Research Article

Small dendritic synapses enhance temporal coding in a model of cochlear nucleus bushy cells

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Koert et al.

Bushy-cell dendritic inputs

1 Abstract

Spherical bushy cells (SBC) in the the anteroventral cochlear nucleus can improve the temporal precision 2 of the auditory nerve spiking activity despite receiving sometimes only a single suprathreshold axosomatic 3 input. The interaction with small dendritic inputs could provide a possible explanation for this phenomenon. 4 In a compartment model of spherical bushy cells with a stylized or realistic three-dimensional representation 5 of the bushy dendrite we explored this proposal. Phase-locked dendritic inputs caused both a tonic 6 depolarization and a modulation of the SBC membrane potential at the frequency of the stimulus but for 7 plausible model parameters do not cause output action potentials (AP). The tonic depolarization increased 8 the excitability of the SBC model. The modulation of the membrane potential caused a phase-dependent 9 increase in the efficacy of the main axosomatic input to cause output AP. These effects increased the rate and 10 the temporal precision of output AP. Rate was mainly increased for stimulus frequencies at and below the 11 characteristic frequency of the main input. Precision mostly increased for higher frequencies above about 12 1 kHz. Dendritic morphological parameters, biophysical parameters of the dendrite and the synaptic inputs 13 and tonotopic parameters of the inputs all affected the impact of dendritic synapses. This suggested the 14 possibility of fine tuning of the effect the dendritic inputs have for different coding demands or input 15 frequency ranges. Excitatory dendritic inputs modulate the processing of the main input and are thus a 16 plausible mechanism for the improvement of temporal precision in spherical bushy cells. 17

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19 Introduction

Spherical bushy cells (SBC) are monaural neurons in the anteroventral part of the mammalian cochlear 20 nucleus (Bazwinsky et al., 2008). They receive narrow-band input (Blackburn and Sachs, 1989) from the 21 auditory nerve (AN) via the endbulb of Held axosomatic terminals (Ryugo and Parks, 2003; Felmy and 22 Künzel, 2014) and project their axons to binaural nuclei in the sound localization circuitry of the superior 23 olivary nucleus (Cant and Benson, 2003). SBC maintain the temporal code contained in AN action potentials 24 and have been reported to improve the precision of phase locking (Joris et al., 1994a,b; Young and Sachs, 25 2008; Kuenzel et al., 2011; Keine and Rübsamen, 2015; Wei et al., 2017) sometimes beyond what individual 26 AN fibers are capable of (Versteegh et al., 2011). The mechanism for this is well understood in the case of 27 numerous phase-locked subtreshold inputs: several events have to precisely coincide to cause an action 28 potential (Rothman et al., 1993; Xu-Friedman and Regehr, 2005a) which causes a narrower distribution of 29 output phase angles. This seemed to be the case for SBC with high characteristic frequencies and for 30 globular bushy cells (Spirou et al., 2005). Enhancement of temporal precision by the coincidence of 31 numerous inputs has also been successfully demonstrated in computer simulations of SBC (Rothman et al., 32 1993; Rothman and Young, 1996). For SBC that receive only a small number of supra-threshold inputs (Cao 33 and Oertel, 2010) a different mechanism was proposed: here only the first active suprathreshold input caused 34

Bushy-cell dendritic inputs

an output action potential (Xu-Friedman and Regehr, 2005b). This biased and sharpened the distribution of temporal positions contained in the output towards early suprathreshold events. Given that endbulb of Held terminals were reported to be much less effective in vivo (Borst, 2010; Kuenzel et al., 2011), inhibitory inputs to SBC (Kuenzel et al., 2011; Campagnola and Manis, 2014; Nerlich et al., 2014; Keine and Rübsamen, 2015; Kuenzel et al., 2015; Keine et al., 2016) also play a major role in both scenarios by dynamically setting the action potential threshold.

Interestingly, in a subset of low-frequency SBC that often receive only a single, very strong endbulb of 41 Held input, enhancement of temporal precision was also observed (Smith et al., 1993; Joris et al., 1994a; 42 Kuenzel et al., 2011). This finding cannot be explained by the mechanisms discussed above. This conundrum 43 was termed the spherical cell puzzle (Joris and Smith, 2008). What is the source of additional information for 44 these low-frequency, single-input SBC? First it has to be noted that even these low-frequency SBC do not 45 only receive a single synaptic input. In fact a wide array of smaller inputs from various sources converge on 46 the dendritic structures of SBC (Kuenzel, 2019), some being AN fibers (Gómez-Nieto and Rubio, 2009) of 47 the same characteristic frequency as the endbulb input. We hypothesize that these phase-coupled weak inputs 48 act as a "hidden" augmentation to the main input and represent the extra information needed to enhance the 49 temporal precision in these neurons. In-vivo studies by Keine and Rübsamen (2015) and Kuenzel et al. 50 (2011) indeed showed that the strongest EPSP of a single endbulb showed best timing. This was also a 51 required feature for the increase in temporal precision in one of our prior modeling studies (Kuenzel et al., 52 2015). Unfortunately there is little concrete physiological information available on small excitatory dendritic 53 inputs (Cao and Oertel, 2010) and the dendritic properties of SBC (Oertel et al., 2008). Based on 54 morphological data it is assumed that dendritic AN inputs to SBC are numerous but weak, bouton-like 55 contacts (Gómez-Nieto and Rubio, 2009). At least some were reported to be additional axodendritic 56 connections of axosomatic AN terminals (Ostapoff and Morest, 1991; Ryugo and Sento, 1991; Gómez-Nieto 57 and Rubio, 2009). 58

We therefore designed the following modeling study with two objectives in mind: first we wanted to 59 explore whether and how a number of phase-locked but weak dendritic inputs can shape the input-output 60 relation of the main axosomatic input, especially with regard to temporal coding. And second, we sought to 61 deduce and discuss the range of physiological parameters of both the dendritic inputs and the dendritic 62 structures of SBC at which phase-locked dendritic inputs could help temporal processing. To achieve these 63 goals we developed a compartment model of SBC closely based on physiological data and attached either a 64 stylized or a realistic 3D-dendritic structure. We explored what the impact of dendritic inputs was on SBC 65 subthreshold membrane potentials as well as their interaction with the endbulb of Held terminal. Our results 66 indicated that small phase-locked dendritic inputs can improve the encoding of temporal information 67 contained in the main axosomatic input and thus represent one likely piece of the spherical cell puzzle. 68

Bushy-cell dendritic inputs

Koert et al.

70 Material and Methods

71 Staining and 3D-reconstruction of gerbil spherical bushy cells

For N = 18 spherical bushy cells filled with biocytin during whole-cell recordings in acute gerbil brain 72 73 slices we obtained morphological data. Recording techniques and the staining approach was described in detail elsewhere before (Gover et al., 2016; Gillet et al., 2018; Gillet et al., 2020). Briefly, cells were 74 recovered post-hoc by fixation of the slices in 4 % paraformaldhyde in 0.1 M phosphate buffer overnight. 75 After washing with buffered saline solution containing 0.3 % Triton X-100, slices were incubated with 76 Alexa-streptavidin conjugate (Thero Fischer Scientific) diluted 1:800 in 0.1 M phosphate buffer containing 77 0.1 % Triton X-100 and 1 % bovine serum albumine for 3 h at RT. Washed (TRIS-buffered saline containing 78 0.3 % Triton X-100) slices were then mounted in Fluoprep medium (bioMerieux) surrounded by a spacer 79 frame (240 µm adhesive tapes; Grace Bio-Labs) between two glass coverslips. 80

SBC were visualized with a confocal laser-scanning microscope (Leica TCS SP2, Leica Microsystems) at 81 high resolution. The number of images per stack was adjusted to assure the z-resolution was $\leq 0.5 \,\mu\text{m}$. 82 Cellular structures in these confocal stacks were reconstructed in 3D using the "Simple Neurite Tracer" 83 plugin (Longair et al., 2011) for FIJI/ImageJ (Schindelin et al., 2012). Besides a description of each dendritic 84 section as a hierarchic list of paths defined by points in x-, y- and z-coordinates, this included a diameter d 85 for every coordinate. The diameter of each segment was derived by volume fitting the dendritic segment in 86 the confocal image as described in Longair et al. (2011). We defined an arbitrary minimal diameter of 1 μ m 87 for dendritic segments. This was done because the volume fitting procedure, being based on the local 88 fluorescence intensity of the image, produced numerous segments with diameters close to 0 um. Somatic and 89 axonal structures were reconstructed but not further analyzed. Dendritic structures were analyzed by 90 counting the intersects of dendritic segments with spheres of increasing radii in 3D space (Sholl, 1953; 91 Malinowski et al., 2019). Data describing both the 3D-shape as well as the identity and connectivity of all 92 dendritic segments were exported from the FIJI-plugin and imported into our custom Python model code (see 93 94 below).

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96 Compartment model of gerbil spherical bushy cells

Using NEURON (version 7.6.2) as a module in Python 2.7 running in Ubuntu Linux Server 18.04 we created a compartment model of gerbil SBC as previously published (Nerlich et al., 2014; Kuenzel et al., 2015; Goyer et al., 2016). The python code necessary to generate the figures of this manuscript is available for inspection as a git repository at *https://github.com/thkupy/sbcnrnpy*. The model consisted of a somatic section, to which an axon model (consisting of an initial segment and a stretch of passive axon representing the first internode) and either a stylized or realistic dendritic representation was attached. The stylized dendrite consisted of a proximal dendrite, to which a distal dendrite was attached (resulting in a "ball-and-

Bushy-cell dendritic inputs

stick with axon" model). In these experiments we defined classical geometry for these sections in NEURON. 104 For the experiment using the realistic dendritic representation we created and connected appropriate numbers 105 of sections based on our reconstructed SBC morphology and defined the geometry of each section using the 106 pt3d-notation of NEURON. In the realistic dendrite model the dendritic path which connected to the soma 107 section was defined as the proximal dendrite, all other dendritic sections were called distal. The geometry of 108 axon, soma and stylized dendrite and the biophysical parameters of all sections can be found in Table 1. The 109 Nernst- and reversal-potentials were: $E_{Na} = 50 \text{ mV}$, $E_K = -70 \text{ mV}$, $E_H = -43 \text{ mV}$, $E_{leak} = -65 \text{ mV}$. Axial 110 resistance of all segments was set to 150 Ω ·cm. All simulations were calculated at fixed temporal resolution 111 of dt = 10 μ s, for a temperature of 35 °C. 112

We connected two classes of synaptic models to both the stylized and realistic SBC model. Dendritic 113 synapses were per default modelled as N = 16 simple point synapses (*ExpSyn*) with a default synaptic 114 conductance of $g_{syn} = 0.5$ nS, which were activated at specific times representing AN input events with the 115 NetCon and NetStim mechanisms of NEURON. In the stylized model dendritic synapses were placed on the 116 distal dendrite section only, with even spacing (spanning 5 % to 95 % of the section length). In the realistic 117 dendrite model the dendritic connections were randomly chosen to predominantly (66 %) connect to the 118 distal dendritic sections. Random dendritic positions were saved and reused for every simulation run 119 (although a new random placement for every repetition produced, on average, the same results). Furthermore 120 we attached a large conductance point source (modeled as a gclamp mechanism in NEURON) to the soma 121 (at 50 % of the soma length) as a representation of the endbulb of Held input. Conductance traces for the 122 endbulb of Held input were generated as described before (Nerlich et al., 2014; Kuenzel et al., 2015) to 123 closely match the behavior of in-vivo endbulb of Held recordings. The synaptic conductance for the endbulb 124 input was randomly varied for every input event (mean: 55 nS \pm 11 nS). The reversal potential of all synapse 125 models was set to Erev = 0 mV. 126

127 An overview of the model geometry and connectivity for the stylized dendrite model is shown in Fig. 1.

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129 Auditory nerve inputs

We modeled all inputs to the SBC model as sound driven auditory nerve spike arrival times generated by the well-known Zilany-model (Zilany et al., 2009; Zilany et al., 2014). All simulated sound responses were calculated at a temporal resolution of 10 μ s (100 kHz) for medium spontaneous range fibers and the speciessetting "cat". Characteristic frequencies (CF) below 2.5 kHz were used in our simulations. For parallel activation of the dendritic and somatic synapse models we calculated AN sound driven responses as statistically independent AN fibers of the same CF. We used the Python module *cochlea* (Rudnicki et al., 2015) as a convenient wrapper for the Zilany-model.

Bushy-cell dendritic inputs

138 Data analysis and statistics

If not stated otherwise all results given in the paper are expressed as mean ± 1 standard deviation. Spike 139 times in the simulated SBC membrane voltage responses were detected by thresholding the first derivative of 140 the membrane potential, using the rapid falling flanks as distinct features of action potentials. The time of 141 peak voltage of the action potential was then used as the spike time for further analysis. Failed endbulb of 142 Held transmission was detected by the absence of an output spike in a time-window of 1 ms after a known 143 endbulb input event. We calculated the precision of phase locking as the vector strength (Goldberg and 144 Brown, 1969) of SBC output spike times. A confidence level of p < 0.001 (Rayleigh test) was used as a 145 criterion for significance of phase locking (Fisher, 1993). Values of vector strength that failed the rayleigh 146 criterion were set to 0. All data analysis and visualization was performed using the python modules 147 matplotlib and numpy. For visualizing data as 2D contour-plots (contourf) mild smoothing was routinely 148 149 applied to the data using a gaussian filter ($\sigma = 0.5$).

150

151 **Results**

152 Phase-locked excitatory dendritic inputs cause subthreshold membrane potential oscillations

We first analyzed the effect of small excitatory inputs to the model SBC dendrite without any main endbulb input (Fig. 1A). Dendritic inputs were driven by independent auditory nerve spike trains calculated for the same characteristic frequency (Fig. 1B). At the given inputs frequency and level used in this sparse low-frequency example (125 Hz, 60 dB SPL) a simulated AN fiber with a characteristic frequency (CF) of 250 Hz responded with 55 ± 16 AP/s and had a vector strength of 0.78 ± 0.1.

Activity of a single, weak $(g_{syn} = 0.5 \text{ nS})$ dendritic input elicited small (0.41 mV) EPSP at the soma 158 (Fig. 1C). The combined activity of a higher number (N = 32, $g_{total syn} = 16$ nS) of dendritic inputs summated 159 to sharp, albeit subthreshold, fluctuations of the membrane potential at the stimulus frequency (Fig. 1D). The 160 amplitude of these fluctuations was 5.4 mV in this example. Furthermore, in addition to the phase-locked 161 oscillations the summating dendritic EPSP also caused a small tonic depolarization of the resting membrane 162 potential (V_{rest} = -64.5 mV without inputs, V_{rest} = -62.9 mV with inputs) during the simulated stimulus 163 presentation. In the following experiments we wanted to better understand how the properties of the dendritic 164 tree and the dendritic synaptic inputs shaped these membrane potential responses. Since actual physiological 165 parameters of the SBC dendrite (ionic conductances, number and conductance of dendritic synapses etc.) are 166 not readily available, we varied several parameters over a plausible range to derive their impact. 167

For this we averaged the SBC membrane potential over the stimulus cycle and quantified the mean membrane potential and the amount of membrane potential modulation. Both parameters strongly depended roo the length (Fig. 2A1-B2) and the diameter (Fig. 2C1-D2) of the primary dendrite. While V_m

Bushy-cell dendritic inputs

171 depolarization and modulation fell exponentially with dendritic length (Fig. 2A1, A2), depolarization and modulation were maximal for a dendritic diameter of 3.3 µm (Fig. 2C1,C2) and were reduced for both 172 smaller and wider diameters. The latter can be mostly explained by the increased leak conductance in the 173 longer dendritic segment. To illustrate this point we again calculated these simulations for the different 174 dendritic morphologies but kept the total leak conductance of the dendritic segment fixed (dashed lines in 175 Fig. 2A1-C2). In this condition, V_m modulation still steeply drops with increasing length (Fig. 2A2) and 176 decreasing diameters below about 3 µm (Fig. 2D2). Over all (Fig. 2E) our model showed that the effects of 177 the dendritic inputs on V_m depolarization (Fig. 2E1) and V_m modulation (Fig. 2E2) mostly depended on the 178 length and, given a minimum value of about 3 μ m, to a lesser degree on the diameter of the primary dendrite. 179 We concluded that in order to maximize the effect of the phase-locked dendritic inputs, primary dendrites of 180 SBC should be as short as possible and thicker than 3 μ m. 181

182 Very little is known about the number of dendritic auditory nerve terminals of SBC (Gómez-Nieto and Rubio, 2009), and there is no good data on the synaptic conductance of these contacts (Cao and Oertel, 2010; 183 maybe see). We thus wanted to explore the effect of a wide range of synapse numbers and total synaptic 184 conductance on the membrane potential of the SBC (Fig. 3). We found that both the tonic depolarization and 185 the membrane potential modulation did not depend on the actual number of inputs, above a low number of 186 inputs (Fig. 3A1-B2). The depolarized resting membrane potential (RMP) converges to a value of -61.6 mV 187 for N > 15 inputs, the RMP modulation reaches 3.84 mV above N > 23 inputs. In contrast to this, both the 188 tonic depolarization and the RMP modulation monotonically increased with total synaptic conductance 189 (Fig. 3C1-D2). Over a wide range of parameters (Fig. 3E), the influence of phase-locked synaptic inputs on 190 SBC membrane potential almost exclusively depended on the total conductance. Only for very low numbers 191 of inputs (N < 8) at high total conductances above 37 nS (Fig. 3E3) action potentials were elicited by 192 dendritic inputs without endbulb of Held input. We concluded that a wide combination of the number and 193 total conductance of dendritic auditory nerve terminals will only act on the subthreshold membrane potential 194 of the SBC rather than directly cause output action potentials. 195

Next, we wanted to explore the impact of the relation between the input cycle duration and the decay time-196 constant of the dendritic synaptic conductance on the SBC membrane potential (Fig. 4). One can assume that 197 the synaptic currents elicited by glutamatergic auditory nerve terminals in the dendrites of SBC will have 198 kinetics comparable to endbulb glutamatergic currents, but direct measurements are unavailable. 199 Furthermore, it is obvious that the cycle duration of the phase-locked inputs will have a strong influence on 200 the effect of summating synaptic potentials. With increasing decay time constant in response to 200 Hz input 201 rate, the tonic depolarization of the RMP strongly increased (Fig. 4A1,B), as the synaptic events summated 202 more efficiently. At the default value of $\tau_{decay} = 2 \text{ ms } V_m$ was -61.5 mV, at $\tau_{decay} = 20 \text{ ms } V_m$ was as 203 depolarized as -53.3 mV. The amplitude of the RMP modulation, however, with increasing τ_{decay} rose steeply 204 at first to maximum of 3.87 mV at τ_{decay} = 1.77 ms (Fig. 4A2,B). RMP modulation then gradually declined 205

Bushy-cell dendritic inputs

with increasing τ_{decay} to a value of 0.94 mV at the highest τ_{decay} tested (20 ms). Interestingly, due to the phase-206 locked nature of the inputs there was a small modulation at the frequency of the simulated sound stimulus 207 visible in the membrane potential, even at these very long synaptic decay time-constants (Fig. 4B). With 208 increasing cycle duration of the input at a fixed $\tau_{decay} = 2$ ms, the tonic V_m depolarization slightly reduced 209 (Fig. 4C1,D) from Vm = -60.5 mV (interval = 2 ms / 500 Hz) to Vm = -62.2 mV (at IPI = 7.5 ms / 133 Hz). 210 The amplitude of Vm modulation converged to a value of 4.2 mV (at IPI = 7.5 ms / 133 Hz), starting from 211 1.4 mV (at IPI = 7.5 ms / 133 Hz). When we varied both the τ_{decay} and the IPI of the inputs (Fig. 4E1,E2) it 212 became obvious that the contour lines in the 2d-plot ran mostly diagonally. This means that in order to ensure 213 a constant amount of V_m depolarization (Fig. 4E1) or amplitude of V_m modulation (Fig. 4E2) over a wide 214 range of mean IPI, the τ_{decay} of the inputs must be increased or decreased in accordance with the IPI. We thus 215 concluded that, in order to cause both significant tonic and modulated effects on RMP, the dendritic auditory 216 nerve terminals should have rapid decay time-constants of $\tau_{decay} < 5$ ms. Furthermore the τ_{decay} of the dendritic 217 synaptic inputs could provide a parameter to optimally tune the dendritic inputs to a specific range of input 218 frequencies. 219

It was reported (Oertel et al., 2008) that primary dendrites of SBC express both low-voltage activated 220 potassium (g_{KLT}) and hyperpolarization-activated cation conductance (g_H) . However, information about the 221 actual density of either these voltage activated conductances or passive leak conductance (g_{leak}) of the 222 dendritic compartment were not available. We thus varied g_{KLT}, g_H and g_{leak} over a range of plausible values 223 and evaluated the impact of these parameters on the V_m depolarization and modulation by dendritic inputs 224 (Figure 5). We found, that the amount of tonic V_m depolarization is reduced with increasing g_{KLT} 225 (Fig. 5A1,B), while the amplitude of V_m modulation was largely unaffected (Fig. 5A2,B). In contrast to this, 226 tonic depolarization slightly increased with increasing g_H (Fig. 5C1,D) without strong effects on the V_m 227 modulation (Fig. 5C2,D). Thus the voltage-activated conductances that are coexpressed in SBC dendrites 228 have opposing effects on the tonic depolarization but both leave the rapid, cycle-by-cycle modulation mostly 229 unaffected. In contrast to this, increasing the passive leak conductance shunts both the tonic V_m 230 depolarization (Fig. 5E1,F) and the V_m modulation (Fig. 5E2,F). Varying both g_{KLT} and g_H revealed 231 (Fig. 5G1) that these conductances linearly counteracted each other in affecting the V_m depolarization. Thus, 232 when both conductances increased roughly in a 2:1 ratio, the amount of V_m depolarization remained constant. 233 On the other hand, g_{KLT} and g_H both had a small reducing effect on the amplitude of V_m modulation. This 234 effect grew additively when both conductances increased (Fig. 5G2). 235

Overall our model results agree with the idea that SBC dendrites could be finely tuned to either emphasize the overall input level (tonic V_m depolarization) or the phase-locked nature (dynamic V_m modulation) of the dendritic synaptic inputs by the relative strength of g_{KLT} , g_H and g_{leak} expressed in the dendritic compartment.

Bushy-cell dendritic inputs

240 Subthreshold membrane potential oscillations caused by dendritic inputs increase spike probability and 241 timing precision of main endbulb inputs

Our model showed both a tonic and dynamic influence of dendritic inputs on subthreshold SBC V_m . In the 242 next step we assessed how the dendritic inputs could interact with the endbulb of Held axosomatic input. We 243 thus modified the model to include a strong, somatically localized excitatory synaptic model that was driven 244 by independent auditory nerve spike trains calculated for the same characteristic frequency as for the 245 dendritic synapses (Fig. 6A). In the example shown in Fig. 6 (CF = 1000 Hz, @1000 Hz / 65 dB SPL) this 246 resulted in simulated AN activity of 211 events/s driven rate and 55 events/s spontaneous rate. No short-term 247 synaptic dynamics were simulated, however a stochastic variation of the endbulb EPSG amplitudes was 248 implemented. This was shown before (Kuenzel et al., 2011; Nerlich et al., 2014; Kuenzel et al., 2015) to fit 249 the in-vivo properties of this synapse well. The model SBC, without dendritic inputs, produced in this 250 example a driven response of 180 AP/s and 50 AP/s spontaneous activity (Fig. 6B). Since the distribution of 251 EPSG amplitudes ($55 \pm 11 \text{ nS}$) partially straddles the AP threshold of the model SBC, a moderate amount of 252 failures occurred during simulated sound stimuli responses (31 failures/s) and during spontaneous activity 253 (5 failures/s), as was reported for SBC from in vivo studies (Kuenzel et al., 2011; Keine et al., 2017). The 254 auditory nerve inputs and therefore the output spikes of the model SBC showed robust phase locking 255 (Fig. 6C) to the input fine-structure (AN inputs: VS = 0.80; SBC output without dendritic synapses: 256 VS = 0.71). Interaction of the axosomatic input with dendritic inputs resulted in a higher output rate of the 257 SBC model of 198 AP/s (Fig. 6D). The higher output rate was caused by a lower driven failure rate of only 258 13 failures/s. Spontaneous failures were identical for the two example conditions. Furthermore, the resulting 259 260 output spikes were phase-locked more precisely to the input frequency (Fig. 6E), now showing a vector strength of 0.75. The improved temporal precision was accompanied by an advance of the preferred phase 261 ($\phi = 0.23$ cycles vs. $\phi = 0.28$ cycles). The effects of dendritic inputs on temporal precision will be explored 262 in greater detail in the following section. Initially, we elucidated the mechanism by which the interaction of 263 264 dendritic and somatic inputs increased the output spike rate of the model SBC.

For this we simulated single endbulb events of varying EPSG amplitude at specific fixed positions on the 265 stimulus cycle, in order to estimate the conductance threshold at different phases of the dynamic V_m 266 modulation caused by the dendritic inputs (Fig. 6F). Unsurprisingly, in the condition without dendritic inputs 267 (Fig. 6F, upper row) the phase at which endbulb inputs occured had no effect on the conductance threshold. 268 Below $g_{EoH} = 37$ nS no action potentials were elicited by the endbulb events regardless of phase. In the 269 condition with dendritic inputs events that occurred in the rising phase had a smaller conductance threshold 270 $(g_{EoH} = 31 \text{ nS})$ than events that occurred later in the cycle (Fig. 6F, middle row). Furthermore, on average the 271 conductance to elicit an SBC action potential was lower in the dendritic input condition ($g_{FoH} = 34$ nS). We 272 then quantified the results from the exemplary simulations shown in Fig. 6F by measuring the amplitude and 273 delay of AP for a number of different EPSG amplitudes and phases (Fig. 6G). It was clear that in the model 274

Bushy-cell dendritic inputs

without dendritic inputs endbulb conductance threshold was not phase dependent (red line in Fig. 6G1/G3) 275 and AP amplitude (Fig. 6G1) and delay (Fig. 6G3) only depended on g_{EoH} . In the condition with dendritic 276 inputs the phase dependence of the conductance threshold was evident (red line in Fig. 6G2/G4) resulting in 277 a phase and g_{EoH} dependence of AP amplitude (Fig. 6G2) and delay (Fig. 6G4). Furthermore the dependence 278 of AP delay on g_{EoH} at a given phase of the cycle was steeper, thus resulting in overall shorter AP delays. This 279 explained the advance of preferred phase we observed (Fig. 6E). Taken together the quantification presented 280 here suggested that dendritic inputs both tonically and dynamically reduced the conductance threshold of the 281 endbulb, resulting in a phase-dependent improvement of the endbulb transmission efficacy. 282

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284 Frequency tuning of the interaction of dendritic inputs and main endbulb inputs

Since we found that the interaction of the dendritic inputs with the main endbulb inputs had an effect on 285 temporal processing of the SBC model, we simulated auditory responses over a wide range of input stimulus 286 frequencies and constructed frequency-response areas for the SBC model with and without dendritic inputs. 287 As mentioned before, simulations were run with identical random seeds for each stimulus condition, to 288 isolate the effect of the added dendritic synaptic conductance in the results. Figure 7A/B shows the primary-289 like response of the SBC model without and with the dendritic inputs. The overall shape and monotonically 290 increasing characteristic of the response was unchanged. This was cleary evident by the 80 AP/s contour 291 demarcating the responses clearly above spontaneous firing. However, the maximal response was increased 292 in the condition with dendritic inputs, as was best shown by the larger extent of the area demarcated by the 293 170 AP/s contour (Fig. 7A/B). We plotted the difference between both conditions in the same coordiate 294 system (Fig. 7C). It became obvious that the strongest increase in response rate caused by the dendritic 295 inputs was at and below the CF of the simulated SBC. When we compared the effects of dendritic inputs on 296 temporal aspects of the response, a different picture emerged (Fig. 7D-I). Precision of phase locking, 297 expressed as VS and further illustrated in Fig. 7D/E with a VS = 0.6 contour, increased for frequencies at and 298 above CF but remained largely unaffected in the low-frequency tail of the response (Fig. 7F). Indeed, the 299 largest improvements of temporal precision occured within half an octave above the CF of the SBC for 300 higher sound pressure levels (Fig. 7F). The accompanying advance of the preferred phase (Fig. 7G-I) showed 301 a similar frequency dependence: it was greatest for frequencies at and above CF and weak below CF. 302

We concluded that the interaction of the main endbulb input with phase-locked dendritic inputs shaped the output rate and precision of the model SBC in a complex, frequency-dependent manner. At CF and for frequencies below that, the number of well-timed output AP the SBC model generates was clearly increased. This could have a significant effect on temporal processing in binaural target areas of SBC in the auditory brainstem. The benefit of dendritic inputs for temporal precision was strongest for higher frequencies. In these stimulus conditions the temporal precision of the SBC response, both in the model and in real

Koert et al.

Bushy-cell dendritic inputs

309 recordings (Kuenzel et al., 2011), started to deteriorate. Thus, in the model with a single endbulb and no 310 inhibitory inputs, the dendritic inputs ameliorated the deterioration of temporal coding for higher 311 frequencies.

312

313 Influence of dendritic properties on the efficacy of dendritic inputs to SBC

In the following section we wanted to investigate the influence of morphological and physiological parameters of the SBC dendrite on the effect the interaction of dendritic and main endbulb inputs had. For this we again simulated responses with and without dendritic inputs similar to the experiment shown in Fig. 7. In the following however, we only show the difference (cf. Fig. 7C,F,I) in output rate, VS and preferred phase between the two conditions plotted against the parameters we varied.

We first varied morphological parameters of the SBC model dendrite (Fig. 8A1). It became evident, that 319 best increase in output rates was achieved for dendrites above 3.3µm diameter and between 50 and 200 µm. 320 With increasing dendrite diameters, primary dendrites had to be shorter to achieve comparable improvement 321 in output spike rates. In fact, the highest difference in output rate between the conditions without and with 322 dendritic inputs (52 Hz) was achieved for a dendrite of $L = 81 \mu m$ and $D = 6.2 \mu m$. However, a variety of 323 parameter combinations in the range of 3.3 μ m < D < 5.5 μ m and 50 μ m < L < 200 μ m caused robust 324 increase in output spiking. Best improvements of temporal precision were generally found for short dendrites 325 below $L = 100 \,\mu m$ (Fig. 8A2). Although the best improvement of vector strength was found for a dendrite of 326 L =33 μ m and D = 9.1 μ m, the improvement of temporal precision was only weakly dependent on dendrite 327 diameter for short dendrites. In a range of diameters between 2.7 μ m < D < 6 μ m a robust improvement of 328 phase-locking precision was observed for dendrites below $L = 100 \,\mu m$. Inputs to dendrites that were 329 narrower or wider than this range could also improve temporal precision, however in these cases the length 330 of the dendrite was more restrictive. Interestingly, a distinct subset of morphological parameters emerged 331 where dendritic inputs actually caused reduction of phase-locking precision. Inputs to dendrites of 332 $L = 291 \,\mu\text{m}$ and $D = 5.3 \,\mu\text{m}$ reduced the vector strength by -0.12. The results for the phase advance 333 (Fig. 8A3) largely followed those of the improvement of vector strength. Here again, inputs to a short, thick 334 dendrite of L = 113 μ m and D = 7.3 μ m caused the largest phase advance of -0.11 cycles. We conclude, that 335 morphological parameters well within the estimated physiological range for bushy cell dendrites allowed 336 dendritic inputs to cause a robust increase in output spiking. However, the effect of improvement of phase-337 locking precision was limited to short dendrites below about 100µm. 338

We next tested how the number and total conductance of dendritic synapses influenced the interaction of main endbulb and dendritic inputs (Fig. 8B). As expected from the subthreshold analysis (Fig. 3) the number N of dendritic synapses did not effect the outcome of the simulation for N > 10 inputs. Instead, the result predominantly depended on the total dendritic conductance. For the improvement of output rate (Fig. 8B1)

Bushy-cell dendritic inputs

an optimal range of conductance was evident: The best improvement was seen for N = 91 synapses with a 343 total conductance of $g_{syn} = 30$ nS. However, above a conductance of $g_{syn} = 44.7 \pm 0.7$ nS (average for all 344 conditions with N > 3 inputs) the effect started to reverse. Here, output spike rate was actually decreased by 345 the interaction with dendritic inputs, to a point of massive rate reduction (-155 Hz for N = 115 synapses with 346 $g_{syn} = 64$ nS). The temporal precision monotonically increased with increasing g_{syn} (Fig. 8B2) and was robust 347 over a very wide range of synaptic conductances. The best (significant) vector strength improvement was 348 found for N = 82 inputs with g_{syn} = 64 nS. Thus the few remaining output spikes in conditions of very high 349 dendritic conductance were best locked to the stimulus phase. However, it must be noted that for these 350 experiments at least 5 seconds of spiking activity was simulated per condition and therefore even in 351 conditions of massive rate reduction a sufficient number of well-timed AP could easily be collected. This is 352 most likely not a feasible mode of phase encoding in the brain. We thus concluded that we should reject the 353 conditions that caused massive rate reductions as unrealistic, even if best improvement of temporal precision 354 resulted from these. This notion is supported by the quantification of the phase advance (Fig. 8B3), which 355 was maximal only for a more limited range of total dendritic conductances between roughly 20 nS and 356 35 nS. The conclusion from the experiments shown in Fig. 8B was, that the actual number of dendritic inputs 357 did not matter for the beneficial effects these inputs have in the interaction with the main endbulb input, 358 beyond a very low number at least. Furthermore, the total dendritic synaptic conductance had to be below 359 35 nS to generate effects that did not overtly divert from realistic behavior of SBC. 360

We wanted to investigate the hypothesis that dendritic g_{KLT} might tune the effect of interaction of dendritic 361 inputs with the main endbulb to a specific range of characteristic input frequencies (cf. Fig. 5). For this we 362 varied the dendritic low-threshold potassium conductance g_{KLT} and the CF of the model and observed effects 363 for stimulation at CF (Fig. 8C). Improvement of output rate upon stimulation at CF (Fig. 8C1) appeared 364 mostly to depend on CF. Highest increases were found for CFs between 500 Hz and 1000 Hz (best: +23 Hz 365 output rate at 647 Hz, 18 nS g_{KLTdend}). Nevertheless output rate increased robustly over all CFs tested, except 366 below 250 Hz. However, contours on the flanks of the area of best improvement were skewed. Hence, output 367 rate improvements in this area both depended on CF and, to a lesser degree, also on g_{KLTdend}. Thus, in order to 368 attain a consistent effect on output rate over a range of different CFs, g_{KLTdend} had to increase with increasing 369 CF (on the high-frequency side). As an example we quantified here the slope for the +18 Hz output rate 370 contour by linear regression: on the high frequency side, g_{KLTdend} needed to increase by 0.022 nS / Hz CF for a 371 constant output rate improvement. On the low-frequency side (below 500 Hz) contours were skewed in the 372 other direction, thus g_{KLTdend} needed to decrease with increasing CF at a steep slope of -0.19 nS / Hz CF for a 373 constant output rate improvement. Results were comparable for temporal precision (Fig. 8C2,3). Indeed, 374 improvement of vector strength and the accompanying phase advance mostly depended on CF. At CFs below 375 about 750 Hz increase in VS was low or non-existant but was robustly present above this CF range. Again, 376 an area of best improvement of VS could be identified for higher CFs between 1750 and 2250 Hz (Fig. 8C2), 377

Bushy-cell dendritic inputs

where phase advance was especially pronounced (Fig. 8C3). As was the case for the output rate improvement, contours of equal VS improvement and phase advance are skewed for higher CFs (Fig. 8C2,3). Thus, $g_{KLTdend}$ in these cases needed to increase at 0.07 nS / Hz CF (quantifed for the +0.06 VS contour as an example) in order to maintain a constant improvement of VS or phase advance. Our model results therefore supported the hypothesis that dendritic g_{KLT} could indeed act as a parameter to fine-tune effects of the interaction of dendritic and main endbulb inputs in SBC to a specific range of characteristic input frequencies.

In order to quantify the frequency-dependency of the effects of the interaction of dendritic and axosomatic 385 inputs we varied both CF and input frequencies (Fig. 9A). As was already evident from the frequency 386 response area of a CF = 1500 Hz unit we showed in Fig. 7, best improvement of output rate was 387 predominantly found at frequencies below CF (Fig. 9A1). Indeed, contours of equal output rate improvement 388 389 are skewed diagonally. As an example we quantified the slope of the $\Delta AP = 18 \text{ AP/s}$ contour by linear regression. We found a 0.58 kHz/kHz slope and an y-intercept of 0.35 kHz (y = 0.58x+0.35). This means, 390 that for CF below about 750 Hz the frequency of best improvement was largely at CF. For higher CF the 391 frequency of best improvement increasingly moved away from CF into the low-frequency tail. Although the 392 highest improvement of AP output rate was found in the low frequency area (587 Hz CF, 587 Hz input 393 frequeny, $\Delta AP = 25.7 \text{ AP/s}$) improvement of output rate was robust for a wide range of CF and input 394 frequencies. Improvement of temporal precision (and the accompanying phase advance) followed a different 395 pattern of frequency-dependency (Fig. 9A2,3). Regardless of CF, no increase of vector strength (and 396 accordingly, very little phase advance) occurred for input frequencies below 500 Hz. For higher input 397 frequencies above about 700 Hz units of all CF showed robust increase of vector strength. This was of course 398 limited by the high-frequency flank in the tuning of the auditory nerve fibers for units of low CF. Beyond 399 that, no further clear pattern of frequency dependency emerged. Maximal improvement of temporal precision 400 (and phase advance) seemed to occur at CF and input frequencies of around 2000 Hz and above (max VS 401 improvement: +0.07 for CF = 1872 Hz, 2271 Hz input frequency). Overall we concluded, that the effects on 402 output rate and temporal precision had different but overlapping patterns of frequency-dependence. 403

Last we hypothesized, that the frequency-dependence of the effects of the interaction of dendritic and 404 axosomatic inputs might be governed by the kinetics of the dendritic synaptic inputs in this model. We 405 assumed, that these synapses should have rapid kinetics, however for very low or very high input frequencies 406 slower or even more rapid kinetics might be favorable. We therefore varied the decay time-constant of the 407 dendritic synapse model ($\tau_{dendsyn}$) and the input frequency. CF remained at 1500 Hz in this experiment 408 (Fig. 9B). Output rate improvement (Fig. 9B1) mostly depended on $\tau_{dendsyn}$ in this experiment. It was robust 409 for dendritic synaptic time-constants between 0.8 ms and 6 ms. Best increase of output rate occurred at 410 $\tau_{dendsyn}$ = 3.3 ms and 1272 Hz input frequency. A very sharp cutoff of efficacy in increasing the output rate 411 occured above $\tau_{dendsyn} = 6$ ms for input frequencies around CF. Higher dendritic time-constants were very 412

Bushy-cell dendritic inputs

detrimental for output spiking: Summation of dendritic EPSP here caused a tonic depolarization sufficient to 413 strongly reduce the excitability of the SBC model. Interestingly, this cutoff value shows some frequency-414 dependency insofar as it extents towards much longer $\tau_{dendsyn}$ for frequencies below about 500 Hz, reaching 415 14 ms for the lowest frequencies tested (125 Hz). Surprisingly, the cutoff value also extents towards longer 416 time-constants for frequencies above 2000 Hz. A very similar pattern was evident for the quantification of 417 the increase of temporal precision (Fig. 9B2) and advance of the preferred phase (Fig. 9B3). However, robust 418 improvement of vector strength and advance of preferred phase occurred over a somewhat wider range of 419 $\tau_{dendsyn}$ values. Accordingly, the cutoff of the effect for input frequencies around CF occurred at higher $\tau_{dendsyn}$ 420 of 9 ms. This can be understood, since the effect on temporal precision and preferred phase mostly relied on 421 the rising slopes of the V_m modulation (Fig. 6F&G) and even for very long dendritic decay-time constants 422 detectable V_m modulation did occur (Fig. 4A2,B2). In contrast to this, the bulk of the effect of the increase in 423 AP output rate seemed to be carried by the V_m depolarization, as it tonically moved the V_m closer to AP 424 threshold. The tonic depolarization however monotonically rose with $\tau_{dendsyn}$ (Fig. 4A1) and at some point, as 425 we showed here, reached values at which the positive effects were countered by the reduction of excitability 426 (increased Na_v inactivation and KLT activation). Taken together, we concluded that phase-locked synaptic 427 inputs with rather rapid synaptic time-constants of decay are a necessity to robustly exploit the effects of the 428 dendritic synaptic inputs for SBC coding. 429

Overall our experiments in this section showed that the morphological and physiological parameters of the SBC dendrite and the synaptic inputs that connect there had considerable influence on the efficacy of the dendritic inputs in supporting the main endbulb input. Some dendritic features (length, diameter, expression of ionic conductances) might indeed serve as plausible biological tuning parameters to finely adjust the effect of dendritic inputs for specific input frequencies along the tonotopic axis or divergent coding demands of subpopulations of SBC.

436

437 Simulated dendritic inputs to realistic bushy dendrites

Up to this point all conclusions were drawn from simulations that only included a stylized dendritic model 438 with a linear arrangement of synaptic inputs. In the next step we wanted to test whether these conclusion also 439 hold true in a complex, 3-dimensional branched dendrite model with randomly placed synapses that is closer 440 to the real shape of SBC in the brain. We thus reconstructed dendrites from confocal stacks of gerbil SBC, 441 which were filled with biocytin during whole-cell patch recordings (Fig. 10). We analyzed a total of N = 18442 443 cells which had short dendrites that reached on average only up to $83 \pm 19 \,\mu\text{m}$ from the soma. However, the dendrites were dense and quite complex with a mean number of 36 ± 16 branchpoints and a total length of 444 dendritic segments of $1463 \pm 667 \mu m$. A Sholl-analysis (Sholl, 1953) in 3D-space revealed a critical value of 445 18 ± 3 intersects at a critical range of 38 ± 12 µm. Mean value of intersects over all radii was 8 ± 2 intersects. 446

Bushy-cell dendritic inputs

These morphological data emphasized, that SBC dendrites did not reach far away from the location of the 447 soma but showed surprisingly high complexity. The critical range could be interpreted as the distance from 448 the soma, at which the cell receives most inputs with its dendritic tree. This was in our morphological 449 reconstructions roughly 2 soma-diameters away from the cell under consideration and was thus most 450 probably an area of the same or very similar characteristic frequency range of AN inputs. This illustrated 451 well how locally dense the network of SBC dendrites in the gerbil AVCN must be (Gómez-Nieto and Rubio, 452 2009). The 3D-reconstructed (and volume fitted – see method section) data for initially three SBC was 453 carefully checked for consistency and imported as segments in NEURON. We attached the dendritic part to 454 the stylized soma and axon segments of our SBC model. This was done to compare only the properties of the 455 realistic dendritic tree, i.e. soma and axon compartments (white and red segments in Fig. 10B&C) were 456 discarded for the following initial analysis. When we simulated phase-locked dendritic inputs and the 457 interaction of their effects with the main axosomatic endbulb inputs we found qualitatively similar results as 458 with the stylized dendrite (Fig. 11). However, individual dendritic synapses were electrotonically much 459 further from the soma and thus much less effective. Thus, higher total dendritic conductances were needed to 460 also achieve quantitatively comparable effects. This is illustrated in Fig. 11, were we simulated frequency 461 response areas of a SBC with realistic dendritic tree without $(g_{dendsyn} = 0 \text{ nS})$ and with dendritic inputs, 462 identical to Fig. 7. The overall features of the interaction of dendritic and endbulb inputs were identical: best 463 increase of output rate for frequencies below CF (Fig. 11A-C), best increase of temporal precision (Fig. 11D-464 465 F) and strongest phase advance (Fig. 11G-I) for frequencies >1500 Hz. In order to achieve these effects a higher total dendritic synaptic conductance ($g_{dendsyn} = 64 \text{ nS}$) was used. This can be understood as a greater 466 electrotonic distance to the soma of a given dendritic synapse in the 3D-dendrite compared to the stylized 467 dendrites. Of course the total membrane area, and thus the leak conductance, of the reconstructed dendrite is 468 higher than the stylized dendrite. The efficacy of the dendritic synapses was also strongly influenced by 469 narrow segments in the reconstructed structure, even when we enforced a minimal diameter of 1µm. No 470 qualitative differences between the different dendritic models tested were seen. 471

In summary we take these results obtained with realistic 3D dendrites as first evidence that our conclusions
drawn from the stylized dendritic model are also valid for complex dendritic structures as described for SBC
in the brain.

475

476 **Discussion**

In this study we demonstrated with a biologically plausible compartment model of spherical bushy cells that small, phase-locked dendritic excitatory inputs can augment temporal coding. The phase-locked activity of the dendritic inputs caused both tonic excitation and a modulation of the RMP at the frequency of the input. Interaction of a single axosomatic endbulb of Held input with the rising phase of the RMP modulation

Bushy-cell dendritic inputs

enhanced the efficacy of the axosomatic input in a phase-dependent manner. Together with the tonic
excitation this effect caused an increase in the number and temporal precision of output spikes of the model
SBC.

For SBC that receive only a single axosomatic input we concluded, that phase-locked dendritic inputs are a 484 plausible mechanism to improve temporal precision. The postsynaptic acitivity of dendritic inputs almost 485 certainly would be inconspicuous in single-unit recordings in vivo or may be misclassified as microphonic 486 responses of AN fibers. We hesitate to call this a novel suggestion, as this was one of the ideas brought up 487 before in the context of the spherical cell puzzle (Joris and Smith, 2008). However, unlike the case of many 488 interacting subthreshold inputs (Rothman et al., 1993; Xu-Friedman and Regehr, 2005a) and few 489 suprathreshold inputs (Xu-Friedman and Regehr, 2005b) this arrangement of input strengths had not yet been 490 theoretically explored before in the context of temporal coding. Our study thus represents an additional step 491 492 towards understanding the remarkable complexity (Kuenzel, 2019) of this station of the auditory pathway.

The improvement in temporal precision, quantified as the difference in output vector strength without and 493 with dendritic inputs (Fig. 7) was robust but quantitatively moderate. One could thus argue, that dendritic 494 inputs only really play a minor role in temporal coding. In the same type of SBC model quantitatively similar 495 findings were made for the effect of inhibitory inputs (Nerlich et al., 2014; Kuenzel et al., 2015) and 496 cholinergic excitation (Goyer et al., 2016) on temporal precision. It is very hard to judge however, how these 497 parallel mechanisms would interact in the intact brain during natural listening situations. We hypothesize that 498 499 the combined contribution of individually smaller effects could summate substantially as well as provide dynamic flexibility for different coding demands. Furthermore, given the strong focus on temporal precision 500 in sound localization circuitry of the medial superior olive (van der Heijden et al., 2013; Franken et al., 2014; 501 Plauška et al., 2016) and the relatively low amount of convergence present at this station (Couchman et al., 502 2010), small increases in rate and precision of bushy cell outputs could have considerable functional impacts 503 for sound localization using interaural time differences. 504

When we explored the influence of biophysical and morphological parameters on the effect of dendritic 505 inputs (Figs. 1,5,8 & 9) our results suggested that dendritic parameters could be tuned to emphasize a 506 specific effect (tonic vs. modulated excitation) and or matched to a specific range of input frequencies. The 507 latter would argue for gradients of dendritic properties along the tonotopic axis of the ventral cochlear 508 nucleus. For the avian homologue of the VCN, the nucleus magnocellularis, it was established that gradients 509 of biophysical parameters (Fukui and Ohmori, 2004; Oline et al., 2016; Hong et al., 2018), especially voltage 510 activated potassium conductances, optimize temporal coding for a given range of input frequencies. 511 Furthermore, dendritic morphology of neurons in the chicken NM changes drastically with tonotopic 512 position: low frequency neurons (Wang et al., 2017) have long dendrites while high frequency neurons are 513 essentially adendritic. Tonotopic gradients are even more pronounced in nucleus laminaris (the analogue of 514 the medial superior olive). Here both biophysical parameters (Kuba et al., 2005) and dendritic length (Korn 515

Bushy-cell dendritic inputs

et al., 2011) smoothly varied with tonotopic position and it was convincingly shown that dendritic filters are 516 tuned to match the tonotopic position (Slee et al., 2010). We thus think that it is not unreasonable to assume 517 that similar tonotopic gradients could be present in the mammalian VCN. Unfortunately, to our knowledge, 518 no study systematically analyzed biophysical nor morphological parameters along the tonotopic axis in the 519 mammalian VCN. The oblique orientation of the tonotopic axis in the mammalian VCN (Ryugo and Parks, 520 2003; Muniak et al., 2013) is the most likely reason for that. While Lauer et al. (2013) indeed show 521 compelling ultrastructural and morphological differences between anterior and posterior positions they do 522 not reconstruct or quantify dendritic structures. In the VCN, specifically for bushy cells, cell size does 523 roughly correlate with tonotopic position as low frequency BC, if present, are larger than high frequency BC 524 (Cant and Casseday, 1986; Bazwinsky et al., 2008) (cat; gerbil). However, no systematic differences of 525 dendritic shapes have been reported or analyzed yet. Given the complex and dense nature of the bushy 526 dendrites it might well be that simple morphological metrics (long vs. short path, narrow vs. wide field) do 527 not catch the essential tuning parameters. A careful 3D-reconstruction of numerous bushy dendrites, as we 528 (in this study) and others have begun, will in our opinion be necessary to resolve this question. Unfortunately 529 at this moment our set of dendritic reconstruction derived from in vitro patch recordings in oblique brain 530 slices, only offers rough positional estimates on the tonotopic identity of the cells. A systematic study of 531 spherical bushy cell dendritic morphology filled in-vivo after single-unit recordings (Pinault, 1996; Kuenzel 532 et al., 2011) would be most useful for this question. Nevertheless, from binaural nuclei of the mammalian 533 auditory brainstem it is well known, that cell size (Weatherstone et al., 2017) (MNTB) and biophysical 534 properties (Barnes-Davies et al., 2004; von Hehn et al., 2004; Leao et al., 2006) (LSO; both MNTB) can vary 535 with tonotopic position. We overall conclude that tuning of postsynaptic and dendritic parameters along the 536 tonotopic axis also in the mammalian VCN is a plausible proposal. 537

Since very little is known about the properties of bushy dendrites and dendritic synaptic inputs, one 538 wonders how biologically plausible our parameters were. First, the fact that at least some of these inputs are 539 axodendritic contacts of endbulb structures contacting another SBC soma (Ostapoff and Morest, 1991; 540 Ryugo and Sento, 1991; Gómez-Nieto and Rubio, 2009) lead us to model these dendritic inputs with rapid 541 kinetics and robust temporal precision. The actual number of inputs does seem much less critical than the 542 total synaptic conductance (Fig. 8B1), as higher total conductances could cause erroneous spiking (Fig. 3E3) 543 or strong reduction in output rates (Fig. 8B1). To us this demonstrates the use of our in-silico approach, as 544 unknown parameters can be at least narrowed to a more biologically plausible range. Other dendritic 545 excitatory inputs (Kuenzel, 2019) to bushy cells do not necessarily have robust phase-locking or rapid 546 kinetics. Our results show that these inputs, given the total active dendritic conductance remains in a 547 reasonable range, would still contribute to temporal processing by increasing the amount of well-timed 548 spikes the cell generates upon endbulb of Held input activity. It was recently shown that even non-auditory 549 inputs to the VCN can influence temporal coding (Heeringa et al., 2018). Our model also provides 550

Bushy-cell dendritic inputs

551 mechanistic explanations for these findings and suggests a common role of excitatory inputs to spherical 552 bushy cell dendrites: dendritic inputs gate and modulate the processing of auditory temporal information 553 along the main endbulb-soma-axon path.

Finally we want to comment on the realistic 3D-dendritic structures we used in a first attempt to 554 understand the role of the bushy dendritic structure. While we have confindence in the reconstruction of the 555 overall shape and connectivity of dendrites, the faithful estimation of the diameter of small dendritic 556 segments based on confocal laser-scanning microscopy was difficult due to technical limitations. Since the 557 algorithm used produced very narrow segments ($< 0.3 \,\mu$ m) whenever the fluorescent signal was locally 558 weak, we enforced a minimal diameter of 1 μ m. Still, rapid changes of narrow dendritic segments and local 559 swellings of larger diameter were commonly seen. Whether this is an artefact of the fixation and staining or 560 biologically relevant we can not state at this moment. We thus refrained from starting a more in depth 561 analysis of the properties of the 3D-dendrites (i.e. mapping electrotonic distance) at this point. The technical 562 difficulties with volume estimation are a known limitation in reconstructing 3D dendritic structures (Ascoli 563 et al., 2001) and an area of active research (Ming et al., 2013; Luo et al., 2015). In the future more 564 sophisticated methods should be employed to derive segment diameters from 3D microscopy data. Indeed, a 565 morphological dataset of tonotopically identified spherical bushy cells obtained with super- or ultra-566 resolution (Holcomb et al., 2013) methods would greatly help modeling efforts to better understand the 567 peculiar nature of the bushy dendritic structure of this cell type. Nevertheless we are convinced that our 568 modeling effort provides interesting insights into the dendritic function at this level of the auditory pathway 569 and opens promising avenues for further experimental work in this system. 570

571

572 Figure Captions

Fig. 1. Phase-locked dendritic inputs caused both a tonic and a modulated subthreshold memberane 573 response in the SBC model. A: Overview of the compartment model and parameters used in simulations. 574 Note that for initial experiments the endbulb of Held (EoH) was not simulated. Dend1: primary dendrite 575 section, Dend2: secondary dendrite section, AIS: axon initial segment, N: number of inputs, g_{syn}: conductance 576 of one dendritic synaptic input, tau: decay time-constant of dendritic synaptic inputs, L: length of primary 577 dendrite section, D: diameter of primary dendrite section, Syn N: dendritic synapse number N, gh: 578 hyperpolarization activated conductance, g_{KLT} : low voltage activated potassium conductance, g_{leak} : leak 579 conductance. B: Spike times of N = 32 statistically independent simulated AN fibers upon 50 ms, 580 65 dB SPL, 125 Hz tone stimulation. Spike-times were used as activation times for the corresponding 581 synaptic mechanisms. C: Membrane potential response at the soma of the SBC model upon activation of 582 dendritic synapse #1 only. D: Membrane potential response at the soma of the SBC model upon activation of 583 all N = 32 dendritic synapses. Note that synaptic events summated to cause both a modulation of the 584

Koert et al.

Bushy-cell dendritic inputs

585 membrane potential at the stimulus frequency and an increase of the average membrane potential (tonic 586 depolarization).

587

588 Fig. 2. Morphology of the primary dendrite section influenced tonic and modulated subthreshold membrane responses of the model SBC caused by phase-locked dendritic inputs. A: Mean membrane 589 potential (A1) and mean modulation amplitude (A2) were reduced with increasing length of the primary 590 dendrite section L(Dend1), when D(Dend1) = $3 \mu m$. Solid line: model with free total membrane resistance 591 R_{m} . In this model, R_{m} increased with increasing L, as more membrane area is added. Dashed line: model with 592 fixed total membrane resistance R_m. In this model, R_m was kept constant by reducing the specific leak 593 conductance as the membrane area changed. For these and the following plot a minimum of 64 conditions 594 were tested and at least 2 s of stimulus presentation was simulated per condition. B: Example traces (B1) and 595 membrane potential averaged over the stimulus cycles (B2) for different L(Dend1). In BI, ten cycles of the 596 stimulus are shown from 5 different example conditions. Linecolor in B1 and B2 indicates example values 597 from low (dark) to high (bright). C: Mean membrane potential (CI) and mean modulation amplitude (C2)598 were maximal for dendrites of 3.3 µm and gradually reduced for diameters D(Dend1) above and below this 599 value. Dendritic length was constant, $L(Dend1) = 50 \ \mu m$. D: Example traces (D1) and membrane potential 600 averaged over the stimulus cycles (D2) for different D(Dend1). Presentation as in B. E: Contour-plots 601 showing the mean membrane potential (E1) and mean modulation amplitude (E2) for 625 (25×25) 602 603 combinations of L and D. Both parameters mostly depended on L(Dend1) with a specific slope that depended on D(Dend1). 604

605

Fig. 3. Effect of phase-locked dendritic inputs on tonic and modulated subthreshold SBC membrane 606 responses was only weakly influenced by dendritic synapse number but strongly by total dendritic 607 conductance. A: Mean membrane potential (A1) and mean modulation amplitude (A2) were not influenced 608 by number of dendritic synapses N above N = 15 (A1) or N = 23 (A2). Total dendritic synaptic conductance 609 was constant ($g_{syn} = 16 \text{ nS}$). Below these low values parameters steeply declined with lower N. B: Example 610 data for result shown in A, presentation as in Fig. 1B. C: Total dendritic synaptic conductance g_{syn} had a 611 strong influence on mean membrane potential (CI) and mean modulation amplitude (C2). With increasing 612 g_{syn} of N = 16 synapses, both parameters monotonically increased. D: Example data for results shown in C, 613 presentation as in Fig. 1B. E: Contour-plots showing the mean membrane potential (E1) and mean 614 modulation amplitude (E2) for 625 (25 x 25) combinations of N and g_{syn}. Effects mainly depended on g_{syn}. 615 The number of action potentials triggered by dendritic inputs per condition is shown in the contour plot in 616 *E3*. 617

Bushy-cell dendritic inputs

619 Fig. 4. Temporal characteristics of inputs and dendritic synapses inversely affected tonic and modulated subthreshold membrane responses of the model SBC. A: Mean membrane potential (A1) and mean 620 modulation amplitude (A2) were differentially affected by the decay time-constant of the dendritic synaptic 621 conductance. Input frequency was 200 Hz. Mean membrane potential monotonically increased with decay 622 tau, but mean modulation amplitude was maximal for tau = 1.77 ms and declined steeply for values above 623 and below. B: Example data for result shown in A, presentation as in Fig. 1B. C: Input frequency, expressed 624 here as duration of one cycle of the stimulus, weakly influenced mean membrane potential (C1). Shorter 625 cycle duration / higher frequencies caused slightly higher tonic depolarization (for a synaptic decay time 626 constant of tau = 2 ms). Mean modulation amplitude (C2) however increased strongly with increasing cycle 627 duration. D: Example data for results shown in C, presentation as in Fig. 1B. E: Contour-plots showing the 628 mean membrane potential (E1) and mean modulation amplitude (E2) for 625 (25 x 25) combinations of 629 dendritic decay tau and cycle duration. The greatest variation of mean membrane potentials with decay tau 630 occured for short cycle durations. Modulation amplitudes were greatest for a limited range of short decay 631 time constants and long cycle durations. 632

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Fig. 5. Passive and voltage-activated and dendritic conductances differentially affect tonic and modulated 634 subthreshold membrane responses of the model SBC. A: Mean membrane potential (A1) was strongly 635 reduced by low-voltage activated potassium conductance g_{KLT} but mean modulation amplitudes (A2) were 636 637 hardly affected. B: Example data for result shown in A, presentation as in Fig. 1B. C: Mean membrane potential (C1) increased with increasing dendritic hyperpolarization-activated conductance g_h . However, g_h 638 had only very minor influence on mean modulation amplitudes (C2). D: Example data for result shown in C, 639 presentation as in Fig. 1B. E: Both the mean membrane potential (E1) and mean modulation amplitude (E2) 640 were strongly reduced by increasing dendritic passive leak conductance g_{leak} . F: Example data for result 641 shown in E, presentation as in Fig. 1B. G: Contour-plots showing the mean membrane potential (G1) and 642 mean modulation amplitude (G2) for 625 (25 x 25) combinations of g_h and g_{KLT} . G_h and g_{KLT} inversely affect 643 the subthreshold membrane responses of the model SBC. 644

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Fig. 6. Dendritic inputs enhance endbulb of Held transmission efficacy. *A*: Schematic of the model used in the following experiments. The endbulb of Held axosomatic input is modeled as a conductance point source connected to the soma. *B*: Example trace of membrane potential responses of the SBC model with endbulb of Held input but without dendritic inputs upon simulated sound stimulation (1000 Hz, 65 dB SPL, CF = 1000 Hz). Successful endbulb to SBC transmission events, i.e. action potentials, marked with green dots. Failed transmissions, i.e. excitatory postsynaptic potentials, marked with red dots. Blue dots show timing of endbulb input events. All markers refer to the same time axis. Thick black line shows duration of

Bushy-cell dendritic inputs

simulated stimulus presentation. C: Cycle histogram of spike times relative to the input cycle for the SBC 653 output spikes in B. Red vertical line shows mean phase angle of the events (preferred phase φ). D: Example 654 trace of membrane potential responses of the SBC model with endbulb of Held input with N = 32, 655 $g_{syn} = 16 \text{ nS}$ dendritic inputs upon simulated sound stimulation (1000 Hz, 65 dB SPL, CF = 1000 Hz). 656 Presentation as in B. Note the lower amount of failed transmissions. E: Cycle histogram of spike times 657 relative to the input cycle for the SBC output spikes in D. Presentation as in C. Note the higher amount of 658 events, the higher vector strength and the phase advance. F: Phase relation of endbuld of Held input events 659 and the membrane potential modulation caused by the dendritic inputs determines the efficacy of the endbulb 660 of Held input. Examplary single activations of the endbulb of Held synapse at 25 (5 x 5) different 661 combinations of peak conductance values and EoH activation times in relation to the stimulus cycle (1 s for a 662 1000Hz stimulus). Upper row, no dendritic inputs. Shades of grey indicate different endbulb peak 663 conductance values. Middle row, with 16 nS dendritic synaptic inputs, shades of green indicate different 664 endbulb peak conductance values. Bottom row, representation of the stimulus waveform. Vertical arrow 665 marks temporal position of endbulb activation. G: Contour plots showing AP amplitude (G1, G2) and 666 endbulb activation to peak AP delay (G3, G4) for 625 (25 x 25) combinations of EoH activation times and 667 peak conductance without (G1, G3) and with (G1, G4) dendritic inputs. Hashed area with red boundary 668 indicates conditions in which no AP was elicited. 669

670

671 Fig. 7. Dendritic inputs differentially affect SBC response rates and temporal coding dependent on stimulus condition. A: Contour plot of the frequency-response area of the SBC model without dendritic inputs, i.e. the 672 output AP rate upon 1024 combinations of 32 different stimulus frequencies and 32 different stimulus sound 673 pressure levels. 5 s of stimulus presentation were simulated per condition. CF = 1500 Hz. Yellow contours 674 represent 80 Hz and 170 Hz output rate. B: Contour plot of the frequency-response area of the SBC model 675 with N = 32, gsyn = 16 nS dendritic inputs. Presentation as in A. Note the higher response rates visualized by 676 the greater area demarcated by the 170 Hz contour. C: Difference between the data shown in A and B 677 quantifies the effect of the dendritic inputs. Note the different color scheme: white signifies no difference, 678 increasing intensity of red (blue) signifies increasing positive (negative) difference. Highest differences at 679 and below CF. D,E,F: Contour plot of the temporal precision of the output AP quantified as vector strength 680 without (D) and with (E) dendritic inputs, differences shown in F. Temporal precision is most affected at 681 stimulus frequencies at and above CF. Yellow contours represent VS = 0.6. Presentation as in A-C. G,H,I: 682 Contour plot of the mean phase φ of output AP without (G) and with (H) dendritic inputs, differences shown 683 in I. Presentation as in A-C. Note that the phase advance mostly accompanied the changes in VS, not the 684 changes in output AP rate. 685

Bushy-cell dendritic inputs

Fig. 8. Dendritic morphology and biophysics influence the effects of dendritic inputs on output spike rate 687 and temporal coding. A: Contour plots of the difference in output AP rate (A1), vector strength (A2) and 688 preferred phase φ (A3) between the model without and with dendritic inputs for 1024 (32 x 32) combinations 689 690 of length and diameter of the primary dendrite. Presentation of these difference plots as Fig. 7C. B: Contour plots of the difference in output AP rate (B1), vector strength (B2) and preferred phase φ (B3) between the 691 model without and with dendritic inputs for 1024 (32 x 32) combinations of total dendritic synaptic 692 conductance g_{syn} and the number of dendritic synaptic inputs N_{syn} . Presentation of these difference plots as 693 Fig. 7C. C: Contour plots of the difference in output AP rate (C1), vector strength (C2) and preferred phase φ 694 (C3) between the model without and with dendritic inputs for 1024 (32 x 32) combinations of total 695 characteristic frequency CF in kHz and the dendritic low voltage-activated potassium conductance g_{KIT}. 696 Presentation of these difference plots as Fig. 7C. 697

698

Fig. 9. Effects of dendritic inputs on output spike rate and temporal coding depends on tonotopy and the 699 interplay between inputs and kinetics of the dendritic synapses. A: Contour plots of the difference in output 700 AP rate (A1), vector strength (A2) and preferred phase φ (A3) between the model without and with dendritic 701 inputs for 1024 (32 x 32) combinations of input frequency (in kHz) and characteristic frequency of the SBC 702 model (in kHz). Presentation of these difference plots as Fig. 7C. B: Contour plots of the difference in output 703 AP rate (B1), vector strength (B2) and preferred phase φ (B3) between the model without and with dendritic 704 inputs for 1024 (32 x 32) combinations of input frequency (in kHz) and the decay time-constant of dendritic 705 synaptic inputs $\tau_{dendsyn}$. Presentation of these difference plots as Fig. 7C. 706

707

Fig. 10. 3D representation of the bushy dendrite for the SBC compartment model. *A*: Confocal image (maximal projection) of a gerbil SBC filled with biocytin during whole-cell path recording in acute brain slices in vitro. *B*: 3D model of the dendritic segments reconstructed from the confocal images in *A*. Soma (red) and axon sections (white) visible in the left half of the image, were not used for modeling, only the proximal dendritic section (blue) and distal dendritic arbors attached to this (yellow, green, magenta) were used for the compartment model.

714

Fig. 11. Effect of dendritic inputs connected to realistic 3D dendritic structures are qualitatively identical to effects seen with the stylized dendrite model. *A-I:* Contour plots of the output AP rate (*A-C*), temporal precision (*D-F*) and preferred phase (*G-I*) of the SBC model with a 3D dendrite model. Stimulus conditions and data presentation identical to Fig. 7.

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Koert et al.

Bushy-cell dendritic inputs

	L (µm)	D (µm)	nseg	g _{leak} (S/cm²)	g _{Nav} (S/cm ²)	g _{KHT} (S/cm ²)	g _{KLT} (S/cm ²)	g _H (S/cm ²)	C _m (µF/cm ²)
Soma	19.5	19.5	9	0.001	0.01	0.013	0.017	0.002	1
Dend1	50	3	21	0.001	0	0	0.0085	0.001	1
Dend2	50	3	100	0.001	0	0	0	0	1
AIS	15	4	3	0.001	0.53	0.01	0	0	1
Axon	100	2	10	0.0001	0	0	0	0	0.1
proximal (3D)	-	-	-	0.001	0	0	0.0085	0.001	1
distal (3D)	-	-	-	0.001	0	0	0	0	1

877 Table 1. Morphological and biophysical parameters of the SBC compartment model.

Koert et al.

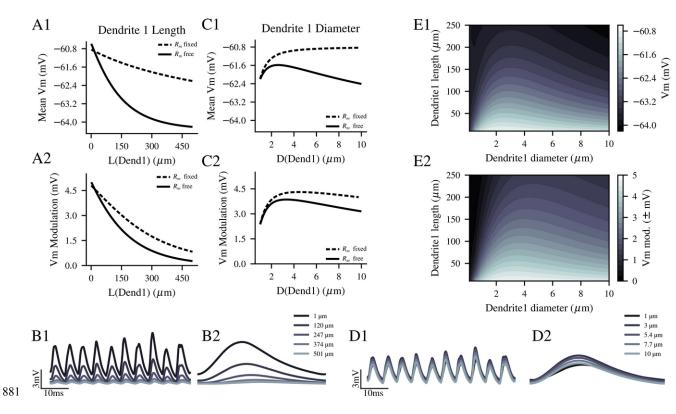
С В Α Syn N (∧ -59-1 -61-1 -63-1 -65-(...) Syn N Dend2 Syn 3 -Syn 2 -Syn 1 ~~~~~ -65 т т N/g_{syn}/tau 0 50 100 150 Axon Dend1 D L/D g_h/g_{KLT}/g_{leak} () -59 -61 -63 -63 (...) Syn 3 Syn 2 Syn 1 EoH Soma -65 AIS Т 50 100 150 50 100 150 0 0 Time (ms) Time (ms)

879

880 Fig. 1.



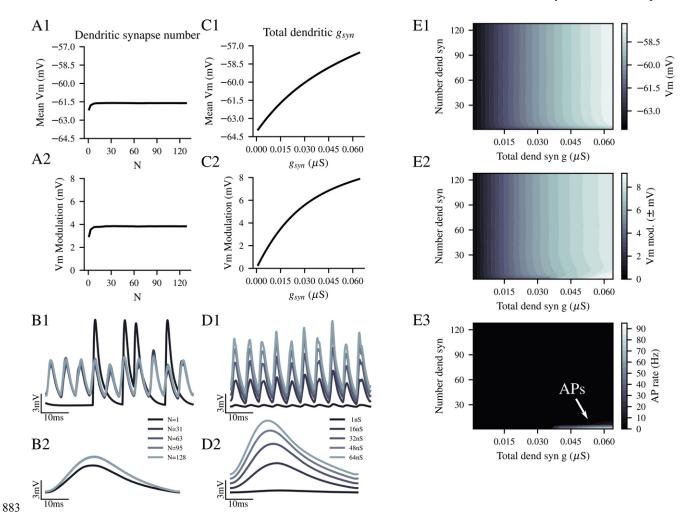
Bushy-cell dendritic inputs



882 Fig. 2.

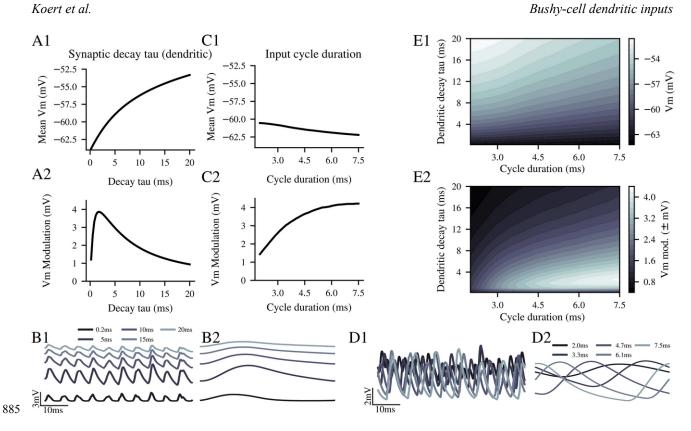


Bushy-cell dendritic inputs



884 Fig. 3.

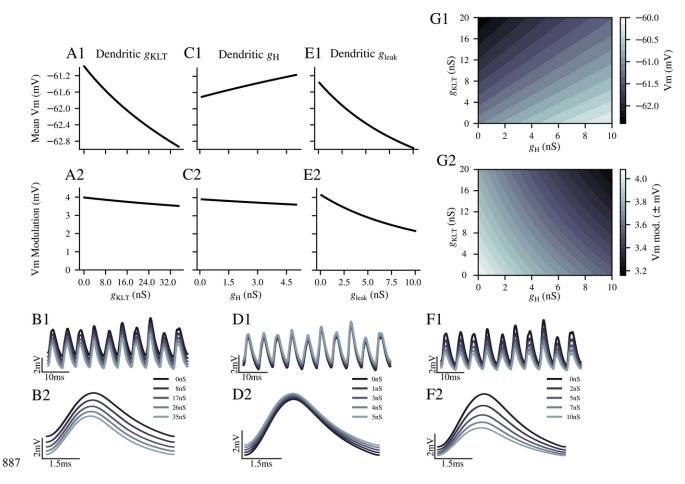
30/38



886 Fig. 4.

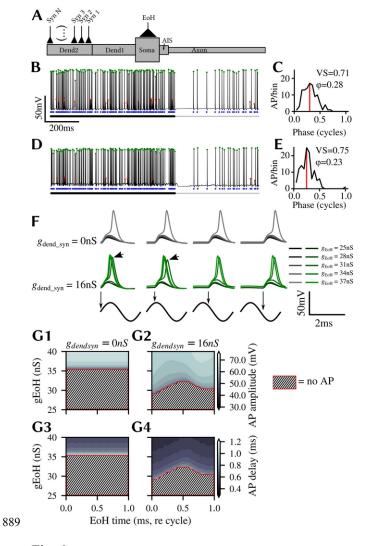


Bushy-cell dendritic inputs

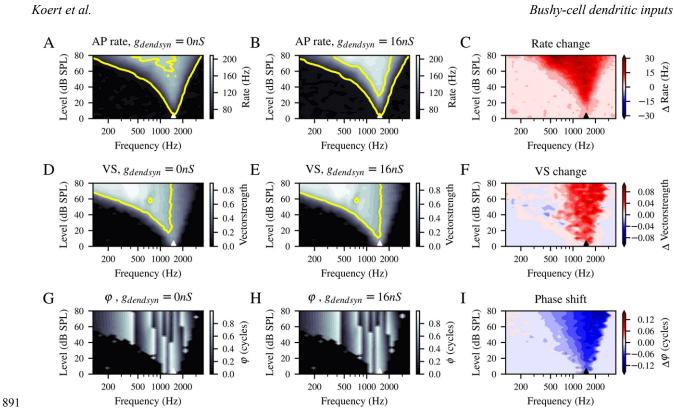


888 Fig. 5.

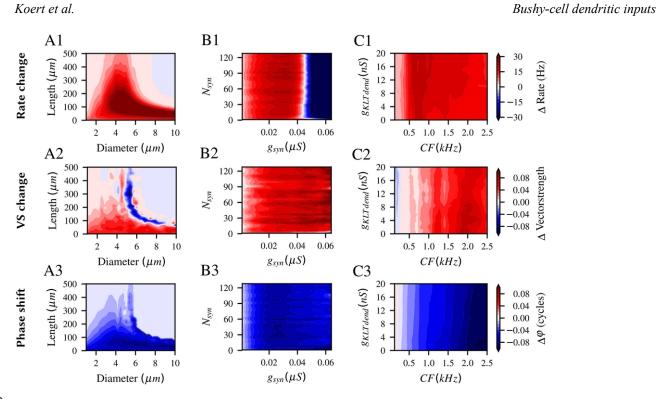
Koert et al.



890 Fig. 6.



892 Fig. 7.

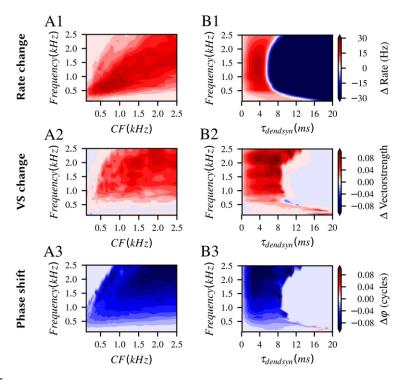


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894 Fig. 8.

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Koert et al.

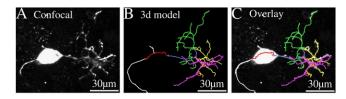




896 Fig. 9.

Koert et al.

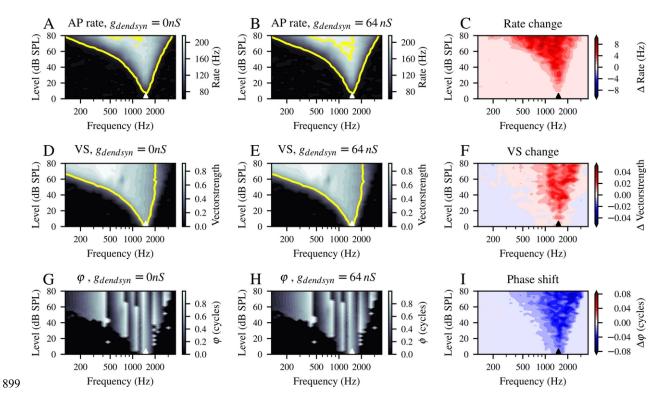
Bushy-cell dendritic inputs



897

898 Fig. 10.

Koert et al.



900 Fig. 11.