## 1 Association between neurofibromatosis type 1 and cerebrovascular diseases in

## 2 children: a systematic review

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- 27 **Running head:** NF-1 and cerebrovascular disease in children
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## 30 ABSTRACT

31 Background: Neurofibromatosis type 1 (NF-1) is an autosomal dominant disease that 32 affects one in every 3000 individuals. This disease can present a wide range of clinical 33 manifestations, ranging from skin abnormalities to severe vascular changes. Although 34 little recognized, cerebrovascular diseases (CVD), often present since childhood and 35 diagnosed late, may have clinical manifestations ranging from headache and cognitive 36 deficits to aneurysm rupture causing death. Thus, the CVD play an important role in the 37 clinical manifestations, the severity of the condition and the prognosis of patients with NF-38 1. This systematic review aims to summarize the body of evidence linking NF-1 and CVD 39 the in children.

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42 Methods: Two independent reviewers performed a systematic review on the PubMed 43 and EMBASE search platforms, using the following key terms: "neurofibromatosis type 44 1", "recklinghausen disease", "children", "adolescents", "stroke", "moyamoya disease", 45 "vascular diseases", "cerebrovascular disorders", "aneurysm" "congenital and 46 abnormalities". Studies focused on assessing the development of CVD in children with 47 NF-1 were included.

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49 **Results:** Seven studies met the inclusion criteria. Twelve different clinical manifestations
50 have been associated with cerebrovascular changes in children with NF-1; 44,5% of
51 diagnosed patients were asymptomatic.

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53	<b>Conclusion:</b> The available evidence suggests that cerebrovascular diseases are related
54	with the progression of NF-1, even in the absence of a clear clinical manifestation. In
55	addition, better prognosis was observed when imaging tests were performed to screen
56	for cerebrovascular changes. This generated early interventions and consequently more
57	favorable outcomes.
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- 59 **Key words:** Neurofibromatosis type 1; Cerebrovascular diseases; Children, Moyamoya.
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## 61 **INTRODUCTION**

62 Neurofibromatosis type 1 (NF-1) is an autosomal dominant, chronic and progressive 63 disease [1] with an incidence of approximately 1:3000 individuals and almost half of the 64 cases of hereditary origin (familial NF) [2]. The alteration in the NF1 gene, located at 65 chromosome 17 g11.2, is responsible for the inability to synthesize the neurofibromin 66 cytoplasmic protein, which acts as a modulator in cell growth and differentiation since 67 uterine life, and which is expressed in the nervous system, endothelium and smooth 68 muscle cells of blood vessels. The gold-standard diagnosis can be achieved through 69 molecular genetic testing, which is related to high cost and low availability in the public 70 health system [3] Frequently, diagnosis is based on the presence of two or more criteria 71 that encompass the main clinical manifestations of the disease and that were established 72 by the National Institutes of Health (NIH) Consensus Development Conference [4]. NF-1 73 is constantly associated with vasculopathy and cerebrovascular abnormalities of 74 pathophysiology that is still not understood [5-7]. Several cases have been reported in 75 children, but the incidence of cerebrovascular diseases (CVD) associated with 76 complications and the long-term impacts on these individuals is still poorly recognized. 77 which warrants more studies on the topic [8].

There are multiple case reports in the literature of individuals with NF-1 that developed cerebrovascular diseases (CVD) [9] and heterogeneous neurological manifestations and several complications are present in this association [10], which generates great morbidity and mortality in patients with this genetic condition [11]. The association of NF-1 with CVD is described to result in a range of pathologies, such as cerebral ischemia,

83 aneurysms and *Moyamoya* Syndrome (MMS). The latter, in turn, is characterized by 84 progressive stenosis or occlusion of the internal carotid artery and its branches. For this 85 reason, although lack of full knowledge regarding the natural history, symptoms, etiology 86 and management of such syndrome, it is already known that routine vascular 87 screening/assessment in NF-1 patients is necessary for early identification of this 88 condition [12]. Using such approach, CVD in patients with NF-1 can be diagnosed since 89 childhood. However, this diagnosis is often delayed, as not all children with NF-1 undergo 90 neuroimaging tests [13]. Furthermore, CVDs are still one of the major causes of death, 91 with almost six million people annually [14], aside from being associated with significant 92 morbidity worldwide, which makes them an important public health problem [15]. When 93 CVD are detected early, proper clinical management is able to minimize its impact on 94 adult life [11].

Therefore, detailed studies on the association between NF-1 and CVD are still needed [16]. In this present study, we performed a systematic review to summarize the body of evidence on the association between NF-1 and the development of CVD in children. Increasing knowledge in this field can drive development of more effective protocols to optimize diagnosis and therapy to reduce both mortality and the number of hospitalizations of these patients.

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## 102 MATERIALS AND METHODS

## 103 Ethics statement

There were no patients directly involved in the research. The present study used publicly data from previously published studies to perform a systematic review. All information given to the research team was de-identified. Thus, the study was exempted from revision by the Institutional Review Board of the Instituto Gonçalo Moniz, Fundação Oswaldo Cruz, Salvador, Brazil, and did not require signed consent forms.

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## 110 Search Strategy

A systematic review of NF-1 and its association with CVD in children aged between 0 to 18 years old was performed, in accordance with the recommendations of the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) report. Two independent reviewers (BBD and FHAG) conducted the research in the following databases: PubMed and EMBASE

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117 The keywords used in the research were: "neurofibromatosis type 1", "recklinghausen 118 disease", "children", "adolescents", "stroke", "moyamoya disease", "vascular diseases", 119 "cerebrovascular disorders", "aneurysm", "congenital abnormalities". The search strategy 120 used in PubMed and EMBASE is presented in the supplementary file 1. All articles 121 published in English were searched, without time or population restriction of the study. 122 Initially, titles and abstracts were read by the reviewers (Fig 1) and systematic reviews, 123 letters to the editor, case reports and comments were excluded. We did an additional 124 manual search of the reference lists from selected articles to identify eligible publications

that may not have appeared in our electronic search strategy. The search was conducted
on May 15, 2020. This review was registered in the PROSPERO International Registry
(registration number: CRD42020180942).

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Fig 1: Flowchart of the study selection process study characteristics. Abbreviations: CVD:
cerebrovascular disease.

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## 132 Data Extraction

133 Inclusion criteria were: (1) cross-sectional, cohort or case-control studies; (2) studies 134 focusing on NF-1 cerebrovascular disorders; (3) studies carried out with children (from 0 135 to 18 years old) and (4) studies in which the diagnostic criteria for NF-1 recommended by 136 the NIH were used. Articles in a language other than English, duplicated or not yet 137 published, as well as studies that were not about CVD in patients with NF-1 or that 138 presented cerebrovascular events associated with trauma, neoplasia, radiation treatment 139 or use of medications were excluded. The selection of the studies was divided into 4 140 steps: 1 reading of the titles, (2) reading of the article abstracts, (3) evaluation of the full articles selected from the previous step and inclusion of other studies present in the 141 142 reference lists of the selected articles, (4) selection of the studies to include in the 143 systematic review. Data extraction was performed independently by two authors (BBD 144 and FHAG) and the discrepancies between the reviewers were resolved by consensus 145 after discussion with more experienced authors (MBAG, BBA). A table for data extraction 146 was built by each reviewer before writing the manuscript. The table included information 147 on all relevant variables in each retrieved study.

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## 150 Data Analysis

All studies addressed the following variables to assess the relationship between NF-1 and CVD in children: age at diagnosis of NF-1, age at the time of image examination to identify CVD, cerebrovascular alteration found, affected vessels, method used for the diagnosis of NF-1, type of image examination performed, associated clinical manifestations and neurosurgery indication(Table 1).

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## 157 **Quality Assessment**

The methodological quality of the studies included in the meta-analysis was assessed using the Newcastle-Ottawa Scale (NOS) (Table 2) [17]. NOS scores of 0–3, 4–6 and 7–9 were considered to be low, moderate and high quality, respectively. The overall methodological quality was then summarized based on the observed quality trends.

## **Table 1.** Summary and characteristics of the articles

Study (author, year)	Country	Type of study	Sample size	Age of patients at NF1 diagnosis	Age of patients at image study	Cerebrovascular alteration	Afected vessel	Image study used	Diagnosis os NF1	Clinical manifestations	Neurosurgery
(Rosser et al., 2004)	United States of America	Case Series	Total (353); MRI (316); Vasculopathy (8)	Mean, 1.4 years	Mean, 7.3 years	Stenosis / occlusion, cerebral ischemia, ectasia, Moyamoya, aneurysm and hypoplasia	PCA, ICA and MCA	MRI, MRA and Conventional Angiography	NIH diagnostic criteriaª	Hemiparesis (1), seizure (1) and asymptomatic (7)	3 patients (exploratory surgery, encephaloduroarteriosynangiosi s and pial synangiosis)
(Cairns et al, 2008)	Australia	Case series	Total (698); MRI (144) ; Vasculopathy (7)	Ranged from 18 months to 5 years	Mean, 6.8 years	Hypoplasia, stenosis/occlusion, collateral vessels	ACA, MCA, PCoA, ICA and PCA	MRI, MRA and Conventional Angiography	NIH diagnostic criteriaª	Asymptomatic (5), seizure (1), hemiparesis (1), paraesthesia (1) and TIA (1)	1 patient (pial synangiosis)
(Rea et al, 2009)	Canada	Retrospective Cohort	Total (419) ; MRI (266); Arteriopathy (17)	Median, 2 years	Median, 5.2 years (7 children) Median, 5.3 years (10 children)	Stenosis / occlusion, aneurysm, Moyamoya	ICA, MCA and ACA	MRI, MRA, CT, angio-CT and Conventional Angiography	NIH diagnostic criteriaª	Seizure (3), hemiparesis (1), speech delay (2), Bell's palsy (1), learning disability (4), paraesthesia (1), weakness (2), hyperreflexia (2), ADD (1) ), hyperphagia (1), episodic dysphasia (1), infantile spasms (1), hypertonia (1), clonus (1), ptosis (1), RAPD (1), hemibalism (1), dystonia (1), aphasia (1), AIT (1) and fine motor delay (1).	6 patients (pial synangiosis)

(Ghosh et al, 2012)	United States of America	Case Series	Total (398); MRI (312); MRA (143); Vasculopathy (15)	Mean, 4.3 ± 3.5 years	Mean, 11.7 SD ± 7.3 years	Stenosis/occlusion, cerebral ischemia, Moyamoya	ICA, MCA, PCA and VA	MRI, MRA, angio-CT and Conventional Angiography	NIH diagnostic criteriaª	Headache (5), seizure (2) and asymptomatic (7)	1 patient (encephaloduroarteriomyosyna ngiosis procedure)
(Kaas et al, 2012)	United States of America	Cross Sectional	Total (181); Neuroimaging (77); cerebral vasculopathy (12)	Median 8 years (n=14)	Ranged from 3 to 13 years	Moyamoya, stenosis/occlusion, tortuosity, elongation, displacement, developmental venous anomaly	ACA, MCA, ICA, PCA and VA	MRI, MRA, Conventional Angiography	NIH diagnostic criteriaª	Weakness (1), reduced responsiveness (1) and asymptomatic (10)	3 patients (2 - revascularization surgery)
(Han et al, 2014)	China	Case Series	Total (6)	Median, 2.7 ± 2.1 years	Mean, 11.4 SD ± 8.3 years	Moyamoya, stenosis / occlusion, intracerebral hemorrhage	ICA, MCA and ACA	MRI, MRA, DSA, SPECT (Conventional digital subtraction angiography, Brain perfusion single-photon emission computerised tomography	NIH diagnostic criteriaª	TIA (3), headache (1), intracerebral hemorrhage (1) and cerebral ischemia (1)	5 patients (Revascularization surgery)
(Santoro et al, 2017)	Italy/France	Retrospective cohort	Total (18)	Mean, 2.93 SD± 3.03 years	Mean, 7.43 SD ± 4.27 years	Stenosis/occlusion	MCA, ACA and PCA	MRI, MRA and DSA	NIH diagnostic criteriaª	Hemiparesis (2), seizure (2), headache (6) and asymptomatic (8)	11 patients (revascularization surgery)

165	Table Note: aNational Institutes of Health (NIH) Consensus Development Conference diagnostic criteria: consists of the
166	presence of two or more of the following characteristics - six or more cafe au lait macules over 5 mm in greatest diameter in
167	prepubertal individuals and over 15 mm in greatest diameter in post pubertal individuals; two or more neurofibromas of any type
168	or one plexiform neurofibroma; freckling in the axillary or inguinal regions; optic glioma; two or more Lisch nodules (iris

- hamartomas); a distinctive osseous lesion such as sphenoid dysplasia or thinning of long bone cortex, with or without pseudarthrosis and a first-degree relative (parent, sibling, or offspring) with NF-1 by the above criteria.
- 171 **Abbreviations:** NF-1:Neurofibromatosis Type 1; PCA: posterior cerebral artery; MCA: middle cerebral artery; ACA: anterior
- 172 cerebral artery; ICA: internal carotid artery; PCoA: posterior communicating artery; VA: vertebral artery; MRI: magnetic
- 173 resonance imaging; MRA: magnetic resonance angiography; CA: conventional angiography; CT: computed tomography; angio-
- 174 CT: computed tomography angiography; DSA: digital subtraction angiography; SPECT: Brain perfusion single-photon emission
- 175 computerized tomography; TIA: transient ischemic attack; RAPD: DPAR: relative afferent pupillary defect; ADD: attention deficit
- 176 disorder; SD: standard variation
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## 179

## 180 Table 2: Quality Assessment of Studies Included in the Systematic Review by Newcastle Ottawa Scale.

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	-		Selection			Compa	rability	Outcon	ne/Expo	sure	Overall
Source	Newcastle Ottawa Scale	1	2	3	4	5A	5B	6	7	8	<b>Score</b> a
(Kaas et al, 2012)	Cross-Sectional	0	*	*	**	N/A	N/A	**	0	N/A	6
(Santoro et al, 2017)	Retrospective										
	Cohort	*	0	*	*	*	N/A	*	*	*	7
(Dec. et al. 2000)	Retrospective										
(Rea et al, 2009)	Cohort	*	0	*	*	N/A	N/A	*	*	N/A	6

182 **Table Note:** \*Indicates the score given to the study according to the NOS quality assessment scale.

183 Abbreviations: NA, not applicable;

<sup>184</sup> <sup>a</sup> Determined by the total number of stars assigned to the study: 0–3 stars = poor; 4–5 stars = fair; 6–7 stars = good; 8–9/10 stars =

185 excellent.

## 186 **RESULTS**

## 187 Study characteristics and quality evaluation

188 Initially, 243 studies were selected from the main database search. After detailed review, 189 70 duplicates were removed and 166 articles were excluded: 83 did not address CVD, 5 190 addressed CVD triggered by other factors, such as a particular medicine or neoplasms, 191 18 were case reports, 4 were letters to the editor and 2 were brief communications (Figure 192 1). Subsequently, 6 other studies were excluded for not being performed in humans, 9 for 193 not being performed in children, 27 were not found or published and 2 were in a language 194 other than English (Polish and German) (Fig 1). Finally, 10 studies that did not provide 195 sufficient clinical data on the pediatric population were still excluded. The remaining 196 studies (7), which describe NF-1 associated with CVD in children, were included in this 197 review. Fig 1 summarizes the article selection process.

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199 Among the seven selected studies, 2 had a medical record review project (retrospective 200 cohort) 1 was a cross-sectional study and 4 were series of cases. In all of them, the NF-201 1 diagnostic criterion used was that developed by the NIH. Moreover, the eligible studies 202 (cohort and cross-sectional) for the evaluation of the Newcastle-Ottawa Scale (NOS) 203 were of good quality presenting between 6-7 stars (Table 2). Such studies were 204 performed out in six different countries: one in Australia, one in Canada, one in China, 205 one in Italy and France and three of them in different cities in the United States of America 206 (Washington DC, Cleveland and Baltimore) (Fig 2).

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Fig. 2: Distribution of studies used around the world.

#### 210 Cerebrovascular alterations presented higher frequency at Willis polygon

In this review, we detected 12 types of cerebrovascular changes significantly associated with NF-1 and / or disease progression in children (Table 1). In six of the seven studies the internal carotid artery was the most affected vessel among patients: 75% in the study by Rosser et al., 80% in the study by Ghosh et al., and 100% in the studies by Read et al., Kaas et al., Han et al. and Santoro et al. In the study by Cairns et al. the most affected vessels were those of the Willis polygon (85,7% of patients) (Table 1 and Fig 3).

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Fig. 3: Flow diagram summarizing the main results of the systematic review. Abbreviations: NF-1:Neurofibromatosis Type 1; MRI: magnetic resonance imaging; MRA: magnetic resonance angiography; CA: conventional angiography; CT: computed tomography; angio-CT: computed tomography angiography; SPECT: Brain perfusion single-photon emission computerized tomography.

## 223 Radiologic investigation

224 The diagnosis of NF-1 was performed prior to brain imaging exams in all studies, showing 225 that many CVDs could have been previously diagnosed, which possibly would prevent 226 complications or more invasive treatments. The most frequently performed imaging tests 227 were Magnetic Resonance Angiography (MRA) and Magnetic Resonance Imaging (MRI) 228 performed in 100% of the studies evaluated followed by Conventional Angiography (CA) 229 - 71.4%, Computed Tomography Angiography (Angio-CT) and Digital Substraction 230 Angiography DSA - 28.5% each and finally Brain Perfusion Single-photon Emission 231 Computerized Tomography (SPECT) and Computed Tomography (CT) - 14.2% each. 232 (Table 1 and Fig 3).

233

#### 234 The heterogeneous spectrum of clinical manifestations and delays in diagnosis of

235 CVD

NF-1 has been closely associated with *MMS* vasculopathy in five studies and its presentation is related to worse outcomes and more evident clinical manifestations. In the study by Rea et al., It was possible to correlate NF-1 with the difficulty of developing cognitive functions such as reading, speaking and the ability to maintain attention. Furthermore, in the study by Ghosh et al, five of the fifteen patients evaluated presented headache as the only clinical manifestation that could indicate CVD. Thus, headache is possibly an important warning sign in this population.

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244 In general, the clinical manifestations found were very heterogeneous (Fig 3). Patients 245 with a history of paresthesia, seizures, and even severe strokes were observed, among 246 other manifestations. However, it is important to note that until the time of diagnosis of 247 CVD, 44,5% of the patients were asymptomatic, which shows the importance of screening 248 in childhood. Furthermore, the type of surgery most reported in the studies evaluated was 249 pial synangiosis surgery (five of seven studies) followed by revascularization surgery 250 (three of seven studies) (Fig 3). In the study by Santoro et al. patients who underwent 251 surgical treatment (50%) exhibited global clinical stability.

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## 254 **DISCUSSION**

255 NF-1 is a rare, autosomal dominant disease [18], which has a strong relationship with 256 vascular malformations [19] and whose first symptoms commonly appear in childhood 257 [20]. Such vascular alterations, although they can occur in any part of the child body [8], 258 have a greater severity when presented in the central nervous system (CNS) [10]. For 259 this reason, knowledge of the association between NF-1 and cerebrovascular diseases 260 triggered by this disease can contribute to a better understanding of the pathophysiology 261 of NF-1 manifestations in the CNS, as well as guide development of novel approaches to 262 prevent cerebrovascular events in children with NF-1. This systematic review 263 demonstrated that the use of early imaging methods is associated with early interventions 264 and, consequently, more favorable outcomes, even in previously asymptomatic children.

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266 Our search identified that all studies selected for systematic analysis reported that some 267 artery of the Willis polygon was affected [9, 11-13, 21, 22], of which the internal carotid 268 artery is shown as the main site of alteration among all evaluated patients. Importantly, 269 the branches generated by the internal carotid artery are the middle cerebral artery and 270 anterior cerebral artery [23], responsible for predominantly motor and somatosensory 271 deficits [24]. Thus, the manifestations presented can vary from a focal motor deficit to an 272 aphasia [13], which makes early diagnosis difficult [25] since depending on the age of 273 the child communication is still not effective and motor skills has not well-developed. 274 Therefore, it is crucial that more studies are developed to assess the need for periodic 275 screening in this group through brain imaging.

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277 Of note, approximately 44.5% of the patients were asymptomatic at the time of the 278 imaging exam, which showed vascular changes and rises the need of early screening. At 279 first glance, this fact counts against the NIH NF-1 management manuals since brain 280 imaging is only indicated in the presence of symptoms. In addition, in the study by Ghosh 281 et al, 33.4% of the patients evaluated presented headache as the only symptom, which 282 can be justified by the fact that the most common vascular alteration reported in all studies 283 was arterial stenosis / occlusion. Moreover, the comparison between the selected studies 284 about children with NF-1 and cerebrovascular diseases revealed that the earlier the 285 imaging tests are performed, even when the children are asymptomatic at the time of the 286 examination could contribute to better interventions. This corroborates the hypothesis that 287 the performance of periodic and early examinations can impact on morbidity and mortality 288 and on the quality of life of children with NF-1.

289

290 The available evidence allowed us to conclude that neurological manifestations of NF-1 291 in the pediatric group are heterogeneous and difficult to diagnose clinically, and in most 292 cases, it is necessary to perform a complementary imaging test. In addition, when these 293 manifestations are associated with MMS the children have a worse prognosis [22]. 294 Notably, MMS is described with the severity of symptoms presented by children [12], what 295 can be seen in six of the seven studies evaluated. Moreover, the neurosurgery most 296 performed by the studies was pial synangiosis surgery, widely used for the treatment of 297 MMS and reduction of its clinical manifestations. An important observation is that the 298 surgical interventions performed were also more successful when associated with early 299 imaging tests, which corroborates the idea of early screening for recognition of vascular

300 malformations on the CNS. The tests most used by the studies evaluated were MRA and 301 MRI, although there is no consensus on which is best test to be performed or the 302 periodicity established for that execution.

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304 Our study has important limitations. Some studies did not contain sufficient information 305 about the pediatric group, not allowing an adequate assessment. In addition, most studies 306 are only descriptive, not performing statistical analysis of the data presented, which made 307 it impossible to perform a meta-analysis. It is also important to highlight the low availability 308 and quality of the studies carried out about this theme, which present a very variable 309 number of patients and a little detailed analysis of each individual patient, which made 310 comparisons between groups difficult. Besides, due to the small amount of evidence 311 available, it was necessary to use the series of cases, which brings an important limitation 312 since we were unable to apply an adequate quality scale to the studies. In addition, the 313 available data on the chronology of the imaging exams and the reason for the indication 314 of one method within the other is very scarce, which makes it difficult to interpret these 315 parameters. Despite this, as it is a rare disease that affects 1 in every 3000 live births 316 [26] and has major complications [10], we believe that this systematic review reaches the 317 proposed ideas.

318

The results of this systematic review show that the possible implementation of screening measures using imaging methods has the potential to improve the early diagnosis of cerebrovascular alterations in children with NF-1 as well as early intervention. This could potentially reduce the number of deaths and sequelae caused by the diagnosis of

323	vascular change only when an injury is already installed. Even so, more robust and better-
324	quality studies are needed to clarify the frequency and which exams should be
325	recommended within each age group. An evaluation of imaging methods in isolation and
326	in conjunction with clinical parameters is necessary in order to draw a better line of care
327	for these children since birth.
328	
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330	version of this paper.
331	
332	Privacy statement: the authors guarantee that all data were anonymized and complies
333	with the Helsinki declaration.
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335	Availability of data and materials: The datasets used and/or analyzed during the
336	current study are all publicly available.
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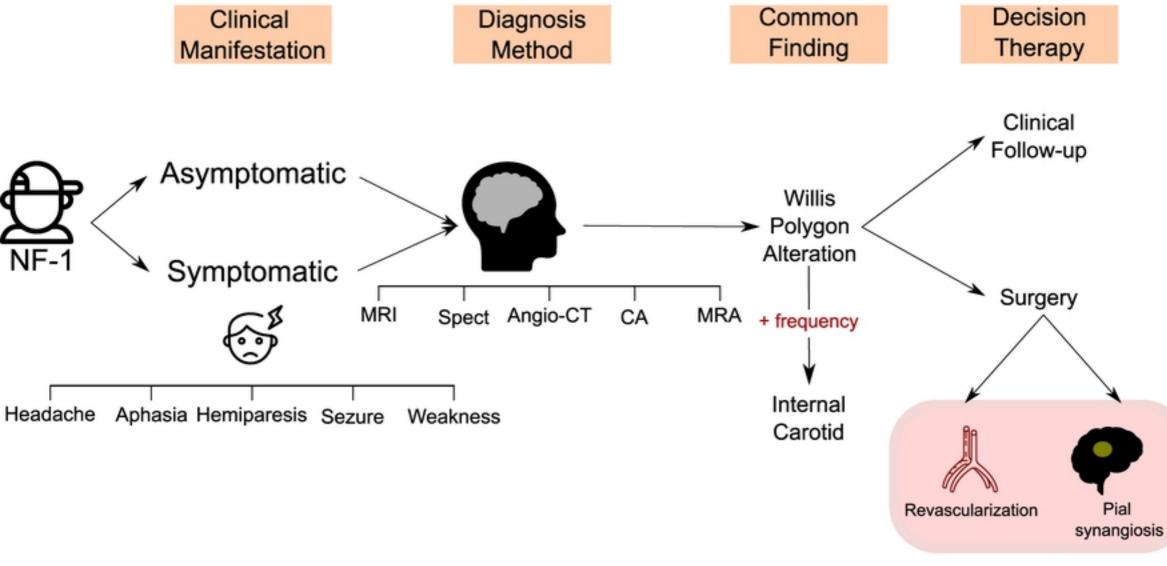
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# Figure 2



## Figure 3

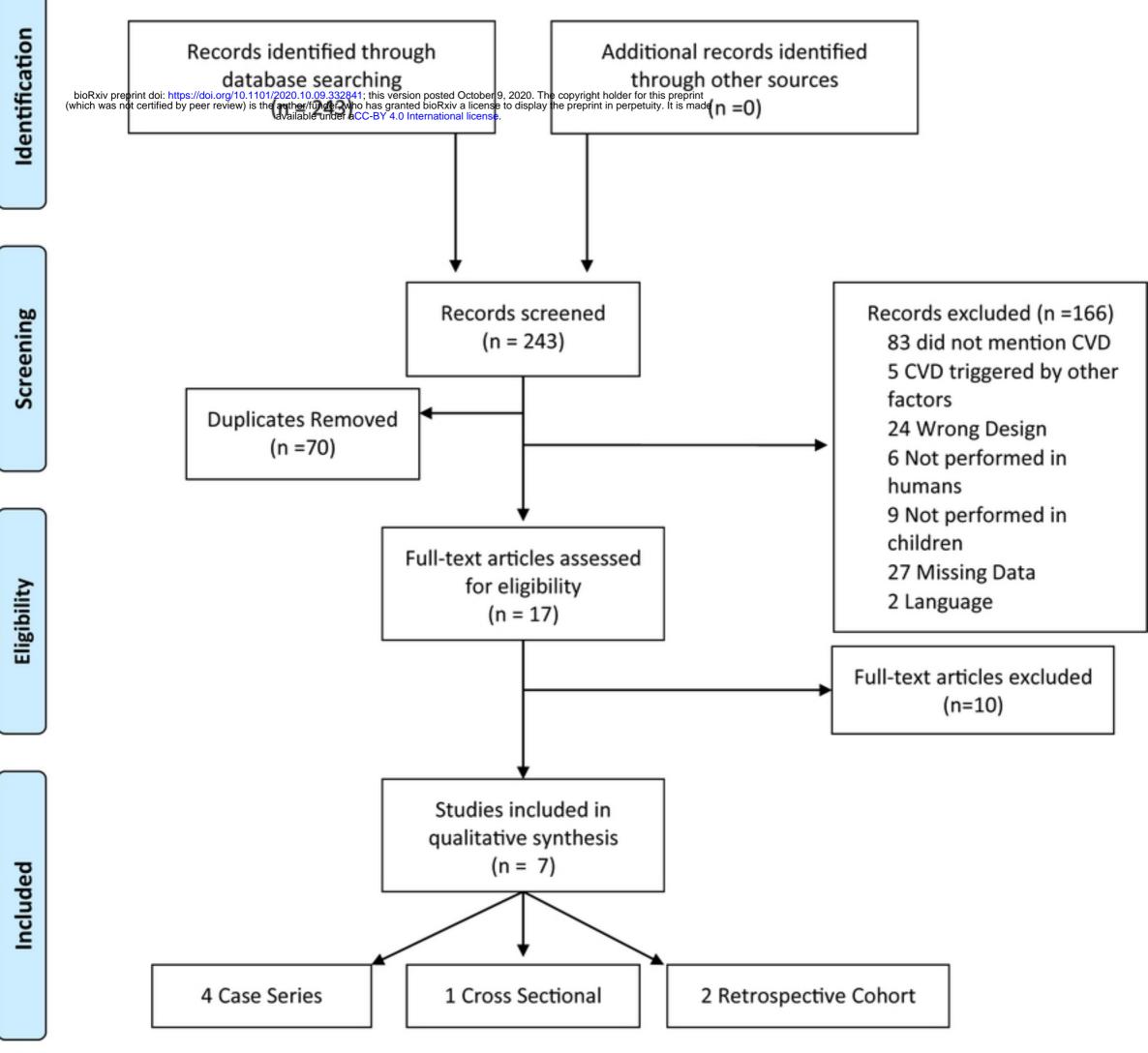


Figure 1