### 1 Developmental dynamics of voltage-gated sodium channel isoform expression in the human 2 and mouse neocortex

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#### 31 Abstract

- 32
- 33 **Objective:** Genetic variants in the voltage-gated sodium channels SCN1A, SCN2A, SCN3A, and
- 34 SCN8A are leading causes of epilepsy, developmental delay, and autism spectrum disorder. The
- 35 mRNA splicing patterns of all four genes vary across development in the rodent brain, including
- 36 mutually exclusive copies of the fifth protein-coding exon detected in the neonate (5N) and
- adult (5A). A second pair of mutually exclusive exons is reported in *SCN8A* only (18N and 18A).
- 38 We aimed to quantify the expression of individual exons in the developing human neocortex.
- 39 **Methods:** RNA-seq data from 176 human dorsolateral prefrontal cortex samples across
- 40 development were analyzed to estimate exon-level expression. Developmental changes in exon
- 41 utilization were validated by assessing intron splicing. Exon expression was also estimated in
- 42 RNA-seq data from 58 developing mouse neocortical samples.
- 43 **Results:** In the mature human neocortex, exon 5A is consistently expressed at least 4-fold
- 44 higher than exon 5N in all four genes. For SCN2A, SCN3A, and SCN8A a synchronized 5N/5A
- 45 transition occurs between 24 post-conceptual weeks (2<sup>nd</sup> trimester) and six years of age. In
- 46 mice, the equivalent 5N/5A transition begins at or before embryonic day 15.5. In SCN8A, over
- 47 90% of transcripts in the mature human cortex include exon 18A. Early in fetal development,
- 48 most transcripts include 18N or skip both 18N and 18A, with a transition to 18A inclusion
- 49 occurring from 13 post-conceptual weeks to 6 months of age. No other protein-coding exons
   50 showed comparably dynamic developmental trajectories.
- 51 **Significance:** Splice isoforms, which alter the biophysical properties of the encoded channels,
- 52 may account for some of the observed phenotypic differences across development and
- 53 between specific variants. Manipulation of the proportion of splicing isoforms at appropriate
- 54 stages of development may act as a therapeutic strategy for specific mutations or even epilepsy
- 55 in general.
- 56

#### 57 Keywords

- isoform, splicing, epilepsy, neurodevelopmental disorders, SCN1A, SCN2A, SCN3A, SCN8A, 5A,
- 59 5N, 18A, 18N
- 60

#### 61 1. Introduction

62 Genetic variation in the genes SCN1A, SCN2A, SCN3A, and SCN8A are a major cause of epileptic

- 63 encephalopathy (EE), autism spectrum disorder (ASD), and developmental delay.<sup>1–3</sup> These four
- 64 homologous genes encode voltage-gated sodium channels (Nav1.1, Nav1.2, Nav1.3, and Nav1.6
- respectively) that are critical for a range of functions in the central nervous system,<sup>4</sup> including
- 66 axonal action potential initiation and propagation,<sup>5,6</sup> dendritic excitability,<sup>7,8</sup> macroscopic
- 67 anatomical development,<sup>9</sup> and activity-dependent myelination.<sup>10</sup> The functional role,
- 68 subcellular location, expression-level, and isoform selection of voltage-gated sodium channels
- 69 vary across development and understanding this relationship is critical for understanding the
- 70 etiology of the associated disorders and their therapeutic management.<sup>7,11–19</sup> While some
- 71 isoform-level differences have been assayed in rodents and mature human brains,<sup>20–22</sup> the
- trajectories in the developing human cortex have not been described.<sup>23</sup>
- 73

74 Sodium channel genes are composed of multiple exons, which can be protein-coding (CDS for

- 75 CoDing Sequence), untranslated regions (UTRs), or non-coding exons (NCEs). Differing
- 76 combinations of these exons are called isoforms, which can change the amino acid sequence of
- the encoded proteins (proteoforms). The best-characterized isoform change across these four
- real sodium channels are the two mutually exclusive copies of the fifth protein-coding exon.<sup>17,24</sup> This
- reson encodes part of the first domain of the Na<sub>v</sub> channel, including the end of transmembrane
- 80 segment S3, most of transmembrane segment S4, and a short extracellular linker connecting
- 81 these two segments. In humans, each copy of this fifth protein-coding exon is 92 nucleotides in
- 82 length, encoding 30 amino acids, of which one to three amino acids vary between the two exon
- copies for each gene (Fig. 1B). 'A' isoforms (5A) include the ancestral and canonical copy, with
- 84 an aspartic acid residue (Asp/D) encoded at position 7 of 30.<sup>25</sup> 'N' isoforms (5N) use the 85 alternative copy, with an asparagine (Asn/N) residue at position 7 of 30 in *SCN1A*, *SCN2A*, and
- *SCN8A* and a serine residue (Ser/S) in *SCN3A*. Despite this relatively small change in protein
- 87 structure, differential inclusion of 5N or 5A can have marked effects on channel function.
- 88 Indeed, these splice isoforms can alter channel electrophysiological characteristics,<sup>26,27</sup> the
- 89 functional impacts of variants associated with seizure,<sup>23</sup> neuronal excitability,<sup>28</sup> response to
- 90 anti-epileptics,<sup>21,22,26</sup> and seizure-susceptibility.<sup>28</sup>
- 91

The utilization of the 5N or 5A varies across development, with 5N generally being expressed at 92 higher levels in the neonatal period while 5A predominates in adults.<sup>27</sup> This switch is defined 93 94 best in mouse, where the 5N:5A ratio varies by gene and brain region along with developmental stage.<sup>20</sup> For *Scn2a* in mouse neocortex, the 5N:5A ratio is 2:1 at birth (postnatal 95 day 0/P0) and flips to 1:3 by P15. For both Scn3a and Scn8a, 5A predominates throughout the 96 postnatal period with a 1:2 ratio at PO increasing to 1:5 by P15.<sup>20</sup> Scn1a lacks a functional copy 97 of 5N in the mouse genome. Similar developmental profiles currently have not been reported 98 99 for humans beyond the of 5N/5A utilization SCN1A in adults, in which a 5N:5A ratio of over 1:5 100 was observed in the temporal cortex and hippocampus of adult surgical resections.<sup>21,22</sup> 101 102 In addition to the 5N/5A switch, a similar developmental shift in mutually exclusive exons has

- 103 been reported for "exons 18N or 18A" in SCN8A only, regulated by the RNA-binding protein
- 104 RBFOX1.<sup>16,29,30</sup> Using GENCODE human v31 gene definitions,<sup>31</sup> 18A maps to the 20<sup>th</sup> protein-

- 105 coding exon of major *SCN8A* isoforms (CDS 20, Fig. 1A), while 18N encodes the 8<sup>th</sup> and last
- 106 protein-coding exon (CDS 8) of a shorter eight protein-coding exon transcript
- 107 (ENST00000548086.3, Fig. S1). In the embryonic mouse brain, most SCN8A transcripts include
- 108 18N or skip both 18N and 18A, leading to non-functional channels, while 18A predominates in
- 109 the adult mouse and human brain.<sup>16</sup>
- 110
- 111 Here, we present data on the utilization of GENCODE-annotated protein-coding exons in four
- seizure-associated voltage-gated sodium channels in the human and mouse neocortex across
- development. We demonstrate a synchronized transition from 5N to 5A utilization between 24
- 114 post-conceptual weeks (2<sup>nd</sup> trimester) and six years-of-age across all four voltage-gated sodium
- channels and a transition from 18N to 18A in *SCN8A* from 13 post-conceptual weeks to 6
- 116 months-of-age. These isoform differences can modify the function of the encoded voltage-
- 117 gated sodium channels, raising the potential that interventions, such as antisense
- 118 oligonucleotides, could be used to modify the isoform ratio as a potential therapy for disorders
- 119 caused by variants in sodium channel genes or epilepsy.
- 120

### 121 **2. Materials and Methods**

122

# 123 2.1 Genomic data

124 To quantify the relative proportion of protein-coding exon expression across development in

- the human cortex, we assessed bulk tissue RNA-seq data from 176 post mortem dorsolateral
- 126 prefrontal cortex (DLPFC) samples from the BrainVar cohort.<sup>32</sup> The BrainVar cohort also has
- 127 corresponding whole-genome sequencing data that were used to derive per sample genotypes,
- 128 as described previously.<sup>32</sup> To assess corresponding patterns of exon expression in mouse cortex
- across development, we assessed 58 samples with bulk tissue RNA-seq data in wildtype C57/B6
- 130 mice. Thirty-four of these were generated as controls for ongoing experiments and 24 were
- 131 downloaded from GEO.<sup>33</sup>
- 132

# 133 2.2 Exon expression

- 134 To assess exon expression in the human cortex, the 100bp paired-read RNA-seq data from
- 135 BrainVar were aligned to the GRCh38.p12 human genome using STAR aligner<sup>34</sup> and exon-level
- read counts for GENCODE v31 human gene definitions were calculated with DEXSeq<sup>35</sup> and
- 137 normalized to counts per million (CPM).<sup>36</sup> Despite the similar amino acid sequence, the
- 138 nucleotide sequence of 5N and 5A is sufficiently differentiated across the four genes that 100bp
- 139 reads align unambiguously to one location in the genome.<sup>37</sup> Reads were detected in 5N and 5A
- 140 for all samples, across all four genes, with the exception of the *SCN1A* for which 31 of 176
- samples (17.6%) had no detectable 5N reads (Fig. 2A). Along with quantifying the expression of
- 142 5N and 5A (Fig. 2), we also assessed expression for the surrounding constitutive exons, as a
- 143 control (Fig. S2). For the mouse cortical data, the same analysis methods were used but with
- alternative references, specifically the GRCm38/mm10 genome and GENCODE vM25 gene
- definitions. A similar approach was used to assess the utilization of 18N and 18A in *SCN8A*.
- 146

# 147 **2.3 Intron splicing**

- 148 We applied a complementary approach to detecting 5N and 5A exon usage by assessing intron
- splicing via reads that map across exon-exon junctions in the same 176 BrainVar samples. Reads
- were aligned with OLego aligner<sup>38</sup> using the same genome build and gene definitions as for
- exon expression. Clusters of differential intron splicing were identified with Leafcutter<sup>39</sup> and
   differences across development were detected by comparing 112 prenatal samples to 60
- 152 postnatal samples. No cluster was detected for 5N/5A in *SCN1A*, preventing assessment acros
- postnatal samples. No cluster was detected for 5N/5A in SCN1A, preventing assessment across
   development, but clusters were identified and assessed for the other three genes and for
- development, but clusters were identified and assessed for the other three genes and for
   18N/18A in SCN8A (Figs. 3, 5).
- 156

# 157 2.4 Quantitative trait locus (QTL) analysis

- 158 Common variants with a minor allele frequency ≥5% in both the prenatal (N = 112) and
- postnatal (N = 60) samples and Hardy Weinberg equilibrium p value  $\ge 1 \times 10^{-12}$  were identified
- 160 previously.<sup>32</sup> Variants within one million basepairs of each sodium channel gene were extracted
- and integrated with the Leafcutter clusters, along with the first five principal components
- 162 calculated from common variants identified in whole-genome sequencing data from these
- 163 samples and 3,804 parents from the Simons Simplex Collection<sup>32,40</sup> to predict sQTLs with
- 164 FastQTL.<sup>41</sup> This analysis was performed on all samples, prenatal-only samples, and postnatal-

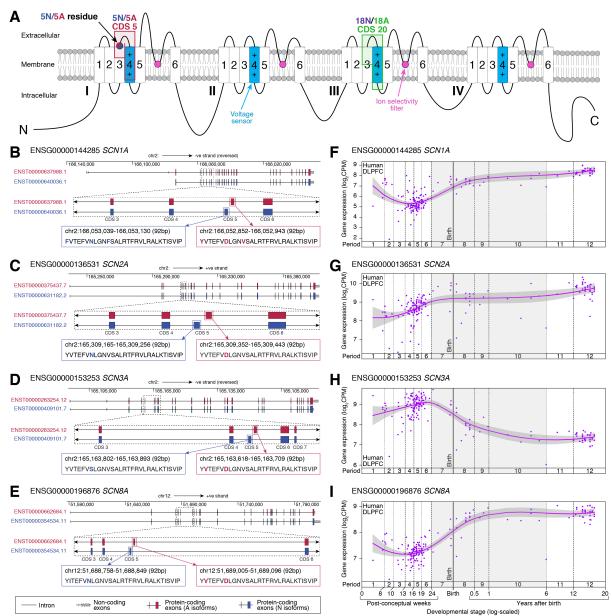
- 165 only samples, with false discovery rate (FDR) estimated from the results of each analysis using
- 166 the Benjamini-Hochberg procedure.<sup>42</sup> To assess correlation of 5N expression for the SNP
- 167 rs3812718, genotypes were extracted for chr2:166,053,034 C>T (GRCh38) and compared with
- 168 5N expression calculated by DEXSeq, as described above.
- 169

# 170 2.5 Statistical analysis

- 171 The 5N:5A expression ratio was calculated from normalized exon expression values (CPM).
- 172 Linear regression was used to assess whether this ratio varied across development by
- 173 comparing the log-transformed 5N:5A ratio to log-transformed post-conceptual days (Fig. 2).
- 174 The difference in ratio was also assessed between the mid-late fetal samples (N=112) and
- 175 childhood/adolescent/young adult samples (N=35) with a two-tailed Wilcoxon test. To compare
- 176 intron splicing between prenatal and postnatal samples, we used the P-values estimated with a
- 177 Dirichlet-multinomial generalized linear model, as implemented in Leafcutter.<sup>39</sup>
- 178
- 179 **3. Results**
- 180

# 181 **3.1** Expression of voltage-gated sodium channels in the human cortex

- 182 Gene expression varies dramatically across development for many genes, especially during the
- 183 late-fetal transition, during which half the genes expressed in the brains undergo a concerted
- 184 increase or decrease in expression.<sup>12,32,43,44</sup> To assess gene-level developmental trajectories, we
- analyzed bulk-tissue RNA-seq of the human DLPFC in 176 *post mortem* samples from the
- 186 BrainVar cohort (104 male, 72 female, spanning 6 post-conceptual weeks to 20 years after
- 187 birth).<sup>32</sup> The gene-level expression profile of all four voltage-gated sodium channels changes
- during this late-fetal transition (Fig. 1F-I), with SCN1A, SCN2A, and SCN8A expression rising from
- 189 mid-fetal development through infancy to early childhood, while *SCN3A* expression falls.
- 190



191 192 Figure 1. Splicing isoforms in voltage-gated sodium channels. A) Voltage-gated sodium channels are composed of four similar 193 domains (I, II, III, IV), each of which includes six transmembrane segments with extracellular or intracellular linkers. The fourth 194 transmembrane segment (S4) in each domain acts as a voltage sensor. Between the fifth and sixth transmembrane segment 195 (S5, S6) is a pore loop that forms the ion selectivity filter. The fifth protein-coding exon (5N/5A, CDS 5) encodes a portion of the 196 first domain, while the 20<sup>th</sup> protein-coding exon (18N/18A, CDS 20) encodes a similar portion of the third domain. B) Location, 197 genomic coordinates (GRCh38/hg38), and amino acid sequence of the '5A' and '5N' exons four sodium channels. C) Patterns of 198 whole-gene expression in the human dorsolateral prefrontal cortex (DLPFC) across prenatal and postnatal development from 199 the BrainVar dataset <sup>32</sup>. CPM: counts per million. Genomic coordinates are based on GRCh38/hg38 using GENCODE v31 gene 200 definitions.

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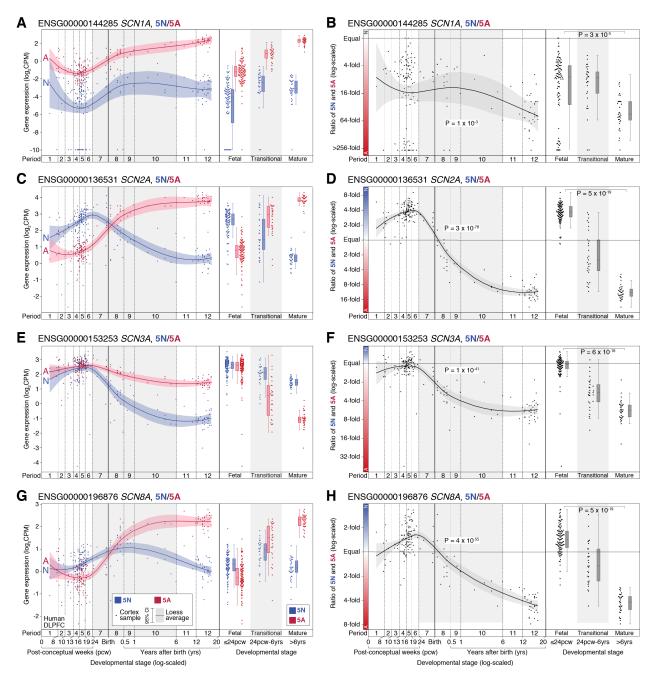
#### **3.2 Developmental trajectories of 5N and 5A expression in the human cortex**

204 The majority of protein-coding exons follow the expression trajectory of their parent gene

- across development (Fig. S3), however all four sodium channels show dynamic changes in the
- 206 utilization of 5N/5A (Fig. 2). This is especially marked for SCN2A and SCN8A, where 5N is

- 207 expressed at a higher level than 5A in the mid-fetal brain but this reverses soon after birth.
- 208 Plotting the 5N:5A ratio allows exon utilization to be assessed independent of changes in gene
- 209 expression (Fig. 2). All four genes show changes in the N:A ratio across development, with a
- 210 modest change for SCN1A (0.14 fetal to 0.02 childhood/adolescent; p=0.00003, two-sided
- 211 Wilcoxon test, Figure 2B) and dramatic changes for *SCN2A* (3.7 to 0.09; p=5x10<sup>-19</sup>, Fig. 2D),
- 212 SCN3A (0.96 to 0.18; p=6x10<sup>-18</sup>, Fig. 2F), and SCN8A (3.7 to 0.09; p=5x10<sup>-19</sup>, Fig. 2H). As a
- control, we applied this approach to assess the ratio of CDS 4 and CDS 6 across development.
- 214 We observed no developmental shift in the 4:6 ratio for SCN1A, SCN2A, and SCN3A, however
- the exon 4:6 ratio is marginally higher than expected in the prenatal period for SCN8A (0.82 vs.
- 216 0.66; 9x10<sup>-10</sup>, Fig. S2). This developmental variation in *SCN8A* is not observed for the
- surrounding protein-coding exons and reflects a modest increase in CDS 4 expression in the
- 218 prenatal period, based on the expected expression given the exon length (Fig. S4).

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220 221

222 Figure 2. Expression of 5N and 5A in the human cortex across development. A) The expression of 5N (blue) and 5A (red) in 223 SCN1A is shown for 176 BrainVar human cortex (DLPFC) samples across development (points). On the left, the colored line 224 shows the Loess smoothed average and 95% confidence interval (shaded region). On the right, boxplots show the median and 225 interquartile range for the same data, binned into fetal, transitional, and mature developmental stages. B) The ratio of 5N and 226 5A expression from panel 'A' is shown across development (left) and in three developmental stages (right). C-H) Panels A and B 227 are repeated for the genes SCN2A, SCN3A, SCN8A. For comparison, the same plots for CDS four and six are shown in Figure S2. 228 CPM: Counts per million; DLPFC: Dorsolateral prefrontal cortex. Statistical tests: B, D, F, H) Left panel, linear regression of 229 log<sub>2</sub>(5N:5A ratio) and log<sub>2</sub>(post-conceptual days). Right panel, two-tailed Wilcoxon test of log<sub>2</sub>(5N:5A ratio) values between fetal 230 and mature groups.

# 232 **3.3** Intron splicing around 5N and 5A in the human cortex

- 233 To verify that mutually exclusive use of 5N and 5A underlies the observed exon expression
- 234 changes (Fig. 2), we considered RNA-seq reads that spanned exon-exon junctions to quantify
- 235 intron splicing. Clusters of differential intron splicing corresponding to 5N/5A usage were
- identified by Leafcutter for SCN2A, SCN3A, and SCN8A (Fig. 3), but not SCN1A, likely due to the
- consistently low expression of N isoforms (Fig. 2). The splicing patterns for SCN2A, SCN3A, and
- 238 SCN8A are consistent with the observed exon expression changes (Fig. 2, 3) and at least 99% of
- reads are consistent with mutually exclusive 5N/A utilization.
- 240

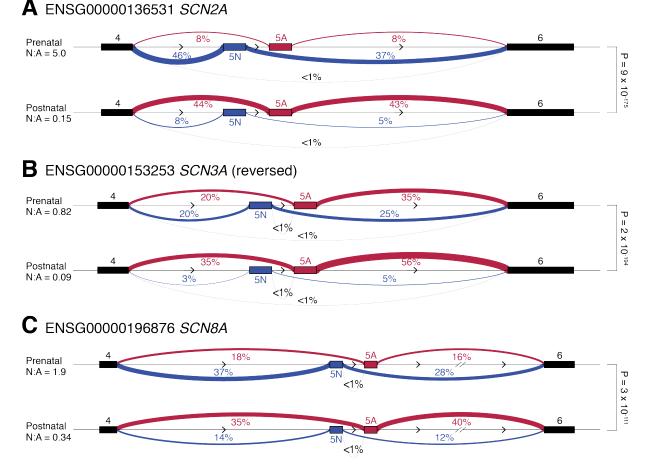


Figure 3. Intron splicing of sodium channel genes in the developing human cortex. A) Sashimi plot of splicing in the prenatal
 (top, N=112 samples) and postnatal (bottom, N=60 samples) DLPFC for *SCN2A*. Linewidth is proportional to percentage of split
 reads observed for each intron and this value is given as a percentage. Introns related to 5N inclusion are shown in blue, those
 related to 5A inclusion are shown in red, and others are in grey. B-C) Equivalent plots for *SCN3A* (a negative strand gene with
 the orientation reversed to facilitate comparison to the other two genes) and *SCN8A*. P-values compare the prenatal and
 postnatal cluster using a Dirichlet-multinomial generalized linear model, as implemented in Leafcutter.<sup>39</sup>

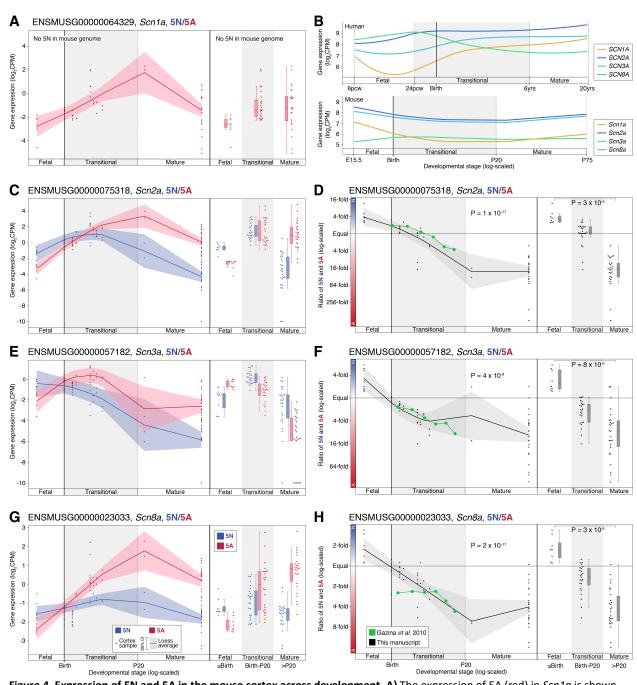
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# **3.4 Developmental trajectories of 5N and 5A expression in the mouse cortex**

- 251 We repeated the analysis of sodium channel 5N/5A expression using bulk tissue RNA-seq data
- from the mouse cortex across development (N=58; E15.5 to P75). Our data are consistent with
- 253 the N:A ratios described previously.<sup>20</sup> We observe more substantial differences at the extremes
- of development: SCN2A (3.3 fetal to 0.06 mature; p=0.00003, two-sided Wilcoxon test, Fig. 4C),

#### 255 SCN3A (2.4 to 0.14; p=0.00008, Fig. 4E), and SCN8A (1.8 to 0.22; p=0.00003, Fig. 4G). Mice lack a functional 5N exon in SCN1A.





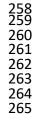


Figure 4. Expression of 5N and 5A in the mouse cortex across development. A) The expression of 5A (red) in Scn1a is shown for 58 mouse cortex samples across development (points); no functional 5N equivalent is present in the mouse genome. On the left, the colored line shows the Loess smoothed average and 95% confidence interval (shaded region). On the right, boxplots show the median and interguartile range for the same data, binned into fetal, transitional, and mature developmental stages. B) The Loess smoothed average expression of the four voltage-gated sodium channels in human cortex (top, Fig. 1) and mouse cortex (bottom). C) Panel 'A' is repeated for Scn2a with the inclusion of 5N (blue). D) The ratio of 5N and 5A expression from panel 'C' is shown across development (left) and in three developmental stages (right). Values reported previously in mouse 266 cortex are shown in the same scale in green for comparison <sup>20</sup>. E-H) Panels 'C' and 'D' are repeated for the genes Scn3a, Scn8a.

# 267 CPM: Counts per million. Statistical tests: D, F, H) Left panel, linear regression of log<sub>2</sub>(5N:5A ratio) and log<sub>2</sub>(post-conceptual days). Right panel, two-tailed Wilcoxon test of log<sub>2</sub>(5N:5A ratio) values between fetal and mature groups.

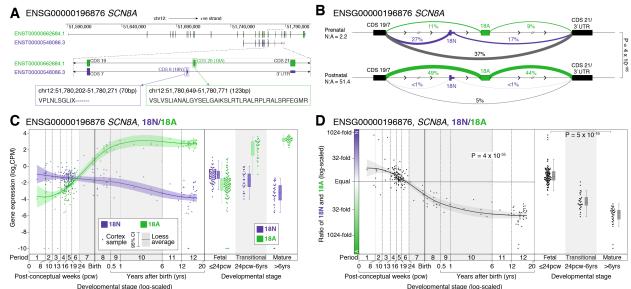
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#### 270 3.5 No evidence of common polymorphisms regulating 5N or 5A utilization

- A common polymorphism (rs3812718, GRCh38 chr2:166,053,034 C>T, IVS5N+5G>A) has
- previously been associated with epilepsy, seizures, and response to anti-epileptics, 21,22,26,45,46
- though this variant did not reach genome-wide significance in a mega-analysis of epilepsy.<sup>47</sup>
- 274 Prior analyses of expression in the adult human temporal cortex showed evidence that the
- 275 homozygous variant allele (TT in DNA, AA in cDNA) was associated with reduced utilization of
- 276 5N.<sup>21,48</sup> We do not observe evidence for such a relationship in the prenatal or postnatal
- prefrontal cortex (Fig. S5) and this polymorphism is not identified as a splicing quantitative trait
- 278 locus (QTL) in GTEx.<sup>49</sup> Furthermore, this variant is not predicted to alter splicing behavior using
- the SpliceAI algorithm.<sup>50</sup> The TT genotype is associated with increased expression of *SCN1A* in the adult human basal ganglia with  $(n=1\times10^{-10})^{49}$
- the adult human basal ganglia with  $(p=1x10^{-10})$ .<sup>49</sup>
- 281

## 282 **3.6 Developmental trajectories of 18N and 18A expression in SCN8A**

- 283 We next considered the developmental timing of the transition between 18N and 18A in SCN8A
- 284 (Fig. 1A, 5A). Intron splicing shows a robust difference between prenatal and postnatal human
- dorsolateral prefrontal cortex (P = 4 x  $10^{-185}$ , Fig. 5B), with the prenatal period characterized by
- high frequencies of transcripts excluding 18A, either including 18N or skipping both 18N and
- 287 18A, while in the postnatal cortex 18A is included in 93% of reads. Considering exon expression
- 288 (Fig. 5C, 5D), the expression of 18A increases markedly over development and this is distinct
- from other protein-coding exons for *SCN8A* (Fig. S3). The 18N/18A transition begins around 13
- 290 post-conceptual weeks and continues till six months-of-age, with both timepoints being earlier
- than the equivalents for 5N/5A in SCN8A and the other genes.
- 292



293Developmental stage (log-scaled)Developmental stage (log-scaled)294Figure 5. Developmental trajectories of CDS 20 (18N/18A) in human cortex in SCN8A. A) Location, genomic coordinates295(GRCh38/hg38), and amino acid sequence of the 18N and 18A exons in SCN8A. B) Sashimi plot of intron splicing in the prenatal296(top, N=112 samples) and postnatal (bottom, N=60 samples) dorsolateral prefrontal cortex. Linewidth is proportional to297percentage of reads observed for each exon-exon junction and this value is also shown as a percentage. Introns related to 18N298exon inclusion are shown in purple, those related to 18A exon inclusion are shown in green, and others are in grey. C)

299 Expression of the 18N (purple) and 18A (green) for 176 BrainVar human dorsolateral prefrontal cortex samples across 300 development (points). On the left, the colored line shows the Loess smoothed average with the shaded area showing the 95%

development (points). On the left, the colored line shows the Loess smoothed average with the shaded area showing the 95%
 confidence interval. On the right, boxplots show the median and interquartile range for the same data, binned into fetal,

302 transitional, and mature developmental stages. **D)** The 18N:18A ratio is shown for each sample from panel 'C' across

- development (left) and binned into three groups (right). CPM: Counts per million; Statistical analyses: B) Dirichlet-multinomial
- 304 generalized linear model, as implemented in Leafcutter,<sup>39</sup> D) Left panel, linear regression of log<sub>2</sub>(18N:18A ratio) and log<sub>2</sub>(post-
- 305 conceptual days). Right panel, two-tailed Wilcoxon test of log<sub>2</sub>(18N:18A ratio) values between fetal and mature groups.
- 306

# 307 **3.7 Other annotated protein-coding exons with distinct developmental trajectories**

- 308 To assess whether other protein-coding exons undergo distinct developmental transitions (Fig.
- 309 S3), we calculated the ratios of all pairs of protein-coding exons within each for the four sodium
- 310 channel genes and assessed whether the ratio was correlated with development stage using
- 311 linear regression. This is the same calculation used to quantify the 5N/5A and 18N/18A
- transitions (Fig. 2, 5D) and distinguishes exons with expression profiles that differ from the rest
- of the gene (e.g. 5A in *SCN2A*), rather than simply being expressed at reduced levels, suggesting
- alternative regulatory processes (Fig. S3). Visualizing the R<sup>2</sup> values of these correlations
- provides simple method to identify the such distinct trajectories (Fig. 6). Aside from 5N/5A and,
- in *SCN8A*, 18N/18A, no protein-coding exons common to most isoforms (consistent CDS in Fig.
- 317 S3) show differential expression, but a few weakly expressed protein-coding exons specific to a
- small number of isoforms (variable CDS in Fig. S3) do vary across development (Fig. 6).
- 319
- 320 GENCODE defines seven variable CDS exons for SCN1A (DEXSeq divisions: 006, 015, 021, 031,
- 321 034, 047, 049; Table S2, Fig. 6A). Of these, only 021 shows a distinct developmental trajectory
- 322 (Fig. 6A), with reduced postnatal expression relative to other SCN1A exons (Fig. S3). This result
- 323 is verified by the intron splicing data ( $p = 6 \times 10^{-91}$ , Leafcutter).
- 324

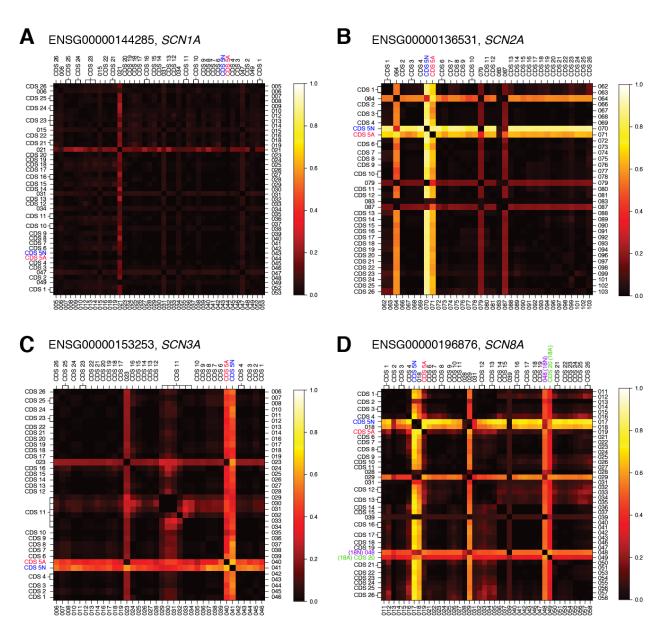




Figure 6. Identification of protein-coding exons with complex developmental trajectories. A) The correlation between the ratio of CPM expression between pairs of exons (log-scaled) and developmental stage (post conceptual days, log-scaled) for *SCN1A* was assessed with a linear model (e.g. Fig. 2B). The R<sup>2</sup> value of each exon pair is show as a heat map with 'hot' colors representing exon-pairs with high R<sup>2</sup> values for which variation in the ratio is correlated with developmental age, i.e. pairs of exons that show substantially different expression across development. Exon numbers from DEXSeq (Table S2) are shown on the bottom and right and equivalent CDS number on the top and left (see Table S2). B-D) The analysis is repeated for *SCN2A*, *SCN3A*, and *SCN8A*.

- 334
- In *SCN2A*, the 5N/5A trajectories stand out clearly (Fig. 6B). There are four variable CDS exons
- 336 (DEXSeq divisions: 064, 079, 083, 087; Table S2, Fig. 6B), three of which have distinct
- 337 developmental trajectories (Fig. 6B, S3): 064 (Fig. S3, P = 2 x 10<sup>-12</sup>, Leafcutter), 079 (Fig. S3, P = 7
- $x 10^{-33}$ , Leafcutter), 087 (Fig. S3, P = 2 x  $10^{-20}$ , Leafcutter). The single variable CDS exon in
- 339 SCN3A, 023 (Table S2, Fig. 6C), varies across development (Fig. S3, P = 3 x 10<sup>-80</sup>, Leafcutter).
- 340 Finally, aside from 18N, there are five variable CDS exons in SCN8A (DEXSeq divisions: 018, 028,

341 029, 031, 039; Table S2, Fig. 6D) of which 018 and 029 vary across development (Fig. 6D), but
342 neither of these are validated by Leafcutter.

343

#### 344 Discussion

345 Using transcriptomic data from 176 human dorsolateral prefrontal cortex samples, we

- 346 characterized the developmental patterns for all protein-coding exons in SCN1A, SCN2A,
- 347 *SCN3A*, and *SCN8A* (Fig. 6, S3). We observed a coordinated decrease in the 5N:5A ratio between
- 348 24 post-conceptual weeks (2<sup>nd</sup> trimester) and six years-of-age that is synchronized with
- 349 widespread transcriptomic changes in the brain during the late-fetal transition.<sup>32,44</sup> This is
- preceded by a similar decrease in the 18N:18A ratio in *SCN8A* from 13 post-conceptual weeks
- to 6 months-of-age, which is regulated by *RBFOX1*. By analyzing a wider developmental window
- than prior analyses<sup>20,21,48</sup> we observed more dynamic changes and larger disparities in exon
   expression.
- 354

355 Recent advances have shown that differential splicing patterns can be effective therapeutic

- targets in humans, for example through intrathecal antisense oligonucleotides.<sup>51,52</sup> Since the
- 357 electrophysiological consequences of some epileptic encephalopathy associated variants differ

between 5N and 5A, manipulating this ratio may offer therapeutic benefit in individuals

- 359 carrying these variants. We consider three therapeutic scenarios.
- 360

361 First, for individuals with disorder-associated genetic variants within the 30 amino acids

encoded by the 5<sup>th</sup> exon, expressing the other copy of the 5<sup>th</sup> exon could skip the variant.

363 Theoretically, this approach could benefit individuals with both loss-of-function (protein-

- truncating variants, missense, splice site) and gain-of-function (missense) variants in the 5<sup>th</sup>
- exon. At present, ten such cases have been identified, all with epileptic encephalopathy
   variants identified in the 5A exon of *SCN2A* and *SCN8A*.<sup>53,54</sup> Since the total transcript level
- variants identified in the 5A exon of *SCN2A* and *SCN8A*.<sup>53,54</sup> Since the total transcript level
   would be unchanged, this strategy may provide a wider therapeutic window than simply

decreasing expression levels. The success of the therapy would depend upon the proportion of

- transcripts expressing the alternate 5<sup>th</sup> exon and the ability of this exon to functionally replace
- 370 the original 5<sup>th</sup> exon.
- 371

372 Second, splice isoforms can also have an effect on the biophysical effects of variants outside the 373 5<sup>th</sup> exon. For example, three recently characterized epileptic encephalopathy associated 374 variants in SCN2A—T236S, E999K, and S1336Y—all exhibit more pronounced alterations in their electrophysiological properties in 5N Na<sub>V</sub>1.2 isoforms.<sup>23</sup> Two other epileptic encephalopathy-375 376 associated variants—M252V and L1563V—exhibit biophysical changes only when expressed on 5N isoform.<sup>14,55</sup> For individuals with these mutations, tilting expression towards the 5A isoform 377 378 could provide some symptomatic improvement, especially during infancy. Including both the 379 5N and 5A isoforms in functional characterization of variant impact may identify many more 380 such variants.<sup>23</sup>

381

Finally, modifying splicing might aid seizure control in older children and adults. At this age, the
 5A isoform is predominantly utilized in both SCN2A or SCN8A, which are mainly expressed in

384 glutamatergic neurons.<sup>11</sup> Reverting expression to the fetal/neonatal state by encouraging 5N

- 385 utilization could reduce the excitability of cortical glutamatergic neurons, potentially
- 386 limiting seizures. Since this would require repeated intrathecal administration, it would likely be
- 387 limited to the most severe cases of epilepsy. Furthermore, it remains to be seen whether this
- 388 approach could offer therapeutic benefits above and beyond existing antiepileptic drugs.389
- 390 Our analysis was limited by the use of short-read transcriptomic data, leading us to focus on
- quantifying exon-level expression (Fig. 2) and splice junction usage (Fig. 3), rather than relying
- on estimates of isoform utilization (Fig. S1). We also elected to focus on protein-coding
   transcripts and exons defined by GENCODE (v31) rather than attempting *de novo* transcriptome
- 394 assembly. Emerging long-read transcriptomic technology may substantially expand estimates of
- isoform and exon diversity but these technologies have not been applied to the developing
- human brain at scale.<sup>56,57</sup> We also note that transcriptomic data is only partially predictive of
- 397 protein levels and other factors, including channel transport and degradation, may influence
- the impact of isoforms on neuronal function. Comparing the human and mouse cortex data
- (Figs. 2, 4), it is possible that more substantial differences in gene and exon expression may be
- 400 observed at earlier embryonic times in the mouse or with larger sample sizes. In addition, the
- 401 use of bulk-tissue transcriptomic data limits our ability to assess how individual cell-types or
- 402 cell-states contribute to the observed isoform trajectories. Technological and methodological
- 403 advances may provide insights at cell-level resolution in the future.<sup>58</sup>
- 404

# 405 Conclusion

- 406 Dramatic differences in exon usage of SCN1A, SCN2A, SCN3A, and SCN8A observed in rodent
- 407 brains also occur in the human developing cortex, beginning in mid-fetal development and
- 408 continuing through childhood. These changes in splicing affect the biophysical properties of the
- 409 encoded channels and are likely to contribute to differences in phenotype observed between
- 410 individuals with different variants and across development.
- 411

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- 420

# 421 Author Contributions

- 422 Experimental design, S.J.S.; Data generation, L.L., S.F.D., S.P., F.O.G., A.S., J.Y.A., and J.L.R.R.;
- 423 Data processing, L.L., M.C.G., B.K.S., and D.M.W.; Data analysis, L.L., D.M.W., and S.J.S.;
- 424 Statistical analysis, S.J.S.; Manuscript preparation, L.L., K.J.B., and S.J.S.
- 425
- 426 **Declaration of interests**

- 427 J.L.R.R. is cofounder, stockholder, and currently on the scientific board of *Neurona*, a company
- 428 studying the potential therapeutic use of interneuron transplantation.

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