1 Rapid, dose-dependent and efficient inactivation of surface dried SARS-CoV-2

2 by 254 nm UV-C irradiation

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29 Abstract

Background: The SARS-CoV-2 pandemic urges for cheap, reliable, and rapid technologies for
disinfection and decontamination. One frequently proposed method is UV-C irradiation.
However, UV-C doses necessary to achieve inactivation of high-titer SARS-CoV-2 are poorly
defined.

Methods: Using a box and two handheld systems designed to decontaminate objects and surfaces we evaluated the efficacy of 254 nm UV-C treatment to inactivate surface dried SARS-CoV-2.

Results: Drying for two hours did not have a major impact on the infectivity of SARS-CoV-2, indicating that exhaled virus in droplets or aerosols stays infectious on surfaces at least for a certain amount of time. Short exposure of high titer surface dried virus (3-5*10^6 IU/ml) with UV-C light (16 mJ/cm²) resulted in a total inactivation of SARS-CoV-2. Dose-dependency experiments revealed that 3.5 mJ/cm² were still effective to achieve a > 6-log reduction in viral titers whereas 1.75 mJ/cm² lowered infectivity only by one order of magnitude.

43 Conclusions: Our results demonstrate that SARS-CoV-2 is rapidly inactivated by relatively low 44 doses of UV-C irradiation. Furthermore, the data reveal that the relationship between UV-C 45 dose and log-viral titer reduction of surface residing SARS-CoV-2 is non-linear. In the context 46 of UV-C-based technologies used to disinfect surfaces, our findings emphasize the necessity 47 to assure sufficient and complete exposure of all relevant areas by integrated UV-C doses of 48 at least 3.5 mJ/cm² at 254 nm. Altogether, UV-C treatment is an effective non-chemical 49 possibility to decontaminate surfaces from high-titer infectious SARS-CoV-2.

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57 Introduction

SARS-CoV-2 has spread globally and there is an urgent need for rapid, highly efficient, 58 59 environmentally friendly, and non-chemical disinfection procedures. Application of UV-C light 60 is an established technology for decontamination of surfaces and aerosols (1-3). This 61 procedure has proven effective to inactivate SARS-CoV-1 (4-6), several other enveloped and 62 non-enveloped viruses as well as bacteria (7). UV-C-based disinfection could be applied in 63 operating rooms and healthcare facilities but also prove useful in the business sector, where 64 there is the necessity to sterilize surfaces being frequently encountered by multiple different 65 individuals. Some examples also discussed in the context of public health are escalators, public 66 transportation, rental cars, door handles and waiting rooms. Recently, it has also been shown 67 that SARS-CoV-2 is sensitive to inactivation by UV-C irradiation (8-12). However, the aforementioned studies used high UV-C doses from 108 mJ/cm² to more than 1 J/cm² at 68 69 exposure times from 50 seconds to several minutes necessary for total inactivation of SARS-70 CoV-2 (10-12). These parameters are in a range complicating efficient application of UV-based 71 methods to be employed for large-scale decontamination of surfaces and aerosols. Others 72 used innovative 222 nm or 280 nm UV-C LED technologies (8, 9) which are not yet 73 implemented in most established 254 nm UV-C-based decontamination devices and needed 74 relatively high doses of UV-C irradiation for inactivation, too. Another recent study by the Boston 75 University established 254 nm UV-C dose-dependency inactivation kinetics of SARS-CoV-2 76 and reported doses necessary for complete sterilization of dry and wet virus preparations 77 between 4 s and 9 s at 0.85 mW/cm^2 in a test box (13). While this data is promising, a limitation 78 was the study design in a test box and relatively low viral titers used, just allowing to conclude 79 2- to 3-log titer reductions by the treatment. Overall, the exact knowledge about dose-80 dependent inactivation kinetics is essential to design UV-C-based decontamination procedures 81 that allow firm disinfection of SARS-CoV-2.

We hence conducted an approach simulating the inactivation of dried surface residing hightiter infectious SARS-CoV-2 by two mobile handheld UV-C emitting devices and an UV-C box designed to decontaminate medium-size objects. We asked the question of whether short

85	exposure of SARS-CoV-2 to UV-C irradiation is sufficient to reduce viral infectivity and which
86	UV-C doses are necessary to achieve an at least 6-log reduction in viral titers.

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88 Material and Methods

89 **Cell culture.** Caco-2 (Human Colorectal adenocarcinoma) cells were cultured at 37 °C with

5% CO₂ in DMEM (Dulbecco's Modified Eagle Medium) containing 10% FCS, with 2 mM I-

91 glutamine, 100 µg/ml penicillin-streptomycin and 1% NEAA (Non-Essential Amino Acid).

92 Viruses. The recombinant SARS-CoV-2 expressing mNeonGreen (icSARS-CoV-2-mNG) (14)

was obtained from the World Reference Center for Emerging Viruses and Arboviruses (WRCEVA) at the UTMB (University of Texas Medical Branch). To generate icSARS-CoV-2mNG stocks, 200,000 Caco-2 cells were infected with 50 μ l of virus stock in a 6-well plate, the supernatant was harvested 48 hpi, centrifuged, and stored at -80°C. For MOI (Multiplicity of infection) determination, a titration using serial dilutions of the virus stock was conducted. The number of infectious virus particles per ml was calculated as the (MOI × cell number)/(infection volume), where MOI = -ln(1 – infection rate).

100 **UV-C light inactivation treatment.** 35 µl of virus stock, corresponding to ~4-6*10⁶ infectious 101 units (IU) of icSARS-CoV-2-mNG were spotted (in triplicates) in 6-well plates and dried for two 102 hours at RT. This setup was chosen to mimic the situation in which an infected person exhales 103 droplets that dry on surfaces and potentially stay infectious and hazardous over a prolonged 104 period of time. 6-well plates spotted with dried virus were treated with UV-C-light (254 nm) 105 using the Soluva® pro UV Disinfection Chamber (Heraeus) for 60 seconds or the Soluva® 106 Zone HP Disinfection Handheld (Heraeus) for 2 seconds in a fix regime at 5 and 20 cm plate 107 distance. In addition, a moving regime using a slow (3.75 cm/s) and fast (12 cm/s) speed at 20 cm distance was tested. Additionally, we employed a 2nd generation Disinfection Handheld 108 109 Soluva® Zone H (Heraeus) which is less powerful than the Soluva® pro UV but works 110 autonomously with a rechargeable battery. See the spectrum of UV-C lamps employed in these 111 devices in Supplemental Image 1. The lower UV-C intensity emitted by this device allowed us 112 to perform a dose-dependency experiment exposing dried virus with different UV-C intensities. 113 The time dependent UV-C intensity emitted by the Soluva® Zone H at various distances is detailed and depicted in Supplemental Image 2. UV exposure was carried out after 10 minutes 114 115 of pre-heating the device at a distance of 50 cm for 20 s, 10 s, 5 s, 2.5 s, 20 s + 97 % UV-filter, 116 10 s + 97 % UV-filter corresponding to 14 mJ/cm², 7 mJ/cm², 3.5 mJ/cm², 1.75 mJ/cm², 0.42 117 mJ/cm² and 0.21 mJ/cm². These values are based on an on-site and parallel measurement of 118 UV-C intensity emitted by the device via an UV-C dosimeter (Dr. Gröbel UV electronic GmbH), 119 which corresponds to 0.7 mJ/cm² when the UV-C light is applied at 50 cm distance, which fits 120 quite well to the previously company measured value of 0.84 mJ/cm² (Supplemental Image 2). 121 As control, 6-well plates were spotted with the virus and dried, but not UV-treated. After UV-122 treatment, the spotted virus was reconstituted using 1 ml of infection media (culture media with 123 5% FCS) and viral titers determined as explained below. As additional control, 35 µl of the 124 original virus stock were diluted to 1 ml with infection media and used as virus stock infection 125 control. All UV-treatments were done at RT.

126 **Evaluation of UV-treatment.** For infection experiments and titer determination, 1×10⁴ Caco-127 2 cells/well were seeded in 96-well plates the day before infection. Cells were incubated with 128 the SARS-CoV-2 strain icSARS-CoV-2-mNG at a MOI=1.1 (stock) or the UV-treated and 129 reconstituted virus in serial two-fold dilutions from 1:200 up to 1:51200 and in one experiment 130 up to 1:102400. 48 hpi cells were fixed with 2% PFA (Paraformaldehyde) and stained with 131 Hoechst33342 (1 µg/ml final concentration) for 10 minutes at 37°C. The staining solution was 132 removed and exchanged for PBS (Phosphate-buffered saline). For quantification of infection 133 rates, images were taken with the Cytation3 (Biotek) and Hoechst+ and mNG+ cells were 134 automatically counted by the Gen5 Software (Biotek). Viral titers (number of infectious virus 135 particles per ml) were calculated as the (MOI × cell number)/(infection volume), where MOI = 136 $-\ln(1 - \ln(1 - \ln(1 - \ln n)))$. Infection rates lower than 0.01 were used as a cutoff and set to 0 in order 137 to avoid false positive calculations.

Software and statistical analysis. Experiments were repeated two to four times each using
duplicate or triplicate infections. GraphPad Prism 8.0 was used for statistical analyses and to
generate graphs, as well as CorelDrawX7. Other software used included Gen5 v.3.10.

141 Results

142 Inactivation of high-titer SARS-CoV-2 by UV-C treatment

143 We set up an experimental approach to evaluate the effect of UV-C treatment on the infectivity 144 of SARS-CoV-2. Simulating the situation that exhaled droplets or aerosols from infected 145 individuals contaminate surfaces, we produced a high-titer SARS-CoV-2 infectious stock and 146 dried 35µl of this stock corresponding to ~4-6*10^6 IU/ml in each well of a 6-well plate. The 147 plates were then either non-treated or exposed to five UV-C regimens at 254 nm (Fig. 1a). 148 These include inactivation for 60 s in a box designed to disinfect medium-size objects, 2 s 149 exposure at 5 cm or 20 cm distance with a handheld UV-C disinfection device and an approach 150 simulating decontamination of surfaces via the handheld UV-C device (Zone HP). For this, we 151 performed slow and fast-moving at a distance of ~20 cm, with "slow" corresponding to a speed 152 of ~3.75 cm/s (supplemental movie 1) and "fast" at ~12 cm/s (supplemental movie 2). UV-C 153 irradiance (254 nm) in the box with an exposure time of 60 seconds corresponds to an 154 irradiation dose of 600 mJ/cm²; for the handheld (HH) at 5 cm the UV-C dose at two second 155 irradiation time is 80 mJ/cm² and at 20 cm is 16 mJ/cm². From the speed of the "slow" and "fast" 156 moving regimens we calculate a UV-C dose of 2.13 mJ/cm² (slow) and 0.66 mJ/cm² (fast), 157 assuming a focused intensity beam. However, taking into consideration the UV-C light 158 distribution underneath the handheld device the integrated UV-C dose accumulates to 20 159 mJ/cm² for the fast regimen.

160 Subsequently, dried virus was reconstituted with 1 mL infection media and used to inoculate 161 naïve Caco-2 cells at serial dilutions to calculate viral titers. Taking advantage of an infectious 162 SARS-CoV-2 strain expressing the chromophore mNeonGreen (14), we quantified infected 163 (mNG+) and total (Hoechst+) cells by single-cell counting with an imaging multiplate reader. Of 164 note, even short UV-C treatment of the dried virus in the context of the moving "fast" regimen 165 completely inactivated SARS-CoV-2, as no infected cells were detected based on fluorescence 166 protein expression (Fig. 1b). Titration of two-fold series dilutions of the UV-treated and non-167 treated control samples, as well as the freshly thawed strain as reference, revealed that (i) 168 drying for two hours does not have a major impact on the infectivity of SARS-CoV-2 and (ii) all

five UV-C treatment regimens effectively inactivate SARS-CoV-2 (Fig. 1c). Calculation of viral titers based on the titration of the reconstituted virus stocks revealed a loss of titer due to drying from ~4*10^6 to ~3*10^6 IU/ml in this set of experiments and effective 6-log titer reduction of SARS-CoV-2 by all employed UV-C treatment regimens down to 16 mJ/cm² (Fig. 1d).

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174 Dose-dependent UV-C mediated inactivation of SARS-CoV-2

175 We next aimed to determine the UV-C doses at 254 nm sufficient to achieve complete 176 disinfection respectively an at least 6-log reduction in viral titers. For this, we employed a 177 battery-driven UV-C handheld device (Zone H) emitting 254 nm UV-C light at 0.7 mJ/cm² at a 178 distance of 50 cm. This allowed us to treat surface-dried SARS-CoV-2 with different UV-C 179 doses by variation of the exposure time and additional use of a 97 % UV-C filter. In agreement 180 with our previous measurement, drying for 2 hours did not significantly affect SARS-CoV-2 181 infectivity and relatively high doses of 254 nm UV-C treatment (14 mJ/cm²) inactivated SARS-182 CoV-2 (Fig. 2a exemplary images at 1:200 dilution and Fig. 2b quantitative analyses). 183 Furthermore, there was a dose-dependent reduction in SARS-CoV-2 infectivity with total 184 inactivation down to 3.5 mJ/cm² while partial inactivation was still observed at 1.75 mJ/cm² (Fig. 185 2a and b). Careful evaluation of viral titers post UV-C exposure revealed that > 6-log titer 186 reduction was achieved by 3.5 mJ/cm² 254 nm UV-C treatment (Fig. 2c). Of note, mean titers 187 were only reduced by slightly more than one order of magnitude from 5.04*10⁶ IU/ml of the dried and reconstituted SARS-CoV-2 to 3.5*10⁵ IU/ml when the virus was exposed to 1.75 188 189 mJ/cm², corresponding to 93 % inactivation. Therefore, the relationship between inactivation of 190 surface dried SARS-CoV-2 and UV-C treatment is non-linear, at least in our system and 3.5 191 mJ/cm² are necessary to achieve a 6-log titer reduction.

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193 Discussion

Disinfection of surfaces and aerosols by UV-C irradiation is an established, safe and nonchemical procedure used for the environmental control of pathogens (1-3, 15). UV-C treatment has proven effective against several viruses including SARS-CoV-1 (4-6) and other 197 coronaviruses i.e. Canine coronaviruses (16). Hence, as recently demonstrated by others (8-13) and now confirmed by our study it was expected that SARS-CoV-2 is permissive for 198 inactivation by UV-C treatment. One critical question is the suitability of this technology in a 199 200 setting in which the exposure time of surfaces or aerosols should be kept as short as possible 201 to allow for a realistic application, for example in rooms that need to be used frequently as 202 operating rooms or lecture halls. In such a setting, we assume that the virus is exhaled from an 203 infected person by droplets and aerosols, dries on surfaces and hence represents a threat to 204 non-infected individuals. We mimicked such a situation and first evaluated if surface dried 205 SARS-CoV-2 is infectious. Drying for two hours, in agreement with previous work (13, 17), did 206 not result in a significant reduction of viral infectivity indicating smear-infections could indeed 207 play a role in the transmission of SARS-CoV-2 (Fig. 1). On the other hand, our virus-208 preparations are dried in cell culture pH-buffered medium containing FCS, which might stabilize 209 viral particles. Hence, even though this is not the scope of the current study, it will be interesting 210 to evaluate if longer drying or virus-preparations in PBS affect the environmental stability of 211 SARS-CoV-2. Irrespective of the latter, UV-C-exposure of dried high-titer SARS-CoV-2 212 preparations containing ~3-5*10^6 IU/ml resulted in a complete reduction of viral infectivity (Fig. 213 1). In this context, it is noteworthy that we achieved a 6-log virus-titer reduction in a setting 214 simulating surface disinfection with a moving handheld device. With the "fast"-moving protocol 215 (see supplemental video 1) we were exposing surfaces at a distance of 20 cm with a speed of 216 12.5 cm/s resulting in a calculated integrated UV-C dose of 20 mJ/cm² at 254 nm. This is 217 substantially less than the previously reported 1048 mJ/cm² necessary to achieve a 6-log 218 reduction in virus titers when exposing aqueous SARS-CoV-2 to UV-C (10). In another study, 219 using a 222 nm UV-LED source, 3 mJ/cm² lead to a 2.51-log (99.7 %) reduction of infectious 220 SARS-CoV-2 when irradiating for 30 s, however inactivation did not increase with extended 221 irradiation regimens up to 300 s (9). In addition, 20 s deep-ultraviolet treatment at 280 nm 222 corresponding to a dose of 75 mJ/cm² reduced SARS-CoV-2 titer up to 3-logs (8). Finally, Storm 223 and colleagues reported a 2-log reduction of dried SARS-CoV-2 at 4 s with 0.85 mW/cm² 224 corresponding to 3.4 mJ/cm² (13). Of note, this value is highly similar to the dose of 3.5 mJ/cm²

calculated by us to be sufficient to achieve a > 6-log SARS-CoV-2 titer reduction, when the
virus is in a dried surface residing state (Fig. 2). Comparing these values to other pathogens,
SARS-CoV-2 seems particularly sensitive towards UV-C light. To achieve a 3-log titer
reduction, 75-130 mJ/cm² are necessary for adenovirus, 11-28 mJ/cm² for poliovirus, and
bacteria as for instance Bacillus subtilis require 18-61 mJ/cm² (7).

230 Important limitations of UV-C-based disinfection procedures also exist. First and most 231 importantly, UV-C irradiation is harmful to humans due to the high energy of the germicidal 232 lamps and exposure of skin or eyes must be avoided. This excludes decontamination of 233 populated public spaces by UV-C. Furthermore, UV-C does not penetrate surfaces, hence for 234 efficient disinfection, equal direct irradiation of all surfaces with a sufficient dose has to be 235 assured. Our work highlights this aspect, as due to the non-linear decay kinetic of the dose-236 response relationship 3.5 mJ/cm² will totally inactivate high viral titers, whereas a slightly 237 reduced dose of 1.75 mJ/cm² only achieves roughly one-log reduction (Fig. 2c).

238 Apart from that, our study as well as the research done by others (13), emphasizes UV-C-239 based disinfection technologies as highly efficient to rapidly sterilize surfaces in different 240 settings as for instance operating rooms, less-frequently populated areas in healthcare facilities 241 and public transportation, but also in research facilities. Ideal applications are done in closed 242 containers, precluding exposure of persons to UV-C radiation, when sterilizing small to 243 medium-size objects. Another highly relevant aspect is the use of UV-C lamps in air sterilizers 244 which would have a strong impact on public health and prevention of the public to infectious 245 aerosols. However, the transferability of our results to viral aerosols, even though they give a 246 first indicator, might be limited. Virus in aerosols exerts other dynamics and inactivation kinetics 247 might differ. Hence, it is highly relevant and warranted to conduct studies to carefully determine 248 UV-C doses necessary and sufficient for inactivation of SARS-CoV-2 in aerosols.

Altogether, we establish the effectiveness of UV-C treatment against SARS-CoV-2 in a setting designed to simulate close-to-reality conditions of decontamination. The easy, rapid, chemicalfree, and high efficacy of UV-C treatment to inactivate SARS-CoV-2 demonstrates the applicability of this technology in a broad range of possible settings.

253 Author contributions

- NR and MS designed the experiments; NR performed the experiments with support from RB;
- 255 NR, RB and MS analyzed the data; NR and MS drafted the figures and wrote the manuscript;
- 256 MS developed the manuscript to its final form; MS planned and supervised the study; all authors
- read, edited, and approved the final manuscript.
- 258

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- 262 measurements on the Soluva® Zone H.
- 263

264 **Conflict of interest**

- 265 The authors declare no conflict of interest
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267 Ethical statement

This study does not include any data obtained with primary patient cells or data. Hence, there was no necessity to obtain ethical approval by the internal review board.

270

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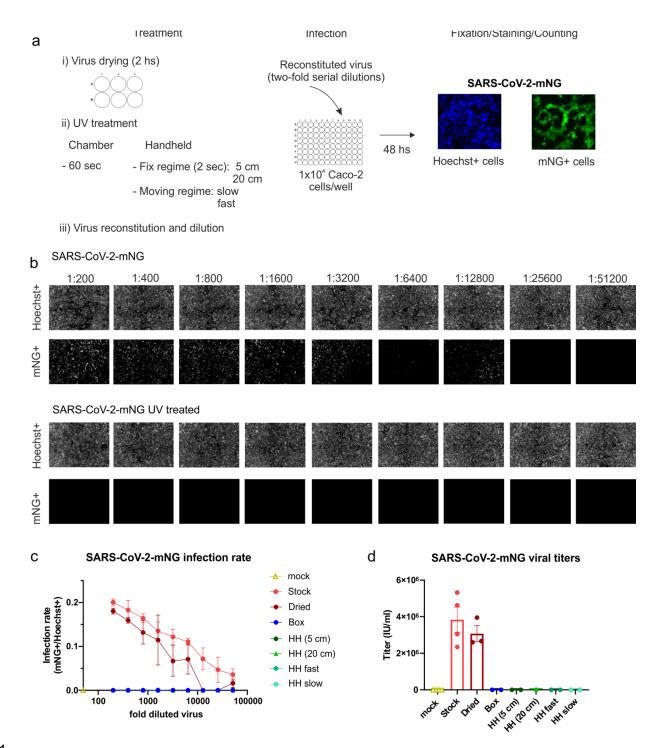
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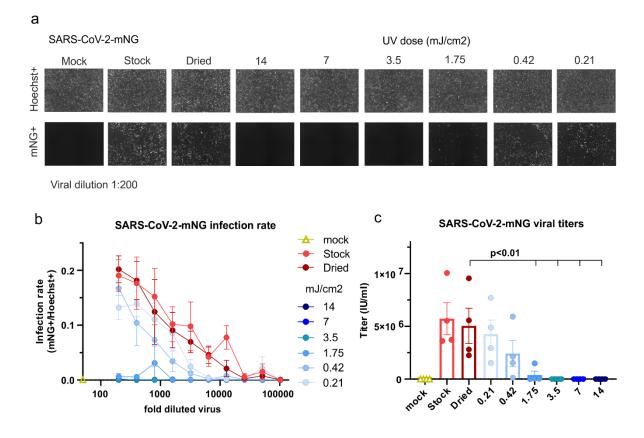
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- 330 Figures and Legends



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Figure 1. Inactivation of SARS-CoV-2 by UV-C light treatment. (a) Experimental layout of the different UV-treatments and the infection assay employed using the green-fluorescent virus SARS-CoV-2.mNG. (b) Primary data showing the results of the infection assay using the nontreated stock virus as a positive control and the UV-treated virus (HH, fast-moving regime). In the upper row, the total amount of cells for each well of the two-fold serial dilution of virus is shown as Hoechst+. In the lower, infected cells are visualized indicated as mNG+ cells. (c)

338	Infection rate curves for UV-irradiated SARS-CoV-2-mNG using different UV-treatments. The
339	graph shows the infection rate at each two-fold serial dilution, calculated as the number of
340	infected cells (mNG+) over the total number of cells (Hoechst+) for the non-treated viral stock
341	(n=4), dried viral stock (n=3), and dried and UV-irradiated virus using five different UV-
342	treatments (n=2). Data are presented as mean +/- SEM of the number of biological replicates
343	indicated above. (d) SARS-CoV-2-mNG viral titers after UV-treatment. The graph shows the
344	viral titers calculated in IU/mL for the mock-infected, non-treated, and dried stock as well as
345	the dried and UV-irradiated virus under the different treatments. The number of biological
346	replicates (n=2-4) is directly plotted and indicated in 1c. Data are presented as mean +/- SEM.
347	



362 Figure 2. UV-C dose required for SARS-CoV-2 inactivation. (a) Primary data showing the 363 results of the infection assay using mock-infected cells, non-treated stock virus as a positive 364 control, and virus treated with the 6 UV-C doses as indicated. In the upper row, the total amount 365 of cells is shown as Hoechst+. In the lower, infected cells at a viral dilution of 1:200 are 366 visualized indicated as mNG+ cells. (b) Infection rate curves for UV-irradiated SARS-CoV-2-367 mNG using different UV-doses. The graph shows the infection rate at each two-fold serial 368 dilution, calculated as the number of infected cells (mNG+) over the total number of cells (Hoechst+) for the non-treated viral stock, dried viral stock, and dried and UV-irradiated virus 369 370 using different UV-C-doses (n=4). Data are presented as mean +/- SEM of the number of 371 biological replicates indicated above. (c) SARS-CoV-2-mNG viral titers after UV-treatment. The 372 graph shows the viral titers calculated in IU/mL for the mock-infected, non-treated, and dried 373 stock as well as the dried and UV-irradiated virus under the different UV-C-doses. The number 374 of biological replicates is n=4. Data are presented as mean +/- SEM. For analysis of statistical 375 significance, we used a one-way ANOVA with multiple comparison and Fishers LSD-test.

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377 Supplemental Image 1. Spectrum of the UV-C lamps used.

- 379 Supplemental Image 2. UV-C emission at 254 nm of the Soluva® Zone H at different
- 380 distances and time points.
- 381
- 382 Supplemental Movie 1. UV-irradiation using the Handheld device, slow-moving regime.
- 383 SARS-CoV-2-mNG was spotted in a 6-well plate, dried for two hs and UV-irradiated as shown
- in the video. Speed is calculated at approx. 3.75 cm/s.
- 385
- 386 Supplemental Movie 2. UV-irradiation using the Handheld device, fast-moving regime.
- 387 SARS-CoV-2-mNG was spotted in a 6-well plate, dried for two hs and UV-irradiated as shown
- in the video. Speed is calculated at approx. 12.5 cm/s.
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- 390