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7	A pseudomolecule assembly of the Rocky Mountain elk genome reveals
8	putative immune system gene loss near chromosomal fissions
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31 Abstract

32	Rocky Mountain elk (Cervus canadensis) is a major reservoir for Brucella abortus in the Greater Yellowstone area,
33	which has significant economic implications to the cattle industry. Vaccination attempts against intracellular
34	bacterial diseases in elk populations have not been successful due to a negligible adaptive cellular immune
35	response. A lack of genomic resources has impeded attempts to better understand why vaccination does not
36	induce protective immunity. To overcome this limitation, PacBio, Illumina, and HiC sequencing with a total of 686-
37	fold coverage was used to assemble the elk genome into 35 pseudomolecules. A robust gene annotation was
38	generated resulting in 18,013 gene models and 33,422 mRNAs. The accuracy of the assembly was assessed using
39	synteny to the red deer and cattle genomes identifying several chromosomal rearrangements, fusions and fissions.
40	Because this genome assembly and annotation provide a foundation for genome-enabled exploration of Cervus
41	species, we demonstrate its utility by exploring the conservation of immune system-related genes. We conclude by
42	comparing cattle immune system-related genes to the elk genome, revealing nine putative gene losses in elk.

43 Author Summary

44 Brucellosis, also known as contagious abortion, is a bacterial disease that commonly affects livestock and remains 45 prevalent in Rocky Mountain elk (Cervus canadensis). Since the 1920's the USDA has led a program to eradicate 46 Brucellosis from cattle, yet wild Rocky Mountain elk continue to be a source of transmission. Attempts to vaccinate 47 wild elk herds have been unsuccessful, due to a poor and short-lived immune response. To investigate the genetic 48 basis for this inherent difference, we created the first genome and annotation for the Rocky Mountain elk. This 49 genome assembly is of the highest quality and contains single linear sequences for all 35 chromosomes. In order to 50 generate gene models, an array of RNA-Seq data and proteins from many different organ tissues and cells were 51 used in gene prediction software. Specifically, we compare cattle immune system genes with the Rocky Mountain 52 elk, revealing the putative loss of nine immune-system related genes in elk.

54 Introduction

Rocky Mountain elk (*Cervus canadensis*) were once distributed across much of North America but now inhabit remote areas. Rocky Mountain elk were nearly exterminated from the Rocky Mountains of Alberta and British Columbia in the early 1900s,(1) but were restocked between 1916-1920 with elk from the Greater Yellowstone Area (2-5). By 1940 elk populations expanded so greatly, that periodic culling was necessary (3, 6). While elk have been reintroduced to many areas, the densest populations are maintained in mountainous remote areas, like the Greater Yellowstone Area.

61 Elk typically avoid the presence of domesticated livestock, yet they will utilize the same grounds for grazing when 62 livestock are absent (7). This can be problematic for ranchers occupying areas near elk populations like the Greater 63 Yellowstone Area. Elk are known reservoirs for brucellosis, (Brucella abortus) a disease that is highly contagious 64 and poses a risk to livestock and humans (8-10). Because of the potential for causing abortion in cattle, the USDA 65 used vaccines and serologic testing to nearly eradicate *B. abortus* from domestic herds (11). Yet in the last 15 66 years, over 20 cases of transmission to cattle have been traced to wild elk populations in the Greater Yellowstone 67 Area. Attempts to establish long-term immunity through vaccination have proven unfruitful, as elk have negligible 68 adaptive cellular immune responses to existing Brucella vaccines (12). Because the eradication of B. abortus from 69 cattle herds can cost hundreds of thousands of dollars and current tools make it unfeasible to control infection in 70 wild elk, there is a need to dissect the genetic nature of limited immune responses in elk. With advances in 71 sequencing technology (PacBio, Illumina and HiC), we are now able to investigate difference in adaptive immune 72 response at the genomic level by examining the presence and absence of immune system-related genes. Here, we 73 report a chromosomal level reference genome assembly and annotation of the Rocky Mountain elk and perform a 74 preliminary investigation of immune gene loss between elk and cattle. Our results suggest a mechanism for gene 75 loss of immune related genes through major chromosomal rearrangement and fusion.

76 Methods

77 Animal Selection

78 A male Rocky Mountain elk from a long term captive herd in Minnesota was selected for sequencing. The research

79 protocol was approved by the National Animal Disease Center Animal Care and Use committee and all animals

80 under the protocol were maintained in accordance with animal care regulations.

81 Sequencing

82 For the initial contig assembly we generated a hybrid data set with Illumina PCR-free 150bp paired end reads and

83 PacBio RSII reads produced with P6-C4 chemistry. Chicago and HiC libraries were prepared as described

previously(13, 14). Both Chicago and HiC libraries were prepared similarly, though HiC libraries were nuclear-fixed.

85 Briefly, formaldehyde-fixed chromatin was digested with DpnII, and 5' overhangs were sealed with biotinylated

86 nucleotides. Blunt ends were ligated, followed by crosslink were reversed for DNA purification from protein. We

87 then removed biotin that was not internal to ligated fragments. DNA was sheared to a mean length of ~350 bp for

88 library construction with NEBNext Ultra enzymes and Illumina-compatible adapters. Biotin-containing fragments

89 were isolated using streptavidin beads before PCR enrichment of the libraries. Both Chicago and HiC libraries were

90 sequenced on an Illumina HiSeqX at 2x150bp, attaining totals of 470 million and 500 million reads, respectively.

91 Genome Assembly

92 An initial genome assembly was generated with Masurca version 3.2.3 (15), attaining a 2,559.8 Mbp genome size

93 in 29,125 contigs with N50 size of 1,224,689bp. Dovetail Genomics scaffolded this assembly using an iterative

94 HiRise analysis informed via alignments of Chicago and then HiC libraries with a modified SNAP aligner

95 (http://snap.cs.berkeley.edu). This assembly contained 2,560.5 Mb, with an L90 of 31 scaffolds, and a N90 of

96 43.374 Mb. 1,004,453,472 Chicago and HI-C reads were used to scaffold this Dovetail assembly with a Juicer 1.5.6,

- 97 3D-DNA 180922, and JuiceBox 1.9.8 (16, 17). Reads were extracted from bam files with Picard 2.9.2 (18). The
- 98 Dovetail assembly was masked using RepeatModeler 4.0.7 (19) and RepeatMasker 1.0.8 (20), prior to the
- 99 alignment of HI-C reads with BWA mem 0.7.17(21). Alignments were processed using Juicer, 3D-DNA(22), and
- 100 Juicebox (16, 17). The Juicebox assembly strategy consisted of: manually placing all contigs greater than 10kb,

incorporating scaffolds at the highest HI-C signal, placing scaffolds in non-repetitive regions when HI-C signal was
equal between a repetitive and non-repetitive region, repeats were clustered whenever possible, and only obvious
mis-joins were edited. The initial Juicebox scaffolding created 34 pseudomolecules, which was then compared to
the *Cervus elaphus hippelaphus* genome assembly (GCA_002197005.1)(23)to reveal the merger of the X and Y
chromosomes. A BLASTn (24) of the *C. elaphus hippelaphus* genome sequence was used to identify coordinates,
allowing the correct separation the X and Y chromosome via the heatmap in Juicebox. The 3D-DNA assembly
finished with 22,557 scaffolds.

108 The contigs that could not be integrated into the pseudomolecules were eliminated based on repetitiveness,

109 duplicated heterozygous contigs, RNA-seq mapping potential, and contig size (>500 bp). BEDTools 2.25.0 (25) was

used to merge coordinates from mapping these contigs to the pseudomolecules with BLAST+ 2.9 (score >300) and

111 RepeatMasker 1.0.8 (20) masking coordinates. 22,065 contigs were eliminated that were less than 1kb, had at least

112 90% query coverage, and lacked a single unique mapping RNA-seq read, leaving 35 pseudomolecules, 457 contigs,

and a mitochondrial genome.

114 The assembly was polished with Pilon 1.23 (26) using CCS PacBio reads and paired end Illumina DNA-seq. CCS

PacBio reads were created from the PacBio subreads using bax2bam (27) and Bamtools 2.5.1 (28) and then aligned

using Minimap 2.6 (29). Paired end reads were aligned using Hisat2 2.0.5 (30), followed by bam conversion and

117 sorting with Samtools 1.9 (31). Due to uneven and excessive coverage in repetitive regions, paired end alignments

were set at a max coverage of 30x using jvarkit (32). Due to the excessive repetitiveness of Chromosome_14,

119 50Mbp of this chromosome was not polished.

120 After polishing, another round of small contig elimination was performed by merging RepeatMasker (20)

121 coordinates and coordinates from BLAST+ 2.9 (24) (score >300, width 1000bp) to the pseudomolecules with

122 Bedtools 2.25.0 (25). If 90% of query length was repetitive and contained within the pseudomolecules, it was

eliminated. BlobTools 1.11 (33) was run with PacBio subread alignments to the genome, and contigs annotated

124 with BLAST (24) to the NT database(Supplemental Figure 1). All scaffolds passed contamination screening, resulting

in a final assembly containing 35 pseudomolecules, 151 contigs, and the mitochondria.

126 Mitochondrial identification and annotation

- 127 BLAST+ 2.9 (24) was used to identify the mitochondrial genome by querying the mitochondrial scaffold of the C.
- 128 *elaphus hippelaphus* GCA_002197005.1(23). Though the mitochondrial genome was identified, it contained three
- juxtaposed mitochondrial genome duplications. The scaffold was manually corrected with Samtools 1.9 (31). Genes
- 130 were annotated in the mitochondrial genome using the Mitos2 webserver (34) with RefSeq 89 Metazoa, a genetic
- 131 code of 2, and default settings.

132 Repeat prediction

- 133 A final version of predicted repeats was obtained using EDTA 1.7.9 (35) and RepeatModeler 1.0.8 (19) with
- 134 RepeatMasker 4.1.0(20).

135 Gene prediction

136 A total of 753,228,475 RNA-seq reads aligned to the genome using Hisat2 2.0.5 (30) followed by bam conversion 137 and sorting with Samtools 1.9 (31). RNA-seq read counts were obtained using Subread 1.5.2 (36). The alignments 138 were assembled into genome-guided transcriptomes using Trinity 2.8.4 (37-39), Strawberry 1.1.1 (40), Stringtie 139 1.3.3b (41, 42), and Class2 2.1.7(43). The RNA-seq alignments were also used for a gene prediction via Braker2 140 2.1.4 (44) with Augustus 3.3.3 (45) on a genome soft-masked by RepeatMasker 1.0.8 (20) with a RepeatModeler 141 4.0.7 (19) library. High confidence exon splicing junctions were identified using Portcullis 1.1.2 (46). Each of these 142 assemblies were then supplied to Mikado 2.0rc6 (47) to pick consensus transcripts, while utilizing Cervus-specific 143 proteins from Uniprot (48) (downloaded 12-28-19). This mikado prediction was filtered for transposable elements 144 using Bedtools 2.25.0 intersect (25) and filtered for pseudogenes via removing genes with five or fewer mapping 145 RNA-seq reads. Using Bedtools 2.25.0 (25) intersect these filtered Mikado gene models were used to find 146 corresponding Braker2 2.1.4 (44) gene models. Both of these predictions, together with a Genomethreader 1.7.1 147 (49) alignment of Uniprot proteins from the Pecora infraorder (downloaded 02-07-20) were used for a final round 148 of Mikado gene prediction. The predicted transcripts and proteins were generated using Cufflinks (50) gffread 149 (2.2.1), and subjected to functional annotation to: Interproscan 5.27-66.0 (51, 52) and BLAST (24) searches to NCBI

- 150 NT and NR databases downloaded on 10-23-19, as well as Swissprot/Uniprot databases downloaded on
- 151 12/09/2019.
- 152 BUSCO
- 153 Universal single copy orthologs were assessed using BUSCO 4.0 (53, 54), with the eukaryota_odb10 and
- 154 cetartiodactyla_odb10 datasets in both genome and protein mode.
- 155 Synteny
- 156 With the predicted proteins from *B. taurus* (GCF_002263795.1_ARS-UCD1.2) (55), *C. elaphus* (GCA_002197005.1)
- 157 (23) and *C. canadensis* genome assemblies, we inferred gene orthology using BLASTp (24) at cutoffs of an e-value
- 158 of 1e-5, 50% query cover, and 70% identity. Gene-based synteny was predicted using iAdHoRe 3.0.01 [81] with
- prob_cutoff=0.001, level 2 multiplicons only, gap_size=5, cluster_gap=15, q_value=0.01, and a minimum of 3
- anchor points. Synteny figures were produced using Circos (0.69.2) [82]. Dot plots were produced using MCScanX
- 161 20170403 (56).
- 162 Identification of Immune System-related Genes
- 163 Immune system-related genes from *Bos taurus* were found in the GENE-DB database of the International
- 164 ImMunoGeneTics website (www.imgt.org) (57). This database is comprised of immunoglobulins (IG), T cell
- receptors (TR) and major histocompatibility (MH) genes from vertebrate species. A tblastn (2.9.0+) was performed
- against the elk and cattle genome assembiles, with an e-value cutoff of 1e-3.

167 Results and Discussion

- Here we presented the first pseudomolecule assembly of the *C. canadensis*, generated with 1.7 trillion base pairs
 of sequencing at a 686-fold coverage of the genome.
- 171
- 172 Genome Assembly

173 An initial assembly was created with MaSuRCA (15, 58) generating 23,302 contigs, an L90 of 2,500 contigs, and an 174 N90 of 197,963bp. Through collaboration with Dovetail Genomics and then additional implementation of the 175 Juicer/JuiceBox/3D-DNA pipeline(16, 17, 22), we generated an assembly of 33 autosomes, an X chromosome, a Y 176 chromosome, a mitochondrial genome, and 151 unincorporated contigs. We utilized synteny to identify 177 homologous chromosomes between elk and red deer, and found that nearly always, elk chromosome sizes fell 178 within the estimated size of the red deer's assembled chromosomes (23) (Supplemental Table 1). The only 179 exception is the Y chromosome, which was nearly twice (7.6 Mb) the largest predicted size (4 Mb) of the red deer 180 chromosome. We investigated all putative contaminant contigs from Blobtools (33), and ruled out contamination 181 (Supplemental Figure 1), but also took additional steps to ensure the completeness of the genome by mapping 182 reads back to the assembly. We found that we captured the majority of genome, with 90.7% and 87.3% of PacBio 183 CCS reads Illumina DNA-seq aligning to the genome (Supplemental Table 2). To evaluate the completeness of the 184 genome we ran BUSCO 4.0.2 (54) (Benchmarking Universal Single Copy Orthologs) on genome. Of the possible 255 185 and 13335 genes in the eukaryota and certartiodactyla odb10 datasets, 62% and 88.1% were complete, 2.4% and 186 2.1% were duplicated, and 3.1% and 2.1% were fragmented, and 32.5% and 9.8% were missing, respectively.

187

188 Genome Annotation

189 To obtain a high-quality elk gene prediction, we pursued an extensive annotation of repeats in the genome using 190 two repeat predictors. While EDTA (35) utilizes a comprehensive set of repeat prediction programs to create a 191 repeat annotation, Repeatmodeler/Repeatmasker (19, 20) is a long-standing and comparable annotator of repeats 192 that is more reliant on copy number. With EDTA, 25.8% of the genome was marked repetitive, with DNA 193 transposons comprised the largest percentage of repeats in the genome, at 16% (Supplemental Table 3). In 194 contrast, RepeatMasker assessed 36.5% of the genome as an interspersed repeat, with 28.8% of the genome being 195 comprised LINE retrotransposons. We merged these repeat annotations with BEDTools (25) to reveal that 38% of 196 the genome is repetitive. This is in contrast to the repetitive content in red deer, estimated at 22.7%. This 197 difference could be due to technological improvements and could stem from the large proportion of gaps in the

red deer genome (1.5Gbp) (23). While together these differences could account for a large disparity in
chromosome sizes, only the elk Y chromosome was outside the gapped and sequence length range in red deer
chromosomes (23).

201 To annotate the genes in the genome we generated 1.5 billion paired end reads of sequencing from six tissues, 202 including kidney, lung, mesenteric lymph node, muscle, prescapular lymph node, and spleen. After masking repeat 203 sequences using Repeatmodeler (19) and Repeatmaker (20), we performed five de novo transcript/gene 204 predictions with a soft-masked genome and RNA-seq. The best transcripts were discerned using Mikado(47), 205 followed by clustering with Cufflinks (50) using B. taurus mRNAs to cluster transcripts into gene loci. Using this 206 approach 18,013 genes were predicted to encode 33,433 mRNAs (Supplemental Table 4). The functional 207 annotations of these genes were extremely high, with 17,938 of the 18,013 genes or 99.6% being annotated by at 208 least one of: Interproscan or BLAST to NR, NT, and Uniprot (Supplemental Table 5). The gene annotation was 209 evaluated for completeness with BUSCO in protein mode. A remarkable "Complete" score improvement is seen in 210 both eukaryota and cetartiodactyla at 97.7% and 92.1%, respectively. These results together suggest that both the 211 genome and the gene prediction are of high quality.

212 Comparison to Related Species

213 By utilizing these new gene predictions we evaluated the conservation of chromosome structure between *C*.

214 *canadensis, C. elaphus hippelaphus, and B. taurus* using gene-based synteny with i-ADHoRe (59). All elk

215 chromosomes were syntenic with all C. elaphus and B. taurus chromosomes, though chromosome Y lacked the

216 genes required for gene-based synteny (Figure 1, Table 1). As has been seen in previous Cervus assemblies (23),

217 multiple pairs of chromosomes are tandemly fused in *B. taurus* and vise-versa (Table 2). We confirmed previous

218 reports of chromosome fusions and fissions indicated that twelve cervus chromosomes fused into six in *B. taurus*,

as well as four chromosomes in *B. taurus* are fused into two cervus chromosomes (Table 2).

220 Two inter-chromosomal translocations were inferred between the two Cervus species, both having strong HiC

support in Elk (Figure 1, Table 3). Chromosome_15 and Chromosome_24 of elk, comprised large portions of

222 Ce_Chr_33 and a minor portion of *C. elaphus* Ce_Chr_8. With the majority of Chromosome_24 homologous to *C.*

223 elaphus hippelaphus Ce Chr 8, a 17 MB region of Ce Chr 33 may have been falsely attached to Ce Chr 8 in C. 224 elaphus hippelaphus. Another smaller chromosome translocation of 13.6 MB occurred between Ce Chr 22 and 225 Ce Chr 3 of C. elaphus, attributed to chromosomes 21 and 25 in C. canadensis. A small region of Ce Chr 22 was 226 likely falsely attached to Ce Chr 3 in C. elaphus hippelaphus. Interestingly, both of these translocations are 227 between chromosomes in elk that are fused chromosomes in B. taurus, Bt_Chr_2 and Bt_Chr_5 (table). While it is 228 possible that these translocations occurred since the divergence of these two species, because the *B. taurus* 229 assembly was used to orient and join scaffolds in the C. elaphus hippelaphus genome assembly, it is likely that 230 these translocations are misassemblies in the C. elaphus hippelaphus genome. Immune Gene Loss 231

232 Nine *Bos taurus* immune genes were identified from the IMGT GENE-DB database (57) that did not align to the Elk

233 genome: AY644518_TRGJ1, KT723008_IGHJ2, AC172685_IGHA, IMGT000049_TRDC, D16120_TRGJ2,

234 AY149283_IGHJ1, AY2277782_TRAJ31, AY644517_TRG and NW_001494075_IGHJ1. These genes are all

components of the T cell receptor: (gamma joining 1), (gamma joining 2), (alpha joining 31), and (delta constant) or

of the Immunoglobulin complex: (heavy joining 1), (heavy joining 2) and (heavy constant alpha). Interestingly,

seven of the nine genes are located at the very end of chromosomes 01 and 02 in *Bos taurus* (Table 4). *B. taurus*

chromosomes 01 and 02 have split in *C. canadensis* into chromosomes 7 and 27, and chromosomes 24 and 15,

239 respectively (Table 2) suggesting a possible mechanism for loss of these genes through large chromosomal

240 rearrangements and fission. Future work will be required to investigate how the loss of these genes affects cellular

immune response in elk, yet this may provide the foundation necessary to develop long-term immunity to Brucella.

242 Conclusions

243

This genome assembly and annotation of the Rocky Mountain elk is the most contiguous assembly of a Cervus
species and will serve as an important tool for genomic exploration of all related Cervids. Elk's loss of immune
system-related genes in relation to cattle, may provide a clue to establishing a successful vaccination strategy. This

- 247 chromosomal assembly of the elk genome will provide an excellent resource for investigating genes involved in
- 248 elk's poor adaptive cellular immune response to Brucella vaccines.

249

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253 Author contributions

- 254 Conceptualization SO; data curation REM, AS; formal analysis REM, AZ, AS, funding acquisition SO;
- investigations DA, DOB, PB, WE, FT, JWM, JWW; methodology REM, AZ, AS; resources -- AS, software REM,
- AZ, AS; supervision -- SO, validation -- REM, AS; visualization -- REM, writing -- REM, AS; review and editing -- REM,
- 257 DA,WE, AS, SO
- 258 Data Availability: The Rocky Mountain elk genome has been deposited at GenBank accession and associated
- sequencing reads to the NCBI SRA database under BioProject PRJNA657053. All programs and scripts are available
- at https://github.com/ISUgenomics/elk_genomics.
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- 264

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397 Figure 1. Synteny and HiC plot of Elk chromosomes. A. Gene-based synteny between *C. elaphus hippelaphus* and

398 *C. canadensis*. B. HiC plot of elk chromosomes in JuiceBox. C. Gene-based synteny between *B. taurus* and *C.*

399 canadensis.

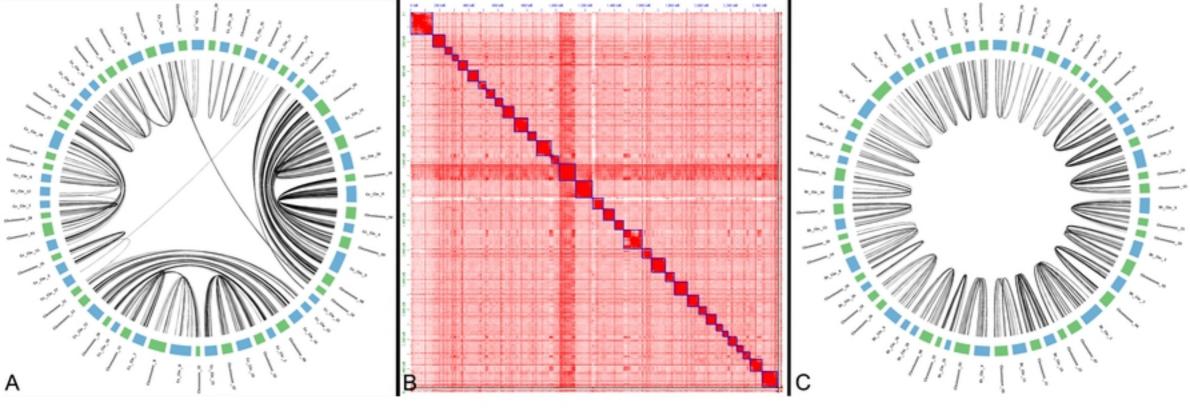


Figure 1