

On the diversity of chemical power supply as a determinant of biological diversity

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Abstract

Understanding how environmental factors shape biological communities is a fundamental problem in microbial ecology. Patterns of microbial diversity have been characterized across a wide range of different environmental settings, but the mechanisms generating these patterns remain poorly understood. Here, we use mathematical modelling to investigate fundamental connections between chemical power supply to a system and its biological diversity and community structure. We reveal a strong mechanistic coupling between biological diversity and the diversity of chemical power supply, but also find that different properties of power supply, such as substrate fluxes and flow and Gibbs energies of reactions, affect community structure in fundamentally different ways. Moreover, we show how simple connections between power supply and growth can give rise to complex patterns of biodiversity across physicochemical gradients, such as pH gradients. Our findings demonstrate the importance of taking into account energy fluxes in order to reveal fundamental connections between community structure and environmental variability, and to obtain a better understanding of microbial population dynamics and diversity in natural environments.

1 INTRODUCTION

Numerous studies have characterized microbial diversity patterns across different environmental settings. For example, pH has been found to be a good predictor of microbial diversity in soil [1, 2] and temperature is correlated with

5 marine planktonic bacterial richness on a global scale [3, 4], whereas salinity has
6 been found to be correlated with microbial diversity in lake sediments [5], soil
7 [2, 6] and estuaries [7, 8].

8 However, a major challenge in the field of microbial ecology is that our un-
9 derstanding of the underlying dynamics generating such patterns remains very
10 limited [9–13]. Theoretical analyses of models representing the dynamics of
11 highly idealized communities have provided useful insights into the conditions
12 that favour co-existence of species, e.g. in terms of substrate uptake kinet-
13 ics, [14–17], top down control by grazers [18, 19], and metabolic conversion of
14 common substrates [11]. Clearly, however, the biodiversity, structure and func-
15 tioning of microbial communities depend not only on species co-existence, but
16 also on species abundances. Hence, the dynamics of abundance is of critical
17 importance to any mechanistic account of how environmental conditions shape
18 microbial communities and their activity.

19 At a fundamental level, all organisms have a demand for energy, or power,
20 in order to grow and multiply. In principle, the available power supply should
21 therefore represent a basic environmental constraint on the abundance of species.
22 If this principle holds, then we would expect a strong coupling between power
23 supply and diversity in most environments, especially under energy limited con-
24 ditions. Indeed, recent gene-centric analyses of oxygen minimum zones have
25 found that fluxes of energy seem to be robust predictors of microbial produc-
26 tivity and functional community structure [20, 21]. Moreover, in hydrothermal
27 systems, the chemical energy landscapes emerging from mixing between reduced
28 hydrothermal fluids and oxygenated cold seawater, seem to shape distributions
29 of functional groups of bacteria and archaea [22, 23].

30 Environmental factors, such as pH, salinity and temperature, affect the
31 Gibbs energies of chemical reactions, and thus modulate the chemical power

supply utilized by microbial communities [24, 25]. Part of the variation in biodiversity observed along physicochemical gradients, such as pH gradients, may therefore ultimately be linked to how those gradients affect energy landscapes.

Revealing the exact connections between chemical power supply and microbial diversity through analyses of natural environments is extremely challenging due to the high complexity and high number of unknown processes occurring in biological systems. For example, fluxes of substrate are often difficult to quantify, and extensive co-variation of variables makes it notoriously difficult to pinpoint causal effects.

An alternative to exploring natural environments is to use a theoretical modelling approach, which makes it possible to isolate the mechanistic relationship between power supply and diversity in highly idealized communities. We stress that although such models do not mimic real systems in detail, they enable us to represent basic principles in a reproducible way and to formulate testable hypotheses.

In this work, we analyse a simple population dynamics model, where growth rates are determined by maintenance powers, uptake rates of substrates, and the Gibbs energy associated with the oxidation of these substrates. We provide a thorough mathematical analysis of the relationship between biological diversity and chemical power supply in an energy limited environment. In particular, we demonstrate that complex diversity patterns along various chemical gradients can emerge from simple connections between power supply and growth. Our mathematical framework for relating chemical power supply and cellular abundances rests on fundamental thermodynamic principles.

56 MATERIALS AND METHODS

57 *The model*

58 We consider an idealized system, where species grow independently from each
 59 other on one limiting substrate each (Fig. 1). Hence, there is no competition
 60 for energy sources and no species-species interactions arising from food webs.
 61 We label consumers and substrates as $\{1, \dots, N\}$ such that the i -th consumer
 62 absorbs the i -th substrate. At any given instant of time t , $c_i(t)$ will denote the
 63 number of consumers of type i per unit volume present at that time. We take
 64 c_i to have units of cm^{-3} . Similarly, $s_i(t)$ will denote the amount of substrate
 65 of type i (measured in mol) per unit volume, so that $s_i(t)$ has units of $mol \cdot$
 66 cm^{-3} . Limiting substrates enter the system at fixed rates. Cellular substrate
 67 uptake rates depend on substrate concentrations in the system, and are modelled
 68 according to Michaelis-Menten kinetics as:

$$\rho_i(s_i) = r_i \frac{s_i}{k + s_i}, \quad (1)$$

69 where r_i ($mol \cdot s^{-1}$) denotes the maximum uptake rate and k ($mol \cdot cm^{-3}$)
 70 is the half-saturation concentration¹, that is: $\rho_i(k) = r_i/2$. Once absorbed
 71 by a cell, the i -th substrate (S_i) undergoes a chemical reaction of the type
 72 $n_i S_i + a_1 A_1 + a_2 A_2 + \dots + a_n A_n \longrightarrow b_1 B_1 + b_2 B_2 + \dots b_m B_m$, with A_1, \dots, A_n
 73 denoting any other reactants than S_i and B_1, \dots, B_m denoting products. The
 74 corresponding stoichiometric numbers are denoted by n_i and a_1, \dots, a_n and
 75 b_1, \dots, b_m , respectively. The Gibbs energy of the chemical reaction for each mole
 76 of the substrate of type i , ΔG_r^i , in turn depends on the substrate concentration
 77 as

¹In order to reduce the multiplicity of constants, we take a common value for the half-saturation constant.

$$\Delta G_r^i = \Delta G_i^0 + RT \ln Q, \quad (2)$$

where ΔG_i^0 denotes the standard free Gibbs energy for the reaction, R is the ideal gas constant and T is the temperature. Moreover, Q denotes the reaction coefficient given by

$$Q = \frac{\prod (\gamma_j [B_j])^{b_j/n_i}}{\gamma_{s_i} s_i \prod (\gamma_k [A_k])^{a_k/n_i}}, \quad (3)$$

where $[\cdot]$ denotes the concentration (and hence $s_i = [S_i]$) and $\gamma_{(\cdot)}$ is the activity coefficient for the reactant/product. Letting the activity coefficients be constant for all reactants and products, and letting the concentrations be constant for all products and reactants, except for s_i , we have that $\Delta G_r^i = \Delta G_i^0 - RT \ln s_i + K_i$, where K_i is the constant $RT \ln \frac{(\prod \gamma_j [B_j])^{b_j/n_i}}{\gamma_i (\prod \gamma_k [A_k])^{a_k/n_i}}$. If we define an effective standard Gibbs energy as $\Delta G_{i\text{eff}}^0 = \Delta G_i^0 + K_i$, the energy available from the i -th reaction, which is used as an energy source by the i -th consumer, is

$$E_i(s_i) = -\Delta G_r^i = E_i^0 + RT \ln s_i, \quad (4a)$$

$$E_i^0 = -\Delta G_{i\text{eff}}^0 > 0. \quad (4b)$$

The quantity $E_i(s_i)$ will be referred to as the (instantaneous) substrate-specific reaction energy and hence E_i^0 will be called the standard substrate-specific reaction energy. E_i^0 will be taken as

$$E_i^0 = E^0 \mathcal{E}_i, \quad (5)$$

where E^0 can be interpreted as the basic energy scale for the considered en-

93 vironment while \mathcal{E}_i is a dimensionless factor taking into account the (possible)
 94 variability of E_i^0 across consumers. Although values of E_i^0 will rarely change
 95 with a common factor for all energy yielding reactions along a chemical gradi-
 96 ent, settings with high E^0 can be associated with environments where negative
 97 values of ΔG^0 are typically high. Hence, E^0 levels will typically be lower in
 98 anaerobic environments than in aerobic environments. However, the E^0 level is
 99 also influenced by the overall chemical composition of a system so that different
 100 E^0 levels may also be found along chemical gradients. As an example, consider
 101 the oxidation of lactate with sulfate as electron acceptor ($2 \text{ Lactate} + \text{SO}_4^{2-} \rightarrow 2$
 102 $\text{Acetate} + 2 \text{HCO}_3^- + \text{H}_2\text{S}$), which has a standard Gibbs energy of -85.3 kJ/mol
 103 (calculated with the ‘CHNOSZ’ package in R [26]). Assuming that the activity
 104 coefficient of each reactant or product is one, E^0 will shift from 236 kJ/mol in a
 105 high energy setting (acetate = 10^{-3} mM; $\text{HCO}_3^- = 0.1$ mM; $\text{H}_2\text{S} = 0.1$ mM;
 106 $\text{SO}_4^{2-} = 50$ mM) to 138 kJ/mol in a low energy setting (acetate = 50 mM;
 107 $\text{HCO}_3^- = 20$ mM; $\text{H}_2\text{S} = 10$ mM; $\text{SO}_4^{2-} = 10$ mM). The same chemical varia-
 108 tions would have similar effects on E^0 values associated with other substrates
 109 used by sulfate reducers, such as propionate, butyrate, and ethanol.

110 We assume in our model that all organisms have a maintenance power de-
 111 mand, P_i with units $J \cdot s^{-1}$. As in several previous studies [27–29], maintenance
 112 power is defined here as the power necessary to perform all cellular processes
 113 except for growth. This includes power used in spilling reactions [30–32] and
 114 power spent on ‘useful’ functions (e.g. motility). How fast the population of
 115 the i -th species grows depends on the power available for new biomass produc-
 116 tion. This power is the difference between the substrate-consumption power
 117 $E_i(s_i)\rho_i(s_i)$, and maintenance power P_i . The rate of change in substrate con-
 118 centrations in the system is defined by the flow of substrate in and out of the
 119 system, as well as the rate of consumption of the substrate. This leads to the

120 following set of ODEs:

$$\dot{c}_i = \gamma_i [E_i(s_i)\rho_i(s_i) - P_i] c_i, \quad (6a)$$

$$\dot{s}_i = \lambda(\phi_i - s_i) - \rho_i(s_i)c_i, \quad (6b)$$

$$1 \leq i \leq N,$$

121 where γ_i (J^{-1}) is the biomass yield, i.e. the amount of biomass that can be
 122 built from each unit of energy. In addition, λ (s^{-1}) is a flow rate and ϕ_i ($mol \cdot$
 123 cm^{-3}) is the input concentration for the i -th substrate. In Fig. 1b we provide
 124 typical values for several of the model constants. Unless otherwise specified,
 125 these values are used in the simulations. In the Supplementary Information
 126 (SI) we show the main properties of the dynamics generated by the above set
 127 of differential equations. In particular, the stationary solutions correspond to

$$\gamma_i [E_i(s_i^*)\rho_i(s_i^*) - P_i] c_i^* = 0, \quad (7a)$$

$$\lambda(\phi_i - s_i^*) - \rho_i(s_i^*)c_i^* = 0, \quad (7b)$$

128 for all $1 \leq i \leq N$. The non trivial stationary solution ($c_i^* > 0$) requires

$$E_i(s_i^*)\rho_i(s_i^*) = P_i. \quad (8)$$

129 This is a transcendental equation but one may find an explicit expression
 130 for its solution in terms of the Lambert W -function² as (see SI for details)

²The Lambert W -function is the inverse function of $f(z) = ze^z$.

$$s_i^* = \frac{\frac{kP_i}{r_iRT}}{W\left(\frac{kP_i}{r_iRT} e^{\frac{r_iE^0\mathcal{E}_i - P_i}{r_iRT}}\right)}. \quad (9)$$

131 The corresponding asymptotic value for the concentration of the i -th con-
132 sumer is then

$$c_i^* = \lambda \frac{\phi_i - s_i^*}{\rho_i(s_i^*)} = \frac{\lambda}{P_i} E_{eq}^i \left[\phi_i - e^{\frac{E_{eq}^i - E^0\mathcal{E}_i}{RT}} \right], \quad (10)$$

133 where

$$E_{eq}^i = E_i(s_i^*) = E^0\mathcal{E}_i + RT \ln s_i^*, \quad (11)$$

134 is the asymptotic value for the reaction energy corresponding to the i -th
135 substrate. It can be shown that if $s_i^* < \phi_i$, for every $1 \leq i \leq N$, every solution
136 to the above system with $c_i(0) > 0$ and $s_i(0) > 0$, for all $1 \leq i \leq N$, verifies
137 that $\lim_{t \rightarrow \infty} c_i(t) = c_i^*$ and $\lim_{t \rightarrow \infty} s_i(t) = s_i^*$, for all $1 \leq i \leq N$ (for the formal
138 proofs, see SI).

139 Diversity

140 Species richness is defined as the total number of species present in an ecosystem.
141 Species evenness, on the other hand, refers to the shape of the distribution of
142 relative abundances of the different species. The biological diversity, i.e. the
143 α -diversity, depends on both. Similarly, one can extend the concepts of richness,
144 evenness and α -diversity to taxonomic groups, genes and functional groups of
145 organisms. Here, biological α -diversity is defined according to the Shannon

146 index:

$$H_B = - \sum_{i=1}^N b_i \ln b_i , \quad (12a)$$

$$b_i = \frac{c_i^*}{\sum_{j=1}^N c_j^*} . \quad (12b)$$

147 The instantaneous power supply for the i -th consumer is defined as

$$P_s^i(t) = \lambda \phi_i [E^0 \mathcal{E}_i + RT \ln s_i(t)] , \quad (13)$$

148 so that

$$\lim_{t \rightarrow \infty} P_s^i(t) = \lambda E_{eq}^i \phi_i =: P_s^i . \quad (14)$$

149 The power supply diversity is given by

$$H_P = - \sum_{i=1}^N p_i \ln p_i , \quad (15a)$$

$$p_i = \frac{P_s^i}{\sum_{j=1}^N P_s^j} . \quad (15b)$$

150 Variability of H_P and H_B across a chemical gradient

151 The Gibbs energy of a reaction is dependent on the activities (the product of
 152 activity coefficient and concentration) of reactants and products as in equation
 153 (2). Hence, even if the concentrations of reactants and products are kept con-
 154 stant, the Gibbs energy of a reaction might change due to changes in activity
 155 coefficients, which are dependent on environmental factors such as salinity. If
 156 we know how concentrations and activities vary along a physicochemical gradi-
 157 ent, we can use equation (2) to model Gibbs energies along that gradient. We

consider here the ideal case where the concentration of one compound, acting as a substrate or product in all oxidation reactions of s_i , is fixed at different values across a series of independent systems. The activity coefficient of this compound is kept constant so that only variability in concentration causes variability in activity. As an example, we take such a compound to be H^+ . We assume the fluxes of limiting substrates to be the same for all systems. Hence, we investigate how biological diversity varies along a pH gradient. Given a substrate concentration s_i , the reaction energy is thus given by

$$\hat{E}_i(s_i) = E^0 \mathcal{E}_i + RT \ln s_i + \kappa_i n_i RT pH \ln 10, \quad (16)$$

where n_i is the proton stoichiometry coefficient for the reaction of the i -th substrate and κ_i is either +1, if H^+ is a product, or -1 if it is a reactant. The stationary solutions now depend explicitly on the pH , which entails the pH -dependence of both the biological and power supply diversities (see SI)

$$H_B(pH) = - \sum_i \hat{b}_i(pH) \ln \left(\hat{b}_i(pH) \right), \quad (17a)$$

$$H_P(pH) = - \sum_i \hat{P}_s^i(pH) \ln \left(\hat{P}_s^i(pH) \right), \quad (17b)$$

$$\text{with } \hat{b}_i(pH) = \frac{c_i^*(pH)}{\sum_j c_j^*(pH)} \text{ and } \hat{P}_s^i(pH) = \frac{\phi_i \hat{E}_{eq}^i(pH)}{\sum_j \phi_j \hat{E}_{eq}^j(pH)}.$$

RESULTS

At population equilibrium, for any given species i , the power supply to the system (P_s^i) is determined by the flowrate (λ), the initial substrate concentration (ϕ_i) and the reaction energy (E_{eq}^i) (equation (14)) whereas, in terms of the power supply (P_s^i), the abundance of cells for the i -th species (c_i^*) is expressed as

$$c_i^* = \frac{P_s^i}{P_i} \left[1 - \frac{1}{\phi_i} e^{\frac{E_{eq}^i - E^0 \epsilon_i}{RT}} \right]. \quad (18)$$

Thus, the power supply does not determine uniquely the species abundance. In order to explore the relationship between the diversity of power supply and biological diversity, we applied equations (12a) and (15a) to several combinations of ϕ_i , λ , and G_{eq}^i (Fig. S2).

Relationships between biological diversity and parameters determining power supply

In this section we consider the dependence of the biological diversity on the number of consumers and on the energy they are able to extract. The number of consumers will be taken to vary on the range 10 – 1000. The variability in E_i^0 , will be modelled by varying E^0 on the range $10^3 - 10^5 J \cdot mol^{-1}$. In addition, we will simulate several biologically relevant scenarios in terms of the availability of substrates and the efficiency of the consumers, as explained in the following.

Case 1: Identical power supply for all species. For reference, we first consider the trivial case where all consumers have identical traits (except for substrate specificity), and where there is no variability in input concentrations of substrates (ϕ_i) or in the molar energy available from substrate oxidation ($\epsilon_i = 1$ for all i). Clearly, in this situation all equilibrium values will be identical, in particular given by

$$s_i^* = s^*(E^0) = \frac{\frac{kP_0}{r_{max}RT}}{W\left(\frac{kP_0}{r_{max}RT} e^{\frac{r_{max}E^0 - P_0}{r_{max}RT}}\right)}, \quad (19a)$$

$$c_i^* = c^* = \frac{\lambda}{P_0} E_{eq} \left[\phi_0 - e^{\frac{E_{eq} - E^0}{RT}} \right], \quad (19b)$$

$$E_{eq} = E^0 + RT \ln s^*(E_0), \quad (19c)$$

$$\phi_0 = 1.2 \max_{E^0} \{s^*(E^0)\}, \quad (19d)$$

where $\max_{E^0} \{\}$ denotes the maximum over the range of values considered for E^0 . Therefore, the biological diversity (equation(12a)) will be just $H_B = \ln N$ (N being the number of consumers) and hence independent of E^0 (Fig. S3).

Case 2: Effect of variation in input concentration. In order to investigate what effect variation of input concentrations (ϕ_i) has on the biological diversity, we adjust the model from case 1 so that ϕ_i depends on the substrate-consumer pair as (Fig. S2a):

$$\phi_i = \left(10^3 e^{-\frac{(i-n/2)^2}{n}} + 1.2 \right) \phi_0, \quad \phi_0 = \max_{E^0} \{s^*(E^0)\}, \quad (20)$$

where n denotes the number of consumers. Due to the symmetry of these distributions around $n/2$, the relative abundances of consumers satisfy the constraint $b_i = b_{n-i}$. Since the asymptotic value for the concentration of substrates (s_i^*) is independent of ϕ_i , s_i^* will in this case be the same for all i ($s_i^* = s^*(E^0)$) while the asymptotic values of the concentrations of consumers will in general differ as

$$c_i^*(E^0) = \frac{\lambda}{P_0} E_{eq} [\phi_i - s^*(E_0)] , \quad (21a)$$

$$E_{eq} = E^0 + RT \ln s^*(E_0) . \quad (21b)$$

207 This is reflected in the decrease of the biological diversity magnitude with
208 respect to the maximum value $\ln N$ (N being the number of consumers) (Fig.
209 2a). Notice that the relative abundance of each consumer is nearly independent
210 of E^0 (Fig. 3a). This can be understood as follows: From equations (22), the
211 relative abundance for the i -th consumer is given by

$$\frac{c_i^*}{\sum_j c_j^*} = \frac{10^3 \phi_0 e^{-\frac{(i-n/2)^2}{n}} + 1.2 \phi_0 - s^*(E^0)}{10^3 \phi_0 \sum_{j=1}^n e^{-\frac{(j-n/2)^2}{n}} + n(1.2 \phi_0 - s^*(E^0))} . \quad (22)$$

212 Therefore, for most of the values of E^0 the dominant term in equation (22)
213 will be $10^3 \phi_0 e^{-\frac{(i-n/2)^2}{n}} + 1.2 \phi_0$ and hence the relative abundance will be nearly
214 E^0 -independent. Only for low values of E^0 is the term $s^*(E^0)$ relevant. From
215 equation (22) it is also clear that the most abundant consumers correspond to
216 those having the highest supply of substrates i.e. highest ϕ_i (Fig. 3a). The
217 dependence of E_{eq}^i on E^0 is shown in Fig. S4.

218 *Case 3: Effect of variation in the energy scale across consumers.* In order to
219 investigate what effect variation in the energy level of substrate oxidation across
220 consumers has on the biological diversity, we adjusted the model from case 1 so
221 that E^0 depends on the substrate-consumer pair as (Fig. S2b)

$$E_i^0 = E^0 \mathcal{E}_i , \quad \mathcal{E}_i = e^{-\frac{(i-n/2)^2}{5n}} + 1/6 , \quad (23)$$

222 while

$$\phi_i = \phi_0 = 1.2 \max_{E^0, i} \{s_i^*(E^0)\}, \quad (24a)$$

$$s_i^*(E^0) = \frac{\frac{kP_0}{r_{max}RT}}{W\left(\frac{kP_0}{r_{max}RT} e^{\frac{r_{max}E^0\mathcal{E}_i - P_0}{r_{max}RT}}\right)}, \quad (24b)$$

$$c_i^*(E^0) = \frac{\lambda}{P_0} E_{eq}^i \left[\phi_0 - e^{\frac{E_{eq}^i - E^0\mathcal{E}_i}{RT}} \right], \quad (24c)$$

$$E_{eq}^i = E^0\mathcal{E}_i + RT \ln s_i^*(E^0). \quad (24d)$$

Where $\max_{E^0, i} \{ \}$ denotes the maximum value over the range of values for E^0 and over the consumers. As expected, a gradient in the substrate reaction energy increases the sensitivity of the biological diversity on the energy scale E^0 (Fig. 2b), where a clear increase of the diversity occurs for low energy scales. The relative abundance of consumers now depends on E^0 in a non-trivial way (Fig. 3b). Notice that around $E^0 \lesssim 3 \times 10^4 J \cdot mol^{-1}$ all consumers have almost the same abundance (and hence it corresponds to the maximum value of the biological diversity in Fig. 2b). Even though the E^0 -dependence of the relative abundance is non-trivial, it still holds, as expected, that the most abundant consumers correspond to those with more availability of energy (Fig. 3b). The dependence of E_{eq}^i on E^0 is also non-trivial in this scenario (Fig. S5).

Case 4: Effect of trade-off between energy acquisition efficiency and maintenance power. A biological trade-off between energy acquisition efficiency and maintenance power is arguably a key fitness trade-off in numerous habitats. For example, being motile by means of having flagella or having many highly efficient transporters will typically increase power demands (reducing the fitness), but at the same time increase the cellular power supply (increasing the fitness). Here, we model this trade-off by adjusting the case 1 model so that the distributions for the uptake rate (r_i) and the maintenance power (P_i) are given by

(Fig. S2c and S2d)

$$r_i = r_{max} \left(e^{-\frac{(i-n/2)^2}{n/2}} + \frac{1}{100} \right), \quad (25a)$$

$$P_i = P_0 \left(e^{-\frac{(i-n/2)^2}{n/2}} + \frac{1}{20} \right), \quad (25b)$$

while the remaining quantities are

$$\phi_i = \phi_0 = 1.2 \max_{E^0, i} \{s_i^*(E^0)\}, \quad (26a)$$

$$s_i^*(E^0) = \frac{\frac{kP_i}{r_i RT}}{W \left(\frac{kP_i}{r_i RT} e^{\frac{r_i E^0 - P_i}{r_i RT}} \right)}, \quad (26b)$$

$$c_i^*(E^0) = \frac{\lambda}{P_i} E_{eq}^i \left[\phi_0 - e^{\frac{E_{eq}^i - E^0}{RT}} \right], \quad (26c)$$

$$E_{eq}^i = E^0 + RT \ln s_i^*(E_0). \quad (26d)$$

The biological diversity seems to be rather insensitive to the efficiency-cost trade-off (Fig. 2c) although the relative abundance shows a weak dependence on E^0 (Fig. 3c). It is worth noting that the most abundant consumers correspond to those with low values of both uptake-rate and maintenance power (Fig. 3c), although there is little variation between species regarding the energy available from each mole of substrate (E_{eq}^i) (Fig. S6).

Case 5: Combined effect of biological trade-off, and variability in ϕ_i and E_i^0 .

In order to investigate the combined affect of variations considered in cases 2-4, we modified the model from case 1 so that:

$$\phi_i = \left(10^3 e^{-\frac{(i-n/2)^2}{n}} + 1.2 \right) \phi_0, \quad \mathcal{E}_i = e^{-\frac{(i-n/2)^2}{5n}} + \frac{1}{6}, \quad (27a)$$

$$r_i = r_{max} \left(e^{-\frac{(i-n/2)^2}{n/2}} + \frac{1}{100} \right), \quad P_i = P_0 \left(e^{-\frac{(i-n/2)^2}{n/2}} + \frac{1}{20} \right), \quad (27b)$$

$$s_i^*(E^0) = \frac{\frac{kP_i}{r_i RT}}{W \left(\frac{kP_i}{r_i RT} e^{\frac{r_i E^0 \mathcal{E}_i - P_i}{r_i RT}} \right)}, \quad \phi_0 = \max_{E^0, i} \{s_i^*\}, \quad (27c)$$

$$c_i^*(E^0) = \frac{\lambda}{P_i} E_{eq}^i \left[\phi_0 - e^{\frac{E_{eq}^i - E^0 \mathcal{E}_i}{RT}} \right], \quad E_{eq}^i = E^0 \mathcal{E}_i + RT \ln s_i^*(E_0). \quad (27d)$$

253 In this case, the biological diversity acquires a non-trivial E^0 -dependence
 254 with a clear increase towards low values of E^0 (Fig. 2d). The relative abundance
 255 of consumers depends on E^0 in a highly complex way (Fig. 3d). Remarkably,
 256 the particular identity of the most abundant consumer is E^0 -dependent (Fig.
 257 3d). For instance, for an energy scale of $E^0 \sim 4 \times 10^4 J \cdot mol^{-1}$, the most
 258 abundant consumer is the one with the highest uptake rate ($i = 25$), whereas
 259 for lower energy scales ($E^0 \lesssim 2 \times 10^4 J \cdot mol^{-1}$) the relative abundance of
 260 the same consumer drops from ~ 0.05 to ~ 0.01 , making it one of the least
 261 abundant consumers (Fig. 3d). The complex dependence of E_{eq}^i on E^0 (Fig.
 262 S7) renders all values for E_{eq}^i comparatively small on the range $E^0 \sim 2 - 4 \times$
 263 $10^4 J \cdot mol^{-1}$, while the energy availability is more markedly different across
 264 consumers for $E^0 \gtrsim 4 \times 10^4 J \cdot mol^{-1}$, the species with the highest uptake rate
 265 ($i = 25$) being the most energetically advantaged. For $E^0 \lesssim 2 \times 10^4 J \cdot$
 266 however, the $i = 25$ consumer is one of the least energetically advantaged (Fig.
 267 S7). The energetic disadvantage of the $i = 25$ consumer for $E^0 \lesssim 2 \times 10^4 J \cdot$
 268 mol^{-1} is clearly reflected in its low relative abundance over these energy scales
 269 (Fig. 3d). Interestingly, for $E^0 \gtrsim 5 \times 10^4 J \cdot mol^{-1}$, the $i = 25$ consumer
 270 is not the most abundant even though it is the most energetically advantaged
 271 (i.e it has the highest ϕ_i and \mathcal{E}_i values). This asymmetric behavior across

energy scales clearly emerges from the combined effect of all the above model scenarios. At a high energy scale, the percentage difference between the most energetically advantaged consumers and those with baseline values for E_{eq}^i , is relatively small and of little relevance. Therefore the effect of the efficiency-cost trade-off becomes more significant. This explains why, for high energy scales, the most abundant consumers correspond to those with moderate values for both r_i and P_i (Fig. 3d).

Relationship between biological and power supply diversities.

Here we determine how the relationship between biological diversity (H_B) and power supply diversity (H_P , equations (15)) is affected by distributions of ϕ_i , E_i^0 , and a biological trade-off between energy acquisition efficiency and power demands. We will consider the same distributions and combinations as above (cases 2-5).

Case 2: Effect of variability in ϕ_i . We find that the relation between the biological diversity (H_B) and the power supply diversity (H_P) is nearly linear (because $H_P \simeq H_B$) across all energy scales and number of consumers considered (Fig. 4a and Fig. S8).

Case 3: Effect of variability in E_i^0 . Considering instead distributions for E_i^0 as in equation (23), we find no significant deviation from the linearity relationship $H_P/H_B \simeq 1$ over all energy scales (E^0) and number of consumers (N) considered (Fig. 4b and Fig. S9).

Case 4: Effect of trade-off between energy acquisition efficiency and maintenance power. Adding a trade-off between the energy acquisition efficiency and the maintenance power increases the complexity in the relationship between H_B and H_P , especially for low values of the number of consumers (Fig. 4c). This

implies that the relationship between H_P and H_B might deviate from linearity (Fig. S10). The ratio H_P/H_B is rather insensitive to the energy scale E^0 .

Case 5: Combined effect of biological trade-off, and variability in ϕ_i and E_i^0 .

Similarly to the case 5 above, when all the above distributions are considered simultaneously, the complexity of the relation between the biological diversity and the power supply diversity increases significantly (Fig. 4d and Fig. S11). It is worth noting that each of the above considered scenarios by itself renders the power diversity always greater than the biological diversity ($H_P/H_B \geq 1$). However, when all these scenarios are considered simultaneously, the biological diversity can become significantly greater than the power diversity (Fig. 4).

Global scaling of the power supply

Increasing the overall power supply ($\sum_i \lambda \phi_i E_{eq}^i$) by increasing the flow of fluids into the system (i.e. increasing λ), has no effect on H_B . This is evident, as λ factors out in the calculation of the relative abundance of a species (equation (12b)). However, changing the concentration of all substrates in the fluids entering the system has an effect on H_B , even when the diversity of power supply H_P remains unaffected. To see this, we analysed the response of the biological diversity to a global scaling of the power supply, i.e. $P_s^i \rightarrow \Lambda P_s^i$, Fig. 5. In particular, under a global rescaling of the initial concentration of substrate as $\phi_i(\Lambda) = \Lambda \phi_i$ (the Gibbs energy at equilibrium population is unaffected by such a scaling), the relative abundance of specialists (equation (12b)) is modified to

$$b_i(\Lambda) = \frac{A_i - B_i/\Lambda}{A - B/\Lambda} \quad (28)$$

where $A_i = \frac{E_{eq}^i \phi_i}{P_i}$, $B_i = \frac{E_{eq}^i}{P_i} s_i^*$, $A = \sum_i A_i$ and $B = \sum_i B_i$. Therefore,

for high enough values of the scaling factor it holds that $H_B(\Lambda)$ saturates to $\ln(A) - \frac{1}{A} \sum_i A_i \ln(A_i)$ (Fig. 5). This demonstrates that changing the total power supply to the system, may or may not affect H_B , depending on the exact setting of the system and whether the change in power supply is a result of changes in fluid flow or a scaling of the concentration of substrates entering the system.

Biological diversity dependence on pH

How the biological diversity changes with pH is, within our modelling framework, largely dependent on the exact chemical and biological setting of the system. For example, in a system with only two biological species where H^+ is produced by one organism and consumed by the other, a decrease in pH (i.e. increase in H^+) causes an increase of the power supply to the consumer of H^+ whereas it makes the power supply to the producer decrease. This is easily checked using equation (16), from which we readily see that $\frac{\partial E_i}{\partial pH} < 0$ for the consumer while $\frac{\partial E_i}{\partial pH} > 0$ for the producer. Depending on the particular values of ΔG^0 for the chemical reactions used by the two biological species, the available energies at population equilibrium (E_{eq}^i) may diverge from each other (Fig. S12a) or converge to each other and cross (Fig. 6c). This reflects on the corresponding stationary concentrations of both species (Fig. 6a). The effect on the biological diversity is either a monotonic decrease (Fig. S13b) or the presence of a global maximum (Fig. 6b). In a system with many biological species, such connections may become highly complex (Fig. 6e - 6h). In particular, even when the shape of the power supply diversity is essentially the same as that for very few species (Fig. 6h and 6d), the corresponding biological diversity displays a highly complex dependence on the pH (Fig. 6f). These analyses demonstrate how H_B can vary along a pH gradient, due to a ther-

modynamic dependency between pH and power supply. Within our modelling framework, the connections between pH and diversity will be similar between systems hosting the same biological species, but can be very different between systems hosting different biological species.

DISCUSSION

This study provides a comprehensive theoretical analysis of the coupling between fluxes of chemical energy and α -diversity. We consider a population dynamic model where growth is energy limited, which arguably is the case for most of Earth's biosphere [33]. Our model is derived from a few fundamental principles relating chemical power supply to a system, cellular rates of substrate uptake, cellular power demands, and population size. The model assumes that biological species grow independently of each other on one limiting substrate each, hence the species richness is trivially equal to the number of limiting substrates. However, by shaping the relative abundance of species, fluxes of energy influence the biodiversity in non-intuitive ways.

The model parameters have a clear relevance to real ecosystems. For example, λ may describe the flow rate of substrates into and out of a fermentor or river discharge into and out of a lake; values of ϕ_i describe concentrations of substrate in the inflow; E^0 levels describe typical energy availability per mole of substrate oxidation under given environmental conditions, and E_i^0 values describe cell-specific energy availability per mole of substrate. This study demonstrates that even within a simple and highly idealised model framework, complex relationships emerge between the energetic setting of a system and its biodiversity where distributions of ϕ_i and E_i^0 , as well as E^0 levels, contribute to shaping biodiversity in distinct ways. Adding a biological trade-off between energy acquisition efficiency and maintenance power increases this complexity

374 even further.

375 Our numerical experiments demonstrate that a global scaling of E^0 is suffi-
 376 cient to create changes in diversity patterns. Interestingly, E^0 levels also seem
 377 to have a large impact on the identity of dominant species in models where both
 378 E_i^0 and ϕ_i values vary and a trade-off between P_i and r_i is considered (Fig. 3d).
 379 Although values of E^0 will rarely change with a common factor for all energy
 380 yielding reactions along a chemical gradient, the energy scale E^0 is a potentially
 381 important parameter for understanding how environmental conditions shape the
 382 overall distribution of microbial species. Changing the power supply to a system
 383 by a scaling of λ has a fundamentally different effect on H_B than if the same
 384 increase in power supply occurs due to a global scaling of ϕ_i values – i.e. within
 385 our modelling framework, H_B remains unaffected by a scaling of λ but responds
 386 to a scaling of ϕ_i values, particularly for low E^0 values Fig. 5. This finding has
 387 a clear relevance to natural systems. For example, if we want to predict the
 388 microbial diversity in an ecosystem, then the concentration of substrates in flu-
 389 ids flowing into the system may be a stronger predictor than the rate of fluid
 390 inflow. Note that variability in ϕ_i does not affect the chemical composition of
 391 the system (except for species abundance). Consequently, environments with
 392 identical *in situ* environmental conditions may still host microbial communities
 393 with different H_B due to differences in the mode of power supply.

394 Despite the emergent complexity of the connections between energy supply
 395 and diversity, our results suggest that the diversity of power supply (H_P) may
 396 be an overall good predictor for biological diversity (H_B), at least across envi-
 397 ronments with similarly shaped distributions of ϕ_i and E_i^0 values (Fig. S8-S11).
 398 Whether or not such connections hold when more complex food webs and species
 399 interactions are considered, is clearly a topic for future research. We stress, how-
 400 ever, that a strong correlation between H_P and H_B does not imply that chemical

401 gradients shape biodiversity patterns in a simple way. Rather, as exemplified
 402 by our analysis of biodiversity along a pH gradient (Fig. 6), variations in the
 403 activity of a single chemical compound may have very different effects on H_P
 404 and H_B under different chemical and biological settings. Such heterogeneity
 405 in the relationship between pH and microbial α -diversity has been observed in
 406 different environments. In a study of 431 geographically widespread and envi-
 407 ronmentally disparate lakes, no correlation was found between α -diversity and
 408 pH [34]. In contrast, pH has been found to be a major driver of soil communi-
 409 ties and is often reported to be one of the strongest predictors of α -diversity [1,
 410 35]. Reported trends in the relationship between pH and microbial α -diversity
 411 in soil also differ. In an analysis of 300 grassland and forest soils in Germany,
 412 α -diversity increased with pH from pH 3 to pH 7.5, but with a plateau around
 413 pH 5 – 6 [1]. In analyses of numerous types of US soil samples, covering a pH
 414 range of 3-9, the α -diversity peaked at pH around 6-7. The diversity patterns
 415 observed in soils globally seem to emerge from an aggregation of multiple simpler
 416 relationships between pH and the relative abundance of individual taxonomic
 417 groups from phylum to species level [1, 36–38]. Intriguingly, this emergence of
 418 complexity from simple pH dependence of species abundance is what we find in
 419 our model (Fig. 6e,f).

420 Based on our modelling results, we propose three expectations that can act
 421 as working hypotheses for further inquiry:

- 422 • E^0 levels and the shape of the distributions of ϕ_i and E_i^0 influence mi-
 423 crobial biodiversity in different ways. H_B is more sensitive to variation in
 424 the E_i^0 distribution than to comparable variation in the distribution of ϕ_i
 425 values.
- 426 • H_P is a useful predictor for H_B across environments with similar E^0 levels
 427 and similarly shaped distributions of ϕ_i and E_i^0 .

- There is no general trend between a given chemical gradient and biodiversity, rather the relationship between them depends on the thermodynamic setting of the environment.

These expectations can be tested directly under chemostat conditions where chemical fluxes and the chemical composition of the system can be controlled, and microbial communities can be easily monitored – e.g. through 16S rRNA gene sequence analyses. In order to set up an experimental system comparable to what is modelled here, the species grown in the chemostat should have distinct substrate spectra so that each species acquires energy by the oxidation of one limiting substrate each. In principle, one could analyse diversity patterns in a system with only two species, but a higher number of species may be desirable for a more robust analysis. Estimates of maintenance power can be obtained experimentally, taking into account that maintenance power depends on environmental conditions, such as temperature [33, 39, 40].

In the field of microbial ecology, connections between environmental setting and biodiversity in natural systems have thus far mostly been explored through linear regression analyses or multivariate analyses involving directly measurable environmental parameters. Our results suggest that in order to identify driving mechanisms of biodiversity and community structure, a concerted effort should be put into assessing the role of power supply. Quantifying chemical power supply in natural environments can be challenging as it requires accurate information on chemical composition and dominant chemical fluxes in the system. Another complicating factor is that variations in the concentration of a chemical compound may have both direct and indirect effects on energy fluxes. For example, pH influences energy availability directly in energy yielding reactions where protons act as reactants or products, but also indirectly by modulating the activity coefficient or chemical speciation of numerous chemical compounds [24].

Hence, there is a need to develop improved methods for estimating energy fluxes and including such estimates in ecological studies to test model predictions.

In summary, our findings highlight the importance of taking into account energy supply and energy utilization in microbial systems in order to advance our understanding of how the fundamental laws of thermodynamics shape the biosphere.

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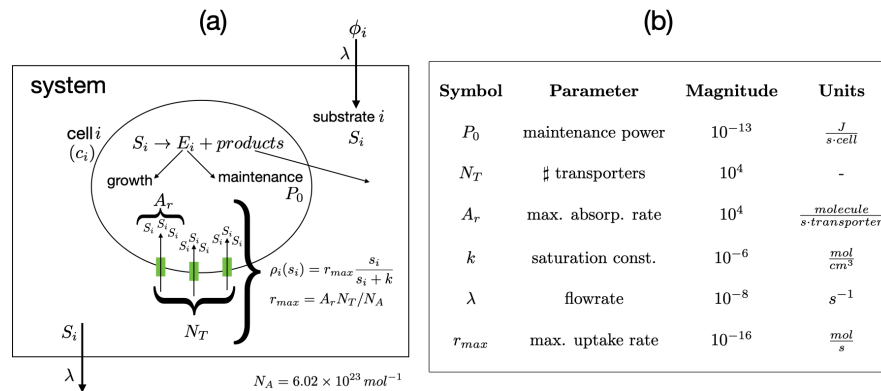
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590 **Competing interests**

591 The authors declare no competing interests.

592 FIGURES



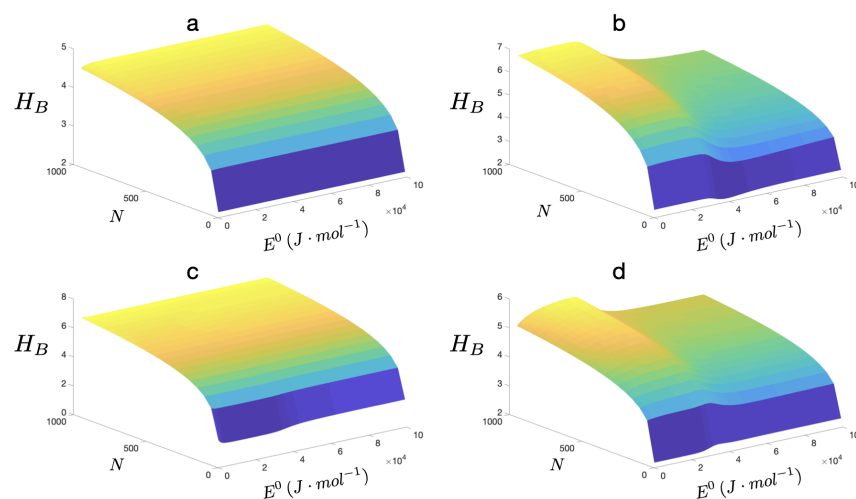


Figure 2: Biological diversity (H_B) as a function of the number of consumers (N) and E^0 . The graphic **a** shows H_B corresponding to a distribution of ϕ_i given by equation (20) and model parameters as in equations (21); Graphic **b** shows H_B corresponding to a distribution of E_i^0 as in equation (23) and model parameters as in equations (24); Graphic **c** shows H_B corresponding to distributions of r_i and P_i as in equations (25) and model parameters as in equations (26); The graphic **d** shows the biological diversity when all the previous distributions are considered simultaneously (model parameters as in equations (27)).

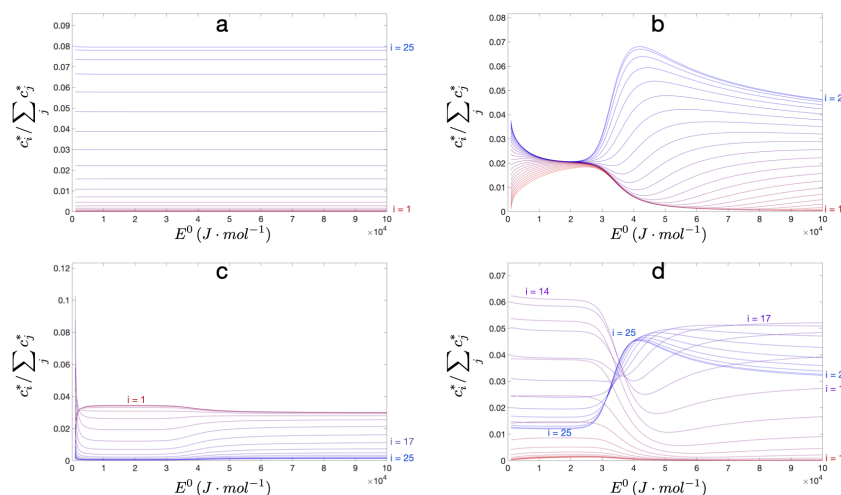


Figure 3: Relative abundance of cells as a function of E^0 for $N = 50$ consumers. The graphics show the relative abundance corresponding to the model parameters used to produce Fig. 2a, 2b, 2c and 2d, respectively. Due to symmetry, only species labeled 1-25 are shown. The color gradient indicates species label (red - species 1; blue - species 25).

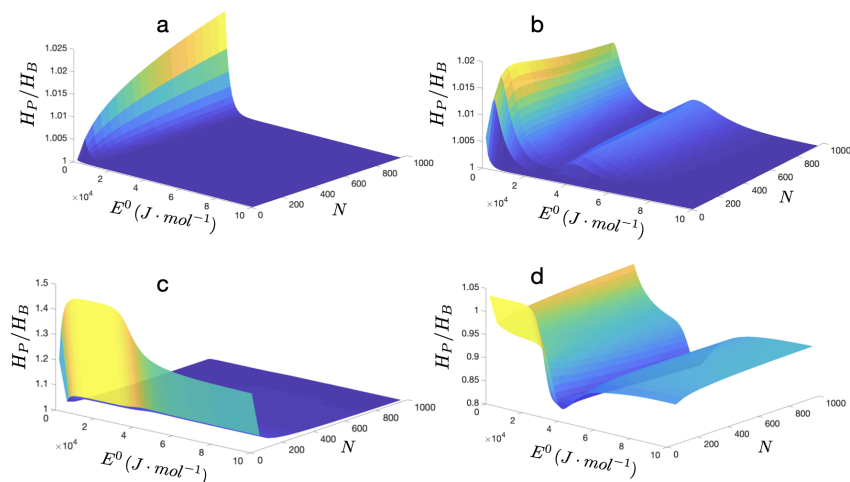


Figure 4: Ratio between power diversity (H_P) and biological diversity (H_B) as a function of the number of consumers (N) and the energy scale (E^0). The graphics show the ratio H_P/H_B obtained with the model parameters used to produce Fig. 2a, 2b, 2c and 2d, respectively.

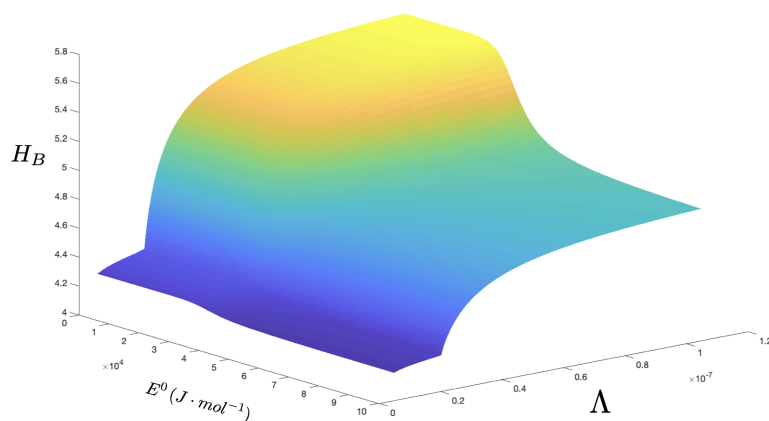


Figure 5: Biological diversity as a function of the energy scale (E^0) and a global scaling of the input substrate concentration (i.e. $\phi_i \mapsto \Lambda\phi_i$). The number of specialists is set to $n = 500$. We consider distributions for ϕ_i , r_i and P_i given by $\phi_i/\phi_0 = 10^3 e^{-\frac{(i-n/2)^2}{n/2}} + 1.2$, $r_i/r_{max} = e^{-\frac{(i-n/2)^2}{n/2}} + 1/100$ and $P_i/P_0 = e^{-\frac{(i-n/2)^2}{n/2}} + 1/20$. The stoichiometric coefficient is set to 5 for each substrate and the temperature is set to $T = 300 K$. The remaining parameters are given the values in Fig. 1b.

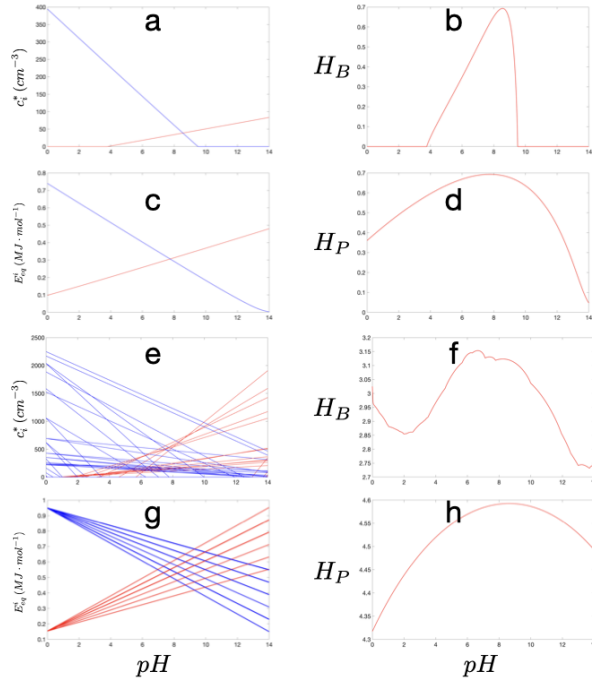


Figure 6: Effect of pH on biological and power supply diversities. The energy scale is set to $E^0 = 10^6 J \cdot mol^{-1}$ and the temperature to $T = 300 K$. We consider a trade-off between uptake and power maintenance as given in equations (25) while all substrates have the same

input concentration given by $\phi_0 = 5 \frac{\frac{kP_0}{r_{max}RT}}{W\left(\frac{kP_0}{r_{max}RT} e^{\frac{r_{max}E^0 - P_0}{r_{max}RT}}\right)}$, where $W(z)$ is the Lambert

W -function. The remaining parameters are set to the values in Fig. 1b.

The graphic **a** shows the abundance of cells for the case of two specialists where one of them is an H^+ -producer (red line) and the other is an H^+ -consumer (blue line). The stoichiometric coefficients are set as 5 for the producer and 10 for the consumer ; The graphic **b** shows the corresponding biological diversity ; Graphic **c** shows the pH -dependence of E_{eq}^i corresponding to the plot **a**. The red line shows E_{eq} for the H^+ -producer and the blue line corresponds to the H^+ -consumer ; The plot **d** shows the corresponding power supply diversity (H_P) ; The graphics **e**, **f**, **g** and **h** show the same as **a**, **b**, **c** and **d**, respectively, but for 100 specialists. Half of them (chosen randomly) are set as H^+ -consumers (red lines) and the other half as H^+ -producers (blue lines). The stoichiometric coefficients vary between 5 and 10 and each specialist is randomly assigned a number within this interval.