Photosynthetic resistance and resilience under drought and rewatering in maize plants

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12 Abstract

Abnormally altered precipitation patterns induced by climate change have profound 13 14 global effects on crop production. However, the plant functional responses to various 15 precipitation regimes remain unclear. Here, greenhouse and field experiments were 16 conducted to determine how maize plant functional traits respond to drought, flooding, 17 and rewatering. Drought and flooding hampered photosynthetic capacity, particularly when severe and/or prolonged. Most photosynthetic traits recovered after rewatering, 18 19 with few compensatory responses. Rewatering often elicited high photosynthetic 20 resilience in plants exposed to severe drought at the end of plant development, with 21 the response strongly depending on the drought severity/duration and plant growth 22 stage. The associations of chlorophyll concentrations with photosynthetically 23 functional activities were stronger during post-tasselling than pre-tasselling, implying an involvement of leaf age/senescence in responses to episodic drought and 24 subsequent rewatering. Coordinated changes in chlorophyll content, gas exchange, 25 26 fluorescence (PSII efficiency parameters quantum and photochemical/non-photochemical radiative energy dissipation) possibly contributed 27 28 to the enhanced drought resistance and resilience and suggested a possible regulative 29 trade-off. These findings provide fundamental insights into how plants regulate their 30 functional traits to deal with sporadic alterations in precipitation. Breeding and 31 management of plants with high resistance and resilience traits could help crop 32 production under future climate change.

33 Keywords: Drought; Fluorescence; Photosynthetic capacity; Rewatering; Resistance;

34 Resilience; Zea mays L.

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36 Introduction

37 Global climate change is now leading to an enhanced frequency and intensity of 38 drought events (Dai, 2012; Trenberth et al., 2014; Donat et al., 2016; Diffenbaugh et 39 al., 2017), that are now placing staple crop production and food security at risk (Lobell et al., 2014; Myers et al., 2017; Leakey et al., 2019; Kimm et al., 2020). 40 These changes, coupled with the acceleration of industrialisation and the rapid 41 development of social economy, are now placing agricultural water resources in 42 tighter supply across the globe. Water availability has now become a bottleneck for 43 44 food production and even social and economic development, and lack of water has 45 triggered a series of environmental and ecological problems that now threaten sustainable development of crop production and exacerbate global undernutrition (e.g., 46 Daryanto et al., 2016; Myers et al., 2017; Rosa et al., 2020). 47

Drought is one of the most crucial environmental factors constraining crop plant 48 productivity due to its deleterious effects on leaf photosynthetic capacity, plant growth 49 50 and crop productivity at regional and global scales (Lobell et al., 2014; Daryanto et 51 al., 2016; Myers et al., 2017). Plants that experience drought stress have their water 52 balance destroyed and this leads to plant growth inhibition, stomatal closure, and decreases in the photosynthetic rate (e.g., Chaves et al., 2003, 2009; Xu and Zhou, 53 54 2009; Gupta et al., 2020). However, plants can invoke a number of regulative 55 strategies to deal with water deficit, including extending the root system, increasing 56 leaf thickness, and activating an antioxidative defence system (e.g., Trapeznikov et al., 57 2003), increased leaf thickness (Sack and Grubb, 2002), provoked antioxidative defense system (Foyer and Noctor, 2005). The responses to water deficit depend on 58 59 the duration, severity and time of occurrence of the drought. For example, plants may 60 not be affected, even favoured under mild or moderate drought, but it can be limited 61 and even damaged by severe drought (e.g., Fereres and Soriano, 2007; Xu et al., 62 2014). Under a mild or moderate water deficit, an increased water use efficiency (WUE), improved nutritional content, and stable grain yield often can be observed, 63 2

which can improve sustainable development by allowing deficit irrigation and
water-saving agricultural practices (Fereres and Soriano, 2007; Geerts and Raes, 2009;
Du *et al.*, 2015; Silveira *et al.*, 2020). Further exploration of crop responses to various
water conditions can therefore provide critical information for optimising crop
management practices, particularly under future climate change (Lobell *et al.*, 2014;
Leakey *et al.*, 2019; Kimm *et al.*, 2020).

70 Plants exposed to drought will frequently show a restoration of their normal 71 physiological functions when rewatered, and to a certain degree, they can compensate for the damage caused by drought by accelerating their growth and enhancing their 72 photosynthetic capacity (e.g., Xu et al., 2009, 2010; Hofer et al., 2017). An antecedent 73 74 condition, such as soil water availability, may also drive the post-stress responses to 75 other abiotic factors, indicating important complexities in plant responses to 76 environmental factors (Xu et al., 2009; Guo and Ogle, 2019). This ability to regain a 77 normal original state after being disturbed is termed resilience (Holling, 1973; Müller 78 et al., 2016. Resilience Alliance, 2020), and can be represented by the interference 79 level, recovery time or recovery speed (Müller et al., 2016; Bhaskar et al., 2018; 80 Harrison et al., 2018; Resilience Alliance, 2020).

81 A recent report showed that a watering treatment following a drought can lead to a 82 greater recovery of some key functional traits in plants (Harrison et al., 2018). For example, both full and partial recoveries of leaf pigment and nitrogen contents were 83 observed in drought-stressed maize plants following rewatering (Sun et al., 2018). 84 85 Similarly, Voronin et al. (2019) documented the physiological responses of maize plants to drought and rehydration. However, information is lacking regarding the 86 changes in photosynthetic capacity and their associations with plant growth during 87 drought and subsequent recovery upon rewatering. The increased frequency of 88 89 drought due to global climate change emphasises the importance of understanding the 90 mechanism underlying the plant responses to drought and rewatering for both 91 theoretical and practical applications (e.g., Hofer et al., 2017; Abid et al., 2018; Guo 92 and Ogle, 2019).

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Drought has been an important factor in the growth of maize, the most widely

grown crop in the world. Water deficit causes unstable and low yields in many maize 94 95 production areas in the world, seriously hampering plant growth and causing 25–30% reductions in grain yield in some vulnerable regions (Sharp et al., 2003; Ben-Ari et al., 96 97 2016; Beyene et al., 2016; Li et al., 2019; Kimm et al., 2020). For instance, the U.S. 98 Corn Belt, the world's biggest maize production region, is recognised as being prone 99 to drought and is therefore sensitive to climate change (Kimm et al., 2020). Similarly, 100 the Corn Belt of Northeastern China (CBNC) is one of the major maize production 101 regions in China and it too shows strong sensitivity to climate variations. Drought is a 102 particularly critical factor constraining maize production in the CBNC (e.g., Liu et al., 103 2012; Li and Sun, 2016).

104 Future climate change scenarios envisage an increase in the occurrences of both 105 drought and flooding during the growth period in maize-growing regions (Roudier et 106 al., 2016; Kimm et al., 2020). Thus, elucidating the maize plant responses to drought, 107 rewatering and flooding is crucial for the development of technology for monitoring, 108 evaluating and minimising the damage caused by drought and flood disasters. This 109 knowledge can also provide insight to the factors that enhance resilience in maize 110 plants, while also serving as a feasible reference for corn yield forecasting and field 111 water management during the growing period.

112 The aim of the present study was to conduct greenhouse and field experiments to 113 determine maize plant functional responses to drought, rewatering and flooding. The 114 greenhouse experiments involved examination of these responses following different 115 water treatments, including pre-drought, drought, rewatering and flooding. The field 116 experiment was conducted in a large-sized rain shelter designed to grow maize plants 117 under 4 irrigation regimes, including pre-drought and subsequent re-irrigation. Our 118 focus was specifically on assessing the resilience of photosynthetic capacity in 119 response to drought and rewatering. Three hypotheses were tested: i) drought and 120 flooding can constrain photosynthetic capacity in maize plants, particularly under 121 severe, prolonged water stress; 2) rewatering can lead to a full recovery of 122 photosynthetic capacity with a compensatory mechanism; 3) the resilience of 123 photosynthetic capacity depends on the degree of drought stress and the plant 4

development stage. The findings may improve current knowledge and strengthen
future quests to produce high-yield, drought-resistant and resilient crops (see also
Gupta *et al.*, 2020).

127

128 Materials and Methods

129 Greenhouse experiment design

130 The first experimental site was located in a greenhouse (39°48 N, 116°28 E, 67 m 131 a.s.l.), Institute of Botany, Chinese Academy of Sciences, Beijing, China. The soil was 132 collected from field soil (0-30 cm soil profile) at Gucheng Ecological Environment 133 and Agro-meteorology Test Station (39°08'N, 115°40'E, 15.2m a.s.l.), Baoding city, 134 Hebei province, North China. Plastic pots (diameter 21 cm, height 25 cm) was used. 135 The maize cultivars is Zhengdan 958, which is currently planted extensively in North 136 China. The seeds were sown on June 28, 2017. We filled 5.5 kg of soil per pot; and 137 each pot was applied as 2.54 g of diammonium phosphate compound fertilizer (i.e., 750 kg ha⁻¹). The three seeds were sown in each of the pot with a depth of 2.5 cm. 138 139 Soon afterwards, only one healthy plant was left before the third leaf of seedlings 140 emerged. The seedlings grew in the greenhouse with a day/night mean temperature of 141 c. 28.0/20.0 °C and maximum photosynthetic photon flux density (PPFD) of 1,000 μ mol m⁻²s⁻¹). 142

143 The greenhouse experiment used four water treatments: 1) Control treatment: the 144 soil relative water content (SRWC) was maintained at 65–75% throughout the whole 145 experimental period. 2) Persistent drought stress: SRWC was reduced beginning at the 146 three-leaf stage and extending to jointing stages to the SRWC of the permanent 147 wilting point (PWP). 3) Flooding treatment: waterlogging stress was induced at the 148 three-leaf stage and extended until the jointing stage. 4) Drought-rehydration 149 treatment: SRWC was reduced initially at the three-leaf stage to 35% of SRWC (the 150 leaves wilted and the lowermost leaves began to turn yellow and withered); the plants 151 were then rewatered to 65–75% of SRWC.

152

153 Field experimental design

154 The field experimental site was located at the Jinzhou Ecology and Agricultural 155 Meteorology Center, Liaoning, Northeastern China (N 41°49', E 121°12', 27.4m a.s.l.). The mean annual temperature and the mean annual precipitation over 40 years 156 were 9.9 °C and 564 mm, respectively, with an average monthly temperature of 157 20.9 °C and a total precipitation of 468 mm during plant growing season. The soil is 158 159 characterised as medium loam type, pH 6.3, with 1.8% organic matter and a soil bulk density of 1.61 g cm⁻³ at the 0-100 cm soil profile. The field capacity (FC) and PWP 160 were 22.3% and 6.5% (v/v), respectively. The soil had an organic carbon content of 161 10.44 g kg⁻¹, total nitrogen content of 0.69 g kg⁻¹, phosphorus content of 0.50 g kg⁻¹, 162 and potassium content of 22.62 g kg⁻¹. The planting date and maturity date were late 163 164 April and late September, respectively (Song et al., 2018; Li et al., 2019).

165 The field experimental design was as detailed previously (Li et al., 2019). In brief, 166 an electric-powered waterproof shelter (4 m in height) set up in the maize field was 167 used to establish the various precipitation regimes that we desired (details in Li *et al.*, 2019). In total, 15 plots (15 m^2 , 5 m length, 3 m width) were under the rain shelter 168 169 when it rained. The following three irrigation regimes were set up: a control (i.e. the 170 normal irrigation every 7 day); moderate drought (water withholding for 20 days); and 171 severe drought (water withholding for 27 days from the tasselling to milking stages). 172 In this design, irrigation water was supplied at 296, 246, and 221 mm across the maize 173 plant growing period. The SRWC at 0-50 cm soil depth was monitored to reach severe 174 drought levels at a range of 30–40% at the end of rainfall-withholding, whereas under 175 normal irrigation, the SWRC was maintained at levels of 70-80% in the control and rewatering plots. The maize cultivar was Danyu 39, with a seed sowing rate of 6.0 176 plants m^{-2} to ensure a planting density of 4.5 plants m^{-2} . A compound fertiliser 177 (accounting for 28%, 11%, and 12% of N, P₂O₅, and K₂O, respectively), applied at a c. 178 750 kg ha^{-1} , was added before sowing (Song *et al.*, 2018; Li *et al.*, 2019). 179

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181 Leaf chlorophyll content

We examined leaf chlorophyll concentrations with a SPAD 502 chlorophyll meter(Minolta Co. Ltd, Japan). This is a nondestructive technique that provides feasible and

184 rapid assessment of leaf relative chlorophyll concentrations (a SPAD reading value)

by determining leaf transmittance of red (650 nm) and infrared (940 nm) radiation.

- 186 Measurements were made on 1 July (V13, 62 days after sowing [DAS]), 12 July (VT,
- tasselling, 73 DAS), 20 July (R1, silking, 81 DAS), 5 August (R2, blistering, 97 DAS),
- 188 9 August (R3, milking, 101 DAS), 2 September (R4, dough, 125 DAS).
- 189

190 *Leaf gas exchanges and chlorophyll a fluorescence*

191 In the greenhouse experiment, the leaf gas exchange and chlorophyll *a* fluorescence 192 were measured with an open gas exchange system (LI-6400, LI-COR Inc., Lincoln, 193 NE) equipped with a LI-6400-40 fluorometer. Leaves was illuminated with a red-blue 194 LED light source. The parameters were initially obtained with acquisition software. 195 Leaves were acclimated in the chamber for at least 15 min at 28–30 °C with a CO₂ concentration of 400 μ mol mol⁻¹ and a PPFD of 1200 μ mol m⁻² s⁻¹. Chlorophyll *a* 196 fluorescence was determined with the LI-6400-40 fluorometer. The steady-state 197 fluorescence (F_s) was recorded at 1200 µmol m⁻² s⁻¹, and a second saturating pulse at 198 ~8000 μ mol photons m⁻²s⁻¹ was then given to obtain the maximal light-adapted 199 fluorescence yield (F_m) . The actinic light was turned off, and the minimal 200 fluorescence at the light-adapted state (F_{α}) was obtained following a 3 s burst of 201 202 far-red illumination.

203 In the field experiment, the leaf chlorophyll *a* fluorescence was determined with a 204 miniaturised pulse-amplitude-modulated photosynthesis yield analyser (Mini-PAM, 205 Walz, Effeltrich, Germany) equipped with a leaf clip holder (2030-Br). Light 206 intensities (380-710 nm) were measured with the Mini-PAM microquantum sensor. 207 After a 30 min dark adaptation, the minimal fluorescence yield (F_o) was determined with a modulated light at a sufficiently low intensity (< 0.1 μ mol photon m⁻² s⁻¹) to 208 induce the minimal fluorescence. The maximal fluorescence yield (F_m) was made 209 with a 0.8 s saturating pulse at a ~7000 μ mol photons m⁻² s⁻¹. The difference between 210 the measured values (F_m, F_o) is the variable fluorescence (F_v) . Leaves were 211 continuously illuminated at 300 μ mol photons m⁻² s⁻¹ with an actinic light for 15 min. 212 The F_s was recorded, and the second saturating pulse at ~7000 µmol photons m⁻²s⁻¹ 213 was then exposed to obtain F_m . The actinic light was turned off and F_o was obtained 214 215 following a 3 s far-red illumination. The fluorescence parameters were calculated with the following formulas (Schreiber et al., 1994; Maxwell and Johnson, 2000; Kramer

et al., 2004):

218

$$F_{\nu}/F_m = (F_m - F_o)/F_m$$

220
$$F_{v'}/F_{m'} = (F_{m'}-F_{o'})/F_{m}$$

221
$$\Phi_{\rm PSII} = (F_{\rm m}' - F_s)/F_{\rm m}'$$

222
$$q_{\rm p} = (F_{\rm m}' - F_s)/(F_{\rm m}' - F_o')$$

223 $q_{\rm L} = q_{\rm p} \times F_0' / F_{\rm s}$

- 224 $q_{\rm N} = (F_m F_m')/(F_m F_o')$
- 225 $NPQ = (F_m F_m')/F_m' = F_m/F_m' 1$

$$\Phi_{\rm NPQ} = F_{\rm s'} F_{\rm m'} - F_{\rm s'} F_{\rm m}$$

227
$$\Phi_{\rm NO} = F_s / F_m$$

228 NPQ =
$$(F_m - F_m')/F_m' = F_m/F_m' - 1$$

where F_{ν}/F_m is the maximal quantum efficiency of photosystem II (PSII), and F_{ν}'/F_m' is the efficiency of excitation captured by open PSII centres in the light-adapted leaves. Φ_{PSII} is the yield of PSII photochemistry, and q_p and q_L are photochemical quenching based on puddle and lake models, respectively. NPQ or q_N is non-photochemical quenching, and both Φ_{NPQ} and Φ_{NO} are light-induced regulated non-photochemical quenching and quantum yield of non-regulated energy loss in PSII, respectively (Kramer et *al.*, 2004).

236

237 Soil relative water content

Soil was placed in an experimental pot with holes at the bottom and weighed 48 h after excessive watering to reach a saturated weight (SW) point. The soil was then dried at 110°C for at least 72 h to a constant weight (DW). The FC can be expressed as FC = $(SW-DW) / DW \times 100$. The SRWC = Current soil water content / FC × 100.

243 *Resistance, recovery and resilience*

Resistance was expressed as the difference/ratio in functional parameters between drought stress and ample watering as control treatments. Recovery was indicated by the difference/ratio in functional parameters between drought/pre-drought and rewatering. Resilience was calculated as the difference/ratio in functional parameters between ample watering (control treatment) and rewatering (Van Ruijven and Berendse, 2010; Ruppert *et al.*, 2015; Bhaskar *et al.*, 2018). Here, we prefer to use the percentage ratios to express these changes (Ruppert *et al.*, 2015).

251

252 *Data statistics*

253 The data were statistically analysed with statistical software package SPSS 20.0 254 (SPSS Inc., Chicago, Illinois, USA). A one-way analysis of variance (ANOVA) with 255 Duncan's multiple comparison was used to test the differences of the functional traits 256 between the watering treatments. The effects of watering treatment and plant/leaf 257 developmental stages, and their interaction on the functional traits of plants, were 258 tested with a two-way ANOVA. The correlations among the functional traits were 259 tested with Pearson's correlation analysis, and the relationships of photosynthetically 260 functional traits with leaf relative chlorophyll content (SPAD readings) at different 261 plant growth stages were tested by linear regression analysis. The comprehensive 262 relationships between leaf photosynthetic functional traits, plant growth and their 263 responses to irrigation regimes and plant/leaf developmental stages were determined 264 by principal component analysis (PCA). The significance levels were set at P < 0.05265 and 0.01, unless otherwise stated.

266

267 **Results**

268 *Photosynthetic traits in the greenhouse*

The greenhouse experiment showed that drought stress led to a slight reduction in the relative chlorophyll content (SPAD readings) 4 days after withholding water (i.e., 22 days after sowing, DAS), followed by a rise 8 days after the drought-stressed treatment (Fig. 1). However, the chlorophyll content showed a sharp linear decrease from 26 DAS to 37 DAS when the relative soil water content (RSWC) fell sharply to

274 the severe drought stress level of 35%. After rewatering, the chlorophyll content 275 sharply increased, with recovery values of 14.2, 15.2 and 25.6% under consecutive 276 drought at 32, 34 and 37 DAS, respectively, indicating that a greater recovery may 277 occur at the end of the measurement period. The resilience values were -4.3, -14.0 and -5.0% at 32, 34 and 37 DAS, respectively, showing that the resilience rose initially 278 279 following rewatering, then decreased, and then increased again. Flooding led to sharp 280 declines in SPAD after 4 days of flooding treatment, indicating that chlorophyll 281 degradation occurred under the flooded condition.

282 As shown in Fig. 2a, drought only led to a slight reduction in the net 283 light-saturated photosynthetic rate (A_{sat}) within one week after withholding water. However, this rate sharply decreased from 28.8 μ mol m⁻²s⁻¹ to 4.8 μ mol m⁻²s⁻¹ by 85.2% 284 29 DAS when RSWC dropped to 35%. After rewatering, Asat sharply increased, with 285 286 recovery values of 5.53, 1.18 and 5.98 times the values seen under consecutive 287 drought at 32, 34 and 37 DAS, respectively. The rate approached and even exceeded 288 the control level at 32, 34 and 37 DAS. The resilience values increased gradually from 289 -12.1 to 10.2 and 25.4%, indicating a possible escalation of resilience with time after 290 rewatering. A stimulation of the A_{sat} occurred during the initial 6 days under flooding; 291 thereafter, flooding induced a decrease compared with the control treatment. However, 292 A_{sat} under flooding ultimately reached the level of the control treatment. Compared 293 with the control, stomatal conductance (g_s) was significantly decreased (-96.5%) at 29 294 DAS, just before rewatering (Fig. 2b). A greater recovery was observed, but only 295 positive resilience was detected at 34 DAS.

296 The quantum yield of PSII electron transport (Φ_{PSII}) decreased with 297 drought-treatment time, dropping to its lowest value (by 79.3%) at 29 DAS (Fig. 2c). 298 The recovery values were 3.05, 3.38 and 4.22 times relative to continuous drought at 299 3, 5 and 8 days after rewatering, respectively. Flooding also led to an initial 300 stimulation in Φ_{PSII} ; thereafter, the value decreased below the control level. The 301 photochemical quenching $(q_{\rm P})$ showed a substantial fluctuation even under the control 302 treatment (Fig. 2d). However, a dramatic decline of 51.9% was observed after 9 days 303 of water withholding. We also found recoveries of 1.3-, 16.6- and 14.8-fold at 3, 5 and 10

8 days following rewatering, respectively. However, the increases still did not reach the level of the control, so the resilience values were negative (-5.74, -26.22 and -15.22 at 3, 5 and 8 days following rewatering). A stimulation of q_P was also observed initially at 2 days after flooding exposure, but this disappeared thereafter and the value dropped to levels lower than the control levels.

309 The transpiration rate (E) significantly decreased due to drought stress, dropping 310 to the lowest point at 29 DAS (a decrease of 94.5% relative to control, Fig. 2e). Rapid 311 decreases occurred following the rewatering, with recovery values of 9.3-, 8.9- and 312 4.6-fold the values under continuous drought at 3, 5 and 8 days following rewatering, 313 respectively. However, the resilience values were -10.4, 6.0, and -15.7% at 3, 5 and 8 314 days following rewatering, respectively. A stimulation of E also appeared initially by 315 flooding; thereafter, however, the similar E changes were similar to those of the 316 control (Fig. 2e). Leaf water use efficiency (WUE) was initially increased by drought, 317 but subsequently decreased with drought-exposure time, indicating that the 318 enhancement of WUE may be attenuated by the water deficit intensity and its 319 persistent duration. Rewatering led to a decline in WUE at the earlier stage, but 320 thereafter WUE remained stable relative to both the control and continuous drought 321 plants. WUE was not affected significantly by flooding during the experimental 322 periods (Fig. 2f).

323 Similar responses were observed in the mature leaves (Fig. 3a-f). Drought reduced A_{sat} , with great recovery and a positive resilience noted at the end of the experiment 324 325 (Fig. 3a). A sharp rise appeared during the initial flooding, but A_{sat} decreased thereafter. 326 A drastic g_s resilience was evident at 34 DAS (Fig. 3b). A great recovery occurred for 327 Φ_{PSII} and q_{p} ; however, the negative resilience was still maintained (Fig. 3c, d). A rapid 328 and drastic reduction in E was observed by imposition of drought stress, with great 329 recovery; however, the resilience remained negative (Fig. 3e). Drought always 330 elevated the WUE in the mature leaves, whereas flooding did not substantially affect 331 it. Only a small positive resilience was observed at the end of the experiment (Fig. 3f). 332

333 Photosynthetic traits in the field

334 In the field experiment, the upper canopy leaves in the control treatment showed 335 gradual increases in the relative chlorophyll content (SPAD values) from 1 July (62 336 DAS, V13), 12 July (73 DAS, VT, tasselling), July 20 (81 DAS, R1, silking), to 337 August 5 (97 DAS, R2, blistering), until reaching a maximum on 97 DAS; the relative 338 chlorophyll content then decreased as plant development progressed (Fig. 4a). 339 Episodic droughts led to dramatic declines, whereas rewatering led to more increases 340 (i.e., a positive recovery) under moderate drought (MD) than under severe drought 341 (SD). Negative resilience values were observed under both drought treatments at 101 342 and 125 DAS. The maximum quantum efficiency of PSII (F_{ν}/F_m) showed a similar 343 pattern to that seen for the relationship with DAS; i.e., a unimodal curve (Fig. 4b). A 344 drastic decline occurred under SD; however, recovery was greater following 345 rewatering.

A high resilience was found with MD at 125 DAS. The Φ_{PSII} values decreased with plant growth (Fig. 4b). An increase occurred under MD, but SD led to a marked decline with greater resilience at both 101 and 125 DAS. The changes in q_p and its resilience were similar to those of Φ_{PSII} (Fig. 4d). The changes in non-photochemical quenching (q_N) and the yield of light-induced regulated non-photochemical quenching (Φ_{NPQ}) and their resilience showed the same changing trends (Fig. 4d): they increased with DAS, and MD led to a drastic decline with a high resilience at 101 DAS.

In the middle canopy leaves, SPAD values decreased under SD, and no positive resilience was observed (Fig. 5a). Positive resilience was noted for F_{ν}/F_m at 125 DAS (Fig. 5b). The Φ_{PII} and q_{p} values decreased with plant development under the control treatment, but greater resilience appeared under MD at 101 and 125 DAS and under SD at 125 DAS (Fig. 5c, d). Both q_{N} and Φ_{NPQ} increased with DAS, with greater resilience under MD at both 101 and 125 DAS (Fig. 5e, f).

In the bottom canopy leaves, the relative chlorophyll content steeply decreased with DAS under all irrigation regimes, with a marked decline under SD. Rapid recoveries occurred with rewatering; however, only negative resilience was observed (Fig. 6a). A severe drought episode resulted in a reduction in F_v/F_m at 97 DAS, but a rapid recovery occurred at 4 d after rewatering (Fig. 6b). Rewatering resulted in high 364 F_{ν}/F_m resilience in the plants exposed to previous MD and SD at the end of plant 365 development. A decline in Φ_{PSII} was observed from 62 to 81 DAS, but a stable Φ_{PSII} 366 change remained thereafter during the later plant developmental periods. Marked 367 resilience appeared for both pre-drought treatments at the two final developmental 368 stages (Fig. 6c). The changes in q_p showed a similar pattern to that of Φ_{PSII} . However, 369 the marked resilience appeared only at 101 DAS (Fig. 6d). Under ample irrigation, 370 both $q_{\rm N}$ and $\Phi_{\rm NPO}$ increased until 81 DAS and then remained stable. Drought led to 371 declines in $q_{\rm N}$ and $\Phi_{\rm NPO}$ with considerable recovery at 125 DAS in the plants exposed 372 to the SD episode; however, the resilience still remained negative (Fig. 6e, f).

373

374 *Relationships between the functional traits*

375 The relationships between fluorescence parameters and chlorophyll contents (SPAD 376 values) in the maize field are shown in Fig. 7. We separated the data into 377 sub-categories to explore how their relationships are altered at the two developmental 378 stages. We only considered the data before/at previous tasselling stages (i.e., VT, a 379 transitional stage from the vegetative stage to reproductive stage); therefore, the only 380 significant and strong relationship was observed between F_v/F_m and chlorophyll content (SPAD readings, $R^2 = 0.318$, P < 0.001; Fig. 4a). The other parameters (i.e., 381 Φ_{PSII} , q_{P} , q_{N} and Φ_{NPO}) showed no significant relationships (P > 0.05, Fig. 4c-f), 382 383 except for a significant and negative relationship between F_s and the SPAD values 384 (Fig. 4b). Using the data after VT revealed significant and positive relationships of SPAD values with fluorescence parameters, including F_v/F_m (R² = 0.607, P < 0.001, 385 Fig. 4a), F_s (R² = 0.193, P = 0.022, Fig. 4b), Φ_{PSII} (R² = 0.210, P = 0.016, Fig. 4c), q_P 386 $(R^2 = 1.48, P = 0.047, Fig. 4d), q_N (R^2 = 0.378, P = 0.001, Fig. 4e) and \Phi_{NPO} (R^2 = 0.01)$ 387 388 0.248, P = 0.008, Fig. 4f). This indicates that stronger and closer associations emerged 389 between chlorophyll content and the key fluorescence parameters, but only at later 390 developmental stages.

We also performed a PCA to test the relationships between functional traits and the different patterns (Fig. 8). The first two principal components (PCs) accounted for 70.1 % of the total variations. The loadings of SPAD, F_v/F_m , F_m , F_o and F_o' were in quadrant I, while those of Φ_{PSII} , F_m' , q_P and q_L were in quadrant II. The markers most representative of non-photochemical quenching traits (e.g., NPQ, q_N and Φ_{NPQ}) in relation to non-photochemical radiative energy dissipation capability were sorted into quadrant III. Projection on the treatment effects showed that the three irrigation regimes were sorted in the coordinate plane, with control treatment mostly in quadrant II, and SD scattered in all four quadrants (Fig. 8).

400

401 **Discussion**

402 Water cycle changes could substantially impact plant growth, photosynthetic 403 processes and many crucial physiological functions and nutrient status, thereby 404 affecting plant productivity and crop yield (e.g., Izanloo et al., 2008; Lobell et al., 405 2014; Kimm et al., 2020). Drought and rewetting may often occur at intervals and are 406 predicted to happen more frequently and severely under climatic change (Dai, 2012; 407 IPCC, 2014; Donat et al., 2016; Diffenbaugh et al., 2017). Indeed, sporadic 408 precipitation is a critical issue in maintaining ecosystem productivity and its structural 409 stability, particularly in arid and semi-arid areas and/or in rain-fed planting regions 410 (Reynolds, 2004; Cooper et al., 2008; Song et al., 2018; Guo and Ogle, 2019).

411 Maize plays a critical role in meeting the global food demands and is one of the 412 most widely planted staple crops worldwide (Haarhoff and Swanepoel, 2018; FAO, 2020). In this study, the greenhouse and field experiments demonstrated how maize 413 414 photosynthetic functional traits respond to the abnormal precipitation alterations, 415 including drought, flooding, and rewatering at different growth stages, thereby 416 providing key information for managing crop production. Our main findings were that 417 1) drought and flooding severely hampered photosynthetic capacity in maize plants, 418 particularly under severe and/or long water stress, in support of the first hypothesis; 2) 419 rewatering could result in partial recovery of some photosynthetic traits, with few 420 compensatory responses, in partial support of our second hypothesis; and 3) the 421 photosynthetic resilience to drought was dependent on the drought severity and the 422 plant developmental stage, largely supporting the third hypothesis. These findings can 423 shed light on ways to improve regulation of crop functional traits to deal with erratic

424 precipitation regimes and may lead to better breeding and management practices for

425 crops that have high drought resistance and drought-resilience traits (Kromdijk et al.,

- 426 2016; Song *et al.*, 2018; Gupta *et al.*, 2020).
- 427
- 428 Drought and flooding

In agreement with previous work (e.g., Chaves et al., 2003, 2009; Xu et al., 2006; Xu 429 430 and Zhou, 2009; Gupta et al., 2020), the results of the present study indicated that 431 severe drought stress can substantially reduce photosynthetic capacity, as 432 characterised by declines in chlorophyll content, net light-saturated photosynthetic 433 rate (A_{sat}) , stomatal conductance (g_s) , and quantum yield of PSII electron transport 434 (Φ_{PSII}) in both the greenhouse and field experiments. However, the photosynthetic 435 capacity attenuated more substantially and steeply as the drought stress persisted in 436 our experiment, indicating a strong dependence on the duration, severity and timing of 437 droughts. Thus, only mild or moderate or short drought stresses were conducive to the 438 development of a regulative response of plants for resistance to water deficit in terms 439 of the changes in the root system (e.g., Trapeznikov et al., 2003), leaf thickness (Sack 440 and Grubb, 2002) and antioxidative defence system (Foyer and Noctor, 2005). This 441 observation may aid in implementations of deficit irrigation, water saving agriculture, 442 and sustainable development (Fereres and Soriano, 2007; Geerts and Raes, 2009; Du 443 et al., 2015; Silveira et al., 2020; Kimm et al., 2020).

The present findings also demonstrated that flooding led to a decline in SPAD and 444 445 A_{sat} , but not g_s . Indeed, under an anaerobic environment, plants may have adaptive 446 responses to flooding stress that include aerenchyma formation in the roots and the 447 development of adventitious roots (Mano et al., 2006), alteration of the profile of 448 protein synthesis related to anoxic tolerance (Subbaiah and Sachs, 2003), and 449 enhanced starch accumulation (Mutava et al., 2015). An involvement of ethylene 450 regulation is also associated with an enhancement of photochemical and 451 non-photochemical radiative energy dissipation capability (De Pedro et al., 2020). 452 Our results also indicated a higher tolerance of maize to flooding stress in terms of $\Phi_{\rm PSII}$ and photochemical quenching $(q_{\rm P})$, relative to drought stress, highlighting the 453

distinct effects of these two stresses (Mutava *et al.*, 2015; Zhu *et al.*, 2020). An antagonistic effect on g_s has been reported (see also Zhu *et al.*, 2020). Maintaining stomatal opening may promote water release to alleviate the stress due to excessive water, again highlighting the positive regulation in response to anoxic conditions (Zhu *et al.*, 2020).

459

460 *Recovery and resilience*

461 As previously reported, a depression in photosynthesis potentials by a previous 462 drought can be markedly stimulated by rewetting; however, whether or how much 463 these potentials recover depends on drought intensity and/or the persistence period 464 (e.g., Xu et al., 2009, 2010; Creek et al., 2018). In the current experiment, partial, full 465 and over recovery of photosynthetic traits were all observed in terms of both recovery 466 and resilience indices, specifically depending on the duration and persistence of the 467 drought, the plant developmental stages and the different functional traits, as well as 468 the crop species and cultivar (Figs 1-6; Xu et al., 2009; Creek et al., 2018). For 469 instance, an over-compensatory recovery (i.e., a positive percentage of the resilience) 470 in g_s was observed in maize (Figs. 2b, 3b); however, g_s only achieved a partial 471 recovery in a grass species (Xu et al., 2009). Creek et al. (2008) recently reported that, 472 after rewatering, the A_{net} of a semiarid species can return to the pre-drought stress 473 level within 2-4 weeks, whereas g_s performs a slower recovery. A recent report by 474 Johnson et al. (2018) indicated that photosynthesis was not fully recovered in wheat 475 plants because of the photosynthetic damage due to hydraulic decline in the leaves 476 subjected to drought. Increased embolism is tightly related to a complete lack of 477 photosynthetic recovery. However, Creek et al. (2018) found that photosynthetic 478 recovery can be decoupled from the recovery of plant hydraulics, indicating that the 479 impaired hydraulic function throughout the recovery period perhaps does not 480 influence the complete recovery of A_{net} from drought. Thus, the underlying 481 mechanism needs to be investigated further.

The enhancement of plant growth due to rewatering has been addressed by many
researchers (Reynolds *et al.*, 2004; Siopongco *et al.*, 2006; Xu *et al.*, 2009; Song *et al.*,

484 2018). As recently reported by Abid et al. (2018), tolerant wheat plants showing high 485 photosynthetic capacity during drought and rapid recovery after re-irrigating did not 486 show marked yield declines relative to the sensitive cultivars, indicating that the 487 plant's ability to maintain/restore growth and physiological functions during 488 pre/post-drought in the vegetative period might play a crucial role in determining crop 489 productivity. Upon rewatering, the rapid growth of new tissues, such as a new leaf, 490 might accelerate plant growth, potentially enhancing CO₂ assimilation (Pinheriro et 491 al., 2004). This may be a result of positive source–sink interactions, as a strong sink 492 requirement (e.g. new tissue) can enhance the carbon assimilation rate (Minchin and 493 Lacointe, 2005; White et al., 2015; Parvin et al., 2020). Higher resilience of A_{sat} and 494 g_s occurred in the younger leaves relative to mature ones, implying a greater ability to 495 recover in the new leaves that serve as both active source-sink organs (Figs 2, 3; 496 Roitsch, 1999). The maize plants were exposed to drought stress for only several days, 497 so leaf length after rewatering was restored to a similar level to that of the control 498 plants, indicating no occurrence of overcompensation (Acevedo et al., 1971; Xu et al., 499 2009; Hofer *et al.*, 2017). Thus, the extent of compensation for drought by the 500 triggering of new tissues following rewatering might determine the final plant/crop 501 production and would depend strongly on the severity, duration, and timing of the 502 drought stress (Hsiao, 1973; Xu et al., 2009; Hofer et al., 2017).

503

504 Associations between functional traits

505 The distinct responses of the functional traits such as A_{sat} and g_{s} to drought, flooding 506 and rewetting suggested that coordinated associations between the functional traits 507 could reveal the underlying mechanism (see also Creek et al., 2018). For instance, the 508 SPAD reading (e.g., Ciganda et al., 2009), as an indicator of relative chlorophyll 509 concentration, has different associations with photosynthetic function activities at 510 different plant development stages: stronger associations were observed post-VT 511 (tasselling stage) than pre-VT (Fig. 7). This might indicate that a greater coupling 512 relationship appears at later plant developmental stages and that leaf age/senescence 513 could be involved in the responses to drought and rewatering. This finding may

514 further improve our understanding of how plants respond to water status changes at 515 different developmental stages. For instance, many previous studies have indicated 516 that drought damage increases, while tolerance decreases, with increasing senescence 517 (e.g., David *et al.*, 1998; Shah and Paulsen, 2003; Chaves *et al.*, 2003; Xu *et al.*, 2008; 518 Jiang et al., 2020). However, rewatering may lessen the senescence processes (Xu et 519 al., 2010; Jiang et al., 2020), thereby leading to changes in associations between 520 functional traits such as coupling and trade-off occurrences. Moreover, as revealed by 521 the PCA (Fig.8), a distinct pattern of loadings between Φ_{PSII} , Φ_{NPO} and Φ_{NO} highlights 522 a feasible trade-off strategy by balancing the yields among photochemical processes 523 for the energy absorbed by PSII, dissipation of non-photochemical responses and 524 other non-photochemical losses, which would involve the xanthophyll cycle and PsbS 525 protein expression (Murchie and Lawson, 2013; Kromdijk et al., 2016; Sacharz et al., 526 2017).

527

528 Conclusions

529 Quantifying and defining plant functional traits to assess and predict drought effects 530 and post-drought recovery are relevant issues due to the pressing needs imposed by 531 climate change (e.g., Creek et al., 2018; Gupta et al., 2020). In this study, we 532 conducted greenhouse and field experiments to explore how maize photosynthetic 533 functional traits respond to drought, flooding, and rewatering at different growth 534 stages. The main findings were the following: 1) drought and flooding constrains 535 photosynthetic capacity, particularly under severe and/or long water stress; 2) 536 rewatering results in a partial recovery of most of the photosynthetic traits, with few 537 compensatory responses; 3) photosynthetic resilience to drought following rewetting 538 strongly depends on the drought severity and its persistence and duration, as well as 539 the plant growth stage. The distinct responses of various functional traits to drought, 540 flooding, and rewetting can translate to a regulative strategy of trade-off. The 541 coordinated changes in chlorophyll content, gas exchange, fluorescence parameters 542 (quantum efficiency of PSII, and photochemical and non-photochemical radiative 543 energy dissipation) may largely contribute to the enhancements of drought resistance

544 and resilience of plants. The associations of chlorophyll concentrations with 545 photosynthetic functional activities were also stronger post-VT than pre-VT, implying 546 that leaf age/senescence may be involved in the responses to drought and rewatering. 547 These findings may further improve our understanding of how plants respond to water 548 status changes at different plant developmental stages. This knowledge can be helpful 549 for breeding crops with high drought-resistant and drought-resilience traits and for 550 establishing management practices when facing climate change (e.g., Kromdijk et al., 551 2016; Leakey et al., 2019; Kimm et al., 2020; Gupta et al., 2020).

552

553 Acknowledgements

This study was jointly funded by the National Key Research and Development Program of China (2016YFD0300106), National Natural Science Foundation of China (31661143028), and China Special Fund for Meteorological Research in the Public Interest (GYHY201506001-3). The authors are grateful to Yuhui Wang, Bingrui Jia, Yanling Jiang, and Jian Song for their help during the study. The authors are also grateful to Hongying Yu, Quanhui Ma, and Liang Li for their loyal assistances during the two experiments.

561

562 Author contributions

563 Zhenzhu Xu and Guagnsheng Zhou deceived and designed the study; Miao Qi, Xiaodi

Liu, Yibo Li, He Song, and Feng Zhang conducted the experiment works. Miao Qi,

Xiaodi Liu, Yibo Li, and Zhenzhu Xu performed the data analyses. All authors wroteand proofread the manuscript.

567

568 Availability of data and materials

The data sets supporting the results of this article are included within the article and its supporting information file.

571

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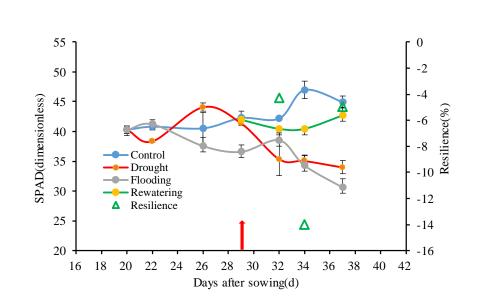
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Fig. 1. The changes in leaf relative chlorophyll content (SPAD values) in the youngest and fully expanded leaves of maize plants subjected to various watering regimes (blue line, ample watering as the control; grey line, flooding; red line, drought; green line, rewatering; green open triangle, resilience). The red arrow indicates the rewatering date; the data are shown as means \pm SE (n = 3-6).

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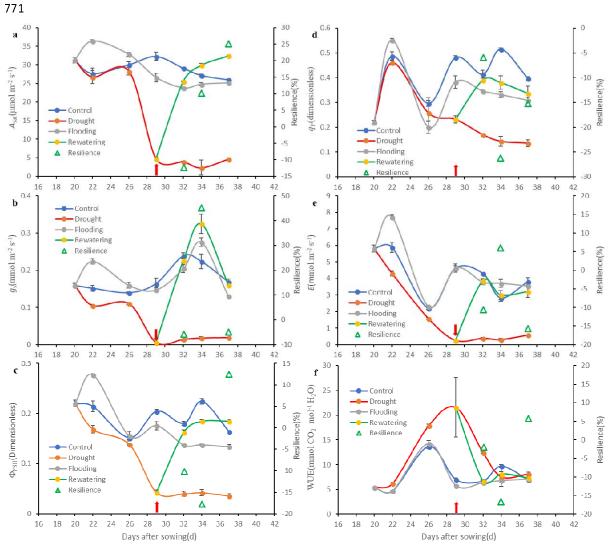
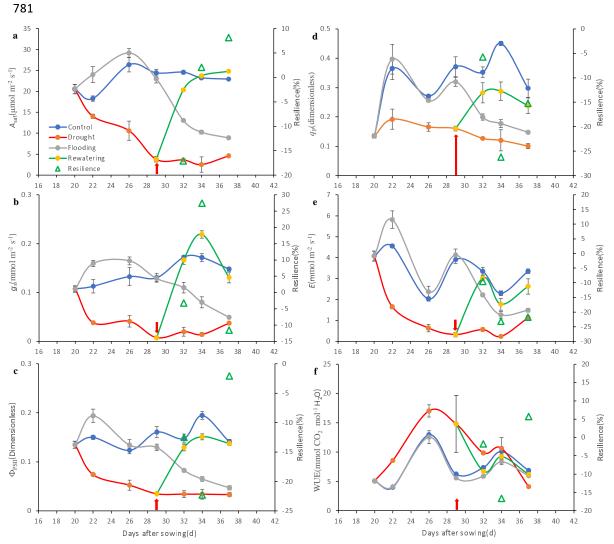




Fig. 2. The changes in net light-saturated photosynthetic rate (A_{sat} , a), stomatal conductance (g_s , b), quantum yield of PSII electron transport (Φ_{PSII} , c), photochemical quenching (q_P , d), transpiration rate (E, e), and water use efficiency (WUE, f) in the youngest and fully expanded leaves of maize plants subjected to various watering regimes (blue line, ample watering as the control; grey line, flooding; red line, drought; green line, rewatering; green open triangle, resilience). Red arrows indicate the rewatering date; the data are shown as means ±SE (n = 3-6).

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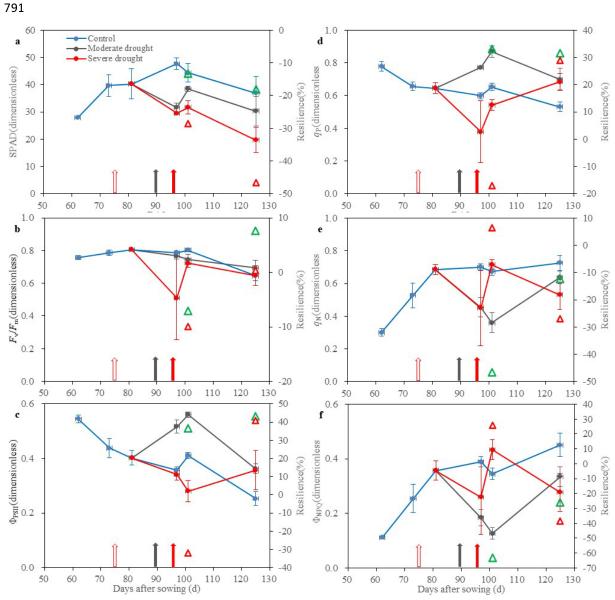


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Fig. 3. The changes in net light-saturated photosynthetic rate (A_{sat} , a), stomatal conductance (g_s , b), quantum yield of PSII electron transport (Φ_{PSII} , c), photochemical quenching (q_P , d), transpiration rate (E, e), and water use efficiency (WUE, f) in the mature leaves of maize plants subjected to various watering regimes (blue line, ample watering as the control; grey line, flooding; red line, drought; green line, rewatering; green open triangle, resilience). Red arrows indicate the rewatering dates; the data are shown as means ±SE (n = 3-6).

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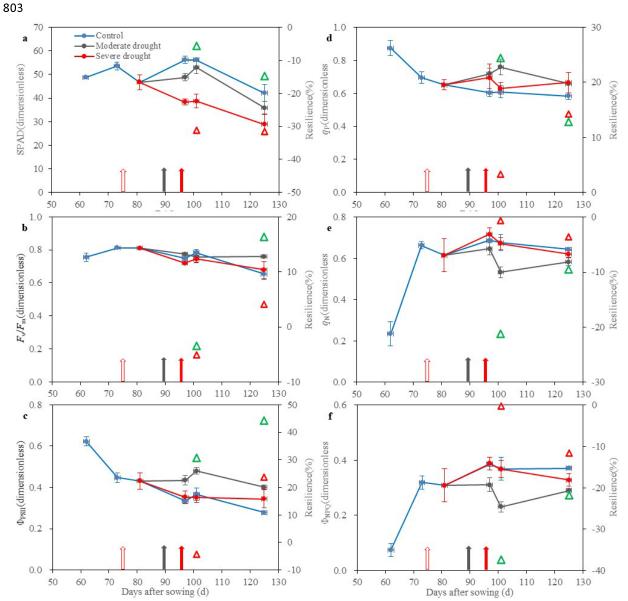
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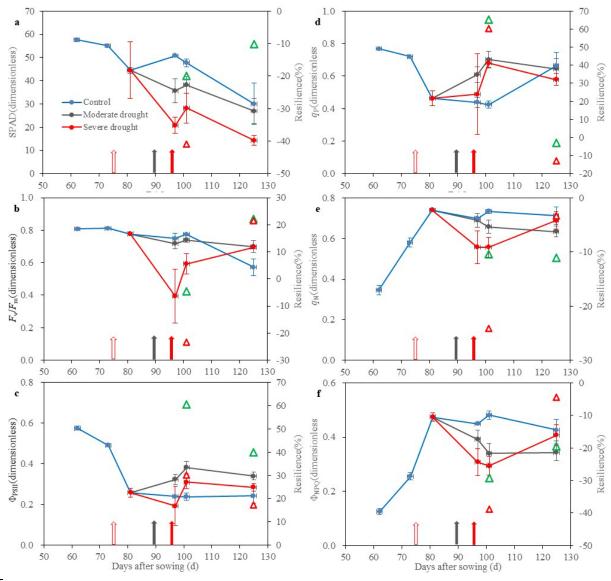
793 Fig. 4. Chlorophyll a fluorescence in upper leaves under drought and rewatering. Green and red 794 open triangles represent the resilience of moderate and severe drought at 101 and 125 days after 795 sowing (DAS), respectively. Red open arrows indicate the DAS of water withholding; while grey 796 and red close arrows indicate the rewatering DASs of moderate and severe drought treatments, 797 respectively. The data are shown as means \pm SE (n = 3-6). F_{ν}/F_m , maximal quantum efficiency of 798 photosystem II (PSII); Φ_{PSII} , the yield of PSII photochemistry; q_p , photochemical quenching based 799 puddle model; q_N , non-photochemical quenching; Φ_{NPQ} , light-induced regulated on 800 non-photochemical quenching.

801



805 Fig. 5. Chlorophyll a fluorescence in middle leaves under drought and rewatering. Green and red 806 open triangles represent the resilience of moderate and severe drought at 101 and 125 days after 807 sowing (DAS), respectively. Red open arrows indicate the DAS of water withholding; while grey 808 and red close arrows indicate the rewatering DASs of moderate and severe drought treatments, 809 respectively. The data are shown as means \pm SE (n = 3-6). F_{ν}/F_m , maximal quantum efficiency of 810 photosystem II (PSII); Φ_{PSII} , the yield of PSII photochemistry; q_p , photochemical quenching based 811 puddle model; q_N , non-photochemical quenching; Φ_{NPQ} , light-induced regulated on 812 non-photochemical quenching.

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817 Fig.6. Chlorophyll a fluorescence in **bottom** leaves under drought and rewatering. Green and red 818 open triangles represent the resilience of moderate and severe drought at 101 and 125 days after 819 sowing (DAS), respectively. Red open arrows indicate the DAS of water withholding; while grey 820 and red close arrows indicate the rewatering DASs of moderate and severe drought treatments, 821 respectively. The data are shown as means \pm SE (n = 3-6). F_v/F_m , maximal quantum efficiency of 822 photosystem II (PSII); Φ_{PSII} , the yield of PSII photochemistry; q_p , photochemical quenching based 823 puddle model; q_N , non-photochemical quenching; Φ_{NPQ} , light-induced regulated on 824 non-photochemical quenching.

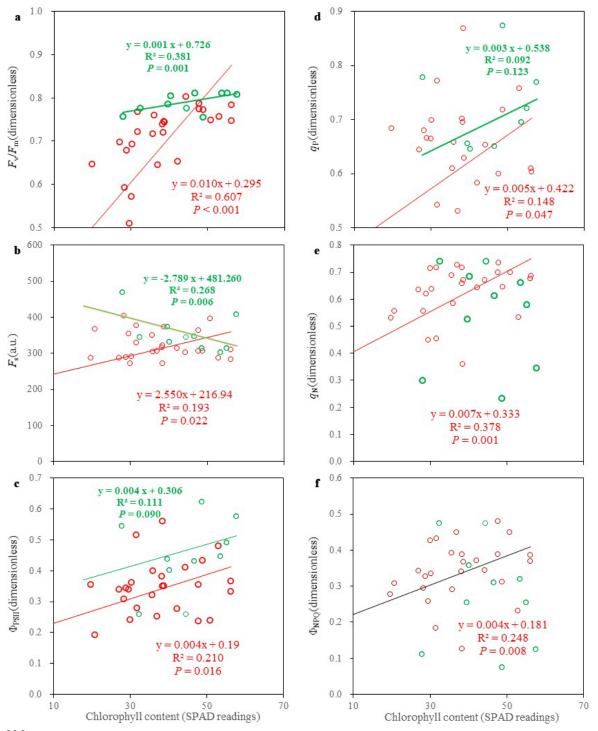
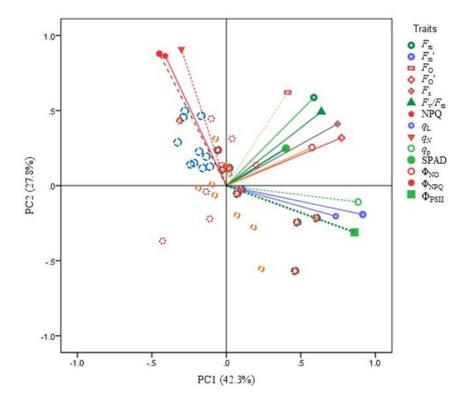




Fig. 7. Relationships between fluorescence parameters and chlorophyll content at pre-tasseling (VT, green parts) and post-VT (red parts) stages in maize field (2015). F_v/F_m , maximal quantum efficiency of photosystem II (PSII); F_s , steady-state fluorescence; Φ_{PSII} , the yield of PSII photochemistry; q_p , photochemical quenching based on puddle model; q_N , non-photochemical quenching; Φ_{NPQ} , light-induced regulated non-photochemical quenching.



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835 Fig. 8. Principal component analysis on plant functional traits under the three irrigation regimes 836 [i.e., control, moderate drought (MD), and severe drought (SD)]. The traits' loadings on the first 837 two principal components (PCs) are shown, and their projections are sorted by the three irrigation 838 regimes. Dotted green, orange, and red circles represent the PC scores of control, MD, and SD 839 treatments, respectively. F_m , maximal fluorescence yield; F_m' , maximal light-adapted fluorescence 840 yield; Fo, minimal fluorescence yield; Fo', minimal fluorescence at light-adapted state; Fs, 841 steady-state fluorescence; F_{ν}/F_m , maximal quantum efficiency of photosystem II (PSII); Φ_{PSII} , yield 842 of PSII photochemistry; q_p , photochemical quenching based on puddle model; q_L , photochemical 843 quenching based on lake models, q_N , non-photochemical quenching; Φ_{NPO} , light-induced regulated 844 non-photochemical quenching; Φ_{NO} , quantum yield of non-regulated energy loss.

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