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CRISPR/Cas9-based silencing of the *ATXN1* gene in Spinocerebellar ataxia type 1 (SCA1) fibroblasts

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12

13 Abstract

14 Spinocerebellar Ataxia type 1 (SCA1) is an autosomal dominant neurodegenerative disorder caused 15 by a gain-of-function protein with toxic activities, containing an expanded polyQ tract in the coding region. Actually, there are no treatments available to delay the onset, stop or slow down the 16 17 progression of this pathology. Many approaches developed over the years involve the use of siRNAs 18 and antisense oligonucleotides (ASOs). Here we develop and validate a CRISPR/Cas9 therapeutic 19 strategy in fibroblasts isolated from SCA1 patients. We started from the screening of 10 different sgRNAs able to recognize regions upstream and downstream the CAG repeats, in exon 8 of ATXN1 20 21 gene. The two most promising sgRNAs, G3 and G8, whose efficiency was evaluated with an *in vitro* 22 system, significantly downregulated the ATXN 1 protein expression. This downregulation was due 23 to the introduction of indels mutations into the ATXN1 gene. Notably, with an RNA-seq analysis, we 24 demonstrated minimal off-target effects of our sgRNAs. These preliminary results support 25 CRISPR/Cas9 as a promising approach for treated polyQ-expanded diseases.

26 Abbreviations

- 27 SCA1: Spinocerebellar Ataxia type 1
- ATXN1: ataxin 1
- 29 PolyQ: polyglutammine
- 30 ASO: antisense oligonucleotide
- 31 sgRNA: single guide RNA
- 32 CRISPR: clustered regularly interspaced short palindromic repeat
- 33 Cas: CRISPR associated proteins
- 34 RNP: ribonucleoprotein

35 Introduction

SCA1 is an autosomal dominant neurodegenerative disorder caused by a CAG-repeat expansion in 36 37 ATXN1 gene. The severity of the disease and its onset are directly proportional to the number of 38 triplets. The mutated ATXN1 contains an expanded polyQ tract and shows new toxic functions which 39 lead to neurodegeneration [Orr, 2000; Zoghbi and Orr, 2009]. PolyQ SCAs, which have a frequency 40 of 2-3 cases per 100.000 people, are progressive, typically striking in midlife and causing increasing 41 neuronal dysfunction and eventual neuronal loss 10-20 years after onset of symptoms [Zoghbi and 42 Orr, 2000]. Patients can lose the ability to breathe in a coordinated fashion, which can be fatal [Orr, 43 2012].

44 Currently, no treatments are available to prevent or cure, delay the onset, stop or slow down the 45 progression of these diseases. Several research groups are trying to develop strategies to reduce the 46 levels of mutated proteins, and therefore their neurotoxic effects, using for example antiaggregant 47 agents [Zoghbi and Orr, 2000], molecules capable of activating the ubiquitin-proteasome pathway 48 [Nagashima et al., 2011] and compound with autophagic effects, as lithium [Watase et al., 2007], 49 tensirolimus [Menzies et al., 2010], thehalose [Chen et al., 2015] and Beclin-1 [Nascimento-Ferreira 50 et al., 2011].

51 Potential genetic therapies for SCA1 involve several different nucleic acid-based molecules able to 52 target the RNA or DNA of the polyQ-associated genes. Since methods exploiting the mechanisms 53 involved in the processing of endogenous miRNAs, as siRNAs and shRNAs, have shown potential 54 toxicity [Grimm et al., 2010], antisense oligonucleotide-mediated (ASO-mediated) RNA suppression approaches have been recently used to reduce gene expression and improve disease symptoms in 55 56 preclinical rodent models of several neurological diseases [Friedrich et al., 2018; McLoughlin et al., 57 2018], including SCA1 [Friedrich et al., 2018]. The disadvantage of these methods lies in the need 58 for continuous administration throughout the patient's life, to keep toxic protein levels low.

To address this fundamental limitation, the field of gene editing has emerged to make precise, targeted modifications to genome sequences. The most popular and used tool for gene editing currently is the clustered regularly interspaced short palindromic repeat (CRISPR)/Cas9 system [Jinek et al., 2012]. The CRISPR/Cas9, a component of the bacterial RNA-mediated adaptive immune system, consists of transcribed guide RNAs that direct the Cas9 RNA-guided DNA endonuclease to target sequences [Jinek et al., 2012; Barrangou et al., 2007]. The CRISPR/Cas9 system from *Streptococcus pyogenes* has already been successfully used in treating genetic disorders [Jinek et al., 2012; Jinek et al., 2013].

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69 **Results**

70 Design and *in vitro* screening of sgRNAs

We designed ten different sgRNAs able to recognize sequences upstream and downstream the ATXN1 71 72 polyQ tract (Fig. 1A), using the CRISPR design software developed by Zhang lab [Brezelton et al., 73 2015], and tested the efficiency to recognise and mediate the cutting by Cas9 of these sgRNAs in *in* 74 *vitro* reactions. The efficiencies shown in figure 1b were determined as a percentage of the fragments 75 obtained from the Cas9 cut related to the total amount of the target sequence. At least, four sgRNAs 76 show a high capacity to mediate the cut of the target sequence (G3, G8, G10, G11), two of which 77 recognize sequences upstream and two downstream of the polyQ tract. Since a multiple cut of the 78 ATXN1 gene can determine loss of genetic material and therefore a more efficient silencing of the 79 gene itself [Maeder and Gersbach, 2016], we set up four pairs of sgRNAs, whose recognition and 80 cutting efficiency was always very high (Fig. 1C). From preliminary tests on U266 cellular line, we 81 chose the G3-G8 sgRNA pair which confirmed the ability to effectively knock-out ATXN1 gene 82 expression (data not shown).

83

84 Isolation and characterization of SCA1 fibroblasts

Fibroblasts were isolated from a 4 mm skin fragment, obtained from five patients, after the signature
of the informed consent, with different polyQ expansion in mutated *ATXN1* gene: SCA1N1 (50
repeats), SCA1N5 (45), SCA1N6 (42), SCA1N8 (60), SCA1N17 (67).

To confirm the presence of an *ATXN1* allele with pathological increase in CAG triplets we amplified by PCR the exon 8 of the *ATXN1* gene and verified, by electrophoresis on agarose gel, the presence of two PCR fragments, one due to the healthy allele and one to the mutated one (Fig. 2a). At the same time, to verify the production of the wild type and expanded polyQ ataxin 1, a western blotting experiment was set up, where using anti-ATXN1 antiserum 12NQ it was possible to highlight the presence of both ATXN1 proteins (Fig. 2a).

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95 Validation of CRISPR/Cas9-based therapeutic strategy in SCA1 fibroblasts

- Fibroblasts were then transfected with G3 and G8 sgRNA simultaneously and with a negative control sgRNA, as nucleofection control, previously associated with a Cas9 to obtain ribonucleoproteins (RNPs). Western blotting analysis with anti-ATXN1 antiserum 12NQ, using total protein amount and anti-HSP90 for normalization, showed significant reduction of the ATXN1 expression (from 27,2%
- 100 to 75,2% of expression compared to not treated cells) (Fig. 3a,b).
- 101 To verify which genetic alterations were introduced by our CRISPR/Cas9 system, we decided to
- amplify the region of exon 8 containing the polyQ and the sequences recognized by G3 and G8 of the

treated cells of three patients and to subclone PCR products. Following sequencing and alignments with the correct exon sequence, we were able to determine in what percentage indels and point mutations were introduced or the polyQ was completely excised (Fig. 3c-e), obtaining $13\% \pm 4\%$ for indels, $14\% \pm 5\%$ for point mutations and $2\% \pm 2\%$ for polyQ deletions.

107

108 **Evaluation of off-target effects**

To verify if the G3 and G8 Cas9/sgRNA complexes determined a significant alteration in the expression of off-target genes, we carried out transcriptome profiling of the treated versus not treated fibroblasts of three patients by RNA-seq, performed by Lexogen. From a minimum of 5.44 to a maximum of 6.9 million uniquely mapped reads were carried out, for a total of approximately 1600 genes. Figure 4a displays inter-replicate correlations plots. The overall correlation of expression between samples which were defined as replicates is very good.

Differential expression analysis (Fig. 4b) was normalised using the DESeq methods [Anders and Huber, 2010], which ignore highly variable and/or highly expressed features. From this analysis it emerged that only two genes are significantly altered by treatment with Cas9/sgRNA complexes: *TXNIP* up regulated and *HAS2* down regulated about twice.

119

120 **Discussion**

SCA1 is one of the two spinocerebellar ataxias with the highest incidence in Italy, especially in the North, with a frequency of around 21% [Brusco et al., 2004]. It is also present with a high relative prevalence in Russia, South Africa, Serbia and India [Di Donato et al., 2012]. There is no cure for SCA1, and current therapies only provide symptomatic relief. Although several research teams are trying to develop therapeutic strategies that can silence the *ATXN1* gene and block the production of the toxic protein, none of these approaches have entered clinical trials, neither in Europe [https://www.clinicaltrialsregister.eu/] nor in the United States [https://clinicaltrials.gov].

Recent studies have shown that polyQ-expanded ATXN1 exerts cerebellar toxicity mainly through its interaction with CIC [Rousseaux et al., 2018], therefore, genetic approaches aimed at blocking the production of this protein seem to be the most promising strategies for the treatment of SCA1. Since it has already been shown that specific CRISPR/Cas9-mediated gene editing could be used to permanently eliminate polyglutamine expansion-mediated neuronal toxicity [Ouyang et al., 2018; Yang et al., 2017], we successfully developed a CRISPR/Cas9-based approach to efficiently reduce the production of both healthy and mutated ATXN1 protein. This therapeutic genome-editing strategy is not able to discriminate between the mutated and wild type allele of the *ATXN1* gene, but in any case, it has already been shown that a partial suppression of both forms of the ATXN1 protein is well tolerated [Keiser et al., 2016].

138 Our approach involves the use of two different sgRNAs targeting exon 8 of the ATXN1 gene [Maeder and Gersbach, 2016] and, analysing the gene modifications introduced by our CRISPR/Cas9 system 139 140 to the ATXN1 gene, we found that the two sgRNAs seem to have different efficiency to recognise the target sequence and mediate the Cas9 cut. G3 and G8 sgRNAs mediate the excision of the polyQ tract 141 142 only very few times (only 5% in SCA1N8), probably due to the steric hindrance caused by the 143 nearness of the target sequences and the high molecular weight of the Cas9. G3 sgRNA showed a 144 higher efficacy (with a ratio of about 3 to 1) and the largest number of indel and/or point mutations 145 were localized upstream the polyQ tract compared to the cut mediated by G8 sgRNA downstream of the polyQ. Moreover, to verify if the lower efficiency of G8 was due to a guide design error, we 146 147 analysed the theoretical efficiency of this sgRNA using all the software currently available for RNA 148 design guides [Brazelton et al., 2015]. This analysis demonstrated the good theoretical efficiency of 149 the G8 confirming our hypothesis that, in association with G3, there is a competition between the two 150 guides and the dimensions of Cas9 which reduce the effectiveness of the G8. Therefore, we are 151 designing a new RNA guide capable of recognizing a sequence much further downstream than that 152 recognized by G8, in order to minimize the steric hindrance of the two sgRNA/Cas9 complexes.

153 Although the CRISPR/Cas9 system has a minimal incidence of off-target effects [Kadam et al., 2018], 154 variable levels of the latter have been observed [Fu et al., 2013; Hsu et al., 2013; Lin et al., 2014]. The Cas9 endonucleases produced in recent times are engineered to increase their efficiency towards 155 156 the target sequence, minimizing the off-target effects. Furthermore, accurate design of sgRNAs and 157 the direct delivery of the ribonucleoprotein complex, whose short half-life considerably reduces the exposure time of the cell genome to the action of the CRISPR/Cas9 system, can significantly reduce 158 159 any off-target effects [Brazelton et al., 2015]. From the RNA-seq analysis, performed on three cell 160 samples treated with G3/Cas9 and G8/Cas9 RNPs, we identified two genes whose expression was significantly, albeit in a limited way, altered by treatment: HAS2, downregulated, and TXNIP, 161 162 upregulated. Since none of these are reported as possible off-site targeting of our system, we 163 hypothesized that their variation is due to the suppression of the mutated ATXN1 protein and its toxic 164 functions. Further studies will be done to investigate this aspect and to confirm that our silencing 165 system can restore wild type conditions at the level of protein expression.

166 In conclusion, in this study we demonstrate to successfully reduce protein expression from SCA1 167 patient-specific cells using the CRISPR/Cas9 system, obtaining suppression efficiencies that agree 168 with those obtained by Friedrich et al. when treated Atxn154Q/2Q mice with the antisense 169 oligonucleotide ATXN1 ASO353 [Friedrich et al., 2018], but with the advantage of permanent

- suppression, without the need of continuous administration. However, these results will be confirmedin SCA1 fibroblasts of other patients that have been already recruited.
- 172 The CRISPR/Cas9 system is proving to be an effective and powerful technique for modifying genes.
- 173 The use of the gene editing system in dominant inherited ataxias like SCA1, where the polyQ
- 174 expansion in exon 8 of *ATXN1* leads to a gain of toxic protein function, may be the only solution for
- these neurodegenerative diseases.
- 176

177 Materials and Methods

178 sgRNA design and screening

sgRNAs were designed by CRISPR Design software, developed by Zhang at the MIT Laboratory in
2015 [Brezelton et al., 2015]. To test the efficacy of designed sgRNA, Guide-it In Vitro Transcription
and Screening Kit (Takara Bio USA, Mountain View, California) was used, according to the
manufacturer's protocol.

183

184 Sample-size estimation

185 The calculation of the sample size was made, assuming a 30% variation in efficacy in the treatment 186 compared to the negative control, a 1st type error α equal to 0.05 and a power β of 0.80. The sample 187 size calculation was equal to 5 cell samples.

188

189 Skin biopsy

Patients underwent skin biopsy at the distal leg, 10 cm above the lateral malleolus, using a disposable 4-mm punch under sterile condition after local anaesthesia with lidocaine. The procedure does not need suture. Specimens were transferred into 15 ml tubes containing DMEM high glucose medium supplemented with 20% fetal bovine serum (FBS), antibiotic and antimycotic solution, and then taken to the laboratory to be processed. All subjects signed the informed consent and the studies were approved by the AVEC ethics committee.

196

197 Fibroblasts isolation

198 The skin fragments were transferred to a 10 cm diameter cell plate and further fragmented with a 199 disposable scalpel. The skin fragments were then moved into two T25 flasks with 1 ml of medium. 200 Fresh medium was added after 48 hours and at regular intervals until it reached 3 - 4 ml in total, after

201 which it was replaced twice a week. After 20-25 days, fibroblasts were detached with Trypsin-EDTA

1X (EuroClone, Pero, Italy) and moved to a new flask. New medium was added to the plate with skin
 fragments and fibroblasts were periodically transferred to new flasks for at least a month. Once
 amplified, SCA1 patient-derived fibroblasts were frozen as passage 1.

205

206 Cell culture and transfection

207 SCA1 skin fibroblasts were maintained in DMEM high glucose medium supplemented with 20% 208 FBS, antibiotic and antimycotic solution. 80 pmoles of Cas9 were complexed with 240 pmoles of 209 sgRNA, incubating at room temperature (RT) for 20 minutes. RNP complexes were transfected in 210 500,000 cells with Amaxa Nucleofector II (Amaxa Biosystems, Lonza, Basel Switzerland) using the 211 P-022 program according to manufacturer's protocol and adding 1 ul of 100 µM Alt-R® Cas9 212 Electroporation Enhancer (IDT, Coralville, Iowa), followed by plating in 6 well plates. The cells were 213 then amplified for at least 7-10 days until a sufficient number of cells was obtained, from which both 214 genomic DNA and total proteins were extracted.

215

216 Western blotting analyses

217 Protein extracts were prepared by homogenization of cellular precipitates in extraction buffer (50 mM 218 Tris-HCl pH 8.0, 1% V/V Triton X-100, 0.5% V/V Nonidet P-40, 10 mM mercaptoethanol, 4% V/V 219 glycerol and Complete Mini Protease inhibitor cocktail tablets (Roche, Basel Switzerland), 1 tablet 220 in 10 mL), followed by five freeze/thaw cycles and then centrifuged at 4°C for 3 min at 14,000 rpm. 221 Supernatants were quantified by Pierce[™] BCA Protein Assay Kit (Thermo Fisher Scientific, 222 Waltham, Massachusetts) and used for Western blotting analysis, using for the ATXN1 detection the 223 antiserum 12NQ, kindly donated by Dr. Orr [Perez Ortiz et al., 2018], and the antibody against HSP90 224 (Biosciences Inc., Allentown, Pennsylvania), as housekeeping. 30 µg of protein extracts were 225 denatured for 5 minutes at 98°C in 1X SDS sample buffer (62.5 mM Tris-HCl pH 6.8, 2% SDS, 50 226 mM Dithiotreithol (DTT), 0.01 % bromophenol blue, 10% glicerol), resolved by SDS-PAGE and 227 transferred to nitrocellulose membranes (BioRad Laboratories Inc., Hercules, California). After 228 blocking with 3% skim milk/TBS, the membranes were incubated with primary antibodies in 1% 229 skim milk/TBS overnight at 4°C. After washing in 0.05% Tween 20/TBS, membranes were incubated 230 with the corresponding secondary antibody conjugated with HRP in 1% skim milk/TBS for 1 hr at 231 RT and washed again. Chemiluminescence signals were detected using the Clarity Western ECL 232 Substrate (BioRad Laboratories Inc.) according to the manufacturer's protocol. The signal intensity 233 was determined using the ChemiDoc MP Imaging System (BioRad Laboratories Inc.) and ATXN1 234 expression in treated cells compared to not treated ones was calculated using for normalization both 235 total proteins amount and HSP-90.

236

237 Phenol/chloroform extraction of genomic DNA

238 Cell pellets were resuspended with a lysis solution (10 mM Tris HCl pH 8.0, 400 mM NaCl, 2 mM 239 EDTA pH 8.0, 0.45% p/V SDS and 0.45 mg/mL proteinase K) and incubated at 56°C for 2 hours. 240 One volume of phenol:chloroform:isoamyl alcohol (25:24:1) was added and mixed by inversion for 241 5 minutes. Samples were centrifuged at RT for 5 minutes at 12,000 rpm and then the aqueous phase 242 was transferred to a clean tube. After a second extraction to optimize DNA purification, an equal 243 volume of chloroform: isoamyl alcohol was added to the aqueous phase and samples were centrifuged 244 under the same conditions described above. 2.5 volumes of 96% ethanol were added to each tube, 245 which were placed at -20°C overnight to precipitate DNA. Samples were centrifuged at 4°C for 5 246 minutes at 12,000 rpm, washed with 1 ml of 70% ethanol and then centrifuged again under the same 247 conditions. The dry pellets were finally resuspended in water and the DNA quantified using the Biospectrometer (Eppendorf, Hamburg, Germany). 248

249

250 **PCR**

PCR products were amplified in 100 ml reactions with 0.2 units of EconoTaq DNA polymerase
(Lucigen, Middleton, Wisconsin), 1 µM of each primer, and 0.25 mM of each dNTP. Thermal cycling
was done using an annealing temperature of 56°C. PCR products were purified using NucleoSpin®
Gel and PCR Clean-up (Macherey-Nagel, Hoerdt, France), according to manufacturer's protocol.

255

256 PCR subcloning

Purified PCR fragments were cloned into a pGEM-T Easy Vector (Promega, Madison, Wisconsin),
according to the manufacturer's protocol. The ligation reactions were then transformed into E. coli
5-alpha Chemically Competent cells (Lucigen), performing a thermal shock for 45 seconds at 42°C
followed by 2 minutes on ice. After 1 hours at 37°C in slow agitation, bacteria were plated in 100
ng/µl ampicillin LB-Agar plates, with 0.01% X-gal/200 µM IPTG.

White colonies were amplified and plasmids purified using PureYield[™] Plasmid Miniprep System (Promega), according to the manufacturer's protocol. The obtained plasmids were analysed through electrophoresis in 0.8% agarose gel, quantified using the Biospectrometer (Eppendorf) and send to BMR Genomics for sequencing, set up using the M13 forward sequencing primer.

266

267 Total RNA extraction

The total cellular RNA was extracted by TRIZOL® Reagent (Gibco - Thermo Fisher Scientific),
according to manufacturer's protocol. All reagents and materials used were RNase-free. The extracted

270	RNA was analysed by electrophoresis on 0.8% agarose gel and sent to Lexogen (Greenland, New
271	Hampshire) for RNA-seq analyses.
272	
273	Statistical analysis
274	Statistical analyses were performed using GraphPad Prism 7.00 software. The statistical tests applied
275	were: unpaired t test with two-tailed P value and alpha level P<0.05; F test to compare variances with
276	alpha level P<0.05.
277	
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280	experiments.
281	
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284	
285	Competing interests
286	All the authors declare no competing financial interests.
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364

365 Figure 1. Design and in vitro screening of sgRNAs for ATXN1 gene. A, sgRNAs were designed 366 using the CRISPR design software by Zhang lab. Ten sgRNAs capable of cutting upstream and 367 downstream of the polyQ tract were identified. B, Using Guide-it sgRNA In Vitro Transcription and Screening System (Clontech), in vitro screening tests were performed to evaluate the sgRNAs cutting 368 efficiency, which were $63,3 \pm 1,4$ (G3), $20,9 \pm 2,4$ (G4), $11,1 \pm 1,1$ (G5), $24,3 \pm 0,6$ (G6), $47,3 \pm 2,2$ 369 (G7), $66,8 \pm 2,4$ (G8), $3,2 \pm 1,5$ (G9), $64,7 \pm 2,0$ (G10), $50,4 \pm 4,9$ (G11), $37,0 \pm 1,8$ (G12). C, The 370 371 most efficient sgRNAs were then tested in pairs. The cutting efficiencies were $83,4 \pm 2,4$ (G3-G8), $75,2 \pm 1,4$ (G3-G10), $70,8 \pm 4,2$ (G11-G8), $73,4 \pm 1,3$ (G11-G10). Values are mean \pm s.e.m. from at 372 373 least three independent experiments. 374

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Figure 2. Characterization of SCA1 fibroblasts. A, Amplification by PCR of the *ATXN1* exon 8
containing the polyQ tract, using the genomic DNA extracted from the five SCA1 patients' fibroblasts
as template. A, ATXN1 expression in SCA1 fibroblasts, using 12NQ antiserum as anti-ATXN1
antibody.



387

388 Figure 3. Effects of CRISPR/Cas9 system in SCA1 fibroblasts. A-B, Atxn1 expression in SCA1 389 fibroblast. Fibroblasts from five SCA1 patients were treated using sgRNAs G3 and G8 complexed 390 with Cas9 endonucleases and the Atxn1 expression was determined by Western Blotting. A, Atxn1 391 abundances were expressed relative to Hsp90 and total proteins, determined by densitometry. After 392 the treatment, the Atxn1 expression was $42,3 \pm 3,8$ (SCA1N1), $46 \pm 2,6$ (SCA1N5), 60 ± 5 (SCA1N6), 23,7 \pm 2,6 (SCA1N8) and 75,2 \pm 4,8 (SCA1N17). **B**, Expression in patient SCA1N1 for Atxn1 and 393 HSP90. C-E, Mutations introduced by CRISPR/Cas9 system in ATXN1 genes of three patients and 394 their relative abundance, determined by sequencing 76 (C), 55 (D) and 64 (E) colonies. Values are 395 mean ± s.e.m. from at least three independent experiments. *P=0,05, ***P<0,001, ****P<0,0001, 396 397 unpaired t test followed by f test to compare variances.

- 398
- 399



Figure 4. Trascriptome profile by RNA-seq. A, Inter-replicate correlation plots, which verify the overall correlation of expression between replicates. B, Digital gene expression data can be visualized as MA plot, just as with microarray data where each dot represents a gene. This plot shows RNA-seq gene expression for sgRNA/Cas9 treated versus not treated SCA1 fibroblasts. The red dots correspond to the only two differentially expressed genes, following treatment, showing in table C.