1	Assessment of Software Methods for Estimating Protein-Protein
2	<b>Relative Binding Affinities</b>
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## 24 Abstract

A growing number of computational tools have been developed to accurately and rapidly predict 25 26 the impact of amino acid mutations on protein-protein relative binding affinities. Such tools have 27 many applications, for example, designing new drugs and studying evolutionary mechanisms. In the search for accuracy, many of these methods employ expensive vet rigorous molecular 28 29 dynamics simulations. By contrast, non-rigorous methods use less exhaustive statistical 30 mechanics, allowing for more efficient calculations. However, it is unclear if such methods retain 31 enough accuracy to replace rigorous methods in binding affinity calculations. This trade-off 32 between accuracy and computational expense makes it difficult to determine the best method for 33 a particular system or study. Here, eight non-rigorous computational methods were assessed using eight antibody-antigen and eight non-antibody-antigen complexes for their ability to accurately 34 predict relative binding affinities ( $\Delta\Delta G$ ) for 654 single mutations. In addition to assessing 35 accuracy, we analyzed the CPU cost and performance for each method using a variety of physico-36 37 chemical structural features. This allowed us to posit scenarios in which each method may be best 38 utilized. Most methods performed worse when applied to antibody-antigen complexes compared 39 to non-antibody-antigen complexes. Rosetta-based JayZ and EasyE methods classified mutations 40 as destabilizing ( $\Delta\Delta G < -0.5$  kcal/mol) with high (83-98%) accuracy and a relatively low 41 computational cost for non-antibody-antigen complexes. Some of the most accurate results for 42 antibody-antigen systems came from combining molecular dynamics with FoldX with a 43 correlation coefficient (r) of 0.46, but this was also the most computationally expensive method. 44 Overall, our results suggest these methods can be used to quickly and accurately predict stabilizing 45 versus destabilizing mutations but are less accurate at predicting actual binding affinities. This study highlights the need for continued development of reliable, accessible, and reproducible 46

- 47 methods for predicting binding affinities in antibody-antigen proteins and provides a recipe for
- 48 using current methods.

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# 70 Introduction

71 Protein-protein binding is an essential physiological event that governs a large number of 72 biological processes in the cell [1]. Amino acid mutations of these proteins can introduce diversity 73 into genomes, and disrupt or modulate protein-protein interactions by changing the underlying binding free energy ( $\Delta G$ , i.e. binding affinity), the amount of energy required to form protein 74 75 complexes [2]. The binding free energy associated with a protein-protein complex determines the 76 stability of the complex formation and the conditions for protein-protein association. Accurate 77 prediction of binding free energies allows us to understand how these affinities can be modified. 78 and leads to a more comprehensive understanding of protein interactions in living organisms [3].

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Experimental biophysical methods can quantitatively measure change in the protein-protein 80 81 binding free energy due to a mutation (i.e. relative binding affinity,  $\Delta\Delta G$ ), but these methods are 82 typically costly, laborious, and time-consuming since all mutant proteins must be expressed and 83 purified. Many researchers have developed and utilized computational methods to predict  $\Delta\Delta G$ 84 values for single- or multiple-amino acid mutations (see e.g. [4-6]). Historically, the most promising in terms of accuracy are rigorous methods based on statistical mechanics that use 85 86 molecular dynamics (MD) simulations and thus automatically address conformational flexibility 87 and entropic effects [7, 8]. However, these methods are computationally expensive since they employ rigorous sampling and utilize classical mechanics [9] or quantum mechanics [10] 88 89 approximations of intermolecular interactions, and require a large number of calculations per time-90 step. Because of the expense, rigorous methods are not well-suited to studying large sets of 91 mutations or large proteins thus necessitating less expensive, non-rigorous methods.

93 Non-rigorous high-throughput methods attempt to lower the computational cost, as compared to 94 rigorous methods, while still providing accurate  $\Delta\Delta G$  predictions. They accomplish this by 95 including precalculated physico-chemical structural information in combination with predictive 96 algorithms. The core mechanics that drive these methods fall under numerous classification 97 umbrellas which have been covered by review articles [11, 12]. These review articles provide a 98 broad overview but do not provide an unbiased, rigorous, comparative analysis outside of what the 99 original developers provide. The developers of any given method tend to provide comparisons 100 with other methods of the same general class to define where their method fits in the current 101 landscape. BindProfX, for example, is available as a web server and standalone and utilizes 102 structure-based interface profiles with pseudo counts. Upon release, it was most notably compared 103 to FoldX (a semi-empirical trained method [13]) and DCOMPLEX (a physics-based method [14]) 104 [15, 16]. iSEE, a statistically trained method based on 31 structure, evolution, and energy-based 105 terms was tested against FoldX, BindProfX, and BeAtMuSiC (a machine learning-based approach 106 [17]). Mutabind [18] and some other methods not explored in this work follow a similar testing 107 methodology [19-21]. While these comparisons are beneficial in providing context for how a given 108 model fits in the existing research landscape, they are not very robust, since only a narrow subset 109 of methodologies are included. Conversely for folding stability, Kroncke et al. compared a large 110 number of available software methods on a small dataset of transmembrane proteins providing a 111 general overview of performance [6]. Despite the narrow dataset, this study provides a diverse, 112 useful collection of evaluation metrics between multiple classes of methods. Our intent in this 113 study is to provide a similar robust comparison of methods for non-rigorous binding affinity 114 estimation.

In this work, we evaluate the ability of eight non-rigorous methods to predict relative binding affinities due to single amino acid mutations. We restrict our study to cases where both an experimental structure of the complex, and experimentally determined binding affinity values are available. To investigate the trade-off between speed and accuracy, we chose 16 protein-protein test complexes with empirical  $\Delta\Delta G$  values for observed mutations. We calculated the  $\Delta\Delta G$  values for each mutation using all eight methods and compared the results against empirical  $\Delta\Delta G$  values. The goal of this study was to determine whether software methods that use (most costly) energy functions with a wider variety of physico-chemical structural features would provide more accurate binding affinity and interface destabilization predictions compared to those that rely on a single descriptive (less costly) energy function. We have determined scenarios in which some of these methods may be better or worse than traditional computational methods in predicting  $\Delta\Delta G$ values. 

## 139 Methods

### 140 Compilation of Experimental ΔΔG Values

To assess the performance of a range of protein-protein binding affinity prediction methods, we 141 142 first assembled a dataset containing single amino acid mutations with known experimental  $\Delta\Delta G$ 143 values. This list was assembled from Structural Kinetic and Energetic database of Mutant Protein 144 Interaction (SKEMPI) version 2.0 [22]. While generating this list, we considered four aspects: (i) 145 type of protein-protein complex; (ii) availability of quality 3-D structural information; (iii) range 146 of experimental  $\Delta\Delta G$  values; and (iv) the type of mutations at differing sites on the complex. Our 147 final dataset contained 654 mutations from 16 protein-protein complexes and their respective 148 experimental  $\Delta\Delta G$  values. We further categorized these 16 complexes as either non-antibody-149 antigen (non-Ab) or antibody-antigen (Ab). Table 1 shows the complexes in our dataset with their 150 respective non-Ab and Ab categories and the number of mutations associated with each complex.

151	The dataset	contains a	total of 401	non-Ab mutatio	ons and 253 Ab mutations.
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	Non-Ab		Ab			
PDB ID	# Mutations	# Residues	PDB ID	# Mutations	# Residues	
1a4y [23]	32	583	1bj1[24]	10	547	
1brs [25]	30	199	1jrh [26]	42	540	
1cbw [27]	31	299	1mlc [28]	11	561	
1iar [29]	36	336	1vfb [30]	48	352	
1jtg [31]	37	428	1yy9 [32]	16	1058	
11fd [33]	19	254	2jel [34]	43	520	
1ppf [35]	190	274	3hfm [36]	71	558	
2wpt [37]	26	220	4i77 [38]	12	549	

152 Table 1. Dataset used in our study containing 16 protein complexes. For both non-Ab (left)

and Ab (right) categories, columns show PDB IDs, total number of residues in a complex, and
 number of experimental mutants per complex.

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### 156 Selection of Protein-Protein Binding Affinity Methods

157 Binding affinity prediction methods were chosen to have both a distinct approach to binding 158 affinity calculation that utilized 3-D structural information and had functional standalone software 159 in September 2020, available either online or upon request to the author. Table 2 summarizes the 160 methods selected in this study, their approaches, and their type of scoring functions. For simplicity, 161 we categorized scoring functions (mathematical functions to calculate  $\Delta\Delta G$  values) as semi-162 empirical, statistical, or physics-based. Semi-empirical methods replace as many calculations as 163 possible with pre-calculated data and are trained using existing crystal structures and known 164 binding affinity measurements for mutations [39]. Statistical methods use pre-calculated data and 165 consider changes in coarse structural features such as the change in overall volume [40]. Physics-166 based methods use molecular mechanics based-energy functions to estimate enthalpic binding 167 contributions [14]. In general, statistical or semi-empirical scoring functions involve a training step 168 where existing datasets are leveraged to determine the weight of input parameters. MD, JayZ, and 169 EasyE were not developed by training the methods against experimental data designed to improve 170 predictive power while all other methods utilized this step.

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Name	Brief Description	Scoring Function	Runtime (CPU hours)
BindProfX [15, 16]	Interface profile score based on conservation of homologous interfaces	Semi-Empirical	1ppf = 0.57 CPUh 1yy9 = 0.73 CPUh
BindProfX(BPX)+FoldX v4 [15, 16]	Profile score weighted and combined with FoldX energy potential	Semi-Empirical	1ppf = 0.62 CPUh 1yy9 = 0.71 CPUh
iSEE [41]	Random forest model using structural, evolutionary, and energy-based features	Statistical	1ppf < 0.01 CPUh 1yy9 < 0.01 CPUh
DCOMPLEX v2 [14]	Structural ideal-gas reference state potential	Physics-Based	1ppf = 0.013 CPUh 1yy9 = 0.001 CPUh
EasyE v1.0 [40, 42]	GMEC-based method utilizing the Rosetta [43, 44] energy function	Statistical	1ppf = 0.48 CPUh 1yy9 = 0.09 CPUh
JayZ v1.0 [40, 42]	Partition-function method utilizing Rosetta energy function	Statistical	1ppf = 0.14 CPUh 1yy9 = 0.21 CPUh
FoldX v4 [13, 39]	Empirical energy score based on various energy parameters (e.g. van der Waals, solvation, electrostatics, hydrogen bonding)	Semi-Empirical	1ppf = 0.42 CPUh 1yy9 = 0.16 CPUh
MD+FoldX v4 [45-47]	Molecular dynamics used to explore conformation space and generate snapshots; FoldX score calculated for each snapshot and averaged	Semi-Empirical	1ppf = 941 CPUh 1yy9 = 4093 CPUh

178Table 2. Methods used for comparison in study with a short summary of their approach and179scoring function. Columns (left to right) indicate the method, a brief description of the method,180the type of scoring function used, and runtimes. Runtimes are the amount of CPU hours for181estimating the  $\Delta\Delta G$  for a representative protein complex for Ab (1yy9, 1058 residues) and Non-182Ab (1ppf, 274 residues) categories. Although 1yy9 is roughly four times bigger than 1ppf, the total183runtime may or may not be affected depending on the method used.

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## 185 Calculation and Comparison of Computational Speed

186 The methods in Table 2 were used to predict  $\Delta\Delta G$  values for each mutation on our experimental

- 187 list shown in Table 1. Detailed protocols for predicting  $\Delta\Delta G$  values using each selected method
- 188 are provided in the Supplemental Information (see S1 File). Runtimes were determined by using a
- representative protein complex from each category: 1ppf, a non-Ab complex with 274 total amino

190 acids, and 1yy9, an Ab complex with 1058 total amino acids (see Table 2). These runtimes are 191 estimates provided to give a point of comparison between the speeds of different methods. Specific 192 runtimes will be determined by hardware specifications, method parameters, the number of 193 mutations being computed, and overall protein size. For MD+FoldX, computational runtime was 194 the length of time of the MD simulation plus the FoldX runtime for a single mutation. Reporting 195 runtime in this fashion highlights the large CPUh requirement needed in order to add the sampling 196 of MD into FoldX calculations. We note that, in contrast to the other methods tested here, the MD 197 simulations that must be performed for MD+FoldX can be completed very quickly on modern 198 GPUs, significantly offsetting the high initial cost of the MD+FoldX method. For all other 199 methods, the algorithms rely either on various pre-calculated data or limited conformational 200 sampling to calculate  $\Delta\Delta G$  values rapidly.

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#### **202** Comparing Experimental and Predicted ΔΔG Values

To carry out statistical analysis of our results we built an in-house Python script (see S2 File) that uses a combination of libraries including matplotlib, numpy, pandas, statistics, scipy, and sklearn. Using this script, we compared predicted values to experimental  $\Delta\Delta G$  values for each method.

To evaluate the predictive ability of each method tested, we compared the following correlation coefficients using our script: concordance ( $\rho_c$ ), Pearson (r), Kendall ( $\tau$ ), and Spearman ( $\rho$ ) (see Table 3). We distinguish between methods that were trained to predict  $\Delta\Delta G$  values from methods that compute metrics that are expected to linearly correlate with  $\Delta\Delta G$  values. This distinction is important since for optimal performance we expect a regression line that passes through the coordinate origin and has a slope of 1, leading to a correlation coefficient equal to 1.

Correlation	Brief Description	Туре
Concordance	The concordance correlation coefficient ( $\rho_c$ ) measures the degree to which the predicted $\Delta\Delta G$ value equals the actual experimental value (0 indicates no agreement and 1 perfect agreement).	Linear
Pearson	The Pearson correlation coefficient ( <i>r</i> ) measures the degree to which a uniform linear transformation of the predicted $\Delta\Delta G$ values (i.e., a shift and scale change) would yield the actual experimental values (0 indicates no agreement after transformation, 1 perfect agreement, and -1 perfect inverse agreement).	Linear
Kendall and Spearman	The rank correlation coefficient measures the degree to which the rank ordering of the predicted $\Delta\Delta G$ values matches the rank ordering of the actual experimental values (0 indicates no agreement after transformation, 1 perfect agreement, and -1 perfect inverse agreement). In a normal case, the Kendall correlation ( $\tau$ ) is considered more robust than the Spearman correlation ( $\rho$ ) because of a smaller gross error sensitivity and more efficient due to a smaller asymptotic variance [48].	Rank
AUC and ROC	The receiver operating characteristic (ROC) curve tests several cutoff values for binning mutations as neutral or destabilizing between the most negative calculated $\Delta\Delta G$ value and the most positive calculated $\Delta\Delta G$ value, with true positive rates (sensitivity) calculated at each point. As the true positive rate is calculated, the classifier is moved to less extreme values; this yields the ROC curve. The area under curve (AUC) is a summary statistic that approximates how well the predictor actually discriminates between the two classifications.	N/A

## 214 **Table 3. Statistical measures used to test the performance of each method in predicting** $\Delta\Delta G$ 215 **values**.

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and 0.5 for perfect and chance classification, respectively).

To compare the discriminating power of the methods, we generated receiver operating characteristic (ROC) curves (see Table 3). These curves quantify the ability of a method to correctly classify point mutations as destabilizing ( $\Delta\Delta G < -0.5$  kcal/mol) or neutral/stabilizing ( $\Delta\Delta G > -0.5$  kcal/mol). ROC curves that are skewed toward a higher true positive rate (sensitivity) classify mutations more accurately, as quantified by area under curve (AUC, ranging between 1.0

224	We also used our script to parse the results on the basis of several physico-chemical and structural
225	features to allow us to evaluate the methods based on these characteristics: wild type amino acid
226	type, mutant amino acid type, protein-protein interacting versus antibody-antigen, secondary
227	structure classification of the mutation [49, 50], coordination number [51], Sneath index [52],
228	mostly $\alpha$ -helical proteins versus mostly $\beta$ -sheet proteins versus a mix of both $\alpha$ -helical and $\beta$ -sheet
229	proteins, percent exposure, location of the mutation, change in charge, change in polarity, change
230	in volume, and whether or not the mutation location is predicted as an active or passive residue
231	[53-55]. The script uses data from S3 File as an input and outputs scatter plots, correlation plots,
232	receiver operating characteristic (ROC) curves, and box plots to visualize the data, as well as
233	correlations and standard deviations for each method. All plots in this manuscript were generated
234	using this script.
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## 247 **Results**

The purpose of our study was to assess the ability of eight different relative binding affinity calculation methods (see Table 2) to estimate  $\Delta\Delta G$  values. We selected 16 different protein complexes (eight Ab, eight non-Ab, see Table 1) with a total of 654 single amino acid mutations. Each method was then used to estimate  $\Delta\Delta G$  values of 654 mutations and a variety of statistical measures were employed to assess their predictive ability. We also examined the computational speed of each method in the context of accuracy to determine its efficiency.

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#### 255 Non-Antibody-Antigen (non-Ab) Results

Our dataset of eight non-Ab test protein complexes contains 401 total mutations and are mainly classified as protein-protein systems formed by inhibitors and receptors that range from 199 to 583 residues in size. The distribution and our classification of experimental  $\Delta\Delta G$  values for all non-Ab test complexes is as follows: 13% of point mutations resulted in  $\Delta\Delta G$  values less than -0.5 kcal/mol (classified as destabilizing); 31% between -0.5 and 0.5 kcal/mol (neutral); and 56% greater than 0.5 kcal/mol (stabilizing).

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Figures 1 (blue data points and values) and 2 show various performance metrics for each method to assess their ability to predict the non-Ab  $\Delta\Delta G$  values. Overall, EasyE has the highest correlation coefficient, r = 0.62, and iSEE has the lowest, r = 0.17 (see Figures 1 and 2). JayZ and EasyE, both of which utilize Rosetta's conformational sampling algorithms, consistently have the best rvalues for non-Ab mutations.

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270 Figure 1. Calculated  $\Delta\Delta G$  values (x-axis) compared to experimental  $\Delta\Delta G$  values (y-axis) for 271 each method tested in this study. Black, red, and blue lines are simple linear regressions from 272 which r are derived. The red points are a scatter for Ab complexes and the blue points are for non-273 Ab complexes. The dashed line is the y = x line measuring perfect agreement between predicted 274 and experimental  $\Delta\Delta G$  values. The solid black, red, and blue lines indicate a linear relationship 275 between calculated and experimental observations for all data points, Ab complexes, and non-Ab 276 complexes respectively. The top values in black, red, and blue match the root-mean-square error 277 and the bottom values indicate r for all values, Ab values, and non-Ab values respectively.

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Figure 2. Performance of each method for non-Ab complexes (401 total mutations) in predicting true  $\Delta\Delta G$  values ( $\rho_c$ ), linearly correlated  $\Delta\Delta G$  values (r), and rank order ( $\rho$  and  $\tau$ ). The error for each method is reported under the correlation points.

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Figure 3 shows the ROC plot for all the tested methods. These ROC plots highlight how well a

285 method can discriminate between stabilizing and destabilizing mutations. JayZ (0.84), EasyE

286 (0.83), DCOMPLEX (0.82), FoldX (0.79), and MD+FoldX (0.76) have the highest AUC.

287 Combined with the results from Figures 1 and 2, for the systems studied here, JayZ and EasyE

288 methods are the best overall performers in terms of accuracy, discriminating stabilizing mutations

from destabilizing, and ranking mutations based on their experimental  $\Delta\Delta G$  values.

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Figure 3. Receiver operating characteristic (ROC) curves for non-Ab complexes of the classification of variants as stabilizing ( $\Delta\Delta G < -0.5$  kcal/mol) or destabilizing ( $\Delta\Delta G > 0.5$ kcal/mol). The values in the legend represent the area-under-curve (AUC). The higher the value, the better method is at discriminating between destabilizing and destabilizing mutations.

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Table 2 reports CPUh required (i.e. runtimes) for each method to calculate  $\Delta\Delta G$  for the entire list of mutations for a representative non-Ab protein complex. BindProfX, BindProfX(BPX)+FoldX, JayZ, and EasyE allow users to specify a list of mutations that the method is then able to calculate in one setting. This list can be optimized based on the available hardware to achieve efficiency. 301 iSEE requires significant preparatory work (see File S1) prior to calculation, but once completed, 302 it calculates the  $\Delta\Delta G$  values for the entire list of mutations nearly instantly. DCOMPLEX is not 303 as flexible out of the box but can handle large numbers of mutations through an automated script. 304 For MD+FoldX, 1yy9 (roughly four times larger than 1ppf) requires considerably more CPUh to 305 calculate. All other methods calculate 1yy9 in a shorter time frame than 1ppf. This may seem 306 counterintuitive. However, MD must statistically sample the conformational energy of the entire 307 complex, while all other methods use algorithms that are likely impacted more by the number of 308 residues involved in the interaction rather than the protein size. Overall, DCOMPLEX has a much 309 faster runtime compared to other methods, and if the goal is to determine stabilizing and 310 destabilizing non-Ab mutations, it offers similar discriminating power to JayZ and EasyE, at a 311 fraction of the computational cost. JayZ estimates  $\Delta\Delta G$  value of one mutation in ~2.7 s, EasyE in 312  $\sim 9.1$  s, but DCOMPLEX requires just  $\sim 0.25$  s. Overall, EasyE appears to be the best option for 313 balancing accuracy and speed and DCOMPLEX is recommended for discriminating between 314 stability and destabilizing mutations.

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316 A method might not be a good overall performer in predicting  $\Delta\Delta G$  values but could still perform 317 well for mutations with certain physico-chemical and structural features. Therefore, we calculated 318 various statistical measures to assess each method on unique subsets of mutations (see Table 4 and 319 SI Figs S1-4). This table shows eight different data subsets with two r per method. EasyE has the 320 highest r for non-Ab for five out of eight subsets (wild type non-gly or non-pro, alpha helix, beta 321 sheet, surface exposure, and large volume changes). Where this method did not have the highest 322 r, it had either the second or third highest r. JayZ mirrors the performance of EasyE in all the same 323 categories and performs better than Easy in the neutral charge subset. These results further

highlight the versatility of EasyE's and JayZ's performance in estimating the effects of non-Ab
mutations compared to the other methods tested in this study. All methods apart from iSEE and
BindProfX perform surprisingly well in the WT Gly or Pro subset. iSEE's performance in this
subset, while still mediocre compared to the other tested methods, is substantially better than in all
other subsets.

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Method	WT Gly or	WT Non-Gly	Alpha Helix	Beta Sheet	Surface	Neutral	Hydrophobic	Large Vol
	Pro	or Non-Pro	-		Exposure	Charge	to Polar	Changes
BindProfX	Non-Ab: 0.11	Non-Ab: 0.33	Non-Ab: 0.29	Non-Ab: 0.29	Non-Ab: 0.22	Non-Ab: 0.37	Non-Ab: 0.33	Non-Ab: 0.13
	Ab: -0.03	Ab: 0.23	Ab: 0.16	Ab: 0.52	Ab: 0.09	Ab: 0.28	Ab: 0.17	Ab: 0.42
BPX+FoldX	Non-Ab: 0.81	Non-Ab: 0.45	Non-Ab: 0.43	Non-Ab: 0.43	Non-Ab: 0.32	Non-Ab: 0.52	Non-Ab: 0.41	Non-Ab: 0.71
	Ab: 0.09	Ab: 0.34	Ab: 0.39	Ab: 0.54	Ab: 0.21	Ab: 0.41	Ab: 0.26	Ab: 0.50
FoldX	Non-Ab: 0.85	Non-Ab: 0.45	Non-Ab: 0.39	Non-Ab: 0.39	Non-Ab: 0.50	Non-Ab: 0.42	Non-Ab: 0.41	Non-Ab: 0.63
	Ab: -0.11	Ab: 0.25	Ab: 0.25	Ab: 0.31	Ab: 0.26	Ab: 0.41	Ab: 0.11	Ab: -0.32
MD+FoldX	Non-Ab: 0.83	Non-Ab: 0.49	Non-Ab: 0.44	Non-Ab: 0.44	Non-Ab: 0.47	Non-Ab: 0.46	Non-Ab: 0.46	Non-Ab: 0.71
	Ab: 0.71	Ab: 0.42	Ab: 0.54	Ab: 0.49	Ab: 0.35	Ab: 0.46	Ab: 0.31	Ab: 0.35
DCOMPLEX	Non-Ab: 0.65	Non-Ab: 0.34	Non-Ab: 0.33	Non-Ab: 0.33	Non-Ab: 0.52	Non-Ab: 0.36	Non-Ab: 0.38	Non-Ab: 0.62
	Ab: 0.89	Ab: 0.37	Ab: 0.31	Ab: 0.30	Ab: 0.27	Ab: 0.56	Ab: 0.16	Ab: 0.28
JayZ	Non-Ab: 0.80	Non-Ab: 0.49	Non-Ab: 0.44	Non-Ab: 0.44	Non-Ab: 0.59	Non-Ab: 0.62	Non-Ab: 0.41	Non-Ab: 0.83
	Ab: 0.54	Ab: 0.24	Ab: -0.06	Ab: 0.16	Ab: 0.36	Ab: 0.26	Ab: 0.01	Ab: 0.19
EasyE	Non-Ab: 0.80	Non-Ab: 0.51	Non-Ab: 0.51	Non-Ab: 0.51	Non-Ab: 0.60	Non-Ab: 0.61	Non-Ab: 0.45	Non-Ab: 0.84
_	Ab: 0.29	Ab: 0.22	Ab: 0.06	Ab: 0.03	Ab: 0.35	Ab: 0.23	Ab: 0.02	Ab: 0.18
iSEE	Non-Ab: 0.43	Non-Ab: 0.28	Non-Ab: 0.05	Non-Ab: 0.05	Non-Ab: 0.15	Non-Ab: 0.15	Non-Ab: 0.14	Non-Ab: 0.24
	Ab: -0.43	Ab: -0.16	Ab: -0.04	Ab: -0.24	Ab: 0.11	Ab: -0.11	Ab: -0.02	Ab: -0.44

Table 4. All methods r with respect to certain subsets. "WT Gly or Pro" are wild type amino 330 acids that are either glycine or proline. "WT Non-Gly or Non-Pro" are wild type amino acids that 331 332 are neither glycine nor proline. "Alpha Helix" are mutations that occur in a helix structure. "Beta Sheet" are mutations that occur in a beta structure. "Surface Exposure" are mutations that occur in 333 334 an amino acid that have relative solvent accessibility values between 0 and 10%. "Neutral Charge" 335 is a neutrally charged wild type amino acid mutating to a neutrally charged mutant amino acid. 336 "Hydrophobic to Polar" is a hydrophobic or polar wild type amino acid mutating to a polar or hydrophobic mutant amino acid, respectively. "Larger Vol Changes" is a mutant amino acid that 337 is greater than 40% larger than the wild type amino acid. Values that are bolded are the highest r338 339 for each method and protein type. Values that are red or blue are the highest r for each subset, blue 340 for non-Ab and red for Ab.

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342 Antibody-Antigen (Ab) Results

- size from 352 to 1058 residues. The distribution and our classification of experimental  $\Delta\Delta G$  values
- for all Ab test complexes is as follows: 5% of point mutations resulted in  $\Delta\Delta G$  values less than -

<sup>343</sup> Our dataset of eight Ab test protein complexes contains 253 mutations and the proteins range in

346 0.5 kcal/mol (classified as destabilizing); 40% between -0.5 and 0.5 kcal/mol (neutral); and 55%

347 greater than 0.5 kcal/mol (stabilizing).

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349	Figures 1 (data points and values in red), 4, and 5 show the performance of each method in
350	predicting the $\Delta\Delta G$ values of Ab mutations. Overall, the highest correlation is for MD+FoldX with
351	r = 0.39 and the lowest is iSEE with $r = -0.09$ (see Figures 1 and 4). An interesting trend is that
352	the methods with the highest $r$ values for non-Ab complexes do not have the highest $r$ for Ab
353	complexes.
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Figure 4. Performance of each evaluated method for Ab complexes (253 total mutations) in predicting true  $\Delta\Delta G$  values ( $\rho_c$ ), linearly correlated  $\Delta\Delta G$  values (r), and rank order ( $\rho$  and  $\tau$ ). The error for each method is reported under the correlation points.

Figure 5. Receiver operating characteristic curves of the classification of variants that are
 more destabilized or less destabilized than 0.5 kcal/mol. The values in the legend represent the
 area-under-curve (AUC). The higher the value, the better the prediction capability of the method.

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Figure 5 shows the ROC plot for all the tested Ab methods. These ROC plots highlight how well 364 365 a method is actually able to discriminate between stabilizing and destabilizing mutations. 366 Compared to non-Ab complexes, all methods performed better for antibody-antigen complexes 367 except for FoldX and DCOMPLEX which were marginally worse. JayZ (0.97), EasyE (0.98), 368 FoldX (0.85), and MD+FoldX (0.82) had the highest AUC values. Combined with the results from Figures 1 and 4, at least for the systems studied here, it appears that the MD+FoldX method is the 369 370 best overall performer in terms of accuracy, discriminating stabilizing mutations from 371 destabilizing, and ranking mutations based on their experimental  $\Delta\Delta G$  values.

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373 Compared to other methods, EasyE has a much faster runtime and is recommended if the goal is 374 to discriminate between stabilizing and destabilizing ( $\Delta\Delta G$  for one mutation takes ~21 s, see Table 375 2). By comparison, MD+FoldX cost ~941 CPUh for one mutation of 1yy9. DCOMPLEX provides 376 a slightly lower r (0.31) and computational cost (~0.35 s) for one mutation of 1yy9. Overall, 377 MD+FoldX appears to be the best option for accuracy and EasyE or JayZ are the best options for 378 discriminating between destabilizing and stabilizing mutations.

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380 Table 4 summarizes the ability of each method to predict  $\Delta\Delta G$  values for subsets of Ab mutations. 381 Most methods had mediocre r values less than 0.60. The exceptions to this are MD+FoldX and 382 DCOMPLEX within the WT Gly or Pro subset with r = 0.71 and 0.89, respectively. MD+FoldX 383 has the highest r for Ab complexes for three of the eight subsets (WT nonGly or nonPro, alpha 384 helix, and hydrophobic to polar). BPX+FoldX has the highest r in two of the eight subsets (beta 385 sheet and large volume changes). For the beta sheet subset, BindProfX had the second highest r. 386 DCOMPLEX had the highest r for two different subsets (WT Gly or Pro and neutral charge). In 387 the surface exposure subset, JayZ and EasyE both had nearly identical r (0.36 and 0.35 388 respectively), the highest for this subset, but substantially worse than they did for non-Ab 389 complexes.

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### 395 **Discussion**

396 We assessed the performance of eight distinct protein-protein binding affinity calculation methods 397 that use 3-D structural information. To test the performance of these methods, we selected 16 398 different protein complexes (see Table 1) with a total of 654 single amino acid mutations: eight 399 antigen-antibody complexes (Ab, 253 mutations) and eight non-antigen-antibody (Non-Ab, 401 400 mutations) complexes. Each method was used to estimate  $\Delta\Delta G$  values of the 654 mutations, a 401 variety of statistical measures, CPU cost, and physico-chemical structural features to assess the 402 performance. Our results suggest each method has both strengths and weaknesses depending on 403 the properties of the protein system. Most methods did not perform well when applied to mutations 404 in Ab complexes compared to non-Ab complexes. Rosetta-based JayZ and EasyE were able to classify mutations as destabilizing ( $\Delta\Delta G < -0.5$  kcal/mol) with high (83-98%) accuracy at 405 406 relatively low computational cost. Some of the best results for Ab systems came from combining 407 MD simulations with FoldX with a r coefficient of 0.39, but at the highest computational cost of 408 all the tested methods.

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410 Figure 1 summarizes the performance of each method in terms of its ability to estimate  $\Delta\Delta G$  values 411 for all (non-Ab + Ab) single mutations. None of the test methods show a very high r between 412 experimental and predicted  $\Delta\Delta G$  values. Two of the best performing methods, JayZ and EasyE, 413 both have an r of 0.49 for all mutations, with a higher r of 0.61 and 0.62 respectively for non-Ab 414 complexes. These results agree with published results from the authors of JayZ and EasyE. Our 415 results agree moderately with published results from iSEE (they obtained r = 0.25, we obtained r 416 = 0.17) and BindProfX (they used a much larger dataset). Published results for DCOMPLEX show 417 a very good correlation of r = 0.87; much larger than what we obtained here. This difference is 418 very likely due to the dataset size and compilation; DCOMPLEX was originally tested against 69 419 experimental data points, compared to the 654 values used here. MD+FoldX has an r of 0.39 for 420 Ab complexes and appears to perform well for larger systems, which could indicate the importance 421 of conformational sampling for antibody-antigen systems. Other methods used in this study have 422 little to no conformational sampling which could explain their poor performance on Ab complexes. 423 By contrast, these same methods perform well for non-Ab complexes, suggesting that 424 conformational sampling is not the limiting factor to achieve accurate results for these protein 425 complexes. For example, FoldX has a trained scoring function derived using a dataset of mostly 426 non-Ab complexes and performs poorly for Ab complexes when using a single structure (see Table 427 2). However, when used with snapshots from an MD simulation, this same method outperforms 428 all other methods selected in this study. This highlights the need for conformational sampling for 429 reliable and efficient predictions of binding affinity for some systems. In our previous study, we 430 combined coarse-grained forcefield with umbrella sampling to calculate  $\Delta\Delta G$  values for eight 431 mutations of 3hfm Ab complex (one of the test systems in this study) and obtained better 432 predictions than FoldX and MD+FoldX [56]. This study further emphasizes the need for better 433 conformational strategies for some systems.

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Statistical measures used to analyze performance are listed and defined in Table 3. For Ab, BPX+FoldX, MD+FoldX, and DCOMPLEX have the highest *r* values of the methods in our study (see Figure 4). MD+FoldX appears to be the most accurate method for Ab complexes. BindProfX, FoldX, JayZ, EasyE, and iSEE have low *r* and  $\rho_c$  indicating that affinities estimated using these methods do not correlate well with experimental  $\Delta\Delta G$  values using a linear transformation. Also, 440 the  $\tau$  and  $\rho$  were lower compared to MD+FoldX, indicating these methods do poorly at calculating 441 a rank order that matches experimental data.

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The ROC curves allow us to determine each method's ability to classify mutations as either destabilizing or neutral/stabilizing (Figures 3 and 5). For non-Ab complexes, JayZ (0.84 AUC) and EasyE (0.83 AUC) have the best true positive rate followed by DCOMPLEX (0.82 AUC). For Ab complexes, JayZ (0.97 AUC) and EasyE (0.98 AUC) have better true positive rates than MD+FoldX, the method with the highest *r* value. If classification of destabilizing vs stabilizing is the primary need, then JayZ or EasyE are both recommended over the other methods tested here due to their high accuracy and fast runtime.

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While accuracy is generally the main reason for choosing a particular method, computational 451 efficiency is also an important consideration, especially when predicting the effects of a large 452 453 number of mutations. Here, we discuss the performance of each method in terms of its trade-off 454 between speed and accuracy for predicting  $\Delta\Delta G$  values. For all single mutations and our non-Ab 455 subset, EasyE and JayZ performed well; JayZ is the faster method of the two with EasyE at a 456 similar speed to FoldX. DCOMPLEX is more accurate than FoldX for all single mutations and has 457 similar accuracy as FoldX for non-Ab mutations, but at much lower cost. MD+FoldX has similar 458 accuracy to DCOMPLEX for all single mutations and has similar accuracy to FoldX in non-Ab 459 mutations but is by far the most computationally expensive method we tested. Although a 460 synergistic combination of BPX+FoldX implements several structural and physico-chemical 461 interaction terms in its algorithm, computation time was longer than all but MD+FoldX without a 462 concomitant improvement in r. We note that this method is perhaps the most accessible of those

463 tested, due to the easy-to-use online server interface and accuracy that is similar to FoldX for most 464 systems. BindProfX utilizes the same scoring profile as BPX+FoldX without the FoldX 465 calculations. In this case, accuracy decreased while calculation speed remained similar to 466 BPX+FoldX. iSEE, the least correlating method, employs the widest variety of information to 467 obtain relative binding affinity predictions and is the fastest of all methods (not including the non-468 trivial preparation time). For Ab complexes, MD+FoldX, the slowest of all the methods, had the 469 highest accuracy, followed by DCOMPLEX. iSEE is again the fastest of all methods but also the 470 least accurate. BindProfX utilizes several pre-calculated physico-chemical structural data in its 471 scoring function while, JayZ and EasyE each layer an additional predictive calculating feature on 472 top of Rosetta's backbone sampling, adding complexity to the predictive algorithms. However, all 473 three have similar r yet they do not achieve the accuracy of MD+FoldX. Overall, for non-Ab 474 complexes, EasyE and JayZ appear to have the best balance between speed and accuracy of the methods we tested. For Ab complexes, DCOMPLEX appears to have the best balance. 475

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477 We have demonstrated that all the tested methods have specific strengths and weaknesses; some 478 perform better in specific contexts (Table 4), and some have longer runtimes to obtain similar 479 predictive power to comparably faster methods. This highlights the complexity of the physico-480 chemical properties and structural features that drive, and limit, these predictive models. Our 481 results can be used to make informed decisions for methods that may be preferable for a particular 482 study or system. Table 4 suggests that if the goal is to estimate only the order of magnitude or sign 483 of relative binding affinities, then the preferred method will likely be very different than if the goal 484 is to obtain the best possible accuracy for antibody-antigen systems. To improve accessibility, we 485 have generated an in-house Python script (provided in the supplement with the full dataset used in

486	this work) that can be used to parse any of the parameters used in this study and provide tailored
487	information. This information in combination with the runtime and other details provided in this
488	study can be used to inform users on methods that can provide the best accuracy and efficiency for
489	a given protein-protein complex type, set of physico-chemical features or structural parameters,
490	and set of mutations. Additionally, the script can be extended to other methods and feature-sets,
491	potentially elucidating specific problems or areas of improvement to existing and future methods.
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# 507 Conclusions

In this study, we have assessed the accuracy and efficiency of eight computational methods on predicting binding affinity changes due to single amino acid mutations. Methods were tested on 16 different protein complexes: eight antigen-antibody (Ab) and eight non-antigen-antibody (Non-Ab) complexes. While some methods perform consistently better than others, how well each performs depends on the physico-chemical and structural components of each complex. EasyE was the most accurate for non-Ab complexes, and MD+FoldX was most accurate for Ab complexes. JavZ and EasyE were better able to distinguish between destabilizing ( $\Delta\Delta G > 0.5$ kcal/mol) and stabilizing ( $\Delta\Delta G < -0.5$  kcal/mol) as compared to any other method. Future work could include more systems or different methods, including those that are solely web server-based in order to expand and better refine our conclusions on their predictive capability. 

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## 680 Supporting information captions

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682 S1 File. A word document with detailed protocols for calculating  $\Delta\Delta G$  values using each of 683 the eight methods used in this study.

685 S2 File. An in-house Python script that can be used to parse any of the parameters used in 686 this study and provide tailored information.

688 S3 File. A CSV file with full dataset used in this work and predicted  $\Delta\Delta G$  values for each 689 mutation using eight methods.

690

691 S1 Figure. Performance of each evaluated method for Ab and non-Ab complexes in 692 predicting true  $\Delta\Delta G$  values ( $\rho_c$ ), linearly correlated  $\Delta\Delta G$  values (r), and rank order ( $\rho$  and  $\tau$ ) 693 for a select subset of mutations that occur in beta sheet. The error for each method is reported 694 under the correlation points.

695

696 S2 Figure. Performance of each evaluated method for Ab and non-Ab complexes in 697 predicting true  $\Delta\Delta G$  values ( $\rho_c$ ), linearly correlated  $\Delta\Delta G$  values (r), and rank order ( $\rho$  and  $\tau$ ) 698 for a select subset of mutations that occur in alpha helix. The error for each method is reported 699 under the correlation points.

700

701 S3 Figure. Performance of each evaluated method for Ab and non-Ab complexes in 702 predicting true  $\Delta\Delta G$  values ( $\rho_c$ ), linearly correlated  $\Delta\Delta G$  values (r), and rank order ( $\rho$  and  $\tau$ ) 703 for a select subset of mutations with wild type amino acids that are either glycine or proline. 704 The error for each method is reported under the correlation points.

705

706S4 Figure. Performance of each evaluated method for Ab and non-Ab complexes in707predicting true  $\Delta\Delta G$  values ( $\rho_c$ ), linearly correlated  $\Delta\Delta G$  values (r), and rank order ( $\rho$  and  $\tau$ )708for a select subset of mutations with wild type amino acids that are neither glycine nor

**proline**. The error for each method is reported under the correlation points.

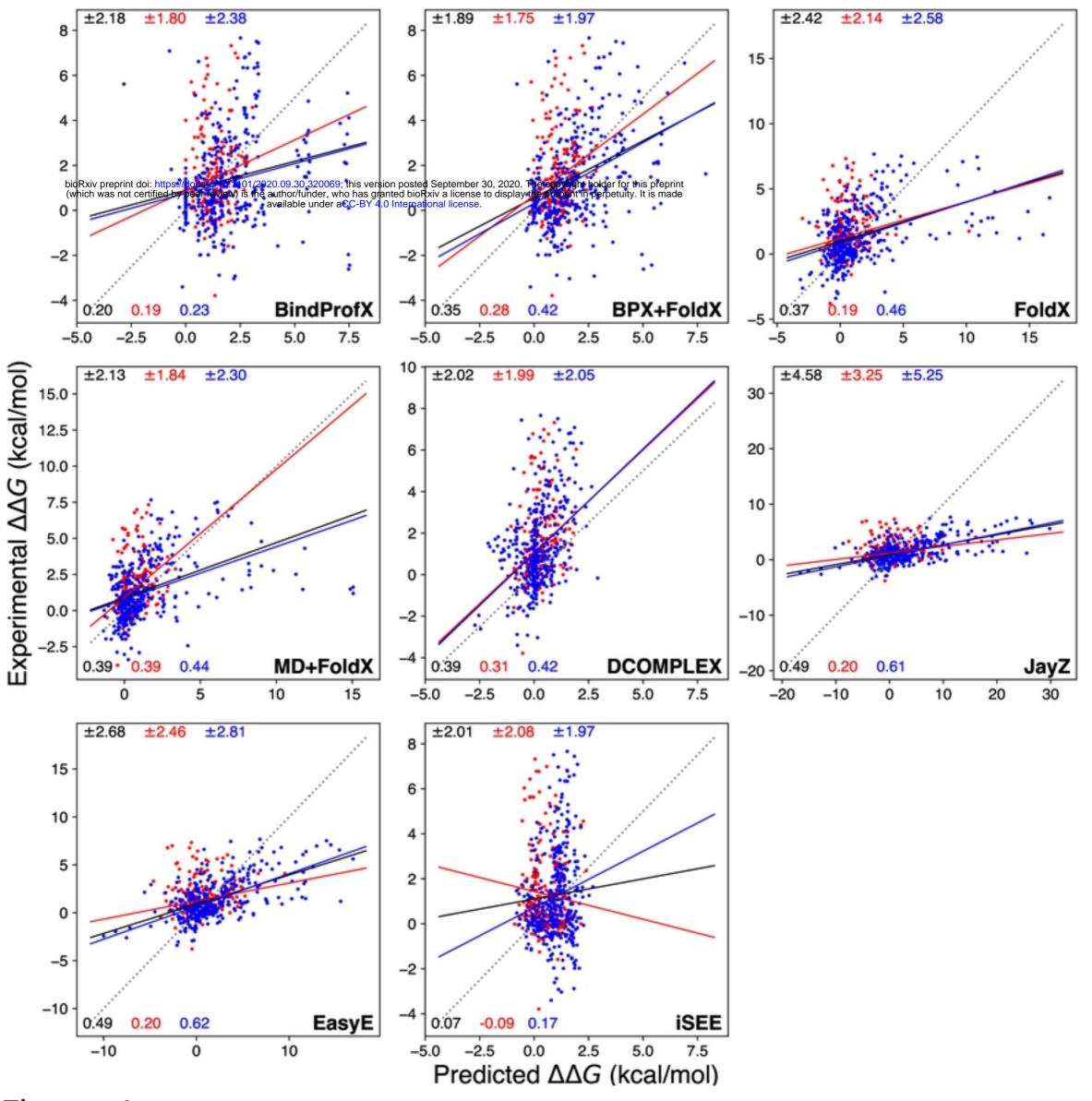


Figure 1

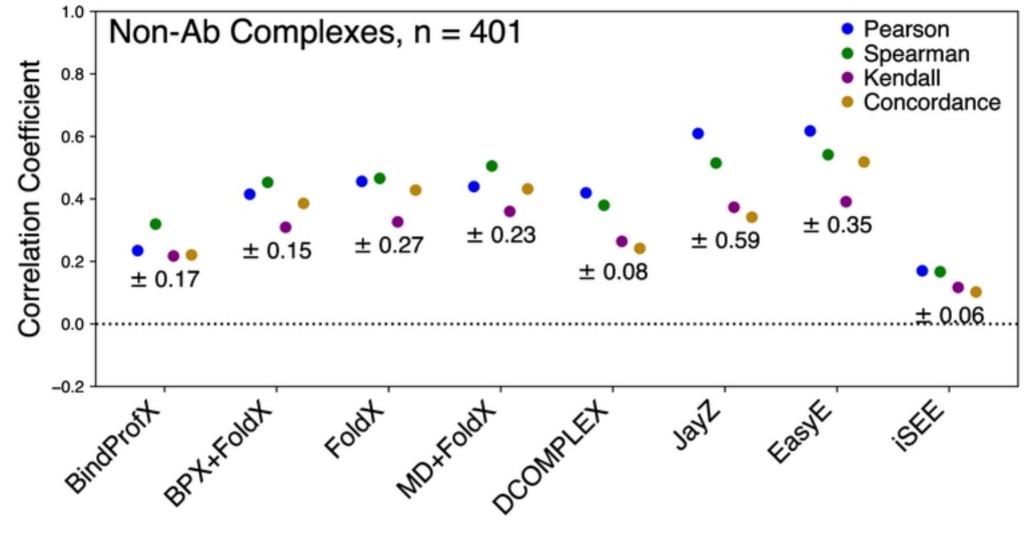


Figure 2

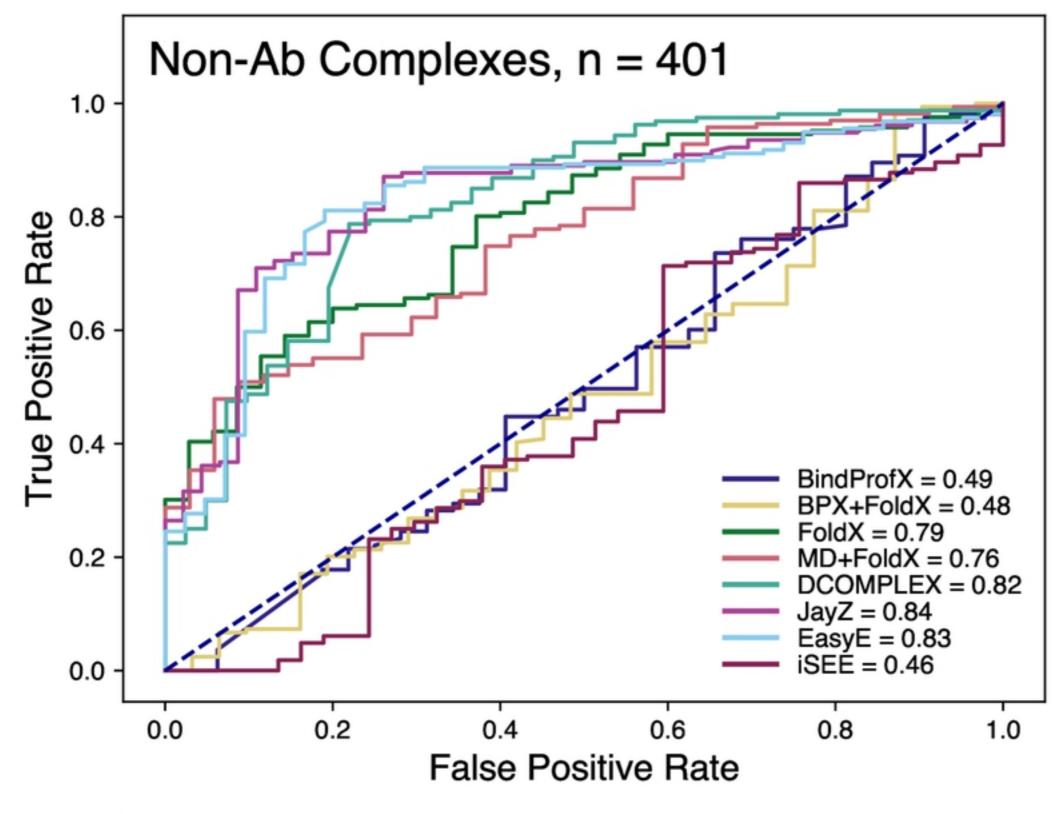


Figure 3

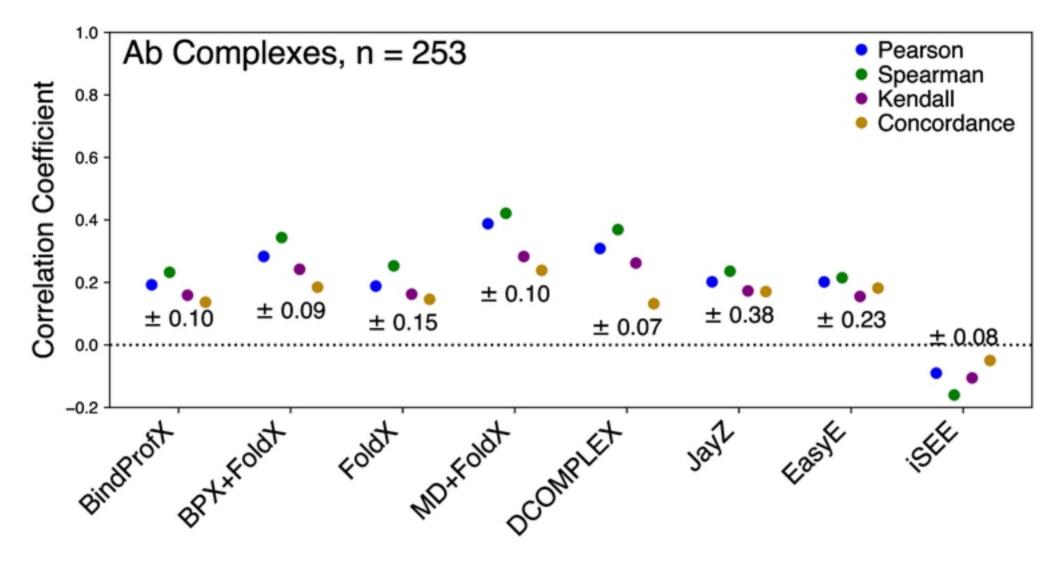


Figure 4

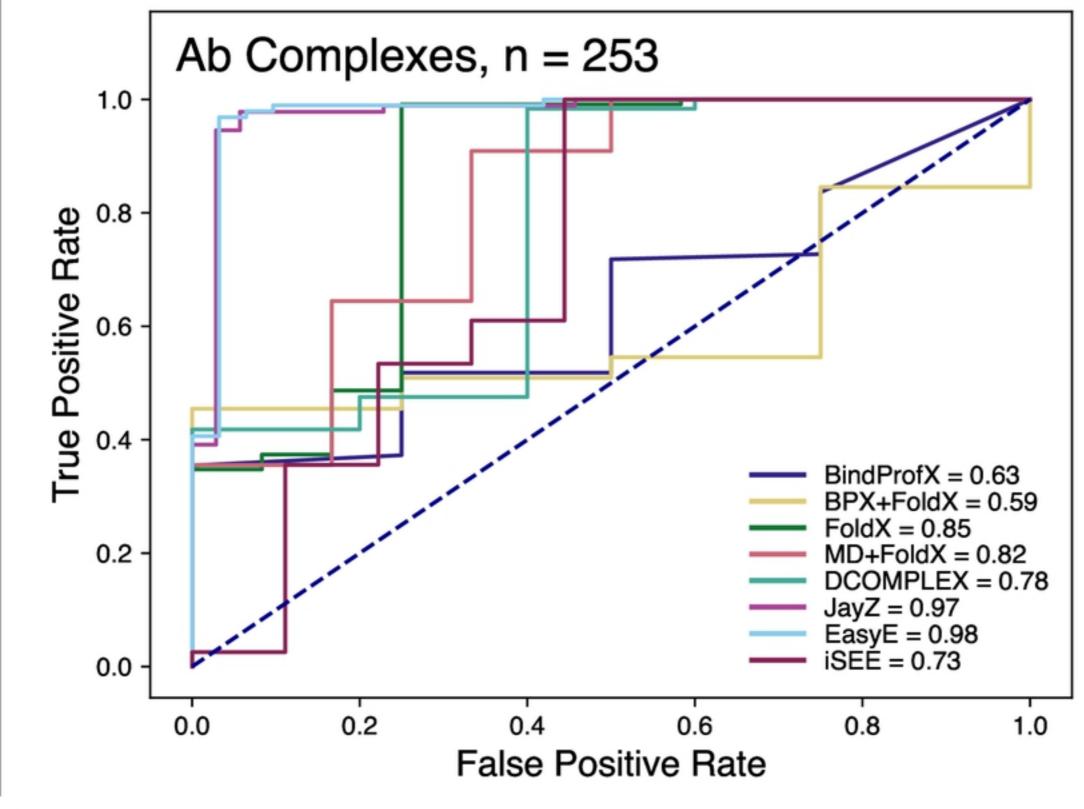


Figure 5