1	TITLE

3	Identification and characterization of a new soybean promoter induced by
4	Phakopsora pachyrhizi, the causal agent of Asian soybean rust.
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29 ABSTRACT

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31 Background: *Phakopsora pachyrhizi* is a biotrophic fungal pathogen responsible for the Asian 32 soybean rust disease causing important yield losses in tropical and subtropical soybean-producing 33 countries. *P. pachyrhizi* triggers important transcriptional changes in soybean plants during infection, 34 with several hundreds of genes being either up- or downregulated.

35 **Results:** Based on published transcriptomic data, we identified a predicted chitinase gene, referred to 36 as *GmCHIT1*, that was upregulated in the first hours of infection. We first confirmed this early 37 induction and showed that this gene was expressed as early as 8 hours after P. pachyrhizi inoculation. 38 To investigate the promoter of *GmCHIT1*, transgenic soybean plants expressing the green fluorescence 39 protein (GFP) under the control of the GmCHIT1 promoter were generated. Following inoculation of 40 these transgenic plants with P. pachyrhizi, GFP fluorescence was detected in a limited area located 41 around appressoria, the fungal penetration structures. Fluorescence was also observed after mechanical 42 wounding whereas no variation in fluorescence of pGmCHIT1:GFP transgenic plants was detected 43 after a treatment with an ethylene precursor or a methyl jasmonate analogue.

44 **Conclusion:** We identified a soybean chitinase promoter exhibiting an early induction by *P*. 45 *pachyrhizi* located in the first infected soybean leaf cells. Our results on the induction of *GmCHIT1* 46 promoter by *P. pachyrhizi* contribute to the identification of a new pathogen inducible promoter in 47 soybean and beyond to the development of a strategy for the Asian soybean rust disease control using 48 biotechnological approaches.

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50 KEYWORDS: Soybean, Phakopsora pachyrhizi, induction, chitinase, promoter, GFP

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56 BACKGROUND

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58 Rusts are among the most damaging crop diseases, causing very severe losses in crop yield ¹. In 59 particular, Asian soybean rust is the most destructive foliar disease of soybean (Glycine max (L.) 60 Merr.) and is caused by the biotrophic basidiomycete fungus *Phakopsora pachyrhizi* Syd. & P. Syd². 61 Initially localized in Asia, P. pachyrhizi has spread across the world and reached the South American 62 continent in the 2000s, bringing important economic losses to soybean growers. Brazil, one of the 63 leading soybean-producing countries, is impacted by the disease each year. Highest damages on grain harvest between 2007 and 2014 reached 571.8 thousand tons, e.g., 6% of the national production 64 65 ³.Infection by *P. pachyrhizi* starts with the germination of uredospores on the soybean leaf, leading to the formation of an appressorium. From the appressorium P. pachyrhizi penetrates directly into the 66 epidermal cells of its hosts. Between 24 and 48 hours later, fungal hyphae colonized infected tissues 67 and haustoria are observed in the mesophyll cells. Approximately 5-8 days post infection, uredinia 68 appear on the abaxial side of the leaves and new urediniospores are released, leading to inoculation of 69 70 healthy plants through airborne spore dissemination ⁴. Symptoms are characterized by tan-coloured 71 lesions and chlorosis of the leaves. In the most severe cases, defoliation and quick maturation of 72 soybean with a reduction of seed size and weight can be observed in a few days after initial infection 5,6 73

74 Today, the control of *P. pachyrhizi* is essentially based on fungicidal treatments. Demethylation 75 inhibitors (DMIs) impairing sterol biosynthesis, as well as succinate dehydrogenase inhibitors (SDHIs) 76 and quinone outside inhibitors (QoIs), blocking mitochondrial respiration, are the most commonly used fungicides ^{7,8}. However, the repetitive use of molecules with these three modes of action and the 77 78 fungicide adaptation capability of the pathogen have resulted in a decrease in treatment efficacy ³. 79 Genetic resistance of soybean to P. pachyrhizi is well documented and could be seen as an alternative 80 to the use of pesticides. Thus far, seven dominant R genes, named Rpp1 to Rpp7, have been identified ⁹⁻¹³. However, these resistance genes are only effective against specific isolates of *P. pachyrhizi* ¹⁴ and 81 the resistance conferred by these genes can be easily overcome, making breeding solutions very 82 challenging ¹⁵. Today, no soybean cultivars resistant to most of the rust isolates are available. In this 83

context, biotechnological approaches are foreseen as alternative solutions to control Asian soybean
 rust ^{8,16}.

86 A common strategy in plant engineering for disease resistance is to overexpress a defence-related gene 87 placed under the control of a constitutive promoter. However, permanent and high ectopic expression of such a gene can impact the plant's fitness and development ¹⁷. These challenges can be overcome by 88 89 using a pathogen-inducible promoter allowing transgene expression only when and where it is needed. 90 The advantage of these regulated promoters is well illustrated by the expression of the multi-pathogen resistant gene Lr34res in barley ¹⁸. The Lr34res gene encoding an ATP-binding cassette (ABC) 91 92 transporter was originally identified in wheat as providing durable resistance to 3 wheat rusts 93 (Pucccinia triticina, P.striiformis, P.graminis) and the powdery mildew (Blumeria graminis f.sp. 94 tritici). This gene was successfully transferred in barley and conferred resistance against Puccinia 95 hordei and the powdery mildew Blumeria graminis f.sp. hordei. However, Lr34res expression controlled by its native promoter resulted in negative effect on plant growth and fitness ¹⁹. To avoid 96 97 these pleiotropic effects, Boni et al. (2018) developed transgenic barley expressing the Lrs34 res gene 98 placed under the control of the barley germin-like GER4 promoter, a pathogen inducible promoter ²⁰. 99 They observed that the negative pleiotropic effects were reduced compared to barley plants containing 100 the same gene placed under control of its native promoter. The composition of the pathogen-inducible 101 promoters has also to be considered since the promoter region may contain several *cis*-regulatory 102 elements such as binding sites for transcription factors and/or regulatory proteins. These elements that 103 regulate gene expression patterns can be activated by different stimuli ²¹. As a consequence, pathogen-104 inducible promoters are often induced by other stimuli such as wounding and/or hormones. Many pathogen-inducible promoters have been studied in different plants ^{20,22,23}, but very few have been 105 106 reported in soybean. For instance, GmPPO12 (Glyma04g14361) promoter controlling a polyphenol 107 oxidase has been found to be rapidly and strongly induced by *Phytophthora sojae* in transformed soybean hairy roots and two regions were identified as essential for promoter activity ²⁴. In addition, 108 109 Liu et al. (2014) discovered 23 cis-regulatory elements responsible for the induction of several genes by the soybean cyst-nematode Heterodera glycines ²⁵ and they proposed to consider them for synthetic 110 111 promoter engineering.

Plant responses to pathogen attacks involve the activation of a set of genes coding for different 112 113 proteins. Among them, pathogenesis-related (PR) proteins are produced and highly accumulated ²⁶. 114 Chitinases represent a subset of pathogenesis-related proteins. These enzymes that belong to families 18 and 19 of the glycosyl hydrolases²⁷, have the ability to randomly hydrolyse beta-1,4-glycoside 115 116 bonds of chitin, a major component of the fungal cell wall. The resulting chitin fragments act as a 117 potent pathogen-associated molecular pattern (PAMP) that induces PAMP-triggered immunity ²⁷. 118 Plant chitinases have also been shown to be implicated in the defence against insects; in response to abiotic stresses such as cold, drought or metal toxicity; and in plant development ^{28,29}. 119

120 In this publication, we report the identification and characterization of the soybean chitinase promoter pGmCHIT1 that we selected from a set of transcriptomic data^{30,31}. This promoter drives both early and 121 late overexpression of a chitinase encoding gene upon P. pachyrhizi infection. Its specificity to fungal 122 123 exposure versus activation by different hormonal and abiotic stress pathways was evaluated through 124 the generation of stable transgenic soybeans harbouring a pGmCHIT1:GFP fusion. Our study was 125 carried out on the *P. pachyrhizi* / soybean pathosystem, allowing induction of the promoter by the 126 pathogen in the crop of interest. To our knowledge, this is the first characterization of a soybean 127 promoter inducible by Asian soybean rust.

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130 **RESULTS**

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132 The soybean chitinase gene *GmCHIT1* is induced by Asian soybean rust

Several transcriptomic data on soybean gene expression during *P. pachyrhizi* infection have been generated and published. In 2010, Tremblay *et al.* used DNA array to analyse gene expression in the palisade and mesophyll cells infected by the pathogen. They identified 685 upregulated genes 10 days after soybean rust inoculation (dpi), and most of them were related to plant defence response and metabolism³⁰. In 2011, they used next-generation sequencing (NGS) to analyse soybean gene expression patterns in leaves and described 1,713 genes upregulated 10 dpi, with many of them encoding proteins involved in metabolism and transport ³¹. Considering that upregulated genes are a 140 potential source of inducible promoters, we searched for genes upregulated in both experiments. We 141 identified 220 common upregulated genes, and a ranking of these genes according to their fold change 142 was determined for each experiment (see additional file 1: Table S1). Among the commonly 143 upregulated genes, one-quarter (26%) were associated with metabolism function, 18% were implicated 144 in signal transduction and 12% were annotated as transporters (see additional file 2: Figure S1). 145 Eleven plant defence-related genes representing 5% of the commonly upregulated genes were also 146 identified. Among them, two genes annotated as predicted chitinase (Glyma.13G346700 and 147 Glyma.11G124500) were highly induced at 10 dpi. They were also described as up-regulated 24 h 148 post-infection, in agreement with SoyKB data (http://soykb.org/). Moreover, according to internal 149 transcriptomic data, Glyma.11G124500 revealed no induction after treatment with a chitin 150 oligosaccharide (the chitin heptaose) unlike *Glyma*.13G346700 (see additional file 3: Figure S2). 151 Chitin is a major component of the fungal cell wall and can be detected by the host plant as a PAMP. 152 Therefore, we selected *Glvma*.11G124500 as potentially specifically induced by *P. pachyrhizi* during 153 early (24 h) and late stages of infection (10 days).

Glyma.11G124500, located on chromosome 11, includes a coding sequence of 705 bp with two exons, a 5'UTR of 57 bp and a 3'UTR of 217 bp. This gene encodes a protein (Glyma.11G124500 1. p) of 235 amino acids with a glycosyl hydrolase motif of family 19 (PF00182 domain from amino acid 38 to 235) and was annotated as a chitinase. This putative function was reinforced by a sequence comparison (see additional file 4: Figure S3 and additional file 5: Figure S4). *Glyma.11G124500* was therefore renamed *GmCHIT1*.

Expression of *GmCHIT1* during infection of wild type soybean leaves by *P. pachyrhizi* was then monitored by RT-qPCR. *GmCHIT1* was expressed as early as 8 hpi (hours post-inoculation) (2.5-fold compared to the mock treatment), and its expression increased during infection reaching 6-7-fold compared to the mock treatment at 1-3 dpi. The highest level of *GmCHIT1* expression (300-fold compared to healthy leaves) was observed at a late stage of infection when the inoculated leaves were totally chlorotic and covered with sporulating uredinia (10 dpi) (Figure 1a). In our conditions, no visual symptoms were observed at 8 hpi and uredia appeared at 6/7 dpi, revealing that the gene was 167 induced before the emergence of disease symptoms (Figure 1a, b). According to the expression results,

168 we selected the *GmCHIT1* promoter as a good candidate induced by *P. pachyrhizi*.

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170 Analysis of the activity of the *GmCHIT1* promoter in response to *P. pachyrhizi* inoculation

To study the expression and inducibility of *GmCHIT1* promoter, a fragment of 3454 bp upstream of the coding sequence was selected. Indeed, analysis of this sequence with PLACE software ³² revealed several cis-regulatory elements related to pathogen infection (see additional file 6: Figure S5). Twenty-one W boxes (TGAC) ³³ and 10 GT1 boxes (GAAAAA) ³⁴ were identified. Five MYB recognition elements (GGATA)³⁵ were also found as well as two auxin (TGTCTC and KGTCCCAT) ³⁶ and two gibberellic acid-responsive elements (CAACT) ³⁷.

177 The activity of the *GmCHIT1* promoter following *P. pachyrhizi* inoculation was then evaluated via the 178 generation of reporter stable transgenic soybeans. For this, the selected promoter region was fused to 179 the GFP reporter gene (pGmCHIT1:GFP), and transgenic plants were selected. P. pachyrhizi spores 180 were sprayed on the plants, and fluorescence surrounding the infection spots was clearly observed at 181 24 and 72 hpi in three independent pGmCHIT1:GFP lines (Figure 2a (line 131); additional file 7 182 Figure S6 (lines 129 and 133)). However, a low GFP signal was also observed in leaf veins in the 183 absence of the fungal infection, revealing a basal expression of the promoter in fully developed 3-184 week-old soybean plants. GFP expression was followed by RT-qPCR and a low induction was 185 detected at 72 hpi (Figure 2b). Western blot analysis revealed the presence of GFP in non-infected 186 leaves and an accumulation of GFP-protein at 72 hpi (Figure 2c). To precise this over-accumulation, a 187 confocal microscopy study was conducted on line 131 at 24 hpi when spores have germinated and 188 differentiated appressoria. GFP fluorescence was particularly detectable around the fungal pathogen 189 and more precisely in cells located around appressoria, the fungal penetration structures (Figure 3).

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191 Activity of the *GmCHIT1* promoter in different soybean tissues

192 To determine the tissue specificity of the chitinase promoter, GFP fluorescence of plants from the 131 193 line was investigated in roots, young leaves and flowers of non-infected plants. pCsVMV:GFP plants 194 containing the strong constitutive Cassava Vein Mosaic Virus promoter were used as a positive 195 control. As expected, a strong GFP fluorescence was observed in all analysed tissues of plants 196 transformed with p*CsVMV*:GFP, whereas no GFP signal was detected in WT soybean plants (Figure 197 4). In the case of plants transformed with p*GmCHIT1*:GFP (line 131), a light GFP signal was detected 198 in primary and some lateral roots. While GFP expression was observed in veins of developed leaves 199 (Figures 2, 5 and 6), no signal was detectable in young leaves at this magnification (Figure 4). This 100 low detection of GFP could be considered as the baseline expression of the *GmCHIT1* promoter in the 201 different tissues observed.

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203 Activity of the *GmCHIT1* promoter in response to hormone and wounding treatments

204 To evaluate the potential induction of the *GmCHIT1* promoter by other stimuli than fungal 205 contamination, different hormonal treatments were performed on plants and the activity profile was 206 evaluated in the line 131. For this, the plants were subjected to coronatine (methyl jasmonate 207 analogue) and 1-aminocyclopropane-1-carboxylic acid (ACC, ethylene precursor) treatments. As A. thaliana PDF1.2 promoter has been shown to be induced by jasmonate and ethylene ²², pPDF1.2:GFP 208 209 soybean plants (named PDF1.2) were used as positive controls for these investigations. As expected, 210 fluorescence strongly increased from 24 to 72 h after coronatine or ACC treatments (Figure 5a-b). In 211 the case of the pGmCHIT1:GFP plants, fluorescence intensity did not change after coronatine or ACC 212 spray (Figure 5a-b), suggesting that pGmCHIT1 was not induced by these hormonal treatments. 213 Fluorescence intensity remained also unchanged after salicylic acid (SA) exposure in pGmCHIT1:GFP 214 plants (line 131) (additional file 8 Figure S7). As we had no functional control to evaluate the efficiency of this last treatment, the expression of three PR genes (GmPR1, GmPR2 and GmPR3)³⁸, 215 216 was followed by RT-qPCR in the leaves of plants from line 131. In our experimental conditions, only 217 a low induction of GmPR3 (2-fold change compared to mock) was detected in response to SA 218 exposure (additional file 8 Figure S7). This last result did not allow to conclude on the efficiency of 219 the treatment and consequently on the inducibility of pGmCHIT1 by SA.

Lastly, *GmCHIT1* promoter response was monitored after mechanical wounding. A small GFP fluorescence was observed at 24 h post-wounding limited to the wounded area and still visible at 72 h

after the injury (Figure 6). The *GmCHIT1* promoter appeared to be induced by wounding with no propagation to adjacent tissues.

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225 DISCUSSION

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227 Today, biotechnology approaches can be considered to develop alternative strategies to control fungal 228 diseases, and more specifically the rust pathogen P. pachyrhizi. In this context, many genes associated 229 with disease resistance have been identified and proposed to develop transgenic plants capable of defending themselves against pathogens ^{17,39,40}. To drive the expression of these genes only during 230 231 pathogen infection, the use of pathogen-inducible promoters is recommended. Such promoters have been isolated in several plants from genes associated with defence response ⁴¹. This is the case for the 232 233 barley germin-like GER4 promoter that controls the expression of a PR protein highly induced in response to biotrophic or necrotrophic pathogens 20. Nevertheless, the identification and 234 characterization of such promoters in soybean is still limited ^{24,42}. This work presents the identification 235 236 of a soybean putative chitinase gene promoter (pGmCHIT1) and its activity profile in soybean plants.

237 Several studies have shown that genes associated with defence response, such as PR genes, are found to be induced during soybean rust inoculation in both resistant and susceptible soybeans ⁴³. Among 238 239 them, the GmCHIT1 gene coding for a putative chitinase was reported as upregulated during early (24 240 hpi) and later (10 dpi) stages of *P. pachyrhizi* infection. We investigated the expression profile of this 241 gene during the infectious process of P. pachyrhizi on soybean plants and confirmed that the 242 expression of this gene was detectable as early as 8 hpi, remained constant from 24 to 72 hpi and 243 increased drastically at 10 dpi. Microscopic observations of the infectious development of P. 244 pachyrhizi revealed that appressorium formation and rust penetration in plant tissues occur between 245 six and twelve hours after urediniospore inoculation. Between 24 and 48 hpi, the fungus mainly forms haustoria and this differentiation step is rapidly followed by the fungal growth inside the host tissues⁴⁴. 246 247 Considering *GmCHIT1* expression, we can assume that it could be induced through a plant signal 248 during the appressorium formation and/or fungal penetration, and its expression could be proportional 249 to the quantity of mycelia developing inside the plant tissues.

Heterologous systems are often used to study gene expression, but results produced in these 250 251 experiments are limited because promoter regulation may depend on the genetic background of the plant species under investigation ⁴⁵⁻⁴⁷. A transient system could allow a rapid investigation of a 252 promoter's activity, and the opportunity to select the smallest inducible promoter region. However, 253 254 transient transformation of soybean is difficult to implement, and results are not still reproducible. We 255 therefore generated stable transgenic soybean plants harbouring GFP placed under the control of the 256 GmCHIT1 promoter. This approach gave us the opportunity to highlight the local induction of the 257 plant chitinase promoter in soybean cells surrounding fungal appressoria, the fungal penetration 258 structures (Figure 3).

259 Mechanical injuries of plant tissues can provide an entrance for pathogen invasion. Therefore, several wound-induced genes are also involved in plant defence pathways against invading fungi ⁴⁸. P. 260 pachyrhizi penetrates directly the epidermal cells of the leaves rather than the stomata ⁴ and this action 261 262 leads to the collapse of the epidermal cells. In this particular case of interaction, it is not surprising to observe that pGmCHIT1 is also induced after wounding. The pattern of pGmCHIT1 response to 263 264 wounding is similar to the one observed by Hernandez-Garcia and Finer in wounded soybean plants harbouring the transcriptional fusion of the GFP and *GmERF3* promoter ⁴². However, in the context of 265 266 the Asian soybean rust infection, we cannot conclude that pGmCHIT1 induction is the result of 267 signalling associated solely with the tissue injury, the rust infection or both.

268 Some plant chitinase promoters have already been studied. Thus, the *BiChp* chitinase promoter of 269 Brassica juncea has been reported to be induced by the pathogen Alternaria brassicae, jasmonic acid and wounding in A. thaliana ⁴⁹. BjChp promoter activity was also observed surrounding the necrotic 270 271 lesions at 48 hpi. Another chitinase promoter of Phaseolus vulgaris (PvChi4) promoter has been 272 reported to be expressed in lateral roots and reproductive organs of non-stressed A. thaliana plants 273 ⁵⁰and it was also induced by heat treatment and UV light. Additionally, the promoter of the chitinase 274 AtEP3, the closest A. thaliana orthologue of GmCHIT1, was shown to be early induced by *Xanthomonas campestris* at 1, 6 and 24 hpi but downregulated by wounding ^{51,52}. These results 275 276 highlight that chitinase promoters can be regulated by biotic or abiotic stresses or both.

277 Transcriptional regulation of defence genes under biotic stress is regulated by many *cis*-elements 278 localized in the promoter ²¹. Among them, GCC-box and W-boxes have been shown to be inducible by pathogens and wounding ²¹. In the ChiIV3 chitinase promoter of pepper, one W-box located in the -279 280 712/-459 bp region was described as essential to trigger the induction after Phytophthora capsici contamination ⁵³. W-box refers to the binding site of WRKY transcription factors ³³, and in soybean, 281 these regulators have been shown to be implicated in the response to *P. pachyrhizi*⁵⁴. In the *GmCHIT1* 282 283 promoter, 21 W-boxes have been identified. In addition, 10 GT1-boxes and 5 MYB recognition 284 elements have also been found. It has been demonstrated that GT1-boxes are responsible for the 285 induction of defence genes by pathogen and high salinity stress, as it has been described for the 286 soybean promoter of the calmodulin SCaM-4.⁵⁵. MYB recognition elements were found in defence 287 gene promoters and could be implicated in response to abiotic stress and hormone treatment ⁵⁶. 288 Finally, two auxin and two gibberellic acid responsive elements have been found in the GmCHIT1 289 promoter. These observations suggest that pGmCHIT1 could be potentially activated by these 290 hormones. It would be interesting to investigate whether the *cis*-regulating elements found in the 291 *GmCHIT1* promoter are essential and sufficient to trigger a response to *P. pachyrhizi*.

292 Fungal infection can induce different plant hormone pathways depending on the lifestyle of the 293 pathogen. It is well-admitted that salicylate signalling is implicated in defence against biotrophic fungi 294 and jasmonate together with ethylene participate in the defence against necrotrophic fungi 57. 295 However, a study of non-host interaction between P. pachyrhizi and A. thaliana has revealed that 296 despite the biotrophic lifestyle of *P. pachyrhizi*, the pathogen activates marker genes of necrotrophic 297 infection ⁵⁸. It has been suggested that the fungus would mimic a necrotrophic behaviour at the initial stage of infection to promote its development inside the host tissues ⁵⁹. In this context, one would 298 299 expect P. pachyrhizi development to induce the jasmonic acid or ethylene pathway at early time-points 300 after inoculation and salicylic acid-related genes at later times. However, expression data during the early and late stages of P. pachyrhizi development in soybean did not reveal clear evidence of 301 activation of either the salicylate, jasmonic acid or ethylene pathway ^{30,43,59}. Nevertheless, it was 302 303 surprising to observe that the *GmCHIT1* promoter was not induced by any hormonal treatments 304 assessed in our study. Indeed, several PR proteins have been shown to be activated by plant hormones ⁶⁰. For instance, a chitinase from rice has been reported to be induced by jasmonic acid and ethylene 48 h post-treatment ⁶¹. However, unlike in Mazarei *et al.* ⁶², in our experimental conditions, *GmPR1* was not induced after salicylic acid treatment and only a slight induction of *GmPR3* was observed. It is unclear at this stage whether the results reflect a lack of efficacy of salicylic acid treatment or an insensitivity of p*GmCHIT1* to this hormone.

310 Basal GmCHIT1 promoter activity in non-contaminated soybean tissues was also investigated. 311 Visualization of GFP expression revealed that pGmCHIT1 was expressed in the veins of fully 312 developed leaves and in roots but not in young leaves and flowers. Roots are permanently exposed to 313 soil pathogens that can penetrate the tissues because of micro-wounds and the absence of lignified barriers ⁶³. This basal expression level in different soybean tissues/organs together with the induction 314 315 under rust attack might reflect the potential roles of this chitinase in physiological processes of growth 316 and development as much as in pathogen protection. Nevertheless, despite the basal expression of this 317 promoter, it can be considered as an interesting tool to monitor expression of defence genes. Indeed, 318 in addition to its inducible characteristic, we observed that its basal expression in soybean tissues 319 remained lower than the constitutive expression of CsVMV promoter. This makes pGmCHIT1 a prime 320 candidate compared to constitutive promoters.

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322 CONCLUSIONS

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324 Promoters are the primary regulators of gene expression at the transcriptional level and are considered 325 as key elements to control genes of interest in transgenic organisms. Pathogen inducible promoters 326 allowing transgene expression only when and where it is needed, are interesting tools for the 327 development of biotechnological approaches to control bacterial or fungal diseases. In this study, we 328 identified pGmCHIT1, a promoter of a soybean predicted chitinase gene expressed during the first 329 hours of the Asian soybean rust disease. Moreover, this promoter is reported as locally activated by P. pachyrhizi on plant tissue. To our knowledge, pGmCHIT1 is the only promoter isolated to date in 330 331 soybean with such traits. These characteristics suggest that it could be therefore considered as a 332 candidate for driving defence genes in genetically engineered soybean.

334 METHODS

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336 **Construction of the transformation vectors**

The GFP reporter gene ⁶⁴ was amplified by PCR with primers gfp-F/gfp-R (see additional file 9: Table 337 S2) and cloned downstream of the CsVMV promoter from Cassava Vein Mosaic Virus ⁶⁵. The PDF1.2 338 339 promoter from Arabidopsis thaliana²² was amplified by PCR using primers pdf1.2-F/ pdf1.2-R (see 340 additional file 9: Table S2) and cloned to drive the expression of the GFP-encoding sequence. Upstream of the Glvma.11G124500 gene-encoding sequence (based on G. max genome sequence from 341 342 https://phytozome.jgi.doe.gov/pz/portal.html), a 3454 bp segment considered as part of the *GmCHIT1* 343 promoter was synthesized by Eurofins genomic (Germany). The promoter was then cloned to drive the expression of the GFP-encoding gene. Each GFP construct was transferred to A. tumefaciens strain 344 345 LBA4404. In all vectors, the HPPD (hydroxyphenylpyruvate dioxygenase) gene driven by the 35S promoter was used as a selectable marker for soybean transformation ⁶⁶. 346

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348 Soybean cultivation

Seeds of soybean cultivar Thorne, susceptible to *P. pachyrhizi*, were sown in pots containing SteckMedium substrate (Klasmann-Deilmann GmbH, Germany) for germination. After 3 weeks, the plants were transferred into larger pots for development and eventually seed production. Greenhouse conditions were as follows: temperature of 24 °C day/22 °C night with a photoperiod of 16 h of day under a light intensity of 270 μ E.m⁻².s⁻¹ and 70% relative humidity.

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355 Soybean transformation

Seeds were surface sterilized for 24 h in a desiccator by chlorine gas generated with a mixture of 150 ml Domestos containing 4.5% NaClO w/w (Unilever) and 5 ml of HCl (37%). Sterile seeds were then hydrated overnight in sterile deionized water. Cotyledons of germinated seeds were dissected by removing the seed coat and by splitting the seeds into 2 halves using a scalpel blade. The half-seeds were immersed for 30 min in 10% W/V Gamborg's medium (Gamborg *et al.*, 1968) containing 30 g/l

sucrose, 7.4 µM BAP (6-benzylaminopurine), 0.7 µM GA3 (gibberellic acid A3), 3.3 mM cysteine, 1 361 mM dithiothreitol, 200 µM acetosyringone, 20 mM MES, pH 5.4 and the bacterium Agrobacterium 362 363 tumefaciens at a final OD_{600nm} of 0.8. Next, cotyledons were transferred to Petri dishes, adaxial side 364 down, onto 3 layers of Whatman ® paper pre-soaked with 10 ml of Gamborg's medium. Plates were transferred to a tissue culture room for 5 days at 24°C, 16 h light (180 µE.m⁻².s⁻¹) and 75% relative 365 366 humidity. Shoots were induced by transferring the cotyledons to full-strength Gamborg's medium 367 containing 30 g/l sucrose, 7.4 µM BAP, 3 mM MES pH 5.6 and 8 g/l noble agar. Antibiotics ticarcillin 368 (50 mg/l), cefotaxime (50 mg/l), vancomycin (50 mg/l) and the herbicide TembotrioneTM (0.2 mg/l) 369 used as selectable marker were added after autoclaving. After one month on the shoot induction 370 medium, white shoots were removed and cotyledons were transferred on a shoot elongation medium containing Murashige & Skoog (MS) salts 67, 3.2 g/l Gamborg's vitamins , 30 g/l sucrose, 100 mg/l 371 pyroglutamic acid, 50 mg/l asparagine, 0.28 µM zeatin riboside, 0.57 µM indol-3-acetic acid, 14.8 µM 372 373 GA3, 3 mM MES, pH 5.6 and 8 g/l noble agar. Antibiotics and the herbicide were kept at the same 374 concentrations previously described. After one month, elongated shoots were cut and transferred to a 375 rooting medium consisting of half-strength MS salts, half-strength B5 vitamins, 15 g/l sucrose, and 8 376 g/l noble agar. The same antibiotics as previously described were added after autoclaving, but the 377 selectable marker was omitted. When roots were sufficiently developed, the shoots were individually 378 transplanted to a greenhouse and cultivated using the conditions previously described.

379

380 Characterization of transgenic plants

Regenerated T0 events were confirmed for the presence of the selectable marker gene with an HPPD lateral flow test (AMAR Immunodiagnostics) using the experimental instructions recommended by the provider. To pick up T1 HPPD/GFP-positive events, germinated seeds were watered with an 8‰ solution of the herbicide IsoxaflutoleTM to eliminate null segregant plants. Plants showing no herbicide symptoms were subsequently tested for GFP fluorescence and used for further analysis. Homozygous single-locus plants were selected either in T1 or T2 segregating generations by ddPCR analysis. T1 or T2 plants were used depending on the availability of the material.

Fungal contamination of soybean plants

390 A dehydrated stock of spores of *P. pachyrhizi* stored in liquid nitrogen (isolate MG2006, Mato Grosso, 391 Brazil 2006) was used as a routine source of inoculum. Twenty-four hours before plant inoculation, 392 cryo-tubes were opened and placed in a controlled growth chamber (20°C, dark, 70% relative 393 humidity) to slowly rehydrate the spores. The spores were finally suspended in sterilized water 394 containing 0.01% Tween 20 to reach a final concentration of 100,000 spores/ml. Three-week-old 395 soybean plants were sprayed with the spores until run-off and incubated in a growth chamber 396 (temperature 24°C, dark, 100% relative humidity) for 24 h before being transferred to a developing chamber (temperature of 24°C, 16 h light/8 h night, light intensity 15 µE.m⁻².s⁻¹ and 80% relative 397 398 humidity). All experiments were conducted according to the recommendations of the French biosafety 399 agency (Haut Conseil des Biotechnologies).

400

401 **Treatment of detached soybean leaves**

402 First and second trifoliate leaves of 6-week-old plants were excised and transferred to layers of 403 Whatman® paper wetted with 6 ml of sterile distilled water. Leaf petioles were wrapped with water-404 soaked cotton to increase organ survival. Different hormone treatments were conducted by spraying 405 leaves with either 20 mM of ACC (ethylene precursor) or 2.5 mM solution of salicylic acid (SA) in 406 sterile water or 0.25 mM of coronatine (methyl jasmonate analogue) in 1% EC premix solution 407 (phenyl sulfonate 5%, emulsogen EL360 7%, isophorone 40% and methyloleate 48%). Sterile distilled 408 water was used as mock for ACC and SA treatments, and 1% EC premix was used as mock for 409 coronatine spray. Leaf wounding was realized with a sterile scalpel blade. After the different 410 treatments, the leaves were incubated in the same growth chamber used for soybean transformation. 411 Macroscopic observations and fluorescence intensity measurement were performed at 24, 48 and 72 h 412 post-treatment.

413

414 **Expression profiling by quantitative PCR analysis**

Samples were composed of four foliar discs from leaves of a soybean plant, and three independent
biological replicates were performed. Total RNA was extracted using the RNeasy[®] Plant Mini Kit

(Qiagen, Netherlands) and purified with the TURBO DNA-freeTM Kit (Invitrogen, Carlsbad, CA). 417 DNA-free total RNA (1 µg) was used to synthetize cDNA with the ThermoScriptTM RT-PCR System 418 419 kit (Invitrogen, Carlsbad, CA) according to the manufacturer's recommendations. For RT-qPCR, 0.02 µg of cDNA was used in a 20 µl reaction containing 10 µl of SsoAdvanced[™] Universal SYBR[®] Green 420 421 Supermix (Bio-Rad, US), 6 µM of forward and reverse primers and 3 µl of RNAse-free water. RT-422 qPCR was performed using the LightCycler® 480. The thermocycling conditions were followed as 423 recommended by the supplier. The expression of the chitinase gene was determined after soybean rust 424 inoculation by using specific primers (see additional file 9: Table S2). The genes coding for actin (GenBank: NM 001289231.2) and a hypothetical protein (GenBank: BE330043)⁶⁵ (primer sequences 425 in additional file 9: Table S2) were used as endogenous reference genes for normalization ⁶⁸ using the 426 427 Ct value method. Specific primers of *GmPR1* (GenBank: BU5773813), *GmPR2* (GenBank: M37753) 428 and GmPR3 (GenBank: AF202731) were used to determine the expression of those PR genes after 429 salicylic acid treatment. In this case, the genes coding for actin and an elongation factor (GenBank: 430 NM 001249608.2) were used for normalization (see additional file 9: Table S2) with the Ct value 431 method.

432

433 Western blot analysis

434 Leaf samples from wild-type (WT) plants and plants from line 131 were harvested 72 h after P. 435 pachyrhizi contamination or mock treatment. Proteins were extracted from four foliar discs of the 436 same soybean plant with 250 µl of extraction buffer (Tris-Hcl 100 mM, NaCl 100 mM, DTT 0.04%) 437 and placed on ice for 10 min before centrifugation at 4°C for 10 min. The protein concentration was 438 determined with the Bradford method using the Bio-Rad Protein assay dye reagent solution. For 439 denaturation, 1 volume of Laemmli buffer (Bio-Rad, US) was added to 1 volume of extracted proteins 440 (30 µg). The mixture was kept for 5 min at 95°C and 5 min on ice before loading on a TGX 4-20% Strainfree (Bio-Rad US) gel immersed in TGS 1X buffer. After migration, separated proteins were 441 transferred onto a membrane by using Trans-Blot® TurboTM Midi Nitrocellulose Transfer Packs (Bio-442 443 Rad) and the TransBlot Turbo device (Bio-Rad, US). Membrane blocking and incubation with the antibodies were performed as suggested by the provider. GFP antibodies (Sigma) and Immun-Star 444

445	Goat Anti-Rabbit (GAR)-HRP Conjugate antibody were used. Antibody detection was realized with
446	the Clarity TM Western ECL (Bio-Rad, US) kit following the supplier's instructions. Finally, the
447	ChemiDoc TM Touch camera (Bio-Rad, US) was used to record the results.

449 Visualization of GFP expression

450 GFP fluorescence was analysed with a Leica Z16 APO A dissection scope equipped with a GFP filter. 451 For the detection of fluorescence after rust inoculation, the parameters were set as follows: camera 452 lens 1 x, magnification 115 x, gain 2 and exposure time 500 ms. For detection of the GFP fluorescence 453 in the different soybean tissues without infection, the camera lens was set at camera lens 0.5 x, 454 magnification at 6.95 x for roots and young trifoliate leaves and 15 x for flowers, gain 3, exposure 455 time 500 ms. For hormonal treatments and wounding, the following parameters were used: camera 456 lens 1 x, magnification 6.95 x, gain 3 and exposure time 1 s. Fluorescence intensity measurement was 457 performed using MetaMorph software via greyscale value.

458

459 Confocal microscopy

460 Leaf samples of soybean line 131 expressing the transcriptomic fusion pGmCHIT1:GFP were 461 harvested 24 h post-inoculation. The samples were first stained in an aqueous calcofluor white 462 solution (0.01 mg/ml) for 5 min before being washed 3 times in water for 5 min. Samples were 463 mounted in water under slides (VWR® microscope slides: ground edges 45°, 76 x 26 mm) and cover 464 glass (VWR® cover glass: 22 x 32 mm). Observations were conducted with a ZEISS LSM 800 465 microscope using the 10x objective. To visualize GFP fluorescence, a 487 nm wavelength laser was used for excitation and light emission was captured at 560 nm. For the imaging of calcofluor 466 467 fluorescence, light excitation was set at a wavelength of 400 nm and emission was captured at 487 nm. 468

469

470 ETHICS APPROVAL AND CONSENT TO PARTICIPATE

- 471 Not applicable
- 472

473 CONSENT FOR PUBLICATION

- 474 Not applicable
- 475
- 476 AVAILABILITY OF DATA AND MATERIAL
- 477 All the data and material generated are BASF property.
- 478
- 479 COMPETING INTERESTS
- All authors except NP and LG are inventors of the linked patent WO2018217474. SD, BP, SP, and CS
 are employees of Bayer Company.
- 482

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489

490 AUTHOR'S CONTRIBUTIONS

NP, BP, SD and LC conceived and designed the experiments. SP performed the gene expression
analyses, LG the soybean transformations and LC the rest of the experiments. NP, BP, SD, CS, SP and
LC analysed the data. NP, BP, SD and LC wrote the paper. All the authors have read and approved the
manuscript.

495

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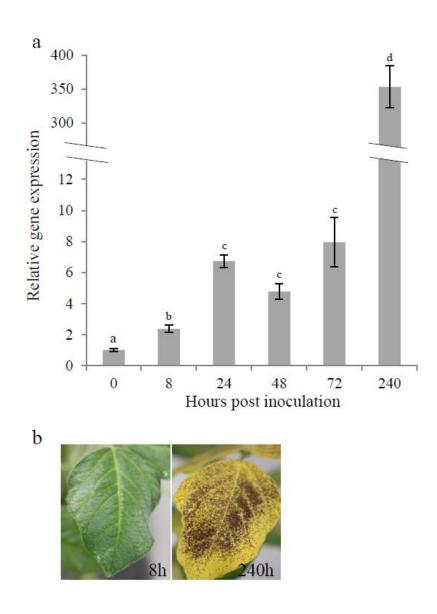
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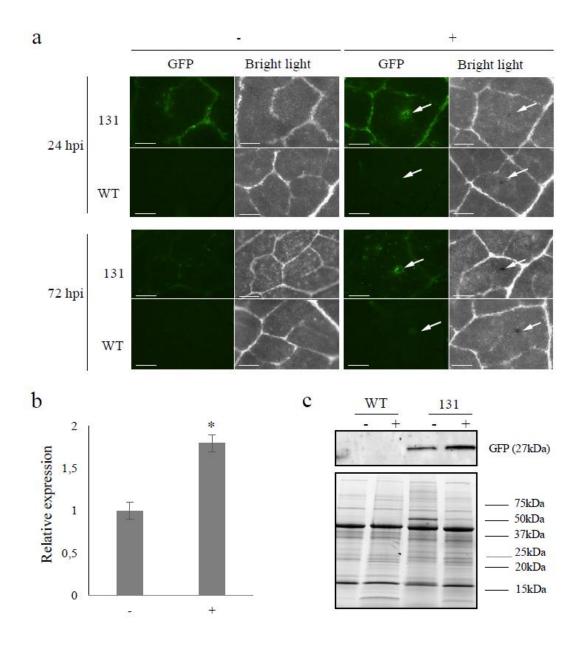
664 FIGURES





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Figure 1: Relative expression of *GmCHIT1* in soybean leaves during *P. pachyrhizi* infection. (a) Quantitative RT-qPCR analysis was carried out to quantify *GmCHIT1* transcript accumulation at 0, 8, 24, 48, 72 and 240 hpi compared to that in the mock-treated plants. The actin (GenBank: NM_001289231.2) and an unknown protein (GenBank: BE330043) ⁶⁸ encoding genes were used as references. Three independent biological replicates \pm standard errors. Different letters indicate a significant difference determined by a Student's t-test (p < 0.05) between time point of inoculation. (b) Stages of infection of soybean leaves at 8 and 240 hpi.



676 Figure 2: Detection of GFP in stable transgenic soybeans. (a) Leaves of T1 lines 131 transformed 677 with the pGmCHIT1:GFP construction were observed using a dissection scope (Leica Z16 APO) 678 under GFP filter and bright light at 24 and 72 hours after. P. pachyrhizi inoculation (+) or mock 679 treatment (-). Arrows indicate the inoculation spots. Bar-scales represent 200 µm. (b) Relative 680 expression of GFP in 131 line (pGmCHIT:GFP) at 72 h after. P. pachyrhizi inoculation (+) and non-681 infected (-) plants. The actin (GenBank: NM_001289231.2) and an unknown protein (GenBank: BE330043) 68 encoding genes were used as references. Three independent biological replicates \pm 682 683 standard errors are shown. *: significant difference between treated (+) and untreated (-) leaves 684 determined by a Student's t-test (p < 0.05). (c) Detection of GFP protein 72 hours after. P. pachyrhizi

inoculation in WT and 131 line plants by immunoblotting with an antibody raised against the GFP.
Homogenous loading was checked on the gel by Strain Free detection technology (Biorad, US)
(below).

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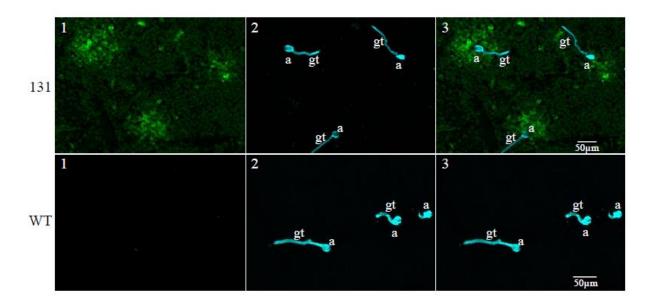




Figure 3: Representative confocal image (z-stack projection) showing GFP induction around *P. pachyrhizi* appressoria at 24 hpi. Fungal structures on the leaf surface are stained in blue with calcofluor. a: appressoria, gt: germ tube. Picture 1: GFP detection. Picture 2: calcofluor staining. Picture 3: merging of pictures 1 and 2. The observations were conducted on 131 (p*GmCHIT1*:GFP) and WT plants.

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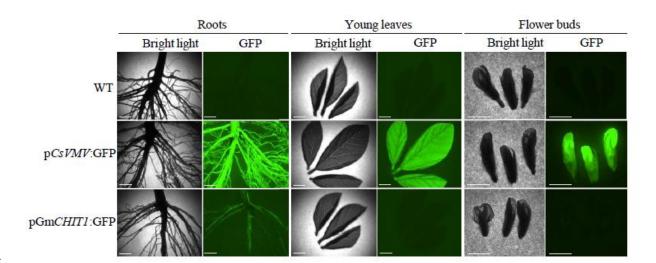
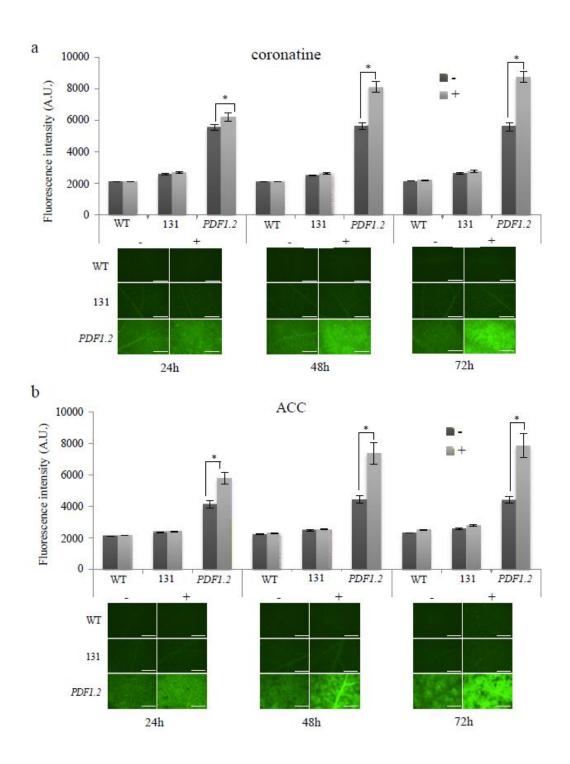


Figure 4: GFP activity mediated by *GmCHIT1* promoter (line 131 p*GmCHIT1*:GFP) in soybean
tissues (roots, leaves, flower buds). Plants transformed with p*CsVMV*:GFP were used as a positive
control. Bar-scales represent 5mm. Pictures were taken with a dissection scope (Leica Z16 APO)
under GFP filter and bright light.

701



703 Figure 5: GmCHIT1 promoter expression following hormonal treatments. GFP fluorescence observed 704 in 131 line (pGmCHIT1:GFP), PDF1.2 (pPDF1.2:GFP) or WT detached leaves following hormonal 705 (+) or mock (-) treatments. Treatments were done with coronatine (a) and ACC (b). Graphics represent 706 the mean ± standard errors of fluorescence intensity measured with MetaMorph software via grayscale 707 value on 20 biological replicates. *: significant difference between treated (+) and untreated (-) leaves 708 determined by a Student's t-test (p < 0.05). Representative images of the observed fluorescence are 709 shown under the graphs. Bar-scales represent 5mm. Observations were realized at 24, 48 and 72 hours 710 after hormonal treatment with a dissection scope (Leica Z16 APO) under GFP filter.



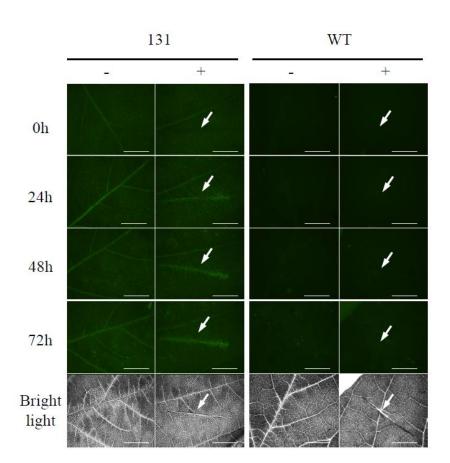




Figure 6: *GmCHIT1* promoter response after wounding. GFP fluorescence in wounded (+) or control (-) detached leaves from transgenic soybean (line 131 with the GFP fused to the *GmCHIT1* promoter) and WT plants. Bar-scale represents 5mm. Observations at 0, 24, 48 and 72 hours after wounding with a dissection-scope (Leica Z16 APO) under GFP filter and bright light. Arrows show the wounded part.