

1 Ash1 and Tup1 Dependent Repression of the *Saccharomyces cerevisiae* HO promoter
2 Requires Activator-Dependent Nucleosome Eviction

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24 ABSTRACT

25 Transcriptional regulation of the *Saccharomyces cerevisiae* *HO* gene is highly
26 complex, requiring a balance of multiple activating and repressing factors to ensure that
27 only a few transcripts are produced in mother cells within a narrow window of the cell
28 cycle. Here, we show that the Ash1 repressor associates with two DNA sequences that
29 are usually concealed within nucleosomes in the *HO* promoter and recruits the Tup1
30 corepressor and the Rpd3 histone deacetylase, both of which are required for full
31 repression in daughters. Genome-wide ChIP identified greater than 200 additional sites
32 of co-localization of these factors, primarily within large, intergenic regions from which
33 they could regulate adjacent genes. Most Ash1 binding sites are in nucleosome
34 depleted regions (NDRs), while a small number overlap nucleosomes, similar to *HO*.
35 We demonstrate that Ash1 binding to the *HO* promoter does not occur in the absence of
36 the Swi5 transcription factor, which recruits coactivators that evict nucleosomes,
37 including the nucleosomes obscuring the Ash1 binding sites. In the absence of Swi5,
38 artificial nucleosome depletion allowed Ash1 to bind, demonstrating that nucleosomes
39 are inhibitory to Ash1 binding. The location of binding sites within nucleosomes may
40 therefore be a mechanism for limiting repressive activity to periods of nucleosome
41 eviction that are otherwise associated with activation of the promoter. Our results
42 illustrate that activation and repression can be intricately connected, and events set in
43 motion by an activator may also ensure the appropriate level of repression and reset the
44 promoter for the next activation cycle.

45

46 INTRODUCTION

47 Chromatin is generally repressive to transcription, limiting access of regulatory
48 factors and RNA polymerase to the DNA [1]. However, nucleosomes are dynamic
49 structures that can be moved, loosened or evicted under certain conditions, allowing
50 regulatory proteins to associate with their binding sites. Alteration of nucleosomes is

51 accomplished by remodeling complexes that use the energy of ATP hydrolysis to slide
52 or evict nucleosomes and by histone-modifying factors that change the state of histones
53 and their interaction with DNA [2, 3]. This ability to dynamically modify nucleosomes
54 allows transcription to be a regulated process, in which factor binding sites are
55 concealed by nucleosomes until an appropriate stimulus leads to their movement or
56 eviction. The access of transcription factors to promoter sites is thus dictated in part by
57 chromatin state, and is an important aspect of gene regulation.

58 The *Saccharomyces cerevisiae HO* gene is an important model for examining the
59 interplay between transcription factors and chromatin. The *HO* promoter is highly
60 regulated, with a complexity more similar to higher eukaryotic promoters than typical
61 yeast promoters, but with an ease of genetic manipulation [4]. Nucleosome positions
62 across the *HO* promoter are well-defined [5, 6]. The process of *HO* activation involves
63 progressive waves of nucleosome eviction across the promoter during the cell cycle,
64 ultimately reaching the transcription start site and allowing for association of RNA
65 polymerase [7, 8]. Nucleosomes are then quickly redeposited to restrict *HO* expression
66 to a narrow window within G1 of the cell cycle, with only a few transcripts produced per
67 cell [7, 9, 10].

68 Expression of *HO* is also regulated to ensure the gene product is present in only
69 one of two cells from each mitotic division. Yeast cells divide asymmetrically, giving rise
70 to a large mother cell and a smaller daughter cell. The *HO* gene is expressed only in
71 haploid mother cells and encodes a site-specific endonuclease that initiates mating type
72 interconversion by cleaving the *MAT* locus [9, 11, 12]. The ability of the mother, but not
73 the daughter, to alter its mating type allows mother and daughter cells to subsequently
74 mate, forming a diploid to enhance survival.

75 The *HO* promoter is unusually long for a yeast promoter, with known transcription
76 factor binding sites extending to nearly 2 kb upstream of the transcription start site [13-
77 15] and the next upstream gene at -3000 bp. In addition, a long ncRNA that initiates at -

78 2700 affects *HO* promoter memory under specific conditions [16]. Upstream Regulatory
79 Sequences URS1 (-1900 to -1200) and URS2 (-900 to -200) contain binding sites for
80 activating transcription factors [14, 17, 18]. Promoter activation proceeds as an ordered
81 recruitment of factors, initiated by entry of the Swi5 pioneer transcription factor into the
82 nucleus during anaphase [7, 19-21]. Swi5 associates with two nucleosome-depleted
83 regions (NDRs) in URS1 at -1800 and -1300 and recruits three coactivator complexes:
84 the SWI/SNF chromatin remodeler, the SAGA complex with the Gcn5 histone
85 acetyltransferase, and Mediator [13-15, 22-25]. The coactivators are interdependent
86 upon one another for their association with the *HO* promoter and are responsible for
87 chromatin changes that promote expression, most notably the removal of nucleosomes
88 that initiates within URS1 and then spreads to URS2 [7, 26]. Sites for the SCB binding
89 factor (SBF) within URS2 are occluded by nucleosomes for most of the cell cycle, but
90 become exposed as nucleosome eviction spreads toward the transcription start site [7,
91 8]. SBF recruits the coactivator complexes to URS2, allowing further propagation of
92 nucleosome eviction to the TATA box and subsequent association of RNA polymerase
93 and initiation of transcription [7].

94 Many repressors and corepressors are also required for maintaining the
95 appropriate level of *HO* expression. The activities of these proteins antagonize those of
96 the coactivators, providing a balance that ensures the precise timing and level of *HO*
97 promoter activity [27]. Genetic screens have identified subunits of two histone
98 deacetylase complexes, Rpd3 and Hda1, as negative regulators of *HO* expression [27-
99 31]. These complexes act in opposition to the histone acetyltransferase activity of Gcn5,
100 making the nucleosomes more repressive to transcription. At least two DNA-binding
101 proteins recruit the Rpd3 complex to the *HO* promoter. The first, Ash1, is a GATA-family
102 zinc finger protein that accumulates predominantly in daughter cells and is the critical
103 determinant of mother-specific *HO* expression [32-34]. A definitive binding site(s) for
104 Ash1 has not been identified, but it has been suggested to bind to YTGAT motifs

105 throughout the *HO* promoter [34]. The second protein, Ume6, was originally identified as
106 a meiotic regulator, and represses transcription of many genes [35]. It binds to a single
107 site within the *HO* promoter in a nucleosomal linker between URS1 and URS2 [27].

108 Other negative regulators identified in genetic screens for inappropriate
109 transcriptional activation [27] may antagonize the SWI/SNF complex at the *HO*
110 promoter. The Isw2 ATP-dependent chromatin remodeler promotes the movement of
111 nucleosomes into NDRs and could play a role in opposing the nucleosomal eviction
112 caused by SWI/SNF [36]. Ume6 is known to recruit both Rpd3 and Isw2 to promoters
113 and could be doing so at *HO* [37, 38]. The Tup1 corepressor protein was also identified
114 as a negative regulator of *HO* expression activation [27]. Tup1, usually found in complex
115 with Cyc8 in a 4:1 ratio, is recruited to many promoters in yeast by a variety of
116 sequence-specific DNA-binding proteins, and has been suggested to reduce expression
117 by masking the activation domain of its recruiting protein, inhibiting its interaction with
118 SWI/SNF [39-42]. Tup1 also has genetic and biochemical interactions with the Rpd3
119 and Hda1 histone deacetylase complexes, providing another possible mechanism for it
120 to balance the action of coactivators at the *HO* promoter [43-47]. The manner in which
121 Tup1 is brought to the *HO* promoter is not clear, as there are no known sites for Tup1
122 recruiters.

123 In this report, we expand upon our knowledge of the Ash1 and Tup1 negative
124 regulators and their relationship to chromatin, both at the *HO* promoter and genome-
125 wide. We demonstrate that Tup1 is recruited to the *HO* promoter via the Ash1 DNA-
126 binding protein. Ash1 is thus responsible for bringing both Tup1 and Rpd3 to the *HO*
127 promoter, and recruitment of Tup1 is independent of the Rpd3 complex. ChIP
128 experiments showed nearly identical binding profiles for Ash1 and Tup1 across the *HO*
129 promoter, and nucleosomes conceal their sites of association for most of the cell cycle.
130 We used ChIP-Seq to identify other Ash1, Tup1 and Rpd3 sites throughout the *S.*
131 *cerevisiae* genome to determine whether Ash1 has similar properties within other

132 promoters. We found the vast majority of Ash1 sites display colocalization with both
133 Tup1 and Rpd3. Sites of 3-way overlap are mostly within NDRs in intergenic segments
134 of the genome. Ash1/Tup1 association with nucleosomal *HO* promoter DNA is therefore
135 a notable exception, suggesting that chromatin changes at *HO* may be necessary for
136 association of not only the SBF activating factor but also the Ash1/Tup1 repressing
137 factors. We demonstrate that Ash1 and Tup1 bind to the *HO* promoter only after the
138 Swi5 activator binds and initiates nucleosome eviction. Artificially decreasing
139 nucleosome occupancy at *HO* allowed Ash1 binding in the absence of the activator,
140 suggesting that the presence of nucleosomes impedes association of Ash1/Tup1 until
141 the *HO* promoter activation cascade has begun.

142

143 RESULTS

144 **Tup1 association with the *HO* promoter requires the presence of Ash1**

145 In a previous study, we performed a genetic screen to identify negative
146 regulators of the *HO* promoter [27]. One of the mutants isolated in the screen was a
147 hypomorphic allele of *TUP1*, *tup1(H575Y)*, suggesting that Tup1 may play a role in
148 repressing *HO* transcription. To determine whether Tup1 associates with the *HO*
149 promoter, we tagged endogenous Tup1 with a V5 epitope and performed ChIP analysis
150 in asynchronous cells. Tup1-V5 bound to the *HO* promoter with a predominant peak
151 centered at approximately -1200 relative to the *HO* ATG (Fig 1A, blue; “Downstream
152 Site”). Substantial binding also extended upstream to approximately -2100 (Fig 1A;
153 “Upstream Site”), suggesting there may be at least two sites of association. Tup1 is
154 recruited to yeast promoters by a variety of DNA-binding transcription factors [39, 40].
155 We therefore sought to determine which protein is responsible for Tup1 association with
156 the *HO* promoter. Our prior studies on the Ash1 repressor had shown that Ash1 has a
157 binding profile at *HO* similar to that of Tup1 (Fig 1A, red), suggesting the possibility that
158 Ash1 could be responsible for Tup1 recruitment to *HO*.

159 ChIP analysis of Tup1 binding in wild type and in an *ash1* mutant confirmed our
160 hypothesis that Ash1 is necessary for most of the Tup1 localization to the *HO* promoter.
161 Binding was substantially reduced, though not completely eliminated, in the *ash1*
162 mutant, both at the main peak (Downstream Site; Fig 1B) and further upstream
163 (Upstream Site). The presence of residual Tup1 binding in the *ash1* mutant above a “No
164 Tag” control (Fig 1C) suggests there may be another factor(s) that plays a lesser role in
165 recruiting Tup1 to the *HO* promoter. This is consistent with observations at other genes,
166 in which it is typical for multiple factors to contribute to Tup1 recruitment [42].

167 *HO* expression is cell-cycle regulated such that only a few transcripts are
168 produced per cell cycle at the very end of G1 phase [9, 10]. The ordered recruitment of
169 transcription factors and coactivators required for *HO* activation has previously been
170 examined extensively by ChIP analysis in cells with a *GAL::CDC20* allele that can be
171 arrested at G2/M and then released to allow synchronous progression through the cell
172 cycle [7, 21, 48]. Three repressive DNA-binding factors, Ash1, Dot6, and Ume6, bind to
173 the promoter after initial association of the Swi5 transcription factor but before *HO*
174 expression [27]. We examined Tup1 binding using *GAL::CDC20* synchronization and
175 found that, as expected, Tup1 associated with the *HO* promoter at the same time as
176 Ash1, 25 min after the cells were released from the G2/M arrest (Fig 1D). Binding of
177 Tup1 throughout the time course was vastly reduced in an *ash1* mutant, measured at
178 both binding locations within the promoter (Fig 1D, S1).

179 To further confirm the role of Ash1 in recruitment of Tup1 to the *HO* promoter, we
180 overexpressed *ASH1* from a multicopy YEp plasmid and examined Tup1 binding in cells
181 transformed with either an empty YEp vector or with YEp-*ASH1*. Overexpression of the
182 *ASH1* gene was confirmed by RT-qPCR analysis (Fig S2A), and ChIP analysis showed
183 elevated Tup1 binding to the *HO* promoter (Fig 1E). Concomitant with the recruitment of
184 additional Tup1, *HO* expression diminished (Fig S2B). A previous study demonstrated

185 that YEp-*ASH1* caused an 8-fold drop in a mating type switching bioassay in mother
186 cells, which reflects *HO* expression [33].

187

188 **Ash1 is sufficient to recruit Tup1 to an exogenous location**

189 We next sought to determine whether Ash1 could recruit Tup1 to an ectopic
190 location outside of the *HO* promoter. For this experiment, we constructed a Tup1-V5
191 strain in which a LexA DNA-binding site was integrated upstream of the *HIS3* gene on
192 chromosome XV (Fig 2A). We then integrated a LexA DNA-binding domain and a FLAG
193 tag at the 3' end of the endogenous *ASH1* locus to create a fusion protein. Association
194 of Ash1-LexA(DBD)-FLAG with the ectopic LexA binding site should increase Tup1-V5
195 recruitment to that site if Ash1 is sufficient to recruit Tup1 (Fig 2A, right).

196 Ash1-LexA(DBD)-FLAG bound to both the LexA site upstream of *HIS3* and to the
197 positive control promoter, *CLN3* (Fig 2B). Tup1-V5 binding at the ectopic *HIS3* site was
198 minimal in the strain with native *ASH1*, but increased substantially in the strain
199 containing Ash1-LexA(DBD)-FLAG (Fig 2C). As a comparison, Tup1-V5 bound to
200 *TEC1*, the positive control promoter for Tup1 recruitment, in both strains (Figure 2C).
201 We conclude that Ash1 is sufficient to recruit Tup1 to a location distinct from the *HO*
202 promoter.

203

204 **Recruitment of Tup1 to the *HO* promoter by Ash1 is independent of Rpd3(L)**

205 Ash1 has been shown previously to repress *HO* transcription by virtue of
206 association with the Rpd3(L) complex [6]. Ash1 is a substoichiometric member of
207 Rpd(L), associating with the complex for only a portion of the cell cycle [6, 49]. The
208 Tup1 corepressor also interacts with multiple histone deacetylases, including Rpd3 [43,
209 46]. We therefore considered the possibility that Tup1 associates with the *HO* promoter
210 through an interaction with Rpd3(L) rather than through direct association with Ash1.

211 To address the question of whether Rpd3(L) and Tup1 are recruited by Ash1
212 independently and/or function independently for *HO* repression, we examined *HO* RNA
213 expression in *rpd3* and *tup1* mutants using two methods. In the first method, we
214 measured *HO* RNA in a bulk population of asynchronous cells (Fig 3A). In the second
215 method, *HO-GFP* RNA was quantitated using single-cell time-lapse fluorescence
216 microscopy, allowing the additional analysis of *HO* expression in mother versus
217 daughter cells [50; Fig 3B]. An *rpd3* null single mutant did not change expression of *HO*
218 in the bulk population, but single-cell analysis demonstrated that *HO* was expressed in
219 approximately 50% of the daughter cells. The reason for this difference is not known,
220 but may result from measurement of processed RNA in the bulk population as opposed
221 to newly formed transcripts in the single cell experiment.

222 Null alleles of *tup1* show delayed progression of cells through G1 and therefore
223 are not useful for monitoring the effect on *HO* expression in late G1 [27]. For these
224 analyses, we therefore used the *tup1(H575Y)* hypomorph that does not demonstrate a
225 cell cycle delay. The *tup1(H575Y)* single mutant showed a small increase in *HO*
226 expression in both asynchronous cells (from 100% wild type to 120% *tup1(H575Y)*; Fig
227 3A) and in daughter cells in the single cell assay (from 2% wild type to 5% *tup1(H575Y)*;
228 Fig 3B). In contrast to either single mutant, the double *rpd3 tup1(H575Y)* mutant had
229 substantially increased *HO* expression in both assays, up to the level of an *ash1*
230 mutant. In asynchronous cells, the level of expression in *rpd3 tup1(H575Y)* and *ash1*
231 was roughly 2-fold that of wild type, suggesting that daughter cells had fully gained the
232 ability to express *HO*. This hypothesis was confirmed by the single-cell experiment, in
233 which 96% of *rpd3 tup1(H575Y)* and 94% of *ash1* cells displayed daughter cell
234 expression (compared to only 2% in wild type; see red in Fig 3B). The level of
235 expression in daughter cells in the *rpd3 tup1(H575Y)* mutant was higher than in *ash1*
236 cells (1.63 vs. 1.11), which may explain the slight increase in *HO* expression in the bulk
237 population in the double mutant relative to *ash1*. This could occur due to off-target

238 effects of the mutants that indirectly influence *HO* expression that are unrelated to their
239 effects through Ash1.

240 The *HO* expression analyses demonstrate that mutation of both *RPD3* and *TUP1* is
241 required to achieve the increased *HO* expression in daughters that occurs in an *ash1*
242 mutant, suggesting Ash1 could recruit the complexes independently. The mechanisms
243 of repression by Rpd3(L) and Tup1 may be similar or distinct, yet the overall effect of
244 combination of the two corepressors is severely diminished expression in daughter cells
245 relative to mother cells. To more directly test the hypothesis that Tup1 is recruited to *HO*
246 independently of Rpd3(L), we examined binding of Tup1-V5 in a *sin3* mutant. The
247 subunits of the Rpd3(L) complex all interact with the Sin3 scaffold protein, and thus *sin3*
248 mutants lack a structurally intact complex [51]. If Tup1 association with *HO* requires
249 Rpd3(L) in addition to Ash1, then Tup1 should not be recruited to *HO* in the *sin3* mutant.
250 We found that Tup1-V5 binding was similar in wild type and a *sin3* mutant (Fig 3C),
251 demonstrating that Tup1 recruitment to the *HO* promoter is independent of the Rpd3(L)
252 complex. Due to the cell cycle delay and severe flocculation phenotype of *tup1* null
253 mutants, we were unable to accurately examine the reverse prediction, that Rpd3
254 recruitment is largely independent of Tup1. The *tup1(H575Y)* hypomorph still binds to
255 the *HO* promoter (data not shown), and thus is not ideal for testing this hypothesis.
256 However, the increased *HO* expression in the *RPD3 tup1(H575Y)* double mutant relative
257 to the *RPD3* single mutant suggests that both complexes are independently important for
258 repression, and that if Rpd3 association does occur via Tup1, then Tup1 must have
259 another activity that makes a separate contribution to repression.

260

261 **Ash1 is found at many genomic sites, where it colocalizes with Tup1 and Rpd3**

262 More than a dozen DNA-binding transcription factors recruit Tup1 to promoters in
263 yeast [39, 40]. However, many sites of Tup1 localization are not bound by any known
264 Tup1 recruiters [42]. This suggests there are other as yet unknown DNA-binding

265 proteins that recruit Tup1, and Ash1 could be one of these factors. The only other
266 known location of Ash1 binding is the *CLN3* promoter, where Ash1 cooperates with
267 another daughter-specific factor, Ace2, to repress expression of *CLN3* in daughters [52,
268 53]. To determine whether other sites of Ash1 binding exist, we performed ChIP-Seq
269 with an Ash1-V5 strain. We also conducted parallel ChIP-Seq experiments with Tup1-
270 V5 and Rpd3-V5 strains to assess how often Ash1 is present at sites that have both
271 Rpd3 and Tup1 and whether there are subsets of promoters that are bound by
272 Ash1/Tup1 or Ash1/Rpd3 pairs independently.

273 ChIP-Seq identified 250 peaks of Ash1 enrichment (Fig 4A, Table S3), confirming
274 our hypothesis that Ash1 binds to additional sites throughout the *S. cerevisiae* genome.
275 This number is fewer than for either Tup1 (832) or Rpd3 (1377), which is not surprising
276 since Tup1 and Rpd3 are more general factors that act at a larger number of genes,
277 recruited by multiple different transcription factors, of which Ash1 is only one example.
278 We confirmed the results of the ChIP-Seq by qPCR of ChIP eluate for each factor at
279 specific target promoters, including several targets from different chromosomes with
280 varying levels of enrichment (Table S4). Values from qPCR correlated well with the
281 ChIP-Seq values (Fig S3).

282 The vast majority of Ash1 sites (99%) also displayed binding of either Tup1 or
283 Rpd3 or both, demonstrating that the correspondence between Ash1 and these two
284 repressive factors extends beyond the *HO* gene (Fig 4A and B, S4). Overlap of all three
285 factors (Ash1, Tup1, Rpd3) was observed at 209 Ash1 peaks (84%; Fig 4A). A heat
286 map of Ash1 peaks, displaying log₂ fold enrichment of Ash1, Tup1 and Rpd3, shows
287 varying levels of Tup1 and Rpd3 at different Ash1 locations (Fig 4C). Only a subset of
288 the Tup1 and Rpd3 peaks overlap with those that are also bound by Ash1 (Fig 4A).
289 Heat maps of Tup1 or Rpd3 peaks illustrate the substantial co-occupancy of these two
290 factors, beyond the peaks that include Ash1 (Fig S5; See also Fig 4B and S4 for
291 genome snapshots). Of the three factors, Rpd3 had the largest number of peaks and

292 therefore the greatest percentage of them that fail to overlap with the other two factors
293 (Fig 4A). This was expected, based upon published studies of Rpd3 and the hypothesis
294 that Rpd3 has a repressive role at specific promoters as well as a more general
295 repressive function within open reading frames [54].

296

297 **Sites of co-occupancy of Ash1, Tup1 and Rpd3 are found within large intergenic** 298 **regions**

299 If Ash1 acts as a repressive transcription factor to recruit Tup1 and Rpd3 to
300 locations other than *HO*, we would expect sites of Ash1, Tup1, and Rpd3 co-enrichment
301 (ATR peaks) to be predominantly localized to intergenic regions, particularly those
302 containing promoters, that would allow Ash1/Tup1/Rpd3 to regulate transcription of one
303 or two genes from an upstream position. Consistent with this prediction, the majority of
304 ATR peaks are positioned within intergenic regions (161 peaks, 77%; Table 1A, Table
305 S3). Additional peaks are located within either 5' or 3' UTRs (29 peaks; 14%). Only a
306 very small number of ATR peaks have a summit within an ORF (6%) or over a ncRNA
307 (<1%). Of the ATR peaks localized to intergenic regions, the vast majority (97%) are
308 positioned in promoters, either unidirectional or bidirectional (Table 1B, Table S3).
309 Similarly, almost all ATR peaks within UTRs appear to be positioned upstream to the
310 neighboring gene's promoter rather than near its terminator. Only a few intergenic and
311 UTR peaks (5 total; 4 intergenic and 1 UTR) are located between convergent genes.
312 Any potential role of these ATR sites in likely terminator regions is less clear.

Table 1. Characteristics of Ash1, Tup1, Rpd3 co-localized (ATR) peaks

A. Relationship to Known Features

Location of Ash1 Peak ^a	Number of Peaks	Percent of Peaks
Intergenic	161	77%
UTR	29	14%
UTR/ORF Boundary	5	2%
ORF	13 ^b	6%
ncRNA	1	<1%

^a Determined by the position of the Ash1 peak summit.

^b Eight of these are at the very 5' or 3' end of an ORF.

B. Relationship to Promoters

Promoter Direction	Number of Peaks ^a	Percent of Peaks
Single orientation	69	46%
Divergent	75	51%
Convergent	4	3%

^a Only "Intergenic" peaks were used for analysis. Total number of peaks included is 148. Of the 161 peaks from Part A, 13 were removed because one of the genes flanking the intergenic region was a tRNA or snRNA.

313 Based on inspection of genome browser tracks, we noted that sites of ATR
314 overlap appeared to occur in larger intergenic regions (Fig 4B, S4). We therefore
315 compared the size distribution of all intergenic regions within the genome with those
316 containing ATR peaks. The vast majority of yeast intergenic regions (close to 80%) are
317 less than 500 nucleotides in length (Fig S6), when considering transcriptional start and
318 stop sites. In contrast, only 12% of those with ATR peaks are within this size range.
319 Nearly 40% of ATR-containing intergenic regions are between 500 and 999 nucleotides,

320 with the remaining approximately 50% greater than 1000 nucleotides in length (Fig S6).

321 Thus, ATR peaks are preferentially localized to larger promoter regions.

322 To determine the types of genes that could be regulated in part by Ash1
323 recruitment of Tup1 and Rpd3, we examined the functional nature of all ORFs
324 downstream of intergenic ATR peaks. The largest group of possible ATR-regulated
325 genes with a common feature is those encoding proteins located at the cell periphery,
326 including structural components of the cell wall, proteins involved in budding, cell
327 surface glycoproteins and membrane transporter proteins of many types (Table S5).
328 Several genes that control various aspects of the cell cycle are also downstream of ATR
329 peaks, including the G1 cyclins *CLN1*, *CLN2* and *CLN3*, and the B-type cyclins *CLB1*
330 and *CLB2*. Genes involved in pseudohyphal growth, meiosis and sporulation were
331 identified, as well as genes encoding a variety of DNA-binding transcription factors.
332 Some ATR peaks are located upstream of genes previously shown to be regulated by
333 Tup1. Additional information on ORFs possibly regulated by ATR peaks can be found in
334 S1 Appendix.

335

336 **Locations of Ash1, Tup1, and Rpd3 co-enrichment display differences in Ash1-** 337 **dependence for Tup1 and Rpd3 recruitment**

338 We assessed the contribution of Ash1 to Tup1 and Rpd3 recruitment at several
339 genomic target sites to determine whether Ash1 is a predominant or minor recruiter at
340 each location. Of the target sites we tested, *HO* displayed the greatest changes in Tup1
341 recruitment between wild type and an *ash1* mutant or *ASH1* overexpression (Table S4).
342 Sites upstream of other genes displayed moderate or small changes in Tup1 binding
343 with alteration of *ASH1* levels. The relative level of Ash1 enrichment at each site did not
344 predict the degree of change in Tup1 binding in the *ash1* mutant, and Tup1 binding was
345 still detectable at all locations in the absence of Ash1. Most genes also showed a
346 modest decrease in Rpd3 association upon removal of Ash1 (Table S4). Similar to

347 Tup1, Rpd3 binding was not eliminated. The most notable change in Rpd3 binding in an
348 *ash1* mutant occurred at the *LTE1* gene, which is distinct from the other targets we
349 examined because it is bound by Ash1 and Rpd3 but only weakly by Tup1. *LTE1* may
350 represent a small class of genes in which Ash1 plays a more significant role in
351 recruitment of the Rpd3 complex.

352 We also determined the level of Tup1 binding in a *sin3* mutant for this group of
353 genes, to determine whether Tup1 association was dependent upon Rpd3 complex
354 localization. Most did not show a substantial decrease in Tup1 binding in the *sin3*
355 mutant, similar to *HO*, suggesting Rpd3 is not generally required for Tup1 recruitment
356 (Table S4). One exception is the *UBC4/TEC1* location, which does not have substantial
357 binding of Ash1, but showed a decrease in association of Tup1 in the *sin3* mutant. This
358 suggests there could be some locations with Tup1/Rpd3 dual association in which Rpd3
359 contributes to Tup1 recruitment.

360

361 **Ash1 and Tup1 associate with sequences encompassed within two nucleosomes** 362 **of the *HO* promoter**

363 In addition to identifying non-*HO* targets for Ash1, we planned to use the Ash1-
364 V5 ChIP-Seq data to resolve some questions regarding the identity of Ash1 binding
365 sites within the *HO* promoter. Our previous attempts to locate Ash1 binding sites based
366 upon available data had been unsuccessful (See S2 Appendix for details). To identify
367 an Ash1 binding motif from the genome-wide ChIP-Seq data, we used the central 100-
368 bp surrounding the summit of the Ash1 peaks to search for motifs using the MEME-suite
369 [55] and Homer [56]. The two most significant motifs identified by MEME are shown in
370 Figure S7. Motif 1 has low complexity, consisting largely of poly-A stretches, and was
371 identified in 28% of the Ash1 peak sequences searched (Table S3). This result is
372 consistent with the presence of most Ash1 peaks within NDRs, which are frequently
373 characterized by stretches of As and Ts [57]. Motif 2 resembles the binding site for

374 Mcm1 [58, 59] and was identified in 20% of the sequences (Table S3). Mcm1 is an
375 alpha helix transcription factor of the MADS box family that regulates expression of
376 many genes, often in conjunction with interacting partner proteins at adjacent binding
377 sites [60, 61]. Sites for other transcription factors, such as Ume6, were identified in
378 smaller subsets of peaks using Homer. No clear consensus motif emerged from either
379 analysis or from additional searches using only ATR sites or Ash1 peaks within NDRs.
380 We therefore suggest that Ash1 displays considerable flexibility in DNA recognition
381 and/or that Ash1 binding to some locations is stimulated by interactions with other
382 nearby DNA-binding factors (See S2 Appendix).

383 The possibility that Ash1 binds to a number of degenerate sequences suggests
384 there may be multiple sites of Ash1 association at both the Upstream and Downstream
385 Site locations of the *HO* promoter. These two peaks of Ash1/Tup1 binding coincide with
386 the two nucleosomes of the *HO* promoter that flank the Swi5 binding sites [Nucleosome
387 positions determined by MNase-Seq are shown in Fig 1A, 5; depicted by the yellow
388 nucleosomes at -1890 and -1215 in Fig 5A]. To determine whether the sequence of
389 these two nucleosomes contains most or all redundant sites of Ash1/Tup1 recruitment
390 to the *HO* promoter, we replaced both nucleosome sequences, either singly or in
391 combination, with the sequence of a positioned nucleosome from within the *CDC39*
392 open reading frame. The sequence changes necessitated using different ChIP primers,
393 indicated by the PCR amplicons upstream of the -1890 nucleosome and downstream of
394 the -1215 nucleosome (Fig 5A). Replacement of the -1890 nucleosome slightly but
395 significantly diminished binding of both Ash1 and Tup1 upstream of this nucleosome
396 (“*HO* Left” Primers, Fig 5B and C) but not downstream of the -1215 nucleosome (“*HO*
397 Right”, Fig 5B and C). Likewise, replacement of the -1215 nucleosome dramatically
398 decreased binding of both Ash1 and Tup1 downstream of this nucleosome but not
399 upstream of the -1890 nucleosome. Thus, substitution of a single nucleosome affects
400 Ash1/Tup1 ChIP levels in the vicinity, but does not affect Ash1 or Tup1 at the more

401 distant relevant nucleosome. Substitution of both nucleosomes resulted in levels of
402 Ash1/Tup1 binding at the “*HO* Right” location similar to replacement of the -1215
403 nucleosome alone (Fig 5B and C, Right). Double nucleosome replacement also
404 diminished Ash1 binding at the “*HO* Left” location to a level similar to the single -1890
405 replacement, as expected (Fig 5B, Left). We did not observe the same effect for Tup1,
406 because there was not an appreciable reduction in Tup1 binding at the “*HO* Left”
407 location with substitution of both nucleosomes (Fig 5C, Left). This may be a
408 consequence of substantially reduced binding of Tup1 at the Upstream Site relative to
409 the Downstream Site (Fig 1B); the Upstream Site has a much smaller dynamic range,
410 and it may be more difficult to detect slight differences in Tup1 binding due to sequence
411 changes.

412

413 **Sequence replacement of two *HO* nucleosomes has a greater effect than an *ash1*** 414 **mutation**

415 As noted earlier and detailed in S2 Appendix, we mutated a variety of putative
416 Ash1 binding site motifs but saw only modest effects on either Ash1 or Tup1 binding, or
417 on expression of the *HO* gene. Significantly, replacement of the -1215 nucleosome had
418 a greater effect on Ash1/Tup1 ChIP levels than any of the mutation combinations we
419 had previously tested. However, the decreased dynamic range at the Upstream Site
420 made it more difficult to determine the significance of the diminished binding due to
421 replacement of the -1890 nucleosome. We therefore examined whether the changes in
422 Ash1/Tup1 binding in the nucleosome replacement strains caused expected increases
423 in *HO* expression, reasoning that if most or all Ash1 association sites were eliminated
424 by the substitutions, *HO* expression should increase to the level observed in an *ash1*
425 mutant.

426 Substitution of the -1890 nucleosome alone did not significantly affect *HO*
427 expression (Fig 5D), which is consistent with the observation that the level of Ash1/Tup1

428 binding is much less at this nucleosome than at the -1215 nucleosome (Fig 1A).
429 Substitution of the -1215 nucleosome did increase *HO* expression (Fig 5D), but the level
430 of increase was much less than might be expected, given the substantial loss of
431 Ash1/Tup1 association at the downstream site (Fig 5B and C, Right). However,
432 substitution of both nucleosomes led to a more dramatic increase in *HO* expression,
433 similar to an *ash1* mutant (Fig 5D). This level of *HO* expression was higher than in the -
434 1215 substitution alone. Thus, Ash1/Tup1 binding was reduced most substantially by
435 the -1215 substitution and more so by the double mutant, while *HO* expression was
436 affected only partially by the -1215 substitution but very substantially by the double
437 substitution. These results suggest that binding of Ash1 occurs predominantly within the
438 sequence of the -1890 and -1215 nucleosomes and that the -1890 nucleosome is nearly
439 as critical for *HO* regulation as the -1215 nucleosome, though the level of binding is
440 much less.

441

442 **Sites of Ash1, Tup1, and Rpd3 co-occupancy are depleted for nucleosomes**

443 The experiments above demonstrate that at the *HO* promoter, the majority of
444 Ash1 and Tup1 binding occurs to sequences that appear to be within nucleosomes,
445 determined by MNase mapping of nucleosome density in logarithmically growing cells
446 [5]. Many transcription factors associate with sites that are in regions depleted of
447 nucleosomes (Nucleosome Depleted Regions, NDRs) and the presence of
448 nucleosomes generally inhibits binding of transcription factors [1]. To determine whether
449 the Ash1/Tup1 binding at *HO* is unique or whether Ash1 is more likely to bind within
450 sites of higher nucleosome density than other transcription factors, we compared the
451 ChIP-Seq enrichment signals for Ash1-V5, Tup1-V5 and Rpd3-V5 with genome-wide
452 MNase-Seq data [5]. Heat maps displaying the nucleosome density from -750 to +750
453 nucleotides relative to the summit of each Ash1 peak show that the central portion of
454 the majority of Ash1 peaks lies within a region of low nucleosome density (Fig 6A).

455 Peaks near the bottom of the heat map are more similar to *HO* in that they overlap with
456 higher nucleosome densities. Like most transcription factors, Ash1 binding therefore
457 largely occurs within NDRs, but a subset of locations has Ash1 association over
458 nucleosomes, as measured in a bulk population of cells.

459 Similar plots for Tup1-V5 and Rpd3-V5 peaks demonstrate that each of these
460 factors also has a group of peaks that overlap with NDRs, though the fraction of peaks
461 with NDRs is less than for Ash1-V5 (Fig 6B and 6C). Of the three factors, Rpd3-V5 is
462 the least likely to be recruited to sites within NDRs, consistent with the observations that
463 Rpd3 has a more broadly repressive role and a known enzymatic function targeting
464 nucleosomes [54]. As expected, plotting nucleosome density for only the ATR co-
465 localized peaks shows a pattern similar to that for Ash1, with the majority of peaks
466 overlapping regions of less nucleosome density (Fig 6D). Many of the Tup1 and Rpd3
467 peaks with low nucleosome density are thus sites of co-localization with Ash1. However,
468 both factors clearly have additional binding locations within NDRs, consistent with the
469 fact that both are recruited by transcription factors other than Ash1, which may also
470 associate with sites of low nucleosome density.

471 To specifically identify ATR peaks other than *HO* that overlap with nucleosomes,
472 we next categorized each intergenic ATR peak based upon the position of the Ash1
473 peak summit relative to mapped NDRs and nucleosomes. Peaks were placed into one
474 of three categories (Table 2, Table S3). “NDR” or “Nucleosome” peaks are those for
475 which the summit of the Ash1 peak intersects with a mapped NDR or nucleosome,
476 respectively. “Nucleosome/NDR Boundary” peaks are those located at the edge of a
477 nucleosome or NDR, such that the summit of the peak lies within 25-bp of the edge of a
478 mapped nucleosome. Some peaks were discarded from the analysis due to poorly-
479 defined nucleosomes or insufficient MNase-Seq coverage from redundant sequence.

480

Table 2. Relationship of ATR Intergenic Peaks to Nucleosome Density

	Number of Peaks ^a	Percent of Peaks
Nucleosome Depleted Region (NDR)	99	74%
Nucleosome / NDR Boundary ^b	18	13%
Nucleosome	17	13%

^a The 161 “Intergenic” ATR peaks from Table 1A were used for analysis. 24 ATR peaks could not be scored due to location within a region with poorly defined nucleosomes. An additional three were double peaks, in which only the larger of the two peaks was scored. The total shown here is 134.

^b Peaks for which the Ash1 summit was within 25-bp of the edge of a mapped nucleosome.

481 Three-quarters of the intergenic ATR peaks were positioned within NDRs (Table
482 2). The remainder were split between those that showed localization at an
483 NDR/Nucleosome boundary and those positioned within nucleosomes. The type of ATR
484 peaks similar to those at *HO* (Fig 1A) are thus in the minority, with only 13% of ATR
485 intergenic peaks in which the sites of co-localization are found within mapped
486 nucleosomes. Examples of each peak type are shown in Figure S8.

487

488 **Association of Ash1 and Tup1 with the *HO* promoter requires the Swi5 activator** 489 **and nucleosome eviction**

490 Since a minority of Ash1 peaks are localized within nucleosomes, we considered
491 the possibility that the Ash1 may not be physically able to bind to sequences within a
492 nucleosome. Given that most Ash1 binding occurs within NDRs, a more likely scenario
493 may be that at the “Nucleosome” sites, eviction would transiently reveal the Ash1
494 binding site, allowing Ash1 to bind and influence transcription.

495 We investigated this possibility using the *HO* gene, since previous studies have
496 shown that *HO* promoter nucleosomes are evicted as the cell cycle progresses [7]. In

497 cells synchronized by a *GAL::CDC20* arrest and release protocol, Ash1 binds to the *HO*
498 promoter at 25 min after the release point [Fig 7A; 27]. This occurs 5 min after the Swi5
499 transcription factor binds to the promoter (20 min following release) but before *HO*
500 transcription occurs [starting at 30 minutes and peaking at 50 minutes following release;
501 Fig 7A; 7, 21]. Binding of Swi5 is the initial event that catalyzes a series of steps leading
502 to activation of *HO* transcription. Swi5 recruits coactivators to the promoter, including
503 the SWI/SNF chromatin remodeling complex, causing eviction of nucleosomes
504 throughout and beyond URS1 [7, 62]. The -1890 and -1215 nucleosomes containing
505 Ash1 sites of association have already been evicted from URS1 at the 25 min time point
506 when Ash1 binds [7, 62]. Thus, it is likely that Ash1 is able bind to the *HO* promoter at
507 this particular time because the nucleosomes covering its binding sites have been
508 removed. If so, Ash1 may be similar to other transcription factors whose binding is
509 restricted to NDRs.

510 If Ash1 requires nucleosome eviction at the *HO* promoter to promote binding, we
511 expect that if we remove the capacity for nucleosome eviction, Ash1 should be
512 incapable of binding. To examine this possibility, we constructed strains for measuring
513 Ash1 binding in the absence of the Swi5 pioneer transcription factor. Without Swi5,
514 there is no recruitment of SWI/SNF and no nucleosome eviction at the *HO* promoter [7].
515 We constructed strains with Swi5 binding site mutations a3 and b3 [63], which eliminate
516 both Swi5 binding and *HO* expression, and assessed whether Ash1 and Tup1 could
517 bind to the *HO* promoter in these conditions. ChIP assays showed that both proteins
518 were virtually eliminated from the *HO* promoter in the strain with mutated Swi5 binding
519 sites (Fig 7B). In contrast, the Ume6 repressive transcription factor, which associates
520 with the *HO* promoter at a site that lies at least partially within a linker region [27], was
521 not as strongly affected.

522 If Ash1 and Tup1 are unable to associate with the *HO* promoter in the absence of
523 Swi5 because a nucleosome excludes them from binding, then experimental removal of

524 the nucleosome should restore binding even in the presence of mutated Swi5 binding
525 sites that prevent SWI/SNF recruitment. We therefore constructed a strain in which we
526 introduced Reb1 binding sites within the -1215 nucleosome (Fig 7C; labeled “Nucl Δ ”).
527 Reb1 binding sites exclude the formation of nucleosomes [64, 65]. We first performed
528 histone H3 ChIP analysis to demonstrate that the Reb1 sites had changed the
529 nucleosome density around the -1215 region. Primer sets 1 and 4, which lie outside of
530 the -1215 nucleosome sequence, displayed either modest reduction (set 1, orange) or
531 no change (set 4, purple) in H3 ChIP upon addition of the Reb1 binding sites (Fig 7D;
532 compare “Swi5 Site Mut” to “Swi5 Site Mut Nucl Δ ”). In contrast, primer sets 2 and 3,
533 which overlap the -1215 nucleosome, showed dramatically decreased H3 ChIP
534 enrichment when Reb1 binding sites were added (Fig 7D). Thus, the Reb1 binding sites
535 were successful in reducing nucleosome occupancy over the nucleosome that contains
536 the Ash1 downstream *HO* binding site(s).

537 We next measured Ash1 binding to these mutant promoters (Fig 7E). The Swi5
538 binding site mutations eliminated Ash1 binding, in agreement with the data in Fig 7B.
539 Importantly, the reduction in nucleosome density caused by the Reb1 binding sites
540 partially restored Ash1 binding, despite the absence of Swi5 and recruitment of the
541 SWI/SNF remodeler. The Reb1 site eliminated the -1215 nucleosome, but the -1890
542 remained; synergy in binding between Ash1 at the -1890 and -1215 regions could
543 provide a possible explanation for why the Reb1 site insertion only partially restored
544 Ash1 binding. These experiments suggest that Ash1 binding to the *HO* promoter
545 requires the nucleosomes covering its binding sites to be evicted, thereby exposing the
546 binding sites. Thus, the *HO* promoter must undergo its initial activation steps in order for
547 the Ash1 and Tup1 repressors to bind. This adds another level of complexity to our
548 knowledge of *HO* promoter regulation and suggests an interplay between activation and
549 repression factors is necessary for appropriate *HO* expression.

550

551 DISCUSSION

552 We have shown previously that the Tup1 corepressor functions as a negative
553 regulator of *HO* expression, and here we demonstrate that Ash1 is the predominant
554 recruiter of Tup1 to the *HO* promoter. ChIP-Seq revealed that Ash1 binds to many
555 additional sites throughout the *S. cerevisiae* genome and colocalizes with Tup1 at 95%
556 of these sites, most of which are also bound by Rpd3 (Fig 4A). Characterization of these
557 sites provides insight into the genome-wide role of Ash1/Tup1/Rpd3 and aids in
558 understanding the complexity and unique nature of *HO* promoter regulation.

559

560 **Ash1 provides a mechanism for differential expression between mother and**
561 **daughter cells via recruitment of Tup1 and Rpd3**

562 Sites of Ash1/Tup1/Rpd3 association tend to be located within large intergenic
563 regions (Fig S6), suggesting they contribute to regulation of some of the more complex
564 yeast promoters. Ash1 appears to be one of multiple contributors to Tup1 and Rpd3-
565 mediated repression, as loss of Ash1 often caused only slight to moderate reductions in
566 Tup1 and Rpd3 association with the promoters we tested (Table S4). This data supports
567 previous studies showing that deletions of individual recruiters do not change the
568 genome-wide Tup1 binding pattern, and the number of recruiter binding sites at a given
569 location correlates with the occupancy of Tup1 [42]. Tup1 and Rpd3-regulated genes
570 may therefore have the capacity to respond to multiple different pathways, with each
571 repressor directing association of Tup1 and/or Rpd3 under a unique set of conditions.
572 Many Tup1-Cyc8 recruiters respond to environmental signals; others limit Tup1
573 repression to a particular cell type. Because Ash1 protein is present predominantly in
574 daughter cells, it is predicted to have much less of a repressive effect in mother cells;
575 thus, Ash1 contributes a unique cell-type specific mode of Tup1 and/or Rpd3 action.

576 We identified the ORFs downstream of sites of Ash1 localization, for which Ash1
577 could play a regulatory role (Table S5). For some of these genes, we can speculate how

578 a repressor localized predominantly in daughter cells might be important, though for
579 many genes it is not clear how a mother-daughter distinction would be advantageous.
580 Ash1 repression of genes encoding cell wall and cell surface proteins, some of which
581 are involved in budding and cytokinesis (Table S5), could contribute to the polarity that
582 is established between mother and daughter cells. Promoters of some cell cycle
583 regulators also have Ash1 bound (Table S5). Daughter cells progress through the cell
584 cycle at a different rate than mother cells. Reduced expression of these possible Ash1
585 target genes, such as *CLN2* and *CDC6*, could contribute to the cell cycle delay in
586 daughter cells. Ash1 may also affect transcription of genes indirectly by tailoring the
587 level of expression of their transcription factors in mother versus daughter cells. Multiple
588 genes encoding DNA-binding factors have Ash1 localized to their upstream region,
589 including several that recruit Tup1-Cyc8 (Table S5). In this way, Ash1 could indirectly
590 influence the relative expression levels in mothers and daughters for a large number of
591 genes.

592

593 **Ash1's recruitment of both Rpd3 and Tup1 may explain its broad spatial and**
594 **temporal effect on *HO* transcription**

595 The *HO* promoter appears to have characteristics that are not exhibited by the
596 majority of other locations of ATR binding. First, *HO* is the only gene downstream of an
597 ATR peak that is known to be expressed exclusively in mother cells. Mother-specific
598 expression of the Ho endonuclease is critical to ensure that only one cell switch mating
599 type, allowing efficient production of a diploid from a germinating spore. For most Ash1-
600 regulated genes, it is likely that a higher level of expression in mother cells than in
601 daughter cells, without expression being completely "off" in daughters, is advantageous
602 for growth. Second, Tup1 binding to the *HO* promoter is strongly Ash1-dependent (Fig
603 1), while this is not true for most genes bound by Ash1 and Tup1 (Table S4). This
604 suggests that Tup1, along with Rpd3, is a necessary component of strong repression of

605 *HO* in daughter cells. Genes that also need to respond to environmental conditions
606 necessitate the use of additional DNA-binding repressors, leading to the observed
607 redundancy of DNA-binding factors that recruit Tup1 and Rpd3. Third, *HO* has two
608 peaks of Ash1 binding, both of which are necessary for obtaining the appropriate level
609 of *HO* expression (Fig 1A, 5). The reason for both peaks is not clear, but could involve
610 limiting the bidirectional nucleosome eviction from the Swi5 sites [62]. Nine other sites
611 throughout the genome share this feature of two peaks, some of which are located
612 between parallel ORFs, upstream of a single gene and/or approximately one kb or less
613 apart, similar to *HO* (listed as “Double” in Table S3).

614 The fourth and final feature that distinguishes *HO* from most other sites of
615 association of Ash1, Tup1 and Rpd3 is the observation that the ATR binding sites are
616 concealed by nucleosomes for much of the cell cycle. The majority of ATR sites are
617 depleted of nucleosomes, suggesting Ash1 is similar to many transcription factors,
618 which preferentially bind within NDRs as opposed to binding sites positioned within
619 nucleosomes [66]. Ash1/Tup1 binding to the *HO* promoter was substantially diminished
620 under conditions in which the nucleosomes covering the association sites could not be
621 evicted, and binding was restored when nucleosomes were depleted in the absence of
622 the normally required activators and coactivators (Fig 7). This suggests other Ash1 sites
623 that are concealed likely require dynamic modification or removal of the covering
624 nucleosome at a particular time point to allow Ash1 binding and subsequent recruitment
625 of Tup1 and/or Rpd3. Aside from *HO*, the mechanisms of activation of these promoters
626 and their associated factors are largely unknown. Investigation of the conditions in
627 which these sites are revealed could provide further insight concerning the interplay
628 between chromatin and Ash1 repression.

629

630 **The timing of nucleosome eviction may be important for asymmetric *HO***
631 **expression**

632 For *HO*, all of these features are likely necessary to constrict expression to a
633 narrow window of the cell cycle, only in mother cells. Expression of the Ho
634 endonuclease outside of this window could be detrimental or counterproductive to the
635 cell due to inappropriate cleavage of DNA. The details concerning the timing of Swi5
636 binding, exposing of binding sites concealed by nucleosomes, and subsequent Ash1
637 association, all have important consequences for the asymmetric expression of *HO* in
638 mothers and daughters [4]. Swi5 enters the nucleus as cells enter anaphase and binds
639 to the *HO* promoter. Recruitment of the SWI/SNF chromatin remodeler by Swi5 results
640 in nucleosome eviction and exposure of the Ash1 binding sites, and these events
641 probably occur at the time of cytokinesis. In mother cells, the small amount of Ash1 that
642 binds causes the promoter to be more resistant to activation, making it fully dependent
643 on Gcn5 [26]. In daughter cells, the large amount of bound Ash1 prevents the promoter
644 from being activatable, presumably because Ash1 recruits Tup1, which blocks
645 coactivator recruitment [41], and the Rpd3 deacetylase complex.

646 Ash1 is a very unstable protein and is rapidly cleared from the nucleus [67, 68].
647 Experiments show that the effects of Ash1 persist long after the protein is degraded,
648 and at promoter sites far distant from where it binds. In an *ash1* mutant, there is
649 increased association of SWI/SNF, Mediator, and SBF, and evicted nucleosomes are
650 not repopulated within the same time scale as in wild type cells [26, 62]. Ash1's ability to
651 recruit both Rpd3 and Tup1, which affect the coactivators and thereby downstream
652 promoter events, likely explains the extent and duration of Ash1's impact. Further
653 studies will be required to fully understand the mechanisms of repression by Ash1.

654

655 **A requirement for nucleosome eviction for binding of repressors suggests an** 656 **interrelationship between activation and repression**

657 Our results demonstrate that the Ash1 repressor requires initial *HO* promoter
658 activation steps for binding. This suggests that achieving appropriate *HO* expression

659 requires not simply a balance of positive and negative transcriptional activities but also
660 a coordination between them. The necessity to restrict *HO* expression to only a few
661 rounds of transcription within a short window of the cell cycle may be the driving factor
662 responsible for integration of activation and repression.

663 The observation that Ash1 is unable to associate with the *HO* promoter until
664 nucleosomes have been evicted illustrates that dynamic modification of nucleosomes
665 can be required for repression as well as activation. If the mode of Ash1 binding at other
666 intergenic sites concealed by nucleosomes is similar to the *HO* promoter, our data
667 suggests that Ash1 binding to these promoters is also restricted to a short time within
668 the cell cycle or to specific environmental conditions. These genes could therefore
669 represent additional examples of a requirement for activator binding and nucleosome
670 eviction prior to recruitment of repressors and corepressors. Such a scenario may be
671 even more prevalent in higher eukaryotic promoters, some of which require many
672 activating and repressing transcriptional regulators that associate with large enhancer
673 regions [69].

674 Coordination of positive and negative transcriptional activities could allow a fine
675 tuning of the repression response that may be necessary in cases where the activator is
676 present for a brief period of time or is relatively weak and unable to overcome robust
677 repression already established at the promoter. The repressor would thus temper the
678 coactivator response, and, in a situation such as *HO*, ensure that detrimental levels of
679 transcript are not produced. At regulated promoters, the linkage of activation and
680 repression may also allow activation to trigger a “reset” of the promoter for repression
681 until the next cell cycle. These roles of limiting transcriptional response and resetting the
682 promoter are likely not unique to the Ash1 repressor specifically, as many other proteins
683 that recruit Tup1 and Rpd3 to different sets of genes could perform similar functions.
684 The apparent redundancy of sites of recruitment for Tup1 and Rpd3 to promoters and
685 the ability of some of these sites to be regulated by nucleosome placement thus allows

686 genes to not only respond to different environmental conditions and cellular stresses but
687 also to combine accessible sites and concealed, regulatable sites within the same
688 promoter. These options for building a complex promoter may provide an important
689 level of flexibility in the transcription of highly regulated genes.

690

691 METHODS

692 **Strain construction**

693 All yeast strains used are listed in Supplemental Table S1 and are isogenic in the
694 W303 background (*leu2-3,112 trp1-1 can1-100 ura3-1 ade2-1 his3-11,15*) [70].
695 Standard genetic methods were used for strain construction [71-74]. The *ASH1-V5* C-
696 terminal epitope tag has been described previously [27]. The *TUP1-V5* and *RPD3-V5*
697 alleles were constructed as described [73], by integrating a V5 epitope tag with a
698 *HIS3MX* marker from pZC03 (pFA6a-TEV-6xGly-V5-HIS3MX), provided by Zaily
699 Connell and Tim Formosa (plasmid #44073; Addgene). For strains with the *HO* -1890
700 nucleosome replacement, *HO* promoter sequence from -1972 to -1826 was deleted and
701 replaced with *CDC39* ORF sequence from +2583 to +2729. For strains with the *HO* -
702 1215 nucleosome replacement, *HO* promoter sequence from -1288 to -1139 was
703 deleted and replaced with *CDC39* ORF sequence from +3072 to +3221. Strains with the
704 LexA site upstream of *HIS3* are derived from strain L40 [75]. A plasmid with the
705 *LexA(DBD)-NLS-3xFLAG::HphMX* construct was made in several steps (details
706 available on request), and was used to tag the C-terminus of the chromosomal *ASH1*
707 gene [73]. Strains labeled as “Swi5 Site Mut” have an *HO* promoter sequence with
708 mutations of both Swi5 binding sites A and B [a3 and b3 mutations, 63]. For the strain
709 labeled as “Nucl Δ ”, *HO* sequences from -1268 to -1262 and from -1194 to -1189 were
710 replaced with Reb1 binding sites (TTACCC), which lead to nucleosome depletion [65].

711

712 **RNA expression and Chromatin Immunoprecipitation (ChIP) analysis**

713 For logarithmic cell collection (OD_{660} of 0.6 to 0.8), cells were grown at 30°C in
714 YPA medium (1% yeast extract, 2% bactopectone, 0.002% adenine) supplemented with
715 2% dextrose [72]. Cell cycle synchronization was performed by galactose withdrawal
716 and readdition with a *GALp::CDC20* strain grown at 25°C in YPA medium containing 2%
717 galactose and 2% raffinose [21]. Synchrony was confirmed by microscopic analysis of
718 budding indices and analysis of cell-cycle regulated mRNAs (data not shown).

719 RNA was isolated from either logarithmically growing cells or synchronized cells,
720 and *HO* mRNA levels were measured by reverse transcription quantitative PCR (RT-
721 qPCR), as described previously [76]. *HO* RNA expression was normalized to that of
722 *RPR1*. *RPR1* encodes the RNA component of RNase P and is transcribed by RNA
723 polymerase III. Most genetic manipulations that affect RNA Pol II transcription do not
724 affect transcription of *RPR1*. For logarithmic cells, normalized *HO* RNA expression
725 values were graphed relative to wild type (WT) expression.

726 ChIPs were performed as described [21, 76], using mouse monoclonal
727 antibodies to the V5 epitope (SV5-Pk1; Abcam) or the FLAG epitope (M2; Sigma) and
728 antibody-coated magnetic beads (Pan Mouse IgG beads; Life Technologies). Cells from
729 either logarithmically growing cells or synchronized cells were cross-linked in 1%
730 formaldehyde for 20 min at room temperature (*Ash1*, *Swi5*) or overnight at 4°C (*Tup1*)
731 and quenched with 125 mM glycine. ChIP signals were calculated as detailed in the
732 Figure Legends. For some experiments, the concentration of ChIP DNA at the relevant
733 target gene was normalized simply to its corresponding Input DNA and also to a “No
734 Tag” control. For others, samples were first normalized to either an expected negative
735 reference control (IGR-I intergenic region of chromosome I and IGR-V intergenic region
736 of chromosome V) or a known positive reference control (*CLN3* for *Ash1*, *TEC1* for
737 *Tup1*, *INO1* for *Ume6*). For figures using a negative reference control, values were
738 graphed relative to the No Tag control. For figures using a positive reference control,
739 values were graphed relative to the wild type control.

740 Quantitative PCR (qPCR) experiments for both RNA and ChIP analysis were run
741 on a Roche Lightcycler 480 or a ThermoFisher QuantStudio 3, and concentrations were
742 determined using wild type cDNA or ChIP input for in-run standard curves via the E-
743 method [77]. Error bars represent the standard deviation of at least three biological
744 samples. The Student's t-test was used to determine significance of changes in *HO*
745 expression and factor binding between different genotypes. For all comparisons
746 mentioned in the Results and Discussion, *p*-values are indicated in the figures. For ChIP
747 tiling PCR across the *HO* promoter (Fig 1A and 1C) and time course experiments, a
748 single sample is shown for simplicity (Fig 1D and Fig 7). Triplicate biological samples for
749 the time course ChIPs in Fig 1D are shown in Fig S1. Fig 7 contains a single sample for
750 Swi5-V5, Ash1-V5 ChIP and *HO* mRNA, all of which have been confirmed via numerous
751 previous experiments [6, 7, 27, 76].

752

753 **ChIP-Seq and genomic data analysis**

754 Chromatin isolated from individual, independently collected Ash1-V5, Tup1-V5 or
755 Rpd3-V5 cell pellets was used for multiple ChIPs, performed as described above, which
756 were then pooled for each replicate. Libraries were prepared for triplicate ChIP samples
757 and a single input sample for each strain using the New England Biolabs NEBNext
758 ChIP-Seq Library Prep Reagent Set with dual index primers. Sequencing was
759 performed with an Illumina NovaSeq 6000, 150-bp paired end run (University of Utah
760 High Throughput Genomics Facility). Fastq files were aligned to the genome (UCSC
761 sacCer3) using Novocraft Novoalign version 3.8.1 [78], giving primer adapters for
762 trimming, and allowing for 1 random, multi-hit alignment. Between 10-20 million
763 fragments were mapped with an alignment rate of 98.4-99.7%, and a Pearson
764 correlation >0.9 between replicates based on genomic coverage.

765 Samples were then processed with MultiRepMacsChIPSeq pipeline version 8
766 [79]. Alignments over mitochondrial, 2-micron, rDNA, and telomeric regions were

767 discarded from analysis. Excessive duplicate alignments (36-56%) were randomly
768 subsampled to a uniform 20% for each sample. Replicates were depth-normalized,
769 averaged together, and peak calls generated with a minimum size of 200 bp, gap size of
770 100 bp, and minimum q-value statistic of 2. Peaks were further filtered using the peak
771 score (sum of q-value statistic) using a minimum cutoff of 100. Peaks were annotated
772 by intersection using bedtools [80] with interval files of either genes or intergenic
773 regions.

774 Data for heat map analysis was collected with BioToolBox `get_relative_data` with
775 the peak summit using the generated Log2 Fold Enrichment and nucleosome coverage
776 bigWig files, in 25 windows of 20 bp flanking the summit. Heat maps were generated
777 using `pHeatmap` [81] in custom R scripts.

778 To determine the position of genome-wide nucleosomes, depth-normalized
779 (Reads Per Million) nucleosomal coverage representing the middle 50% of nucleosomal
780 fragments was generated from [5] using BioToolBox `bam2wig` version 1.67 [82] by
781 shifting the alignment start position by 37 bp and extending coverage for 76 bp. Mapped
782 nucleosome calls were made with the BioToolBox-Nucleosome version 1 [83] package,
783 `map_nucleosomes` script with a threshold of 2. Nucleosome calls were filtered with the
784 `verify_nucleosome_mapping` script using maximum overlap of 35 bp and `recenter`
785 option. This identified 61,802 nucleosomes. Nucleosomal Depleted Regions were
786 generated as the reciprocal of called nucleosomes using bedtools [80] `complement`
787 function, which were then filtered for length (75-600 bp) and low residual nucleosome
788 coverage (mean RPM coverage < 2). Nucleosomal edges were generated as intervals
789 25 bp internal and 10 bp external to the edge coordinates of called nucleosome
790 intervals. ChIP peaks were intersected with nucleosome and NDR intervals using
791 bedtools.

792 Motif analysis of Ash1 peaks was performed using a 100 bp sequence interval
793 (± 50 bp from the called summit of the peak). Motifs displayed in Fig S7 were identified

794 using the MEME-suite [55], with a first order background model. Additional motif
795 analysis was performed with Homer software version 4.10.1 [56, 84], using intergenic
796 intervals as a custom background file. Additional searches were performed using only
797 ATR peaks or ATR peaks found in NDRs.

798

799 **Data availability**

800 Strains and plasmids are available upon request. Table S1 lists the strains used
801 in this study, and Table S2 lists the primers used for ChIP and RT-qPCR analysis.
802 ChIP-Seq data are available at the Gene Expression Omnibus with the accession
803 number GSE158180.

804

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813

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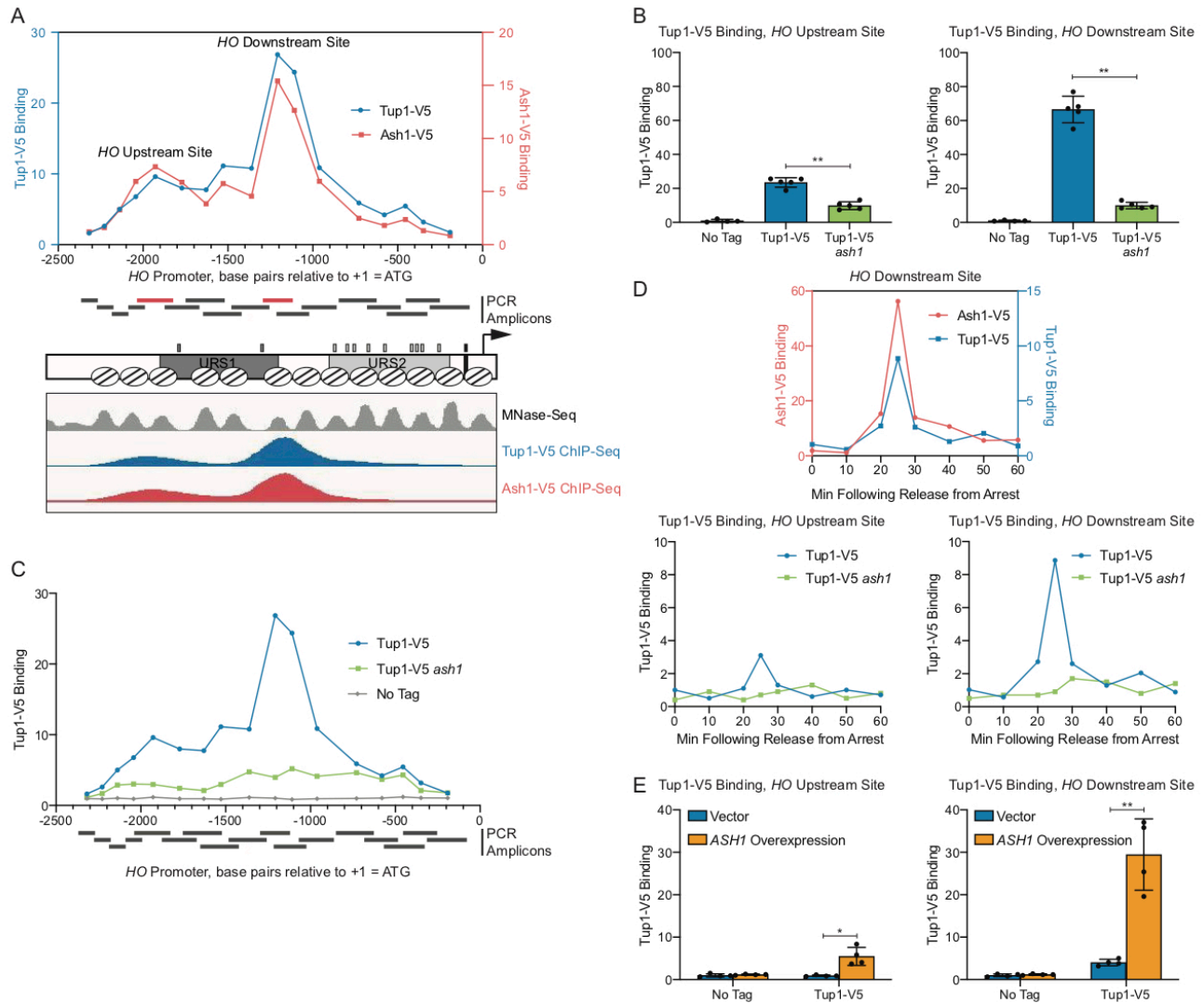
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- 1027
1028

1029 **FIGURE LEGENDS**



1030 **Figure 1. Tup1 associates with the *HO* promoter via Ash1.**

1031 (A) There are two peaks of binding at the *HO* promoter for both Tup1 and Ash1. Binding
 1032 of Tup1-V5 (blue; left y-axis) and Ash1-V5 (red; right y-axis) to the *HO* promoter was
 1033 determined by ChIP, followed by qPCR with primers that span from -2300 to -200 in 75
 1034 to 150-bp intervals. Enrichment for each sample at *HO* was normalized to enrichment at
 1035 an intergenic region on chromosome V (IGR-V) and to the corresponding input sample.
 1036 Positions of the PCR amplicons are indicated with gray bars. Points on the graph

1037 correspond to the midpoints of these amplicons, with the x-axis indicating position
1038 across the *HO* promoter. Amplicons shown in red display the highest levels of binding of
1039 Tup1 and Ash1, labeled as “Upstream Site” (-2033 to -1823) and “Downstream Site” (-
1040 1295 to -1121). A schematic of the *HO* promoter shows the positions of nucleosomes
1041 from MNase-Seq [5] as ovals with slanted lines. The positions of Swi5 binding sites
1042 (dark gray small rectangles; within URS1), SBF binding sites (light gray small
1043 rectangles; within URS2), and the TATA element (black small rectangle) are also
1044 indicated. ChIP-Seq for Tup1-V5 (blue) and Ash1-V5 (red) shown in the bottom panel
1045 displays peaks of binding at the same Upstream and Downstream Site locations as the
1046 traditional ChIP in the top graph.

1047 (B) Tup1 binding to the *HO* promoter is reduced in an *ash1* mutant at both the Upstream
1048 and Downstream sites. Tup1-V5 ChIP analysis at the *HO* promoter, showing enrichment
1049 at the Upstream Site (left; -2033 to -1823) and Downstream Site (right; -1295 to -1121).
1050 For each sample, binding at each *HO* site was normalized to its corresponding input
1051 DNA and to a No Tag control. Each dot represents a single data point, and error bars
1052 reflect the standard deviation. ** $p < 0.01$, * $p < 0.05$.

1053 (C) Tup1 binding to the *HO* promoter is not eliminated in an *ash1* mutant. Single
1054 samples of Tup1-V5 ChIP from Tup1-V5 (blue), Tup1-V5 *ash1* (green) and No Tag
1055 control (gray) strains were chosen from B and used for qPCR with primers that span the
1056 *HO* promoter, as in A. Enrichment for each sample at *HO* was normalized to enrichment
1057 at an intergenic region on chromosome V (IGR-V) and to the corresponding input
1058 sample.

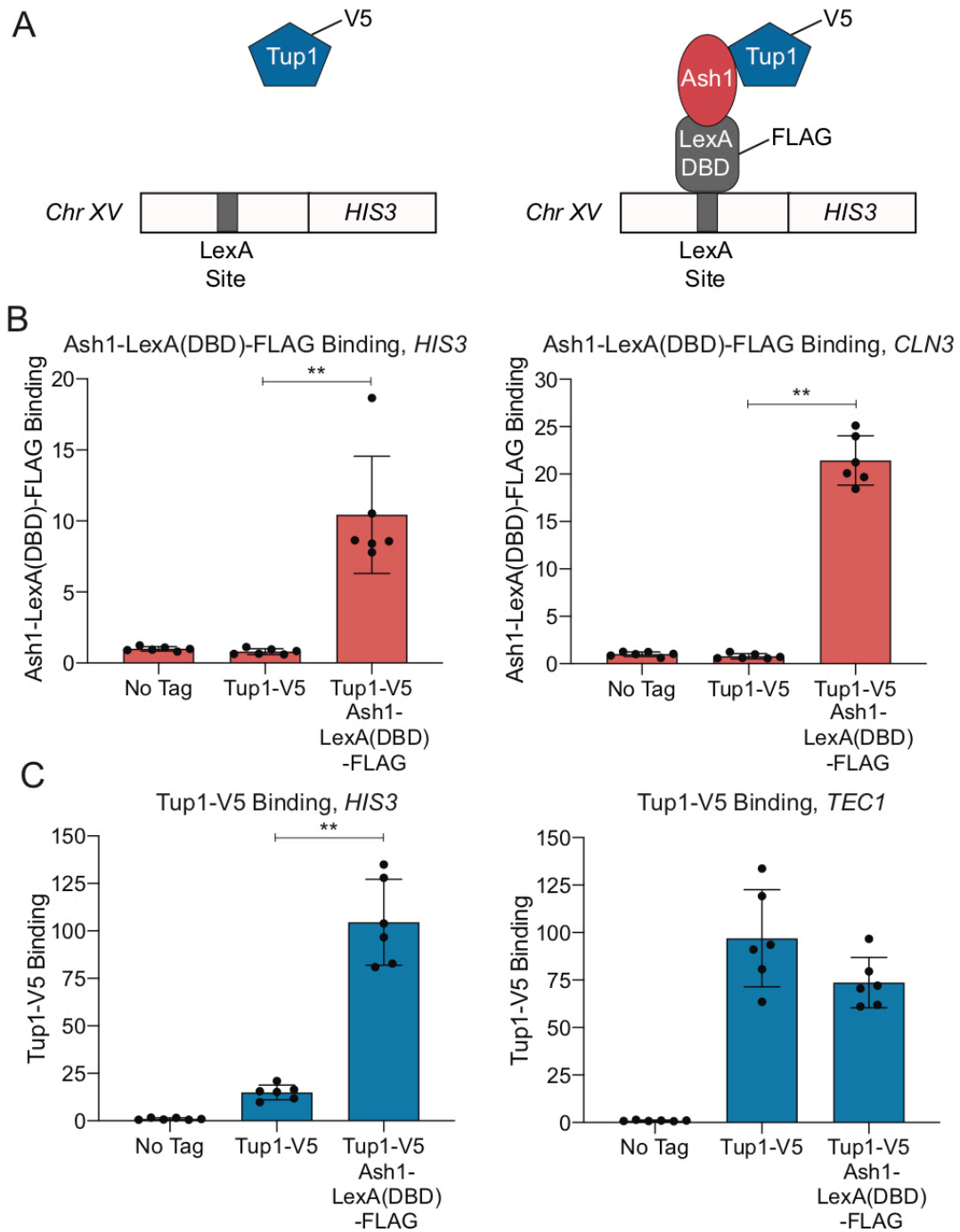
1059 (D) Tup1 and Ash1 bind to the *HO* promoter at the same time in the cell cycle. Binding
1060 of Tup1-V5 and Ash1-V5 was measured by ChIP analysis with cells containing the
1061 *GALp::CDC20* allele and synchronized by galactose withdrawal and readdition. The 0
1062 min time point represents the G2/M arrest, before release with galactose addition. Cells
1063 were harvested at the indicated time points following release (x-axis), and samples were

1064 processed for ChIP analysis. The top graph shows the coincidence of the timing for
1065 binding of Ash1-V5 (red; left y-axis) and Tup1-V5 (blue; right y-axis). Bottom graphs
1066 show binding of Tup1-V5 in wild type (blue) and *ash1* (green) backgrounds, at the *HO*
1067 Upstream Site (left) and *HO* Downstream Site (right). Enrichment for each sample at
1068 *HO* was normalized to enrichment at an intergenic region on chromosome I (IGR-I) and
1069 to the corresponding input sample.

1070 (E) *ASH1* overexpression results in increased Tup1 recruitment. Tup1-V5 ChIP analysis
1071 at the *HO* promoter, Upstream Site (left) and Downstream Site (right), is shown under
1072 conditions in which *ASH1* is overexpressed. Strains were transformed with a pRS426
1073 (YE_p-*URA3*) vector, either empty (blue) or containing *ASH1* (green). Binding at the *HO*
1074 sites for each sample was normalized to its corresponding input DNA and a No Tag
1075 control. Each dot represents a single data point, and error bars reflect the standard
1076 deviation. ** $p < 0.01$, * $p < 0.05$.

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1079 **Figure 2. Ash1-LexA(DBD)-FLAG recruits Tup1-V5 to a LexA binding site on**
1080 **chromosome XV.**

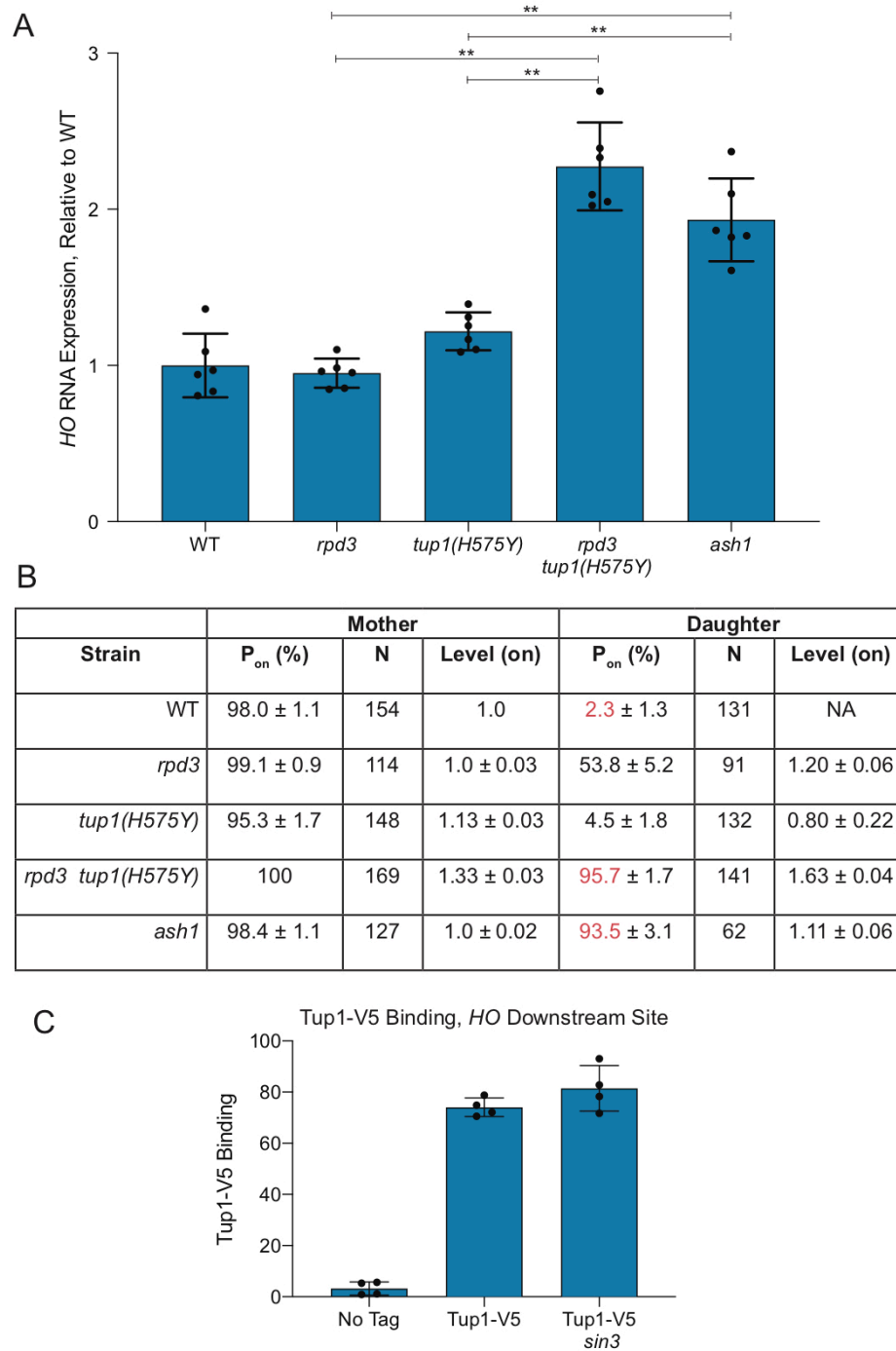
1081 (A) Schematic of experimental setup. Left – Strain with Tup1-V5 and LexA DNA-binding
1082 site integrated upstream of the *HIS3* gene on chromosome XV. Right – Strain with
1083 additional integration of Ash1-LexA(DBD)-FLAG. Recruitment of Tup1-V5 by Ash1-
1084 LexA(DBD)-FLAG brings Tup1-V5 to the LexA binding site on chromosome XV.

1085 (B) Ash1-LexA(DBD)-FLAG associates with the *HIS3* LexA site. ChIP analysis shows
1086 binding of Ash1-LexA(DBD)-FLAG to the LexA site upstream of *HIS3* (left) and to a
1087 positive control site at *CLN3* (right). Enrichment for each sample was normalized to its
1088 corresponding input DNA and a No Tag control. Each dot represents a single data point,
1089 and error bars reflect the standard deviation. ** $p < 0.01$.

1090 (C) Tup1-V5 is recruited to the *HIS3* LexA site in a strain with Ash1-LexA(DBD)-FLAG.
1091 ChIP analysis shows binding of Tup1-V5 to the LexA site upstream of *HIS3* (left) and to
1092 a positive control site at *TEC1* (right). Enrichment for each sample was normalized to its
1093 corresponding input DNA and a No Tag control. Each dot represents a single data point,
1094 and error bars reflect the standard deviation. ** $p < 0.01$.

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1098 **Figure 3. Repression of *HO* transcription via *Ash1* requires both *Tup1* and *Rpd3*.**

1099 (A) RNA analysis shows that *tup1* and *rpd3* mutations are additive. *HO* mRNA levels

1100 were measured by RT-qPCR, normalized to *RPR1*, and expressed relative to wild type.

1101 Each dot represents a single data point, and error bars reflect the standard deviation. **

1102 $p < 0.01$, * $p < 0.05$.

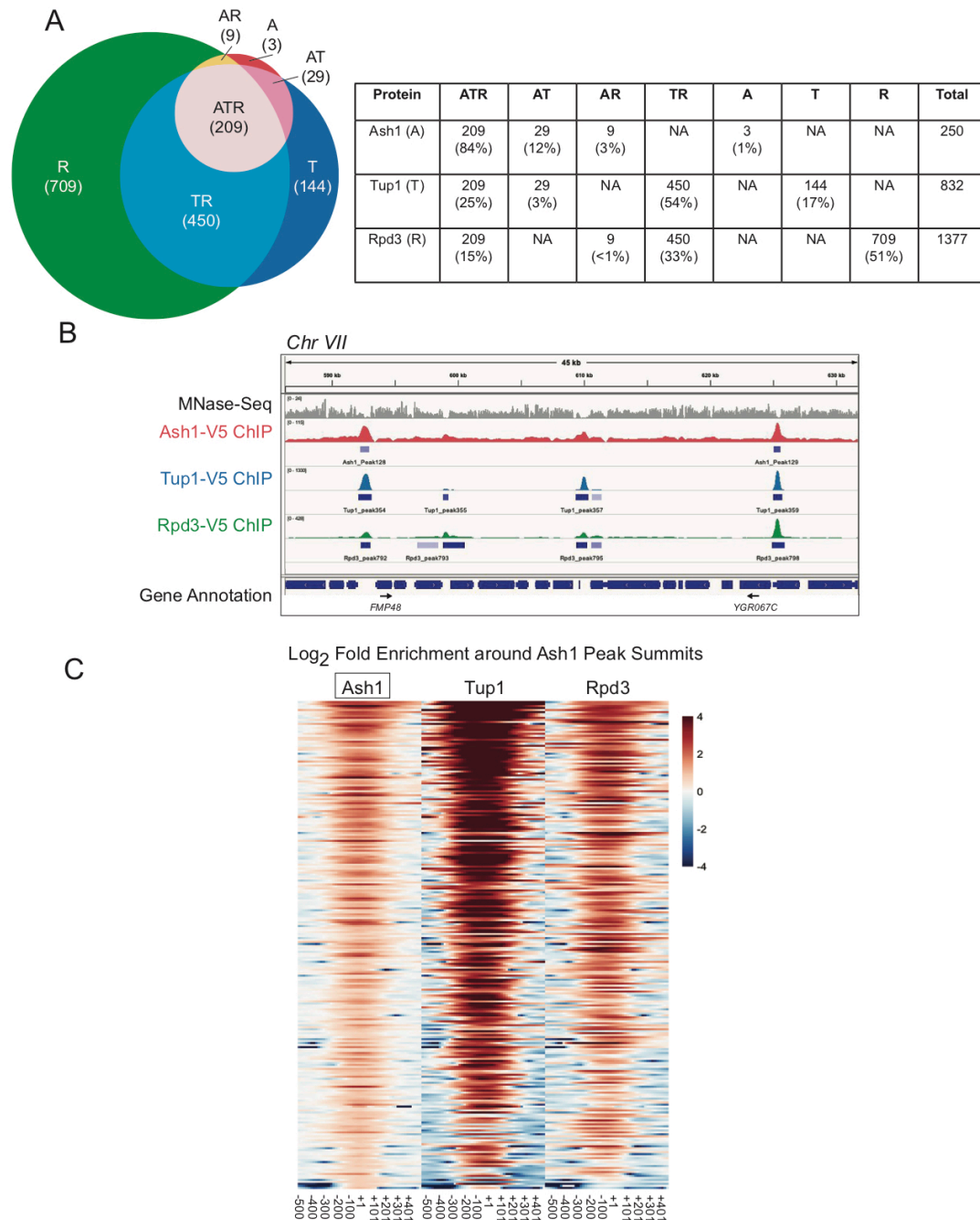
1103 (B) Single cell analysis shows that *tup1* and *rpd3* mutations are additive. Single cell *HO-*
1104 *GFP* fluorescence results for mother and daughter cells are shown, indicating the
1105 percentage of cells in which *HO-GFP* was on (P_{on}), the number of cells counted (N), and
1106 the relative levels of expression (Level – on), which were normalized to the wild type
1107 average, set at 1. Data for wild type, *rpd3* and *ash1* strains are from Zhang et al. [50].

1108 (C) Tup1 recruitment is not affected by a *sin3* mutation. Binding of Tup1-V5 to the *HO*
1109 Downstream Site (-1295 to -1121) was determined by ChIP analysis, with each sample
1110 normalized to its corresponding input DNA and a No Tag control. Each dot represents a
1111 single data point, and error bars reflect the standard deviation.

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1115 **Figure 4. Most Ash1 genomic sites are co-occupied by Tup1 and Rpd3.**

1116 (A) Sites of overlap between Ash1-V5, Tup1-V5, and Rpd3-V5 ChIP-Seq peaks were
 1117 determined. The table displays the number of peaks and percentage of peaks in each

1118 category of single factor peaks and overlapping factor peaks, where A = Ash1, T = Tup1
1119 and R = Rpd3. Overlap is shown visually in the Venn diagram at the left.

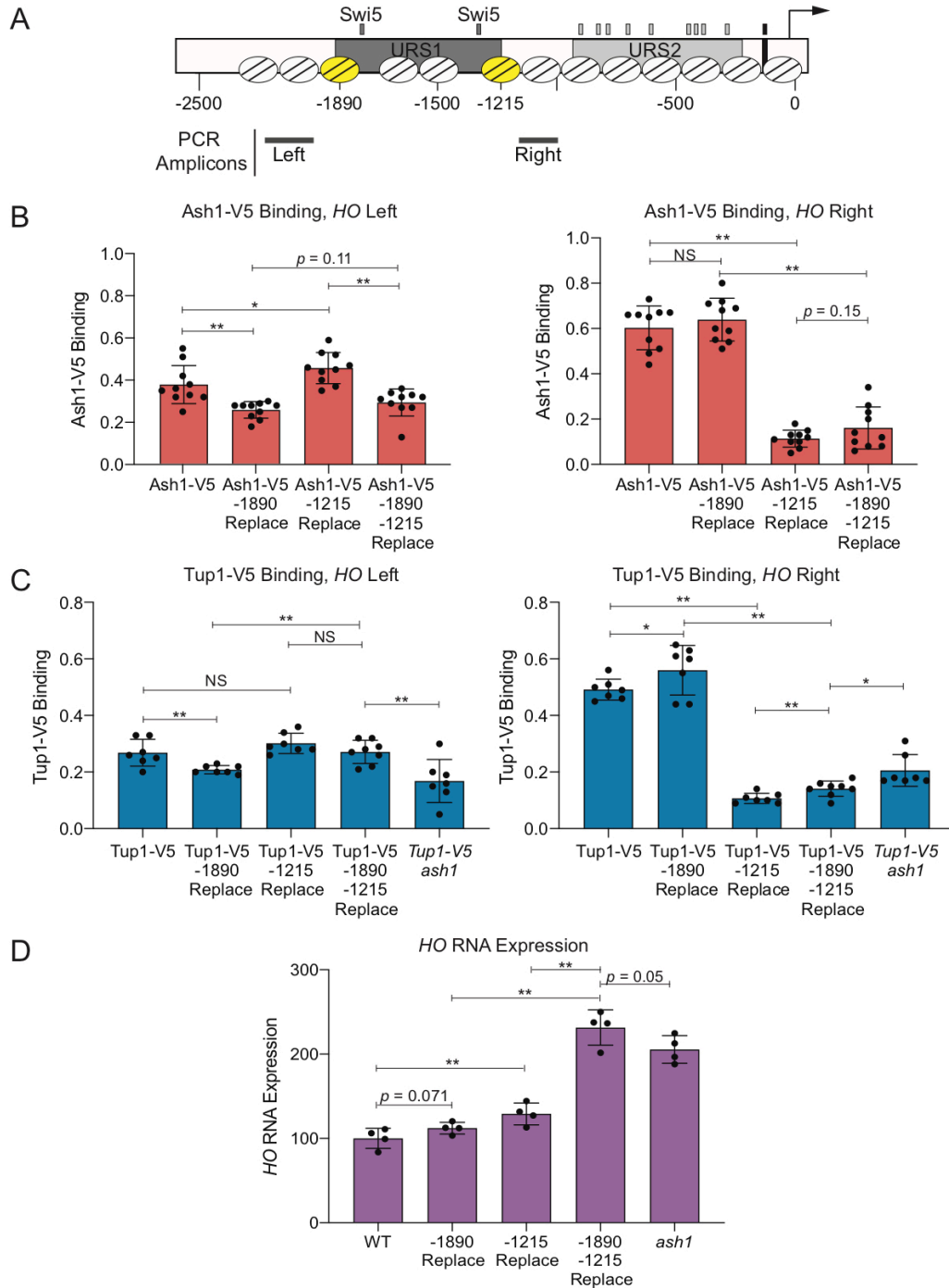
1120 (B) Snapshot of ChIP-Seq results from the Genome Browser IGV (Broad Institute),
1121 showing the sequenced fragment pileups for a portion of chromosome VII, with each
1122 factor autoscaled independently because each factor had a different ChIP efficiency.
1123 The top track (gray) shows MNase-Seq for nucleosome positioning reference [5]. The
1124 colored tracks show ChIP-Seq results for Ash1-V5 (red), Tup1-V5 (blue) and Rpd3-V5
1125 (green). The bottom track displays gene annotations. Gene names are indicated only for
1126 those with start sites downstream of a site of Ash1-V5, Tup1-V5, and Rpd3-V5 (ATR)
1127 co-enrichment. Additional snapshots are shown in Figure S4.

1128 (C) Heat maps depict the \log_2 -fold enrichment of Ash1-V5, Tup1-V5 and Rpd3-V5 at
1129 Ash1-V5 peak summits genome-wide (250 peaks), displaying enrichment from -500 to
1130 +500 nucleotides relative to the center of each Ash1-V5 peak, in bins of 100-bp. The
1131 color scale at the right indicates the level of \log_2 fold enrichment for each factor. Each
1132 horizontal line depicts a single Ash1-V5 peak of enrichment.

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1136 **Figure 5. Ash1 and Tup1 association with the *HO* promoter occurs within two**
 1137 **nucleosomes that flank the NDRs containing Swi5 binding sites.**

1138 (A) A schematic of the *HO* promoter shows upstream regulatory sequences URS1 and
1139 URS2, Swi5 binding sites (dark gray small rectangles; within URS1), SBF binding sites
1140 (light gray small rectangles; within URS2), and the TATA element (black small
1141 rectangle). Positions of nucleosomes from MNase-Seq data [5] are shown as ovals with
1142 slanted lines. The two nucleosomes substituted with *CDC39* sequence (-1890 and -
1143 1215) are indicated in yellow. Positions of the Left and Right PCR amplicons are shown
1144 as gray bars.

1145 (B) Nucleosome substitutions reduce Ash1 binding. Ash1-V5 ChIP analysis at the *HO*
1146 promoter, showing enrichment upstream of the -1890 nucleosome (“-1890 Upper”; Left;
1147 -2195 to -1998) and downstream of the -1215 nucleosome (“-1215 Lower”; Right; -1137
1148 to -978). “Replace” indicates that the sequence of the nucleosome listed (either -1890 or
1149 -1215) was substituted with the sequence of a nucleosome from the *CDC39* ORF.

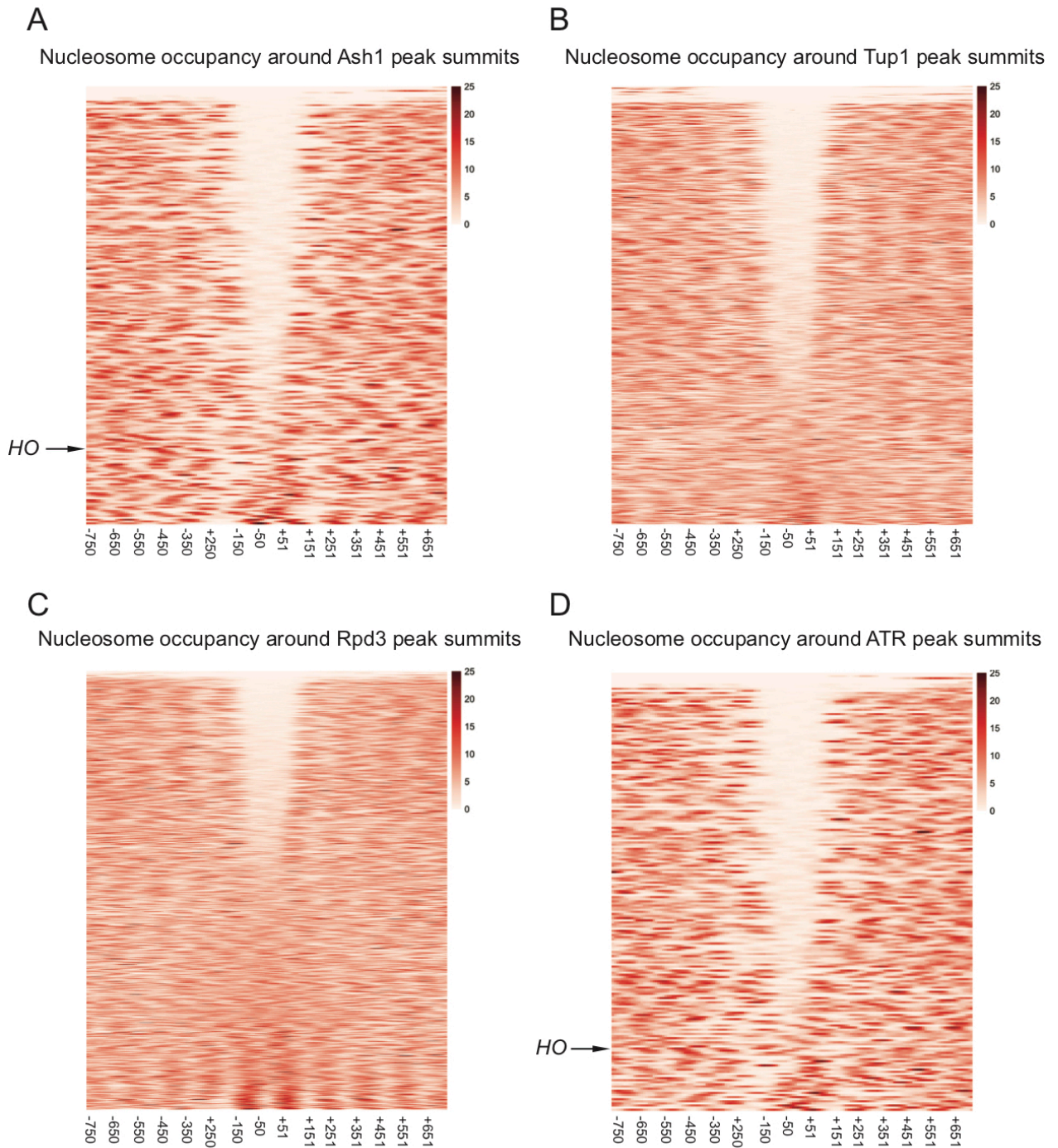
1150 Binding at each *HO* site for each sample was normalized to *CLN3* as a positive
1151 reference control and its corresponding input DNA. Each dot represents a single data
1152 point, and error bars reflect the standard deviation. ** $p < 0.01$, * $p < 0.05$.

1153 (C) Nucleosome substitutions reduce Tup1 recruitment. Tup1-V5 ChIP analysis at the
1154 *HO* promoter, performed as in B, using *TEC1* as a positive reference control.

1155 (D) Substitutions at both nucleosomes increases *HO* expression to the level observed in
1156 an *ash1* mutant. *HO* mRNA levels were measured, normalized to *RPR1*, and expressed
1157 relative to wild type. Each dot represents a single data point, and error bars reflect the
1158 standard deviation. ** $p < 0.01$, * $p < 0.05$.

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1162 **Figure 6. Sites of Ash1, Tup1, and Rpd3 co-enrichment are found within**
1163 **nucleosome depleted regions (NDRs).**

1164 Heat maps depict the nucleosome occupancy surrounding peak summits, displaying
1165 density from -750 to +750 nucleotides relative to the center of each peak, in bins of 100-
1166 bp. The color scale at the right indicates the level of nucleosome occupancy (fragments
1167 per million).

1168 (A) Each horizontal line depicts a single Ash1-V5 peak, of 250 total peaks, with the *HO*
1169 peak indicated.

1170 (B) Each horizontal line depicts a single Tup1-V5 peak, of 832 total peaks.

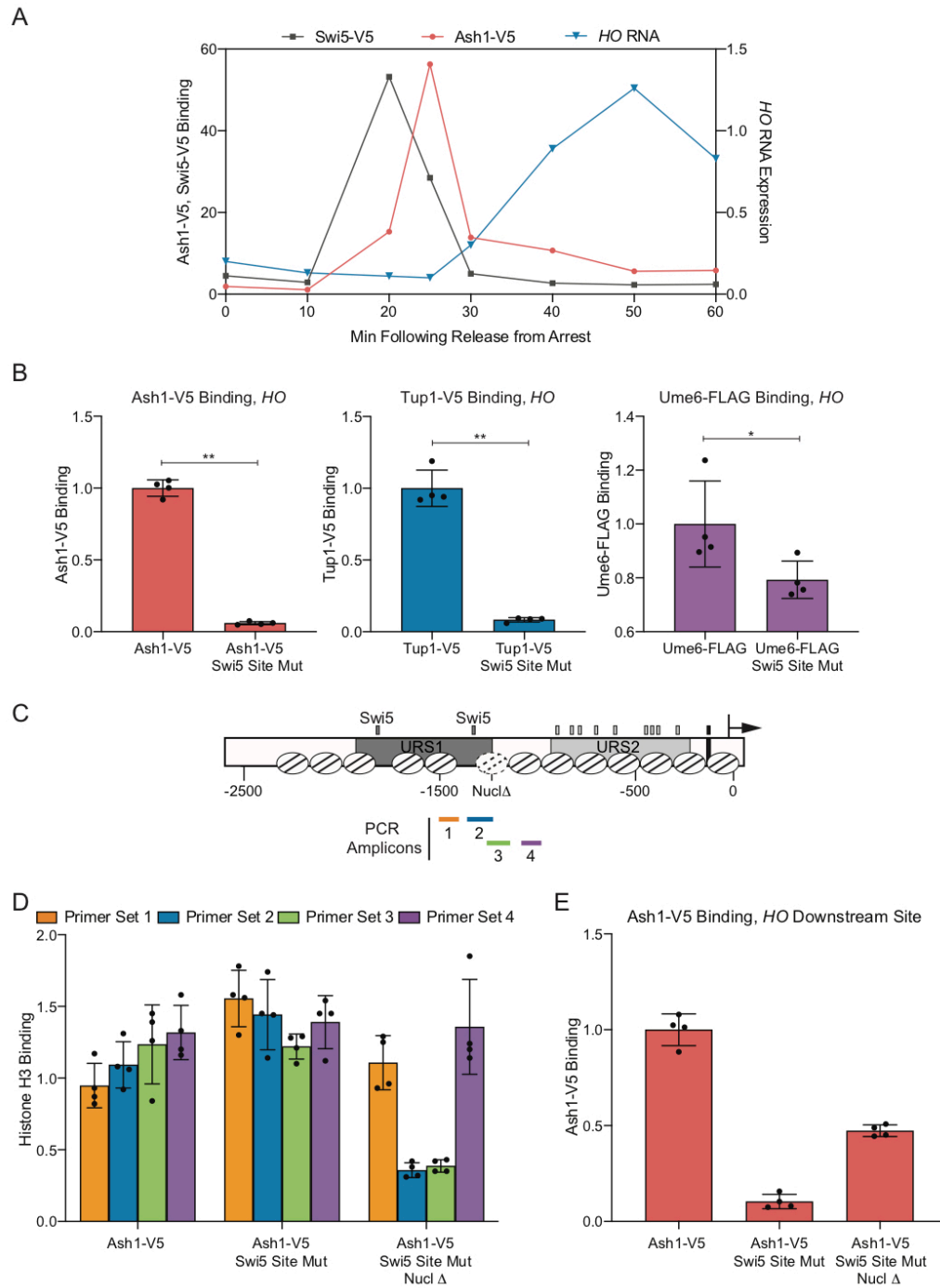
1171 (C) Each horizontal line depicts a single Rpd3-V5 peak, of 1377 total peaks.

1172 (D) Each horizontal line depicts a single peak of co-enrichment of Ash1-V5, Tup1-V5
1173 and Rpd3-V5, of 209 total peaks, with the *HO* peak indicated.

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1177 **Figure 7. Binding of the Ash1 repressor to the *HO* promoter only occurs under**
 1178 **conditions of low nucleosome density.**

1179 (A) Cell cycle time course of Swi5 binding, followed by Ash1 recruitment, and finally, *HO*
1180 expression. ChIP and *HO* mRNA analysis were performed in Swi5-V5 or Ash1-V5
1181 strains containing the *GALp::CDC20* allele and synchronized by galactose withdrawal
1182 and readdition. The 0 min time point represents the G2/M arrest, before release with
1183 galactose addition. Cells were harvested at the indicated time points following release
1184 (x-axis). Binding of Swi5 (gray; *HO* -1429 to -1158; left y-axis) and Ash1 (red; *HO* -1295
1185 to -1121; left y-axis) was normalized to enrichment at an intergenic region on
1186 chromosome I (IGR-I) and to the corresponding input sample. *HO* mRNA levels (blue;
1187 right y-axis) were normalized to *RPR1*.

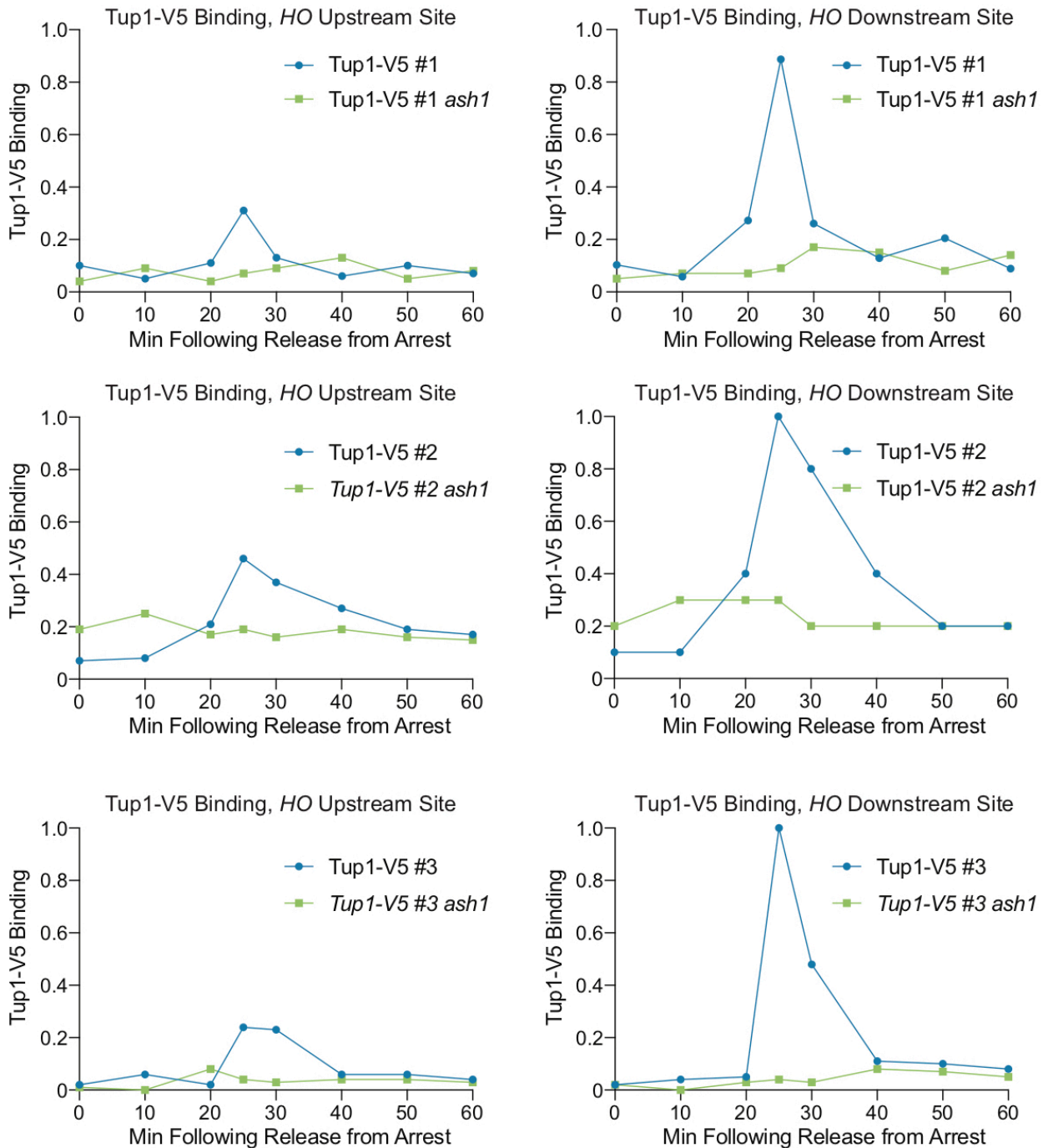
1188 (B) Swi5 binding is required for Ash1 binding and Tup1 recruitment. Ash1-V5, Tup1-V5
1189 and Ume6-FLAG ChIP analysis, followed by qPCR with primers from *HO* -1295 to -
1190 1121. “Swi5 Site Mut” indicates strains in which both Swi5 binding sites are mutated and
1191 nonfunctional for *HO* activation. Binding at *HO* for each sample was normalized to its
1192 corresponding input DNA and to a positive reference control [CLN3 for Ash1, TEC1 for
1193 Tup1 and INO1 for Ume6; 27]. Each dot represents a single data point, and error bars
1194 reflect the standard deviation. ** $p < 0.01$, * $p < 0.05$.

1195 (C) Schematic of the *HO* promoter with positions of nucleosomes from MNase-Seq
1196 shown as ovals with slanted lines. The “Nucl Δ ” nucleosome with dotted lines indicates
1197 the -1215 nucleosome targeted for displacement by introduction of two Reb1 sites
1198 (TTACCC) that substitute for *HO* sequences from -1268 to -1262 and from -1194 to -
1199 1189. Positions of the PCR amplicons are indicated with colored bars.

1200 (D) H3 ChIP shows Reb1 sites lead to nucleosome loss. Graph shows histone H3 ChIP
1201 analysis using strains that are Ash1-V5 with Swi5 wild type binding sites (Ash1-V5) or
1202 Swi5 binding site mutations (Ash1-V5 Swi5 Site Mut) or Swi5 binding site mutations and
1203 nucleosomal substitutions with Reb1 sites to displace the nucleosome (Ash1-V5 Swi5
1204 Site Mut Nucl Δ). qPCR was performed with ChIP material using the following primers:
1205 primer set 1 (orange) = *HO* -1497 to -1399; primer set 2 (green) = *HO* -1347 to -1248;

1206 primer set 3 (blue) = *HO* -1257 to -1158; primer set 4 (purple) = *HO* -1277 to -978.
1207 Binding at each *HO* site was normalized to an intergenic region on chromosome I (IGR-
1208 I) and to the corresponding input DNA and the No Tag control. ** $p < 0.01$, * $p < 0.05$.
1209 (E) Nucleosome loss partially restores Ash1 binding even in the absence of the normally
1210 required Swi5 activator. Ash1 binding was measured by ChIP, using the same
1211 chromatin samples as the histone H3 ChIP in D. Binding in each sample was measured
1212 by qPCR at *HO* -1295 to -1121 and normalized to the *CLN3* positive reference control
1213 and its corresponding input DNA. ** $p < 0.01$.
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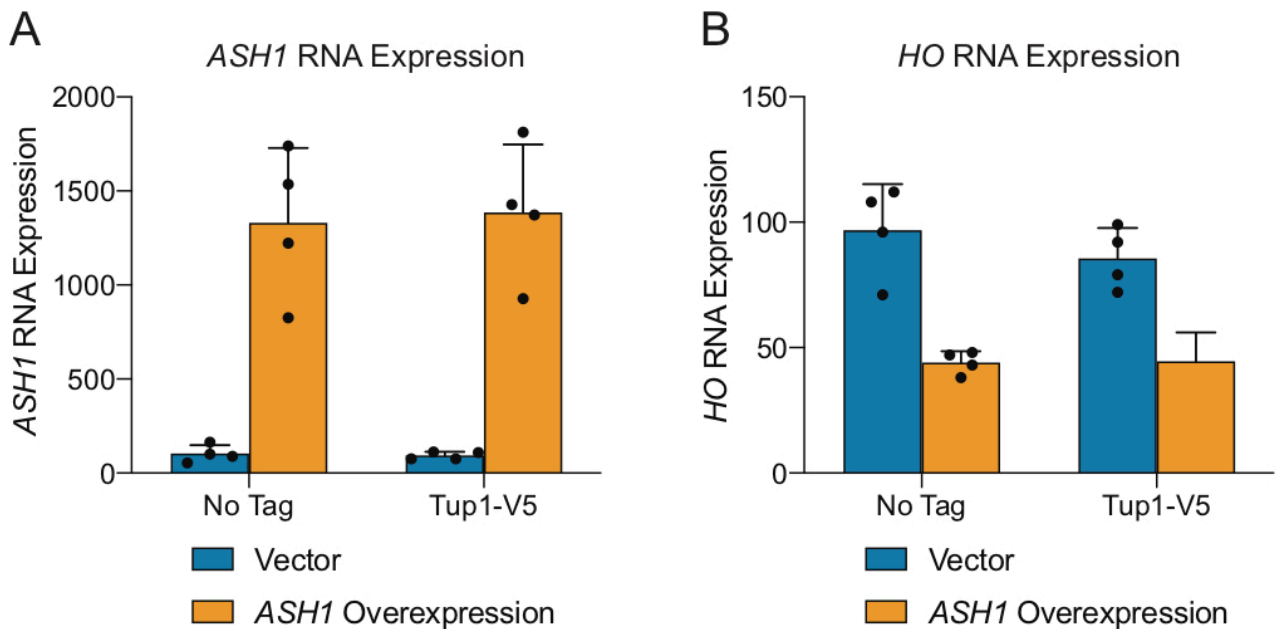
1217 **Figure S1. Ash1 facilitates Tup1 recruitment to the *HO* promoter.**

1218 Data from Figure 1D is shown along with two additional replicates of the experiment.

1219 Binding of Tup1-V5 was measured by ChIP analysis with cells containing the

1220 *GALp::CDC20* allele and synchronized by galactose withdrawal and readdition. The 0
1221 min time point represents the G2/M arrest, before release with galactose addition. Cells
1222 were harvested at the indicated time points following release (x-axis), and samples were
1223 processed for ChIP analysis. Graphs show binding of Tup1-V5 in wild type (blue) and
1224 *ash1* (green) cells, at the *HO* Upstream Site (left) and *HO* Downstream Site (right).
1225 Enrichment for each sample at *HO* was normalized to enrichment at an intergenic
1226 region on chromosome I (IGR-I) and to the corresponding input sample.
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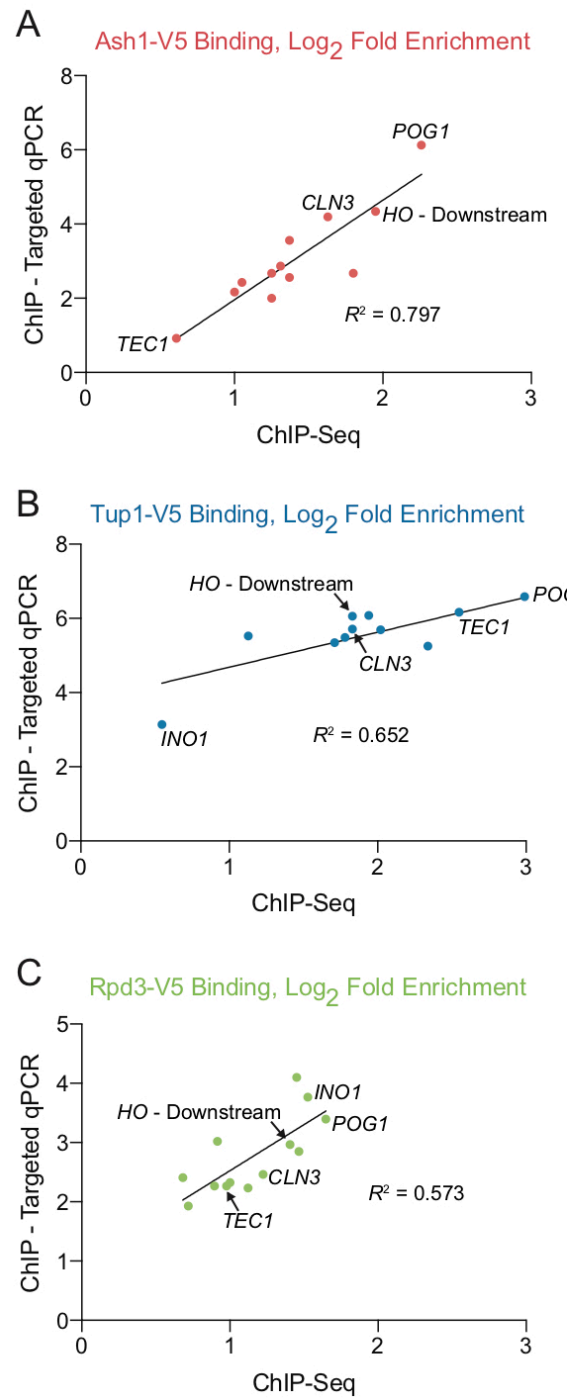
1230 **Figure S2. A multicopy *ASH1* plasmid increases *ASH1* mRNA and decreases *HO***
1231 **mRNA levels.**

1232 (A) A YEp-*ASH1* multicopy plasmid results in increased *ASH1* mRNA
1233 analysis under conditions of *ASH1* overexpression, using cell samples identical to those
1234 in Figure 1E (Tup1-V5 ChIP analysis). Strains were transformed with a pRS426 YEp-
1235 *URA3* vector, either empty (blue) or containing *ASH1* (green). *ASH1* mRNA levels were
1236 measured, normalized to *RPR1*, and expressed relative to wild type. Each dot
1237 represents a single data point, and error bars reflect the standard deviation.

1238 (B) A YEp-*ASH1* multicopy plasmid results in decreased *HO* mRNA levels. *HO* mRNA
1239 analysis under conditions of *ASH1* overexpression, using cell samples identical to those
1240 in Figure 1E (Tup1-V5 ChIP analysis). Strains were transformed with a pRS426 YEp-
1241 *URA3* vector, either empty (blue) or containing *ASH1* (green). *HO* mRNA levels were
1242 measured, normalized to *RPR1*, and expressed relative to wild type. Each dot
1243 represents a single data point, and error bars reflect the standard deviation.

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1246 **Figure S3. Correlation between targeted ChIP and ChIP-Seq.**

1247 Correlation plots showing Ash1-V5 (A), Tup1-V5 (B) and Rpd3-V5 (C) log₂ fold

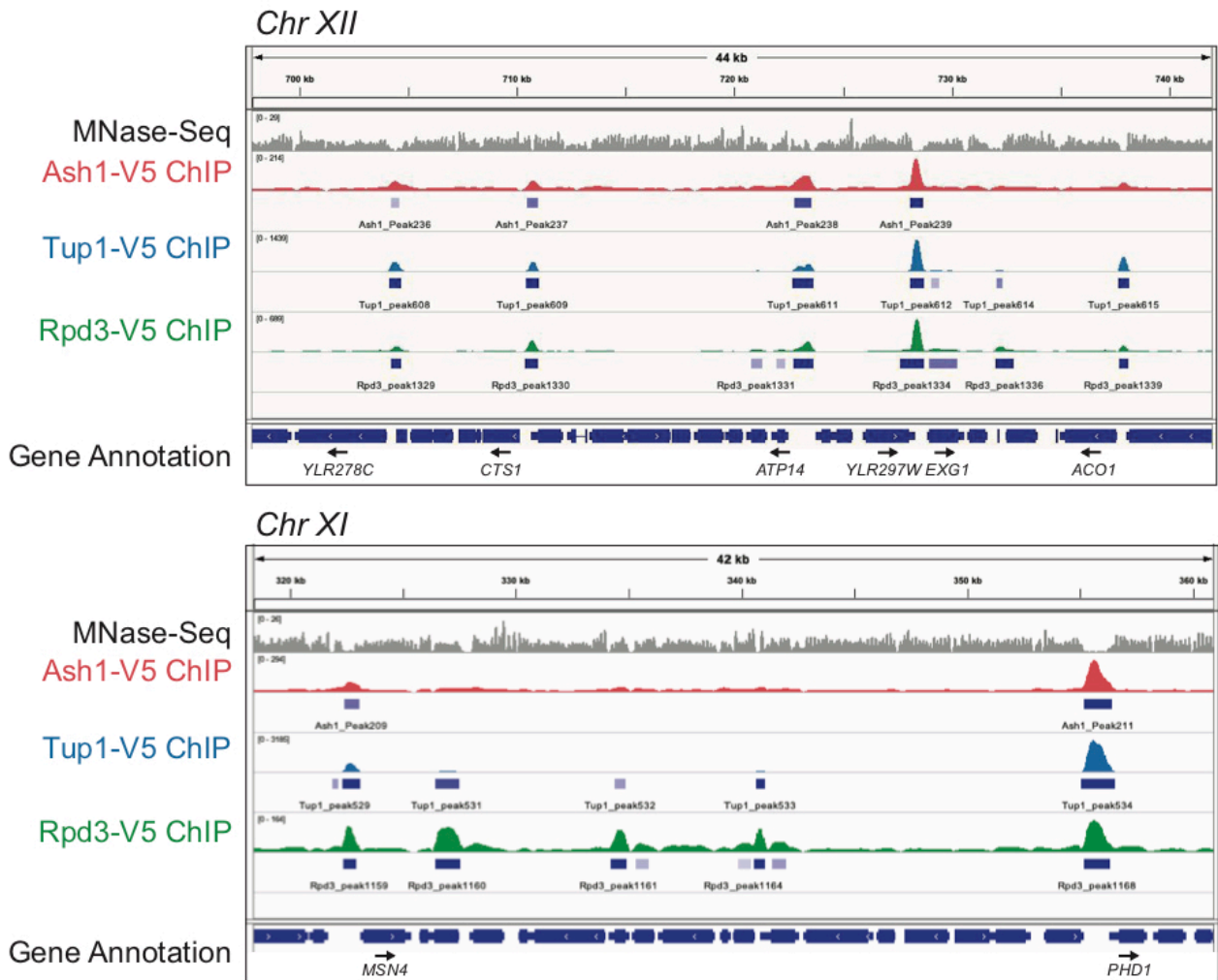
1248 enrichment signals obtained via traditional ChIP (y-axis) and ChIP-Seq (x-axis). The

1249 genes tested are detailed in Table S4. Gene common names identify some of the dots
1250 in the plots, including the *HO* Downstream site, *CLN3* (used as positive control for
1251 Ash1-V5 ChIPs), *TEC1* (used as positive control for Tup1-V5 ChIPs; very low Ash1-V5
1252 binding), *INO1* (used a positive control for Rpd3-V5 ChIPs; not bound by Ash1-V5), and
1253 *POG1* (a high-scoring Ash1-V5 peak that shows co-localization with Tup1-V5 and
1254 Rpd3-V5). The R^2 value obtained from linear regression analysis of each plot is shown.

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1258 **Figure S4. Browser snapshots to display overlap of Ash1, Tup1 and Rpd3.**
1259 Additional snapshots of ChIP-Seq results from the Genome Browser IGV (Broad
1260 Institute), showing sequenced fragment pileups for the portion of the indicated
1261 chromosome, autoscaled for each factor independently (Refer to Fig 4B for another
1262 snapshot). The top track (gray) for each set shows MNase-Seq for nucleosome
1263 positioning reference. The colored tracks show ChIP-Seq results for Ash1-V5 (red),
1264 Tup1-V5 (blue) and Rpd3-V5 (green). The bottom track displays gene annotation. Gene

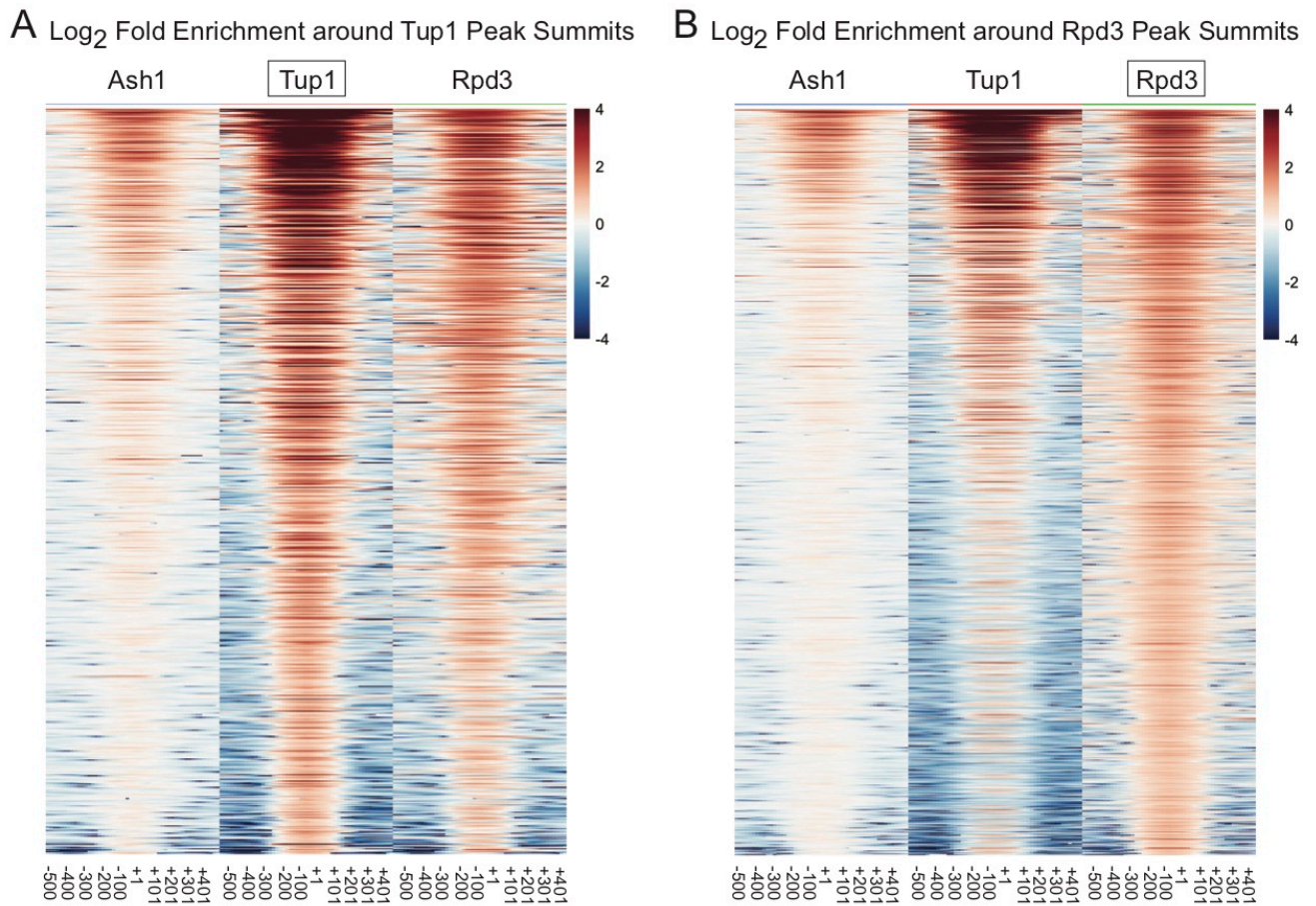
1265 names are indicated only for those with start sites downstream of a site of Ash1-V5,

1266 Tup1-V5, and Rpd3-V5 co-enrichment.

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1270 **Figure S5. Tup1 and Rpd3 show substantial overlap at many genomic locations.**

1271 Heat maps depict the log₂ fold enrichment of Ash1-V5, Tup1-V5 and Rpd3-V5 from -500

1272 to +500 nucleotides relative to the center of each reference peak, in bins of 100-bp. The

1273 color scale at the right indicates the level of log₂ fold enrichment for each factor. Each

1274 horizontal line depicts a single peak of enrichment.

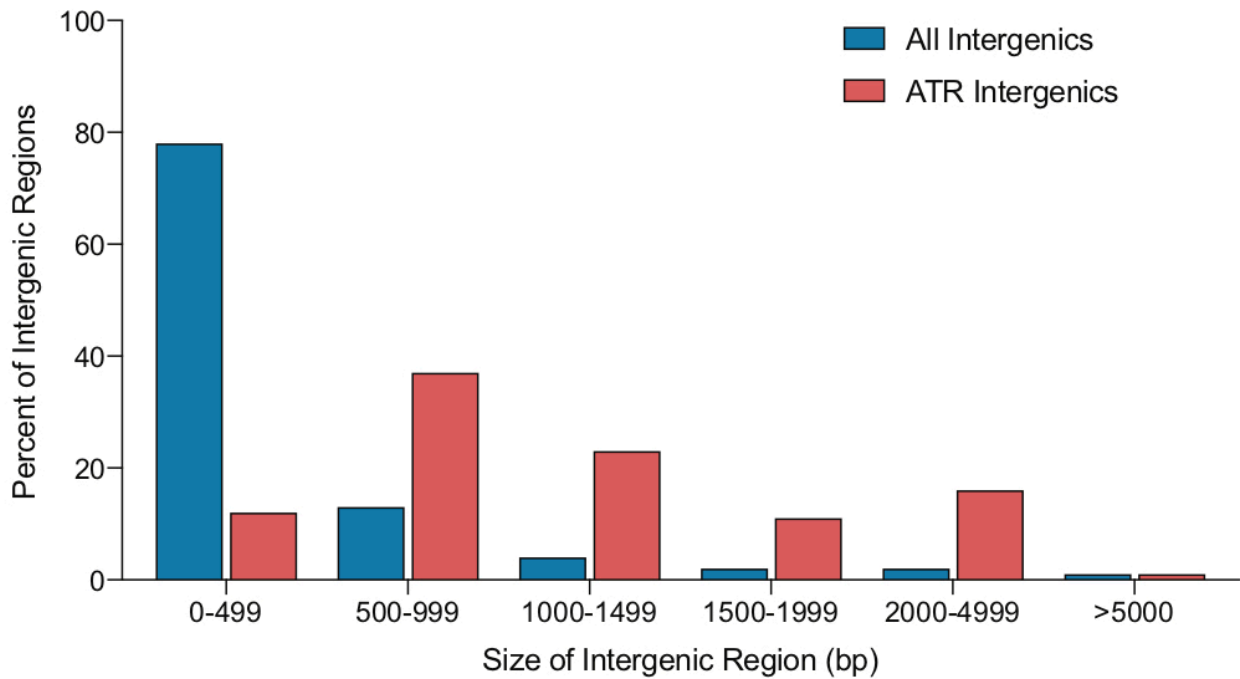
1275 (A) Tup1 peaks (858) used as the reference.

1276 (B) Rpd3 peaks (1377) used as the reference.

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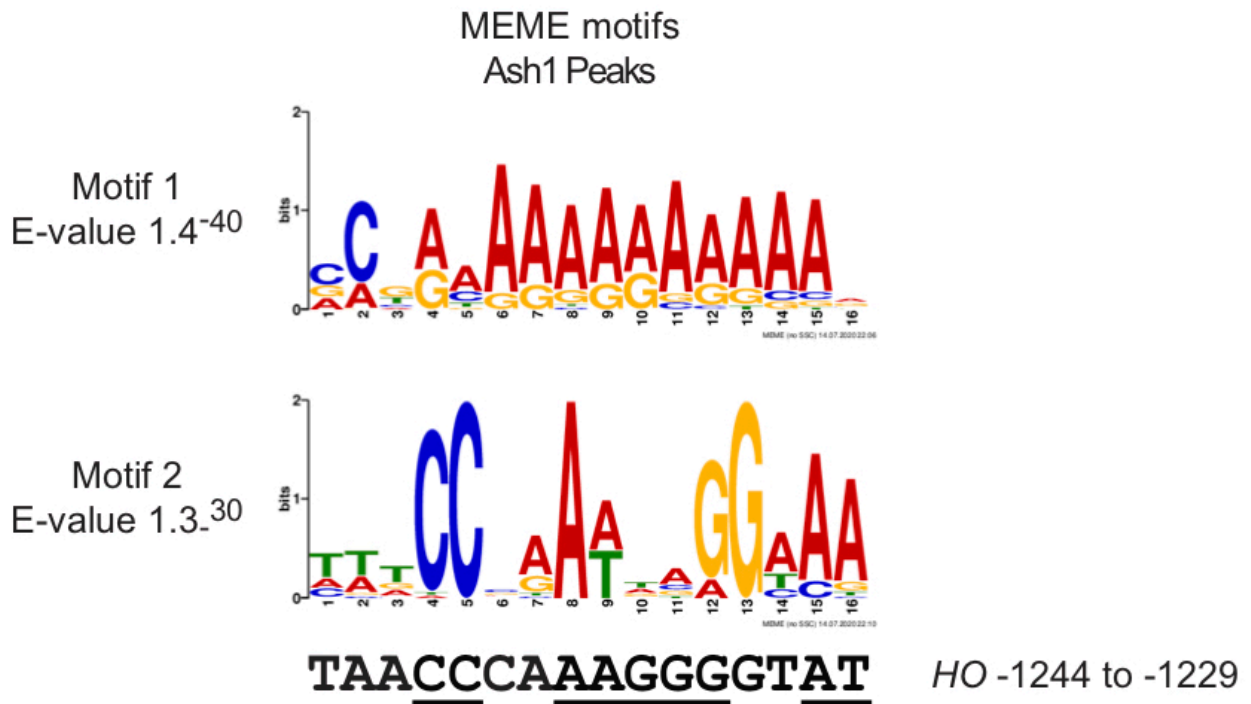
1280 **Figure S6. ATR peaks are preferentially located in very large intergenic regions.**

1281 Shown is the percent of intergenic regions (y-axis) within each of six size categories of
1282 intergenic regions (x-axis). Distribution of genome-wide intergenic regions is shown in
1283 blue, and distribution of intergenic regions containing ATR co-localized peaks is shown
1284 in red.

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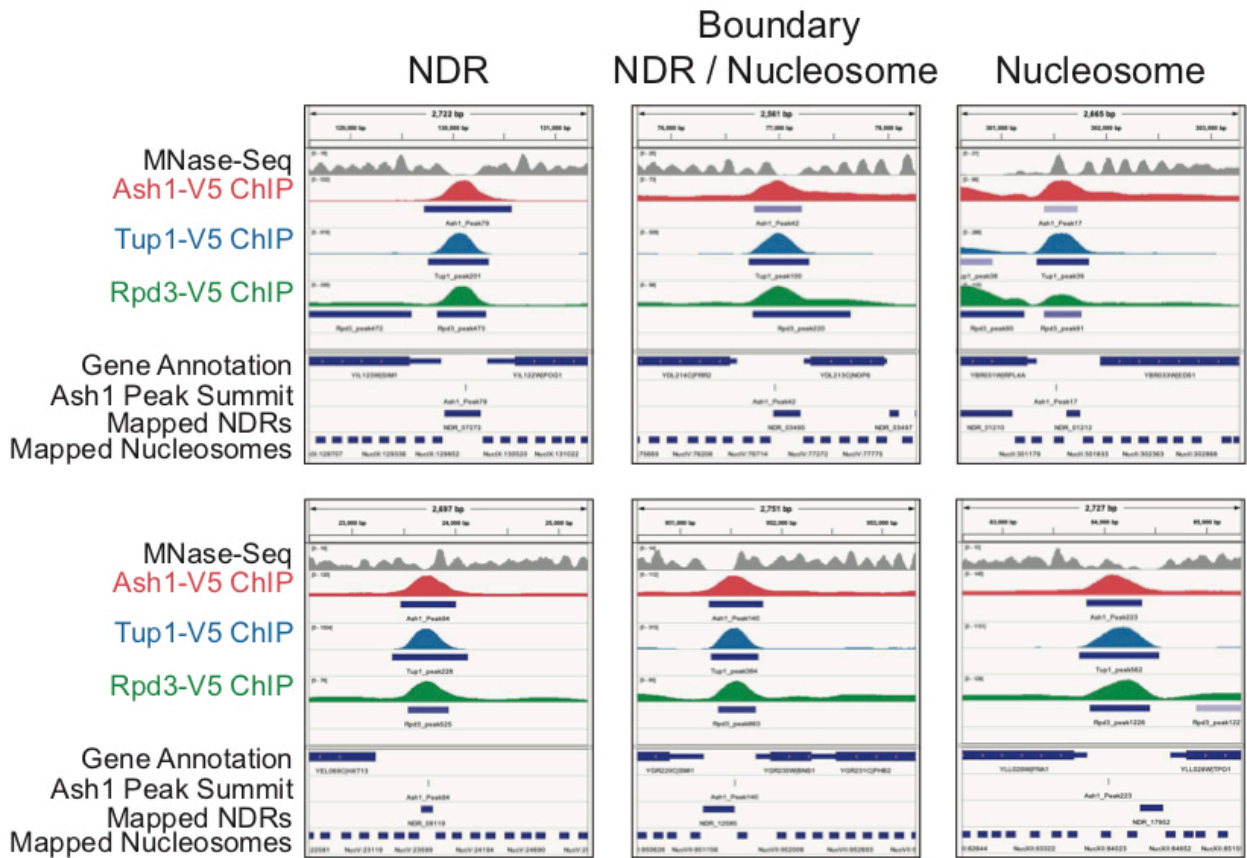
1288 **Figure S7. Motifs identified from MEME analysis of Ash1 peaks.**

1289 The top two motifs identified from MEME analysis of Ash1 peaks are shown. Motif 1 is
1290 found in 68 of the 250 Ash1 peaks, and Motif 2 was identified in 49 Ash1 peaks. Motif 2
1291 resembles an Mcm1 motif [58, 59]. The *HO* sequence from -1244 to -1229 is shown
1292 below Motif 2, to which it bears some similarity. Combined mutation of all positions in
1293 this region of the *HO* promoter (underlined) only modestly decreased Ash1 binding
1294 (data not shown).

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1298 **Figure S8. Browser snapshots of three types of ATR peaks.**

1299 IGV genome browser snapshots of sequenced fragment pileups are shown to
1300 demonstrate two examples of ATR peaks from each category in Table 2 (NDR,
1301 NDR/Nucleosome Boundary and Nucleosome). Each factor was autoscaled
1302 independently. Tracks include: MNase-Seq nucleosome positions (gray), fragment
1303 density of Ash1-V5 (red), Tup1-V5 (blue) and Rpd3-V5 (green), annotations of peaks
1304 (beneath each fragment density track), gene annotation, position of the Ash1 peak
1305 summit, and mapped NDRs and nucleosomes (using the MNase-Seq data).

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