

1 **Improved diagnosis of viral encephalitis in adult and pediatric hematological patients using viral**
2 **metagenomics**

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18 **Highlights**

- 19 • A metagenomics protocol employing virus capture probes was validated and retrospectively
20 applied to 41 hematological adult and pediatric patients presenting with encephalitis of
21 unknown aetiology
- 22 • Viral enrichment by capture probes increased sensitivity of viral metagenomics on
23 cerebrospinal fluid samples 100 – 10.000 fold, compared to unenriched metagenomic
24 sequencing
- 25 • In 12% of hematological patients with encephalitis of unknown origin, a virus was detected
26 by viral metagenomics, which was not found by routine diagnostics
- 27 • Viral metagenomics represents a valuable addition to the diagnostics repertoire for
28 hematological patients with suspected CNS infection

29

30 **Abstract**

31 Metagenomic sequencing is a powerful technique that enables detection of the full spectrum of
32 pathogens present in any specimen in a single test. Hence, metagenomics is increasingly being
33 applied for detection of viruses in clinical cases with suspected infections of unknown etiology and a
34 large number of relevant potential causes. This is typically the case in patients presenting with
35 encephalitis, in particular when immunity is impaired by underlying disorders.

36 In this study, viral metagenomics has been applied to a cohort of hematological patients with
37 encephalitis of unknown origin.

38 Since viral loads in cerebrospinal fluid of patients with encephalitis are generally low, the technical
39 performance of a metagenomic sequencing protocol enriched by capture probes targeting all known
40 vertebrate viral sequences was studied. Subsequently, the optimized viral metagenomics protocol
41 was applied to a cohort of hematological patients with encephalitis of unknown origin.

42 Viral enrichment by capture probes increased the viral sequence read count of metagenomics on
43 cerebrospinal fluid samples 100 – 10,000 fold, compared to unenriched metagenomic sequencing.

44 In five out of 41 (12%) hematological patients with encephalitis, a virus was detected by viral
45 metagenomics which had not been detected by current routine diagnostics. BK polyomavirus,
46 hepatitis E virus, human herpes virus-6 and Epstein Barr virus were identified by this unbiased
47 metagenomic approach.

48 This study demonstrated that hematological patients with encephalitis of unknown origin may
49 benefit from early viral metagenomics testing as a single step approach.

50

51 **Introduction**

52 Encephalitis is an important clinical condition with high morbidity and mortality and therefore
53 necessitates a proper and timely diagnosis and pathogen identification [1]. However, up to 63% of
54 the encephalitis cases remain undiagnosed [1] and as a result, no targeted treatment can be
55 initiated, no specific prognostic information can be obtained, and in outbreak settings no effective
56 preventive measures can be taken.

57 Metagenomic next-generation sequencing has the potential to detect the full spectrum of viral
58 pathogens in a single test. An increasing number of case reports have described the application of
59 metagenomics to clinical cases of encephalitis of unknown origin in both immunocompetent and
60 immunocompromised patients [2-15]. Immunocompromised patients are most at risk of infection
61 with unexpected and novel pathogens and may present with insidious clinical symptoms [2, 16].
62 Recent prospective studies evaluated the use of viral metagenomics for undiagnosed cases in
63 parallel with conventional diagnostics over a period of one year or longer [17, 18]. The minority of
64 the patients included were immunocompromised, mainly due to HIV and solid organ transplants. To
65 date, no metagenomic cohort studies have been published focusing on hematological patients with
66 encephalitis.

67 Cerebrospinal fluid (CSF) remains the most common sample type obtained for diagnostics in cases of
68 encephalitis, though brain biopsies tend to have a higher diagnostic yield of metagenomics [2, 10,
69 19, 20] as viral loads are lower in CSF. Moreover, metagenomic analysis is greatly affected by an
70 extremely low pathogen-to-host genome ratio. Consequently, a lower sensitivity of metagenomic
71 sequencing has been reported, when compared with conventional PCR-based molecular assays [21-
72 26]. Host cell depletion is one way to increase the relative abundance of viral nucleic acids in
73 metagenomic sequencing, but has not consistently been reported as beneficial when analyzing
74 clinical samples [26]. In contrast, virus genome enrichment by means of capture probes has been

75 shown to significantly enhance virus detection when sequencing for example respiratory samples
76 [27-30].

77 In this study, the technical performance of a metagenomic sequencing protocol using capture probes
78 targeting all known vertebrate viral sequences was determined when applied to CSF samples. This
79 technical performance study was followed by a retrospective cohort study with hematologic adult
80 and pediatric patients with encephalitis of unknown etiology.

81

82 **Methods**

83 Patient and sample selection

84 For the technical validation study, fifteen CSF samples of patients with encephalitis of known
85 etiology previously sent to the Clinical Microbiological Laboratory (CML) of the Leiden University
86 Medical Center (LUMC, The Netherlands) in the period of 2012-2017 were selected based on
87 positive real-time PCR findings. These samples were tested by means of a lab-developed
88 metagenomic protocol with and without viral capture probes. Additionally, three tissue biopsies
89 from enteral origin were tested since brain biopsies were only limited available.

90 Following the technical validation, a cohort of 41 adult and pediatric hematological LUMC patients
91 presenting with clinical symptoms of encephalitis was selected for retrospective analysis. Their CSF
92 samples and brain tissue (one patient) were previously sent to the CML for routine diagnostics in the
93 period of 2011-2019 and selected based on negative real-time PCR results for viral and bacterial
94 pathogens.

95

96 Ethical approval

97 This study was approved by the medical ethics review committee of the Leiden University Medical
98 Center (CME number B19.021)

99

100 Routine real-time PCR testing (PCR)

101 In the absence of relevant travel history, the laboratory-developed molecular real-time PCR panel for
102 detection of pathogens in CSF consists of herpes simplex virus type 1 and 2 (HSV1/2), varicella zoster
103 virus, enterovirus and parechovirus. In immunocompromised patients, the panel is expanded with
104 Epstein Barr virus, human cytomegalovirus, JC virus and human herpesvirus type 6 (HHV-6), upon

105 clinical request. These real-time PCRs are performed with internal controls for nucleic acid extraction
106 and real-time PCR inhibition as published previously [31-37]. The initial diagnostic results were
107 confirmed in this study by retesting (see table 1) to ensure the sample integrity after storage at -
108 80°C.

109

110 Metagenomic next-generation sequencing (mNGS)

111 The metagenomics protocol used has previously been described and optimized for simultaneous
112 detection of RNA and DNA targets [38, 39]. In short, internal controls, equine arteritis virus (EAV) for
113 RNA and phocid herpesvirus-1 (PhHV) for DNA viruses were spiked into the clinical samples.
114 Subsequently, nucleic acids were extracted directly from 200 µl CSF sample using the MagNApure 96
115 DNA and Viral NA Small volume extraction kit on the MagNAPure 96 system (Roche Diagnostics,
116 Almere, The Netherlands) with 100 µL output eluate. Extraction buffer only was used as negative
117 control (for extraction, library preparation, and sequencing). From each sample 50 ul of eluate was
118 used as input and concentrated using the SpeedVac vacuum concentrator (Eppendorf). Samples
119 were dissolved in 10 µl of master mix for fragmentation (consisting of NEB next First Strand
120 Synthesis, random primers and nuclease free water). RNA library preparation was performed using
121 NEBNext Ultra II Directional RNA Library prep kit for Illumina with several in-house adaptations [39]
122 to the manufacturers protocol in order to enable simultaneous detection of both DNA and RNA in a
123 single tube per sample. Poly A mRNA capture isolation, rRNA depletion and DNase treatment steps
124 were omitted, and diluted full size Y-shaped, dual indexed adaptors (1.5 uM) were used. For
125 comparison, library preparation by means of the NEBNext Ultra II DNA Library preparation kit was
126 performed with preceding cDNA and second strand synthesis step. Resulting amplified libraries were
127 used as input material for capture of specific target regions or were subjected to sequence analysis
128 without further processing.

129 Clustering and metagenomic sequencing using the NovaSeq6000 sequencing system (Illumina, San
130 Diego, CA, USA) was performed according to manufacturer's protocols. Primary data analysis and
131 results Image analysis, base calling, and quality check was performed with the Illumina data analysis
132 pipeline RTA3.4.4 and bcl2fastq v2.20. Approximately 10 million 150 bp paired-end reads were
133 obtained per sample.

134

135 Viral capture probe enrichment

136 The quality and quantity of the amplified libraries before capture were determined using the
137 Fragment Analyzer (Agilent) and Qubit (Invitrogen) respectively. For capturing, 250 ng of four
138 amplified DNA libraries were combined in a single pool resulting in a combined mass of 1 µg. For
139 enrichment of the DNA sample library pools, the SeqCap EZ HyperCap Workflow User's Guide
140 (Roche) was followed with several in-house adaptations to the manufacturers protocol. Briefly,
141 human Cot DNA and blocking oligos (Integrated DNA Technologies) were added to each library pool
142 to block non-specific cross hybridization. The target regions were captured by hybridizing each pool
143 of four sample libraries with the SeqCap EZ probe pool [40] overnight. The HyberCap Target
144 Enrichment kit and Hyber Cap Bead kit were used for washing and recovery of the captured DNA.
145 Finally, post-capture PCR amplification was performed using KAPA HiFi HotStart ReadyMix (2X) and
146 Illumina NGS primers (5 µM), followed by DNA purification using AMPure XP beads. Quality and
147 quantity of the post-capture multiplexed libraries were determined by Fragment Analyzer (Agilent)
148 or Bioanalyzer (Agilent).

149

150 Bioinformatic analysis

151 After quality pre-processing, sequencing reads were taxonomically classified with the pipeline
152 Centrifuge [41] using an index database constructed from NCBI's RefSeq and taxonomy databases
153 (accessed April 4th, 2019). Reads with multiple best matches were uniquely assigned to the lowest
154 common ancestor (k = 1 Centrifuge setting; previously validated [39]). Negative control sequence

155 reads were subtracted from patient sample reads by Recentrifuge 0.28.7 [42]. Metagenomic findings
156 were confirmed by a second pipeline, GenomeDetective [43] version 1.111 (accessed December
157 2018—January 2019) accounting for horizontal genome coverage (%) and confirmatory real-time
158 PCR. Read counts were normalized for total read count and genome size.

159

160

161 **Results**

162 Technical performance on PCR-positive CSF samples

163 The results of the comparison of the metagenomic protocol with and without viral enrichment using
 164 capture probes for real-time PCR positive clinical CSF samples are shown in table 1. The
 165 metagenomic protocol without enrichment failed to detect the target viruses in three out of 18
 166 cases. In contrast, the metagenomic protocol with enrichment for vertebrate viruses by capture
 167 probes detected all viruses that had been detected by real-time PCR. The target virus read counts
 168 were increased 100-10.000 fold after viral enrichment. Plots of horizontal coverage of viral
 169 sequences, with and without viral capture probes, are shown in Figure 1.

170

171 Table 1

172 Comparison of the metagenomic protocol with and without viral capture probes in a panel of PCR
 173 positive CSF samples.

Patient	Sample type	PCR result (Initial Cq-value/load, retested value)	mNGS results, without viral probes (read count, Centrifuge)		mNGS results, with viral probes (read count, Centrifuge) ^a	Increase in read count (- fold)
			Adapted RNA prep. ^a	DNA prep. incl. cDNA ^b		
1	CSF	Enterovirus (27, 27)	0	367	515.069	1.404
2	CSF	Enterovirus (30, 34)	0	0	12.368	>12.368
3	CSF	Herpes simplex virus type 1 (25)	6.616	4.842	3.302.218	499
4	CSF	Herpes simplex virus type 1 (30)	NT	144	913.662	6.345
5	CSF	HIV type 1 (302.500c/mL ^c)	2.281	187	38.749.926	16.988
6	CSF	Varicella zoster virus(27, 28)	286	0	334.368	1.169
7	CSF	Varicella zoster virus (30, 28)	NT	36	131.138	3.643
8	CSF	Varicella zoster virus (31, 31)	NT	3	10.241	3.412
9	CSF	Epstein-Barr virus (4.8, 4.3 log ₁₀ IU/mL)	NT	4	8.172	2.043
10	CSF	Epstein-Barr virus (3.8, 4.1 log ₁₀ IU/mL)	0	90	28.044	312
11	CSF	Enterovirus (33, 34)	0	0	15.829	>15.829
12	Biopsy	Human cytomegalovirus (22, 23)	2.228	8.000	2.047.002	256

13	Biopsy	Human cytomegalovirus (22, 26)	NT	193	169.154, 113.777 (duplicate)	876
14	Biopsy	Human cytomegalovirus (24, 28)	NT	96	160.639	1.673
15	CSF	Human cytomegalovirus, resistant (27)	NT	22.350	3.577.617	160
16	CSF	CSF: negative but biopsy astrovirus PCR positive	0	0	0	Not applicable
17	CSF	Human herpes virus type 6 (32, 26)	26	306	168.837	552
18	CSF	Human herpes virus type 6 (35, 34)	NT	0	1.283	>1.283

174 mNGS; metagenomic next-generation sequencing, CSF; cerebrospinal fluid, NT; not tested
 175 ^a NEBNext Ultra II Directional RNA Library preparation kit with in-house adaptations for total NA
 176 sequencing (see methods)
 177 ^b NEBNext Ultra II DNA Library preparation kit preceded by cDNA and 2nd strand synthesis for total
 178 NA sequencing (see methods)
 179 ^c Insufficient material available for retesting
 180

181 Retrospective study: clinical cohort

182 Following the validation of the use of the viral capture probes, the metagenomic protocol was used
 183 for the clinical application study on samples of pediatric and adult hematological patients with
 184 encephalitis of unknown etiology. In total 46 samples (42 CSF samples, one brain biopsy, three blood
 185 samples) of 41 patients, including 17 children, were tested. Viral metagenomic sequencing resulted
 186 in virus detection in four CSF samples and one brain biopsy (5/41, 12%, Table 2). The clinical
 187 symptoms, underlying condition, imaging findings and treatment are shown in Table 3. In these five
 188 cases, the virus detected by means of metagenomics had not been targeted by the routine PCR
 189 assays that were performed initially.

Table 2. Findings by viral metagenomic sequencing of 41 pediatric and adult hematological patients in CSF and brain biopsy samples.

Patient	Sample type	Initially requested molecular diagnostics (real-time PCR, Cq-value)	mNGS results (viral probe capture)	Read count (Centrifuge /Genome Detective) ^a	Genome coverage (Genome Detective)		Confirmatory testing (PCR)
					%	Depth	Target PCR Cq/load, retested value/load
1, child	Brain tissue, post-mortem	Brain biopsy: HSV-1/2, JC virus, enterovirus, parechovirus, HCMV, EBV, HHV6, mycobacterium tuberculosis, and Whipple's disease: negative. Positive: adenovirus Cq 36, EBV Cq 37, HHV-6 Cq 33 CSF: Adenovirus, EBV, HHV-6, and VZV: negative	Biopsy: BK polyomavirus CSF: negative	140/ 857	63	33	BKPyV PCR positive Cq 22/ 4.031.000, 549.400 c/ml
2, adult	CSF	HSV-1/2, VZV, enterovirus, parechovirus, HCMV, EBV, JC virus: negative	Human herpesvirus type 6 ^a	29.398/ 225.466	32 (>30 regions)	576	HHV-6 PCR positive Cq 26, 29
3, adult	CSF	HSV1/2, VZV, HCMV, toxoplasma: negative	Human herpesvirus type 6	1.117/ 82.961	5 (>25 regions)	1330	HHV-6 PCR positive Cq 30, 28
4, adult	CSF	HSV1/2, VZV, HCMV, EBV, adenovirus, HHV6, BK, JC, enterovirus, and parechovirus: negative	Hepatitis E virus ^a	61/ 2767	1	2690	HEV PCR positive Cq 36, 37
5, adult	CSF	HSV-1/2, VZV, HCMV, JC virus, adenovirus, enterovirus, parechovirus, m. pneumoniae, listeria, m. tuberculosis, and HHV-6: negative	Epstein-Barr virus ^a	26618/ 602.782	46	990	EBV PCR positive Cq 28/ 21.380c/ml

Cq-value; quantification cycle value, mNGS; metagenomic next-generation sequencing, ped.; pediatric patient, HSV-1/2; herpes simplex virus type 1/2, HCMV; human cytomegalovirus, EBV; Epstein Barr virus, HHV-6; human herpes virus type 6, VZV; varicella zoster virus; BKPyV; BK polyomavirus, CSF; cerebrospinal fluid

^a Initially not tested for by PCR but diagnosed with a delay of up to 2 weeks

Table 3. Clinical data of the patients with additional mNGS findings (see Table 2).

	Age, sex	Underlying condition	Clinical signs	Brain MRI findings	Pathology findings	Other sample types & target	Antiviral treatment	Neurological outcome
1, child	5, M	AML, 26 d post-SCT no engraftment, neutropenic	Somnolent, pupils dilated, opisthotonus, panuveitis of the left eye	Bilateral asymmetrical hyperintense lesions, compatible with demyelination in the context of PML	Post mortem brain biopsy: inflammation, granulomatous, lymphocytes. No AML	BK virus PCR on plasma: negative, Urine: Cq 13	Foscarnet for clinically suspected HHV6 encephalitis	Deceased from fatal encephalitis 54 d post-SCT
2, adult	30, M	AML, 23 d post-cord blood transplant, neutropenic	Comatose, status epilepticus	Symmetrical hyperintensity bilateral temporal, mid frontal, and hippocampus; limbic encephalitis	-	HHV-6 PCR on plasma Cq 29-37 (<< CSF load)	ACV, 6d after disease onset (HHV-6 PCR+): GCV, duration 4 weeks	Partial neurological recovery, persistent cognitive damage
3, adult	51, F	Hodgkin, 7 d post autologous SCT, neutropenia	Dysarthria, apathia, pupil anisocoria, headache, insult, mutism, nuchal rigidity	Dural and multifocal sulci hyperintensity; meningo-encephalitis	CSF: lymphocytes	No plasma/serum available	ACV empirically	Neurological recovery
4, adult	52, M	Multiple myeloma, 14 d post-allogeneic SCT, neutropenic	Progressive tetraparesis	No enhancement, no tumor EMG: axonal polyneuropathy	CSF: no myeloma cells	HEV PCR on plasma: Cq 25-33 (retrospectively tested)	Ribavirin, IVIG	Progression, cognitive symptoms
5, adult	78, M	7 y post-pancreas island transplant	Decreased consciousness, epileptic insults	Bilateral temporal and (sub)cortical enhancement; encephalitis, no signs of lymphoma/PTLD	Intestinal biopsies: EBV negative	Plasma EBV PCR: 168 and 448 c/ml (<< CSF load)	Rituximab 3 gifts	Partial neurological recovery; somnolence

mNGS; metagenomic next-generation sequencing, ped.; pediatric patient, AML; acute myeloid leukemia, SCT; stem cell transplant, PML; progressive multifocal leukoencephalopathy, HHV-6; human herpes virus type 6, ACV; acyclovir, PTLD; post-transplant lymphoproliferative disease

1
2
3 Discussion

4 In this study, a metagenomic sequencing protocol employing virus capture probes was shown to be
5 highly sensitive and of added value for detection of viruses when applied to a cohort of hematologic
6 adult and pediatric patients with encephalitis of unknown origin. When compared to conventional
7 molecular assays, viral metagenomic sequencing resulted in 12% additional findings, including some
8 unexpected viruses initially not tested for.

9 An increase in the number of case reports involving the experimental use of metagenomic
10 sequencing for diagnosing encephalitis in immunocompetent [3-6, 8, 11-13] and
11 immunocompromised [7, 9, 10, 14, 15] patients is evident in recent literature [2, 44]. In these
12 reported cases, the causes of encephalitis detected by metagenomic sequencing were novel,
13 previously unknown viruses, but also, with similar frequency, well-established causes which could
14 have been identified by conventional molecular techniques if only requested [2]. Other agents that
15 were involved were known human pathogens that previously not had been observed as a causative
16 agent of encephalitis [2]. Given the bias towards publication of cases with novel viruses, it is
17 expected that when performing cohort studies, novel viruses will be less prominent, in line with our
18 study. It must be noted that detection of novel viruses using a protocol employing virus capture
19 probes is dependent on the amount of sequence similarity between novel and known viruses. None
20 of the recent retrospective [45] and prospective [17, 18, 46, 47] cohort studies on metagenomic
21 sequencing focused on neutropenic hematological patients, whom are likely at increased risk of
22 infectious causes of encephalitis.

23 The clinical significance of detection of possibly latent and low level persistent viruses in CSF may be
24 difficult to determine. Cohort studies do not provide the best support for causal relationships and
25 the presence of the viruses detected in CSF in this study needs further investigation. For example,
26 encephalitis caused by BK polyomavirus (BKPyV) has been indeed described in a series of case

27 reports [48]. BK virus-associated progressive multifocal leukoencephalitis has previously only been
28 reported in five cases [49]. In the current case, BKPyV was detected in brain tissue, which is
29 considered the best support for diagnosing BKPyV virus encephalitis [49]. The absence of BK viremia
30 in our case suggests localized reactivation of BKPyV in the central nervous system (CNS).

31 Likewise, positive findings of potentially latent viruses such as HHV-6 and EBV should be interpreted
32 in the context of clinical presentation and sample type. HHV-6 DNA can be detected in the blood of
33 approximately 50% of the hematopoietic stem cell transplant recipients [50], while the reported
34 incidence of HHV-6 encephalitis is only 1% [51]. The presence of high viral loads in CSF when
35 compared to blood, as seen in our cases of HHV-6 and EBV reactivation, is suggestive for localized
36 CNS reactivation.

37 Hepatitis E virus (HEV) infection is associated with neurological dysfunctions, such as encephalitis
38 and Guillain-Barré syndrome. This is supported by both clinical and laboratory studies, detecting HEV
39 RNA in brain tissues of animals after experimental infection [52]. Neurological manifestations of
40 hepatitis E virus infections are more frequently found in immunocompetent patients, suggesting
41 pathophysiological mechanisms involving the immune response [53]. This may be the case in our
42 patient given the lower viral load in CSF.

43 Though brain biopsies tend to have a higher diagnostic yield of metagenomics [2, 10, 19, 20], the
44 most commonly acquired sample type in cases of encephalitis is CSF. Given the commonly low viral
45 loads in CSF, optimal sensitivity is essential but challenging due to the high amount of background
46 sequences [21-26]. Technical validation studies of viral metagenomic protocols using cerebrospinal
47 fluid samples with known pathogens [25, 26, 54, 55] are essential to gain insight in its analytical
48 performance including sensitivity. Virus enriched sequence analysis after probe capture has been
49 shown to enhance virus detection significantly in respiratory samples [27-30, 56]. The current study
50 confirms an increased sensitivity in CSF and tissue samples as well.

51 Summarized, the usefulness of viral metagenomics is dependent on several factors, including the
52 technical aspects of the protocol, and the patient population studied. The current study shows that
53 hematological patients may benefit from early, unbiased diagnostics by means of a virus enriched
54 metagenomic sequencing protocol.

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56 Declarations of interest: none

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58

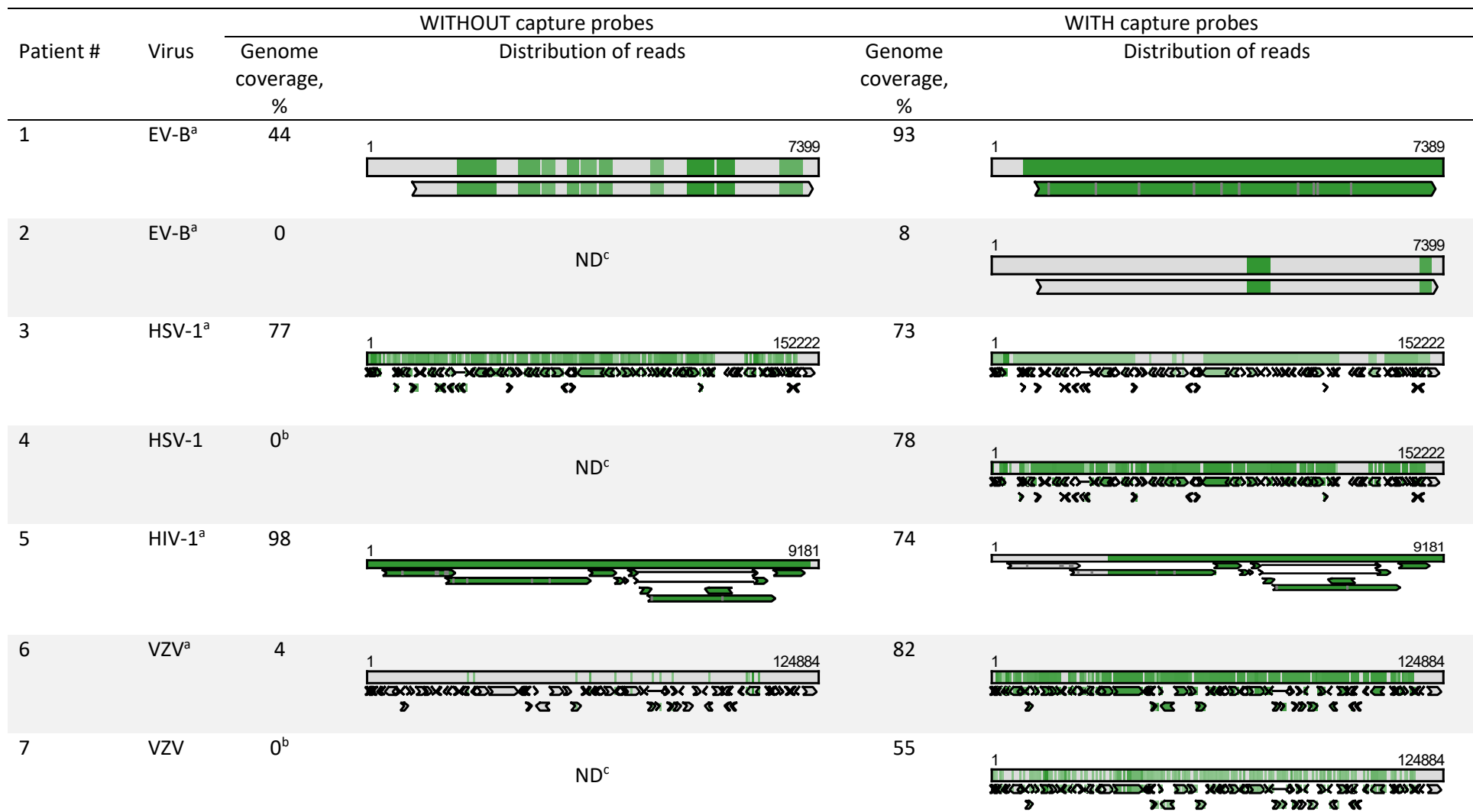
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193



8	VZV	0 ^b		5	
9	EBV	0 ^b		5	
10	EBV ^a	0.1		14	
11	EV-B ^a	7		70	
12	HCMV ^a	65		97	
13	HCMV ^b	0.8		89	
14	HCMV ^b	0.2		83	
15	HCMV ^b	5.8		96	

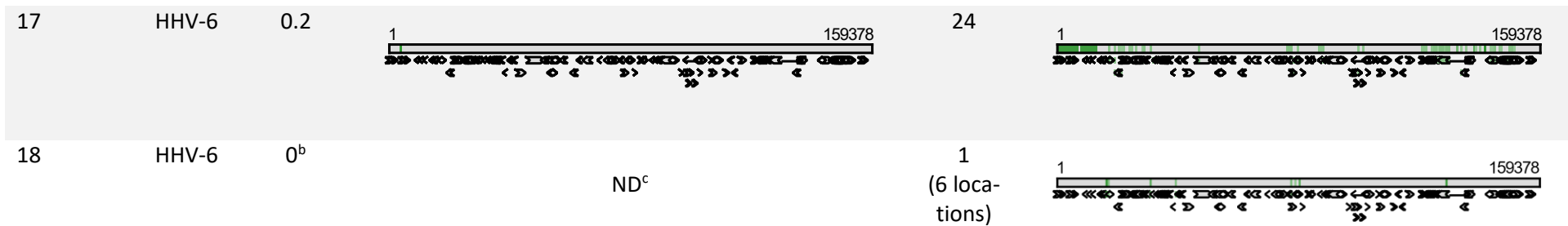


Figure 1. Horizontal genome coverage of PCR target viruses in technical performance study without (left) and with viral capture probes (right). Top bar represents nucleotide alignment, bottom bar(s) represents amino acid alignment, green zone: matching sequences. Sample 16 is not included because of negative PCR results.

EV-B, enterovirus type B; HCMV, human cytomegalovirus; HSV, human simplex virus; VZV, varicellovirus; HIV, human immunodeficiency virus; ND, not detected

² NEBNext Ultra II Directional RNA Library preparation kit with in-house adaptations for total NA sequencing (see table 1 and methods)

^b NEBNext Ultra II DNA Library preparation kit preceded by cDNA synthesis (see table 1 and methods)

^c Not detected (GenomeDetective)