for seasonal
191 change, either through multi-band analysis, index computation, or seasonal compositing of
192 multiple images, as phenological change can easily be confused with stress-related change,
193 particularly in visible wavelengths (Khorram et al. 2016).

194 Because vegetation disturbance is a dynamic process operating on multiple
195 timescales, two-date comparison methods carry obvious limitations. In the mid-2000s, a

196 suite of algorithms was developed to address this gap; these operate on the unique time
197 signatures that different directions and magnitudes of vegetation change leave behind
198 (García-Haro et al. 2001, Potter et al. 2007, Goodwin et al. 2008, Vogelmann et al. 2009,
199 2012). Such approaches are able to detect either abrupt changes (anomalies) or longer-
200 duration changes (trends) with suitable accuracy for monitoring and management. The
201 most sophisticated of these is the Vegetation Change Tracker (VCT) (Huang et al. 2010).
202 This method characterizes the temporal profile of each pixel in a time series stack and
203 classifies the pixels into one of several types (persistent forest, persistent non-forest,
204 disturbed forest, or regenerating forest), based on comparisons of absolute change in the
205 series with predetermined thresholds. Although these improve on two-date methods, as
206 Kennedy et al. (2010) point out, anomaly-targeted algorithms tend to exclude long-term
207 trend changes as noise, while trend-targeted algorithms do the same for abrupt anomalies.

208 Since 2010, a new generation of algorithms has emerged to disentangle remotely
209 sensed time series data to capture both abrupt disturbance events and longer-phase trend
210 change. These temporal segmentation algorithms are summarized in Table 1.

211 Although the methods differ somewhat in their implementation, in general they
212 apply a statistical model, such as linear segmentation, Fourier curve fitting, or quadratic
213 smoothing, to a time-series stack of remotely sensed data in order to derive information
214 about each pixel's spectral trajectory over time. The output is usually an array that
215 includes a per-pixel estimate of the location, timing, duration, and in some cases,
216 magnitude of spectral change. Based on these outputs, an analyst can inquire about
217 patterns of behavior among pixels or among aggregates formed based on the adjacency or
218 similarity of pixels in one or more dimensions.

219 In the U.S., researchers and managers have deployed both two-date image
220 differencing and the more complex algorithmic approaches in several prominent

221 disturbance monitoring programs. Monitoring Trends in Burn Severity (MTBS) uses a two-
222 date procedure on Normalized Burn Ratio (NBR) values from Landsat images to map the
223 severity of large fires (Eidenshink et al. 2007). The ForWarn system uses Normalized
224 Difference Vegetation Index (NDVI) anomaly calculated on MODIS data to model
225 disturbances in near-real-time. However, its coarse spatial resolution (minimum 250 m)
226 makes it insensitive to finer-scale disturbances, including most management treatments on
227 public forested lands in the western U.S. (Hargrove et al. 2009). The LANDFIRE
228 disturbance database resolves 12 disturbance agent classes and dozens of sub-classes at 30-
229 m spatial resolution (Rollins 2009, Vogelmann et al. 2011). The program draws on multiple
230 algorithmic, remote sensing, and *in situ* data sources, including MTBS and VCT, but its
231 evidently incomplete record dates back only to 1999. The North American Forest Dynamics
232 program has leveraged the VCT algorithm to build a wall-to-wall map of U.S. forest
233 disturbance across the entire Landsat record at 30-m scale (Goward et al. 2016).

234 The efforts above have advanced *detection* of forest disturbance; methods for *agent*
235 *attribution*, on the other hand, remain embryonic. The most reliable approaches require
236 extensive technician analysis of multiple datastreams, including *in situ* observations,
237 management treatment records, and aerial- and satellite-platform sensing (e.g., Schmidt
238 2014, Cohen et al. 2016). The process is time-intensive and beset with error when multiple
239 forest types are under investigation, when multiple disturbance agents are active, and
240 when a site experiences more than one disturbance in the same period of analysis.

241 Automating this process through empirical modeling may help to reduce time and resource
242 requirements, in addition to improving accuracy of retrospective analyses and enhancing
243 the relevance of near-real-time disturbance detection and monitoring.

244 To date, a limited number of efforts at further automating agent attribution have
245 been published. The methods in this paper are heavily indebted to these projects. Neigh et

246 al. (2014) used multiple indices derived from AVHRR and Landsat products in a decision-
247 tree classification to map insect kill and harvest in northern forests of Wisconsin and
248 Minnesota. They achieved per-class accuracy of 65–70% and overall accuracy of 72%.
249 However, the same method applied to forests in the Pacific Northwest yielded inferior
250 results, indicating a need for further refinement before generalizing across forest types.
251 Kennedy et al. (2015) combined LandTrendr temporal segmentation of the 1984–2014
252 Landsat stack with a Random Forest classification approach (Breiman 2001) to map
253 multiple agent classes in the Pacific Northwest. Oeser and colleagues (2017) applied a
254 similar approach in Central European temperate forests, applying BFAST temporal
255 segmentation to identify abrupt forest loss and passing the resulting spatio-temporal
256 information into a Random Forest classification. They identified harvest, windthrow,
257 cleared windthrow, and bark beetles to 76–86% accuracy. Schroeder et al. (2017) classified
258 fire, harvest, conversion, wind, and drought stress with a Random Forest model trained on
259 VCT and ancillary geophysical variables. Their approach yielded high accuracy (69–86%)
260 across ten Landsat scenes made over various ecoregions of the United States. Interestingly,
261 accuracy was higher when information about the timing (year) of disturbance was excluded
262 from the model. Shimizu et al. (2017) also used Random Forest to classify patches of
263 contemporaneously disturbed pixels to discriminate anthropogenic forest changes, such as
264 logging, plantation conversion, and urbanization in Myanmar. Finally, Shimizu et al. (2019)
265 evaluated the relative effectiveness of several different approaches to disturbance-agent
266 classification in a South Asian tropical forest: threshold-based detection using one spectral
267 index, machine-learning methods trained on temporally segmented vegetation index values,
268 and one machine-learning method trained directly on the Landsat time series without prior
269 temporal segmentation. They found that direct prediction performed better than
270 approaches that included temporal segmentation, with considerable savings in complexity

271 and computational expenditure, but it remains to be seen whether this approach works as
272 well as two-stage methods in other forest types.

273 Clearly, there is enthusiasm for solving the agent-attribution problem, and the early
274 work suggests that remotely sensed information shows promise for resolving different types
275 of forest disturbance into distinct classes. But much remains to be done to achieve a
276 generalizable method. Some key areas for development include:

- 277 (1) identifying the most effective combination of spectral bands and indices for
278 accurate modeling across landscape types;
- 279 (2) determining whether a two-stage method (temporal segmentation plus
280 classification) or a one-stage method (direct classification without temporal
281 segmentation) consistently yields higher accuracy;
- 282 (3) making use of new spectral measurements of solar-induced chlorophyll
283 fluorescence (SIF), such as the NASA Orbiting Carbon Observatories' (OCO-2
284 and OCO-3) SIF products and the Near-Infrared Reflectance of Vegetation
285 (NIR_v) index; and
- 286 (4) assessing whether textural information derived from Landsat scenes can improve
287 classification results.

288 Here, I address the last two of these prompts by making novel use of NIR_v and by testing
289 the contribution of textural information to agent-attribution accuracy.

290

291 1.3. *NIR_v*

292 This study marks the first use of NIR_v in a change-detection procedure. NIR_v
293 directly measures the fraction of near-infrared reflectance attributable to chlorophyll,
294 yielding accurate estimates of photosynthesis rate and gross primary production (GPP)
295 (Badgley et al. 2017, 2019, Wu et al. 2020). NIR_v tends to be more sensitive to decreases in

296 photosynthetic capacity than other vegetation indices, which offers reason to think that a
297 model trained on NIRv may improve detection of sublethal phases of stress-related
298 disturbances (e.g., drought, beetle infestation).

299

300 1.4. *Texture*

301 On texture, as this paper's introduction describes, different agents and intensities of
302 disturbance leave different structural legacies on forested landscapes. Vegetation structure,
303 in turn, has been shown to resolve well in textural patterns derived from optical remote
304 sensing measurements (Wood et al. 2012, Lam et al. 2013). At the most basic level, *texture*
305 describes certain spatial properties of a surface—in ordinary experience, these include
306 properties such as smoothness, coarseness, or sharpness. Quantifying texture for analytic
307 purposes is a matter of measuring and expressing differences between high and low points
308 on a surface (z differences in Cartesian space), and how near or far those points are from
309 one another (x - y differences). Smoother surfaces tend to have smaller x - y - z differences,
310 while rougher surfaces tend to have larger differences. Smoothness and coarseness are only
311 two of many relevant textural properties that can be measured statistically from images of
312 a surface. One widely deployed set of metrics is that derived from the Gray Level Co-
313 occurrence Matrix (GLCM) (Haralick et al. 1973). The GLCM procedure tabulates the
314 frequency of co-occurrence of pixel brightness values in adjacent pixels using a set of
315 moving-window comparisons. These frequencies are then used to compute a set of 14+
316 distinct measurements of texture.

317 At the pixel level, GLCM metrics describe second-order statistical properties. First-
318 order information, such as the spectral reflectance intercepted by a sensor and recorded as
319 pixel values, generally measures physical behavior (reflectance of electromagnetic energy)
320 or chemical activity (photosynthesis) or a statistically verifiable proxy for the same. GLCM,

321 on the other hand, quantifies relationships between *pairs* of pixels (Hall-Beyer 2017). First-
322 and second-order metrics tend to be statistically independent of each other and so can
323 contribute complementary information to landscape analyses. GLCM textures have long
324 been applied in combination with other variables to improve accuracy of land-use and land-
325 cover classification (Coburn and Roberts 2004). The applicability of GLCM metrics for forest
326 structure analysis has been demonstrated using both high-resolution (IKONOS) and
327 moderate-resolution (SPOT, Sentinel-1, ASTER, Landsat) data, though it likely has much
328 lower utility in land cover applications at spatial resolutions lower than ~50 m per pixel
329 (Marceau et al. 1990, Ozdemir et al. 2012, Wood et al. 2012).

330 Textural metrics have the potential to improve disturbance agent attribution
331 because of the relationships between agent and stand structure on the ground, and between
332 stand structure and texture in images. These relationships may be especially important in
333 the Central Sierra Nevada forests evaluated in this study because of the agents that are
334 most prevalent in the region: fire, drought, insects, and harvest. These tend to leave
335 visually distinctive and analytically differentiable patterns on the landscape (Fig. 1) and
336 may contribute decision-enhancing information to an agent-attribution modeling procedure.

337

338 1.5. *Motivation*

339 California's Central Sierra Nevada offers valuable opportunities to study forest
340 disturbance and its drivers using the emerging remote sensing methods described above.
341 Observed climatic changes, including warmer winter and spring temperatures,
342 precipitation shifts from snow to rain, lower peak snowpack depth, and early spring
343 drydown, have been documented across the region (Vicuna and Dracup 2007). These shifts
344 are connected to a multitude of forest changes. For example, whitebark pine (*Pinus*
345 *albicaulis* Engelm.) and ponderosa pine (*Pinus ponderosa*) have experienced widespread

346 mortality due to mountain pine beetle, western pine beetle, and desiccation stress (Millar et
347 al. 2012, Birdsey et al. 2019). The region has also faced compositional shifts and increases
348 in stem density in mid-elevation coniferous stands, as well as canyon oak regeneration in
349 stands previously occupied by conifers (Dolanc et al. 2013, 2014).

350 The site selected for study, Stanislaus National Forest, is an archetype of these
351 trends. Fire, harvest, thinning, drought, and insect stress have been extensive and well
352 distributed across elevational gradients over the past three decades. The prevalence of
353 disturbance makes it a prime site for an attempt at complex disturbance agent attribution.
354 Indeed, the Forest has been a subject of at least two prior disturbance-detection studies
355 using LandTrendr and VCT, respectively (Schmidt 2014, Birdsey et al. 2019). Both relied on
356 manual interpretation of multiple data sources for agent attribution. Schroeder et al.'s
357 (2017) semi-automated approach using VCT and Random Forest classification included one
358 Landsat tile that partially overlapped the Forest. Their results showed agent classification
359 agreement above 90 percent for the Sierra Nevada site, indicating strong potential for this
360 approach in the region.

361 The overarching aim of this project was to test whether a Random Forest ensemble
362 learning method for classifying forest disturbance agents at the 30-m Landsat pixel scale
363 can be improved by incorporating textural information.

364

365 1.6. *Research questions*

- 366 1. To what extent does the inclusion of textural information improve attribution of the
367 agents of disturbance in Stanislaus National Forest?
368
- 369 2. What are the relative contributions of three independent textural metrics to
370 classification accuracy?

371

372 *1.7. Study objectives*

- 373 1. Agent-attribution model: Evaluate the capacity of an ensemble learning method
374 to classify Landsat-derived pixel data according to three agent-based forest
375 disturbance classes (fire, harvest, stress) and stable forest/non-forest.
- 376 2. Texture contribution to accuracy: Assess the per-class and overall accuracy of
377 texture-free and texture-dependent agent models to evaluate whether a model
378 with textural metrics is more effective at identifying agents of disturbance than
379 one without.
- 380 3. Variable importance: Determine which predictor variables are most useful for
381 attributing forest disturbance agents in a Sierra Nevada forest.

382

383 **2. Methods**

384

385 *2.1. Study site*

386 Stanislaus National Forest is a 3,634-km² federal landscape administered by the
387 USDA Forest Service on the western slope of the Sierra Nevada in California (Fig. 2). The
388 forest abuts the northern border of Yosemite National Park and contains three federal
389 Wilderness areas (Mokelumne, Carson-Iceberg, and Emigrant) to the north and east. The
390 region's climate is Mediterranean, with average precipitation around 125 cm (990 cm
391 equivalent snowfall.) The jurisdiction spans a broad elevational gradient, from 450 m in the
392 western foothills to over 3350 m near the Sierra crest. The Forest contains more than 1200
393 km of rivers and streams. Vegetative communities include oak woodlands at lower
394 elevations, mixed conifer forests at middle elevations, and subalpine vegetative
395 communities at the highest elevations.

396 Since 2000, the Forest has experienced two major wildfires: the 2013–2014 Rim Fire,
397 which burned 257,314 acres and the 2018 Donnell Fire, which burned 36,450 acres. Forest
398 ecosystems in the domain are subject to other natural disturbance regimes, such as conifer
399 beetle eruptions, severe winter wind events, and avalanches. They are also harvested for
400 merchantable timber and thinned for fire resistance, pest management, species selection,
401 and site productivity; these operations often register as vegetation loss in change-detection
402 analyses, but because of forest management practice guidelines, are typically constrained to
403 clearly delineated areas less than 16 hectares (0.16 km²).

404

405 2.2. *Data preparation*

406 2.2.1. *Reference data*

407 Ideally, reference data for model training and accuracy assessment would come from
408 data acquired in the field. However, a consistent, spatially explicit longitudinal record of *in*
409 *situ* disturbance observations does not exist for California, and due to time and cost
410 constraints I was unable to assemble such a record myself. Instead, I used the Landscape
411 Fire and Resource Management Planning Tools (LANDFIRE) Disturbance Public Model-
412 Ready Events Geodatabase. In its original form, this dataset comprises a set of polygon
413 shapefiles demarking the locations, extents, types, and timing of disturbances and
414 management treatments. The polygons are submitted annually to LANDFIRE, a joint
415 program of the USDA Forest Service and U.S. Department of the Interior, by contributors
416 from federal and state resource management agencies, private organizations, and
417 national/regional fire mapping programs, such as MTBS and CalFire’s Fire and Resource
418 Assessment Program (FRAP). Data submissions must meet minimum standards for
419 inclusion, and they are subsequently analyzed for positional accuracy and quality and then
420 corrected for topological inconsistencies. In the Model Ready Events dataset, the set of

421 polygons is reduced to portray one unique event per location per year between 1999 and
422 2014, using a hierarchical decision procedure. The polygons that comprise the final dataset
423 are those with the greatest-magnitude impact on vegetation.

424 Because these data were used for training as well as validation, the model inherits
425 error from the reference set. However, LANDFIRE currently offers the most extensive and
426 longest record of disturbances and treatments available for the study area. (The dataset
427 also offers nearly full coverage of the continental United States, which would aid testing of
428 the generalizability of the methods in this study in the future). Moreover, with the
429 exception of data generated by MTBS, the records are created without reliance on Landsat
430 observations. In the study area, because of the extensive records maintained by CalFire
431 FRAP, none of the fire event polygons were derived from MTBS. It stands to reason that
432 the reference set is as independent of the predictor data as is feasible. I considered the
433 reference set sufficient in light of the fact that this is foremost a proof-of-concept study but
434 acknowledge that more reliable training and reference data would improve the reliability of
435 the model.

436 I converted the polygons to Geotiff raster format and randomly selected 200 sample
437 points from each of five strata: fire, harvest/treatment, stress, stable forest, and stable non-
438 forest. For each of the disturbed points, I preserved the year reported and the assigned
439 disturbance agent from LANDFIRE. In some cases, the sampling yielded multiple
440 disturbances per point during the time interval. When this happened, I selected the
441 highest-severity disturbance in the set to maintain consistency with the procedure in the
442 temporal-segmentation algorithm described in §2.2.2 below. The 1000 total sample points
443 represented three classes of disturbance agent (fire, harvest, stress) and two classes of
444 stability (stable forest, stable non-forest).

445

446 2.2.2. Landsat Tier-1 surface reflectance datasets

447 A flowchart of the remaining analytical steps appears in Fig. 3. The next task was to
448 generate predictor variables for training the classification model. Using the Google Earth
449 Engine API (Gorelick et al. 2017), I assembled an image collection of Landsat 5 Thematic
450 Mapper (TM), Landsat 7 Enhanced Thematic Mapper (ETM+) and Landsat 8 Operational
451 Land Imager (OLI) datasets acquired over the study area, preprocessed to Tier 1 surface
452 reflectance. ETM+ and OLI data provide moderate-to-high spatial and temporal resolution
453 (30 meters per pixel for non-thermal bands on a 16-day return interval). They offer
454 adequate spectral resolution for vegetation change detection.

455 The image collection included all images made during the peak growing season
456 (June 21 – September 20) in the years between 1999 and 2015, inclusive. Next, to
457 standardize the ETM+ and OLI data, I applied a slope-intercept harmonization algorithm,
458 which normalized OLI surface reflectance values to ETM+ values. A detailed discussion of
459 this procedure is available in Roy et al. (2016). I then applied a masking function to each
460 image using the pixel-QA band to remove clouds, snow, cloud shadows, and water, in order
461 to avoid generating outlier band ratio values that could lead to false positive change
462 detection. The final collection contained 373 images in total, between nine and 31 per year
463 for an average of 22 images per year.

464 The next step was to build a summary dataset of annual surface reflectance images.
465 I computed the medoid value per pixel from the annual subsets of masked image
466 collections. The medoid is a measure of center that minimizes the vector distance to all
467 other points in the set. In an odd set in one-dimensional number space, this is the median.
468 In an even set, in which the median would fall in the interval between two values, the
469 medoid is constrained to one of the values actually present in the dataset. In this case, the

470 medoid computation selected the lower value in the interval. Medoid compositing produced
471 17 images, one for each year in the study period.

472 The consequence of this compositing was that subsequent analysis evaluated
473 interannual change, a significant scaling up from the 16-day temporal resolution of the
474 original Landsat data. There are tradeoffs in any data selection procedure. While some
475 information is compressed or lost in generating annual medoids, this process reduces the
476 error in intertemporal comparison resulting from radiometric differences between images
477 made at different times of day and year. It also helps to moderate phenological variance
478 and spectral errors thrown by late snowpack or early snowfall. The aim is to produce a
479 relatively consistent set of images for comparison, while preserving strong signals of
480 change. Because disturbance legacies usually remain detectable on a forested landscape for
481 several years after the event (except when salvage harvest is applied), annual compositing
482 tends to improve the accuracy of disturbance detection on net (Kennedy et al. 2010).

483 Finally, I computed three vegetation indices on the medoid spectral values as inputs
484 to the temporal segmentation procedure (§2.2.3). Dozens of spectral indices have been
485 proposed for distinguishing vegetation from other forms of land cover (Khorram et al. 2016).
486 All require computations, typically on combinations of visible and infrared bands, that
487 amplify the spectral signal of vegetative cover and diminish the signal of non-vegetative
488 cover. Several indices have been found especially useful in identifying disturbance (Miller
489 et al. 2009, Neigh et al. 2014b, Potter 2014, McDowell et al. 2015, Senf et al. 2015, Cohen et
490 al. 2018). The two most often used are the Normalized Difference Vegetation Index (NDVI)
491 (Rouse et al. 1974) and Normalized Burn Ratio (NBR) (Keeley 2009). However, recent
492 studies have concluded that a combination of spectral indices enhances disturbance
493 detection accuracy, likely because no one index fully captures the spectral behavior of a

494 landscape in flux. Therefore, in keeping with recent trends toward multi-index
495 classification, I included three indices: NDVI, NBR, and NIR_v (Table 2).

496 For each index, I scaled the results by 10³ to allow the temporal segmentation
497 algorithm to operate on integer values without losing precision and then inverted the
498 values so that negative index change would correspond with vegetation loss.

499

500 *2.2.3. Disturbance detection through temporal segmentation*

501 The final post-processed index images were used to produce a suite of derivative
502 change variables, which were later applied as predictors in the agent-attribution
503 classification model.

504 LandTrendr (Kennedy et al. 2010, 2018) is one of several algorithms available for
505 temporal segmentation of time series data. The core of the algorithm is an attempt to create
506 fitted models of pixels' spectral behavior. When configured appropriately for the image set,
507 this process strikes a balance between removing “noisy” interannual variability while
508 identifying the maximum possible number of significant changes in the pixel's record.
509 Operating sequentially on each pixel in the stack of annual medoids, the algorithm returns
510 a series of straight-line segments joined at vertices where the change in spectral value is
511 significant enough to be considered an inflection point. The algorithm iteratively generates
512 simpler models and then selects the model that best fits the original data.

513 The Google Earth Engine implementation of the LandTrendr algorithm (Kennedy et
514 al. 2018) was run over each of the three vegetation index collections. The codebase accepts
515 several user-defined inputs. I constrained the analysis to starting values of NDVI > 120,
516 NBR > 170, and NIR_v > 210. This trimming filtered out values that began below standard
517 thresholds for vegetation on each index and persisted through the time series as stable non-
518 forest. I also constrained the analysis to compute a maximum of 12 segments. I considered

519 any change that did not persist for at least one year beyond the initial detection to be
520 erroneous. (Fast spectral recoveries in forest remote sensing data are more often a result of
521 radiometric noise or insufficient cloud/shadow masking than of vegetation vigor (Kennedy
522 et al. 2010)). I therefore removed vertices where an apparent change returned to starting
523 value within two years. For fitted model selection, I specified two best-fit criteria: the
524 algorithm must select the model with the most vertices (again, to detect all changes in the
525 record), but it must have a p-value within 0.75 of the model with the absolute lowest p.

526 Illustrations of the model fitting results appear in Fig. 4, which depicts the spectral
527 behavior of three randomly selected pixels identified, respectively, as “Disturbed”, “Stable
528 Forest”, and “Stable Non-Forest” through the temporal segmentation procedure. In this
529 example, the algorithm simplified the shape of the “Disturbed” pixel’s trajectory from 17
530 segments in the original NIR_v returns to 5 in the best-fit model. The fitted model detected
531 three disturbance events (in 2000, 2001, and 2009), followed by a period of regeneration.
532 The largest magnitude disturbance occurred between 2000 and 2001 ($\Delta\text{NIR}_v = 200$), with a
533 duration of one year and a rate of $200/1 = 200$. The “Stable Forest” pixel’s trajectory was
534 reduced to one segment with $\Delta\text{NIR}_v = 0$. The “Stable Non-Forest” trajectory was simplified
535 to two segments. Its absolute NIR_v values never exceeded the threshold for consideration as
536 vegetation ($\text{NIR}_v = 210$), so the pixel was considered undisturbed.

537 After finding the best segment fits, several metrics derived from the trajectories
538 were computed on each pixel, summarized in Table 3. The five-dimensional arrays
539 containing these values were sliced to include only segments representing negative change
540 greater than 10 percent, in order to remove periods of stability, periods of vegetation
541 growth, and low-value outliers. (I make no further inferences about the excluded segments.)
542 This process operationalized the concept of disturbance as *any negative change in the*
543 *vegetation index of a pixel greater than 10 percent*. I selected the greatest-magnitude

544 segment for each pixel. Multidimensional analysis would have exceeded the capacity of the
545 computing resources I have available, and the greatest-magnitude disturbance on a site
546 typically has the greatest influence on forest structure and regeneration dynamics. Finally,
547 I cropped the arrays containing these outputs to a multipolygon shapefile delimiting the
548 boundaries of Stanislaus National Forest (USDA Forest Service 2019).

549

550 *2.2.4. Derived variables*

551 From these outputs, several derivative variables were calculated on each pixel and
552 on pixel clusters. First, land-cover ternary maps were produced by labeling pixels according
553 to the three possible trajectory groups identified the temporal segmentation procedure.
554 Pixels with a detected negative change were labeled “disturbed”; undisturbed pixels with
555 values persistently above the index vegetation thresholds were labeled “stable forest”; and
556 undisturbed pixels with values persistently below the index vegetation thresholds as
557 “stable non-forest.” One ternary map was created for the temporal segmentation results for
558 each vegetation index, for a total of three maps.

559 Next, texture metrics were computed to quantify the textural characteristics of
560 different disturbance classes. Using the “glcmTexture” function in Google Earth Engine
561 (Gorelick et al. 2017), I calculated 14 GLCM metrics on each of the vegetation index images
562 (3 indices x 17 years x 14 metrics = 294 GLCM metrics). GLCM proceeds by tallying the
563 frequency of occurrence of pairs of pixel brightness (“grey-level”) values in a user-defined
564 neighborhood. The frequencies are normalized to the number of observations to produce
565 probabilities (Hall-Beyer 2017). These probabilities are then applied in a series of
566 calculations whose results may be roughly categorized as “edge” metrics and “interior”
567 metrics. Edge metrics produce higher values for larger and more abrupt differences in

568 brightness values in the computing neighborhood. Interior metrics produce higher values
569 for smaller and more heterogeneous gradients in brightness values.

570 Of course, edge and interior are highly scale-dependent qualities of an image, as of a
571 landscape. In a high-resolution image of a forest, a tree crown might be discernable as an
572 edge, while its constituent branches and leaves compose the interior; in a moderate-
573 resolution image, only the edges of patches might be discernable, and multiple trees then
574 make up the interior. It is important, therefore, to identify an appropriate scale for GLCM
575 computation. Owing to the native resolution of Landsat source data and the focus on
576 disturbance at the hectare scale or greater, I used a square 3x3 pixel window to define the
577 computing neighborhood, so that each pixel was compared with its eight edge- and corner-
578 adjacent neighbors in the frequency calculations. This produced texture measurements at
579 the approximately one-hectare patch scale.

580 GLCM produces 14 distinct metrics, but many of them are correlated. Including
581 them all in a classification model would produce redundancies that could reduce model skill
582 and/or distort the evaluation of variable explanatory power (Kim et al. 2009). Based on
583 guidance in Hall-Beyer (2017), I identified three theoretically independent measures to
584 apply in the final analysis (Table 4).

585 *Contrast* measures the intensity contrast between neighboring pixels and tends to be
586 a reliable edge metric in vegetated landscapes (Hall-Beyer 2017). *Entropy* is also often a
587 fruitful edge metric, particularly in areas with a high heterogeneity of radiometric
588 intensities, as in disturbed forest with deadfall, and it may be useful for differentiating
589 structural randomness from more uniform structures (Haralick et al. 1973). *Correlation* is
590 an interior metric that captures the prevalence or absence of linear structure. After
591 computing the texture metrics, I masked out undisturbed pixels and recoded them to a
592 discrete NA value outside the NIR_v range.

593 To evaluate possible correlations between the GLCM metrics, the 14 metrics were
594 paired separately. The Pearson correlation coefficient (r) was computed on all pairs and
595 reported in a correlation matrix. The three proposed measures were confirmed for inclusion
596 only if they were uncorrelated or weakly correlated (either $p > 0.01$ or $r < 0.3$ for significant
597 correlations).

598 Next, in order to exploit the variability in geometric patterns associated with
599 different disturbance classes, I used a 3x3 moving window segmentation algorithm to group
600 pixels disturbed in each year into disturbed patches. I then calculated the perimeter, area,
601 and fractal dimension of each patch. Fractal dimension is effectively an enhanced
602 perimeter:area ratio, normalized to the expected ratio of a square and then scaled
603 logarithmically to reduce the metric's size dependence ($\ln(0.25 * perimeter) / \ln(area)$)
604 (Turner and Gardner 2015).

605

606

607 *2.2.5. Ancillary topographical data*

608 The final step in data preparation was to generate geophysical variables to account
609 for topographical regulation of forest occurrence and disturbance dynamics. The National
610 Elevation Dataset Digital Elevation Model (DEM) was resampled to 30-m pixel resolution.
611 Slope, elevation, sine-transformed aspect and cosine-transformed aspect were computed
612 and draped over the study site (Beers et al. 1966; Schroeder et al. 2017).

613

614 *2.3. Random Forest classification model*

615 A Random Forest (RF) procedure (Breiman 2001) was used to empirically model the
616 occurrence of the four classes of disturbance identified in the reference dataset (fire,
617 harvest, stress, conversion) and stable forest. RF is a non-parametric modeling framework
618 that takes randomized bootstrap samples of subsets of predictor and response variables and
619 uses them to construct an ensemble of many slightly different decision trees. When RF is
620 used for image classification with a categorical response variable, the end-nodes of these
621 trees comprise a set of potential classification decisions for each pixel. The procedure makes
622 a final prediction about the correct class through a majority vote. RF was selected on three
623 criteria. First was its ability to assimilate potentially highly correlated datastreams
624 without overfitting and, owing to the majority-vote procedure, with only minor bias
625 concessions. Second was its value-indifference: because the classification ultimately
626 depends on decision trees, a variable's relative value rather than its absolute magnitude
627 drives the training decision. Incorporating data of widely different magnitudes does not
628 therefore force model decisions toward predictors with higher absolute values. And third
629 was its nearly exclusive use in other disturbance agent-attribution modeling approaches.

630 Two models were developed in R (R Core Team 2014) using the “ModelMap” package
631 (Freeman et al. 2016), which optimizes ensemble modeling procedures for geospatial

632 analysis. The first model was produced without textural variables and the second with
633 textural variables included. In both instances, I used the 1000 points sampled from the
634 reference dataset as trainers, with 200 points in each class. In the first model, 22 predictor
635 variables were used; in the second model, 31 variables were used (Appendix B). The
636 predictor sets contained true pixel values for the entire domain in the topographic and
637 ternary variables. The remaining variables contained true values only for disturbed pixels.
638 In these cases, the non-disturbed pixels received a discrete NA value outside their true
639 ranges.

640 In early tuning of the models, different numbers of independent trees (101, 201, 501,
641 1001, and 2001) were tested incrementally. The number of trees required to stabilize
642 accuracy and variable importance (i.e., the point where increases in the number of trees did
643 not affect overall accuracy or predictor importance rank) fell between 201 and 501. The
644 final models were set to assemble 501 trees. (The extra unit was included to break voting
645 ties). Eight predictor variables were used per bootstrap run to decide on node splits. This
646 was based on guidance in Freeman et al. (2016) to begin with a sample size equal to one-
647 third the number of variables, and then to test increments above and below that number.
648 Accuracy stabilized when eight variables were selected, so the final models were set to
649 sample eight variables.

650 The predictors were randomly sampled in the construction of each tree, and all of
651 the predictors were ultimately used. Maps of disturbance agent predictions at pixel level
652 were produced in ModelMap.

653

654

655 2.4. *Accuracy assessment and variable importance*

656 Accuracy was assessed at three separate stages. First, the accuracy of disturbance
657 detection in the temporal segmentation procedure (§2.2.3) was evaluated against a testing
658 set (§2.2.1). The reference image was reduced by collapsing fire, harvest, and stress into a
659 single “disturbed” cover class; in the resulting image, all pixels were assigned to one of
660 three categorical values: stable forest, stable non-forest, and disturbed. A testing set was
661 created via stratified random sampling of this image, excluding pixels that had been used
662 in model training. The testing points were interpreted in the same manner as the training
663 data. The procedure yielded 200 points per class, for a total of 600 testing points. These
664 points were then used as the basis for comparison with the three cover ternary images
665 (§2.2.4). Omission and commission errors were calculated for disturbed, stable forest, and
666 unstable forest, along with overall agreement scores and Cohen’s Kappa (κ) statistics for
667 each vegetation index. κ is a multivariate measure of accuracy that accounts for the
668 possibility of agreement by chance. The coefficient is calculated from the error matrix and
669 ranges from zero to one, with zero representing random-chance agreement and one
670 representing perfect agreement. In land-cover classification, generally accepted targets for
671 each of these metrics are overall accuracy > 85%, per-class accuracy > 70%, and $\kappa > 0.61$
672 (Foody 2002).

673 Second, the accuracy of disturbance detection in the RF models (§2.3) was evaluated
674 against the testing set to quantify any gross information gain or loss that might have been
675 produced in the RF. In this case, the RF maps were converted to raster format and overlaid
676 on the ternary reference image to form a multi-band raster. The same testing points were
677 extracted, and the same bundle of accuracy metrics was produced.

678 Third, the accuracy of disturbance agent-attribution was assessed using out-of-bag
679 (OOB) estimates. OOB reports the mean prediction error for each training sample,

680 calculated on the trees that were excluded from the bootstrap sampling operation. Because
681 OOB observations are excluded from model training, they are thought to offer reliable
682 accuracy estimates. Omission and commission errors, overall agreement, and κ statistics
683 were measured for both RF models.

684 Mean decrease in accuracy (MDA) was used to evaluate the relative importance of
685 predictors in the two models. Interpreting the absolute importance of individual predictors
686 presents challenges in RF models because the procedure reduces hundreds of intermediate
687 decisions to a single per-pixel vote. MDA, however, enables comparisons of relative variable
688 importance across trees. The statistic measures how much predictive power would be lost
689 (i.e., the percent increase in predictive error that would arise) if a variable were removed
690 from the model. MDA values were computed and ranked for both models. Accuracy and
691 MDA statistics were compared to evaluate the contribution of textural information to model
692 skill.

693

694 **3. Results**

695

696 *3.1. Texture metric correlations*

697 Correlation testing was performed on the set of 13 GLCM texture metrics to validate
698 the assumption that contrast, correlation, and entropy were weakly correlated and
699 therefore contributed independent streams of information to the model. Each GLCM metric
700 was paired separately with the other 13 in the set, and the correlation coefficient Pearson's
701 r was computed for each pair. Graphical representations of these correlations appear in Fig.
702 5. As expected, several of the texture metrics were closely correlated, as indicated by
703 narrower ellipses and more-saturated colors. For instance, contrast and variance—two
704 “edge” measures—were well correlated in the data (Pearson's $r = 0.975$; $p < 0.01$). This

705 tends to be the case when a landscape has very clearly defined edges. Where highly
706 correlated variable pairs are thought to measure similar properties of a landscape, it is
707 prudent to select only one member of the pair in order to develop a statistically independent
708 metric set.

709 The three proposed texture metrics—contrast, correlation, and entropy—were
710 sufficiently weakly correlated to be confirmed for inclusion as textural predictors (Pearson’s
711 $r < 0.3$) (Table 5). Spatially explicit depictions of these metrics calculated on NIRv returns
712 from 2014 are depicted in Fig. 6 for a 25km² subset of the study domain.

713 The reason for selecting independent (or weakly correlated) texture metrics has to do
714 with how RF handles variable importance for highly correlated predictors (Schroeder et al.
715 2014, Freeman et al. 2015). One of the advantages of RF is that it can assimilate correlated
716 variables without sacrificing accuracy or overfitting to the data. A tradeoff, however, is that
717 it tends to spread out importance across those correlated variables, which makes assessing
718 relative variable importance difficult. For the purposes of overall model accuracy, this is not
719 such a problem. But since this study is explicitly testing the importance and contribution of
720 distinct texture metrics, it was necessary, to the extent possible, to use independent
721 measures.

722

723 3.2. *Disturbance detection: temporal segmentation of vegetation index time series*

724 The percentage of pixels identified as disturbed in the study area each year ranged
725 widely, from 0.20% in 2011 to 15.6% in 2014 (Fig. 7). The three vegetation indices yielded
726 similar patterns of detection, with NIRv yielding the greatest total number of disturbed
727 pixels across all years (1.45×10^6) and NDVI the fewest (1.36×10^6).

728 The first accuracy assessment was also conducted at this stage. Overall accuracy of
729 disturbance detection through temporal segmentation of NBR, NDVI, and NIRv time-series

730 stacks ranged from 69.3% to 74.2% (Table 6). All accuracy results were significantly better
731 than random ($p < 0.01$), and there was no significant difference in accuracy among the three
732 indices ($p > 0.01$).

733 To enable comparisons among NDVI, NBR, and NIR_v, their magnitude values were
734 re-normalized to a 0 – 1 scale. RF is generally scale-indifferent, but normalizing is useful
735 for comparing mapped values. Histograms and map renderings in Fig. 8 depict the
736 distribution of normalized magnitudes. NDVI and NBR were similarly distributed with a
737 mean of 0.291 and 0.266, respectively. NIR_v was comparatively leptokurtic, with a lower
738 mean of 0.161.

739

740 3.3. *Distribution attribution: Random Forest classification*

741 At this stage, the second accuracy assessment was conducted to determine whether
742 the RF model yielded any gain or loss of skill over temporal segmentation. Accuracy was
743 assessed in the same manner as in the first stage, except that reference points were now
744 compared to RF-modeled images rather than the original temporal-segmentation outputs.
745 Overall accuracy was 80.0% for Model 1 ($\kappa = 0.700$) and 79.8% for Model 2 ($\kappa = 0.697$), with
746 no significant difference between the two ($p > 0.01$). However, RF was more adept at
747 differentiating disturbance, stable forest, and stable non-forest than the temporal
748 segmentation procedure alone. Overall accuracy increased with RF modeling by between
749 5.6% and 10.7% ($p < 0.01$).

750 Agent attribution accuracy was then assessed using the RF models' OOB diagnostics
751 (Table 7). The first model, which excluded textural variables, showed an overall agreement
752 of 72.0% and $\kappa = 0.650$. The second model, which included the texture metrics, had an
753 overall agreement of 72.2% and $\kappa = 0.652$. The difference in accuracy between the models
754 was insignificant ($p > 0.01$).

755 Omission and commission errors from both models indicated that stress and stable
756 non-forest had the highest model agreement with reference data (refer to the “CE” column
757 and “OE” row in Table 7). Model errors for these classes were relatively well balanced—OE
758 and CE scores fell within $\pm 10\%$ of each other—which suggests that the model was
759 appropriately tuned to the data. Stable forest and harvest were also well balanced, but
760 their errors were higher ($> 35.0\%$), and they were systematically confused with one another
761 (60 instances in Table 7a and 47 instances in Table 7b). Fire’s accuracy was moderate
762 (balanced accuracy = 79.8% in Model 1 and 76.0% in Model 2), but it was frequently
763 confused with all other classes except non-forest, as the false-positive and false-negative
764 entries along the “Fire” row and column indicate.

765

766 3.4. *Predictor variable importance*

767 Table 8 reports predictor variable importance in terms of the decrease in overall
768 model accuracy (mean decrease in accuracy, MDA) that would result if a given variable
769 were excluded from the model. The three most powerful predictors in both models were the
770 land-cover ternary generated from NDVI segmentation, elevation, and fractal dimension
771 computed on NDVI. Fractal dimension from all three vegetation indices emerged in the top
772 15 explainers in both models. In the second model, the texture metrics appeared to promote
773 the relative importance of slope. While texture metrics added comparatively little
774 explanatory power, entropy was the highest-ranked contributor of the texture metrics.

775 Notably, in Model 2, the absolute values of predictor MDA decrease for all variables,
776 despite similar rankings. This suggests that textures are not simply “noisy” predictors but
777 contribute information to the classification decision; they also appear to balance the overall
778 distribution of importance across predictors.

779

780 *Spatial predictions of disturbance agents*

781 The predicted forest disturbance agents were mapped alongside stable forest and
782 non-forest predictions (Fig. 9). The maps confirm that the models were able to distinguish
783 effectively among disturbance agent classes.

784 The models were particularly sensitive to differences between fire and stress, which
785 tended to co-occur at lower elevations. However, the error matrices revealed high rates of
786 false-positive stress identification; this occurred mostly around the margins of fire
787 perimeters, suggesting that the models may confuse stress with low-intensity fire. In
788 general, mapped predictions of fire were well resolved and agreed closely with CalFire
789 FRAP perimeters.

790 The overprediction of harvest identified in the error matrices bears out in the maps.
791 In reality, harvest is generally constrained between 1000–1500m. Harvest does appear less
792 frequently in the northern and eastern sections of the maps; these unharvested areas
793 closely match the Mokelumne, Carson-Iceberg, and Emigrant Wilderness boundaries,
794 where harvest is proscribed. These areas also occur at higher elevations, which points to
795 the strong effect of elevation in the models. Notable exceptions are the distinctive narrow
796 stretches identified as harvest in the easternmost portions of the maps. These follow the
797 Clark and Middle Forks of the Stanislaus River and are contained within Carson-Iceberg
798 Wilderness. There is no record of harvest in these areas in the LANDFIRE reference data.

799 Among the predicted disturbance agent classes, harvest was the most prevalent,
800 followed by fire and stress (Fig. 10). Forest persisted in more than 40% of the area over the
801 study period. Because the analysis is temporally indifferent and does not account for
802 regeneration, any pixel identified as disturbed retains this status, regardless of when the
803 disturbance was detected.

804 Because elevation proved to be a strong predictor, I further analyzed the
805 relationship between elevation and disturbance agent prevalence (Fig. 11). Stable forest
806 was widely distributed across elevations up to ~2800m, and non-forest was self-evidently
807 concentrated at altitudes above 2000m (i.e., exposed granite batholith and alpine vegetation
808 communities). Harvest appeared to be more constrained to mid-elevations, while fire and
809 stress tended to co-occur at lower elevations, as is evident in the varying means, *x*-widths
810 and *y*-densities of the violin plots.

811

812 **4. Discussion**

813

814 *4.1. Conceptual challenges*

815 As patterns of forest disturbance continue to shift in the Sierra Nevada of California,
816 it is imperative that forest monitoring programs efficiently and accurately resolve not only
817 the spatial and temporal qualities of disturbance events, but also their causes. Disturbance
818 agents have variable impacts on forest composition, structure, and function, and effective
819 forest management will increasingly depend on robust estimates of prior disturbance-agent
820 prevalence as well as skillful predictions of future trends. An ideal product to satisfy this
821 need would be an accurate, full-coverage map of historical disturbance that (a) renders the
822 events explicitly in space and time, (b) accounts for their drivers, and (c) can be readily
823 produced and updated with minimal analyst oversight. Achieving such an ideal through a
824 modeling approach requires overcoming at least three major challenges. First is the basic
825 difficulty of differentiating change agents (Kennedy et al. 2015). Disturbance is not
826 inherently related to the spectral signals captured by most remote sensors, and different
827 agents of disturbance can leave identical spectral signatures. While spectral information
828 contributes substantially to disturbance detection (Cohen et al. 2018) and goes part of the

829 way toward agent attribution (Schroeder et al. 2017, Shimizu et al. 2019b), additional
830 information about the landscape and the processes manifest on it is necessary for a reliable
831 and generalizable approach. A second challenge is spatial scale. Remote sensing operates at
832 the scale of the pixel and is for the most part limited by the native resolution of satellite
833 and aerial sensors (although the increasing availability of very high-resolution images and
834 the promising development of new data fusion methods are rapidly diminishing the size of
835 this challenge) (Cakir et al. 2006, Khorram et al. 2016). Ecological processes occur at scales
836 much smaller and much larger than the 30-m pixel used in this study. Disturbances affect
837 individual trees, and they affect entire landscapes. Reliance on pixel scale means accepting
838 error at both ends: in generalization of sub-pixel information and in over-specification of
839 behavior that is in fact occurring across aggregations of pixels. The third challenge has to
840 do with heterogeneity in the spatial extent, temporal duration, and intensity of
841 disturbances' impacts on vegetation. Variability in harvest densities, for instance, yields
842 considerable heterogeneity within what would ideally be considered a uniform category of
843 change agent. The same can be said for variable-density thinning treatments, species-
844 selective beetle kill, and fire. Here, I have described an approach that incrementally
845 advances the field toward addressing these challenges.

846

847 4.2. *Disturbance detection*

848 In simply detecting disturbances, the RF model performed better than the temporal
849 segmentation procedures run on NDVI, NBR, and NIR_v time-series stacks. This additional
850 improvement from RF was likely the result of combining information from multiple spectral
851 indices. Indeed, this was consistent with recent findings in the literature that combining
852 multiple indices can yield higher detection accuracy (Kennedy et al. 2015, Schroeder et al.
853 2017). The hypothesized reason for this effect is that no single index accounts for the full

854 range of spectral behavior in a disturbed forested landscape. The fact that detection
855 accuracy was not significantly different when any single index was used further confirms
856 this inference.

857 Given these results, NIR_v did not appear to aid disturbance detection on its own. It
858 did not appear to detract either, although the indices were not tested systematically against
859 one another. NIR_v captured a broader range of vegetation changes than NDVI and NBR,
860 based on its greater total identification of disturbed pixels. Higher peaks and positive skew
861 in the distributions of raw NIR_v values and Δ NIR_v values suggested that NIR_v was more
862 sensitive to subtler negative changes in vegetation than the other two indices were.
863 However, this behavior may have also been driven by the NIR multiplier in the NIR_v
864 calculation or by noisy false-positive detection.

865 In any case, the total number of disturbed pixels identified across the three indices
866 (Fig. 7) appeared to vary more consistently with year of detection than with index. While
867 NBR's disturbed total consistently exceeded that of the other two indices in low-disturbance
868 years, NDVI was anomalously high in 2014 and anomalously low in 2013. NIR_v total
869 disturbed was anomalously high in 2013. No ready pattern emerges from this behavior.
870 However, 2013 and 2014 witnessed the Rim Fire, represented in Fig. 9 by the large swath
871 of fire-attributed pixels in the southern third of the maps. This was immediately predated
872 by intense drought-related desiccation stress in 2012–2013. It may be the case that NIR_v is
873 more sensitive to stress responses, while NDVI is more sensitive to fire responses. On this
874 interpretation, NBR's moderate detection of fire may be more accurate. The Rim Fire years
875 notwithstanding, there was no obvious increasing or decreasing trend in total disturbance
876 evident over time, though a discernible trend would not necessarily be expected on a 16-
877 year timescale.

878

879 4.3. *Agent attribution*

880 The procedure appeared to capture the broad categories of disturbance operating in
881 Stanislaus National Forest between 1999 and 2015. The results underscore the need to
882 incorporate data beyond first-order spectral-reflectance metrics. Two measures of landscape
883 position, elevation and slope, ranked among the top five predictors in both models. Their
884 relative importance is most likely a consequence of how these topographical characteristics
885 regulate the presence and structure of vegetation. Topography also influences disturbance
886 processes: harvest tends to occur at lower elevations and on shallower slopes; fire has been
887 found to spread more rapidly on steeply inclining slopes and to burn more intensely on
888 steeply declining slopes. Some of the beetle infestations of the early 2010s also occurred
889 within distinct elevation bands, partially a result of elevational controls on tree species
890 distributions. Fractal dimension is a landscape shape metric several processing steps
891 removed from raw spectral returns, yet it was the third strongest explainer in both models.
892 This hints at the importance of scale in this modeling approach; fractal dimension exploits
893 the sizes and shapes of disturbed patches, while other predictors in the set primarily act at
894 the pixel scale. Spatial extent is a key characteristic of disturbance legacies and is
895 frequently differentiable by agent on the ground. Its appearance as one of the more
896 important predictors squares with this observation.

897 The overall skill of the model, evaluated in terms of model accuracy (~72%) was
898 reasonable, but not exceptional. Per-class accuracy ranges between 71% and 100% were on
899 par with the those in the most successful agent-attribution models in the literature
900 (Kennedy et al. 2015, Schroeder et al. 2017, Shimizu et al. 2019a). Those studies yielded
901 higher overall accuracy values than the method in this paper (78–95%). Their κ statistics
902 ranged between 0.40 and 0.85. In the Schroeder et al. (2017) study, the scene that
903 overlapped Stanislaus National Forest actually returned the highest accuracy rate (95%) of

904 all of the scenes in their investigation. My results were significantly less robust, despite
905 similar agent-class groupings, reference data, and input variables in the texture-free model.
906 One reason for the discrepancy could be that Schroeder et al.'s time series ended in 2010,
907 before the major drought and Rim Fire; their observations may have included less stress-
908 related spectral change overall, which may have dampened confusion of stable forest,
909 harvest, and stress. Another major divergence was that they used VCT for temporal
910 segmentation; it would be worthwhile to test the impacts of assimilating VCT-derived vs
911 Landtrendr-derived disturbance metrics for agent attribution in the future.

912 At the class level, considerable confusion arose between stable forest and harvest,
913 resulting in systematic overprediction of harvest. Commission error for harvest exceeded
914 0.45 in both models. The confusion here likely results from different mechanical harvest
915 treatments being compressed into one category. Selective removal and thinning were
916 grouped together with clear-cuts, a decision that likely expanded the dimensional space for
917 harvest enough that it caused model votes for harvest to also capture stable forest. The
918 balanced omission and commission errors for these two classes is a good indicator that this
919 was the case. A second source of error may have been the masking of stable forest pixels in
920 several of the predictors (i.e., magnitude, year of detection, rate, fractal dimension, and the
921 three texture metrics). Masking was the best solution to an intractable dilemma: using full
922 coverage data for those metrics would have entailed assimilating a separate image for each
923 year. For the texture metrics alone, this would have yielded 153 distinct images (3 variables
924 x 3 indices x 17 years), a rate of expansion that would have quickly exhausted available
925 computing capacity and likely would have biased the model toward the orders-of-magnitude
926 more prevalent variable types. In fact, in early iterations of the model, I tested this
927 possibility using full-coverage annual textures for NIRv alone. The model skill was
928 insignificantly different from the model described in this paper. And although textures did

929 contribute a greater share of predictive power, this likely had more to do with their
930 dominance of the share of predictors.

931

932 4.4. *Spatial patterns and prospects for application*

933 With the exception of overpredicted harvest, the location and distribution of
934 attributed change agents cohered with expectations for the study site, from the minimum-
935 mapping unit scale of one hectare up to the full National Forest scale. The fact that
936 reasonably accurate disturbance-agent predictions can be made with a very small
937 proportion of pixels used as training points (0.07% of total) underscores the promise of this
938 approach for reducing the time and resource requirements of agent-explicit disturbance
939 detection at the landscape scale.

940

941 4.5. *Contribution of texture metrics*

942 Textures contributed not at all to the absolute accuracy of the models and only
943 negligibly in terms of the relative importance of predictors. The insignificant results mean
944 that the null hypothesis cannot be rejected, and that textures have little effect on the
945 modeling method's predictive skill. Several inferences seem plausible. The first is that
946 textural information may straightforwardly fail to add power to differentiate among
947 disturbance legacies. This would seem to be confirmed by the null difference in overall
948 model accuracy. A second interpretation is that textural information contributes to skill,
949 but it is a much weaker explainer than the topographic and shape variables that drive most
950 of the prediction. This would seem to be confirmed by the appearance of the NDVI entropy
951 metric among the top ten predictors in the second model.

952 One important limitation confronts interpretation of individual predictor
953 importance. Because of RF's tendency to distribute importance across correlated variables,

954 retaining correlates in the set will also influence the relative importance of independent
955 metrics. Several of the variables were correlated; most of those derived directly from
956 temporal segmentation (i.e., the “Disturbance” category in Appendix B) had paired
957 Pearson’s r coefficients > 0.50 ($p < 0.01$). On the whole, the texture metrics were not well
958 correlated with any other variables ($r < 0.30$, $p < 0.01$). One exception was entropy, which
959 varied with all of the “Disturbance” variables ($r > 0.50$, $p < 0.01$).

960 In the course of this study, I was unable to adjust satisfactorily for this distortion. In
961 prior disturbance agent attribution studies, authors have either ignored the variable
962 interdependence problem or computed a rank sum of importance for groupings of correlated
963 variables (Schroeder et al. 2014); this requires observations from multiple independent
964 model replicates and so was infeasible for this single-domain study. Another solution might
965 be to systematically remove variables from correlated pairs. However, exploratory tests of
966 this approach significantly reduced model skill and so were rejected for this project. A third
967 option could be to use factor analysis to compress the variable set into a smaller collection
968 of uncorrelated factors and to rank this smaller collection according to a sum or mean rule.
969 This seems like a promising direction, but acquiring an honest operational understanding
970 of factor analysis exceeded the scope of an already capacious project.

971 In sum, while care was taken to identify independent measures of texture in order to
972 evaluate their importance in comparison with one another, inferences about any variable’s
973 overall rank in the predictor set may be distorted by interdependences among other
974 variables. Accordingly, there are limits to the inferences that can be drawn from variable
975 importance.

976 It may be the case that landscape textures are important for discriminating
977 disturbance legacies, but that texture was insufficiently operationalized in this study. One
978 potential weakness was the aforementioned masking of stable forest and stable non-forest

979 in several of the predictors. In future work, it would be advisable to study a smaller area
980 over a shorter timescale, focusing on pixels where only stable forest and harvest co-occur.
981 Including full-coverage spectral and textural metrics in this case could improve model skill
982 markedly.

983 Another underexplored area is the spatial scale of texture computation. The ability
984 of edge and interior texture metrics to differentiate disturbance agents is necessarily a
985 function of the scale at which disturbance occurs. Calculated in a 3x3 pixel neighborhood,
986 contrast was robust to edges of harvested and stressed patches (Fig. 6). Correlation and
987 entropy were less adept at discriminating among interior behaviors in disturbed and stable
988 patches. A promising direction for further study would be to evaluate a wider range of
989 neighborhood sizes. Including 24-neighbor and 224-neighbor iterations, for example, might
990 help to identify interior patch structures that aren't detectable in an eight-neighbor
991 window. This information could enhance the contribution of textural metrics.

992 Finally, a major unresolved issue for this study and other agent-attribution
993 approaches is the lack of an external reference dataset with sufficient temporal and spatial
994 resolution across the length of the Landsat record to use for independent model training
995 and validation. This is something of a chicken-and-egg problem. Using incomplete ancillary
996 datasets and records to manually verify disturbance occurrence and agent class for 1000
997 training points is a tedious and error-prone exercise that further underscores the need for a
998 more reliable modeling approach. But in the absence of a valid independent reference, a
999 generalizable modeling approach remains difficult to achieve. A randomly sampled and
1000 verified set of retrospective disturbance points with error terms would help to an extent.
1001 However, because of the conceptual fuzziness of ecological disturbance noted in the
1002 introduction to this paper, an absolute reference may be inherently elusive, especially for
1003 agent classes that are difficult to differentiate even through on-the-ground study, such as

1004 drought stress and beetle kill. In light of this constraint, most agent-attribution approaches
1005 have aimed not for perfect classification agreement, but for improvement over the
1006 inconsistent and discontinuous data products currently in widespread application in forest
1007 management. Acknowledging that the approach described here inherits some uncertainty
1008 from reference data, remotely sensed data, and model decisions alike, it still succeeds on
1009 this more modest criterion of incremental improvement.

1010

1011 **5. Conclusions**

1012

1013 The objective of this project was to develop and test an integrated empirical
1014 modeling method for attributing forest disturbances to particular agents. The motivation
1015 was twofold: to advance a burgeoning field of methodological inquiry in the remote sensing
1016 of forest resources and to enhance the information streams available to resource and
1017 conservation managers for decision-making regarding disturbance adaptation and
1018 mitigation. The approach presented here satisfies both. The method yields adequate
1019 identification of disturbance location and moderate attribution accuracy for multiple
1020 disturbance agents. While texture as it was operationalized here did not meaningfully
1021 contribute to model skill, the results further confirm that information beyond spectral
1022 reflectance records is required for accurate agent attribution. As a proof-of-concept, this
1023 study offers a strong foundation for future work, which should focus on improving the
1024 overall efficacy of the models and generalizing them for systems beyond the Central Sierra
1025 Nevada.

1026

1027 **Literature Cited**

1028

1029 Adams, H. D., M. Guardiola-Claramonte, G. A. Barron-Gafford, J. Camilo Villegas, D. D.

1030 Breshears, C. B. Zou, P. A. Troch, T. E. Huxman, and H. A. Mooney. 2010.

1031 Temperature sensitivity of drought-induced tree mortality portends increased regional

1032 die-off under global-change-type drought. *Proceedings of the National Academy of*

1033 *Sciences of the United States of America* 106:7063–7066.

1034 Allen, C. D., A. K. Macalady, H. Chenchouni, D. Bachelet, N. McDowell, M. Vennetier, T.

1035 Kitzberger, A. Rigling, D. D. Breshears, E. H. Hogg, P. Gonzalez, R. Fensham, Z.

1036 Zhang, J. Castro, N. Demidova, J. H. Lim, G. Allard, S. W. Running, A. Semerci, and

1037 N. Cobb. 2010. A global overview of drought and heat-induced tree mortality reveals

1038 emerging climate change risks for forests. *Forest Ecology and Management* 259:660–

1039 684.

1040 Anderegg, W. R. L., J. A. Hicke, R. A. Fisher, C. D. Allen, J. Aukema, B. Bentz, S. Hood, J.

1041 W. Lichstein, A. K. Macalady, N. McDowell, Y. Pan, K. Raffa, A. Sala, J. D. Shaw, N.

1042 L. Stephenson, C. Tague, and M. Zeppel. 2015. Tree mortality from drought, insects,

1043 and their interactions in a changing climate. *New Phytologist* 208:674–683.

1044 Badgley, G., L. D. L. Anderegg, J. A. Berry, and C. B. Field. 2019. Terrestrial gross primary

1045 production: Using NIRV to scale from site to globe. *Global Change Biology* 25:3731–

1046 3740.

1047 Badgley, G., C. B. Field, and J. A. Berry. 2017. Canopy near-infrared reflectance and

1048 terrestrial photosynthesis. *Science Advances* 3:1–6.

1049 Beisner, B. E., D. T. Haydon, and K. Cuddington. 2003. Alternative stable states in ecology.

1050 *Frontiers in Ecology and the Environment* 1:376–382.

1051 Birdsey, R., A. Dugan, S. Healey, K. Dante-Wood, F. Zhang, J. Chen, A. Hernandez, C.

- 1052 Raymond, and J. McCarter. 2019. Assessment of the influence of disturbance,
1053 management activities, and environmental factors on carbon stocks of United States
1054 National Forests. General Technical Report RMRS-GTR-402, U.S. Department of
1055 Agriculture, Forest Service, Rocky Mountain Research Station. Fort Collins, Colorado,
1056 USA.
- 1057 Brazionas, K. H., W. D. Hansen, R. Seidl, W. Rammer, and M. G. Turner. 2018. Looking
1058 beyond the mean: drivers of variability in postfire stand development of conifers in
1059 Greater Yellowstone. *Forest Ecology and Management* 430:460–471.
- 1060 Breiman, L. 2001. Random forests. *Machine Learning* 45:5–32.
- 1061 Breshears, D. D., N. S. Cobb, P. M. Rich, K. P. Price, C. D. Allen, R. G. Balice, W. H.
1062 Romme, J. H. Kastens, M. L. Floyd, J. Belnap, J. J. Anderson, O. B. Myers, and C. W.
1063 Meyer. 2005. Regional vegetation die-off in response to global-change-type drought.
1064 *Proceedings of the National Academy of Sciences of the United States of America*
1065 102:15144–15148.
- 1066 Cakir, H. I., S. Khorram, and S. A. C. Nelson. 2006. Correspondence analysis for detecting
1067 land cover change. *Remote Sensing of Environment* 102:306–317.
- 1068 Christensen, G. A., K. L. Waddell, S. M. Stanton, and O. Kuegler. 2016. California’s forest
1069 resources: Forest Inventory and Analysis, 2001–2010. General technical report PNW-
1070 GTR-913. U.S. Department of Agriculture, Forest Service, Pacific Northwest Research
1071 Station. Portland, Oregon, USA.
- 1072 Ciesla, W. M. 2000. Remote sensing in forest health protection. FHTET Report 00-03.
1073 Remote U.S. Department of Agriculture, Forest Service, Remote Sensing Applications
1074 Center. Salt Lake City, Utah, USA.
- 1075 Clark, J. S., L. Iverson, C. W. Woodall, C. D. Allen, D. M. Bell, D. C. Bragg, A. W. D. Amato,
1076 F. W. Davis, M. H. Hersh, I. Ibanez, S. T. Jackson, S. Matthews, N. Pederson, M.

- 1077 Peters, M. W. Schwartz, K. M. Waring, and N. E. Zimmerman. 2016. The impacts of
1078 increasing drought on forest dynamics, structure, and biodiversity in the United
1079 States. *Global Change Biology* 22:2329–2352.
- 1080 Coburn, C. A., and A. C. B. Roberts. 2004. A multiscale texture analysis procedure for
1081 improved forest stand classification. *International Journal of Remote Sensing* 25:4287–
1082 4308.
- 1083 Cochrane, M. A., and K. C. Ryan. 2009. Fire and fire ecology: concepts and principles. Pages
1084 25–62 in M. A. Cochrane, editor. *Tropical fire ecology: climate change, land use, and*
1085 *ecosystem dynamics*. Praxis Publishing, Chichester, UK.
- 1086 Cohen, W. B., Z. Yang, S. P. Healey, R. E. Kennedy, and N. Gorelick. 2018. A LandTrendr
1087 multispectral ensemble for forest disturbance detection. *Remote Sensing of*
1088 *Environment* 205:131–140.
- 1089 Cohen, W. B., Z. Yang, S. V. Stehman, T. A. Schroeder, D. M. Bell, J. G. Masek, C. Huang,
1090 and G. W. Meigs. 2016. Forest disturbance across the conterminous United States from
1091 1985–2012: the emerging dominance of forest decline. *Forest Ecology and Management*
1092 360:242–252.
- 1093 Collins, B. M., and G. B. Roller. 2013. Early forest dynamics in stand-replacing fire patches
1094 in the northern Sierra Nevada, California, USA. *Landscape Ecology* 28:1801–1813.
- 1095 Conedera, M., W. Tinner, C. Neff, M. Meurer, A. F. Dickens, and P. Krebs. 2009.
1096 Reconstructing past fire regimes: methods, applications, and relevance to fire
1097 management and conservation. *Quaternary Science Reviews* 28:555–576.
- 1098 Connell, J. H., and W. P. Sousa. 1983. On the evidence needed to judge ecological stability
1099 or persistence. *American Naturalist* 121:789–824.
- 1100 Coop, J. D., S. A. Parks, S. R. McClernan, and L. M. Holsinger. 2016. Influences of prior
1101 wildfires on vegetation response to subsequent fire in a reburned southwestern

- 1102 landscape. *Ecological Applications* 26:346–354.
- 1103 Crockett, J. L., and A. L. Westerling. 2018. Greater temperature and precipitation extremes
1104 intensify Western U.S. droughts, wildfire severity, and Sierra Nevada tree mortality.
1105 *Journal of Climate* 31:341–354.
- 1106 Dale, V. H., L. A. Joyce, S. McNulty, R. P. Neilson, M. P. Ayres, M. D. Flannigan, P. J.
1107 Hanson, L. C. Irland, A. E. Lugo, C. J. Peterson, D. Simberloff, F. J. Swanson, B. J.
1108 Stocks, and B. Michael Wotton. 2001. Climate change and forest disturbances.
1109 *BioScience* 51:723–734.
- 1110 Dolanc, C. R., H. D. Safford, S. Z. Dobrowski, and J. H. Thorne. 2014. Twentieth century
1111 shifts in abundance and composition of vegetation types of the Sierra Nevada, CA, US.
1112 *Applied Vegetation Science* 17:442–455.
- 1113 Dolanc, C. R., J. H. Thorne, and H. D. Safford. 2013. Widespread shifts in the demographic
1114 structure of subalpine forests in the Sierra Nevada, California, 1934 to 2007. *Global*
1115 *Ecology and Biogeography* 22:264–276.
- 1116 Eidenshink, J., B. Schwind, K. Brewer, Z. Zhu, B. Quayle, and S. Howard. 2007. A project
1117 for monitoring trends in burn severity. *Fire Ecology* 3:3–21.
- 1118 Fettig, C. J., L. A. Mortenson, B. M. Bulaon, and P. B. Foulk. 2019. Tree mortality following
1119 drought in the central and southern Sierra Nevada, California, U.S. *Forest Ecology*
1120 *and Management* 432:164–178.
- 1121 Foody, G. M. 2002. Status of land cover classification accuracy assessment. *Remote Sensing*
1122 *of Environment* 80:185–201.
- 1123 Franklin, J. F., T. A. Spies, R. Van Pelt, A. B. Carey, D. A. Thornburgh, D. R. Berg, D. B.
1124 Lindenmayer, M. E. Harmon, W. S. Keeton, D. C. Shaw, K. Bible, and J. Chen. 2002.
1125 Disturbances and structural development of natural forest ecosystems with
1126 silvicultural implications, using Douglas-fir forests as an example. *Forest Ecology and*

- 1127 Management 155:399–423.
- 1128 Freeman, E. A., T. S. Frescino, and G. G. Moisen. 2016. ModelMap: an R package for model
1129 creation and map production. <https://cran.r-project.org/web/packages/ModelMap/>
1130 [%0Avignettes/VModelMap.pdf](https://cran.r-project.org/web/packages/ModelMap/%0Avignettes/VModelMap.pdf).
- 1131 Freeman, E. A., G. G. Moisen, J. W. Coulston, and B. T. Wilson. 2015. Random forests and
1132 stochastic gradient boosting for predicting tree canopy cover: Comparing tuning
1133 processes and model performance. *Canadian Journal of Forest Research* 46:323–339.
- 1134 Fukami, T., and M. Nakajima. 2011. Community assembly: alternative stable states or
1135 alternative transient states? *Ecology Letters* 14:973–984.
- 1136 Fung, T., and E. Ledrew. 1987. Application of principal components analysis to change
1137 detection. *Photogrammetric Engineering & Remote Sensing* 53:1649–1658.
- 1138 García-Haro, F. J., M. A. Gilabert, and J. Meliá. 2001. Monitoring fire-affected areas using
1139 Thematic Mapper data. *International Journal of Remote Sensing* 22:533–549.
- 1140 Goodwin, N. R., N. C. Coops, M. A. Wulder, S. Gillanders, T. A. Schroeder, and T. Nelson.
1141 2008. Estimation of insect infestation dynamics using a temporal sequence of Landsat
1142 data. *Remote Sensing of Environment* 112:3680–3689.
- 1143 Gorelick, N., M. Hancher, M. Dixon, S. Ilyushchenko, D. Thau, and R. Moore. 2017. Google
1144 Earth Engine: planetary-scale geospatial analysis for everyone. *Remote Sensing of*
1145 *Environment* 202:18–27.
- 1146 Goward, S. N., C. Huang, F. Zhao, K. Schleeweis, K. Rishmawi, M. Lindsey, J. L. Dungan,
1147 and A. Michaelis. 2016. NACP North American Forest Dynamics Project: forest
1148 disturbance history from Landsat, 1986-2010. ORNL Distributed Active Archive
1149 Center, Oak Ridge, Tennessee, USA.
- 1150 Hadfield, J. S. 1968. Color infrared photography effectively detects pines killed by *Fomes*
1151 *annosus*. Pages 37–42 in C. W. . Hodges, J. Risbeth, and A. Yde-Anderson, editors.

- 1152 Proceedings of the Third International Conference on Fomes annosus. U.S.
1153 Department of Agriculture, Forest Service, Washington, D.C., USA.
- 1154 Hall-Beyer, M. 2017. Practical guidelines for choosing GLCM textures to use in landscape
1155 classification tasks over a range of moderate spatial scales. *International Journal of*
1156 *Remote Sensing* 38:1312–1338.
- 1157 Hansen, W. D., and M. G. Turner. 2019. Origins of abrupt change? Postfire subalpine
1158 conifer regeneration declines nonlinearly with warming and drying. *Ecological*
1159 *Monographs* 89:e01340.
- 1160 Hanson, J. B., and W. Lautz. 1971. Photography as an aid in estimating Annosus root rot–
1161 caused tree mortality. *Plant Disease Reporter* 55:761–763.
- 1162 Haralick, R. M., K. Shanmugam, and I. Dinstein. 1973. Textural features for image
1163 classification. *IEEE Transactions on Systems, Man and Cybernetics* SMC-3:610–621.
- 1164 Hargrove, W., J. P. Spruce, G. E. Gasser, and F. M. Hoffman. 2009. Toward a national early
1165 warning system for forest disturbances using remotely sensed canopy phenology.
1166 *Photogrammetric Engineering & Remote Sensing* 75:1150–1156.
- 1167 Heller, R. C., R. C. Aldrich, and W. F. Bailey. 1959. An evaluation of aerial photography for
1168 detecting southern pine beetle damage. *Photogrammetric Engineering* 25:595–606.
- 1169 Huang, C., S. N. Goward, J. G. Masek, N. Thomas, Z. Zhu, and J. E. Vogelmann. 2010. An
1170 automated approach for reconstructing recent forest disturbance history using dense
1171 Landsat time series stacks. *Remote Sensing of Environment* 114:183–198.
- 1172 Iverson, L. R., R. L. Graham, and E. A. Cook. 1989. Applications of satellite remote sensing
1173 to forested ecosystems. *Landscape Ecology* 3:131–143.
- 1174 Johnstone, J. F., C. D. Allen, J. F. Franklin, L. E. Frelich, B. J. Harvey, P. E. Higuera, M.
1175 C. Mack, R. K. Meentemeyer, M. R. Metz, G. L. W. Perry, T. Schoennagel, and M. G.
1176 Turner. 2016. Changing disturbance regimes, ecological memory, and forest resilience.

- 1177 Frontiers in Ecology and the Environment 14:369–378.
- 1178 Keeley, J. E. 2009. Fire intensity, fire severity and burn severity: a brief review and
1179 suggested usage. *International Journal of Wildland Fire* 18:116–126.
- 1180 Kennedy, R. E., Z. Yang, J. Braaten, C. Copass, N. Antonova, C. Jordan, and P. Nelson.
1181 2015. Attribution of disturbance change agent from Landsat time-series in support of
1182 habitat monitoring in the Puget Sound region, USA. *Remote Sensing of Environment*
1183 166:271–285.
- 1184 Kennedy, R. E., Z. Yang, and W. B. Cohen. 2010. Detecting trends in forest disturbance and
1185 recovery using yearly Landsat time series: 1. LandTrendr — Temporal segmentation
1186 algorithms 114:2897–2910.
- 1187 Kennedy, R. E., Z. Yang, N. Gorelick, J. Braaten, L. Cavalcante, W. B. Cohen, and S.
1188 Healey. 2018. Implementation of the LandTrendr algorithm on Google Earth Engine.
1189 *Remote Sensing* 10:1–10.
- 1190 Khorram, S., C. F. van der Wiele, F. H. Koch, S. A. C. Nelson, and M. D. Potts. 2016.
1191 *Principles of applied remote sensing*. Springer Science+Business Media, New York, NY.
- 1192 Kim, M., M. Madden, and T. A. Warner. 2009. Forest type mapping using object-specific
1193 texture measures from multispectral Ikonos imagery: segmentation quality and image
1194 classification issues. *Photogrammetric Engineering and Remote Sensing* 75:819–829.
- 1195 Lam, N. S.-N., H. Qiu, D. A. Quattrochi, and C. W. Emerson. 2013. An evaluation of fractal
1196 methods for characterizing image complexity. *Cartography and Geographic*
1197 *Information Science* 29:25–35.
- 1198 Larvie, K., T. Moody, J. Axelson, C. Fettig, and P. Cafferata. 2018. Synthesis of research
1199 into the long-term outlook for Sierra Nevada forests following the current bark beetle
1200 epidemic. California forestry note 122. California Natural Resources Agency,
1201 Department of Forestry and Fire Protection. Sacramento, CA, USA.

- 1202 Lu, D., P. Mausel, E. Brondízio, and E. Moran. 2004. Change detection techniques.
1203 International Journal of Remote Sensing 25:2365–2401.
- 1204 Lund, H. G. 1997. Forestry. Pages 399–440 in W. Philipson, editor. *Manual of Photographic*
1205 *Interpretation*. 2nd edition. American Society for Photogrammetry & Remote Sensing,
1206 Bethesda, Maryland, USA.
- 1207 Marceau, D. J., P. J. Howarth, J. M. M. Dubois, and D. J. Gratton. 1990. Evaluation of the
1208 grey-level co-occurrence matrix method for land-cover classification using SPOT
1209 imagery. IEEE Transactions on Geoscience and Remote Sensing 28:513–519.
- 1210 McDowell, N. G., N. C. Coops, P. S. A. Beck, J. Q. Chambers, C. Gangodagamage, J. A.
1211 Hicke, C.-Y. Huang, R. Kennedy, D. J. Krofcheck, M. Litvak, A. J. H. Meddens, J.
1212 Muss, R. Negrón-Juarez, C. Peng, A. M. Schwantes, J. J. Swenson, L. J. Vernon, A. P.
1213 Williams, C. Xu, M. Zhao, S. W. Running, and C. D. Allen. 2015. Global satellite
1214 monitoring of climate-induced vegetation disturbances. Trends in Plant Science
1215 20:114–123.
- 1216 Millar, C. I., R. D. Westfall, D. L. Delany, M. J. Bokach, A. L. Flint, and L. E. Flint. 2012.
1217 Forest mortality in high-elevation whitebark pine (*Pinus albicaulis*) forests of eastern
1218 California, USA; influence of environmental context, bark beetles, climatic water
1219 deficit, and warming. Canadian Journal of Forest Research 42:749–765.
- 1220 Millar, C., N. L. Stephenson, and S. L. Stephens. 2007. Climate change and forests of the
1221 future: managing in the face of uncertainty. Ecological Applications 17:2145–2151.
- 1222 Miller, J. D., H. D. Safford, M. Crimmins, and A. E. Thode. 2009. Quantitative evidence for
1223 increasing forest fire severity in the Sierra Nevada and southern Cascade Mountains,
1224 California and Nevada, USA. Ecosystems 12:16–32.
- 1225 Neigh, C. S. R., D. K. Bolton, M. Diabate, J. J. Williams, and N. Carvalhais. 2014a. An
1226 automated approach to map the history of forest disturbance from insect mortality and

- 1227 harvest with landsat time-series data. *Remote Sensing* 6:2782–2808.
- 1228 Neigh, C. S. R., D. K. Bolton, J. J. Williams, and M. Diabate. 2014b. Evaluating an
1229 automated approach for monitoring forest disturbances in the Pacific Northwest from
1230 logging, fire and insect outbreaks with landsat time series data. *Forests* 5:3169–3198.
- 1231 Oeser, J., D. Pflugmacher, C. Senf, M. Heurich, and P. Hostert. 2017. Using intra-annual
1232 Landsat time series for attributing forest disturbance agents in Central Europe.
1233 *Forests* 8:doi:10.3390/f8070251.
- 1234 Oswalt, S. N., W. B. Smith, P. D. Miles, and S. A. Pugh. 2019. Forest resources of the
1235 United States, 2017: a technical document supporting the Forest Service 2020 RPA
1236 Assessment. General Technical Report WO-97. U.S. Department of Agriculture, Forest
1237 Service. Washington, D.C., USA.
- 1238 Ozdemir, I., A. Mert, and O. Senturk. 2012. Predicting landscape structural metrics using
1239 ASTER satellite data. *Journal of Environmental Engineering and Landscape
1240 Management* 20:168–176.
- 1241 Paz-Kagan, T., P. G. Brodrick, N. R. Vaughn, A. J. Das, N. L. Stephenson, K. R. Nydick,
1242 and G. P. Asner. 2017. What mediates tree mortality during drought in the southern
1243 Sierra Nevada? *Ecological Applications* 27:2443–2457.
- 1244 Perry, D. A., P. F. Hessburg, C. N. Skinner, T. A. Spies, S. L. Stephens, A. H. Taylor, J. F.
1245 Franklin, B. McComb, and G. Riegel. 2011. The ecology of mixed severity fire regimes
1246 in Washington, Oregon, and Northern California. *Forest Ecology and Management*
1247 262:703–717.
- 1248 Peters, D. P. C., A. E. Lugo, F. S. Chapin, S. T. A. Pickett, M. Duniway, A. V. Rocha, F. J.
1249 Swanson, C. Laney, and J. Jones. 2011. Cross-system comparisons elucidate
1250 disturbance complexities and generalities. *Ecosphere* 2:1–26.
- 1251 Potter, C. 2014. Ten years of forest cover change in the Sierra Nevada detected using

- 1252 Landsat satellite image analysis. *International Journal of Remote Sensing* 35:7136–
1253 7153.
- 1254 Potter, C., V. Genovese, P. Gross, S. Boriah, M. Steinbach, and V. Kumar. 2007. Revealing
1255 land cover change in California with satellite data. *Eos* 88:269–276.
- 1256 Potter, C. S. 2017. Satellite image mapping of tree mortality in the Sierra Nevada region of
1257 California from 2013 to 2016. *Journal of Biodiversity Management & Forestry*
1258 6:10.4172/2327-4417.1000176.
- 1259 R Core Team. 2014. R: a language and environment for statistical computing. R Foundation
1260 for Statistical Computing, Vienna, Austria. <http://www.r-project.org/>.
- 1261 Rollins, M. G. 2009. LANDFIRE: A nationally consistent vegetation, wildland fire, and fuel
1262 assessment. *International Journal of Wildland Fire* 18:235–249.
- 1263 Rouse, J. W., R. H. Hass, J. A. Schell, and D. W. Deering. 1974. Monitoring vegetation
1264 systems in the great plains with ERTS. Pages 309–317 *in* S. C. Freden, E. P. Mercanti,
1265 and M. A. Becker, editors. *Third Earth Resources Technology Satellite-1 (ERTS)*
1266 *Symposium Vol. 1: Technical Presentations*. NASA Goddard Space Flight Center,
1267 Greenbelt, Maryland, USA.
- 1268 Roy, D. P., V. Kovalskyy, H. K. Zhang, E. F. Vermote, L. Yan, S. S. Kumar, and A. Egorov.
1269 2016. Characterization of Landsat-7 to Landsat-8 reflective wavelength and
1270 normalized difference vegetation index continuity. *Remote Sensing of Environment*
1271 185:57–70.
- 1272 Schmidt, C. 2014. Challenges to Sierra Nevada forests and their local communities: an
1273 observational and modeling perspective (Dissertation). University of California, Santa
1274 Cruz.
- 1275 Schroeder, T. A., S. P. Healey, G. G. Moisen, T. S. Frescino, W. B. Cohen, C. Huang, R. E.
1276 Kennedy, and Z. Yang. 2014. Improving estimates of forest disturbance by combining

- 1277 observations from Landsat time series with U.S. Forest Service Forest Inventory and
1278 Analysis data. *Remote Sensing of Environment* 154:61–73.
- 1279 Schroeder, T. A., K. G. Schleeweis, G. G. Moisen, C. Toney, W. B. Cohen, E. A. Freeman, Z.
1280 Yang, and C. Huang. 2017. Testing a Landsat-based approach for mapping disturbance
1281 causality in U.S. forests. *Remote Sensing of Environment* 195:230–243.
- 1282 Seidl, R., and W. Rammer. 2017. Climate change amplifies the interactions between wind
1283 and bark beetle disturbances in forest landscapes. *Landscape Ecology* 32:1485–1498.
- 1284 Senf, C., D. Pflugmacher, M. A. Wulder, and P. Hostert. 2015. Characterizing spectral-
1285 temporal patterns of defoliator and bark beetle disturbances using Landsat time
1286 series. *Remote Sensing of Environment* 170:166–177.
- 1287 Shimizu, K., O. S. Ahmed, R. Ponce-Hernandez, T. Ota, Z. C. Win, N. Mizoue, and S.
1288 Yoshida. 2017. Attribution of disturbance agents to forest change using a Landsat time
1289 series in tropical seasonal forests in the Bago Mountains, Myanmar. *Forests* 8:1–16.
- 1290 Shimizu, K., T. Ota, and N. Mizoue. 2019a. Detecting forest changes using dense Landsat 8
1291 and Sentinel-1 time series data in tropical seasonal forests. *Remote Sensing* 11:1–22.
- 1292 Shimizu, K., T. Ota, N. Mizoue, and S. Yoshida. 2019b. A comprehensive evaluation of
1293 disturbance agent classification approaches: strengths of ensemble classification,
1294 multiple indices, spatio-temporal variables, and direct prediction. *Journal of*
1295 *Photogrammetry and Remote Sensing* 158:99–112.
- 1296 Shive, K. L., H. K. Preisler, K. R. Welch, H. D. Safford, R. J. Butz, K. L. O’Hara, and S. L.
1297 Stephens. 2018. From the stand scale to the landscape scale: predicting the spatial
1298 patterns of forest regeneration after disturbance. *Ecological Applications* 28:1626–
1299 1639.
- 1300 Simler, A. B., M. R. Metz, K. M. Frangioso, R. K. Meentemeyer, and D. M. Rizzo. 2018.
1301 Novel disturbance interactions between fire and an emerging disease impact survival

- 1302 and growth of resprouting trees. *Ecology* 99:2217–2229.
- 1303 Sousa, W. P. 1984. The role of disturbance in natural communities. *Annual Review of*
1304 *Ecology and Systematics* 15:353–391.
- 1305 Tappeiner, J., D. Maguire, T. B. Harrington, and J. D. Bailey. 2015. *Silviculture and*
1306 *ecology of western U.S. forests*. 2nd edition. Oregon State University Press, Corvallis,
1307 Oregon, USA.
- 1308 Thorne, J. H., H. Choe, R. M. Boynton, J. Bjorkman, W. Albright, K. Nydick, A. L. Flint, L.
1309 E. Flint, and M. W. Schwartz. 2017. The impact of climate change uncertainty on
1310 California’s vegetation and adaptation management. *Ecosphere* 8.
- 1311 Thorne, J. H., H. Choe, P. A. Stine, J. C. Chambers, A. Holguin, A. C. Kerr, and M. W.
1312 Schwartz. 2018. Climate change vulnerability assessment of forests in the Southwest
1313 USA. *Climatic Change* 148:387–402.
- 1314 Trauernicht, C., B. W. Brook, B. P. Murphy, G. J. Williamson, and D. M. J. S. Bowman.
1315 2015. Local and global pyrogeographic evidence that indigenous fire management
1316 creates pyrodiversity. *Ecology and Evolution* 5:1908–1918.
- 1317 Trumbore, S., P. Brando, and H. Hartmann. 2015. Forest health and global change. *Science*
1318 349:814–818.
- 1319 Turner, M. G. 2010. Disturbance and landscape dynamics in a changing world. *Ecology*
1320 91:2833–2849.
- 1321 Turner, M. G., and R. H. Gardner. 2015. *Landscape ecology in theory and practice: pattern*
1322 *and process*. 2nd edition. Springer-Verlag, New York, New York, USA.
- 1323 Vicuna, S., and J. A. Dracup. 2007. The evolution of climate change impact studies on
1324 hydrology and water resources in California. *Climatic Change* 82:327–350.
- 1325 Vogelmann, J. E., S. Howard, M. G. Rollins, J. R. Kost, B. Tolk, K. Short, X. Chen, K. Pabst,
1326 and C. Huang. 2011. Monitoring landscape change for LANDFIRE using multi-

- 1327 temporal satellite imagery and ancillary data. *IEEE Journal of Selected Topics in*
1328 *Applied Earth Observations and Remote Sensing* 4:252–264.
- 1329 Vogelmann, J. E., B. Tolk, and Z. Zhu. 2009. Monitoring forest changes in the southwestern
1330 United States using multitemporal Landsat data. *Remote Sensing of Environment*
1331 113:1739–1748.
- 1332 Vogelmann, J. E., G. Xian, C. Homer, and B. Tolk. 2012. Monitoring gradual ecosystem
1333 change using Landsat time series analyses: case studies in selected forest and
1334 rangeland ecosystems. *Remote Sensing of Environment* 122:92–105.
- 1335 Wear, J. F., R. B. Pope, and P. W. Orr. 1966. Aerial photographic techniques for estimating
1336 damage by insects in western forests. U.S. Department of Agriculture, Forest Service,
1337 Pacific Northwest Forest and Range Experiment Station. Portland, Oregon, USA.
- 1338 Wood, E. M., A. M. Pidgeon, V. C. Radeloff, and N. S. Keuler. 2012. Image texture as a
1339 remotely sensed measure of vegetation structure. *Remote Sensing of Environment*
1340 121:516–526.
- 1341 Wu, G., K. Guan, C. Jiang, B. Peng, H. Kimm, M. Chen, X. Yang, S. Wang, A. E. Sukyer, C.
1342 Bernacchi, C. E. Moore, Y. Zeng, J. Berry, and M. P. Cendrero-Mateo. 2020. Radiance-
1343 based NIRv as a proxy for GPP of corn and soybean. *Environmental Research Letters*
1344 15:034009.
- 1345 Zwanenburg, A., S. Leger, M. Vallières, and S. Löck. 2016. Image biomarker
1346 standardisation initiative. *ArXiv:1612.07003*.
- 1347

1348 **Table 1.** Recent temporal segmentation procedures for discriminating abrupt and trend
1349 vegetation change using remotely sensed data.
1350

Acronym	Name	Year	Citation
BFAST	Breaks for Additive and Seasonal Trend	2010	<i>Verbesselt et al. 2010</i>
LandTrendr	Landsat-based Detection of Trends in Disturbance and Recovery	2010	<i>Kennedy et al. 2010</i>
DBEST	Detecting Breakpoints and Estimating Segments in Trend	2015	<i>Jamali et al. 2015</i>
MTHD	Multi-Target Hierarchical Detection	2016	<i>Xu et al. 2016</i>

1351

1352 **Table 2.** The three vegetation indices applied in the temporal segmentation procedure,
1353 with their respective calculations and Landsat 7 Thematic Mapper (TM) band inputs.
1354

Index	Calculation	Landsat 7 TM Bands
NDVI	$\frac{\text{NIR} - \text{Red}}{\text{NIR} + \text{Red}}$	$\frac{\text{B4} - \text{B3}}{\text{B4} + \text{B3}}$
NBR	$\frac{\text{NIR} - \text{SWIR}}{\text{NIR} + \text{SWIR}}$	$\frac{\text{B4} - \text{B7}}{\text{B4} + \text{B7}}$
NIR _v	$\frac{\text{NIR} - \text{Red}}{\text{NIR} + \text{Red}} \times \text{NIR}$	$\frac{\text{B4} - \text{B3}}{\text{B4} + \text{B3}} \times \text{B4}$

1355

1356 **Table 3.** Definitions of pixel trajectory metrics: year of detection, magnitude, disturbance
1357 signal-to-noise ratio, duration, and rate. Metrics were derived through temporal
1358 segmentation of vegetation index time series.
1359

Metric	Definition
Year of detection	Year in which a directional change (vertex) occurred
Magnitude	Value of change in spectral response
Disturbance signal-to-noise ratio	Magnitude normalized to the root mean squared error (RMSE) of the LandTrendr fit
Duration	Horizontal length of the segment
Rate of change	Magnitude / Duration

1360

1361 **Table 4.** Three theoretically independent metrics for quantifying textural characteristics in
1362 forest remote sensing applications.
1363

Metric	Equation	Description
Contrast	$\sum_{i,j}^N p(i,j) i-j ^2$	Sum of squares of variance in grey-level values between adjacent pixels.
Correlation	$\sum_{i,j}^N \frac{(i - \mu_i)(j - \mu_j)p(i,j)}{\sigma_i \sigma_j}$	Linear dependence of grey-level values on those of neighboring pixels.
Entropy	$\sum_{i,j=0}^{N-1} -\ln(p(i,j))p(i,j)$	Natural log of the probability of co-occurrence of equal grey-level values.

1364

1365 **Table 5.** Pearson's correlation coefficients for selected GLCM texture metrics.

Variable Pair	Pearson's <i>r</i>
con-cor	0.1397***
con-ent	0.0185***
cor-ent	0.0869***

*** p < 0.01

1366

1367 **Table 6.** Disturbance detection accuracy and Cohen's Kappa (κ) when NBR, NDVI, and
1368 NIR_v were assimilated separately in the temporal segmentation procedure.
1369

Index	Accuracy	κ
NBR	70.4%	0.537
NDVI	74.2%	0.598
NIR _v	69.3%	0.527

1370

1371 **Table 7.** Error matrices for RF classification models: (a) Model 1 (texture metrics excluded)
 1372 and (b) Model 2 (texture metrics included). Within the shaded box, numbers in cells
 1373 represent the count of sample pixels in each category. Column values represent
 1374 observations in the reference data and all sum to 200 pixels per class. Row values represent
 1375 modeled agent predictions and sum to total predictions for that class. Diagonal (darker)
 1376 cells contain correct identifications; off-diagonal (lighter) cells contain errors. Row and
 1377 column totals, omission errors (OE), and commission errors (CE) appear in italics.
 1378 Commission error is calculated as the sum of false-positive predictions (row errors) over
 1379 total predictions per class. Omission error is calculated as the sum of false-negative
 1380 predictions (column errors) over total reference points per class. The proportion of pixels
 1381 correctly classified (PCC) appears in the bottom-right cell of each matrix.
 1382

1383 **(a) Model 1: GLCM texture variables excluded**

		Reference					<i>Total</i>	<i>CE</i>
		Fire	Harvest	Stress	Stable forest	Stable non-forest		
Predicted	Fire	111	31	12	13	0	<i>167</i>	<i>0.335</i>
	Harvest	36	105	4	56	0	<i>201</i>	<i>0.478</i>
	Stress	31	4	178	5	0	<i>218</i>	<i>0.183</i>
	Stable forest	22	60	6	126	0	<i>214</i>	<i>0.411</i>
	Stable non-forest	0	0	0	0	200	<i>200</i>	<i>0.000</i>
<i>Total</i>		<i>200</i>	<i>200</i>	<i>200</i>	<i>200</i>	<i>200</i>	<i>1000</i>	<i>PCC</i>
<i>OE</i>		<i>0.445</i>	<i>0.475</i>	<i>0.110</i>	<i>0.370</i>	<i>0.000</i>	<i>PCC</i>	<i>0.720</i>

1384

1385

1386

1387

(b) Model 2: texture variables included

		Reference					<i>Total</i>	<i>CE</i>
		Fire	Harvest	Stress	Stable forest	Stable non-forest		
Predicted	Fire	110	28	10	14	0	162	0.321
	Harvest	36	120	7	70	0	233	0.485
	Stress	35	5	179	3	0	222	0.194
	Stable forest	19	47	4	113	0	183	0.383
	Stable non-forest	0	0	0	0	200	200	0.000
	<i>Total</i>	200	200	200	200	200	1000	<i>PCC</i>
	<i>OE</i>	0.450	0.400	0.105	0.435	0.000	<i>PCC</i>	0.722

1388

1389 **Table 8.** Relative importance of the top 15 predictor variables in Model 1 (textures
 1390 excluded) and Model 2 (textures included). Importance is expressed in terms of mean
 1391 decrease in accuracy (MDA), the accuracy penalty that would result if a variable were
 1392 excluded from the set of predictors. Texture variables that appeared in the top 15 for Model
 1393 2 are in **bold** type.
 1394

Model 1: texture metrics excluded			Model 2: texture metrics included	
Rank	Variable	MDA	Variable	MDA
1	NDVI ternary	67.9	NDVI ternary	39.2
2	Elevation	53.2	Elevation	37.1
3	NDVI fractal dimension	35.1	NDVI fractal dimension	24.0
4	NDVI disturbance rate	28.7	Slope	21.7
5	Slope	25.4	NIR _v ternary	20.8
6	NIR _v fractal dimension	21.2	NDVI disturbance magnitude	17.9
7	NDVI disturbance magnitude	20.5	NDVI disturbance rate	17.7
8	NIR _v ternary	19.2	NDVI disturbance year	17.6
9	NBR disturbance rate	18.9	NIR _v fractal dimension	15.6
10	NDVI disturbance year	18.4	NDVI entropy	15.4
11	NIR _v disturbance magnitude	16.9	NBR ternary	15.0
12	NBR fractal dimension	16.5	NBR fractal dimension	14.3
13	NBR disturbance magnitude	15.2	NIR_v contrast	14.2
14	NBR dsnr	14.6	NIR _v disturbance rate	13.9
15	NIR _v disturbance rate	14.2	NIR_v entropy	13.9

1395

1396 **Figure Legends**

1397

1398 **Figure 1.** Photographs of Sierra Nevada mixed-conifer forest sites disturbed by (a) mixed-
1399 severity fire, (b) bark beetles, and (c) harvest. Each photograph was made within one year
1400 of disturbance and reveals a distinctive structural legacy.

1401

1402 **Figure 2.** True-color composite image of Stanislaus National Forest in July 2014
1403 (California, U.S.A., inset). The composite was created from bands 2–4 of a Landsat 8
1404 Enhanced Thematic Mapper (ETM+) image made approximately eleven months after the
1405 Rim Fire began. The fire scar is visible across the image’s lower third. Extensive harvest
1406 patches (~16 hectares each) appear in the speckled regions to the north and west. Surface
1407 water and cloud shadows are masked and appear white.

1408

1409 **Figure 3.** A flowchart of the data processing methods detailed in this study. Steps 1–3
1410 pertain to §2.2.2. Step 4 is described in §2.2.3. Steps 5–8 are detailed in §2.2.4 and §2.2.5.
1411 Step 9 is described in §2.3, and Step 10 in §2.4.

1412

1413 **Figure 4.** NIR_v and best-fit spectral trajectories of randomly selected pixels in three
1414 possible trajectory groups: “Disturbed”, “Stable Forest”, and “Stable Non-Forest.” Red lines
1415 indicate spectral trajectory based on observed NIR_v values. Blue lines represent the model
1416 that best simplified the trajectory shape based on thresholds defined in the temporal
1417 segmentation procedure.

1418

1419 **Figure 5.** Graphical representations of the correlation coefficient Pearson’s r calculated for
1420 pairs of GLCM texture metrics for 1999–2015 vegetation index values. Blue values indicate

1421 positive correlation; red, negative correlation. Ellipse width and color saturation indicate
1422 the strength of the relationship. Here, the metrics contrast (con), correlation (cor), and
1423 entropy (ent) were selected for inclusion as robust independent measures of edge, interior
1424 structure, and interior randomness, respectively. Names and variable definitions for the
1425 predictor codes are in Appendix A.

1426

1427 **Figure 6.** A true-color composite (a) shown alongside three GLCM texture metrics for a 25
1428 km² subset of the study domain. Contrast (b), correlation (c), and entropy (d) were
1429 calculated on NIR_v returns for 2014. The approximate location of the subset area within the
1430 Stanislaus National Forest boundary appears in the centered map.

1431

1432 **Figure 7.** Pixels identified as disturbed as a proportion of total within Stanislaus National
1433 Forest boundaries. Disturbance was detected through a temporal segmentation procedure
1434 run on time-series stacks of NBR, NDVI, and NIR_v values, which were computed on annual
1435 composites of Landsat observations from 1999–2015.

1436

1437 **Figure 8.** Magnitude of greatest disturbance events shown in histograms (a–c) and mapped
1438 at 30-m pixel scale (d–f) within the Stanislaus National Forest boundary. Disturbance
1439 location and magnitude were identified by temporal segmentation of NDVI, NIR_v, and NBR
1440 time-series.

1441

1442 **Figure 9.** Mapped predictions of disturbance agents: (a) Model 1 (texture metrics excluded)
1443 and (b) Model 2 (texture metrics included).

1444

1445 **Figure 10.** Proportion of pixels in modeled results by predicted disturbance agent or stable
1446 status.

1447

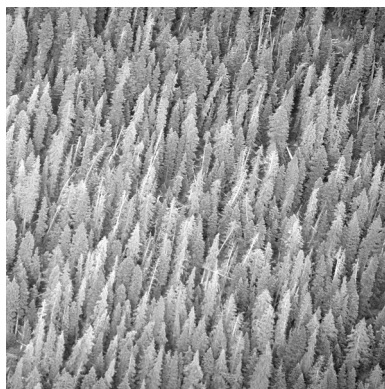
1448 **Figure 11.** Violin plots depict elevational regulation of different disturbance agents and
1449 forest cover. “Wider” oblongs indicate more peaked distributions in one or more elevational
1450 bands, while “taller” oblongs indicate a more uniform distribution along the elevational
1451 gradient. Fire and stress appear to co-occur at lower elevations, while harvest is
1452 concentrated in mid-elevations. The white boxes in the centers of the oblongs depict the
1453 median and interquartile range of elevation.

1454

1455 **Figure 1.**



(a)



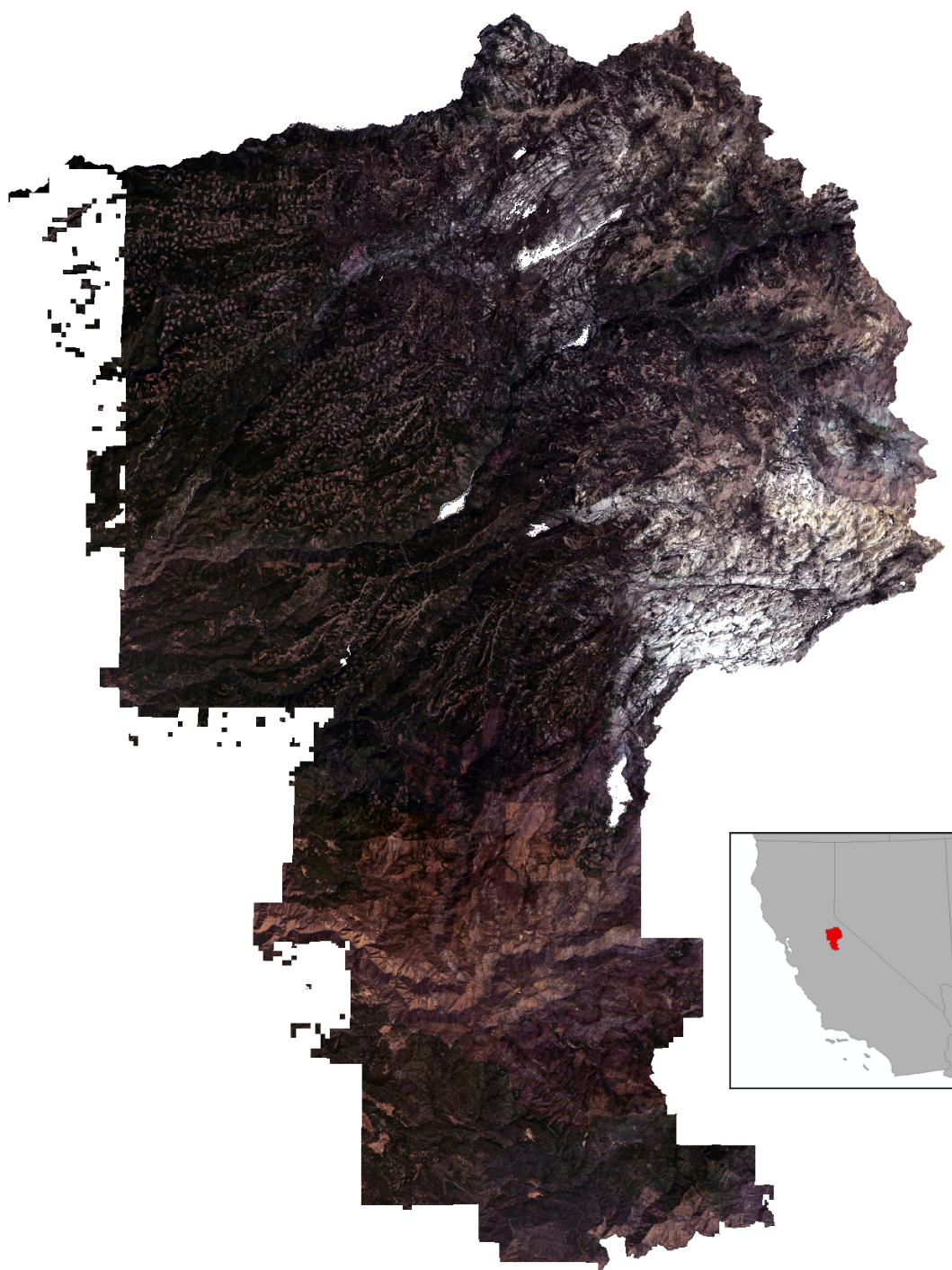
(b)



(c)

1456

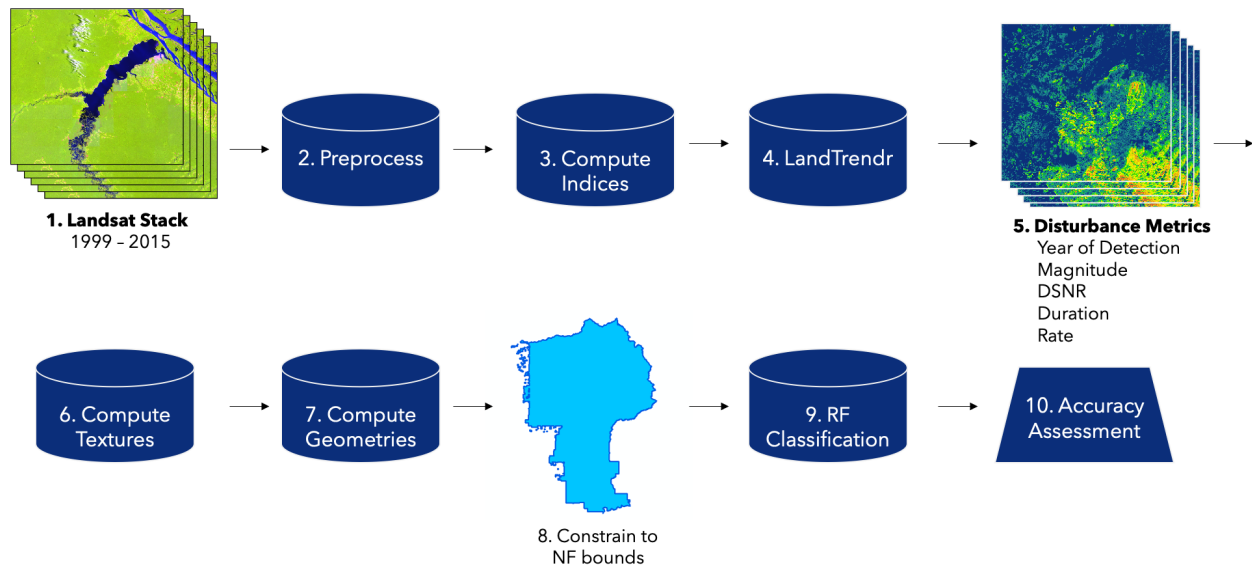
1457 **Figure 2.**



1458

1459

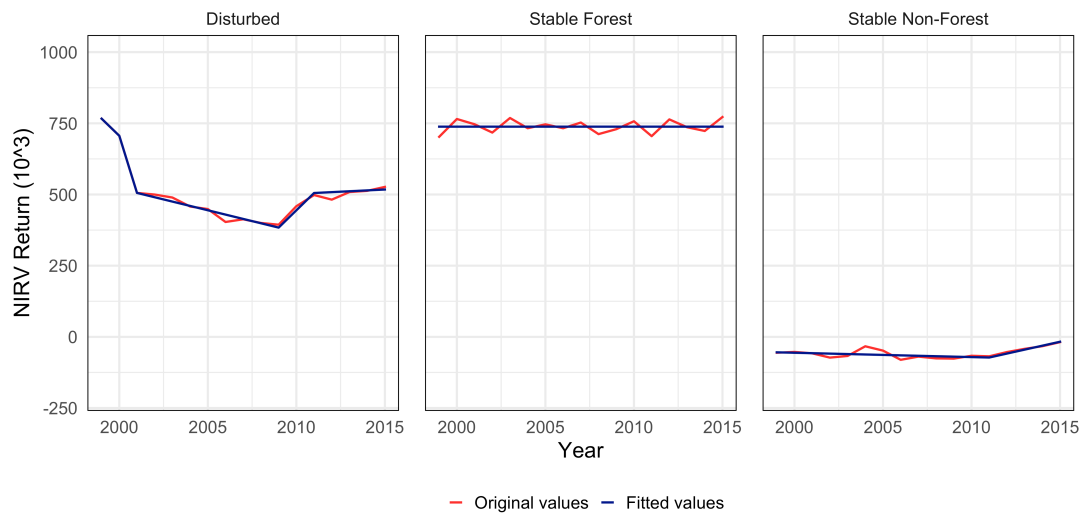
1460 **Figure 3.**



1461

1462

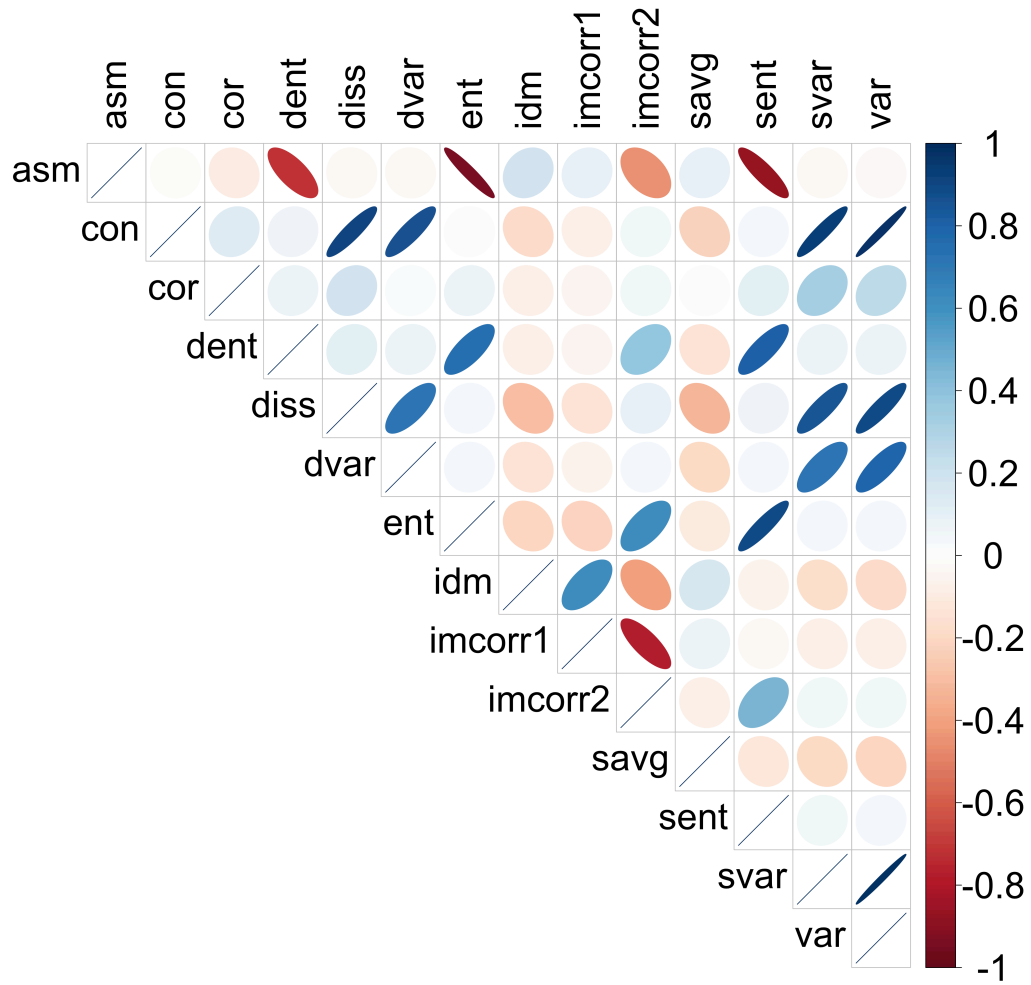
1463 **Figure 4.**



1464

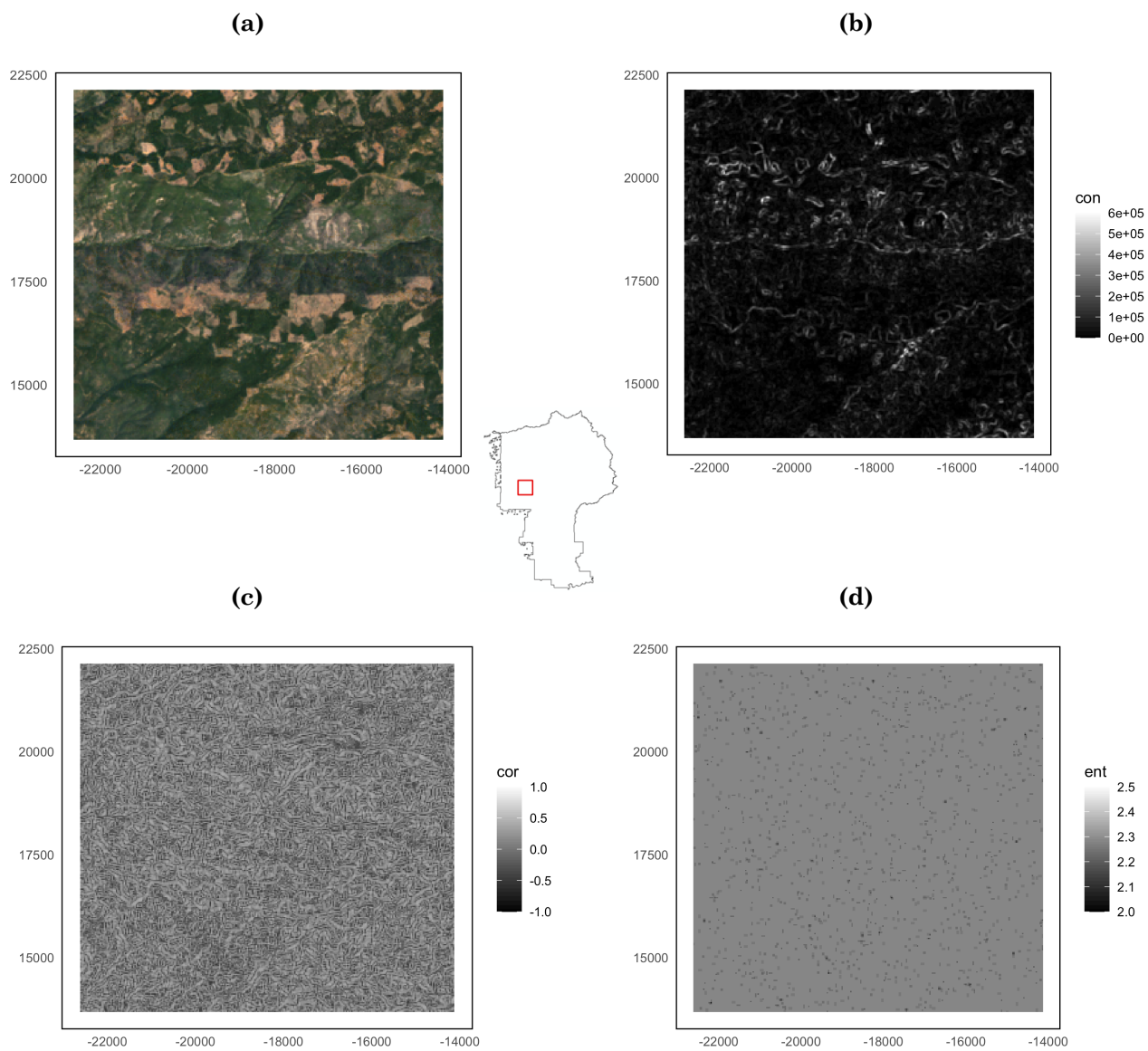
1465

1466 **Figure 5.**



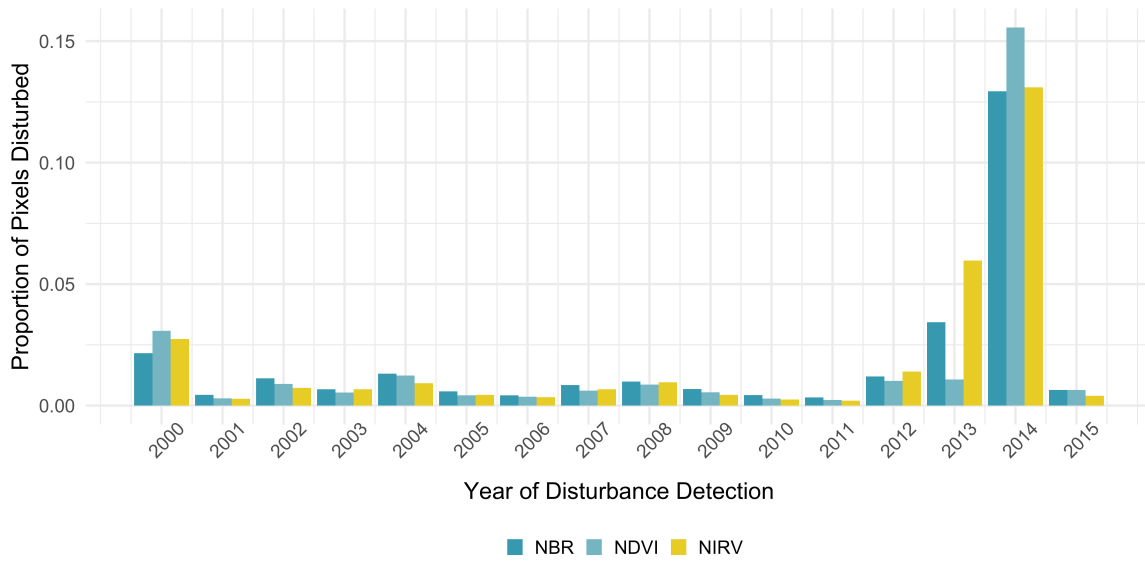
1467

1468 **Figure 6.**



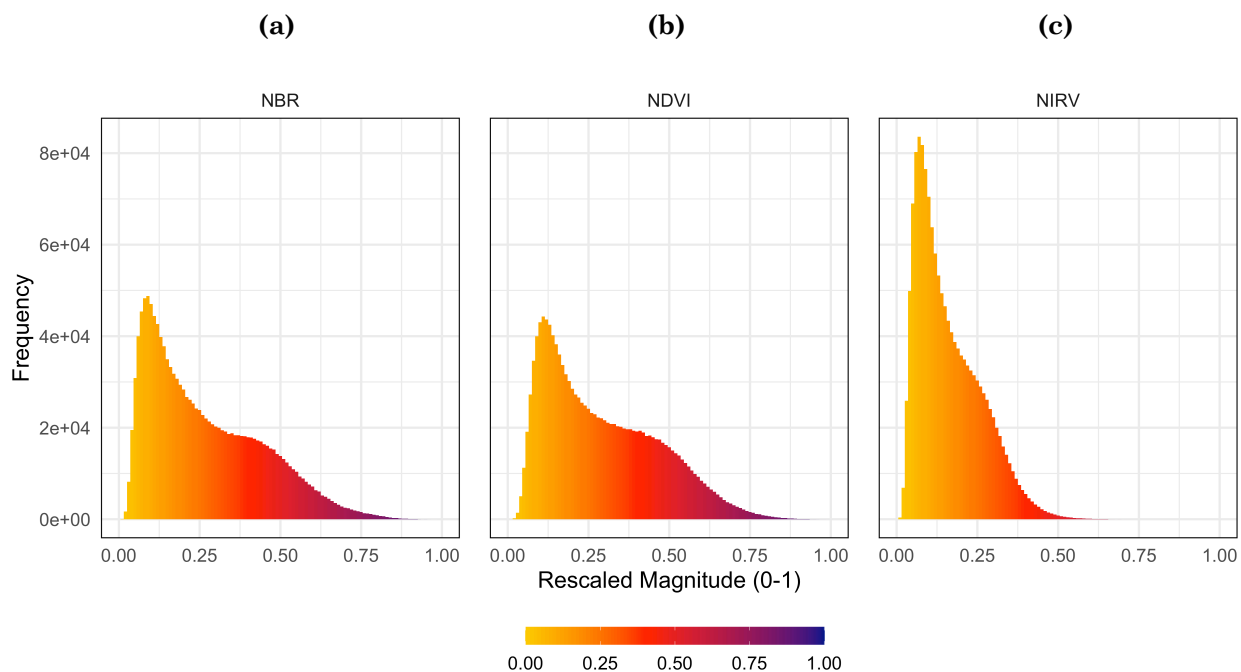
1469

1470 **Figure 7.**

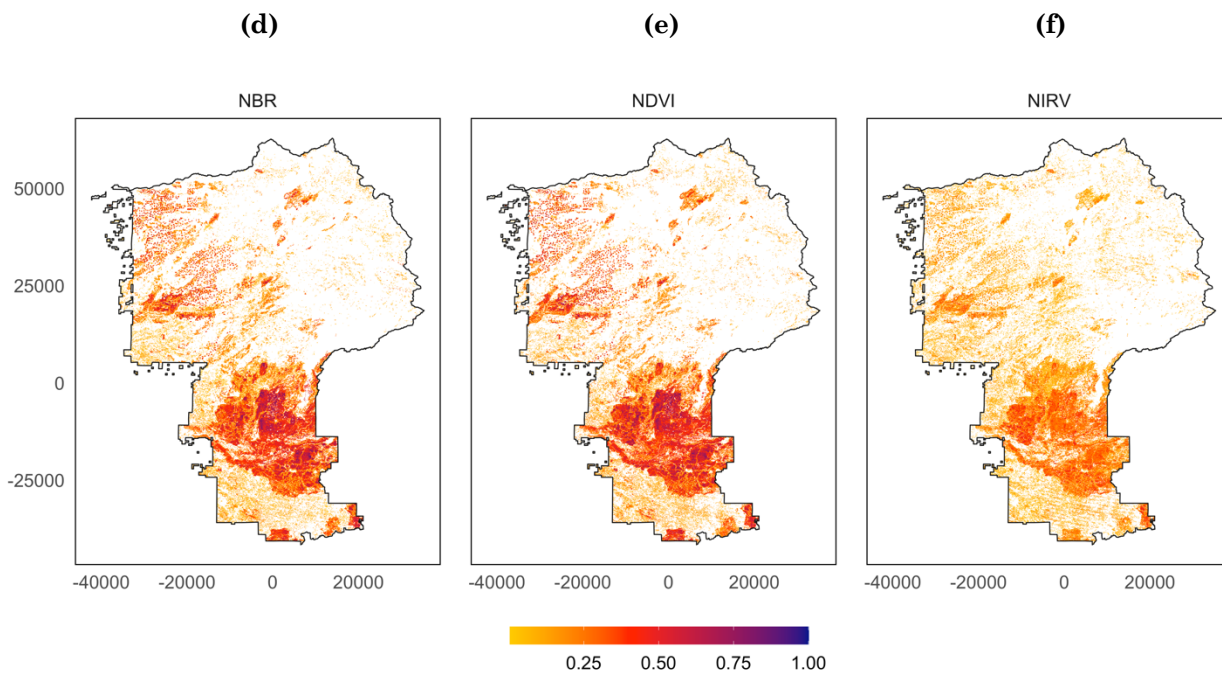


1471

1472 **Figure 8.**

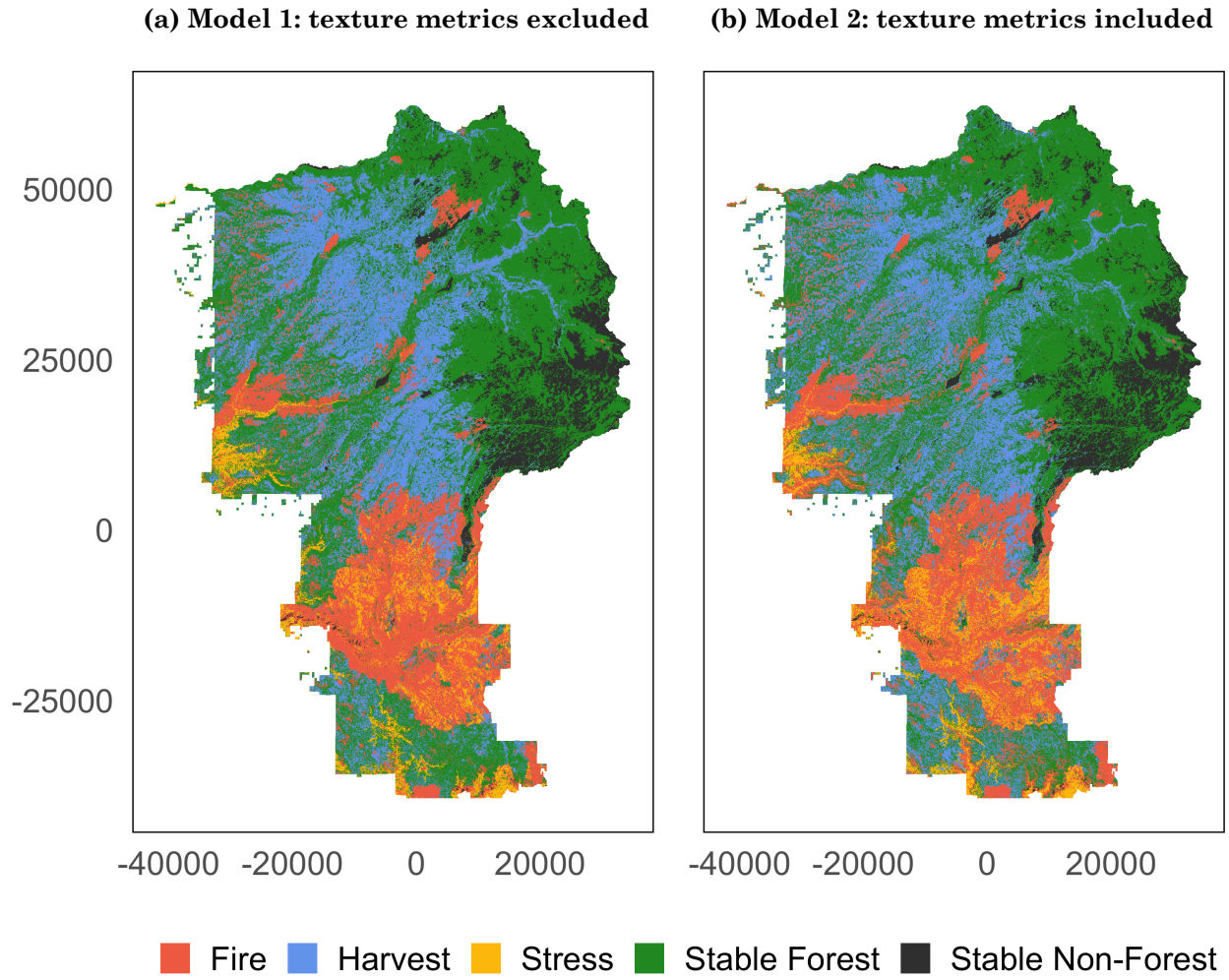


1473

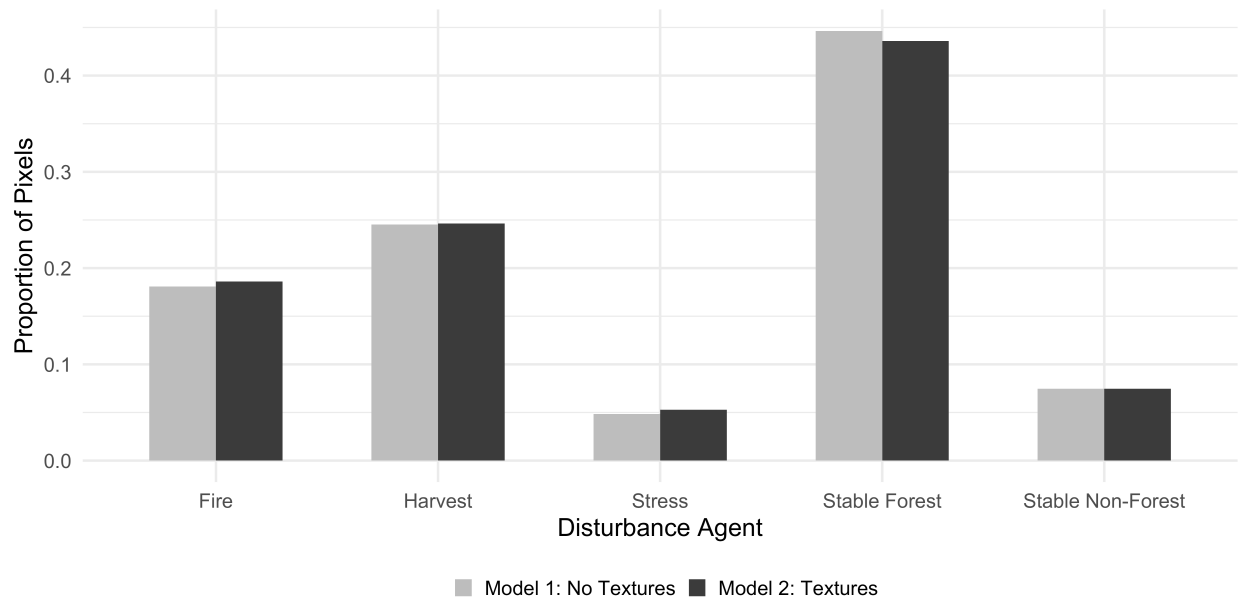


1474

1475 **Figure 9.**

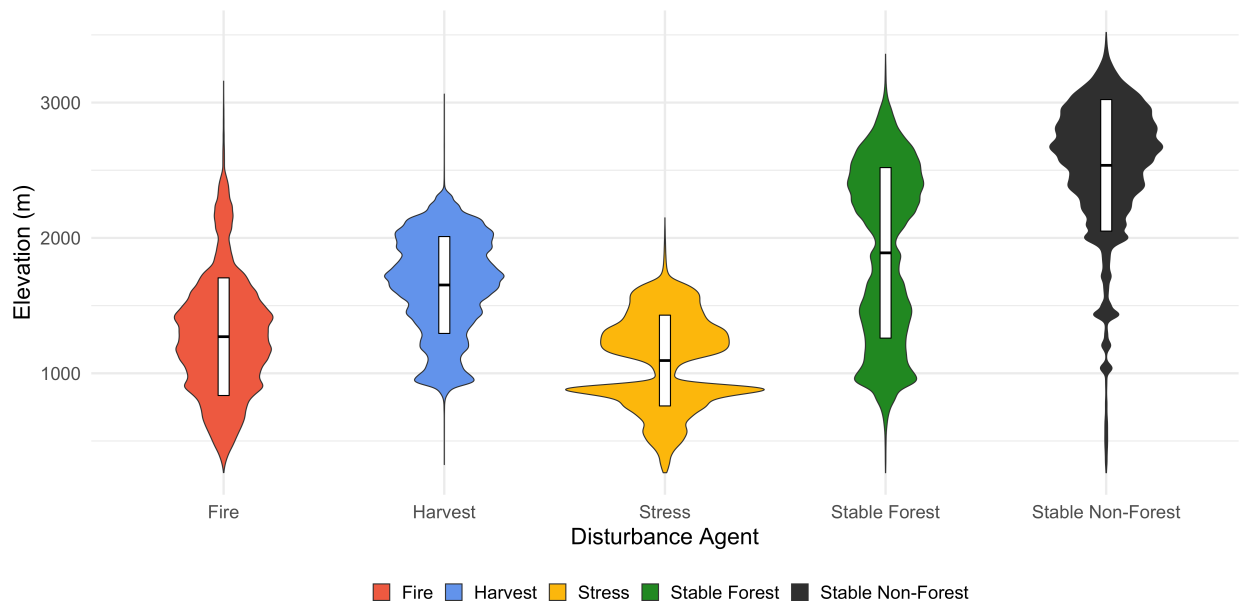


1477 **Figure 10.**



1478

1479 **Figure 11.**



1480

1481 **Appendix A.** GLCM texture variable definitions

1482

1483 **Table A.** Names and definitions of the 14 GLCM texture metrics calculated on annual NDVI, NBR,
 1484 and NIR_v composites from 1999–2015. These metrics were tested for correlation using Pearson’s r ,
 1485 and the statistics were reported in a correlation matrix (Fig. 5 in the main text). Definitions are from
 1486 Zwanenburg et al. (2016), Gorelick et al. (2017), and Hall-Beyer (2017).

Code	GLCM Variable	Measurement
asm	Angular second moment	Number of repeated grey-level values in neighborhood
con	Contrast	Magnitude of local differences in grey-level values
cor	Correlation	Linear correlation between pixels in neighborhood
dent	Difference entropy	Disorder of the distribution of grey-level differences
diss	Dissimilarity	Mean of the distribution of grey-level differences
dvar	Difference variance	Dispersion (about the mean) of the distribution of grey-level differences
ent	Entropy	Randomness of grey-level distribution
idm	Inverse-difference moment	Local homogeneity of an image
imcorr1	Inform. meas of correlation 1	Linear dependency between grey-level values as a function of the amount of information in the target pixel
imcorr2	Inform. meas. of correlation 2	Linear dependency between grey-level values as a function of the amount of information in the test pixel
savg	Sum average	Mean of the distribution of neighborhood grey-level sums
sent	Sum entropy	Disorder of the distribution of neighborhood grey-level sums
svar	Sum variance	Dispersion (about the mean) of the distribution of neighborhood grey-level sums
var	Variance	Dispersion (about the mean) of the distribution of grey levels

1487

1488 **Appendix B.** Model predictors

1489 **Table B.1.** Predictors for Model 1: textures excluded

Category	Variable	Name	Coverage
Topographic	Elevation	elevation	Full domain
	Cosine-transformed aspect	cos_aspect	
	Sine-transformed aspect	sin_aspect	
	Slope	slope	
Ternary	NBR cover ternary	NBR_coverTernary	Full domain
	NDVI cover ternary	NDVI_coverTernary	
	NIRv cover ternary	NIRV_coverTernary	
Shape	NBR fractal dimension	NBR_FracDim	Disturbed pixels
	NDVI fractal dimension	NDVI_FracDim	
	NIRv fractal dimension	NIRV_FracDim	
Disturbance	NBR disturbance magnitude	NBR_mag	Disturbed pixels
	NBR disturbance year of detection	NBR_yod	
	NBR disturbance signal-to-noise ratio	NBR_dsnr	
	NBR disturbance rate	NBR_rate	
	NDVI disturbance magnitude	NDVI_mag	
	NDVI disturbance year of detection	NDVI_yod	
	NDVI disturbance signal-to-noise ratio	NDVI_dsnr	
	NDVI disturbance rate	NDVI_rate	
	NIRv disturbance magnitude	NIRV_mag	
	NIRv disturbance year of detection	NIRV_yod	
NIRv disturbance signal-to-noise ratio	NIRV_dsnr		
NIRv disturbance rate	NIRV_rate		

1490

1491 **Table B.2.** Predictors for Model 2: textures included

Category	Variable	Name	Coverage
Topographic	Elevation	elevation	Full domain
	Cosine-transformed aspect	cos_aspect	
	Sine-transformed aspect	sin_aspect	
	Slope	slope	
Ternary	NBR cover ternary	NBR_coverTernary	Full domain
	NDVI cover ternary	NDVI_coverTernary	
	NIR _v cover ternary	NIRV_coverTernary	
Shape	NBR fractal dimension	NBR_FracDim	Disturbed pixels
	NDVI fractal dimension	NDVI_FracDim	
	NIR _v fractal dimension	NIRV_FracDim	
Disturbance	NBR disturbance magnitude	NBR_mag	Disturbed pixels
	NBR disturbance year of detection	NBR_yod	
	NBR disturbance signal-to-noise ratio	NBR_dsnr	
	NBR disturbance rate	NBR_rate	
	NDVI disturbance magnitude	NDVI_mag	
	NDVI disturbance year of detection	NDVI_yod	
	NDVI disturbance signal-to-noise ratio	NDVI_dsnr	
	NDVI disturbance rate	NDVI_rate	
	NIR _v disturbance magnitude	NIRV_mag	
	NIR _v disturbance year of detection	NIRV_yod	
	NIR _v disturbance signal-to-noise ratio	NIRV_dsnr	
Texture	NIR _v disturbance rate	NIRV_rate	Disturbed pixels
	NBR contrast	NBR_distpx_tex_con	
	NBR correlation	NBR_distpx_tex_cor	
	NBR entropy	NBR_distpx_tex_ent	
	NDVI contrast	NDVI_distpx_tex_con	
	NDVI correlation	NDVI_distpx_tex_cor	
	NDVI entropy	NDVI_distpx_tex_ent	
	NIR _v contrast	NIRV_distpx_tex_con	
	NIR _v correlation	NIRV_distpx_tex_cor	
NIR _v entropy	NIRV_distpx_tex_ent		

1492