Prakash et al.

1 Quantitative longitudinal predictions of Alzheimer's disease by multi-modal predictive

- 2 learning
- 3
- 4 Prakash, M.^{1, *}, Abdelaziz, M.², Zhang, L.³, Strange, B.A.,^{3,4}, Tohka, J.¹, for the Alzheimer's
- 5 Disease Neuroimaging Initiative. **
- 6
- 7 <u>mithilesh.prakash@uef.fi</u>
- 8 <u>mahmoudabdelaziz@gmail.com</u>
- 9 zhangl87@connect.hku.hk
- 10 <u>bryan.strange@upm.es</u>
- 11 jussi.tohka@uef.fi
- 12
- 13 1. University of Eastern Finland, A.I. Virtanen Institute for Molecular Sciences, Kuopio, Finland
- 14 2. Zewail City of Science and Technology, Giza, Egypt
- Department of Neuroimaging, Alzheimer's Disease Research Centre, Reina Sofia-CIEN
 Foundation, Madrid, Spain
- 17 4. Laboratory for Clinical Neuroscience, CTB, Universidad Politécnica de Madrid, Madrid, Spain
- 18
- 19
- 20

21 *Corresponding Author:

- 22 Mithilesh Prakash, PhD
- 23 A.I. Virtanen Institute for Molecular Sciences
- 24 University of Eastern Finland
- 25 P.O.B. 1627
- 26 FI-70211 Kuopio, Finland
- 27 <u>mithilesh.prakash@uef.fi</u>
- 28

** Data used in the preparation of this article were obtained from the Alzheimer's Disease
 Neuroimaging Initiative (ADNI) database.

Prakash et al.

32 Abstract

33 Background: Quantitatively predicting the progression of Alzheimer's disease (AD) in an 34 individual on a continuous scale, such as AD assessment scale-cognitive (ADAS-cog) scores, is 35 informative for a personalized approach as opposed to qualitatively classifying the individual into a 36 broad disease category. We hypothesize that multi-modal data and predictive learning models can 37 be employed for longitudinally predicting ADAS-cog scores.

38 **Methods:** Multivariate regression techniques were employed to model baseline multi-modal data 39 (demographics, neuroimaging, and cerebrospinal fluid based markers, and genetic factors) and 40 future ADAS-cog scores. Prediction models were subjected to repeated cross-validation and the 41 resulting mean absolute error and cross-validated correlation of the model assessed.

42 **Results:** Prediction models on multi-modal data outperformed single modal data up to 36 months.
43 Incorporating baseline ADAS-cog scores to prediction models marginally improved predictive
44 performance.

45 Conclusions: Future ADAS-cog scores were successfully estimated via predictive learning aiding
46 clinicians in identifying those at greater risk of decline and apply interventions at an earlier disease
47 stage and inform likely future disease progression in individuals enrolled in AD clinical trials.

48 Keywords: Alzheimer's disease, Magnetic resonance imaging, Machine Learning,
49 Neuropsychology, Multivariate, PLS, ADAS-cog

Prakash et al.

51 **1 Background**

Alzheimer's disease (AD) is an irreversible and multi-factorial neurodegenerative disease with a progressive decline in cognitive abilities [1]. AD affects several tens of millions of people globally. Yet, the pathogenesis of AD remains unclear [2]. Cognitive tests, brain volumetry from magnetic resonance imaging (MRI), amyloid load and glucose consumption levels from positron emission tomography (PET), and protein analysis of cerebrospinal fluid (CSF) provide valuable and complementary disease markers to chart the disease progression [3]. Qualitative manual analysis of these markers to diagnose patients could be potentially aided by automated algorithms.

59

60 The classification based on clinical diagnosis places an individual into normal, mild cognitive impairment (MCI), or AD groups [4]. Memory loss (either self-reported or by an associate) is 61 observed during the initial stages of AD [5]. Declining cognitive skills is also common and can 62 potentially lead to dementia [6]. Hence, it is imperative that the disease progression is carefully 63 64 monitored at the earliest stages [7]. AD risk factors include sociodemographic factors (e.g., 65 increasing age and fewer years of education), genetic (APOE expression) and patient medical and 66 family history [8]. A clinical diagnosis of AD is currently a challenge due to lack of clear diagnostic 67 markers of AD, and overlapping clinical features with other dementia types. However at post-68 mortem, AD is characterized by the presence of amyloid β -peptide plaques and accumulations of τ 69 proteins in the brain histology samples [9].

70

The progressive nature of AD makes diagnosing an individual into any of the discrete groups a challenging proposition [10,11]. Conventional progression tracking analyzes clinical changes in MRI, CSF and cognitive biomarkers [12,13], but this could be inefficient as the changes can be slow and difficult to detect [14,15]. The change in these biomarkers is nonlinear with AD's progression, further complicating longitudinal tracking. Therefore, quantifying and tracking the

76 condition of the patient by continuous measures such as ADAS-cog scores has been advocated 77 [16,17]. ADAS-cog is widely used clinically (to measure language, memory, praxis, and other cognitive abilities) and provides an accurate description of the cognitive state on a continuous scale, 78 79 making it an ideal choice in our study [18,19]. The availability of standardized multi-modal data 80 and corresponding longitudinal ADAS-cog scores from research organizations, such as the 81 Alzheimer's Disease Neuroimaging Initiative (ADNI) project, has enabled the development of 82 novel techniques for tracking AD progression by employing machine learning [20]. However, 83 predicting ADAS-cog scores has been reported as very difficult [21]. In the recent Alzheimer's 84 Disease Prediction of Longitudinal Evolution (TADPOLE) Challenge (https://tadpole.grand-85 challenge.org/), forecasts of clinical diagnosis and ventricle volume were very good, whereas, for 86 ADAS-cog, no team participating in the challenge was able to generate forecasts that were 87 significantly better than chance.

88

89 Multivariate regression techniques, such as partial least squares regression (PLSR), support-vector 90 regression (SVR) and random forest regression, enable modeling complex relationships between 91 baseline multi-modal ADNI data (predictors) with future ADAS-cog 13 scores [22,23]. The 92 multivariate nature of the modeling is desirable for the ADAS-cog score trajectory analysis due to 93 the complementary nature of the AD measures. The resulting trajectory predictions could alert 94 clinicians to prescribe appropriately (once disease modifying interventions are available). 95 Moreover, knowing the likely future trajectory of the disease will provide a benchmark with which 96 to test clinical evolution in patients enrolled in clinical trials.

97

We hypothesized that the multivariate regression techniques are well suited for multi-factorialdiseases and that the progression of AD, as indicated by ADAS-cog scores in subsequent timelines,

100 can be accurately predicted. Furthermore, the inclusion of baseline ADAS-cog scores could
101 improve the predictions of the model in subsequent follow-ups.

- 102
- 103 2 Methods

104 **2.1 ADNI Dataset**

Data in this study were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) 105 106 database (http://adni.loni.usc.edu/). In addition to the various summary tables directly provided by 107 ADNI, we used summary tables prepared for the TADPOLE grand challenge based on ADNI data at https://tadpole.grand-challenge) [21,24]. The data are from the TADPOLE tables if not otherwise 108 109 stated. Specific variable names are provided as supplementary Table S.1. The ADNI project started 110 in 2003 as a public-private partnership, led by PI Michael W. Weiner, MD. The main objective of 111 ADNI is to evaluate the application of serial magnetic resonance imaging (MRI), positron emission 112 tomography (PET), other biological markers, and clinical and neuropsychological assessment in a 113 multi-modal approach to determine the longitudinal progression of mild cognitive impairment 114 (MCI) and early Alzheimer's disease (AD). We utilized pre-processed ADNI data because of the 115 standardized processing pipeline that ensured the quality of the data. This multimodal data is readily 116 available for other researchers enabling a direct comparison of the study results. Readers are 117 directed to www.adni-info.org for detailed information on the ADNI project and the TADPOLE 118 challenge https://tadpole.grand-challenge.org constructed by the EuroPOND consortium 119 (http://europond.eu).

120

121 2.1.1 Subjects

122 The characteristics of subjects recruited in the ADNI dataset are described in detail here 123 <u>http://adni.loni.usc.edu/</u>. The trends of the ADAS-cog 13 scores utilized in this study are provided 124 in Figure S.1 and the details of subject characteristics are provided in Table S.2 of the

supplementary section. There are fewer subjects in follow-up visits than in the baseline visit due to subject attrition and missing data. Note that some subjects change diagnostic status over the followup period. The roster identification (RID) numbers of the included subjects are provided as commaseparated values in the supplementary section.

- 129
- 130 2.1.2 MRI

As MRI features, we used 9 features: intracranial volume (ICV), and volumes of the hippocampus, 131 132 entorhinal cortex, and lateral ventricles as well as the latter four divided by the ICV. These features were selected based on previous studies [25]. We included volumes divided by the ICV as it is 133 134 unclear whether raw or ICV-corrected volumes are better predictors of dementia [25,26]. MR imaging protocol details are provided by ADNI at http://adni.loni.usc.edu/methods/mri-tool/mri-135 analysis/. Cortical reconstruction and volumetric segmentation had been performed with the 136 137 FreeSurfer 5.1 image analysis suite. A brief description of the processing is provided in the 138 supplementary material (Section B) [27].

139

140 **2.1.3 AV-45 PET**

As AV-45 PET features, we used standardized uptake values (SUVs) in four regions: frontal cortex, 141 142 cingulate, lateral parietal cortex, and lateral temporal cortex. The AV-45 PET measures amyloid-143 AV-45 PET imaging and preprocessing details are available at beta load in the brain. http://adni.loni.usc.edu/methods/pet-analysis-method/pet-analysis/ [28]. We used regional SUV 144 ratios processed according to the UC Berkeley protocol [28-30]. Each AV-45 PET scan was co-145 146 registered to the corresponding MRI and the mean AV-45 uptake within the regions of interest and reference regions was calculated. Regions of interest were composites of frontal regions, 147 148 anterior/posterior cingulate regions, lateral parietal regions, and lateral temporal regions [31]. The

final PET measurements were the average amyloid-beta uptakes in the four ROIs normalized by thewhole cerebellum reference region.

151

152 **2.1.4 FDG PET**

As FDG-PET features, we used average SUVs in five brain regions: bilateral angular gyri, bilateral 153 154 posterior cingulate gyri, and bilateral inferior temporal gyri. The FDG PET data measures glucose 155 consumption and is shown to be strongly related to dementia and cognitive impairment when 156 compared to normal control subjects [30,32,33]. Motion correction and co-registration with MRI was performed on the acquired PET data. The highest 50% of voxel values within a hand-drawn 157 158 pons/cerebellar vermis region were selected and their mean was used to normalize each ROI measurement resulting in the final FDG PET measurements. Regions of interests were bilateral 159 160 angular gyri, bilateral posterior cingulate gyri, and bilateral inferior temporal gyri.

161

162 **2.1.5 CSF proteins**

163 The baseline CSF A β_{42} , t-tau, and p-tau were used as CSF features [34]. CSF was collected in the 164 morning after an overnight fast using a 20- or 24-gauge spinal needle, frozen within 1 hour of 165 collection, and transported on dry ice to the ADNI Biomarker Core laboratory at the University of 166 Pennsylvania Medical Center. The levels of A β_{42} , t-tau, and p-tau in CSF were used.

167

168 2.1.6 Neuropsychology and behavioral (NePB) assessments

The NePB assessments reflect the cognitive abilities of the subjects. Subjects underwent a battery of NePB tests [35]. We selected to include 5 NePB scores as NePB features: the summary score from Mini-Mental State Examination (MMSE) [36], three summary scores of Rey's auditory verbal learning test (RAVLT; learning, immediate, and percent forgetting) [37], and a summary score from the functional activities Questionnaire (FAQ) [38].

Prakash et al.

174

175 2.1.7 Risk factors: age, education, and APOE

Past studies have found several risk factors contributing to AD [8]. We considered age, the number of APOE e4 alleles, and the years of education. With aging, normal cognitive decline is an accepted phenomenon, but lower education and lower cerebral metabolic activity could accelerate the normal decline [39]. The APOE e4 allele, present in approximately 10-15% of people, increases the risk for late-onset AD and lowers the age of onset. One copy of e4 (e3/e4) can increase risk by 2-3 times while homozygotes (e4/e4) can be at 12 times increased risk [40]. We coded APOE e4 status of absence, single copy or homozygous coded as 0, 1 and 2 respectively.

183

184 **2.1.8 ADAS-cog scores**

185 The ADAS-cog 11 task scale was developed to assess the efficacy of anti-dementia treatments. 186 Further developments to the scale shifted its sensitivity towards pre-dementia syndromes as well, 187 primarily mild cognitive impairment (MCI). The ADAS-cog 13 task scale was one such 188 improvement on the original ADAS-cog 11, with additional memory and attention/executive 189 function tasks [41]. The final 13 tasks test verbal memory (3 tasks), clinician-rated perception (4 190 tasks), and general cognition (6 tasks). It was found to perform better than the ADAS-cog 11 at 191 discriminating between MCI and mild AD patients, as well as have better sensitivity to treatment 192 effects in MCI [42]. As the ADAS-cog 13 fully encompasses the ADAS-cog 11 tasks, it is also 193 backward compatible. As such, we used the ADAS-cog 13 scale for our study as a continuous 194 quantitative measure of a subject's disease status. The scores at baseline, 12-month, 24-month and 195 36-month timelines were obtained from the ADNI dataset (Table S.2). The value (0 to 85) of these 196 scores is lowest for the normal control group and increases with disease progression and the scores 197 are highest for AD subjects.

Prakash et al.

199 2.2 Multivariate regression analysis

We employed multivariate regression to predict ADAS-cog scores based on predictor variables detailed in section 2.1. We considered four different prediction tasks: predicting ADAS-cog score at baseline and at 12, 24, or 36 months after the baseline. In all of these tasks, the predictor variables are from the baseline visit. The group of features (predictors) used for regression are denoted by the column vectors X_i , (i = 0, 1, . . ., L), where L is the number of features (Figure S.2). The ADAScog scores (dependent variable or response variable) are denoted by the column vector Y.

206

We employed widely used machine learning techniques including partial least squares regression (PLSR) [43], support vector regression (SVR) [44], and random forest regression (RF) and created prediction models [45]. Additionally, a genetic algorithm (GA) was utilized to rank the variables in the order of importance in the multi-modal case [46]. The details on these methods are provided in the supplementary section.

212

213 **2.3 Regression modeling and performance metrics**

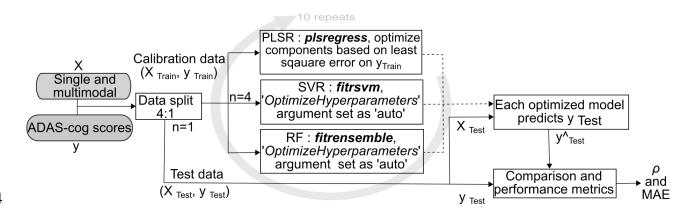




Figure 1: Schematic of regression modeling. X is single or multi-modal predictors and Y is the target value to be predicted. We utilized 5-fold cross-validation repeated 10 times to account for the random assignment of subjects to different folds. Partial least squares regression (PLSR), supportvector regression (SVR) and random forest regression (RF) models were trained and tuned based on training folds and evaluated on test folds. The utilized Matlab function and hyperparameter tuning

are shown in italics. Cross-validated correlation (ρ) and mean absolute error (MAE) metrics were employed and average performance for 10 runs computed.

222

223 The prediction of the ADAS scores (at baseline, 12-months, 24-months, and 36 months) was 224 performed by employing PLSR, SVR, and RF. Both single modal (each modality of Section 2.1 225 alone) and multi-modal predictors (all modalities of Section 2.1 combined.) were considered. All 226 the predictors were from the baseline visit. We evaluated the prediction models using 5-fold 227 repeated cross-validation with 10 repeats, see Figure 1 and Figure S.2. Under single modalities Age, years of education (Edu), number of APOE e4 alleles (APOE) were exactly 1 variable each, 228 229 CSF had 3 variables, AVF45-PET had 4 variables, NePB and FDG had 5 variables each, MRI had 9 variables and hence the multimodal model had a total of 29 variables (Figure S.2). All variables 230 231 were assumed to be continuous and we standardized the variables to be zero-mean and unit standard 232 deviation. The model was evaluated in terms of correlation coefficient (ρ) and the mean absolute 233 error (MAE) between the actual ADAS-cog 13 scores and its model-predicted values. From the 5-234 fold cross-validation, we averaged the resulting 5 distinct values and computed 95% confidence 235 intervals (CIs) using the bootstrapping method. Similarly, MAE and its CIs were computed. The 236 process was repeated 10 times and its distribution analyzed. For mathematical details of these 237 performance metrics as well as the CI computation in the case of repeated cross-validation that 238 takes into account inter-dependency of distinct repeats, readers may consult Lewis et.al. [47].

239

The analyses were performed on MATLAB 2018b (The Mathworks Inc, Natick, MA) using native machine learning functions. The PLSR was executed with *plsregress* function and the optimal number of PLS components was manually selected based on the least root mean square error for training data [48]. SVR was executed with *fitrsvm* and RF with *fitrensemble* and in both methods the models were tuned by setting *OptimizeHyperparameters* argument as *auto* [49,50].

- Additionally, Additionally, GA-PLS was utilized to analyze the importance of each modality in the multimodal PLSR regression models [51].
- 247 (The main codes and resulting *.mat* file are available on GitHub: 248 <u>https://github.com/mithp/ADAS_multimodal.git</u>)
- 249

250 **3 Results**

251 As depicted in Figure 1, we created single modality and multi-modal regression models and 252 compared their performance. The comparison (Figure 3) shows that multi-modal based prediction models outperform single modality consistently in all the timelines (baseline and subsequent 12, 24 253 254 and 36-month follow-up) in all subjects tested (i.e., collapsing over diagnostic categories). The correlation between the predicted ADAS-Cog 13 based on multi-modal data and that observed at 255 256 12, 24 and 36 months, reached 0.86, 0.82, and 0.75, respectively. The performance comparison 257 (Figure S.3) shows that the differences among PLSR, SVR, and RF were not significant (i.e., p > 1258 0.05), except for some instances where PLSR underperformed compared to RF (baseline and 12 259 months: MRI, CSF, and FDG; 24 and 36 months: APOE and multi-modal). However, PLSR models 260 were computationally faster and performed consistently.

261

262 By analyzing the importance of measures (Figure 2) contributing to PLSR's correlation we observe 263 that the neuropsychological and behavioral parameters (NePB) were most important and consistent across time periods for predicting ADAS score, followed by CSF and MRI biomarkers. Despite the 264 265 association of age at baseline, years of education (Edu.) and APOE e4 status with AD risk, thers 266 parameters were found to be least important, perhaps because these factors are somehow reflected in other parameters. By contrast, the importance of amyloid and τ increased when predictions were 267 268 made 36 months in advance (Figure 2). Additionally, metabolic activity in temporal right and left 269 sides were on the opposite ends of the importance in the ADAS-cog score predictions.

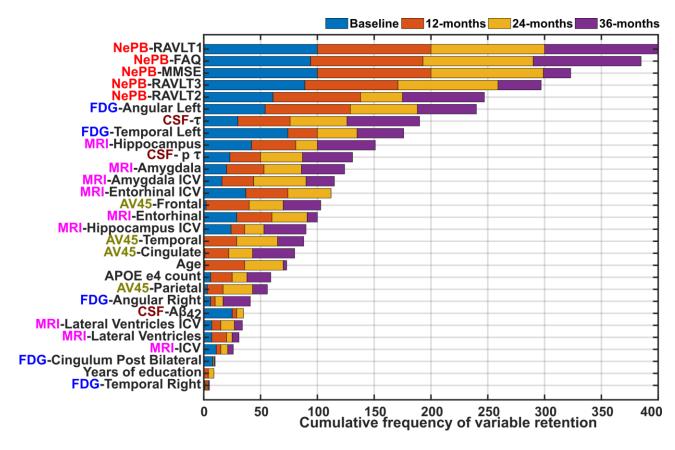


Figure 2: Genetic algorithm-based importance of parameters in correlations as observed for 100 runs for every time period. The frequency indicates the proportional contribution in ADAS-cog 13

273 score prediction. The modality group is prefixed to the variable names.

Prakash et al.

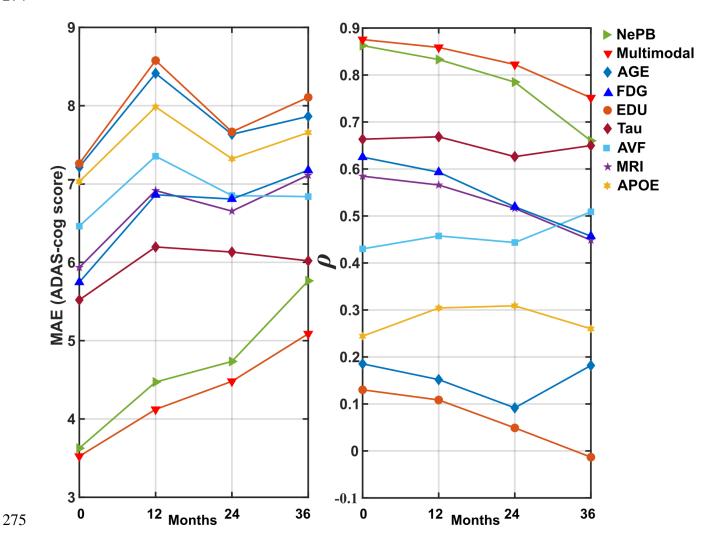


Figure 3: Comparison of single and multi-modal dataset performance – collapsing across diagnostic status – with partial least squares regression. The performance measures cross-validation correlation (ρ) and mean absolute error (MAE) for ADAS-cog scores are plotted for predictions at 0, 12, 24 and 36 months in advance.

280

Grouping data based on diagnosis at baseline (**Figure 4**) and analyzing the performance further magnified the poor correlation when a single modality approach was employed to predict this multifactorial disease. We observe that NePB, single modal, data shows the best predictive performance, in keeping with the fact that the to-be-predicted variable (ADAS-Cog 13) also contains NeBP outcomes. However, the multimodal approach performs better than MCI and AD groups especially

- during 24- and 36-month time periods. Due to the high variation in ADAS scores in AD groups the
- 287 correlation (ρ) and MAE were not inversely proportional to each other.

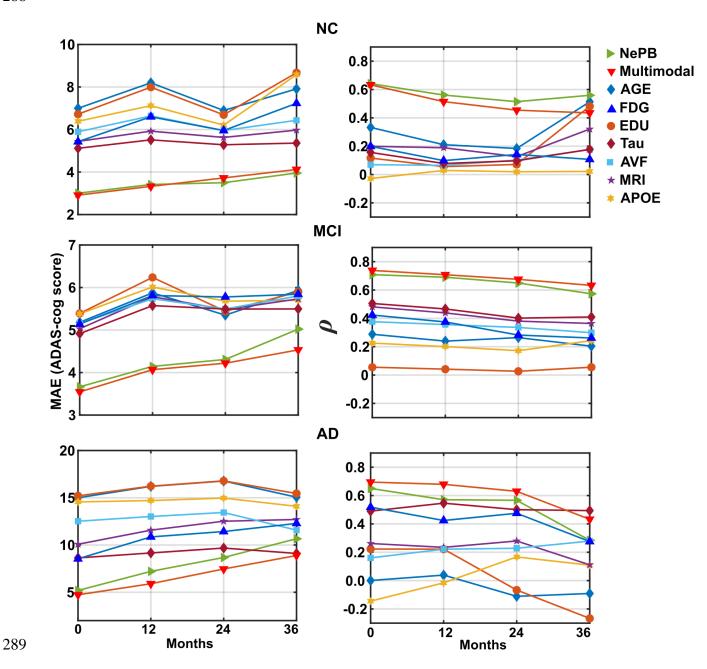


Figure 4: Performance of PLSR on single- and multi-modal data stratified according to baseline clinical diagnosis [normal cognition (NC), mild cognitive impairment (MCI) and Alzheimer's disease (AD)]. The performance measures cross-validation correlation (ρ) and mean absolute error (MAE) for ADAS-cog scores are plotted for predictions at 0, 12, 24 and 36 months in advance.

Prakash et al.

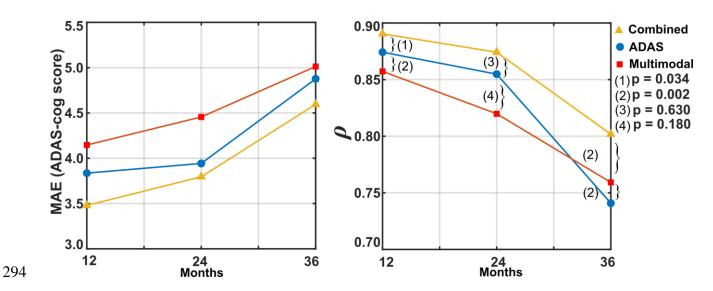


Figure 5: Performance comparison of prediction models utilizing only ADAS scores *vs.* multimodal data with and without the combination with baseline ADAS scores. The p-values correspond to pair-wise differences between the three prediction models at different time periods.

298

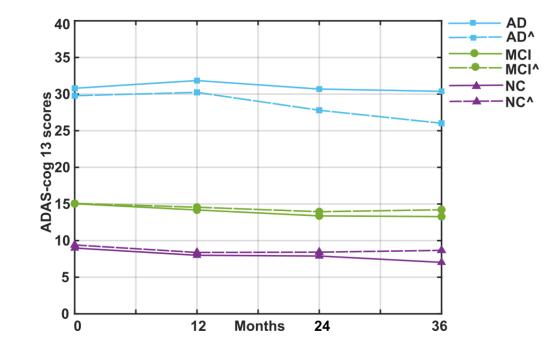


Figure 6: The mean of actual ADAS-cog 13 scores of subjects over 36 months is plotted (solid line) for the 3 diagnostic groups (normal cognition (NC), mild cognitive impairment (MCI) and Alzheimer's disease (AD)). The learning model predicted scores for these time periods are also plotted (dashed lines) for each diagnostic group (model predictions indicated with a caret (^) symbol).

305

Our multimodal approach (multivariate) based prediction models with the inclusion of baseline ADAS-cog scores were better ($\rho = 0.80$ to 0.90, **Figure 5**) than prediction models based only on baseline ADAS-cog scores (univariate, $\rho = 0.75$ to 0.87). The inclusion of the ADAS-cog score with other baseline multi-modal predictors was observed with improvements (p = 0.002 to 0.18) in the correlations. Overall, the prediction models predict well across the time periods and this can be observed when we compare the mean predicted values versus the actual mean values (**Figure 6**).

312

313 4 Discussion

We present a multi-modal regression approach to quantitatively track the progression of Alzheimer's disease and show that it outperforms the conventional single modal approach. Quantification of AD aids clinicians in decisions with treatment and a multi-modal approach ensures that the prediction models consider all biomarkers contributing to the disease condition. Furthermore, conventional classification of patients into normal, MCI or AD could be avoided as a clear distinction amongst the group is a challenging task [52].

320

321 The classification of subjects based on a few modalities has been the focus of most recent studies. 322 Although high classification accuracy (>80%) has been reported [11], we speculate that the impact 323 of mislabeling a subject in the wrong category (and hence, wrong therapy prescribed) is higher than 324 the error in predicting ADAS-cog scores (<5 units). Additionally, ADAS-cog scores are easy to interpret and follow the longitudinal tracking of AD progression. In agreement with the 325 326 classification-based studies [4], the multi-modal approach outperforms the single modality, however, in this study multi-modal data were used for predicting the ADAS-cog scores. 327 328 Furthermore, our multi-modal approach shows that ADAS-cog scores are conducive to longitudinal 329 predictions contrary to Marinescu et al [21], where ADAS-cog scores were concluded not

predictable. We, however, acknowledge that studies were not set up equally as there were timeconstraints, differences in subjects and underutilization of longitudinal data.

332

333 Clinically, NePB tests and ADAS-cog scores measure the subject's cognitive abilities and this 334 similarity was showcased with the observance of higher correlations (Figure 3). CSF biomarkers 335 showed high correlations several studies support this strong relationship between CSF biomarkers 336 and AD state [34]. As the precise pathophysiology and relative contribution of different pathogenic 337 factors to AD at different phases of disease progression are currently still under investigation, the results advocate that instead of manually estimating the best markers, a multi-modal approach is 338 339 beneficial. However, we acknowledge that the variable selection methods can be utilized to select 340 the best AD measures (or create sparse models) utilized in multimodal modeling further improving 341 the robustness of the prediction model.

342

The multivariate techniques (i.e., PLSR, SVR, and RF) were observed to perform very similarly in their predictions but the computation times were different, and this prompted us to favor PLSR. Other nonlinear model selection techniques could improve current results [53]. The subject attrition during follow-ups may have diminished the predictive performance of the model.

347

348 **5** Conclusion

ADAS-cog 13 scores reflect the current cognitive state of individuals, and through multivariate regression and a multi-modal dataset, our results show that quantitative longitudinal prediction of AD progression is possible. Thus, the automated multi-modal approach may help clinicians make timely decisions for interventions at all stages of AD and inform likely disease progression at the start of clinical trials.

Prakash et al.

355 6 References

356 [1]Gaudreault R, Mousseau N. Mitigating Alzheimer's Disease with Natural Polyphenols: A357Review.CurrAlzheimerRes2019;16:529–43.

358 https://doi.org/10.2174/1567205016666190315093520.

- 359 [2] Association A. 2019 Alzheimer's disease facts and figures. Alzheimer's Dement
 2019;15:321–87. https://doi.org/10.1016/j.jalz.2019.01.010.
- 361 [3] Mueller SG, Weiner MW, Thal LJ, Petersen RC, Jack CR, Jagust W, et al. Ways toward an
 362 early diagnosis in Alzheimer's disease: The Alzheimer's Disease Neuroimaging Initiative
- 363 (ADNI). Alzheimer's Dement 2005;1:55–66. https://doi.org/10.1016/j.jalz.2005.06.003.
- 364 [4] Zhang D, Wang Y, Zhou L, Yuan H, Shen D. Multimodal classification of Alzheimer's
 365 disease and mild cognitive impairment. Neuroimage 2011;55:856–67.
 366 https://doi.org/10.1016/j.neuroimage.2011.01.008.
- 367 [5] Perry RJ, Watson P, Hodges JR. The nature and staging of attention dysfunction in early
 368 (minimal and mild) Alzheimer's disease: Relationship to episodic and semantic memory
 369 impairment. Neuropsychologia 2000;38:252–71. https://doi.org/10.1016/S0028370 3932(99)00079-2.
- Morris JC, Storandt M, Miller JP, McKeel DW, Price JL, Rubin EH, et al. Mild cognitive
 impairment represents early-stage Alzheimer disease. Arch Neurol 2001;58:397–405.
 https://doi.org/10.1001/archneur.58.3.397.
- 374 [7] Nestor PJ, Scheltens P, Hodges JR. Advances in the early detection of alzheimer's disease.
 375 Nat Rev Neurosci 2004;10:S34. https://doi.org/10.1038/nrn1433.
- [8] Duara R, Barker WW, Lopez-Alberola R, Loewenstein DA, Grau LB, Gilchrist D, et al. 376 Alzheimer's disease: Interaction of apolipoprotein E genotype, family history of dementia, 377 378 education, ethnicity, Neurology 1996;46:1575-9. gender, and age of onset. https://doi.org/10.1212/WNL.46.6.1575. 379

- 380 [9] Selkoe DJ. The molecular pathology of Alzheimer's disease. Neuron 1991;6:487–98.
 381 https://doi.org/10.1016/0896-6273(91)90052-2.
- Teipel S, Drzezga A, Grothe MJ, Barthel H, Chételat G, Schuff N, et al. Multimodal imaging
 in Alzheimer's disease: Validity and usefulness for early detection. Lancet Neurol
 2015;14:1037–53. https://doi.org/10.1016/S1474-4422(15)00093-9.
- [11] Rathore S, Habes M, Iftikhar MA, Shacklett A, Davatzikos C. A review on neuroimagingbased classification studies and associated feature extraction methods for Alzheimer's
 disease and its prodromal stages. Neuroimage 2017;155:530–48.
 https://doi.org/10.1016/j.neuroimage.2017.03.057.
- Jack CR, Knopman DS, Jagust WJ, Petersen RC, Weiner MW, Aisen PS, et al. Tracking
 pathophysiological processes in Alzheimer's disease: An updated hypothetical model of
 dynamic biomarkers. Lancet Neurol 2013;12:207–16. https://doi.org/10.1016/S14744422(12)70291-0.
- Keihaninejad S, Zhang H, Ryan NS, Malone IB, Modat M, Cardoso MJ, et al. An unbiased
 longitudinal analysis framework for tracking white matter changes using diffusion tensor
 imaging with application to Alzheimer's disease. Neuroimage 2013;72:153–63.
 https://doi.org/10.1016/j.neuroimage.2013.01.044.
- Jack CR, Holtzman DM. Biomarker modeling of alzheimer's disease. Neuron 2013;80:1347–
 58. https://doi.org/10.1016/j.neuron.2013.12.003.
- 399 [15] Growdon JH. Incorporating biomarkers into clinical drug trials in Alzheimer's disease. J
 400 Alzheimers Dis 2001;3:287–92. https://doi.org/10.3233/jad-2001-3303.
- 401 [16] Yang E, Farnum M, Lobanov V, Schultz T, Raghavan N, Samtani MN, et al. Quantifying the
 402 pathophysiological timeline of Alzheimer's disease. J Alzheimer's Dis 2011;26:745–53.
 403 https://doi.org/10.3233/JAD-2011-110551.
- 404 [17] William-Faltaos D, Chen Y, Wang Y, Gobburu J, Zhu H. Quantification of disease

- 405 progression and dropout for Alzheimer's disease. Int J Clin Pharmacol Ther 2013;51:120–31.
 406 https://doi.org/10.5414/CP201787.
- 407 [18] Skinner J, Carvalho JO, Potter GG, Thames A, Zelinski E, Crane PK, et al. The Alzheimer's
 408 Disease Assessment Scale-Cognitive-Plus (ADAS-Cog-Plus): An expansion of the ADAS409 Cog to improve responsiveness in MCI. Brain Imaging Behav 2012;6:489–501.
- 410 https://doi.org/10.1007/s11682-012-9166-3.
- 411 [19] Kueper JK, Speechley M, Montero-Odasso M. The Alzheimer's Disease Assessment Scale-412 Cognitive Subscale (ADAS-Cog): Modifications and Responsiveness in Pre-Dementia 413 Populations. А Narrative Review. J Alzheimer's Dis 2018;63:423-44. 414 https://doi.org/10.3233/JAD-170991.
- 415 [20] Zhang D, Shen D. Predicting Future Clinical Changes of MCI Patients Using Longitudinal
 416 and Multimodal Biomarkers. PLoS One 2012;7:e33182.
 417 https://doi.org/10.1371/journal.pone.0033182.
- 418 [21] Marinescu R V., Oxtoby NP, Young AL, Bron EE, Toga AW, Weiner MW, et al. TADPOLE
 419 Challenge: Accurate Alzheimer's Disease Prediction Through Crowdsourced Forecasting of
 420 Future Data, 2019, p. 1–10. https://doi.org/10.1007/978-3-030-32281-6_1.
- 421 Steenland K, Zhao L, Goldstein F, Cellar J, Lah J. Biomarkers for predicting cognitive [22] 422 decline in those with normal cognition. J Alzheimers Dis 2014;40:587-94. https://doi.org/10.3233/JAD-2014-131343. 423
- 424 [23] Benge JF, Balsis S, Geraci L, Massman PJ, Doody RS. How well do the ADAS-cog and its
 425 subscales measure cognitive dysfunction in Alzheimer's disease? Dement Geriatr Cogn
 426 Disord 2009;28:63–9. https://doi.org/10.1159/000230709.
- 427 [24] Marinescu R V., Oxtoby NP, Young AL, Bron EE, Toga AW, Weiner MW, et al. TADPOLE
 428 Challenge: Prediction of Longitudinal Evolution in Alzheimer's Disease 2018.
- 429 [25] Gómez-Sancho M, Tohka J, Gómez-Verdejo V. Comparison of feature representations in

- 430 MRI-based MCI-to-AD conversion prediction. Magn Reson Imaging 2018;50:84–95.
 431 https://doi.org/10.1016/j.mri.2018.03.003.
- 432 [26] Voevodskaya O. The effects of intracranial volume adjustment approaches on multiple
 433 regional MRI volumes in healthy aging and Alzheimer's disease. Front Aging Neurosci
 434 2014;6. https://doi.org/10.3389/fnagi.2014.00264.
- 435 [27] Reuter M, Schmansky NJ, Rosas HD, Fischl B. Within-subject template estimation for
 436 unbiased longitudinal image analysis. Neuroimage 2012;61:1402–18.
 437 https://doi.org/10.1016/j.neuroimage.2012.02.084.
- 438 [28] Johnson KA, Sperling RA, Gidicsin CM, Carmasin JS, Maye JE, Coleman RE, et al. 439 Florbetapir (F18-AV-45) PET to assess amyloid burden in Alzheimer's disease dementia, 440 mild cognitive impairment, and normal aging. Alzheimer's Dement 2013;9. https://doi.org/10.1016/j.jalz.2012.10.007. 441
- Landau SM, Mintun MA, Joshi AD, Koeppe RA, Petersen RC, Aisen PS, et al. Amyloid
 deposition, hypometabolism, and longitudinal cognitive decline. Ann Neurol 2012;72:578–
 86. https://doi.org/10.1002/ana.23650.
- 445 [30] Landau SM, Lu M, Joshi AD, Pontecorvo M, Mintun MA, Trojanowski JQ, et al. Comparing
 446 positron emission tomography imaging and cerebrospinal fluid measurements of β-amyloid.
 447 Ann Neurol 2013;74:826–36. https://doi.org/10.1002/ana.23908.
- 448 [31] Mormino EC, Kluth JT, Madison CM, Rabinovici GD, Baker SL, Miller BL, et al. Episodic
 449 memory loss is related to hippocampal-mediated β-amyloid deposition in elderly subjects.
 450 Brain 2009;132:1310–23. https://doi.org/10.1093/brain/awn320.
- 451 [32] Landau SM, Harvey D, Madison CM, Koeppe RA, Reiman EM, Foster NL, et al.
 452 Associations between cognitive, functional, and FDG-PET measures of decline in AD and
 453 MCI. Neurobiol Aging 2011;32:1207–18.
- 454 https://doi.org/10.1016/j.neurobiolaging.2009.07.002.

- [33] Jagust WJ, Bandy D, Chen K, Foster NL, Landau SM, Mathis CA, et al. The Alzheimer's
 Disease Neuroimaging Initiative positron emission tomography core. Alzheimer's Dement
 2010;6:221–9. https://doi.org/10.1016/j.jalz.2010.03.003.
- 458 [34] Shaw LM, Vanderstichele H, Knapik-Czajka M, Clark CM, Aisen PS, Petersen RC, et al.
 459 Cerebrospinal fluid biomarker signature in alzheimer's disease neuroimaging initiative
 460 subjects. Ann Neurol 2009;65:403–13. https://doi.org/10.1002/ana.21610.
- 461 [35] Battista P, Salvatore C, Castiglioni I. Optimizing neuropsychological assessments for
 462 cognitive, behavioral, and functional impairment classification: A machine learning study.
 463 Behav Neurol 2017;2017. https://doi.org/10.1155/2017/1850909.
- 464 [36] Folstein MF, Folstein SE, McHugh PR. "Mini-mental state". A practical method for grading
 465 the cognitive state of patients for the clinician. J Psychiatr Res 1975;12:189–98.
 466 https://doi.org/10.1016/0022-3956(75)90026-6.
- 467 [37] Rey A. L'examin clinique en psychologie. Press Univ Fr 1958.
 468 https://psycnet.apa.org/record/1959-03776-000 (accessed December 30, 2019).
- 469 [38] Pfeffer RI, Kurosaki TT, Harrah CH, Chance JM, Filos S. Measurement of functional
 470 activities in older adults in the community. Journals Gerontol 1982;37:323–9.
 471 https://doi.org/10.1093/geronj/37.3.323.
- 472 [39] Prencipe M, Casini AR, Ferretti C, Lattanzio MT, Fiorelli M, Culasso F. Prevalence of
 473 dementia in an elderly rural population: effects of age, sex, and education. J Neurol
 474 Neurosurg Psychiatry 1996;60:628–33. https://doi.org/10.1136/jnnp.60.6.628.
- 475 [40] Michaelson DM. APOE ε4: The most prevalent yet understudied risk factor for Alzheimer's
 476 disease. Alzheimer's Dement 2014;10:861–8. https://doi.org/10.1016/j.jalz.2014.06.015.
- 477 [41] Mohs RC, Knopman D, Petersen RC, Ferris SH, Ernesto C, Grundman M, et al.
 478 Development of cognitive instruments for use in clinical trials of antidementia drugs:
 479 Additions to the Alzheimer's disease assessment scale that broaden its scope. Alzheimer Dis

400 ASSOC DISOID 1997,11. https://doi.org/10.1097/00002095-199700112-0000	480	Assoc Disord 1997;11. https://doi.org/10.1097/00002093-199700112-00003
---	-----	--

- 481 [42] Raghavan N, Samtani MN, Farnum M, Yang E, Novak G, Grundman M, et al. The ADAS-
- 482 Cog revisited: Novel composite scales based on ADAS-Cog to improve efficiency in MCI
- 483 and early AD trials. Alzheimer's Dement 2013;9. https://doi.org/10.1016/j.jalz.2012.05.2187.
- 484 [43] Krishnan A, Williams LJ, McIntosh AR, Abdi H. Partial Least Squares (PLS) methods for
 485 neuroimaging: A tutorial and review. Neuroimage 2011;56:455–75.
 486 https://doi.org/10.1016/j.neuroimage.2010.07.034.
- 487 [44] Zhang D, Shen D. Multi-modal multi-task learning for joint prediction of multiple regression
 488 and classification variables in Alzheimer's disease. Neuroimage 2012;59:895–907.
 489 https://doi.org/10.1016/j.neuroimage.2011.09.069.
- 490 [45] Gray KR, Aljabar P, Heckemann RA, Hammers A, Rueckert D. Random forest-based
 491 similarity measures for multi-modal classification of Alzheimer's disease. Neuroimage
 492 2013;65:167–75. https://doi.org/10.1016/j.neuroimage.2012.09.065.
- 493 [46] Leardi R, Boggia R, Terrile M. Genetic algorithms as a strategy for feature selection. J
 494 Chemom 1992;6:267–81. https://doi.org/10.1002/cem.1180060506.
- 495 [47] Lewis JD, Evans AC, Tohka J. T1 white/gray contrast as a predictor of chronological age,
 496 and an index of cognitive performance. Neuroimage 2018;173:341–50.
 497 https://doi.org/10.1016/j.neuroimage.2018.02.050.
- 498 [48] de Jong S. SIMPLS: An alternative approach to partial least squares regression. Chemom
 499 Intell Lab Syst 1993;18:251–63. https://doi.org/10.1016/0169-7439(93)85002-X.
- 500
 [49]
 Breiman
 L.
 Bagging
 predictors.
 Mach
 Learn
 1996;24:123–40.

 501
 https://doi.org/10.1007/BF00058655.
- 502
 [50]
 Breiman
 L.
 Random
 forests.
 Mach
 Learn
 2001;45:5–32.

 503
 https://doi.org/10.1023/A:1010933404324.
- 504 [51] R. Leardi and A. Lupiáñez. Genetic algorithms applied to feature selection in PLS regression:

505	how and when to use them	. Chemom Intell Lab S	yst 1998;41:95-207.
-----	--------------------------	-----------------------	---------------------

- 506 [52] Leyhe T, Reynolds CF, Melcher T, Linnemann C, Klöppel S, Blennow K, et al. A common
 507 challenge in older adults: Classification, overlap, and therapy of depression and dementia.
 508 Alzheimer's Dement 2017;13:59–71. https://doi.org/10.1016/j.jalz.2016.08.007.
- 509 [53] Chouldechova A, Hastie T. Generalized Additive Model Selection. ArXiv Prepr
 510 ArXiv150603850 2015.
- 511

512 **7 Figure legends**

Figure 1: Schematic of regression modeling. X is single or multi-modal predictors and Y is the target value to be predicted. We utilized 5-fold cross-validation repeated 10 times to account for the random assignment of subjects to different folds. Partial least squares regression (PLSR), supportvector regression (SVR) and random forest regression (RF) models were trained and tuned based on training folds and evaluated on test folds. The utilized Matlab function and hyperparameter tuning are shown in italics. Cross-validated correlation (ρ) and mean absolute error (MAE) metrics were employed and average performance for 10 runs computed.

520

521 Figure 2: Genetic algorithm-based importance of parameters in correlations as observed for 100 522 runs for every time period. The frequency indicates the proportional contribution in ADAS-cog 13 523 score prediction. The modality group is prefixed to the variable names.

524

525 Figure 3: Comparison of single and multi-modal dataset performance – collapsing across 526 diagnostic status – with partial least squares regression. The performance measures cross-validation 527 correlation (ρ) and mean absolute error (MAE) for ADAS-cog scores are plotted for predictions at 528 0, 12, 24 and 36 months in advance.

530	Figure 4: Performance of PLSR on single- and multi-modal data stratified according to baseline
531	clinical diagnosis [normal cognition (NC), mild cognitive impairment (MCI) and Alzheimer's
532	disease (AD)]. The performance measures cross-validation correlation (ρ) and mean absolute error
533	(MAE) for ADAS-cog scores are plotted for predictions at 0, 12, 24 and 36 months in advance.
534	
535	Figure 5: Performance comparison of prediction models utilizing only ADAS scores vs.
536	multimodal data with and without the combination with baseline ADAS scores. The p-values
537	correspond to pair-wise differences between the three prediction models at different time periods.
538	
539	Figure 6: The mean of actual ADAS-cog 13 scores of subjects over 36 months is plotted (solid line)
540	for the 3 diagnostic groups (normal cognition (NC), mild cognitive impairment (MCI) and
541	Alzheimer's disease (AD)). The learning model predicted scores for these time periods are also
542	plotted (dashed lines) for each diagnostic group (model predictions indicated with a caret (^)
543	symbol).
544	
545	
546	Figures in supplementary section
547	
548	Figure S.1: The mean ADAS-cog 13 scores of subjects for different time periods and conversion of
549	subjects in different categories. The subjects are grouped by the diagnosis as normal cognition
550	(NC), mild cognitive impairment (MCI) and Alzheimer's disease (AD).
551	
552	Figure S.2: Regression modeling structure. Single modality uses one predictor at a time while
553	multi-modal uses all the predictors as indicated above. The sample size for baseline ($N = 757$), 12-
554	months (N = 629), 24-months (N = 563) and 36-months (N = 314) were different due to missing

555 values (cohort attrition). The predictors consist of age at baseline, years of formal education (Edu.), 556 APOE e4 status (absence, single copy or homozygous coded as 0, 1 and 2 respectively), MRI-557 parameters, neuropsychiatric and behavioral assessment (NePB), derived AV45-PET 558 measurements, CSF biomarkers (amyloid- β , τ , $p\tau$) and FDG-PET measures. The number of features is indicated above each modality abbreviations. All the variables were considered as continuous and 559 560 standardized to be zero-mean and unit standard deviation.

561

Figure S.3: Comparison of single and multi-modal dataset performance – collapsing across diagnostic status – with partial least squares regression (PLSR), support-vector regression (SVR) and random forest regression (RF). The performances are shown for cross-validation correlation (ρ) and mean absolute error (MAE).

566

567 **Figure S.4:** Genetic algorithm-based importance of parameters in contributing to increasing 568 correlation as observed for 100 runs for the 36-month time period. The frequency indicates the 569 proportional contribution in ADAS-cog 13 score prediction.

570

571 8 Table legends

572

573 **Table S.1:** Specific variable names from the TADPOLE D1_D2 dataset (1 to 5, details as in TADPOLE_D1_D2_Dict.csv) and FDG-PET from UCBERKELEYFDG_07_30_15 table.

575

576 **Table S.2:** Summary of the subject demographics and ADAS-cog 13 scores.

Prakash et al.

578 Acknowledgments

579 This study was funded by the Research Committee of the Kuopio University Hospital Catchment 580 Area for the State Research Funding (5041778) and The Finnish Foundation for Technology 581 Promotion (8193, 6227) as well as The Academy of Finland (grant 316258 to JT).

582

583 Data collection and sharing for this project was funded by the Alzheimer's Disease Neuroimaging Initiative (ADNI) (National Institutes of Health Grant U01 AG024904) and DOD ADNI 584 585 (Department of Defense award number W81XWH-12-2-0012). ADNI is funded by the National Institute on Aging, the National Institute of Biomedical Imaging and Bioengineering, and through 586 generous contributions from the following: AbbVie, Alzheimer's Association; Alzheimer's Drug 587 Discovery Foundation; Araclon Biotech; BioClinica, Inc.; Biogen; Bristol-Myers Squibb Company; 588 589 CereSpir, Inc.; Cogstate; Eisai Inc.; Elan Pharmaceuticals, Inc.; Eli Lilly and Company; EuroImmun; F. Hoffmann-La Roche Ltd and its affiliated company Genentech, Inc.; Fujirebio; GE 590 591 Healthcare; IXICO Ltd.; Janssen Alzheimer Immunotherapy Research & Development, LLC.; 592 Johnson & Johnson Pharmaceutical Research & Development LLC.; Lumosity; Lundbeck; Merck 593 & Co., Inc.; Meso Scale Diagnostics, LLC.; NeuroRx Research; Neurotrack Technologies; Novartis 594 Pharmaceuticals Corporation; Pfizer Inc.; Piramal Imaging; Servier; Takeda Pharmaceutical 595 Company; and Transition Therapeutics. The Canadian Institutes of Health Research is providing 596 funds to support ADNI clinical sites in Canada. Private sector contributions are facilitated by the 597 Foundation for the National Institutes of Health (www.fnih.org). The grantee organization is the 598 Northern California Institute for Research and Education, and the study is coordinated by the 599 Alzheimer's Therapeutic Research Institute at the University of Southern California. ADNI data are 600 disseminated by the Laboratory for Neuro Imaging at the University of Southern California.

601

602 This work made use of the TADPOLE data sets <u>https://tadpole.grand-challenge.org</u> constructed by

603	the EuroPOND consortium http://europond.eu funded by the European Union's Horizon 2020
604	research and innovation program under grant agreement No 666992. The computational analysis
605	was run on the servers provided by Bioinformatics Center, University of Eastern Finland, Finland.
606	
607	9 Highlights
608	• A quantitative approach to track Alzheimer's disease.
609	• The multi-modal approach enabled predicting ADAS-cog scores from 12 to 36 months.
610	• The combination of multimodal data and baseline ADAS scores enhanced the predictions of future
611	timelines.
612	
613	10 Authors contributions
613 614	10 Authors contributionsPrakash, M.: Algorithm design and Data analysis.
614	Prakash, M.: Algorithm design and Data analysis.
614 615	Prakash, M.: Algorithm design and Data analysis.Abdelaziz, M.: Data analysis.
614 615 616	Prakash, M.: Algorithm design and Data analysis.Abdelaziz, M.: Data analysis.Zhang, L. and Strange, B.: Clinical validation and supervision.
614 615 616 617	 Prakash, M.: Algorithm design and Data analysis. Abdelaziz, M.: Data analysis. Zhang, L. and Strange, B.: Clinical validation and supervision. Tohka, J.: Study design and conception.
614 615 616 617 618	 Prakash, M.: Algorithm design and Data analysis. Abdelaziz, M.: Data analysis. Zhang, L. and Strange, B.: Clinical validation and supervision. Tohka, J.: Study design and conception.
 614 615 616 617 618 619 	 Prakash, M.: Algorithm design and Data analysis. Abdelaziz, M.: Data analysis. Zhang, L. and Strange, B.: Clinical validation and supervision. Tohka, J.: Study design and conception. All authors contributed to the preparation and approval of the final submitted manuscript.