Calretinin and calbindin architecture of the midline thalamus associated with prefrontal- hippocampal circuitry				
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ABSTRACT

68	The midline thalamus bi-directionally connects the medial prefrontal cortex (mPFC) and hippocampus
69	(HC) creating a unique cortico-thalamo-cortico circuit fundamental to memory and executive function.
70	While the anatomical connectivity of midline thalamus has been thoroughly investigated, little is known
71	about its cellular organization within each nucleus. Here we used immunohistological techniques to
72	examine cellular distributions in the midline thalamus based on the calcium binding proteins parvalbumin
73	(PV), calretinin (CR), and calbindin (CB). We also examined these calcium binding proteins in a
74	population of reuniens cells known to project to both mPFC and HC using a dual fluorescence retrograde
75	adenoassociated virus (AAV) based tracing approach. These dual reuniens mPFC-HC projecting cells, in
76	particular, are thought to be important for synchronizing mPFC and HC activity. First, we confirmed the
77	absence of PV^{+} neurons in the midline thalamus. Second, we found a common pattern of CR^{+} and CB^{+}
78	cells throughout midline thalamus with CR^{*} cells running along the nearby third ventricle (3V) and
79	penetrating the midline. CB^+ cells were consistently more lateral and toward the middle of the dorsal-
80	ventral extent of the midline thalamus. Notably, single-labeled CR^+ and CB^+ zones were partially
81	overlapping and included dual-labeled CR^+/CB^+ cells. Within RE, we also observed a CR and CB subzone
82	specific diversity. Interestingly, dual mPFC-HC projecting neurons in RE expressed none of the calcium
83	binding proteins examined, but were contained in nests of CR^+ and CB^+ cells. Overall, the midline
84	thalamus was well organized into CR^+ and CB^+ rich zones distributed throughout the region, with dual
85	mPFC-HC projecting cells in reuniens representing a unique cell population. These results provide a
86	cytoarchitectural organization in the midline thalamus based on calcium binding protein expression, and
87	sets the stage for future cell-type specific interrogations of the functional role of these different cell
88	populations in mPFC-HC interactions.

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INTRODUCTION

91	Interactions between the rodent agranular medial prefrontal cortex (mPFC) and the hippocampus
92	(HC) are essential to cognition and adaptive behavior, especially the flexible use and consolidation of
93	memory (Churchwell & Kesner, 2011; Eichenbaum, 2017; Jin & Maren, 2015; McGaugh et al., 2019;
94	Preston & Eichenbaum, 2013). Theoretically, mPFC-HC dysfunction is a common root cause of various
95	often overlapping neurocognitive symptoms that define several mental health disorders including
96	Alzheimer's disease (Braak & Braak, 1991), schizophrenia (Lisman et al., 2010), epilepsy (Gelinas et al.,
97	2016), and others. Anatomically, mPFC-HC interactions occur through multiple circuits including direct
98	ventral HC \rightarrow mPFC projections (Cenquizca & Swanson, 2007; Ferino et al., 1987; Skelin et al., 2019;
99	Spellman et al., 2015); indirect cortico-cortico pathways via entorhinal (Burwell, 2000; Kerr et al., 2007;
100	Witter et al., 2017), perirhinal cortex (Furtak et al., 2007; Jayachandran et al., 2019) and retrosplenial
101	cortex (Hunsaker & Kesner, 2018; Nelson et al., 2014); and through midline thalamo-cortical connections
102	(Hoover & Vertes, 2012; Vertes et al., 2007, 2015). In this regard, the midline thalamus is unique in that it
103	serves a fundamental role in creating the canonical higher-order cortico-thalamo-cortico circuitry that
104	unites mPFC with the HC (Sherman, 2017; Dolleman-van der Weel et al., 2019).
105	Major subdivisions of the midline thalamus include the dorsally situated paraventricular (PVT) and
106	parataenial (PT) nuclei, and the ventrally situated rhomboid (RH) and reuniens (RE). Each region has
107	unique connectivity patterns with mPFC, the HC, entorhinal and perirhinal cortex and septum, and
108	including special dual mPFC-HC projecting neurons (Su & Bentivoglio, 1990; Varela et al., 2014; Vertes et
109	al., 2006; Vertes & Hoover, 2008). Specific anatomical connectivity patterns have been the primary
110	contributor in understanding and predicting subregional differences (Dolleman-van der Weel & Witter,
111	1996; Vertes et al., 2006, 2015). For example, PVT has more connectivity with the amygdala (Li &
112	Kirouac, 2008; Su & Bentivoglio, 1990) and been related to adaptive fear memory (Choi & McNally, 2017;
113	Penzo et al., 2015). While RE, the most studied region, contains numerous cells with bidirectional
114	monosynaptic projections to CA1 and mPFC (Hoover & Vertes, 2012; Varela et al., 2014), and thus been
115	related to memory consolidation (Barker & Warburton, 2018; Dolleman-van der Weel et al., 2019; Varela

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et al., 2014), top-down memory functions (Ito et al., 2015; Jayachandran et al., 2019; Viena et al., 2018;

117 Xu & Sudhof, 2013), and mPFC-HC synchrony (Ferraris et al., 2018; Hallock et al., 2016; Hauer et al.,

118 2019; Roy et al., 2017)

119 Little is known about the organization of cell types in the midline thalamus. Recently, Lara-

120 Vasquez et al. (2016) identified two populations of midline thalamic cells that were differentiated by their

121 calcium binding protein expression, notably and calretinin (CR) and calbindin (CB). Calcium binding

122 proteins regulate several extra- and intra-cellular functions such as cell-cell communication, cell

123 contracture, and signal transduction by triggering or buffering calcium signaling and compartmental

124 concentrations (Arai et al., 1994; Celio, 1990; del Río & DeFelipe, 1996; Fonseca & Soriano, 1995;

125 Sloviter, 1989; Zimmer et al., 1995). Lara-Vazquez et al. (2016) went on to show that the *in vivo* activity of

126 midline thalamic neurons were determined, in part, by their calcium binding protein expression.

127 Specifically, they showed that (during urethane anesthesia) midline thalamic CR⁺ cells fired at low rates,

128 did not increase their activity during HC theta, and were inhibited during HC sharp-wave ripples.

129 Conversely, CR⁻ cells fired faster and responded to HC theta.

130 In the rat brain, the anatomical distribution of calcium binding proteins, including parvalbumin (PV), 131 CR and CB, differ widely across brain regions. For example, in the neocortex, PV positive (PV⁺) and CB⁺ 132 neurons are found throughout layers II-V, while CR positive (CR⁺) cells are mainly found in superficial 133 cortical layers (Condé et al., 1994; DeFelipe, 1997; Hof et al., 1999; Reynolds et al., 2004; Sloviter, 1989). 134 In the HC, PV⁺ neurons can be found in restricted layers and neuronal types in CA1 and CA3 such as 135 non-pyramidal basket and axo-axonic cells, while CB⁺ cells are localized in CA1 and CA2 (Kosaka et al., 136 1988; Aika et al., 1994; Fonseca and Soriano, 1995; Fuchs et al., 2007), and CR⁺ neurons preferentially 137 stain interneurons in CA1 (Miettinen et al., 1992; del Río and DeFelipe, 1996; Gulyás et al., 1996; Urbán 138 et al., 2002). In the thalamus, calcium binding protein distributions appear highly specific across nuclei. 139 Early studies showed that the midline thalamus labels particularly strongly for CR and CB, but not PV 140 (Arai et al., 1994; Winsky et al., 1992). However, regional and sub-regional distributions of these calcium 141 binding proteins in the midline thalamus have not been explored in detail.

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142 Specifying the expression and topography of calcium binding proteins in midline thalamus will 143 provide novel insights into structure and provide opportunities for cell-type specific targeting. Here, we 144 used immunohistological techniques to label and analyze details of the distribution PV. CR and CB 145 throughout the midline thalamus. We also targeted calcium binding protein expression specifically in 146 populations of RE cells that project to both mPFC and HC using dual retrograde viral tracers, in 147 combination with immunohistochemistry. Our results first confirmed the absence of PV⁺ cells throughout 148 the midline thalamus. Second, we showed that there are distinct functional zones in midline thalamus 149 defined by the topography of CR⁺ and CB⁺ labeling. Notably, we observed that the pattern of CR⁺ and CB⁺ 150 zones were well matched between dorsal midline thalamus (PVT and PT) and ventral midline thalamus 151 (RH and RE), only they were inverted relative to each other reflecting their wrapping around with the third 152 ventricle (3V). CR⁺ zones were most dense medial-laterally against the dorsal or ventral 3V walls and ran 153 dorso-ventrally, or ventro-medially, along the midline away from their respective 3V. CB⁺ cells were 154 clustered more ventro- or dorso-laterally (for dorsal and ventral midline thalamus, respectively). CR⁺ and 155 CB⁺ zones also overlapped and contained dual-labeled CR⁺/CB⁺ cells. Lastly, we show that dual mPFC-156 HC projecting cells in RE expressed none of the three calcium binding proteins examined (PV, CR, and 157 CB) but were surrounded by dense nests of CR⁺ and CB⁺ cells. We discuss these results with respect to 158 the mPFC-HC circuitry, and the implications of future functional interrogations of the midline thalamus.

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MATERIALS AND METHODS

161 Animal care and use

All procedures described were conducted in compliance with Florida International University (FIU) Institutional Animal Care and Use Committee (IACUC) and Institutional Biosafety Committee (IBC). Brain tissue sections (40-µm thick) from a total of 16 Long Evans rats (14 males, 2 females; Charles River; 250-350g on arrival) were used in these experiments. Rats were housed individually in a 12 hours inverse light/dark cycle (lights off at 10 a.m.) and had ad libitum access to food and water.

167 **Retrograde tracer injections**

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168	A subsample of rats (n = 4, 2 males and 2 females) received bilateral retrograde AAV-CAG-
169	TdTomato (59462-AAV _{rg} ; Addgene, MA) in mPFC (PL/IL) at the following DV coordinates: -5.0mm (50nL),
170	-4.4mm (150 nL), and -3.8mm (200 nL), and AAV-CAG-GFP (Addgene, MA; 37825-AAV _{rg}) targeting vCA1
171	at -7.2 mm (100 nL), 6.8 mm (200 nL), and 6.2 mm (200 nL). Retrograde viral vector expression post
172	injections was between 6-8 weeks before animals were sacrificed. Thalamic brain tissue sections from
173	these rats further underwent 3,3'-diaminobenzidine (DAB) reactions of CR or CB (see below) prior to
174	image visualization and captures using an Olympus BX41 brightfield/epifluorescence microscope.

175 Immunohistochemical tissue processing

Naïve and experimental rats were deeply anesthetized and transcardially perfused with 100 mL of heparin saline at a speed of 10mL/minute, followed by 250 mL of 4% paraformaldehyde (PFA, pH 7.4) at the same perfusion speed. Post perfusion, brains were removed, preserved in 4% PFA for 24 hours and then cryoprotected in 30% sucrose solution until they sank to the bottom. Subsequently, fixated brains were frozen and cut into coronal sections using a cryostat (Leica CM 3050S) or a sliding microtome. All tissue sections were cleaned with 1% sodium-borohydride in 0.1 M PB (pH 7.4), blocked for 1

182 hour in 0.5% Bovine Serum Album (BSA) and then processed using the following procedures:

183 Parvalbumin fluorescence reactions

A set of thalamic brain sections from 4 male rats was incubated at room temperature for 48 hours in parvalbumin (PV) primary antibody (1:250, MCA-3C9; Encore Bio, FL). After washes, tissue was incubated for 5 hours at room temperature in VectaFluor DyLight 594 anti-mouse secondary antibody (3 drops in 5mL of 0.1% BSA, DK-8818; Vector Labs, CA). When incubation was completed, tissue was washed in 0.1 M PB (3 x 5 minutes) then mounted on gelatin coated slides and coverslipped with VectaShield mounting medium with DAPI for visualization.

190 Calretinin and calbindin dual fluorescence reactions

191 Thalamic brain tissue sections from 5 male rats were incubated for 48 hours at room temperature

in mouse CB primary antibody (1:500, MCA-5A9; Encore Bio, FL) and 24 hours in rabbit CR primary

193 antibody (1: 2000, RPCA-Calret; Encore Bio, FL). After washes (3 x 5 minutes), sections were incubated

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in secondary antibodies Alexa Flour 488 (anti-mouse, 1:1000) for 6 hours and Alexa Flour 594 (antirabbit, 1:1000) for 3 hours at room temperature. Post incubation, sections underwent PB washes and
then, sections were treated with Vector TrueVIEW Autofluorescence kit (SP-8400; Vector Labs, CA; 3
cases) for 2 minutes at room temperature to remove any background from aldehydes. After this step,
tissue was washed 3 times with PB and subsequently, mounted in gelatin coated slides and coverslipped
with VectaShield mounting medium with DAPI.

200 Calretinin (CR⁺) and calbindin (CB⁺) DAB reactions

201 Brain sections that included midline thalamus were incubated for 48 hours at room temperature in 202 rabbit calretinin (CR) primary antibody (1:2000, RPCA-Calret; Encore Bio, FL) OR mouse calbindin (CB) 203 primary antibody (1:500, MCA-5A9; Encore Bio, FL) in 5mL of 0.1% BSA. After this period, tissue was 204 washed 3 times for 5 minutes in 0.1 M PB and placed in goat anti-rabbit OR anti-mouse biotinylated 205 secondary antibodies respectively (1:500, BP-9200/9100; Vector Labs, CA) for 6 hours. After PB washes, 206 the tissue was reacted in a solution containing avidin-biotin complex (Vectastain Elite ABC Kit, PK-6100; 207 Vector Laboratories, CA) for one hour at room temperature, followed by three 5-minute rinses in 0.1 M 208 PB. The peroxidase reaction was produced by incubating the sections for 5 to 12 minutes in a DAB 209 substrate solution (SK-4100; Vector Labs, CA). Reacted tissue was then mounted on gelatin coated 210 slides, dehydrated in methanol and xylene before being cover-slipped with Permount or Vectashield 211 antifade mounting medium with DAPI (H-1900, Vector Labs, CA).

212 Imaging and data analysis

For each subject, sections were imaged at different rostro-caudal levels of midline thalamus (β
range -1.08/-3.0). Cases with similar rostral, mid and caudal levels were grouped together for cell
quantification across reactions, nuclei and levels. Schematic drawings (overlays) from Swanson Rat Brain
Atlas (2018) were used to define the boundaries of the thalamic nuclei. The regions were quantified
automatically (see below) to identify immunoreacted cell bodies at each of the selected brain levels. Atlas
overlays were placed on top of original captures using Adobe Illustrator ® (Adobe Systems Inc., San

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Jose, CA). Cell quantification was done on one hemisphere based on overall quality to avoid intrinsic
 confounds such as large blood vessels that might be present in any one section.

Immunofluorescence from PV⁺, CB⁺ and CR⁺ tissue, and retrogradely labeled RE neurons from mPFC and HC injections, was imaged using an Olympus FV1200 confocal microscope at 10X, 20X and 60X focusing on midline thalamic structures (PVT, PT, RH and RE) using standard filter cubes for red fluorescence (excitation 545nm, emission 605nm), green fluorescence (excitation 470nm, emission 525nm) and DAPI (excitation 350nm, emission 460nm). Captures at 60X magnification (oil immersed) were obtained to further explore and verify cell body staining. DAB peroxidase stained sections were captured using an Olympus BX51 brightfield microscope at 20X magnification.

228 Automated cell counts

229 Quantification of neurons in all thalamic regions of interest (ROI) was performed using a 230 customized automated pipeline built in CellProfiler Software version 3.1.9 (cellprofiler.org) for objective 231 cell counts. FIJI ImageJ (Version 2.0.0; NIH; Schindelin et al., 2012) was used for preprocessing. The 232 data was extracted from CellProfiler using customized Python code through Anaconda Software (Version 233 2-2.4.0). CellProfiler has been previously used and validated for both fluorescence and chromogenic 234 localization by other research laboratories (McQuin et al., 2018; Tollemar et al., 2018). We further 235 validated the accuracy of CellProfiler by comparing the counts from 26 ROIs between two experienced 236 counters (manually) and CellProfiler. The counts from Experimenter 1 and Experimenter 2, and their 237 combined average were significantly correlated with the results yielded by CellProfiler (CellProfiler v. 238 Experimenter 1, r = .0.956; CellProfiler v. Experimenter 2, r = 0.941; CellProfiler v. Average, r = 0.958; all 239 p < .001). A test of inter-rater reliability showed a very high degree of reliability (Cronbach's $\alpha = 9.78$) 240 between the counters total average and CellProfiler's results.

241 Fluorescence-based PV⁺, CR⁺ and CB⁺ cell counts (single/dual)

A pipeline was created for the quantification of PV⁺, CB⁺, CR⁺ or DAPI fluorescence-based cell counts. *CorrectIlluminationCalculate* and *CorrectIlluminationApply* functions from CellProfiler were applied onto separated RGB images in order to correct any uneven lighting artifacts and further reduce noise. The

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three channels were aligned based on the signal intensity values using the CellProfiler *Align* function. A
restricted range of diameters and a set of intensity values were determined for each channel allowing for
proper cell identification. A mask of the identified cells was created for each RGB channel. Dual-labeled
CR⁺/CB⁺ cells were quantified by relating the masks to each other Using CellProfiler's *Relate Objects*function.

DAB CR⁺ and **CB⁺** cell counts

A separate CellProfiler pipeline was created to quantify the number of DAB stained CR⁺ and CB⁺ cells. ROIs were isolated from overlayed brightfield images using Adobe Photoshop®. Brightfield images were converted to a greyscale and inverted using the *ImageMath* function in CellProfiler. This process helps reduce noise, enhance cell features, and makes it easier to identify non-cells bodies artifacts, thus avoiding over-quantification. Quantification of cell bodies was determined with a set range of intensity and diameter values.

257 **Counting RE neurons with collaterals to mPFC and HC**

258 We identified RE cell clusters that projected to both mPFC and HC with the FV1200 confocal 259 microscope with using a z-stack (0.5 microns optical sections) to confirm dual-labeling. The same tissue 260 was imaged with the Olympus BX41 brightfield-epifluorescence microscope for dual-fluorescence and 261 DAB imaging similar to previous reports (Al-Mashhadi et al., 2015; Majercikova et al., 2012; Young et al., 262 2005). Individual captures were made at 20X magnification for the following channels: red (RE \rightarrow mPFC), 263 green (RE \rightarrow HC), blue (DAPI) and brightfield (DAB CR⁺ or DAB CB⁺ cells). Captures were made in two 264 prominent dorsal and ventral regions within a 545µm x 390µm area that contained the dual-projecting 265 clusters in RE. The corresponding brightfield image of CR or CB was transposed onto the merged fluorescent image using Adobe Photoshop[®]. A separate layer was created to mark the location of CR⁺ 266 267 cells with white '+' signs and CB⁺ cells with cyan '+' signs. The flattened image was used to verify whether 268 there was an overlap between DAB CR⁺ or DAB CB⁺ cells with immunofluorescence reacted neurons. 269 Here, counts were performed manually by two experienced experimenters and averaged. DAPI cell 270 counts were done using a custom CellProfiler pipeline.

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271 Cell soma (body) size

Using CR and CB dual reacted immunofluorescence sections, we measured soma size (μ m²) in PVT and RE using FIJI Image J. We sampled cells in a 200 μ m x 200 μ m region of PVT and RE. Counts were made in medial, dorsolateral, and ventrolateral subregions of each nuclei. Cell bodies were outlined with the freehand tool in FIJI and measured using a set calibration scale from the microscope.

276 Cell radius distance

In merged fluorescent and brightfield images, the radial distance of CR^+ or CB^+ cells from a small sample of dual mPFC-HC cells in RE was measured by imposing a 100µm radius circle centered on each individual dual labeled cell. Then, the distance from the center of dual labeled cells to all CR^+ or CB^+ cells within this area was measured using Image J.

281 Statistical analysis

282 For dual reacted CB and CR immunofluorescence data, two-way repeated measures analysis of 283 variance (ANOVAs) were performed to compare interaction or main effects of region (nuclei) and calcium 284 binding protein expression type on cell area density across the rostro, medial and caudal levels, followed 285 by one way-ANOVAs and multivariate/ pairwise comparisons (with Bonferroni correction) when the 286 differences were statistically significant. For DAB reacted tissue, two-way ANOVAs were used to compare 287 region (nuclei) and calcium binding protein expression type differences on cell area density. When 288 significant, Bonferroni post hoc tests and pairwise comparisons followed. Effect size was performed using 289 Hedges'd given the unequal sample sizes. Finally, a linear regression analysis was performed to assess 290 the relationship between DAB cell counts and distance from dual projecting cells. All collected data was 291 tallied and saved in Microsoft Excel and subsequently analyzed in SPSS (version 26). An alpha of 0.05 292 was considered statistically significant for all analysis.

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RESULTS

294 Absence of PV⁺ cells in midline thalamus

We first looked for evidence of PV⁺ cell body labeling in the midline thalamus (PVT, PT, RE, and RH). We did not find PV⁺ cell body labeling in any of the three rostral-caudal sections selected to span the

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- 300 the hippocampus, lateral and basolateral amygdala, striatum, and cortex (Fig. 1A, D-E). We also
- 301 observed dense PV⁺ fibers and puncta throughout much of the nearby lateral thalamus and striatum. In
- 302 TRN, we saw uniformly dense populations of PV⁺ cells with large immunonegative nuclei, which is
- 303 characteristic of PV⁺ labeling in TRN neurons (Fig 1D;(Arai et al., 1994; Celio, 1990; Csillik et al., 2005;
- 304 Kirichenko et al., 2017). Likewise, the distribution of PV⁺ cells in cortex was organized by layers and was
- 305 comparatively more sparse (Fig 1E; also see Van Brederode et al., 1991; Ahn et al., 2017). Despite the
- 306 absence of PV⁺ cell bodies in the midline thalamus, parvalbumin labeling was still abundant in the form of
- 307 PV⁺ puncta that were most often clustered near or between cell bodies (Fig. 1B-C, insets). In some cases,
- 308 PV⁺ puncta were observed enveloping entire cell bodies. More commonly, PV⁺ puncta formed
- 309 asymmetrical cluster densities that were biased toward one pole and formed a rough spherical cap.
- 310 Generally, the observations are in line with previous descriptions of PV⁺ labeling in midline thalamus
- 311 (Celio, 1990; Arai et al., 1994).

312 CR and CB expression in midline thalamus

313 Next we examined CR⁺ and CB⁺ labeling in three rostral-caudal sections because of known 314 differences along this axis of the midline thalamus (Arai et al., 1994; Celio, 1990; Rogers & Résibois, 315 1992; Winsky et al., 1992). Each coronal section was immunofluorescence reacted for both CR and CB. A 316 general finding was that CR⁺ and CB⁺ cell distributions show different clustering zones within and 317 between PVT, PT, RE and RH. A clear overall pattern emerged in the CR⁺ and CB⁺ labeling that similarly 318 organized the cytoarchitecture of the dorsal midline thalamus (PVT and PT) and ventral midline thalamus 319 (RH and RE). That is, CR⁺ zones were dense medial-laterally against the dorsal and ventral 3V and ran 320 dorso-ventrally along the midline away from their respective 3V forming the shape of a "T" or "Y" in dorsal 321 midline thalamus, or a similar inverted pattern in ventral midline thalamus. In relation to CR⁺ cells, CB⁺ 322 cells were clustered more ventro- or dorso-laterally (for dorsal and ventral midline thalamus, respectively).

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- 323 CR⁺ and CB⁺ zones partially overlapped and contained dual-labeled CR⁺/CB⁺ cells. Although these CR⁺
- and CB⁺ patterns were similar in dorsal and ventral midline thalamus, there was generally more
- 325 complexity to this organization in ventral midline thalamus.
- 326 **CR⁺** and **CB⁺** labeling distributions in rostral midline thalamus
- 327 In rostral sections (β -1.44; n = 4 rats), CR⁺ cell and fibers densities were found in several regions 328 including the hypothalamus, striatum, central and medial amygdala, medial divisions of thalamus, and 329 cortex (Fig. 2A, magenta) notably including a very prominent CR⁺ fiber band in the superficial layers of 330 entorhinal cortex (Wouterlood et al., 2001). In the midline thalamus, CR⁺ cells were predominantly located 331 in the dorsal, medial and ventral portions (Fig. 2B & C, magenta). Whereas, CB⁺ cells and fibers often 332 expressed in the same regions as CR⁺ cells, labeling was more prominent in the lateral portions of the 333 thalamus, internal capsule, basolateral amygdala, and globus pallidus (Fig. 2A, green). In the midline 334 thalamus, CB⁺ cells chiefly labeled the lateral portions (Fig. 2B & C). Generally, we observed that CR⁺ 335 and CB⁺ cell distributions organized into well-defined zones within the midline thalamus giving the 336 impression that there are important calcium binding protein specific subregions within PVT, PT and RE.
- 337 Distinctive CR⁺ and CB⁺ labeling in rostral PVT and PT

338 Next, we focused on CR⁺ and CB⁺ labeling in the dorsal midline thalamic nuclei (PVT and PT; Fig. 339 2B). While PVT and PT are often considered together, their CR⁺ and CB⁺ cell distributions indicated that 340 they contain different functional zones. In PVT, CR⁺ cells were bright, large, and clustered together in a 341 chain formation that ran dorsoventrally and hugged the lateral borders (M = 9.168, SD = 1.146; density in 342 cells per 0.01mm²; Fig. 2B & D_i). By contrast, few CR⁺ cells were visible in dorsal and medial PVT, 343 although these areas were heavily populated with CR⁺ puncta. CB⁺ cells stained much lighter, but 344 exhibited similar topography within PVT (M = 5.928, SD = 1.490; density in cells per 0.01mm²; Fig. 2B & 345 D_i). Dual-labeled CR⁺ and CB⁺ cells were most prominent in the lateral portions of PVT (Fig. 2C & D_{ii}). 346 These dual-labeled CR⁺/CB⁺ cells accounted for 29.22% of CR⁺ cells and 45.19% of CB⁺ cells in PVT 347 (Fig. 2F).

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348	Different from PVT, PT had a clear segregation between CB ⁺ and CR ⁺ cell topographies (Fig. 2B).
349	In PT, CR ⁺ cells ($M = 3.600$, $SD = 1.978$; density in cells per 0.01mm ²) were practically absent from
350	ventral PT but were abundant in dorsal PT. This CR^+ and CB^+ labeling delineates rostral PT into dorsal
351	and ventral subregions (Fig 2B). CB ⁺ cells ($M = 8.845$, $SD = 4.847$; density in cells per 0.01mm ²) were
352	observed throughout PT, usually intermingled or overlapping with CR^+ cells in dorsal PT, or as an
353	independent population in ventral PT. Dual-labeled CR^+/CB^+ cells (<i>M</i> = 2.398, <i>SD</i> = 2.086; density in cells
354	per 0.01mm ²) were located in dorsal PT. CR ⁺ /CB ⁺ cells accounted for 66.67% of CR ⁺ cells and 27.12% of
355	CB ⁺ cells in PT.

Rostral RE exhibits CR⁺ and CB⁺ defined zones

357 In rostral sections, the ventral midline thalamus is composed entirely of RE, which showed 358 distinctive patterns of CR⁺ and CB⁺ labeling (Fig. 2C). Rostral RE CR⁺ cells were bright, large, and their 359 intensity increased from dorsal to ventral borders (M = 7.990, SD = 1.042; density in cells per 0.01mm²). 360 Generally, CR⁺ cells in rostral RE were concentrated in dorsal, middle, and ventral regions and practically 361 avoided lateral areas, with the exception of a few cells that dual-labeled with CB⁺ cells (Fig. 2C & E). RE CB^+ cells (M = 11.383, SD = 2.404; density in cells per 0.01 mm^2) were present throughout the whole body 362 of RE but were more densely packed and separated from CR⁺ cells in dorsolateral regions. In dorsal and 363 364 medial RE, CR⁺ and CB⁺ cells were loosely distributed throughout and cells were visibly smaller in size 365 compared to cells in the dorsolateral regions (Fig. 2C, Ei-Ei; Table S1). Patches of dual-labeled CR⁺/CB⁺ 366 cells (M = 4.365, SD = 0.823; density in cells per 0.01mm²) were common in dorsal, medial and ventral 367 subdivisions of RE (Fig. 2C & E_{iii}). These dual-labeled CR⁺/CB⁺ cells accounted for 54.63% of CR⁺ cells, 368 and 38.35% of CB⁺ cells in RE. A prominent region of CR⁺/CB⁺ cells was located in the boundary between 369 RE mid-ventral regions and RE lateral areas (Fig. 2C). We also noted there were visible circular bands of 370 CR⁺ and CB⁺ cells that contained a sparsely labeled center (Fig. 2C, dotted circles), although DAPI 371 indicated the presence of cells within these circles. These results show CR⁺, CB⁺, and CR⁺/CB⁺ zones 372 that easily delineate rostral RE.

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373 **PVT** and **RE** show opposing CR⁺, CB⁺ and CR⁺/CB⁺ cell densities and cell size patterns in rostral

374 **RE**

375 Next we focused on comparing PVT and RE, the largest and most studied regions of midline 376 thalamus, which are well known for their different functional roles (Cassel et al., 2013; Hsu et al., 2014; 377 Kawano, 2001; Matzeu et al., 2014; Vertes et al., 2015). The overall CR⁺ and CB⁺ cell labeling patterns in 378 rostral midline thalamus suggested clear differences between PVT and RE with more CR⁺ cells in PVT 379 and more CB⁺ cells and dual-labeled CR⁺/CB⁺ cells in RE. First, we compared CR⁺ and CB⁺ cell densities 380 in PVT and RE. There was a significant effect of region ($F_{(1,3)}$ = 32.773, p = 0.011) such that CB⁺ cell 381 density in RE was slightly more than PVT, but no main effect of cell densities by calcium binding protein 382 expressed ($F_{(1,3)} = 0.004$, p = 0.955), and a significant interaction effect ($F_{(1,3)} = 4.907$, p = 0.006), 383 indicating opposite calcium binding expression proportions between PVT and RE (Fig. 2F). There were 384 also significantly higher densities of dual-labeled CR⁺/CB⁺ cells in RE than PVT (1.63:1, RE:PVT; paired-385 samples $t_{(3)}$ = 9.268, p = 0.003). A comparison of CR⁺ and CB⁺ cell sizes in PVT and RE (Fig. 2G) showed 386 no significant main effect of cell size by calcium binding protein expressed ($F_{(1,324)} = 0.467$, p = 0.495) or 387 region ($F_{(1,324)} = 0.633$, p = 0.427), but there was a significant interaction effect ($F_{(1,324)} = 5.404$, p = 0.021). 388 In PVT, CR⁺ cells tended to be larger compared to RE, and CB⁺ cells were larger in RE compared to PVT 389 (Fig. 2G). Further in PVT, cells were largest laterally and smallest medially. In RE, CB⁺ cells were largest 390 in dorsolateral subdivisions, but smaller in medial and ventrolateral divisions. Dual-labeled CR⁺/CB⁺ cells 391 in PVT and RE were not significantly different (paired-samples $t_{(105)} = 0.411$, p = 0.523). Detailed cell size 392 measurements are provided in supplemental table S1.

393 CR⁺ and CB⁺ labeling in mid-levels of the midline thalamus

In mid-level sections (β -1.78; n = 5 rats), the overall CR⁺ (Fig. 3A, magenta) and CB⁺ (Fig. 3A, green) cell and fiber densities were similarly distributed to the rostral levels. A notable change was more abundant CR⁺ labeling in habenula, mediodorsal, anterodorsal and centromedial nuclei of the thalamus, and CB⁺ cell densities in interanteromedial and anteriomedial nuclei of the thalamus, and the hypothalamus. CR⁺ cells were visually more prominent in dorsal midline thalamus and CB⁺ cells were

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399 more prominent in ventral midline thalamus, but CR⁺ and CB⁺ cells were found in all regions including

400 PVT, PT, RE and RH to differing degrees (Fig. 3B & C).

401 Distinctive CR⁺ and CB⁺ cell labeling in PVT and PT at mid-levels of midline thalamus

402 In mid-level coronal sections, PVT CR⁺ cells were dense (M = 11.346, SD = 3.771, density in cells 403 per 0.01mm²), bright, and packed together in large circular clusters located laterally (Fig. 3B). There was 404 notably sparse cell labeling in dorsal PVT with extensive CR⁺ puncta organized in dense fiber fields that 405 often ran along the dorsal-ventral axis and thickened at the 3V border. CB⁺ cells were less dense (M =406 9.604, SD = 3.516, density in cells per 0.01mm²), appeared lighter than CR⁺ cells, and largely overlapped 407 topographically with CR⁺ cells (Fig. 3B, D_i - D_i). Unlike CR⁺ cells, CB⁺ cells showed no clear tendency to 408 cluster. Dual-labeled CR⁺/ CB⁺ cells were found interspersed equally in CR or CB cell rich areas and 409 accounted for 50.56% of CR⁺ cells and 62.84% of CB⁺ cells.

In contrast to rostral levels, mid-level PT CR⁺ cells were mostly confined to ventral areas and comingled with CB⁺ cells (Fig. 3B). However, dorsal PT had profuse CR⁺ puncta visibly organized into fibers traversing around cells in meshed-wire pattern (e.g., Moyer et al., 2011). Generally, CR⁺ cells were very sparse (M = 1.578, SD = 0.826, density in cells per 0.01mm²) and CB⁺ cells were abundant (M =9.805, SD = 3.935, density in cells per 0.01mm²). Dual-labeled CR⁺/CB⁺ cells were also sparse accounting for 48.65% of CR⁺ cells and 7.83% of CB⁺ cells.

416 **Distinctive CR⁺ and CB⁺ labeling in RE and RH at mid-levels of midline thalamus**

417 In mid-level sections, RE and RH showed distinctive patterns of CR⁺ and CB⁺ labeling (Fig. 3C). 418 Compared to rostral levels, mid-level RE CR⁺ cells were bright and abundant (M = 8.910, SD = 1.683, 419 cells per 0.01mm²) with a slight shift in location ventrally and laterally towards the early formation of RE 420 wings, and defined the lower border. CR⁺ cells were also seen along the lateral borders of RE, and 421 dorsomedially (Fig. 3C). By comparison, CB⁺ cells (M = 11.520 SD = 2.622, cells per 0.01mm²), were 422 localized throughout RE with independent (non-overlapping) populations in dorsal and dorsolateral 423 regions. Notably at this level, RE CB⁺ cells in dorsolateral portions showed a very large cell body size that 424 contrasted to that of smaller RE CB⁺ cells in its ventrolateral division (Fig. 3E_i-E_{ii} & Table S1). Dual-

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426 in centromedial and ventrolateral divisions of RE (Fig. 3C). CR⁺/CB⁺ cells accounted for 55.05% of CR⁺

427 cells and 41.73% of CB⁺ cells (Fig. $3E_{iii}$ - E_{iv}).

425

428 In RH, CR⁺ cells were scarce (M = 1.760, SD = 1.017, cells per 0.01mm²), and presented similar

429 brightness and circular organization of that of PVT cells. CR⁺ cells were primarily confined to medial RH

430 (Fig. 3C), with CR⁺ fibers present laterally. By contrast, CB⁺ cells were not bright but were plentiful

431 throughout RH (M = 10.373, SD = 2.703, cells per 0.01mm²) with a tendency to cluster in lateral ends.

432 Dual-labeled CR⁺/CB⁺ cells accounted for 50.71% of CR⁺ cells and 8.60% of CB⁺ cells.

433 Mid-level PVT and RE show opposing CR^{+} , CB^{+} , and CR^{+}/CB^{+} cell density and cell size patterns

434 In mid-levels, PVT and RE differences remained clear with PVT showing dominant CR⁺ labeling 435 with CB⁺ cells interspersed, and RE showing more CB⁺ labeling dorsally and medially with CR⁺ cell zones 436 seen ventrally and laterally. We compared overall CR⁺ and CB⁺ cell densities in PVT and RE. There was 437 no main effect of cell density by calcium binding protein expressed ($F_{(1,4)} = 0.317$, p = 0.604) or region 438 $(F_{(1,4)} = 0.012, p = 0.918)$, but there was a significant interaction effect $(F_{(1,4)} = 8.702, p = 0.042)$. As in 439 rostral sections, mid-level PVT had relatively more CR⁺ cells, and RE had more CB⁺ cells (Fig. 3F). Unlike 440 in rostral sections, there were no significant differences in the densities of dual-labeled CR⁺/CB⁺ cells

441 (paired-samples $t_{(4)} = 0.366$, p = 0.733). A comparison of CR⁺ and CB⁺ cell sizes in PVT and RE (Fig. 3G)

442 showed no differences by calcium binding protein expression ($F_{(1,335)} = 0.040$, p = 0.843), a significant

443 effect of region (PVT; $F_{(1,335)} = 6.406$, p = 0.012), and no significant interaction effect ($F_{(1,335)} = 0.994$, p = 0.994, p = 0.994,

444 0.320). That is, PVT cells tended to be slightly larger (8.33%) than RE (Fig. 3G & Table S1).

445 **CR⁺** and **CB⁺** labeling distributions in caudal midline thalamus

446 In caudal sections (β -2.76; n = 4 rats), there were some notable variations in the overall 447 distribution of CR⁺ and CB⁺ labeling (Fig. 4A) including that CR⁺ cell and fiber densities were now seen in 448 basolateral amygdala, and CB⁺ staining increased in prominence in striatum. In midline thalamus, CR⁺ 449 staining dorsally remained high (Fig. 4B). The balance of CR⁺ and CB⁺ labeling in ventral midline 450 thalamus shifted whereby RE CR⁺ labeling intensity increased, and RH CB⁺ staining intensity increased,

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as compared to more rostral sections (Fig. 4C). Nuclei located centromedially in thalamus had numerous
CB⁺ cells, while CR⁺ cells were less abundant (Fig. 4A). As before, cells expressing both types of calcium
binding proteins were found in all midline thalamic structures examined in detail including PVT, RE, and

454 RH.

455 Caudal PVT CR⁺ and CB⁺ labeling

In caudal PVT, expression of CR⁺ cells (M = 10.950, SD = 3.588, cells per 0.01mm²) were bright and clustered tightly just off the midline running along the dorsal/ventral axis, similar to more rostral sections (Fig. 4B). Dorsal PVT was absent of any cell labeling for CR or CB, but was dense with CR⁺ fibers. CB⁺ cells (M = 8.935, SD = 2.412, cells per 0.01mm²) in caudal PVT lightly stained and were seen throughout the structure. CB⁺ cells were especially noticeable in the lateral and ventral portions (Fig 4B). Dual-labeling CR⁺/CB⁺ cells were prominent in dorsolateral areas accounting for 52.64% of CR⁺ cells and 56.93% of CB⁺ cells (Fig. 4Di-Div).

463 **Distinctive CR⁺ and CB⁺ labeling in RE, PRe, and RH in caudal midline thalamus**

464 In caudal sections, distinct topographical organizations of CR⁺ and CB⁺ cells emerged in RH, RE, 465 and PRe. Caudal RE CR⁺ cells (M = 10.560, SD = 2.845, cells per 0.01mm²) retained a bright color and 466 were mostly located dorsolaterally, unlike earlier rostro-caudal levels. The ventral portion of RE was 467 largely void of cells that labeled for either calcium binding protein. A network of CR⁺ fibers located closed 468 to 3V, occupied most of this region along with a few CR⁺ cells located laterally. (Fig. 4C). CR⁺ cell 469 expression was low in PRe (Fig. 4C), a structure known to be rich in RE neurons projecting to mPFC 470 (Cassel et al., 2013; Dolleman-van der Weel et al., 2019). RE CB⁺ cells (M = 11.673, SD = 3.106), cells 471 per 0.01mm²) were seen prominently along the lateral borders, where they overlapped heavily with CR⁺ 472 cells (Fig. 4E_i-E_{iv}). CB⁺ cells were abundant throughout PRe. In the ventral portion of RE, CB⁺ cells 473 were few, small, and scattered (Fig. 4E; see Table S1). Dual-labeling CR⁺/CB⁺ cells were seen throughout 474 the dorsal and medial subdivisions of RE and in PRe. CR⁺/CB⁺ cells accounted for 64.30% of CR⁺ cells 475 and 58.18% of CB^+ cells in RE (Fig. 4E).

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In caudal RH, CR⁺ were largely absent (M = 2.163, SD = 1.368, cells per 0.01mm²), while CB⁺ cells (M = 7.630, SD = 5.344, cells per 0.01mm²) were abundant and present through the region (Fig. 4C). RH CR⁺ cells were mainly located in the mediodorsal and medioventral borders of RH, while CB⁺ cells were heavily distributed in the lateral wings with a relatively large size (Fig. 4C). Dual-labeled CR⁺/CB⁺ expression accounted for 31.02% of CR⁺ cells and 8.78% of CB⁺ cells.

481 Caudal PVT and RE have different CR⁺, CB⁺, and CR⁺/CB⁺ cell density and cell size patterns

482 In caudal midline thalamus, the overall pattern of CR⁺ and CB⁺ cell densities in PVT and RE 483 appeared to match well with more rostral sections. There were proportionally more CR⁺ than CB⁺ cells in 484 PVT, and more CB⁺ than CR⁺ cells in RE, although these differences were moderate (Fig. 4F). We 485 compared overall CR⁺ and CB⁺ cell densities in caudal PVT and RE. There was no main effect of calcium 486 binding protein expression ($F_{(1,3)} = 0.542$, p = 0.515), or region ($F_{(1,3)} = 0.519$, p = 0.523), but there was a 487 significant trend towards and interaction effect ($F_{(1,3)} = 7.836$, p = 0.68). Similar to mid-level sections, there 488 was no significant difference in the proportion of dual-labeled CR⁺/CB⁺ cells in PVT and RE (paired-489 sample $t_{(3)} = -1.258$, p = 0.297). A comparison of CR⁺ and CB⁺ cell sizes in caudal PVT and caudal RE 490 (Fig. 4G) showed no differences by calcium binding proteins ($F_{(1,310)} = 1.996$, p = 0.159), no region effect

491 ($F_{(1,310)} = 1.265$, p = 0.262), and no interaction effect ($F_{(1,310)} = 0.011$, p = 0.917)(Fig. 4G).

492 Distributions of CR⁺ and CB⁺ in RE subregions using 3,3'-Diaminobenzidine (DAB)

493 Notably, the results of the immunofluorescence experiments revealed topographically biased 494 clusters of CR⁺ and CB⁺ cell populations in RE, the largest of the midline thalamic nuclei (Arai et al., 1994; 495 Bokor et al., 2002; Winsky et al., 1992) that varied across the rostral-caudal axis. We further investigated 496 the distribution of RE CR⁺ and CB⁺ cells with DAB in all the RE internal subdivisions from those 497 established in Swanson brain atlas (2018) including five rostro-caudal levels of the rat's midline thalamus 498 (n = 13 rats, 7 CR and 6 CB) to confirm these patterns and densities. The results were entirely consistent 499 with the immunofluorescence in that all subregions of RE tended to have higher CB⁺ cell area densities 500 that CR⁺ cell densities, except RE medial (Fig. 5). The cell area densities found with the DAB staining of 501 CR⁺ and CB⁺ was almost identical to that of our immunofluorescence reactions (see above and Table S2),

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and the topographies were the same. Figure 5C summarizes our findings by atlas subregion showing that CB^+ cell densities were consistently higher than CR^+ cell densities, with the exception of cells at RE medial (REm) division. The same was true of RE caudoposterial (REcp) division, but only at mediocaudal levels. Notably, in rostromedial (reuniens lateral-REI and reuniens ventral division-REv) and caudal levels (reuniens caudal dorsal division-REcd) CB^+ cell densities were about twice the density of CR^+ cells (with the exception of REm). We calculated the overall effect size across all RE subregions and levels and found a modest effect of CB^+ density (Hedge's d = .32).

509 Dual-site mPFC-HC projecting RE neurons are not CB⁺ or CR⁺

510 A noteworthy feature of the midline thalamus is the presence of cells with monosynaptic 511 projections to both mPFC and HC (Hoover & Vertes, 2012; Varela et al., 2014) which are thought to 512 contribute to rhythmic synchrony and communication in the mPFC-HC memory system (M. J. Dolleman-513 van der Weel et al., 2019). Thus, we performed additional experiments to examine the calcium binding 514 protein expression in dual mPFC-HC projecting cells in RE. To do this, we injected two AAV retrograde 515 viral vectors in mPFC (prelimbic and infralimbic cortex; rAAV-CAG-tdTomato) and HC (ventral CA1; rAAV-516 CAG-GFP) and then imaged the resultant tdTomato (red) and GFP (green) expression in RE (Fig. 6A) (n 517 = 4 rats). Coronal sections were counterstained with DAB to label CR (n = 2) and CB (n = 2) (see Figure 518 S1). We found cells in RE that were dual-labeled (Fig. 6B, yellow) that clustered predominantly in 519 consistent dorsal and ventral locations near the midline (Fig. 6B, cyan squares). Dual mPFC-HC 520 projecting cells represented only a small proportion of RE cells in the area (Fig. 6C) consistent with 521 previous reports (Hoover & Vertes, 2012; Varela et al., 2014). Next, we looked at whether CR⁺ or CB⁺ 522 cells co-localized with dual mPFC-HC projecting cells in RE. Surprisingly, no CR⁺ and CB⁺ cells 523 overlapped RE dual-projecting cells. We also noticed (as before in Figs. 2-4C) that there were CR⁺ and 524 CB⁺ sparse areas, and interestingly these appeared to contain dual mPFC-HC projecting cells. To 525 examine this visual impression quantitatively, we measured the distance from a sample of RE dual-526 projecting cells (n = 49) to every CR⁺ and CB⁺ cell within a 100-micron radius (D_{ii} -E_{ii}). There were very few 527 CR⁺ and CB⁺ cells nearby RE dual-projecting cells, and this count progressively increased with distance

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(Fig. $6F_{ii}$ - G_{ii}). To confirm this relationship, we ran a linear regression between the cell counts by distance. We found that CR⁺ cell and CB⁺ cell counts both increased significantly as a function of distance from dual mPFC-HC projecting cells in RE (dorsal clusters: CR⁺ cells r = .57, r^2 = .328; CB⁺ r = .80 r^2 = .646; ventral clusters: CR⁺ cells r = .84, r^2 = .706; CB⁺ r = .84, r^2 = .888; all p's < 0.01). Overall, these findings show that dual mPFC-HC projecting cells in RE are a neurobiologically unique cell type in that they lack CR and CB (and PV) expression, and that their local cytoarchitecture clusters them within CB⁺ and CR⁺ cell nests that occurred in multiple topographic locations within RE.

- 535
- 536

DISCUSSION

537 Summary of Main Findings

The present study examined the calcium binding protein (PV, CR and CB) organization of the 538 539 midline thalamus focusing on PVT, PT, RH and RE using a dual-labeling immunofluorescence approach. 540 We further targeted specific dual mPFC-RE projecting cells in RE using a dual retrograde AAV tracing 541 technique because these cells are theoretically critical to synchronous mPFC and HC activity (Dolleman-542 van der Weel et al., 2019; Hoover & Vertes, 2012; Varela et al., 2014). First, we did not find any PV⁺ cells 543 in any of the nuclei of the midline thalamus, consistent with previous reports (Celio, 1990; Arai et al., 544 1994; Bokor et al., 2002). However, we did find an abundance of PV⁺ fibers in midline thalamus which are 545 known to be inhibitory afferents from TRN (Albéri et al., 2013; Arai et al., 1994; McKenna & Vertes, 2004). 546 Next, we demonstrated that CR⁺ and CB⁺ labeling organized the midline thalamus into distinct cell-type 547 dominant zones. Notably, the dorsal and ventral CR⁺ and CB⁺ patterns mirrored each other suggesting a 548 common developmental trajectory of CR⁺ and CB⁺ cell densities throughout the midline thalamus 549 (Frassoni et al., 1998) in a pattern that simply flipped around the curvature of the rostral thalamus and 3V 550 (e.g., vertically flipping Fig. 2C aligns the cell distributions of RE exceptionally well with those in Fig. 2B). 551 That is, the dorsal midline thalamus (PVT and PT) contained a high density of CR⁺ cells and fibers that 552 resembled a "T" or "Y" bordering the walls of the dorsal 3V and populating the midline. Whereas, CB⁺ 553 cells were populated ventrolaterally and dense in PT. The ventral midline thalamus (RH and RE)

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554 contained a high density of CR⁺ cells resembling an inverted "T" or "Y" bordering the walls of the ventral 555 3V and occupied the midline including the center of rostral RH. CB⁺ cells in ventral midline thalamus were 556 situated dorsolaterally and in lateral and caudal RH. Throughout the midline thalamus, dual-labeled 557 CR⁺/CB⁺ cells were contained in partially overlapping single-labeled CR⁺ and CB⁺ zones. We detailed 558 subregional variations on these patterns throughout the results, noting RE had the most complexity 559 (summarized in Fig. 7). While we found a consistently opposing pattern of CR and CB cell density in PVT 560 and RE across the rostro-caudal axis of the thalamus, with CR⁺ cell density higher in PVT and CB⁺ cell 561 density was higher in RE, these differences may be, in part, due to the lack of an atlas separation for the 562 CB⁺ cell population in the dorsolateral areas of RE in the way that PT is separated from PVT. Lastly, we 563 showed that dual mPFC-HC projecting cells labeled with neither CR or CB, but were surrounded by rings 564 of cells expressing both calcium binding proteins (composed of CR⁺, CB⁺, and CR⁺/CB⁺ cells). These dual 565 mPFC-HC projecting center-ring organizations are potentially important microcircuits for midline thalamic 566 integration and mPFC-HC synchronization.

567 **Calcium Binding Protein Distributions in Midline Thalamus**

568 Overall, our results are in strong agreement with the outcomes of other studies that looked at the 569 distribution of the calcium binding proteins in the rat thalamus that indicated that the midline thalamus is 570 particularly rich/dense with CR⁺ and CB⁺ cells (Arai et al., 1994; Bokor et al., 2002; Winsky et al., 1992). 571 However, we detail a few important differences with respect to the findings described in Arai et al. (1994). 572 who productively used a single-labeling chromagen approach, on the distribution of the CR⁺ and CB⁺ cells 573 in PVT, RH, and RE (our PT findings were nearly identical). First, Arai et al. (1994) indicated PVT was 574 mainly a CR-containing structure while we found both CB⁺ and dual-labeled CR⁺/CB⁺ cells common 575 throughout the region. PVT CR-labeling intensity and cells sizes were notably greater than for CB in PVT. 576 Second, Arai et al., (1994) showed RH was mostly a CR-containing structure, but our data demonstrates 577 that this is only true at the most rostromedial levels of RH. In stark contrast, we found that CB⁺ cells were 578 almost exclusively populated at lateral and caudal levels of RH. Third, with respect to RE, Arai et al. 579 (1994) described this nucleus as containing similar densities of CR and CB, while we demonstrate that

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580 RE contained more CB⁺ than CR⁺ cells and exhibits several distinct CR⁺, CB⁺ and CR⁺/CB⁺ cell zones 581 (see Fig. 7 for details). The differences we found are likely due to the fact that we used a dual-labeling 582 immunofluorescence protocol that was (1) more able to pick up on detailed distributions of CR⁺ and CB⁺ 583 labeling in direct relationship to each other, and (2) confirm the identity of dual-labeled CR⁺/CB⁺ cells with 584 a confocal z-stack analysis.

585 **Functional Implication of CR⁺ and CB⁺ Topographies in Midline Thalamus**

586 It well known that calcium binding proteins such as CR⁺ and CB⁺ are crucially involved in neuronal 587 functions. While CR and CB have been chiefly classified as slow buffers, recent work suggest they can 588 also act as calcium sensors (Nelson & Chazin, 1998; Schwaller, 2014) Generally, calcium binding proteins 589 have been used as an important tool in differentiating various cell types in the brain (Andressen et al., 590 1993; Arai et al., 1994; DeFelipe, 1997; Gulvás et al., 1996; Jones & Hendry, 1989). In fact, an early 591 classification of the thalamus separated cells based on their calcium binding protein expression (Jones, 592 1998; Jones, 2001). Of these, CB⁺ cells in midline thalamus were classified as 'matrix' cells because of 593 their dedicated projections to multimodal sensory regions in superficial layers of the cortex; and PV⁺ cells 594 were considered 'core' cells for their projections to areas involved in the processing of sensory or motor 595 information in middle cortical layers. While we did not find any PV⁺ cells in midline thalamus, CB⁺ cells 596 were abundant especially in PT, RH and dorsolateral RE. The midline thalamus might be considered 597 composed entirely of matrix cells involved in the processing of information from multiple brain regions in 598 much the same way promoting cortico-thalamo-cortico synchronization, although the value of this 599 distinction is not clear for further understanding subregional microcircuits in the midline thalamus without 600 further investigation (Dolleman-van der Weel et al., 2019). While a role of CR is less known at this level of 601 analysis, a population of CR⁺ RE cells that project to entorhinal cortex has been described (Wouterlood et 602 al., 2007). We assume this is reflected in our observation of very dense and prominent CR⁺ fibers that 603 extended throughout the shallow layers of entorhinal cortex but did not extend into perirhinal cortex, or 604 into other cortices, which appeared to have more CB⁺ labeling. Given these entorhinal projections, CR⁺ 605 cells in midline thalamus also seem to target multimodal sensory regions of cortex like CB⁺ cells. This

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suggest that the existence of separate and unique CR and CB zones in midline thalamus represent

607 separate thalamo-cortical circuits.

608 Distinct CR⁺, CB⁺ and CR⁺/CB⁺ topographical zones in midline thalamus are likely associated with 609 distinct rhythmicity like delta (e.g., Roy et al., 2017; Ferraris et al., 2018; Todorova and Zugaro, 2019; 610 Schultheiss et al., 2020), theta (Hallock et al., 2016; Hasselmo et al., 2002; Jankowski et al., 2014; Lara-611 Vásquez et al., 2016; Roy et al., 2017; Vertes et al., 2004), or with sharp-wave ripples (Jadhav et al., 612 2012; Jadhav et al., 2016). Good support for this notion stems from the demonstration that CR⁺ cells in 613 midline thalamus exhibit distinct in vivo electrophysiological profiles (Lara-Vásquez et al., 2016). 614 Specifically, Lara-Vasquez et al. (2016) found that CR⁺ cells were more prone to bursting, not recruited in 615 HC theta states, and inhibited by sharp-wave ripples. They also found that CR⁻ neurons were less prone 616 to bursting and had no apparent relationship to theta (regardless of labeling for CB). These cells were 617 sampled from across the dorsal-ventral extent of the midline thalamus signifying a primal role for calcium 618 binding protein status. Combined with the present results, this suggests special considerations should be 619 given when recording from midline thalamic neurons as to their calcium binding protein identity. For 620 example, activity recorded from the CR-rich zones in RE located along the midline or near the third 621 ventricle will likely differ significantly from RE activity recorded from the CB-rich dorsolateral areas. 622 particularly with respect to their rhythmic and bursting profiles.

Dual mPFC-HC projecting RE cells are distinct from CR⁺ and CB⁺ cell populations

624 Using a retrograde AAV viral approach we observed distinct and stereotyped clusters of RE cells 625 with monosynaptic projections both to mPFC (prelimbic and infralimbic area) and ventral CA1. This finding 626 is in agreement with two other studies that used more traditional tracing techniques (Hoover & Vertes, 627 2012; Varela et al., 2014). Specifically, Hoover and Vertes (2012) found several clusters of dual labeled 628 cells in RE following injections of the retrograde tracers fluorogold and fluororuby in mPFC (PL/IL) and 629 dorsal CA1, and in vCA1 and subiculum. Hoover and Vertes (2012) suggested that the functional roles of 630 these cells were to support limbic subcortical and cortical interactions and/or the convergence and 631 integration among other limbic-related structures. Varela et al. (2014) also demonstrated the existence of

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632 dual mPFC-HC projecting cells in RE following injections of cholera toxin (CTB) tracers. Varela et al. 633 (2014) speculated these cells may be critical for the synchronization of target regions during exploration, 634 transfer of mnemonic information between mPFC-HC, and modulation of certain phases of cortical spindle 635 oscillations. It's notable that we found that the dual projecting cells lacked CR or CB, but were surrounded 636 by a ring of CR⁺, CB⁺ and CR⁺/CB⁺ cells. That is, we observed CB⁺, CR⁺ and CR⁺/CB⁺ cells formed well-637 defined circular clusters in regions that were sparse or seemly absent of CR⁺ or CB⁺ cells (within a 100µm 638 radius). Reliably, CR and CB cell densities were scant near dual mPFC-HC projecting cells, but cell 639 densities rapidly increased with distance. Speculatively, this organization may provide a microcircuit 640 means for integrating input activity from several different cell types and then synchronizing outputs to 641 mPFC and CA1 for triggering coordinated rhythmic modes (e.g., delta or theta). Interrogating these cells 642 further will require sophisticated functional approaches such as optogenetics delivered with combinatorial

643 retrograde and Cre-dependent viral constructs.

644 Conclusion

645 Most often, neurons in midline thalamus are assumed to be a relatively homogeneous group of 646 excitatory (glutamatergic) projection neurons (Bokor et al., 2002), but here we detailed several distinct 647 zones in the midline thalamus based on the expression of CR and CB, or lack thereof in dual mPFC-HC 648 projecting cells. While experiments have productively targeted entire regions of the midline thalamus, 649 most commonly RH/RE with inactivations or lesions (Cholvin et al., 2013; Hallock et al., 2013; Hembrook 650 et al., 2012; Layfield et al., 2015; Loureiro et al., 2012), we suggest it may be more productive for future 651 experiments to separately target CR⁺, CB⁺, and dual mPFC-HC projecting cells to better understand the 652 computational and/or rhythmic contributions of the midline thalamus to the mPFC-HC system.

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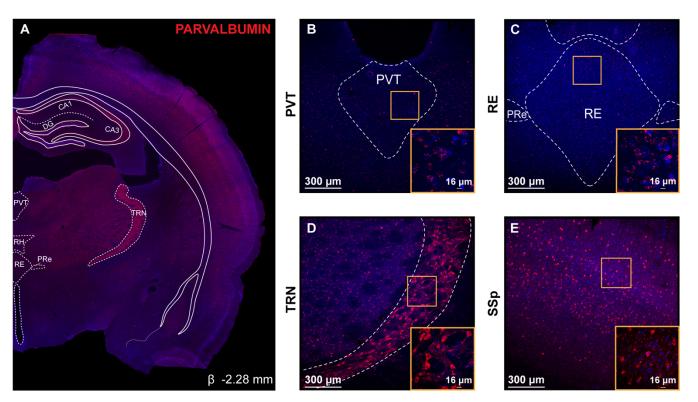
CALCIUM BINDING PROTEINS IN MIDLINE THALAMUS 37

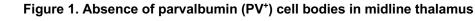
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CALCIUM BINDING PROTEINS IN MIDLINE THALAMUS 39





A: Representative coronal section (β -2.28mm) showing PV⁺ cell body expression throughout several regions of the brain. PV⁺ expression shown in red and DAPI in blue. Overlay shown adapted from Swanson (2018) to highlight thalamic structures.

B-E: Confocal images showing PV immunoreactivity in PVT (B), RE (C), TRN (D) and SSp (E). Neither PVT nor RE contain PV⁺ cell bodies, however PV immunoreacted puncta was abundant near or between their cell bodies (B-C insets). Scale bar = 300µm. Inset scale bar= 16µm.

1 D: PV⁺ cell bodies with a characteristic large immunonegative nuclei were seen in TRN (D inset).

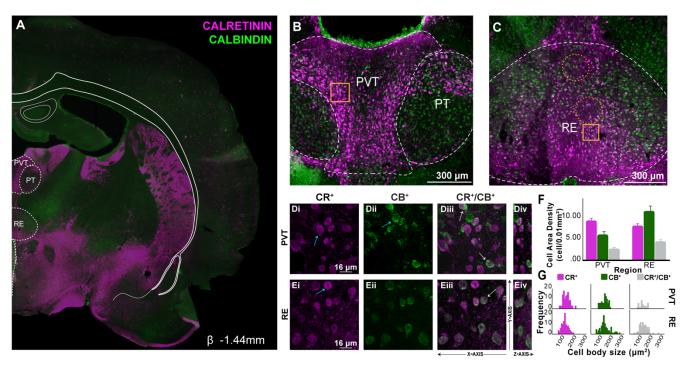
E: PV⁺ cell bodies were also observed in SSp cortex showing their distinct sparse but organized layer distribution (**E** inset). Gold squares represent regions of 60X magnification shown in inset.

Abbreviations: β, bregma; CA1, CA1 subfield of the hippocampus; CA3, CA3 subfield of the hippocampus; DAPI,

987 4',6-Diamidino-2-phenylindole dihydrochloride; DG, dentate gyrus; PV, parvalbumin; PVT, paraventricular; PRe,

perireuniens; RE, nucleus reuniens; RH, rhomboid; SSp, primary somatosensory cortex; TRN, thalamic reticular
 nucleus.

CALCIUM BINDING PROTEINS IN MIDLINE THALAMUS 40



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Figure 2. CR and CB labeling in rostral midline thalamus

993 **A:** Representative coronal section (β -1.44mm) showing immunofluorescent localization of CR⁺ and CB⁺ cell and 994 fiber densities. Overlay shown adapted from Swanson (2018) to highlight midline thalamic structures. CR shown in 995 magenta, CB in green. 996

B: Confocal image demonstrating distribution of CR⁺ and CB⁺ cells in PVT and PT. In PVT, CR was prominent in dorsolateral and ventromedial regions, and CB ventrally in PT. C: A similar but inversed distribution was observed in RE where CR⁺ cells were prominent in ventrolateral and dorsomedial regions, while CB⁺ cells were prominent laterally. Gold squares represent region of 60X magnification shown in inset. Orange dotted circles indicate regions where calcium binding protein cell expression is sparse or absent. Scale bar = 300µm.

003 **D:** Confocal images illustrating CR⁺ (**D**_i), CB⁺ (**D**_{ii}) and dual labeled CR⁺/CB⁺ (**D**_{ii}) immunoreacted cell bodies in 004 PVT. Three distinct cell populations were identified: CR⁺ only cells (**D**_i, blue arrow), CB⁺ only cells (**D**_{ii}, blue arrow) 005 and dual CR⁺/CB⁺ cell bodies (**D**_{iii}, white arrows). The Z-axis from these optical sections are shown to the right (**D**_{iv}). 006 E: Confocal images in RE (**E**_i-**E**_{iv}). Scale bar = 16µm.

008 **F:** Comparison of CR⁺ and CB⁺ cell area density in PVT and RE (cells/0.01mm²) in rostral levels. Error bars represent SEM.

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011 **G:** Frequency distribution of CR⁺, CB⁺ and CR⁺/CB⁺ immunoreacted cell body size (μ m²) in rostral PVT and RE.

012 013 Abbr

013 Abbreviations: β, bregma; CB, calbindin; CR, calretinin; PVT, paraventricular; PT, paratenial; RE, nucleus reuniens,
 014 SEM, standard error of the mean.

CALCIUM BINDING PROTEINS IN MIDLINE THALAMUS 41

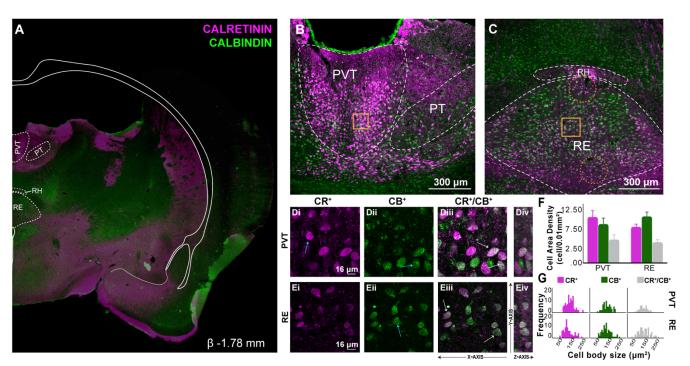


Figure 3. CR and CB labeling in mid levels of midline thalamus

A: Representative coronal section (β -1.78 mm) showing immunofluorescent localization of CR⁺ and CB⁺ cell and fiber densities in mid-levels of the rostro-caudal axis of the thalamus. Overlay shown adapted from Swanson (2018) to highlight midline thalamic structures. CR shown in magenta, CB in green. Fi, RSD and motor-sensory cortex layers missing from tissue section.

B: Confocal image demonstrating distribution of CR⁺ and CB⁺ in PVT and PT. Compared to rostral levels, PVT CR⁺
 and CB⁺ cells re-distributed more ventral and laterally. CR⁺ fibers were abundant in dorsal PVT and PT (below 3V).

C: In RE, a similar but inverse re-distribution was also observed, with CR⁺ cells prominent ventral and laterally and CB⁺ cells in dorsolateral regions. A zone of CR⁺ fibers emerged ventromedially just above 3V. Gold squares represent regions of 60X magnification shown in inset. Orange dotted circles indicate regions in which there is sparse or no expression of calcium binding cells. Scale bar = 300µm.

D: Confocal images illustrating CR⁺ (D_i), CB⁺ (D_{ii}) and dual labeled CR⁺/CB⁺ (D_{iii}) immunoreacted cell bodies in
PVT. At this level, the same three calcium binding cell types were visualized: CR⁺ only cells (D_i, blue arrow), CB⁺
only cells (D_{ii} blue arrow) and dual CR⁺/CB⁺ cell bodies (D_{iii}, white arrows). The Z-axis from these optical sections
are shown to the right (D_{iv}).

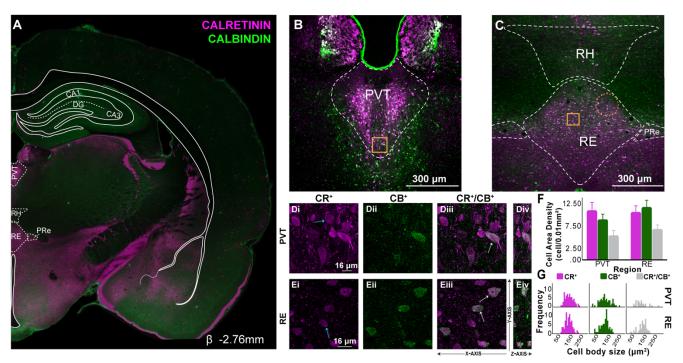
Biggin E: Confocal images in RE (Ei-Eiv). Scale bar = 16µm.

F: Comparison of CR⁺ and CB⁺ cell area density in PVT and RE in mid-levels of midline thalamus (cells/0.01mm²).

G: Frequency distribution of CR⁺, CB⁺ and CR⁺/CB⁺ immunoreacted cell body size (μ m²) in PVT and RE at mid-041 levels of the thalamus. Error bars represent SEM.

Abbreviations: β, bregma; CB, calbindin; CR, calretinin; PVT, paraventricular; PT, paratenial; RE, nucleus reuniens;
 RH, rhomboid; Fi, fimbria of the hippocampus, RSD, retrosplenial dysgranular cortex, SEM, standard error of the
 mean; 3V, third ventricle.

CALCIUM BINDING PROTEINS IN MIDLINE THALAMUS 42



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Figure 4. CR and CB labeling in caudal midline thalamus

A: Representative coronal section (β -2.76 mm) showing immunofluorescent localization of CR⁺ and CB⁺ cell and fiber densities in caudal midline thalamus. Overlay shown adapted from Swanson (2018) to highlight midline thalamic structures. CR shown in magenta, CB in green.

B: Confocal image demonstrating distribution of CR⁺ and CB⁺ cells in PVT and PT caudally. In PVT, CR⁺ cells clustered mediolaterally and CB⁺ cells were predominantly seen in PVT ventral borders. C: In RE, CB⁺ cells were prominent in dorsal and lateral borders, while CR⁺ cells were observed more mediolaterally, often overlapping with CB⁺ cells. CR⁺ fibers continued to be abundant ventrally (above 3V). Gold squares represent region of 60X magnification shown in inset. Orange dotted circles indicate regions in which there is sparse or no expression of calcium binding cells. Scale bar = 300µm.

D: Confocal images illustrating CR⁺ (D_i), CB⁺ (D_{ii}) and dual labeled CR⁺/CB⁺ (D_{iii}) immunoreacted cell bodies in
 PVT. As in previous levels, three cell types are visualized: CR⁺ only cells (D_i, blue arrow), CB⁺ only cells (not shown)
 and dual CR⁺/CB⁺ cell bodies (D_{iii}, white arrows). The Z-axis from these optical sections are shown to the right (D_{iv}).

064 **E:** Confocal images in RE (**E**_i-**E**_{iv}). Scale bar = 16μm.

F: Comparison of CR⁺ and CB⁺ cell area density in PVT and RE in caudal levels of the midline thalamus
 (cells/0.01mm²). Error bars represent SEM.

069 **G:** Frequency distribution of CR⁺, CB⁺ and CR⁺/CB⁺ immunoreacted cell body size (μ m²) in caudal PVT and RE. 070

Abbreviations: β, bregma; CA1, CA1 subfield of the hippocampus; CA3, CA3 subfield of the hippocampus; CB,
 calbindin; CR, calretinin; DG, dentate gyrus; PVT, paraventricular; PRe, perireuniens; RE, nucleus reuniens, RH,
 rhomboid, SEM, standard error of the mean.

CALCIUM BINDING PROTEINS IN MIDLINE THALAMUS 43

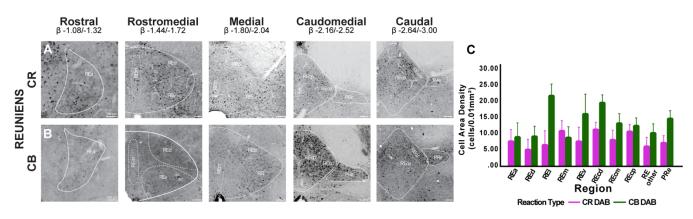


Figure 5. Distribution of DAB CR⁺ and DAB CB⁺ cells is not the same across all RE internal subdivisions

A: Brightfield images showing the distribution of DAB CR⁺ cells in RE across the rostro-caudal axis of the thalamus.
 CR⁺ cell area density varied depending on the subdivision of RE in which they were located.

B: Distribution of DAB CB⁺ cells. When compared, CR⁺ and CB⁺ cell distribution in all RE's subregions and across the rostral to caudal levels did not appear to be the same. Overlay shown adapted from Swanson (2018) to highlight all RE internal subdivisions. Scale bar = 100µm.

C: Comparison of DAB CR⁺ and DAB CB⁺ cell area density (cells/0.01mm²) in all subdivisions of RE across the
 rostro-caudal axis. CB⁺ cell densities were higher than CR⁺ cell densities (except REm). Additionally, REI, REv and
 REcd subdivisions exhibited large CB⁺ cell densities compared to other RE subregions. A moderate size effect was
 found for CB⁺ cell area density across all levels and RE subdivisions (Hedges' d=0.32).

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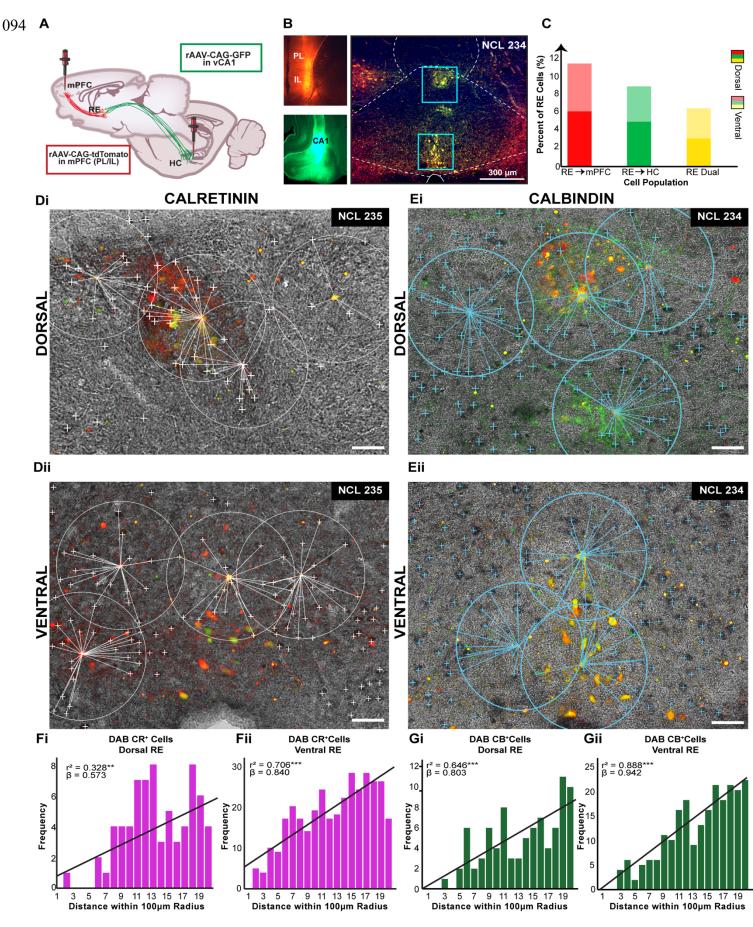
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Abbreviations: β, bregma; CB, calbindin; CR, calretinin; DAB, 3,3'-Diaminobenzidine; PRe, perireuniens, RE,
 nucleus reuniens of the thalamus, REa, reuniens rostral division anterior part; REd, reuniens rostral division dorsal
 part; REI, reuniens rostral division lateral part; REm, reuniens rostral division median part; REv, reuniens rostral

092 division ventral part; REcm, reuniens caudal division median part; REcd, reuniens caudal division dorsal part; REcp,

093 reuniens caudal division posterior part.

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095Figure 6. Dual-site mPFC-HC RE projecting cells are not CB+ or CR+ cells096

- A: Experimental design. Paired bilateral injections of rAAV-CAG-tdTomato and rAAV-CAG-GFP delivered in mPFC
 and HC.
- B: Injections' spread was confined to PL/IL layer V/VII (top left) and vCA1 (bottom left). Retrogradely dual mPFC-HC projecting cells consistently clustered in dorsal and ventral aspects of RE in rostromedial levels (right). Blue squares represent regions in which clusters were found and further analyzed. Scale bar = 300µm.
- 104 **C**: Percentage of retrogradely labeled RE to mPFC, REto vCA1 and dual mPFC-HC projecting neurons in RE dorsal and ventral regions of interest. All percentages are over total number of DAPI cells.
- 106 107 **D-E:** Merged captures of immunofluorescent RE dual labeled cells (**D**_i-**E**_{ii}) and RE DAB CR⁺ (**D**_i,**D**_{ii}) or DAB CB⁺ 108 (**E**_i,**E**_{ii}) cell bodies in dorsal and ventral RE. For process see Fig. S1. No dual labeling between dual mPFC-HC 109 projecting cells and CR⁺ or CB⁺ cells in RE was observed. The relative distance between the center of RE dual 110 labeled cells (yellow) and CR +DAB cells (marked with white '+' signs) and/or CB+ DAB cells (marked with cyan '+' 111 signs) within a 100µm radius was measured using FIJI Image J. Scale bar = 50µm.
 - **F-G:** Frequency distribution of DAB CR⁺ and CB⁺ cell counts in RE dorsal and ventral (F_i - G_{ii}) regions relative to radius distance (5µm bins) from RE dual (yellow) labeled cells. The number of DAB CR⁺ and DAB CB⁺ cells from RE dual labeled cells increased as a function of distance as shown by the linear regression line (black lines over histograms). Asterisks indicate significance: ** *p* < .01; ****p* < .001
 - Abbreviations: rAAV, retrograde adeno-associated virus; CA1, CA1 subfield of the hippocampus; CAG, chicken beta-Actin promoter; CB, calbindin; CR, calretinin; DAB, 3,3'-Diaminobenzidine; DAPI, 4',6-Diamidino-2-phenylindole dihydrochloride; GFP, green fluorescent protein; HC, hippocampus; IL, infralimbic cortex; mPFC, medial prefrontal cortex; PL, prelimbic cortex; tdTomato, red fluorescent protein; vCA1, ventral CA1.

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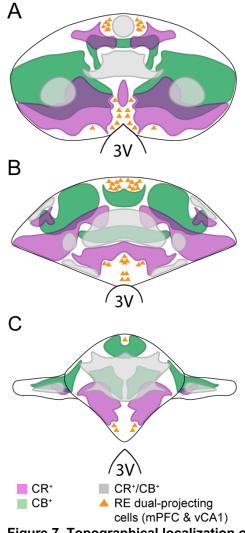


Figure 7. Topographical localization of CR and CB cell zones relative to dual mPFC-vHC projecting cells within RE

Schematic representation of the distribution of CR⁺ and CB⁺ cells in RE across (A) rostral, (B) medial, and (C) caudal levels. Colored areas represent zones where CR⁺ (light magenta) and CB⁺ (green) cells are concentrated. Intense magenta color represent regions in which CB and CR are in the same region, but do not overlap. Gray regions depict zones with dual labeled CR⁺/CB⁺ cells are predominantly seen. Yellow triangles represent dual mPFC-HC projecting cells. Overlay shown adapted from Swanson (2018).

Abbreviations: CB, calbindin; CR, calretinin; mPFC, medial prefrontal cortex; RE, nucleus reuniens; vHC, ventral
 hippocampus; vCA1, ventral CA1; 3V, third ventricle.