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1 A psychophysically-tuned computational model of human primary

2 visual cortex produces geometric optical illusions

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19 Abstract

20 Geometrical optical illusion (GOIs) are mismatches between physical stimuli and perception. GOIs provide 21 an access point to study the interplay between sensation and perception, yet there is scant quantitative 22 investigation of the extent to which different GOIs rely on similar or distinct brain mechanisms. We 23 addressed this knowledge gap. First, 30 healthy adults reported quantitatively their perceptual biases with 24 three GOIs, whose physical properties parametrically varied on a trial-by-trial basis. Biases observed with 25 one GOI were unrelated to those observed with another GOI, suggestive of (partially) distinct underlying 26 mechanisms. Next, we used these psychophysical results to tune a computational model of primary visual 27 cortex that combines parameters of orientation, selectivity, intra-cortical connectivity, and long-range 28 interactions. We showed that similar biases could be generated *in-silico*, mirroring those observed in 29 humans. Such results provide a roadmap whereby computational modelling, informed by human 30 psychophysics, can reveal likely mechanistic underpinnings of perception. 31 32 Key words: Vision, illusion, primary visual cortex, receptive field, computational modeling

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34 Introduction

The effortlessness of vision belies its mechanistic complexity as well as its perceptual fragility. Illusions are key phenomena to study vision. They provide a possibility to distinguish between sensation and perception. Illusions also have an ethological significance, as those organisms whose vision is capable of surmounting noise and ambiguity in visual scenes (e.g. camouflage) have a clear evolutionary advantage (Lesher, 1995). These phenomena also provide a more accurate depiction of the real world, playing a role in navigation (Lesher, 1995). Illusory figures present a solution to complex perceptual problems (Lesher,

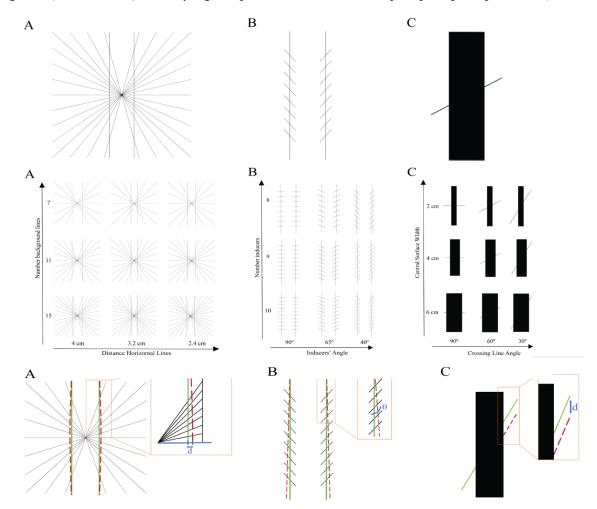


Figure 1. (Top Row) Examples of Geometrical-optical illusions. A) Hering illusion: two parallel vertical lines appear as curved due to the presence of a radial background. B) Zöllner illusion: two parallel vertical lines are perceived as nonparallel due to the presence of oblique inducers on the background. C) Poggendorff illusion: a perceived misalignment of the crossing oblique line is induced by the presence of a central surface. (Central Row) Visual stimuli used for the behavioral and the computational experiments. **A.** Hering illusion. The number of radial lines varies along the y-axis; the distance between the two vertical lines across the x-axis. **B.** Zöllner illusion. The number of inducer lines varies along the y-axis, the inducers' angle across the x-axis. (Bottom Row) Bias calculation. Green line: geometrical real line. Red dotted line: corrected line. **A.** Hering illusion. The bias corresponds to the distance between the original line and the corrected line. **B.** Zöllner illusion. The bias refers to the angle (θ) created between the corrected and the parallel lines. **C.** Poggendorff illusion. The bias is the distance along the right rectangle edge between the corrected right line segment and the physically aligned right line segment.

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41 1995; Rock & Anson, 1979). In this paper, we focus our attention on a subset of illusory phenomena called
42 Geometrical-Optical Illusions (GOIs). They were first described in the 19th century by Johann Joseph
43 Oppel (Oppel, 1855) and subsequently studied by other German psychologists such as Ewald Hering
44 (Hering, 1861), Rudolph Hermann Lotze (Lotze, 1852) and Karl Zöllner (Zöllner, 1860).

45 GOIs were defined as situations where "there is a mismatch of geometrical properties between the 46 item in the object space (physical source of the stimulus) and its associated percept" (Westheimer, 2008). 47 Figure 1 (top row) presents some classical examples of GOIs: the Hering, the Zöllner and Poggendorff 48 illusions. For example, in the Hering illusion (Figure 1, top row, A), the presence of a radial background 49 induces a misperception of the two parallel lines, which appear as bowed towards the outside although they 50 are straight. Optical illusions were first studied from the psychophysical point of view, leading to qualitative 51 models that first identified the underlying mechanisms in terms of cognitive, retinal, and perceptual 52 processes. Behavioral tests using these illusions have been performed during the 1960s-1980s (Beckett, 53 1989; Holt-Hansen, 1961; Oyama, 1975; Weintraub & Krantz, 1971), but there are both methodological 54 limitations (e.g. hand-drawings were often used) and limited statistical analysis (i.e. most studies were 55 purely observational and not quantitative). Therefore, the bias, i.e. the difference between the geometrical 56 nature of the stimuli and the perceived one (amount of perceived curvature induced by the distance between 57 original and corrected line, divergence and misalignment, respectively, for the GOIs depicted in Figure 1, 58 top row), has not yet been investigated systematically. From the neurophysiological standpoint, several 59 mechanisms have been proposed to contribute to illusory perceptual phenomena (Eagleman, 2001), such as 60 lateral interactions between cells responsible for extracting basic features at the intracortical level, or 61 feedback and feed-forward mechanisms across hierarchical levels of the visual pathway. Lateral inhibition 62 refers to nearby neurons in the cortex spiking (near) simultaneously, due to competing features of the initial 63 stimulus: the produced neuronal responses are themselves ambiguous in their coding. In the case of illusory 64 contours, where geometrical objects such as lines or figures are perceived – although not physically present, 65 feedback mechanisms have been postulated as – at least partly – responsible. Feedback modulations in the 66 case of illusory perceptual phenomena allow for the incorporation of information across 67 longer distances, since higher-level cortices contain neurons with large receptive fields, and therefore the 68 substantiation of Gestalt-like principles of perceptual grouping (Dura-Bernal, Wennekers, & Denham, 69 2011; Murray & Herrmann, 2013a). The specific contributions of these mechanisms to perceptual outcomes 70 remain to be fully characterized.

Nonetheless, these psychophysical and neurophysiological observations have opened up the possibility of also investigating and computationally modeling the neural substrates of such illusory processing by means of the features (contour perception, brightness, depth) – determinants – of the stimuli (Lesher, 1995). Neural-based computational models of visual perception aim to provide mathematical

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75 descriptions of such neurophysiological features. A stable prediction of the misperception in GOIs could 76 be explained by a model considering three main neurophysiological features (B. Franceschiello, Mashtakov, 77 Citti, & Sarti, 2019: Benedetta Franceschiello, Sarti, & Citti, 2017): 1) orientation selectivity process 78 performed by visual areas V1 and V2 (Hubel & Wiesel, 1962), 2) interference between receptive profiles 79 of simple cells in these areas, and 3) long-range connectivity (Bosking, William H., 1997). 80 In terms of computational modelling, the orientation selectivity process is implemented by applying 81 convolutional filters capable of extracting the most prominent orientation for each small portion of the 82 visual field where the stimulus is represented. This interpretation holds in the case of possible interference 83 between close-by receptive profiles. Many models have been proposed for this convolutional operation, 84 among those the Derivative of Gaussians (DoG), introduced by Young (Young, 1987) and Daugman 85 (Koenderink & van Doorn, 1990), Gabor filters, introduced by Daugman (Daugman, 1985) and Jones and 86 Palmer (Jones & Palmer, 1987), and cake wavelets (Duits, Felsberg, Granlund, & Romeny, 2007). Gabor 87 filters are particularly interesting as they have been proven to mimic accurately receptive profiles of simple 88 cells in V1, as recorded neurophysiologically by De Angelis (De Angelis, Ohzawa, & Freeman, 1995). As 89 for the long-range connectivity (or horizontal connectivity) of the primary visual areas, we refer to the 90 mechanism "connecting" neurons spiking simultaneously while situated at relatively far distances (ca. 300-91 $400\mu m$) from one another. Long-range connections enable the formation of contours, surfaces, and more 92 complex objects and they have been mathematically described in terms of mean field equations (Bertalmío 93 et al., 2020; Bertalmío & Cowan, 2009; Bressloff, Cowan, Golubitsky, Thomas, & Wiener, 2001; Schuster 94 & Wagner, 1990), of statistics of the visual inputs (Bednar, 2014; Simoncelli & Olshausen, 2001) or by a 95 geometrical description of the visual areas (Ben-Shahar & Zucker, 2004; Citti & Sarti, 2006; Hoffman, 96 1980; Petitot, 2002). Among those computational models providing a description for GOIs, we find a 97 statistical-based approach in (Fermüller & Malm, 2004) and a geometrical approach in (Ehm & 98 Wackermann, 2012, 2016). The first models interpreting GOIs while having a neural-based approach are 99 those in (Franceschiello, Benedetta, Sarti, Alessandro, Citti, 2017; Franceschiello et al., 2019). These

provided a first *qualitative* reconstruction of the phenomena. By integrating psychophysical data from actual observers, we were therefore in a position to determine the contributions of the neural components of the *in-silico* simulations, providing for the first time a *quantitative* reconstruction of the phenomena.

103 The contributions of the present paper are threefold. Firstly, and to our knowledge for the first time, 104 we introduce a standardized, quantitative measure of perceptual bias for each of the three GOIs: Hering, 105 Zollner and Poggendorff illusions. Furthermore, these perceptual illusions are analyzed together for the first 106 time, enabling us to determine to what extent biases are related and by extension the degree to which distinct 107 mechanisms contribute to each illusion. Second, we establish the practice of tuning the computational 108 models in (B. Franceschiello et al., 2019; Benedetta Franceschiello et al., 2017) using psychophysical data:

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109 computational models are strongly dependent on the choice of parameters. A part of them is only 110 computationally driven, while another part represents neurophysiological correlates of the studied 111 processes. Tuning the computational model means iteratively optimizing the parameters to achieve a 112 replication of human behavior. The third contribution of this work is to derive neural-based conclusions 113 from those neurophysiological-related parameters which permitted the computational model fitting, i.e. a 114 quantitative reproduction of the phenomena. This allows us to finally reveal those mechanisms responsible 115 for the perception of geometrical optical illusions.

117

118 Materials and Methods

119 Behavioral Experiment

Participants. Thirty healthy unpaid volunteers (19 females; aged 22– 39years; mean±SD=28.4 ±4.3years) provided informed consent to participate in the experiment. All procedures conformed to the 2013 update of the Declaration of Helsinki and were approved by the Cantonal Ethics Committee. None of the subjects had current or prior neurological or psychiatric illnesses. All participants had normal or corrected-to-normal vision.

125

Stimuli. The experiment included stimuli for the three different illusion tasks: Hering, Zöllner and Poggendorff. Visual stimuli were independently varied along 2 aspects of interest (i.e. our independent variables for each condition). For each illusion, nine sets of visual stimuli were presented as in different conditions, following a 3×3 factorial design.

Hering stimuli. The neutral configuration of Hering stimuli consists of two parallel vertical lines (foreground curves) and a symmetrical arrangement of radial background lines, which meet in the center of the image, halfway between the two foreground lines. The participants then usually report the two straight, parallel vertical lines as appearing curved (Hering, 1861). In the present experimental set-up, participants had to adjust the vertical lines in order to appear subjectively straight and parallel.

In our experiment, each stimulus is drawn in a rectangular region in the center of the screen with a gap above and below of 5% of the height of the screen (the height of the screen used was 20 cm), such that the vertical extent of the stimulus is the middle 90% of the height of the screen. The background of the stimulus consists of a number of lines disposed radially, see Figure 1, central row, (A). These lines are evenly spaced along the edges of the central square, as a rule always including the horizontal and diagonal lines and excluding the central vertical line (see Figure 1, central row, (A)). All stimuli consisted of black lines

141 presented on a white background.

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142 The nine different stimuli in the Hering condition were obtained by varying independently the number of 143 radial lines and the distance between the two main vertical lines (Figure 1, central row, (A)). These two 144 variables were chosen because they constitute the main components of the Hering illusion. Each stimulus 145 included either 7, 11 or 15 radial lines (the corresponding values in the Psychopy code for stimuli 146 presentation are 5, 7 and 9) and a distance between the two vertical lines of either 2.4 cm, 3.2 cm and 4 cm, 147 (the corresponding values in the code are: 0.06, 0.08, 0.1). The values were fixed in order to have 148 perceivable differences while experiencing the illusory effects. To recover the measure in cm, these values 149 (0.12, 0.16 and 0.2) were multiplied by the height of the screen (20 cm).

150 The two foreground curves are not always drawn straight, but the curves appear smooth in all 151 configurations. The foreground curves can veer towards or away from the center of the image. We define 152 the offset to be the initial horizontal distance (varied for each trial) between one of the straight vertical line 153 in the neutral configuration and the corresponding foreground curve (see Appendix A for further 154 explanations). We varied the initial value of the offset by discrete steps (up to 4 steps in either direction). 155 When the participant adjusts the offset parameter by pressing the up or down key, the value of the offset is 156 adjusted by 1 step. We varied the initial value of the offset in order not to bias the participant towards a 157 stable initial configuration. However, this parameter is not among our main experimental manipulations. 158 The measure of this experiment is the final offset, which corresponds to the distance from the neutral 159 configuration and represents what the participant perceives as straight/parallel, and it is measured in discrete 160 steps. This is the perceived bias (Figure 1, bottom row, A).

161

162 Zöllner stimuli. The neutral configuration of Zöllner stimuli consists of two vertical lines each intersected 163 by a number of segments (inducers), see Figure 1, central row, (B). Despite the fact that the vertical lines 164 are parallel to each other, the participants perceive them as not parallel and instead report them to appear to 165 converge and diverge from each other. In the present experimental set-up, participants had to adjust the 166 angle of the vertical lines in order to appear subjectively parallel.

167 In our experimental setup, the inducers are parallel segments spread evenly over the length of the vertical 168 lines. The inducers intersecting the left vertical line are consistently oriented from top left to bottom right 169 and the inducers on the right line form their mirrored image. The length of the vertical lines is 80% of the 170 height of the screen (16cm). The distance between them is 4 cm (0.2 of the screen height). The length of 171 the inducers is 2cm (0.1 of the screen height).

172 The nine different stimuli in Zöllner were obtained by varying independently the two following parameters:

173 the number of inducers and the angle of the inducers, Figure 1, central row, (B). In our example, only the

angle is manipulated and the number of inducers, which could vary between 8, 9 and 10 inducers, is used

as control. The angle can vary between 40°, 65° and 90° (from vertical). These latter values were fixed in

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176 order to have perceptual differences while experiencing the illusory effects. The participants manipulated 177 the angle of the vertical lines (both lines were rotated together in a mirrored way). The offset step size is 178 0.1 degrees. The vertical lines in the Zöllner illusion were not always drawn parallel; sometimes they were 179 tilted by a small angle, which is considered as the distance from the neutral configuration; it is what we 180 refer to as offset and it varies in discrete steps. We varied the initial offset between -0.6 ° to 0.6 °. When the 181 participant adjusts the offset parameter by pressing the up or down key, the value of the offset is adjusted 182 by 1 step. The measure of this experiment is called final offset, which corresponds to the distance from the 183 neutral configuration and represents what the participant perceives as parallel. This is their perceived bias

- 184 (Figure 1, bottom row, (B).).
- 185

186 Poggendorff stimuli. The neutral configuration of Poggendorff stimuli consists of two collinear segments 187 separated by a central surface. The participants usually report the two collinear segments as misaligned. In 188 the present experiment, participants had to adjust the vertical position of the right segment in order for the 189 two segments to be subjectively perceived as collinear.

190 In our experimental setup, the stimuli consist of a central black rectangle, with a fixed height of 16 cm and 191 a width that varies from one presentation to another, and two black lines, one on the left side and one on 192 the right. The nine different stimuli in Poggendorff were obtained by varying independently the width of 193 the rectangle and the angle (slope) of the line segments, Figure 1, central row, (C). These two independent 194 variables were chosen as they constitute the main components of the Poggendorff illusion. The width of the 195 rectangle was varied between 2, 4 and 6 cm, and the angle of the line segments between 30°, 60° and 90° 196 (from the vertical configuration). These values were chosen in order to have a significant difference in the 197 perception of the illusory effects. The two segments always have the same slope. Both line segments extend 198 to 4 cm horizontally away from the center of the screen. Therefore, their length depends on the width of the 199 rectangle and on the slope. In the neutral position (zero offset), the right and left segments both align with 200 the central point of the rectangle, as if to form a single line passing through that point. The left line is always 201 fixed in this position. However, as in the other illusion tasks, there is an initial offset variable. Specifically, 202 the right line can be offset by a variable amount ranging between -0.04 to 0.04 cm. When the participant 203 adjusts the offset parameter by pressing the up or down key and moving the right line segment upwards or 204 downwards, the value of the offset is adjusted by 1 step of 0.02 cm size. The measure of this experiment is 205 the final offset, which is the vertical distance from the neutral configuration (alignment of the segments) 206 and represents what the participant perceives as collinear. This is the perceived bias (Figure 1, bottom row, 207 (C)).

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209 Procedure and Experimental design. Participants sat in a darkened, sound-attenuated room (WhisperRoom

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210 MDI, 102126E) in front of a laptop screen (MacBook Pro end 2013, 13-inch, resolution: 2560 x 1600) that 211 was presenting the different stimuli. The distance between participants' eyes and the screen was kept 212 constant at approximately 75 cm, for a vertical visual angle of 15°, which implies that the stimuli were 213 projected in participants' central vision. The experiment consisted of three different blocks, one for each 214 illusion task. Participants performed each illusion task one after the other; the order of which was 215 counterbalanced across participants. Participants took short breaks between the different tasks. Within each 216 block, the nine different sets of stimuli were presented randomly to the participants. Each stimulus remained 217 on the screen for as long as the participant took to respond. Participants then pressed the spacebar in order 218 to proceed to the next trial. The order of trials was randomized for each participant. Short instructions were 219 presented at the beginning of each of the tasks, followed by a couple of practice trials for the participant to 220 get familiarized with the tasks. Stimulus delivery and behavioral response collection were programmed and 221 controlled by PsychoPy v3.0 (Peirce et al., 2019). The code for the experiments is publicly available at the 222 following link: https://gist.github.com/nat-n/879d06244a694d47c4911098aac60656.

223

Depending on the illusion, participants were instructed to adjust the target lines (green lines in Figure 1, bottom row) in order to i) either make them appear subjectively parallel (Hering and Zöllner) or ii) to appear collinear (Poggendorff).

227 To move the target lines, their task was to press either the up or down arrow keys on the keyboard. In the 228 Hering illusion, the target lines were the two foreground curves (Figure 1, bottom row, (A)). These curves 229 were bent outwards if the down button was pressed and inwards if the up key was pressed, according to the 230 mathematical expression of the curve (see Appendix, section A). For the Zöllner illusion, the target lines 231 were again the two vertical lines. The vertical lines shifted outwards if the up key was pressed and inwards 232 if the down key was pressed (Figure 1, bottom row, (B)). In the Poggendorff illusion, the target line was 233 the right line segment (Figure 1, bottom row, (C)). The right segment moved up if the up key was pressed 234 and down if the down key was pressed.

235

236 Hering design. A 3 (number of radial lines: 7, 11 and 15) by 3 (distance between vertical lines: 2.4 cm, 3.2 237 cm and 4 cm) factorial design was adopted, resulting in 9 experimental conditions. Each of the nine 238 conditions was presented 12 times, resulting in 108 trials in total. It should be noted that the initial value of 239 the offset was not specified as an experimental factor. For each condition, we set several initial offset values: 240 - 0.107 cm (1 time), -0.053 cm (3 times), 0 cm (4 times), 0.053 cm (3 times) and 0.107 cm (1 time), 241 following a Gaussian distribution with mean equal to the condition we wanted to test most often. The bias 242 here was defined as the horizontal distance between the original vertical line and the manipulated final 243 curve, see Figure 1, bottom row, (A). An explanation that links the described "distance" and the curvature

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of the final curve is presented in the Appendix, (A). To calculate the distance, we summed the number of times the up and down arrow keys were pressed, giving it a positive value when the down key was pressed and a negative value when the up key was pressed. The resulting final offset, encoding the final position of the line according to the participant's perception, was then multiplied by the offset step size, equal to 0.0267

- 248 cm in the Hering illusion (see the Stimuli section).
- 249

250 Zöllner design. A 3 (number of inducers: 8, 9 and 10) by 3 (angle of inducers: 40°, 65° and 90°) factorial 251 design was adopted, resulting in 9 experimental conditions. Each of the nine conditions was presented 12 252 times, resulting in 108 trials in total. The initial value of the offset was not specified as an experimental 253 factor. For each condition, we set several initial offset values: -0.6° (1 time), -0.4° (3 times), 0° (4 times), 254 0.4° (3 times) and 0.6° (1 time), following a Gaussian distribution with mean equal to the condition we 255 wanted to test most often. The bias referred to the angle created between the corrected and the parallel lines 256 Figure 1, bottom row, (B). To calculate that angle, we summed the number of times the up and down arrow 257 keys were pressed, giving it a positive value when the up key was pressed and a negative value when the 258 down key was pressed. The resulting value (final offset) was then multiplied by the angle in degrees 259 corresponding to how much the line moved each time we pressed an arrow, i.e. its offset step size equal to 260 0.1 °.

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262 Poggendorff design. A 3 (width of the rectangle: 2, 4 and 6cm) by 3 (angle of the line segments: 30°, 60° 263 and 90°) factorial design was adopted, resulting in 9 experimental conditions. Each of the nine conditions 264 was presented 12 times, resulting in 108 trials in total. The initial value of the offset was not specified as 265 an experimental factor. For each condition, we set several initial offset values: -0.04 cm (1 time), -0.02266 cm (3 times), 0 cm (4 times), 0.02 cm (3 times) and 0.04 (1 time), following a Gaussian distribution with 267 mean equal to the condition we wanted to test most often. The bias for Poggendorff was calculated as the 268 distance, computed along the right side of the rectangle, between the right line segment and the actual 269 physically aligned segment, Figure 1, bottom row, (C). To calculate that distance, we summed the number 270 of times the up and down arrow keys were pressed, giving it a positive value when the up key was pressed 271 and a negative value when the down key was pressed. The resulting value (final offset) was then multiplied 272 by the distance in cm that the line moved each time we pressed an arrow (offset step size equal to 0.02 cm) 273

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Behavioral analysis. IBM SPSS Statistics 25 (IBM Corp. Released 2017. IBM SPSS Statistics for Windows, Version 25.0. Armonk, NY: IBM Corp.) was used for data analysis. First, we tested whether the recorded data were normally distributed using a Shapiro-Wilk test. All data were normally distributed.

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278 Hence, a 3×3 repeated measures ANOVA was used for each illusion task in order to analyze the effects

279 of our experimental manipulations on the participants' calculated biases. The factors that were used were:

280 the number of radial lines (7, 11, 15) and the distance between the vertical lines (2.4 cm, 3.2 cm, 4 cm) for

281 Hering, the number of inducers (8, 9, 10) and angle of inducers (40°, 65°, 90°) for Zöllner and the width

282 of the rectangle (2 cm, 4 cm, 6 cm) and the angle of the line segments (30°, 60°, 90°) for Poggendorff.

283

284 **Computational experiment**

285

286 Theoretical formulation of the model. The cortically inspired computational models introduced in (B. 287 Franceschiello et al., 2019; Benedetta Franceschiello et al., 2017) have proven to be effective in reproducing 288 the qualitative responses of the primary visual cortices in response to geometrical optical illusions. The 289 model, tested in (B. Franceschiello et al., 2019; Benedetta Franceschiello et al., 2017) from a purely image 290 processing perspective, takes into account as a first step the orientation selection mechanisms discovered 291 by Hubel and Wiesel (Hubel & Wiesel, 1962) and modelled computationally by Daugman (Daugman, 1985) 292 and Jones and Palmer (Jones & Palmer, 1987). For a full description of the model, we refer readers to (B. 293 Franceschiello et al., 2019; Benedetta Franceschiello et al., 2017). Here, we briefly summarize the 294 approach. Gabor filters have the following formulation in their expression independent from time:

$$\psi(x, y, \theta) = \frac{\gamma}{2\pi\sigma^2} e^{\frac{-(\mu_1^2 + \gamma\mu_2^2)}{2\sigma^2}} e^{\frac{2i\bar{b}\mu_2}{\sigma}}$$
(1)

295

 $\mu_1 = x \cos(\theta) + y \sin(\theta)$

 $\mu_2 = -xsin(\theta) + ycos(\theta),$ 296

297 where $(x, y) \in \mathbb{R}^2$ represents the global coordinates on the initial image; \overline{b}, σ and γ are extra parameters 298 determining the shape and size of the filter. $\psi(x, y, \theta)$ is a complex-valued function, therefore we can 299 identify a real part and imaginary part. The real part is an even function with respect to (x, y):

$$\operatorname{Re}(\psi(x, y, \theta)) = \frac{\gamma}{2\pi\sigma^2} e^{\frac{-(\mu_1^2 + \gamma\mu_2^2)}{2\sigma^2}} \cos\left(\frac{2i\,\bar{b}\mu_2}{\sigma}\right)$$
(2)

300 While the imaginary one an odd function with respect to (x, y):

$$\operatorname{Im}(\psi(x, y, \theta)) = \frac{\gamma}{2\pi\sigma^2} e^{\frac{-(\mu_1^2 + \gamma \mu_2^2)}{2\sigma^2}} \sin\left(\frac{2i\,\bar{b}\mu_2}{\sigma}\right)$$
(3)

301 Odd and even parts of Gabor filters describe different types of receptive field profiles of simple cells 302 observed in V1. Odd receptive field profiles are able to detect contours and therefore are used to detect the 303 presence of a surface in an image, such as the central surface presented in the Poggendorff illusion. In this

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304 case, $\theta \in [-\pi, \pi)$. Even receptive field profiles are sensitive to line orientation, and for this reason $\theta \in$ 305 $[0, \pi)$.

As shown by Citti and Sarti (Citti & Sarti, 2006), the organization of simple cells in V1 responds to a group law that allows to retrieve (x, y, θ) position of simple cells receptive fields in the hypercolumnar structure of V1. (x, y, θ) is retrieved by means of a rotation and a translation of a mother cell placed at the origin of our space, $\mathbb{R}^2 \times S^1$, where \mathbb{R}^2 encodes the spatial features while S^1 the orientation selection. If we identify the retinal plane with the \mathbb{R}^2 -plane, then the spike frequencies $O(x, y, \theta)$ of the neurons activating at the global coordinates (x, y) are modeled as the convolution between the visual stimulus *I*:

312 $D \subset \mathbb{R}^2 \to \mathbb{R}^+$ with the set of Gabor filters. The expression for this output becomes the following:

$$O_{(x,y,\theta)} = \int_D I(\mu_1,\mu_2)\psi_{(x,y,\theta)}(\mu_1,\mu_2)d\mu_1d\mu_2,$$
(4)

313 where $\{\psi_{(x,y,\theta)}\}_{(x,y,\theta)\in\mathbb{R}^2\times S^1}$ is the set of Gabor filters, $D \subset \mathbb{R}^2$. By means of this mechanism, we mimic 314 the orientation selectivity process, i.e. the short-range or intracortical connectivity, as described in the 315 experimental works of Hubel and Wiesel (Hubel & Wiesel, 1962). In the Hering and Zöllner illusions, the 316 maximum output among all possible instances of orientations is given by $E(x, y, \theta) = ||O(x, y, \theta)||$, i.e. the 317 Energy, or Complex module of the output presented in Equation (4). This output is specific for line 318 detection, therefore $\theta \in [0, \pi)$. In the Poggendorff illusion, the output has the following expression:

$$P(0) = P(x, y) = \operatorname{Re}(O(x, y, \theta))^{2} + \operatorname{Im}(O(x, y, \theta)),$$
(5)

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where again $O(x, y, \theta)$ is the output of simple cells defined in Equation (4). This formulation facilitates the detection of both the presence of lines, thanks to the contribution of the Real part in Equation (2) and the presence and polarity of contours thanks to the term in Equation (3). Therefore $\theta \in [-\pi, \pi)$. P(x, y) is then normalized and shifted to positive values, obtaining the following external cost *C*:

$$C(0) = C(x, y) = \frac{c + P(x, y)}{\sqrt{c + P(x, y)^2}},$$
(6)

where *c* is a suitable positive constant. Both the Energy $E(x, y, \theta)$ and the external cost C(O) simulate the intracortical connectivity of V1/V2.

326 Once every simple cell in the features space $\mathbb{R}^{2\times}S^{1}$ has been assigned with an output by means of 327 the simulated intra-cortical connectivity ($E(x, y, \theta)$ and C(O)), the next step is to explain how long-range 328 horizontal connections, i.e. connections between cells of approximately *the same orientation* belonging to 329 different hypercolumns (and by extension responding to different regions of the visual field) is taken into

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account by the model. Citti and Sarti (Citti & Sarti, 2006) proposed to endow the $\mathbb{R}^2 \times S^1$ features space with

a sub-Riemannian metric, which allows us to weigh differently the direction of propagation of the long

range connectivity of V1 and V2. In (B. Franceschiello et al., 2019; Benedetta Franceschiello et al., 2017)

333 the model presented in (Citti & Sarti, 2006) is extended to take into account how the long-range connectivity

is polarized by the output of simple cells. As the nature of the illusions is different, two different approaches

are proposed, as detailed in (B. Franceschiello et al., 2019; Benedetta Franceschiello et al., 2017).

336 *Hering and Zöllner illusions.* A connectivity metric tensor is defined by combining a long-range 337 connectivity metric in $\mathbb{R}^2 \times S^1$ with the output $E(x, y, \theta)$, for every orientation instance of the hypercolumn, 338 as follows:

$$\frac{E(x, y, \theta)}{\int_0^{\pi} E_{(x, y, \theta)} d\theta} \begin{pmatrix} \cos^2 \theta & \sin \theta \cos \theta \\ \sin \theta \cos \theta & \sin^2 \theta \end{pmatrix}.$$
(7)

The second part of the expression represents a 2-dimensional connectivity metric tensor along the directions $\{\partial_x, \partial_y\}$, in the roto-translation group coordinate system. Summing up (integrating) the contribution along the hypercolumn we obtain a 2-dimensional deformation tensor with principal eigenvector along the orientation $\overline{\theta}$, corresponding to the maximum output of the energy within the hypercolumn:

$$p(x,y) = \alpha^{-1} \frac{\int_0^{\pi} E(x,y,\theta) \left(\cos^2 \theta & \sin \theta \cos \theta \right) d\theta}{\int_0^{\pi} E(x,y,\theta) d\theta}, \ \alpha \in \mathbb{R}$$

(8)

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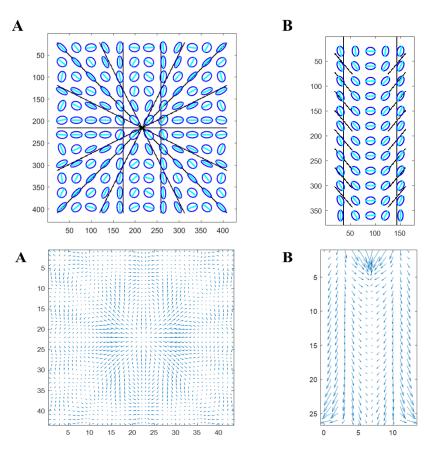


Figure 2. (Top row) Representation of p. In blue, representation of a tensor field. In cyan, the eigenvector corresponding to the principal eigenvalue. **A.** Example of one stimulus of the Hering illusion (7 background lines and 4cm distance between vertical lines). **B.** Example of one stimulus of the Zöllner illusion (10 inducers and 65° for the inducer's angle). (Bottom row) Computed displacement field \bar{u} . **A.** Example of one stimulus of the stimuli of the Hering illusion (7 background lines and 4cm distance between vertical lines). **B.** Example of one stimulus of the Zöllner illusion (10 inducers and 65° for the inducer's angle).

343 By plugging in the components of the inverse matrix of p(x, y) inside the following equation:

$$\begin{cases} \Delta u_1 = \frac{\partial}{\partial x_1} p_{11} + 2 \frac{\partial}{\partial x_2} p_{12} - \frac{\partial}{\partial x_1} p_{22} & \text{in } D \quad (9) \\ \Delta u_2 = \frac{\partial}{\partial x_2} p_{22} + 2 \frac{\partial}{\partial x_1} p_{12} - \frac{\partial}{\partial x_2} p_{11} \\ \frac{\partial}{\partial n} u_1 = 0 & \text{in } \partial D \\ \frac{\partial}{\partial n} u_2 = 0 & \text{in } \partial D \end{cases}$$

it is possible as detailed in (Benedetta Franceschiello et al., 2017) to recover the displacement field $\bar{u} = (u_1, u_2)$, see Figure 2 (by solving (9)). The differential equation introduced in the formula before approximates the connectivity patterns between different hyper-columns as some diffusive propagation of single cells responses, complementing the intra-cortical connectivity patterns.

348 Poggendorff illusion. The basic idea presented in (B. Franceschiello et al., 2019) is to provide a natural 349 environment for this type of illusion by means of geometrical elements and to model the perceptual curves

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- as length minimizers of a cortical metric. Starting from the sub-Riemannian metric in (Citti & Sarti, 2006),
- 351 we weigh the long-range connectivity considering the intra-columnar response P(0), see Equation (5), of
- 352 simple cells in V1/V2. The polarized metric becomes:

$$G = \begin{pmatrix} \frac{1}{C_{\xi}(O)} & 0\\ 0 & \frac{1}{C(O)} \end{pmatrix},$$

(10)

353 where $C_{\xi}(O) = \xi^2 C(O)$, C(O) is defined in Equation (6). The top left term weights the \mathbb{R}^2 component of the 354 space while the bottom right the rotational S¹ component. $\xi > 0$ is a real parameter, therefore allowing to 355 modulate the anisotropy between the retinal (on \mathbb{R}^2) and the hypercolumnar (S¹) components. In the case of 356 the Poggendorff illusion, the reconstructed perceived misalignment is described by the minimizing geodesic 357 between two a priori known sets, obtained by fixing the point (x, y, θ) on the left side of the surface and 358 looking for another point (x_1, y_1, θ) on the right side of the surface, where we fix everything except for y_1 . 359 The illusory curves will be minimal with respect to the metric induced by the geometry of the primary 360 visual cortex, i.e. the deformation curves arise as geodesics of the metric G, Equation (10).

- Computation of sub-Riemannian distances in general is a very difficult problem (Montgomery, 2006). By
 introducing a Riemannian approximation of the metric, the problem becomes solvable and geodesics
 (perceptual curves) arise as solutions of the Riemannian Eikonal equation (Mirebeau, 2018; Sanguinetti et
 al., 2015).
- 365

366 Numerical Implementation. For the implementation of the mathematical models described above, we use 367 MATLAB ver. R2019a. The initial images used for the behavioral test were extracted and resized manually, 368 to optimize the computation time dependent on the size of the initial image. For the three illusions, the 369 original stimuli were images of size 2880x1800 pixels, first cropped along the horizontal and vertical 370 directions to obtain a square of size 1800x1800 pixels (Hering), a rectangle of 592x1267 pixels (Zöllner) 371 and a rectangle of 770x1540 pixels (Poggendorff). The three images were then scaled by a factor 4.18, 3.34 372 and 3.85, respectively, obtaining final images with sizes 430x430 pixels (Hering), 177x379 pixels (Zöllner) 373 and 200x400 pixels (Poggendorff). The resized images corresponding to the stimuli were convolved with 374 a set of Gabor filters (N=36 orientations for the Hering and Zöllner, N = 32 for the orientation of lines 375 extraction in the Poggendorff illusion and N=62 for the surface contour orientation extraction).

- 575 extraction in the Poggendori i musion and N=62 for the surface contour orientation extraction).
- The number N of orientations stated above was computed as equally spaced steps within the two intervals, the latter being $\theta \in [0, \pi)$ (for the Hering, the Zöllner and the Poggendorff) and $\theta \in [-\pi, \pi)$ (for the
- 378 Poggendorff), depending on the features extracted (i.e. lines or contours as detailed above).

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379 The implementation of the Gabor filters is the one presented in (Petkov & Subramanian, 2007), setting the 380 required parameter $\lambda = 0$. According to Daugman (Daugman, 1985), Gabor filters simulate the receptive 381 field profiles of simple cells in V1/V2 responsible for the orientation tuning. The size of the Gabor patch, 382 i.e. the dimensions of the filter windows in pixels, was varied across illusions by tuning σ . The 383 of implementation Gabor filters in Matlab is available in http://www.cs.rug.nl/~imaging/spatiotemporal Gabor function/GaborKernel3d.m 384 (Petkov & 385 Subramanian, 2007). The Gabor filters' parameters were fixed for all presentations of the same illusion: $\gamma = 0.5$; $\overline{b} = 0.56$; $\sigma = 7.84$ for the Hering; $\gamma = 0.5$; $\overline{b} = 0.56$; $\sigma = 11.2$ for the Zollner; $\gamma = 1$; $\overline{b} = 0.56$; $\sigma = 11.2$ for the Zollner; $\gamma = 1$; $\overline{b} = 0.56$; $\sigma = 11.2$ for the Zollner; $\gamma = 1$; $\overline{b} = 0.56$; $\sigma = 11.2$ for the Zollner; $\gamma = 1$; $\overline{b} = 0.56$; $\sigma = 11.2$ for the Zollner; $\gamma = 1$; $\overline{b} = 0.56$; $\sigma = 11.2$ for the Zollner; $\gamma = 1$; $\overline{b} = 0.56$; $\sigma = 10.56$; σ 386 0.56; $\sigma = 3$ for the orientation of lines extraction in the Poggendorff; and $\gamma = 1$; $\bar{b} = 0.56$; $\sigma = 7$ for the 387 388 orientation of contours extraction in the Poggendorff. These parameters were fixed based on previous 389 computational studies in accord with a qualitative reconstruction of the percept (B. Franceschiello et al., 390 2019; Benedetta Franceschiello et al., 2017). In the Hering and Zöllner illusion a constant c = 0.037, 0.03391 respectively scales the displacement vector fields $\overline{u} = (x_1, x_2)$ once applied to the initial image to reconstruct 392 the percept. In the Poggendorff experiment, the parameter ξ – which modulates the anisotropy between the 393 two direction, $\xi \Delta x_1 = \Delta \theta$, where Δx_1 , $\Delta \theta$ are the discretization steps along x_1 and θ - is chosen based on the 394 entry angle for the transversal line and the width of the central surface. The geodesics are computed through 395 the Sub-Riemannian Fast-Marching, as implemented in (Sanguinetti et al., 2015). The parameter ϵ indicates 396 the Riemannian approximation and in our experiments is set equal 0.1. Table 1 shows the values of ξ for 397 the presented experiments.

398

Central Width	Crossing Line Angle	٤
	30°	30
2 cm	60°	13
	90°	10
4 cm	30°	30
	60°	13
	90°	10
6 cm	30°	30
	60°	20
	90°	17

399

400 *Bias calculation in the computational results.* The computational model, once tuned, was fitted with the 401 outcome of the behavioral results according to the variable of interest of the three detailed geometrical 402 optical illusions. As our goal is to compare the results obtained in the behavioral experiments with the

Table 1. Chosen values for ξ

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403 resulting biases of the computational protocol, the definitions of bias are the same as those given in the 404 behavioral bias paragraph. However, the way the bias is extracted in the computational case is clearly 405 different, but it has been standardized in order to reduce any variability induced by a manual extraction of 406 the measures.

407

408 *Computational bias Hering illusion.* To recall the definition, the bias is the horizontal distance between the 409 straight vertical line of the neutral configuration and the curve computed by the model. To calculate it in a 410 standardized way, we extracted the distance between point 1 and 2, see Figure 3 (A) for reference, which 411 corresponds to the value in pixels of the displacement vector field $\bar{u} = (u_1, u_2)$ computed at point 1, 412 multiplied by the constant c = 0.037, see *Numerical Explanation section*.

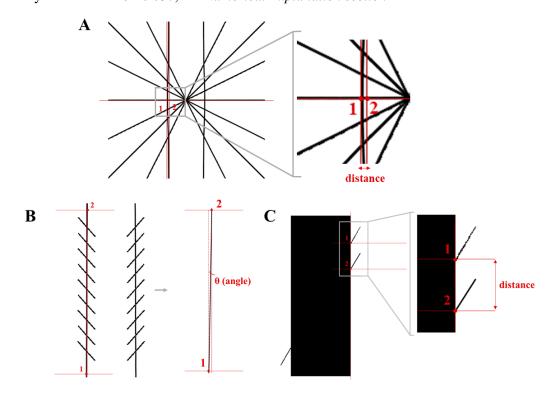


Figure 3. Bias calculation for the computational modelling. 1 and 2 indicate the two reference points for the bias calculation. **A.** Hering illusion. The bias corresponds to the distance between the original vertical line position and the tangent in the point of the maximal curvature. **B.** Zöllner illusion. The bias refers to the angle rotation (θ) of the modelled line. **C.** Poggendorff illusion. The bias is the distance along the right side of the central surface between the initial position of the right segment line and the modelled one.

- 413 Computational bias Zöllner illusion. The bias refers to the angle created between the modelled vertical line
- 414 and the original parallel one. To calculate the angle, we choose two points in the final image: the first one
- 415 on the bottom of the left of the left perceptual reconstructed line (x_1, y_1) , and the second on the top of the
- 416 same line (x_2, y_2) , see Figure 3 (B). The rotation angle is computed as atan $(\frac{x_2 x_1}{y_2 y_1})$. The result is then
- 417 converted from radians to degrees.

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418 *Computational bias Poggendorff illusion.* The bias for Poggendorff is again calculated as the vertical 419 distance between the reconstructed segment corresponding to the perceptual alignment and the neutral 420 configuration (in which the left and right segments are aligned). The computational bias then results as the 421 difference in pixels between the original y-coordinate of the physically aligned segment and the y-422 coordinate of the segment obtained through our algorithm (Figure 3 (C)).

423 Tuning the computational model through behavioral results

424 As described in the numerical implementation section, the computational models used to simulate the 425 perceptual behavior of the visual cortex that generates the perception of geometrical optical illusions depend 426 on a series of parameters. Such parameters do have an interpretation from the neurophysiological standpoint 427 and therefore impact the fitting of the computational curves with the behavioral ones. By tuning the 428 computational models, we refer to the practice of iteratively modifying these parameters in a fashion that 429 would lead to optimally fit the computational biases with the behavioral ones. This practice is particularly 430 advantageous in the case of neural-based models, like in our case, i.e. those models that depend on 431 parameters characterizing low-level visual processes, including simple-cell feature extraction and long-432 range connectivity.

433

434 Comparison between computational and behavioral biases

435 The computational results were then compared with the behavioral ones to tune the model and evaluate its 436 prediction. Different sets of initial parameters for the size of receptive fields (encoded by the σ of the Gabor 437 filter) were tried before choosing those described in the Numerical implementation subsection. The final 438 value of σ was chosen as the instance maximizing the fitting between computational and behavioral curves 439 (minimizing the error), and remained fixed across different conditions of the same illusion. The comparison 440 between computational and behavioral biases of the Zöllner illusions was straightforward, as the compared 441 variables are both in degrees. To ensure the same comparison between the distances extracted in the Hering 442 and Poggendorff illusions, the bias is expressed in percentages; in the Hering illusion as $\frac{Bias}{Length_of_the_positive_x_semi_axis} \cdot 100\%$; in the Poggendorff illusion is computed as $\frac{Bias}{Total_size_rectangle}$ 443 444 100%. In this way, the behavioral measures extracted in cm become comparable with the computational 445 measures in pixels.

- 446
- 447 **Results**

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448 **Behavioral results**

- 449 Results of the psychophysical experiments are shown in Figure 4. Biases were calculated as detailed in
- 450 Sect. 2.1.4. The average size of the illusion (bias) for each task was submitted to a 3 × 3 repeated measures
- 451 ANOVA (see 2.1.4), as the distribution of the data was Gaussian. Reported F and p values reflect
- 452 Greenhouse-Geisser correction for non-sphericity when necessary.

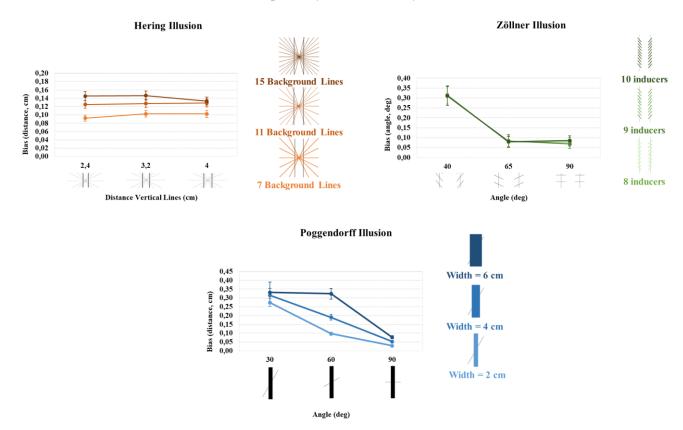


Figure 4. Behavioral Results. Hering illusion: Mean sizes of illusion for the three different number of background lines are shown as a function of the three distances between the two vertical lines. Error bars: standard error of the mean. Zöllner illusion: Mean biases (in absolute value) for the three different number of inducers are shown as a function of the three levels of angles. Error bars: standard errors. Poggendorff illusion: Mean sizes of illusion (in absolute value) for the three levels of the central surface are shown as a function of the three levels of angles. Error bars: standard errors.

- 454 *Hering illusion*. There was a main effect of the number of radial lines (F (1.54, 44.63)=121.997, p<0.001,
- 455 $\eta_p^2 = 0.808$), indicating that the participants' bias increased as the number of radial lines increased: the more
- 456 the radial lines, the larger the bias. 7 lines (average bias = 0.0992), 11 lines (average bias = 0,1272), 15
- 457 lines (average bias = 0,1417). No significant effect of the distance between the vertical lines was observed
- 458 (F (1.29, 37.42)=0.8, p=0.4). However, there was a significant interaction between the number of radial
- 459 lines and the distance between the vertical lines (F (2.9, 84.17)=5.79, p<0.01, $\eta^2_p=0.166$). Bias was
- 460 significantly above 0 in all conditions (Hering: $t(29) \ge 12.30$, p < .001). Inspection of the results suggests
- that whereas for the medium number of lines (11), the distance between the vertical lines has no effect on

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462 the bias, for both the 7 lines and the 15 lines conditions distance affects the bias but in opposite ways. Post-463 hoc one-way ANOVAs that examined the effect of distance for each line condition, separately, confirmed 464 these observations. Specifically, no effect of distance was found for the 11 lines condition. In contrast, a significant effect of distance was found for both the 7 lines condition (F(1.34, 38.91)=5.46, p=0.01, 465 466 $\eta^2_p=0.16$) and the 15 lines condition (F(1.49, 43.2)=3.45, p=0.05, $\eta^2_p=0.106$). In the case of the 7 lines 467 condition, participants' bias was significantly smaller for the 2.4cm distance condition (bias=0.0923) 468 compared to both 3.2cm (bias =0.1025) and 4cm (bias =0.1027) (p=0.01) (Table 2). Finally, in the case of 469 the 15 lines condition, participants' bias was found to be significantly smaller for the largest distance 470 condition (bias=0.1332) compared to the medium distance condition (bias=0.1464) (p<0.05) (Table 2). 471 The bias for the smallest distance condition was very similar to the medium distance one (bias=0.1455) 472 (Table 2).

Radial lines	Vertical lines distance	Averaged behavioral bias (distance, cm)	Standard error of behavioral bias	Predicted computational bias (distance, pixels)
	2.4 cm	0.1455	0.0107	2.41
15 lines	3.2 cm	0.1464	0.0107	2.51
	4 cm	0.1332	0.0096	3.01
	2.4 cm	0.1253	0.0088	2.83
11 lines	3.2 cm	0.1273	0.0100	2.76
	4 cm	0.1288	0.0093	3.19
	2.4 cm	0.0923	0.0073	3.08
7 lines	3.2 cm	0.1025	0.0081	2.70
	4 cm	0.1027	0.0083	2.85

473 *Table 2* Behavioral and computational results for Hering illusion. Average bias and standard errors are shown per stimulus

474 *Zöllner illusion*. There was a significant main effect of inducer angle on the measured bias (F (1.18, 475 34.29)=105.309, p<0.001, η_p^2 =0.784), indicating that the illusion size is larger when the inducers' angle is 476 40° (average bias=-0.312°) compared to both 65° (average bias=0.081°) and 90° (average bias=0.078°) (see 477 for comparison Table 3). Neither the effect of the number of inducers (F (2, 58)=0.384, p=0.683, η_p^2 =0.013) 478 nor the interaction between the two factors (F (4, 116)= 0.486, p=0.746, η_p^2 =0.013) were significant. Bias 479 was significantly above 0 when lines inclination was 90° (t(29) >= 3.02, p < .005) and 65° (t(29) >= 2.56,

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480 p < .016; stats for 8,9,10 lines). In the illusory conditions - i.e. when lines inclination was 40° - bias was

481 below 0 (t(29) \leq -6.48, p \leq .001), Table 3.

Number inducers	Inducers' angle	Averaged behavioral bias (deg) Standard error of behavioral bias		Predicted bias computational (deg)
	40°	-0.3108	0.048	0.3918
10 inducers	65°	0.0806	0.027	0.0953
	90°	0.0858	0.024	0.0000
9 inducers	40°	-0.3110	0.047	0.2899
	65°	0.0838	0.033	0.0957
	90°	0.0686	0.023	0.0000
	40°	-0.3156	0.046	0.3940
8 inducers	65°	0.0779	0.028	0.1942
	90°	0.0785	0.022	0.0000

482 *Table 3* Behavioral and computational results for Zöllner illusion. Average bias and standard errors are shown per stimulus.

483 Poggendorff illusion. There was a significant main effect of the rectangle's width on the measured bias (F 484 (1.5, 33.3)=13.319, p=0.001, $\eta^2_p=0.315$). Participants' bias increased as the rectangle's width increased: 485 2cm (average bias = -0.114cm), 4cm (average bias = -0.151cm), 6cm (average bias=-0.193cm). A 486 significant effect of the angle of the line segments was also observed (F (1.4, 40.87)=67.167, p<0.001, 487 $\eta^2_p=0.698$). The smaller the angle, the larger the bias: 30° (average bias =-0.307cm), 60° (average bias=-488 0.204 cm), and 90° (average bias=0.053 cm). Furthermore, the interaction between these two factors was 489 also significant (F(1.98,57.56)=19.193,p<0.001, η^2_p =0.398). Bias was significantly above 0 in control 490 conditions (i.e., 90° ; t(29) >= 6.67, p < .001) and significantly below 0 in the illusory conditions (i.e. 30° 491 and 60° , t(29) <= -5.52, p < .001). Inspection of the results suggested that the width of the rectangle affected 492 participants' bias in the 60° and 90° angles condition. Post-hoc one-way ANOVAs that examined the effect 493 of rectangle's width for each angle condition separately demonstrated that whereas the rectangle's width 494 had no effect on the participants' bias in the 30° angle, in both 60° and 90° , rectangle's width significantly 495 affected the bias (F(1.13, 32.82)=63.34, p<0.001, η^2_p =0.686 and F(1.13, 32.85)=68, p<0.001, η^2_p =0.701, 496 respectively). In both 60° and 90° conditions, participants' bias increased as the rectangle's width increased 497 (Table 4). In 60° : 2cm (bias = -0.098cm), 4cm (bias = -0.190cm), 6cm (bias=-0.324cm), all significantly

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- 498 different from each other (p<0.001); and in 90°: 2cm (bias=0.029cm), 4cm (bias=0.052cm), 6cm
- 499 (bias=0.076cm), all significantly different from each other, p<0.001, Table 4.

500

Central width	Crossing line angle	Averaged behavioral bias (distance, cm)	Standard error of behavioral bias	Predicted bias (distance, pixels)
	30°	-0.3310	0.0599	60
6 cm	60°	-0.3242	0.0305	12
	90°	0.0765	0.0091	0
	30°	-0.3153	0.0376	36
4 cm	60°	-0.1904	0.0164	6
	90°	0.0524	0.0064	0
	30°	-0.2742	0.0231	18
2 cm	60°	-0.0976	0.0088	6
	90°	0.0288	0.0043	0

501 Table 4. Behavioral and computational results for Poggendorff illusion. Average bias and standard errors are shown per stimulus

502

503 Computational model results

504 Hering illusion. Predicted biases for this illusion are shown in Table 2. An example of a constructed percept 505 is shown in Figure 6. Predicted biases were calculated as detailed in the Methods section. For 15 radial 506 lines, the bias increases as the distance between the two vertical lines does: 2.4cm (bias = 2.41 px), 3.2cm 507 (bias = 2.51 px), 4cm (bias = 3.01 px). In the 11 background lines condition, the computed bias is similar 508 for the 2.4 cm and 3.2 cm conditions: 2.83 px and 2.76 px, respectively; for the 4 cm case the bias is 3.19 509 px. For 7 radial lines, the bias oscillates around the same values: 2.4 cm (bias = 3.08 px), 3.2 cm (bias = 2.70510 px), 4 cm (bias = 2.85 px). The computed bias slightly increases as the number of radial lines passes from 511 7 to 11: 7 lines (average bias = 2.88 px), 11 lines (bias = 2.93 px). It decreases in the 15 lines example (bias 512 = 2.64 px). The distance between the two vertical lines seems to play a role on the predicted bias in the

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- 513 computational approach when the distance passes from 3.2 to 4 cm: 2.4 cm (average bias = 2.77 px), 3.2 cm
- 514 (average bias = 2.65 px), 4cm (average bias = 3.01 px).

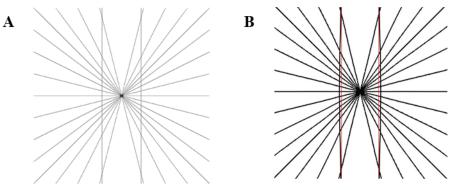


Figure 6. Hering stimuli: 15 background lines and 4 cm of distance between the vertical lines. **A.** Original stimulus. **B.** Constructed stimulus. In red, the two original vertical lines are shown, obtained with c = 0.02.

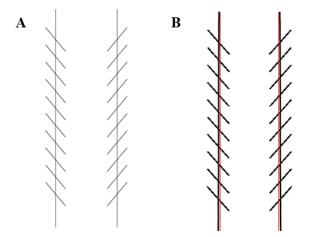


Figure 5. Zöllner stimuli: 10 inducers of 40°. **A.** Original stimulus. **B.** Constructed stimulus. In red, the two original vertical lines are shown

515Zöllner illusion. Computed biases for this illusion are shown in Table 3. An example of a constructed516percept is shown in Figure 5. For 10 inducers, the bias decreases from the 40° condition to the 65° condition:517 40° (bias=0.3918°), 65° (bias = 0.0953°); and it goes to zero in the 90° condition. For 9 inducers, the bias518decreases from the 40° condition to the 65° condition. In the case of angle of the inducers equal to 40°, the519computed bias is 0.2899°, for 65° is 0.0957°. It goes to 0° when the angle is 90°. For 8 inducers, the bias is5200.3940° when the angle of inducers is 40° and it decreases to 0.1942° when the angles are 65°, to 0° when521the angle is 90°.

522 There is a clear relation between the computed bias and the angle of the inducers, since the illusory bias

523 increases when the inducers' angle decreases from 40° (average bias = 0.36°) to both 65° (average bias =

524 0.1284°) and 90° (average bias =0.0000°). However, there is no clear relation between the bias and the

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525 number of inducers on the predicted bias: 10 inducers (average bias = 0.16°), 9 inducers (average bias = 526 0.13°), 8 inducers (average bias = 0.1961°).

527 Poggendorff illusion. Modelled biases for this illusion are shown in Table 4. An example of a constructed 528 percept is shown in Figure 7. For a central surface width of 6 cm, the bias decreases as the angle increases: 529 30° (bias = 60 pix), 60° (bias = 12 px), 90° (bias = 0 px). For the 4 cm width condition, the same happens: 530 30° (bias = 36 px), 60° (bias = 6 px), 90° (bias = 0 px). And as well for the 2 cm width: 30° (bias = 18 px), 531 60° (bias = 6 px), 90° (bias = 0 px). Comparing the predicted biases based on the central surface width, the 532 bias increases as the width of the rectangle increases: 2 cm (average bias = 8 px), 4 cm (average bias = 14533 px), 6 cm (average bias = 24 px). Whereas, when the angle of the crossing line is considered, the bias 534 decreases when the angle increases: 30° (average bias = 38 px), 60° (average bias = 8 px), 90° (average

535 bias = 0 px).

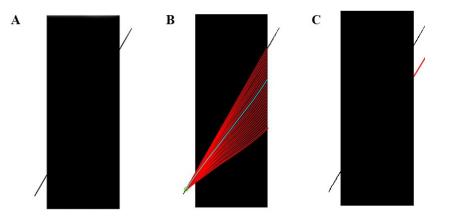


Figure 7. Poggendorff stimuli: 30° for the crossing line angle and 6 cm of central surface width. **A.** Original stimulus. **B.** Computed geodesics. The perceptual curve is shown in cyan. **C.** Constructed stimulus. In red, the computed percept

536 Comparison behavioral and computational results

The comparison between the results of the two approaches: behavioral and computational results are shown in Figure 8, Figure 9 and Figure 10, listed in Table 5, Table 6 and Table 7, and detailed by geometrical optical illusion (Hering, Zollner and Poggendorff, respectively. B stands for behavioral curve, C for computational and D for difference curve in the figures). A comprehensive 3D visualization of the differences between behavioral and computational biases across illusion is reported in Figure 11. The comparison refers to the difference between the tuned computational model, as detailed in the corresponding Methods section, and the behavioral results. An example of not-fitted computational curve

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- 544 is given for the Hering illusion (c = 0.017) in Figure 8, where it is clearly depicted how the behavioral
- 545 values serve as benchmarks to run multiple simulations of the computational model until an optimal fitting
- 546 is reached (c = 0.037). In the Hering illusion, the difference curves are flat and differ overall by less than
- 547 0.50% (which represents about 30% of the maximum perceived bias), with the best fit achieved in the 11

Hering Illusion - Comparison

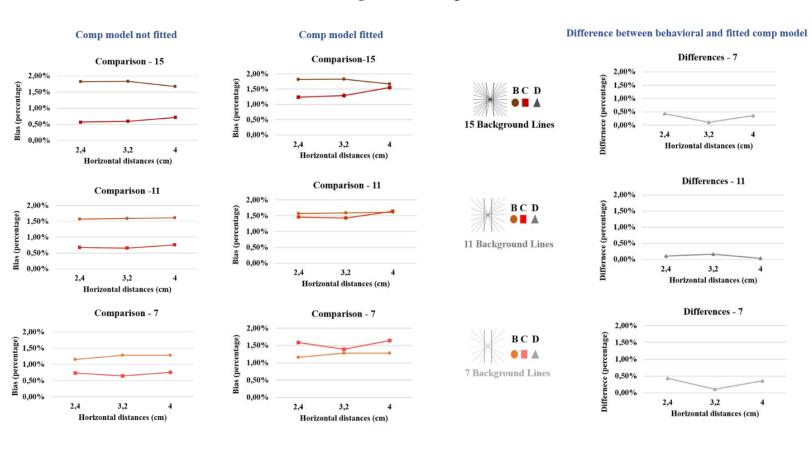


Figure 8. Comparison computational and behavioral results for the Hering illusion. Left block: Comparison of the behavioral and computational biases, in the left column the computational model does not fit the behavioral results (c = 0.017) while in the right column of the block it does (c = 0.037). In the computational cases – values for the predicted bias for the different number of background lines are shown as a function of the three distances between the two vertical lines. In the behavioral – mean sizes of illusion for the three different number of background lines are shown as a function of the three distances between the two vertical solutions. Values for the differences between the observed bias and the predicted bias for the different number of background lines are shown as a function of the three distances between the two vertical lines. Values for the differences between the two vertical lines are shown as a function of the three distances between the two vertical lines. In the behavioral of the three distances between the observed bias and the predicted bias for the different number of background lines are shown as a function of the three distances between the two vertical lines. Right: Differences between the two vertical lines.

- 548 lines case. Both of the computational models used here to mimic the perceptual behavior rely on the
- 549 simulation of neurophysiological processes such as single cells responses and long-range connectivity in
- 550 the visual areas. These models deal with different GOIs in a similar fashion to how the human visual system

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551 responds, making the differences across GOIs look similar for human behavior and computational

552 modeling.

0,40 0,40 0,35 Differences (angle, deg) 0,35 0,30 Bias (angle, deg) 0,30 0,25 0,25 BCD 0,20 0,20 0,15 0,15 0,10 10 inducers 0,10 0,05 0,05 0,00 0,00 65 Angle (deg) 40 90 65 Angle (deg) 40 90 0,40 0,40 Differences (angle, deg) 0,35 0,35 0,30 0,30 Bias (angle, deg) 0,25 0,25 BCD 0,20 0,20 0,15 0,15 9 inducers 0,10 0,10 0,05 0,05 0,00 0,00 40 65 Angle (deg) 90 40 90 65 Angle (deg) 0,40 0,40 Differences (angle, deg) 0,35 0,35 0,30 0,30 BCD Bias (angle, deg) 0,25 0,25 0,20 0,15 0,20 0,15 8 inducers 0,10 0,10 0,05 0,05 0,00 0,00 65 Angle (deg) 65 Angle (deg) 40 90 40 90

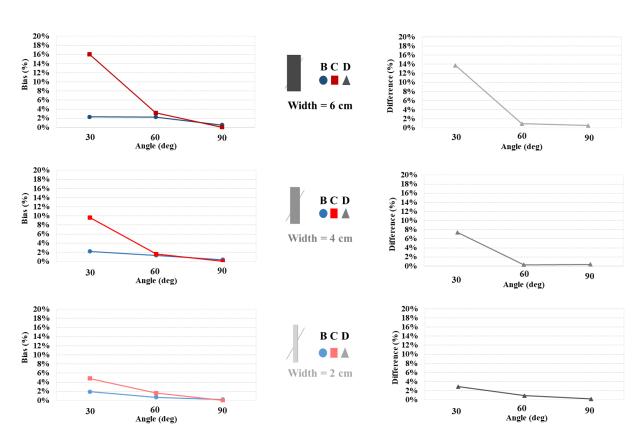
Zöllner Illusion - Comparison

Figure 9. Comparison computational and behavioral results for the Zöllner illusion. Left: Comparison of the behavioral and computational biases. Computational – Absolute values for the predicted bias for the three different number of inducers are shown as a function of the three levels of angles. Behavioral – Mean biases (in absolute value) for the three different number of inducers are shown as a function of the three levels of angles. Right: Differences between the behavioral and computational biases' values. Values for the differences between the observed bias and the predicted bias for the different number of inducers are shown as a function of the three different number of inducers.

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Poggendorff Illusion - Comparison

Figure 10. Comparison computational and behavioral results for the Poggendorff illusion. Left: Computational – Absolute values for the predicter bias for the three different widths of the central surface are shown as a function of the three levels of angles. Behavioral – Mean sizes of illusion (in absolute value) for the three different widths of the central surface are shown as a function of the three levels of angles. Right: Differences between the behavioral and computational biases' values. Values for the differences between the observed bias and the predicted bias for the different widths of the central surface are shown as a function of the three different angles for the crossing line.

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559 560	Radial Lines	Vertical Lines Distance	Behavioral bias (percentage)	Computed bias (percentage)	Difference (percentage)
561		2.4 cm	1.82	1.24	0.58
562	15 lines	3.2 cm	1.83	1.29	0.54
563		4 cm	1.67	1.55	0.11
	11 lines	2.4 cm	1.57	1.46	0.11
564		3.2 cm	1.59	1.43	0.16
565		4 cm	1.61	1.64	0.03
566		2.4 cm	1.15	1.59	0.44
567	7 lines	3.2 cm	1.28	1.39	0.11
568		4 cm	1.28	1.64	0.36

Table 5. Behavioral and computational results for Hering illusion. The difference between the results of both approaches is shown in the last column. The biases are shown per stimulus.

569

0	Number Inducers	Inducers' Angle	Behavioral bias (deg)	Computed bias (deg)	Difference (deg - abs)
1		40°	0.3108	0.3918	0.081
2	10 inducers	65°	0.0806	0.0953	0.0147
72		90°	0.0858	0.0000	0.0858
73	9 inducers	40°	0.3110	0.2899	0.0211
74		65°	0.0838	0.0957	0.0120
75		90°	0.0686	0.0000	0.0686
576	8 inducers	40°	0.3156	0.3940	0.0784
		65°	0.0779	0.1942	0.1163
77		90°	0.0785	0.0000	0.0785

Table 6. Behavioral and computational results for Zöllner illusion (in absolute values). The difference between the results of both approaches is shown in the last column. The biases are shown per stimulus.

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580 581	Central Width	Crossing Line Angle	Behavioral bias (percentage)	Computed bias (percentage)	Difference (percentage)
		30°	2.32%	16%	13.78%
582	6 cm	60°	2.27%	3.21%	0.94%
583		90°	0.54%	0%	0.54%
584		30°	2.21%	9.63%	7.42%
585	4 cm	60°	1.33%	1.60%	0.27%
586		90°	0.37%	0%	0.37%
587		30°	1.92%	4.81%	2.90%
588 589	2 cm	60°	0.68%	1.60%	0.92%
590		90°	0.20%	0%	0.20%

Table 7. Behavioral and computational results for Poggendorff illusion (in absolute values). The difference between the results of both approaches is shown in the last column. The biases are shown per stimulus.

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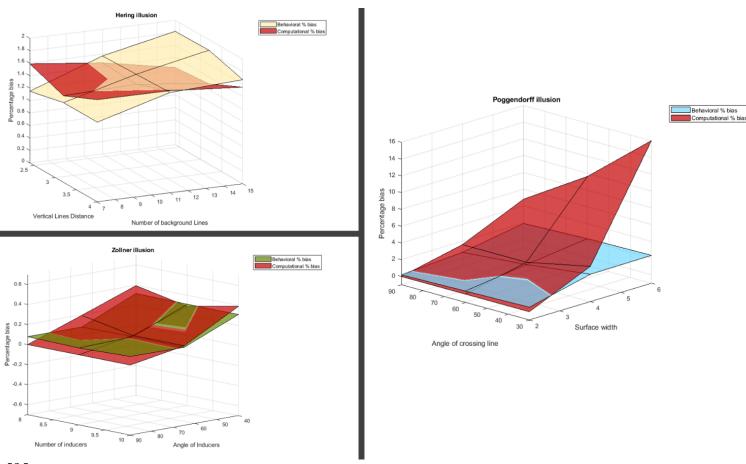




Figure 11. 3D visualization of the differences between behavioral and computational bias for each of the three illusions.

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598 Discussion and conclusions

599 Three different GOIs have been studied in the present work: the Hering, the Zöllner and the 600 Poggendorff illusions from psychophysical and computational standpoints. The recorded behavioral biases 601 for the three illusions served as a basis for tuning the parameters of the computational model in order to 602 obtain computational curves replicating numerically the behavioral biases. Our results provide a roadmap 603 whereby computational modelling, informed by human psychophysics, can reveal likely mechanistic 604 underpinnings of perception.

To the best of our knowledge, this was the first time these three illusions were behaviorally studied together and that a standardized definition of bias was quantified, with up-to-date experimental methods. The determinants of the biases in these three GOIs share an angular component given by the intersection of the foreground line (or surface) with radial lines, segments (inducers) or one crossing line (Hering: number of radial lines and distance between the vertical lines; Zöllner: the number and angle of inducers;

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610 Poggendorff: width of the central surface and the angle of the crossing line). This angular component seems 611 to reflect the intracortical connectivity of hypercolumns: neurons belonging to the same hypecolumn - and 612 spiking simultaneously - might be a first determinant of misperception (bias). Second, the distance between 613 lines (or the width of the surface) is depicted by the global integration of local selected features, therefore 614 being more representative of the way through which long-range connectivity represents visual stimuli.

615 With this in mind, our results indicate that for the Hering illusion, the number of radial lines does 616 influence the perception; more radial lines resulted in larger bias, in agreement with Holt-Hasen, 1961 617 (Holt-Hansen, 1961). A similar effect was observed for the Zöllner illusion: the inducers' angle had an 618 effect on the perceived bias, which increases as the intersect angle decreases, in agreement with 619 observations in Oyama, 1973 (Oyama, 1975). On the other hand, no significant main effect of distance 620 between the two vertical lines was reported in the Hering, only an interaction between the two variables 621 indicating that the distance was affecting participants' performance in the minimum and maximum radial 622 lines conditions but not the medium one. If we consider the perceptually more complex phenomenon of the 623 Poggendorff illusion, its determinants, i.e. the angle of the crossing line and the width of the central surface, 624 both had an influence on the perception of the illusion, in accordance with previous studies (Jones-Buxton 625 & Wall, 2001; Weintraub & Krantz, 1971). In fact, the participants' bias increased as the rectangle's width 626 increased, while the smaller the angle, the larger the bias. This seems to suggest that the presence of a 627 rectangle surface constitutes a much stronger determinant for the formation of long-range connections than 628 two straight lines (Hering illusion). Also, an interaction between the two factors (width and angle) at a 629 behavioral level was observed. It is also worthwhile to observe that in the behavioral results of the three 630 illusions, the observed bias is always significantly different from zero. This would indicate that participants 631 do not have a sharp "non-illusory" effect while tested. Instead, while observers do indeed have the 632 perceptual experience of what is not an illusion, there is nonetheless a slight bias reported. We did not find 633 a relationship between the sizes of the biases across the three different illusions: this suggests that the 634 described mechanisms (intracortical and long-range connectivity) contribute to a different extent to these 635 illusions.

The behavioral results served as a basis for tuning the computational models, i.e. iteratively exploring the set of parameters representing the intra-cortical (σ ; γ ; \bar{b} – Gabor filters parameters) and long-range connectivity (c; ξ) within the model to produce a computational bias matching the recorded behavior. Computational models have the unique value of enabling the *in-silico* exploration of the boundaries of the neurophysiological mechanisms modelled without the need of running an *in vivo* experiment to do so. Within the same illusions, all predicted (computational) biases have been computed by fixing the size of receptive profiles and the constant *c*, related to α^{-1} of Formula 8. The size of receptive

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643 fields both mediates for local interaction between simple cells belonging to the same hypercolumn, together 644 with playing the role of a subsequent level of visual cortices (V2), known to have larger receptive fields. A 645 fine tuning of the parameters detailed above helped to compromise between the contribution of intra-646 cortical connectivity and long-range connectivity: the need for large-size (σ) receptive fields has been 647 identified by means of the model, pointing out the likely contribution of higher-level visual processes such 648 as those in V2 and perhaps elsewhere (Murray & Herrmann, 2013b). The strength of neuro-geometrical 649 models give a clear and elegant framework to explain the cellular organization and construction of visual 650 percepts, which can be easily applied to other illusions, such as the Kanizsa triangle (Citti & Sarti, 2006) 651 or basic perceptual phenomena (line completion) (Favali, Citti, & Sarti, 2017).

652 The computational models replicated well the Zollner behavioral results as well as specific 653 conditions of both the Poggendorff and Hering illusions. Undoubtedly, the current neuro-geometrical 654 models despite the fact that they approximate the neurophysiological time features of long-range 655 connectivity, i.e. the speed at which such connections take place in the hypercolumnar structure of V1 and 656 V2, they cannot accomplish a perfect correspondence with neurophysiology. However, despite its 657 limitations, the computational model provides insight into the relevant neurological processes likely 658 underlying these illusions. Its effectiveness in predicting "spatial-related" phenomena such as the Zollner 659 illusion, where any effect of distance between the vertical lines seems to play a central role, indicates that 660 the main neural mechanism involved is this illusion is the intra-cortical connectivity of V1, which is thought 661 to transpire on a relatively fast timescale. On the other hand, it is also worthwhile to consider those 662 conditions that were poorly fitted in the Poggendorff illusion. Here, the effect of the width of the rectangle 663 seemed to produce a larger bias compared to the one obtained from the behavioral results. This suggests 664 that further investigating the temporal component of perception of these stimuli, (i.e. feedback mechanisms 665 from higher-level visual cortices into primary visual cortex), might improve our understanding of how 666 visual neuronal processes create such images. Our computational and behavioral results could therefore be 667 complemented by brain mapping and neuroimaging studies with high temporal resolution, such as EEG 668 (Biasiucci, Franceschiello, & Murray, 2019).

A limitation of the computational modelling implemented here is that it was not possible to generate variability in performance that is similar to the inter-individual variability observed in human participants. This could be artificially generated via Monte-Carlo simulations able to automatically generate a variance around the Gabor filters' parameters or the long-range connectivity parameters. However, this would presuppose that the parameters affecting Gabor filters or long-range connectivity are the only factors causing the illusory effects.

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To conclude, the present study combining a carefully designed behavioral and computational paradigms highlights the likely neurophysiological mechanisms e.g. intra-cortical connectivity and longrange connectivity in V1/V2 implicated in the Hering, Zollner and the Poggendorff illusions and provides a roadmap for future studies using psychophysical data to tune computational models.

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- 686

687 Authors contributions

- C.R., M.M.M. and B.F. conceptualized the problem. N.N. contributed to the development of the behavioral
 experiment including implementation of software for rendering the illusions and collecting behavioral data.
 C.R. and B.F. tested the protocol and collected the data. L.R. provided the mathematical formulation for
- the bias in the Hering illusion, as described in the appendix, and the formal analysis of the phenomena. C.R.
- 692 performed the behavioral analysis. A.H.A. performed the computational analysis, elaborated the graphs and
- 693 performed the behavioral versus computational comparison, under C.R. and B.F.'s supervisions. C.R.
- 694 M.M.M. and B.F. drafted the manuscript, and all authors contributed to internal review.
- 695

696 **Competing interests**

- 697 None of the authors has competing interests with the present study.
- 698

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- 702

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818 Appendix

819 A) Mathematical expression for the curves manipulated manually by the participant.

In the Hering illusion the stimulus consists of a pair of vertical straight lines, on top of a collection of straight lines passing through a central point. The observer perceives the straight vertical lines as slightly curved away from the central point in the horizontal direction. Our goal is to identify an equation for the perceived curve that can be manipulated within an experimental design.

This illusion has some clear symmetries, namely flipping across the vertical axis or across the horizontal axis does not change anything. Therefore we can reduce our consideration to the top right quadrant and

then extend by reflection.

In this quadrant we will consider curves leaving a fixed point on the top edge and reaching the bottom edge at a variable point and being orthogonal to both edges at the intersection point. The first point and the tangent directions are fixed, but the position of the second point is what makes the curve deviate from the neutral configuration (i.e. when the curves are vertical straight lines). The subjects can choose the position of the second endpoint until it matches to what they perceive as straight, given an initial offset (see Methods

832 section).

Since we are imposing three fixed conditions (one control point and two tangent directions), we need a family of curves with at least four degrees of freedom; three degrees are fixed by these three conditions, and the subject has one degree of freedom to play with. The easiest such family is given by the algebraic curves defined by polynomials of degree at most three and real coefficients (this family is a real vector space of dimension 4; lower degree would not give us enough flexibility; higher degree would result in extra degrees of freedom).

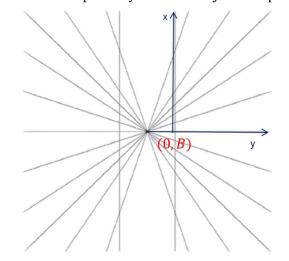
839 In order to set up our computations, let us choose the vertical axis as the x-axis, oriented upwards, and the

840 horizontal axis as the y-axis, oriented to the right. For simplicity, we are going to align the x-axis along the

841 right vertical straight line in the neutral configuration. In this way the curves we care about will intersect

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- 842 the y-axis in points of the form (0,B) for some $B \in \mathbb{R}$, with B = 0 corresponding to the neutral
- 843 configuration, and they will intersect the x-axis at the endpoint (C,0), where C is half of the edge length of
- 844 the original picture, equal to 45% of the total screen height where the experiment was performed. While
- 845 the parameter C is fixed, the value of B is precisely what the subject manipulates.



846

847 The equation for such a polynomial of degree 3 in these coordinates is given by 848

849
$$y = f_B(x) = \frac{2B}{C^3}x^3 - \frac{3B}{C^2}x^2 + B = \frac{2B}{C^3}(x^3 - \frac{3C}{2}x^2 + \frac{C^3}{2})$$

850

851 Once again, here C is a fixed quantity, while B is allowed to vary, describing the 1-parameter family of 852 curves we need. The choice of B is equivalent to some geometrically meaningful quantities, which can be 853 computed from the first and second derivatives.

854

855
$$f'_B(x) = \frac{2B}{C^3}(3x^2 - 3Cx), f''_B(x) = \frac{2B}{C^3}(6x - 3C)$$

856

857 For instance, notice that the coordinates of the unique point of flex of the above curves are

- 858
- $859 \qquad \qquad \left(\frac{C}{2}, \frac{B}{2}\right)$
- 860

and the tangent line at this point has equation

$$y = -\frac{3B}{2C}x + \frac{5B}{4}$$

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864 which means it has slope $\frac{-3B}{2C}$. To get another geometric parameter, notice that the curvature at the endpoints 865 can be computed in terms of the second derivative at those points, which is

866
$$|f_B''(0)| = |f_B''(C)| = \frac{6B}{C^2}$$

It should be remarked that in our setting $B \ll C$, so that both the slope and the curvature are essentially zero, hence not useful from the numerical point of view, even though they are a good theoretical measure of how far these curves are from the straight lines. To have a numerically useful parameter we stick therefore to B, namely the distance in cm (or bias) along the whole paper; we emphasize once again that the choice of B is equivalent to these geometric features.