

1 **Protection of grapevine pruning wounds against *Phaeomoniella***
2 ***chlamydospora* and *Diplodia seriata* by biological and chemical**
3 **methods**

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28 **ABSTRACT**

29 The grapevine trunk diseases (GTDs) Botryosphaeria dieback and esca threaten the
30 sustainability of the grapevine industry worldwide. This study aimed to evaluate and compare
31 the efficacy of various liquid (pyraclostrobin + boscalid and thiophanate methyl) and paste
32 (paste + tebuconazole) formulation fungicide treatments, and biological control agents
33 (*Trichoderma atroviride* SC1 and *T. atroviride* I-1237), for their potential to prevent infection
34 of grapevine pruning wounds by *Diplodia seriata* and *Phaeomoniella chlamydospora* in two
35 field trials over two growing seasons. Treatments were applied to freshly pruned wounds
36 following their label dosages recommendations. After 24 hours, wounds were artificially
37 inoculated with 400 spores of *D. seriata* or 800 spores of *P. chlamydospora*. Isolations were
38 made from the treated pruning wounds after 12 months to evaluate the efficacy of the
39 treatments. Fungicide formulations were superior to *Trichoderma*-based treatments for the
40 control of both pathogens during both growing seasons, with mean percent disease control of
41 44 to 95% for *D. seriata* and 46 to 67% for *P. chlamydospora*. Pyraclostrobin + boscalid was
42 the most effective treatment. *Trichoderma atroviride*-based treatments did not reduce infection
43 by *D. seriata* or *P. chlamydospora* compared to the untreated inoculated control in both
44 vineyards and seasons. This study represents the first vineyard assessment of several chemical
45 and biological treatments to protect pruning wounds against GTDs fungi in Europe and provides
46 growers with tangible preventative control practices to minimize yield losses due to GTDs.

47
48 **Keywords:** Botryosphaeria, chemical control, esca, *Trichoderma*, *Vitis vinifera* L.

49 1. Introduction

50 Botryosphaeria dieback and esca are two of the most harmful grapevine trunk diseases
51 (GTDs) affecting vineyards in all major grape-producing areas worldwide. They currently are
52 among the main biotic threats to the economic sustainability of viticulture reducing yields,
53 productivity and longevity of vines and vineyards (Gramaje et al., 2018). Yield losses of 30-
54 50% have been reported by Botryosphaeria dieback in highly infected vineyards of North
55 America (Milholland, 1991). The economic impact of Botryosphaeria dieback along with
56 another GTD such as Eutypa dieback in California was estimated to be \$USD260 million per
57 year (Siebert, 2001). Esca incidence has reached up to 80% in several vineyards of Southern
58 Italy (Romanazzi et al., 2009), and 12% of vineyards in France are currently no longer
59 economically viable, due mainly to esca, with an annual estimated loss of €1 billion (Lorch,
60 2014).

61 Botryosphaeria dieback is currently associated with 26 botryosphaeriaceous taxa in the
62 genera *Botryosphaeria*, *Diplodia*, *Dothiorella*, *Lasiodiplodia*, *Neofusicoccum*, *Neoscytalidium*,
63 *Phaeobotryosphaeria*, and *Spencermartinsia* (Úrbez-Torres, 2011; Pitt et al., 2013a, 2013b,
64 2015; Rolshausen et al., 2013; Yang et al., 2017) with the species *Diplodia seriata* being one
65 of the most frequently isolated fungi from diseased vines in several grape growing regions such
66 as Australia (Savocchia et al., 2007), California (Úrbez-Torres et al., 2010), Chile (Auger et al.,
67 2004), China (Yan et al., 2013), France (Larignon et al., 2001), Mexico (Úrbez-Torres et al.,
68 2008), Portugal (Phillips, 2002), South Africa (van Niekerk et al., 2004) and Spain (Luque et
69 al., 2014). Botryosphaeria dieback frequently shows as complete absence of spring growth from
70 affected spurs due to necrosis formation in wood vascular tissues with bud-break failure, and
71 shoot and trunk dieback (Úrbez-Torres, 2011). Wood symptoms are characterized by wedge-
72 shaped perennial cankers and dark streaking in spurs, cordons and trunks vascular tissues
73 usually beginning in pruning wounds (Úrbez-Torres et al., 2010).

74 Esca is mainly caused by the fungus *Phaeoconiella chlamydospora* along with
75 *Phaeoacremonium minimum* and other *Phaeoacremonium* spp. (Gramaje et al., 2015), some
76 *Cadophora* spp. (Travadon et al., 2015), and several basidiomycetous taxa belonging to genera
77 *Inocutis*, *Inonotus*, *Fomitiporella*, *Fomitiporia*, *Phellinus*, and *Stereum* (Cloete et al., 2015).
78 The most characteristic external symptoms of the chronic esca comprise multiple banding
79 discolourations on leaves known as ‘tiger-stripe’ pattern (Surico, 2009; Gubler et al., 2015).
80 Internal wood symptoms involve black spots in the xylem vessels, longitudinal brown to black
81 vascular streaking, and white to light yellow soft rot that frequently develops in wood of older
82 vines (Fischer, 2002; Lecomte et al., 2012). Apoplectic esca form is characterized by a sudden
83 and unexpected wilting of the whole vine or one/several arms or shoots (Lecomte et al., 2012).

84 Infection of grapevines by GTD fungal pathogens primarily occurs through annual pruning
85 wounds made during the dormant season (Gramaje et al., 2018). Pycnidia of
86 Botryosphaeriaceae spp. and *P. chlamydospora* develop from dead/cankered wood, old pruning
87 wounds, grapevine canes, crevices, cracks and on the bark of infected grapevines (Úrbez-Torres
88 and Gubler, 2011; Baloyi et al., 2016), and in the case of *P. chlamydospora*, mycelium on
89 infected wood can also be a source of conidia (Edwards and Pascoe, 2001; Edwards et al., 2001;
90 Baloyi et al., 2016). Fruiting bodies of these fungi can also be found in pruning debris left in
91 the vineyard, thus becoming a potential inoculum source for new infections (van Niekerk et al.
92 2010; Úrbez-Torres, 2011; Elena and Luque, 2016b).

93 Conidia release of Botryosphaeriaceae spp. and *P. chlamydospora* has been shown to be
94 primarily correlated with rain events (Larignon and Dubos, 2000; Eskalen and Gubler, 2001;
95 Kuntzmann et al., 2009; van Niekerk et al., 2010; Úrbez-Torres et al., 2010; Valencia et al.,
96 2015). The dynamics of *P. chlamydospora* dispersal in Spain were recently described by an
97 epidemiological equation that integrated the effects of both rain and temperature (González-
98 Domínguez et al., 2020). Conidia of Botryosphaeriaceae spp. has been shown to be primarily

99 dispersed by rain splash (Úrbez-Torres et al., 2010), while inoculum of *P. chlamydospora* is
100 predominantly aerially dispersed (Larignon and Dubos, 2000; Eskalen and Gubler, 2001;
101 Gubler et al., 2015; Quaglia et al., 2009). Infection occurs when conidia land on exposed and
102 susceptible pruning wounds, germinate in xylem vessels and colonize the vine spur, cordon and
103 trunk (Mostert et al., 2006; Epstein et al., 2008; Gubler et al., 2013; Moyo et al., 2014).

104 Susceptibility of pruning wounds to GTD pathogens is mainly dependent on the time of
105 pruning, and the period between pruning and possible infection case. Several studies using
106 artificial spore inoculations showed that susceptibility of grapevine pruning wounds is high
107 when fungal infection occurs at the moment of pruning but decreases as the period between
108 pruning and infection increases up to several weeks or months (Petzold et al., 1981; Munkvold
109 and Marois, 1995; Eskalen et al., 2007; Serra et al., 2008; Úrbez-Torres and Gubler, 2011), with
110 seasonal variation reported between grape regions caused primarily by climatic differences
111 (Gramaje et al., 2018).

112 Protection of pruning wounds is essential for the management of *Botryosphaeria dieback*
113 and *esca* in grapevine, especially if adopted early in the vineyard lifespan (Kaplan et al., 2016;
114 Sosnowski and McCarthy, 2017). The efficacy of fungicide wound treatments against
115 *Botryosphaeriaceae* spp. and *P. chlamydospora* has been demonstrated in Australia (Pitt et al.,
116 2012), California (Rolshausen et al., 2010), Chile (Díaz and Latorre, 2013), New Zealand
117 (Amponsah et al., 2012; Sosnowski and Mundi, 2019) and South Africa (Mutawila et al., 2015).
118 The use of physical barriers such as paints and pastes formulated with or without fungicides
119 have also shown to be effective to control infections caused by *Botryosphaeriaceae* fungi and *P.*
120 *chlamydospora* (Epstein et al., 2008; Rolshausen et al., 2010; Pitt et al., 2012; Díaz and Latorre,
121 2013).

122 The high restrictions that most effective chemical active ingredients are currently facing in
123 Europe because of environmental and human health risks (Larignon et al., 2008; Spinosi et al.,
124 2009), make indispensable address new alternatives for controlling GTDs. Over the last years,
125 research on biological control of GTD fungi with antagonistic microorganisms has shown
126 promising results primarily under controlled conditions (Alfonzo et al., 2009; Mutawila et al.,
127 2011a; Haidar et al., 2016; Rezgui et al., 2016; Álvarez-Pérez et al., 2017; Dairaignes et al.,
128 2018; Mondello et al., 2018; Andreolli et al., 2019; Del Frari et al., 2019; Mondello et al., 2019;
129 Trotel-Aziz et al., 2019; Niem et al., 2020). Field trials with biological control agents (BCAs)
130 have shown variable results for preventing infection by *Botryosphaeriaceae* and *esca* fungi
131 (Kotze et al., 2011; Mutawila et al., 2011b, 2015, 2016; Mounier et al., 2014; Reis et al., 2017;
132 Martínez-Diz et al., 2020a).

133 To our knowledge, no comparative studies to evaluate the efficacy of chemical and BCA
134 products as pruning wound protectants against GTD fungi have been performed in Europe so
135 far. Four pruning wound treatments are currently registered in Spain for the control of GTD
136 fungi: three *Trichoderma*-based biological products, namely Esquive, Blindar and Vintec, and
137 Tessior, a liquid polymer containing boscalid and pyraclostrobin (MAPA, 2020). In addition,
138 thiophanate methyl is registered in Spain against fungal trunk pathogens in almond (MAPA,
139 2020). The aim of this study was to evaluate and compare the efficacy of various liquid and
140 paste formulation fungicide treatments, and BCAs, for their potential to prevent infection of
141 grapevine pruning wounds by *D. seriata* and *P. chlamydospora* in field trials. The products
142 assessed were those registered in Spain for control of fungal trunk pathogens or other diseases
143 on grapevine and/or other hosts.

144

145 **2. Materials and methods**

146 *2.1 Location and characteristics of the experimental vineyards*

147

148 The assays were carried out at two commercial vineyards located in O Barco de Valdeorras,
149 Galicia region (Spain), in 2018 and 2019. The vineyards were planted on 1981 (37-years-old)
150 and 1989 (29-years-old) with ‘Godello’ cultivar grafted onto 110 Richter rootstock. Vines were
151 spaced 120 cm from center to center, and with an interrow spacing of 225 cm, trained as bilateral
152 cordons in a trellis system with a spur-pruning (Royat).

153 Vineyards were less than 500 m apart and had very similar climates. Standard cultural
154 practices were used in both vineyards during the growing season, and the management of
155 powdery and downy mildews was performed using only wettable sulphur and copper
156 compounds applied at label dosages and following Integrated Pest Management (IPM)
157 guidelines, respectively, when required. At the beginning of the study (2018), about 8% and
158 12% of vines had shown GTDs symptoms in each vineyard, respectively. The presence and
159 evolution of GTDs symptoms have been inspected biannually from 2014 to present in plots of
160 1,500 vines at both vineyards. GTDs symptoms detected during inspection were associated
161 mainly with esca such as tiger-pattern foliar necrosis, and shoots, arm and/or cordon death.

162 Both vineyards were located less than 4 km to an automatic weather station owned by
163 Meteogalicia (Weather Service of Galician Regional Government, Xunta de Galicia) and its
164 climatic data was considered to be representative.

165 166 2.2 Fungal isolates and inoculum preparation 167

168 *Diplodia seriata* isolate CJL-398 and *Phaeomoniella chlamydospora* isolate BV-130 were
169 used. *P. chlamydospora* BV-130 was selected due to its high virulence on grapevine in previous
170 assays (Martínez-Diz et al., 2019). This strain was isolated from a 43-year-old esca diseased
171 vine cultivar ‘Tempranillo’ grafted onto ‘41 Berlandieri’ rootstock in 2015. *D. seriata* JL-398
172 was the most virulent isolate among 14 in a detached grapevine cane assay (Elena et al., 2015a).
173 This strain was isolated from cankers and wood necrosis of grapevine.

174 Conidial suspensions of each pathogen were used for artificial inoculations in the field and
175 inoculum was obtained using methods similar to those described by Elena and Luque (2016a).
176 In the case of *D. seriata*, a mycelial plug previously plated on Potato Dextrose Agar (PDA,
177 Conda Laboratories, Spain) at 25°C for 7 days was cultured upside down over the center of a
178 water agar (WA, Conda Laboratories, Spain) plate. Maritime pine (*Pinus pinaster* L.) needles
179 were cut to 1 cm long fragments and then sterilized in an autoclave following the standard
180 protocol of 121°C for 20 min. Then, approximately 20 sterile needles fragments were placed on
181 the WA media surface surrounding the *D. seriata* mycelial plug at about 1 to 1.5 cm and plates
182 were incubated under warm white fluorescent and near ultraviolet light for a 12-h photoperiod
183 regime at 25°C for 4 weeks until pycnidia formation. The day before inoculation, pine needles
184 fragments (about n=40) with *D. seriata* pycnidia were placed along with 30 ml of sterile
185 distilled water (SDW) in a beaker. The solution was kept overnight (about 16 h) at 4°C in
186 permanent agitation with the aid of a magnetic stirrer to induce conidia release from the
187 pycnidia and prevent conidia germination. The inoculation day, the resulting solution was
188 vacuum-filtered through a 60-mm Steriflip filter (Millipore Corporation, Billerica, MA) to get
189 a cleaner suspension. Then, conidial suspension was adjusted to 2×10^4 conidia mL⁻¹ using a
190 hemocytometer (Brand™ Blaubrand™ Neubauer Counting Chamber, Thermo Fisher Scientific
191 Inc., MA, USA).

192 *Phaeomoniella chlamydospora* strain was grown on PDA plates at 25°C for 3 weeks. Same
193 day of inoculation, conidia were released from cultures by adding 10 ml of SDW and gently
194 scraping with a sterile stick and the collected suspension adjusted to a concentration of 4×10^4
195 conidia mL⁻¹ based on counts from the hemocytometer. Both conidial suspensions were stored
196 at 4°C until inoculation time to avoid early conidia germination.

197 Spore germination was assessed for both fungal trunk pathogens by placing four drops of
198 the spore suspension on a PDA plate, which was then incubated at 25°C under fluorescent light
199 for a 12-h photoperiod. After approximately 24 h, a glass cover slip was placed over each drop
200 area on the PDA. The number of non-germinated spores over a total of 100 in each drop was
201 counted using an optical microscope (Nikon Eclipse E400) at 100x magnification. The mean
202 percentage of germinated spores was determined.

203

204 2.3 Pruning wound protection treatments

205

206 Wound protection treatments tested in the present assay are listed in Table 1. We evaluated
207 the efficacy of two chemical and two BCA formulated products, and also a paste mixed with a
208 fungicide. In general, the chemical and biological products assessed were those commercially
209 formulated and currently registered and available in Spain for control of fungal trunk pathogens,
210 except tebuconazole (Song), which is registered to control botrytis bunch rot (*Botrytis cinerea*)
211 and powdery mildew (*Erysiphe necator*) in grapevine. Applications rates were selected based
212 on the registered label dosages recommendations. Liquid formulations were prepared by the
213 suspension of the products in tap water, which is the procedure normally used for spraying
214 vineyard treatments in Galicia region. Pyraclostrobin + boscalid (Tessior) treatment contains a
215 liquid polymer and it is already formulated to be directly sprayed to pruning wounds without
216 any previous mixing. Paste treatment was prepared by mixing a liter of the paste formulation
217 (Master) with 80 ml of tebuconazole (Song).

218 Regarding BCA treatments, the conidia viability of both *Trichoderma atroviride* strains
219 (SC1 and I-1237) in the commercial products was tested to be at a minimum of 85% before the
220 assay was set up (Pertot et al., 2016). A serial dilution of the conidia suspension was plated on
221 PDA and the colony-forming units were counted after 24-48 h incubation at room temperature.

222

223 2.4 Field assay and experimental design

224

225 On 19 February 2018, 1-year-old canes of all vines to be treated were spur-pruned to three
226 buds using secateurs in both vineyards, coinciding with the common pruning time in this region
227 of Spain. Wounds treatments were applied by hand until runoff within 2 h after pruning to three
228 wounds per vine. Liquid formulations were applied using a 500 ml hand-held spray bottle with
229 a plastic shield on the nozzle to minimise spray drift and the paste formulation were applied
230 with the aid of a paintbrush. Untreated controls, positive (artificially inoculated, IC) and
231 negative (non-artificially inoculated, NC) were mock treated with sterile distilled water (SDW).

232 On the following day, wounds were moistened by spraying with SDW immediately prior to
233 inoculation with the fungal trunk pathogens and a drop of Tween 20 (Sigma-Aldrich, San Luis,
234 MO, USA) was added to each conidial suspension as a surfactant to assist spreading the spores
235 over the pruning wound surface (Sosnowski and Mundi, 2019). Approximately 400 and 800
236 conidia of *D. seriata* and *P. chlamydospora*, respectively, suspended in a drop of 20 µl of SDW
237 were then applied per wound using a micropipette. All pruning wounds were inoculated with
238 the pathogen inoculum except NC controls, which were mock inoculated with a drop of 20 µl
239 of SDW alone instead and being exposed to natural infection. Inoculum drops placed onto the
240 pruning wounds were left to air dry (from some minutes to 1 h) before being wrapped with
241 Parafilm M (Pechiney Plastic Packaging, Chicago, IL, USA) to avoid fast dehydration and
242 favour fungal spores' penetration into xylem vessels. Due care was taken to avoid the rain for
243 the entire duration of the trials set up, namely pruning, wound treatments application and
244 artificial fungal inoculation (2 days).

245 The experiment was set up as a randomized block design with three replicates of ten plants
246 (thirty canes) per wound protectant treatment and pathogen in each vineyard. Three replicates

247 of ten plants per pathogen were also used for IC in each vineyard. Additionally, three replicates
248 of ten plants were used as NC in each vineyard. The experiment was repeated the following
249 season (2019–20), with pruning and wound treatments applied on 12 February 2019, and
250 artificial fungal inoculations on 13 February 2019.

251 252 *2.5 Fungal recovery and identification*

253
254 Canes were harvested from vines above the second bud (about 10 cm long pieces)
255 approximately 12 months after artificial inoculation and stored in a 4°C cool room prior to
256 laboratory assessment. Bark was first removed using a sharp knife from each cane. Then, canes
257 were surface sterilised for 1 min in 33% sodium hypochlorite (commercial 40 g Cl/l) and rinsed
258 twice for 1 min each in SDW. After air drying on sterile filter paper to remove moisture excess,
259 each cane was cut into small pieces (about 12 mm²) taken from the margin between discoloured
260 or dead and live or apparently healthy wood tissue using sterilised secateurs. Five wood
261 fragments were plated onto each of two plates of Malt Extract Agar (MEA) amended with 0.35
262 g l⁻¹ of streptomycin sulphate (Sigma-Aldrich, St. Louis, MO, USA) (MEAS) giving a total of
263 ten wood pieces per cane. Cultures were incubated at 25°C under warm fluorescent light for a
264 12-h photoperiod and inspected daily for 15 days. All growing fungal colonies were transferred
265 to PDA plates and then assessed for the presence or absence fungal mycelial growth resembling
266 *D. seriata*, *P. chlamydospora* or *Trichoderma* spp.

267 Identification of GTD fungal cultures was then assessed under a stereoscopic (Olympus
268 SZX9, Olympus Corporation, Tokyo, Japan) and optical microscopes (Nikon Eclipse E400,
269 Nikon Corporation, Tokyo, Japan) based on cultural and morphological features previously
270 described including colony growth pattern, colour, mycelial and other characteristics such as
271 conidial shape, size and colour (Crous and Gams, 2000; Phillips et al., 2007). Identity of GTD
272 fungal isolates and *Trichoderma* spp. was confirmed by molecular methods. Fungal DNA was
273 extracted from fresh mycelium after 3 weeks of incubation in PDA using the E.Z.N.A. Plant
274 Miniprep Kit (Omega Bio-Tek, Doraville, GA, USA) following manufacturer's instructions. *D.*
275 *seriata* was confirmed by sequencing part of the translation elongation factor 1- using the
276 primer pairs EF1F-EF2R (Jacobs et al., 2004). *P. chlamydospora* was detected by PCR using
277 the primers Pch1-Pch2 (Tegli et al., 2000). Identity of *Trichoderma* spp. was confirmed at
278 species level by sequencing the ITS region using the universal primers ITS1F/ITS4 (Gardes
279 and Bruns, 1993). All PCR products were visualized in 1% agarose gels (agarose D-1 Low
280 EEO, Conda Laboratories) and sequenced in both direction by Eurofins GATC Biotech
281 (Cologne, Germany).

282 283 *2.6 Data analysis*

284
285 Efficacy of each wound treatment was calculated as mean percentage recovery (MPR) of
286 *D. seriata* and *P. chlamydospora* from each cane per treatment (Sosnowski et al., 2008, 2013).
287 Data were checked for normality and homogeneity of variances prior to statistical analyses and
288 transformed when required into the arcsine of the square root of the proportion (MPR/100)^{1/2}.
289 The statistical analysis of the experimental results was carried out in a two-way ANOVA with
290 blocks and treatments as independent variables, and MPR (%) as dependent variable. Mean
291 percentage disease control (MPDC) was also determined as the reduction in MPR (%) as a
292 proportion of the artificially inoculated control (IC) (MPDC=100 × [1 – (MPR treatment/MPR
293 IC)]) (Sosnowski et al., 2008, 2013). Means were compared with ICs by the Student's *t* least
294 significant difference (LSD) at *P* < 0.05. Data from all experiments were analysed using the
295 Statistix 10 software (Analytical Software).

296

297 3. Results

298 3.1 Wound treatment evaluation against *Diplodia seriata*

299
300 During 2018-19 and 2019-20 seasons, *D. seriata* spore germination on PDA was 94% and
301 98.5%, respectively, and it was recovered from 58 and 68% of IC wounds, respectively (Table
302 2). *D. seriata* was recovered from 1% of NCs wounds at both seasons. Analysis of variance
303 showed that there were significant differences in the relative recovery data from the different
304 treatments between seasons ($P<0.05$). No significant differences were found in the recovery
305 data between vineyards in each season (2018-19, $P=0.904$; 2019-20, $P=0.593$), so data from
306 each vineyard were combined and the analysis was performed separately for each season (Table
307 2).

308 Treatment with pyraclostrobin + boscalid, thiophanate methyl, and the paste + tebuconazole
309 significantly reduced the MPR of *D. seriata* from pruning wounds with respect to the IC at both
310 seasons ($P<0.05$) (Table 2). During 2018-19 season, pyraclostrobin + boscalid, thiophanate
311 methyl, and the paste + tebuconazole provided MPDC of 95, 90 and 76%, respectively, whereas
312 these products provided MPDC of 69, 54 and 44%, respectively, during 2019-20 season. During
313 both seasons, there was not a significant treatment effect with *Trichoderma*-based wound
314 protectants ($P>0.05$). During 2018-19 season, *T. atroviride* SC1 and *T. atroviride* I-1237
315 provided MPDC of 10, and 26%, respectively, while these products provided MPDC of 22 and
316 32%, respectively, during 2019-20 season.

317

318 3.2 Wound treatment evaluation against *Phaeomoniella chlamydospora*

319
320 During 2018-19 and 2019-20 seasons, *P. chlamydospora* spore germination on PDA was
321 89% and 93%, respectively, and it was recovered from 27 and 42% of IC wounds, respectively
322 (Table 3). *P. chlamydospora* was recovered from 0% and 3% of NCs wounds during 2018-19
323 and 2019-20 seasons, respectively. There were no significant differences in the relative
324 recovery data from the different treatments between vineyards ($P=0.500$) and seasons
325 ($P=0.080$), so data were combined for analysis.

326 There was a significant treatment effect ($P<0.05$) with the paste + tebuconazole and
327 pyraclostrobin + boscalid treatments reducing MPR of *P. chlamydospora* to 12 and 18%
328 compared with 36% from the IC wounds (MPDC of 67 and 51%; Table 3). There was not a
329 significant treatment effect with thiophanate methyl and *Trichoderma*-based wound protectants
330 ($P>0.05$). Thiophanate methyl provided MPDC of 46%, whereas *T. atroviride* SC1 and
331 *T. atroviride* I-1237 provided MPDC of 0 and 17%, respectively.

332

333 3.3 *Trichoderma*-based treatments colonization

334

335 The conidia viability was on average of 97% and 95% for *T. atroviride* SC1 during 2018-
336 19 and 2019-20 seasons, respectively. Regarding *T. atroviride* I-1237, the conidia viability was
337 94% during 2018-19 season and 96% during 2019-20 season. *Trichoderma* spp. were
338 exclusively recovered from pruning wounds treated with *Trichoderma*-based formulations at
339 varying levels. There were no significant differences in the relative recovery data between
340 vineyards ($P=0.180$) and seasons ($P=0.075$). During 2018-19, recovery percentages were 5 and
341 10% for *T. atroviride* SC1 and *T. atroviride* I-1237, respectively. During 2019-20, recovery
342 percentages were 9% and 14% for *T. atroviride* SC1 and *T. atroviride* I-1237, respectively.

343

344 3.4 Weather data

345

346 During 2018-19 season, the average of the daily mean temperature and relative humidity in
347 the week from the day of pruning and wound treatments application (from 19 to 25 February
348 2018) was 6.5°C and 67.5%, respectively, with no rain events in that period. The average of
349 daily mean temperature, daily mean relative humidity and accumulated rainfall for the whole
350 month of February 2018 was 5.8°C, 76.6% and 84.2 mm, respectively, with nine rain events
351 (of >1 mm) in total.

352 During 2019-20 season, the week from the day of pruning and application of wound
353 treatments (from 12 to 18 February 2019) registered an average of the daily mean temperature
354 of 8.2°C and a 71.1% on average of daily relative humidity. For the same period, there was only
355 one rain event (18 February 2019) with a total rainfall of 10.6 mm. Regarding the whole
356 February 2019 month, the average of the daily temperature was 8.2°C and of the daily relative
357 humidity 73.3%. The total rainfall in the same month was 37 mm received in a total of four rain
358 events.

359 360 **4. Discussion**

361 The present study represents the first vineyard comparison of the efficacy of paste and
362 liquid fungicides, and BCA treatments to protect pruning wounds against GTDs fungi in
363 Europe. Considering the high incidence of GTDs, particularly esca, and the restrictions on the
364 use of chemicals in Europe (Mondello et al., 2018), this study provides growers with tangible
365 preventative control practices to minimize yield losses due to GTDs. By focussing on products
366 already registered for control of trunk diseases in almond or foliar diseases of grapevines in
367 Spain, the lower cost of label extension compared to new product registration will increase the
368 likelihood and success of registration for GTDs. *D. seriata* was chosen to represent
369 Botryosphaeria dieback, because it is one the most common cited Botryosphaeriaceae species
370 occurring on grapevines worldwide and is reported to be a virulent species in Spain (Luque et
371 al., 2009; Elena et al., 2015b). *P. chlamydospora* was chosen to represent esca, because it is the
372 most frequently isolated species from affected vines in most grape growing regions worldwide
373 (Berstch et al., 2013; Gubler et al., 2015).

374 Our results demonstrate that paste and liquid fungicide formulations were superior to
375 *Trichoderma*-based treatments for the control of *D. seriata* and *P. chlamydospora*. All paste
376 and liquid fungicide treatments tested reduced recovery of both pathogens from inoculated
377 wounds compared with the untreated inoculated control, with the exception of thiophanate
378 methyl for *P. chlamydospora*. Similar results were observed in other studies where several
379 fungicides and BCA treatments were compared as pruning wound protectants in the same field
380 trial. In Australian vineyards, liquid and paste fungicide formulations were more effective than
381 *Trichoderma*- and *Bacillus subtilis*-based formulations against *D. seriata* and *Diplodia mutila*
382 (Pitt et al., 2012), and *Eutypa lata* (Ayres et al., 2017) infections, respectively. Halleen et al.
383 (2010) also reported that fungicides were more effective than *Trichoderma* spp. against *E. lata*
384 infection in field trials carried out in South Africa, in spite of the efficacy of *Trichoderma*
385 treatments in reducing GTD fungal infection.

386 Application of pyraclostrobin + boscalid to pruning wounds provided high mean
387 percentage of disease control (MPDC) for both pathogens. To date, only preliminary studies
388 have been carried out in field trials in Germany (Kühn et al., 2017; Lengyel et al., 2019), Greece
389 (Kühn et al., 2017; Samaras et al., 2019) and Spain (Kühn et al., 2017), where pyraclostrobin
390 and boscalid (Tessior) was effective as pruning wound protectant reducing the grapevine wood
391 infection caused by *Diplodia* spp. and *P. chlamydospora*. The application of a similar
392 commercial product based on pyraclostrobin and boscalid without the liquid polymer
393 (BASF516, BASF Australia Ltd, Sidney, New South Wales, Australia) showed a low efficacy
394 against *E. lata* artificial pruning wound inoculations in Australian vineyards (Sosnowski et al.,
395 2008). Wound applications of pyraclostrobin alone were effective for the control of *D. seriata*

396 and *P. chlamydospora* in Chile (Díaz and Latorre, 2013) and California (Rolshausen et al.,
397 2010) vineyards. Moreover, this active ingredient significantly reduced infections caused by
398 fungi associated with *Botryosphaeria dieback* (Rolshausen et al., 2010), *Eutypa dieback*
399 (Sosnowski et al., 2008, 2013; Rolshausen et al., 2010; Ayres et al., 2017), and *esca*
400 (Rolshausen et al., 2010), under field conditions.

401 The only treatment to provide a similar level of control than pyraclostrobin + boscalid for
402 both pathogens was the paste with tebuconazole. Accordingly, applications of paste and liquid
403 formulations containing tebuconazole on pruning wounds of ‘Cabernet Sauvignon’ vines
404 significantly reduced the mean vascular discolouration length and the reisolation percentage of
405 *D. seriata* and *P. chlamydospora* in Chilean vineyards (Díaz and Latorre, 2013). In Australia,
406 a gel and a paint with tebuconazole applied by paintbrush to freshly pruned canes reduced *E.*
407 *lata* infections to 100% and 94%, respectively (Sosnowski et al., 2013). Pitt et al. (2012) also
408 demonstrated that a tebuconazole paste formulation provided a 38% control of *D. mutila* in a
409 trial performed in Australia. Other physical barriers containing a paste with fungicides have
410 resulted effective at reducing pruning wound infections by other GTD fungi (Rolshausen and
411 Gubler, 2005; Sosnowski et al., 2008; Rolshausen et al., 2010; Pitt et al., 2012). Liquid spray
412 applications of tebuconazole were also significantly effective reducing the recovery of *D.*
413 *seriata* in Australia (Pitt et al., 2012).

414 Thiophanate methyl was effective in reducing infection by *D. seriata*, while no significant
415 effect was observed against *P. chlamydospora*. Similar findings were reported by Rolshausen
416 et al. (2010) in California, where pruning wounds applications of thiophanate methyl reached a
417 disease control of 80% for *D. seriata* infections but did not perform as well against *P.*
418 *chlamydospora* with only a 52% of disease control. In Chile, Díaz and Latorre (2013) reported
419 the efficacy of both liquid and paste formulations of thiophanate methyl to control *D. seriata*
420 and *P. chlamydospora* infections in pruning wounds. This chemical compound was also
421 effective in reducing the pruning wound infections caused by *P. chlamydospora* and
422 *Neofusicoccum luteum* in field trials carried out in South Africa (Mutawila et al., 2015) and
423 New Zealand (Amponsah et al., 2012), respectively.

424 Pastes and paints are considered the most reliable protectants of pruning wounds against
425 GTD fungi, especially when they are mixed with fungicides (Moller et al., 1977; Rolshausen
426 and Gubler, 2005; Rolshausen et al., 2010; Sosnowski et al., 2008, 2013; Díaz and Latorre,
427 2013). They provide a physical barrier to protect pruning wounds from GTD fungal infection
428 while the fungicide can also act on the pathogens if the physical barrier is compromised by rain,
429 sap flow, or cracking when drying (Sosnowski et al., 2008). However, some other studies
430 reported no differences in effectiveness between application of acrylic paint with or without
431 fungicides (Sosnowski et al., 2008; Mayet and Lecomte, 2014). Pastes and paints are usually
432 applied by hand with a paint brush, unless the product contains a liquid polymer to act as a
433 physical barrier, which is the case of Tessior commercial product. It should be noted that
434 application by hand is more time-consuming and can be at least two to four times the application
435 cost with a tractor mounted sprayer (Sosnowski and McCarthy, 2017). Further research is
436 therefore required to determine the protective mechanisms of each component and if their
437 efficacy is also influenced by other factors such as wound size, application time, and weather
438 variables.

439 Species of the fungal genus *Trichoderma* have been the most investigated BCA to act as
440 pruning wound protectant against GTDs pathogens (John et al., 2005; Halleen et al., 2010;
441 Kotze et al., 2011; Mutawila et al., 2015, 2016; Reis et al., 2017). Our results shown that
442 *Trichoderma atroviride*-based treatments did not reduce infection by *D. seriata* or *P.*
443 *chlamydospora* compared to the untreated inoculated control in both vineyards and seasons.
444 This is the first report to assess the efficacy of *T. atroviride* SC1 in protecting grapevine pruning
445 wounds from infection by GTD fungi in mature vineyards. In recent research, Berbegal et al.

446 (2020) applied *T. atroviride* SC1 to pruning wounds of 3-year-old vines but its efficacy as
447 wound protectant against GTD pathogens was not tested in this specific plant part. In nurseries,
448 *Trichoderma atroviride* SC1 showed high efficacy to reduce artificial (Pertot et al., 2016) or
449 natural (Bebegal et al., 2020) *P. chlamydospora* infection when applied at different
450 propagation stages. Preliminary results showed the efficacy of *T. atroviride* I-1237 to reduce
451 the disease incidence and severity of *P. chlamydospora* and *N. parvum* on pruning wounds in
452 Portuguese vineyards (Reis et al., 2017). Similarly, Mounier et al. (2014) demonstrated that
453 spraying pruning wounds with *T. atroviride* I-1237 over two years significantly reduced the
454 esca, and Botryosphaeria and Eutypa diebacks foliar symptoms expression, and the plant
455 mortality rate due to GTDs in French vineyards. Dipping young grapevine plants in *T.*
456 *atroviride* I-1237 during the nursery propagation process decreased *D. seriata* and *P.*
457 *chlamydospora* DNA and necrotic lesion length compared to the untreated plants (Mounier et
458 al., 2014). Other *Trichoderma* strains or *Trichoderma*-based commercial products have shown
459 high efficacy in reducing the recovery of GTDs fungal pathogens from artificially inoculated
460 pruning wounds under field conditions (John et al., 2005; Halleen et al., 2010; Kotze et al.,
461 2011; Pitt et al., 2012; Mutawila et al., 2015).

462 These inconsistencies found in the *Trichoderma* products performance among our study
463 and previous reports could be due to different reasons. Although *Trichoderma* spp. have the
464 ability to provide long-term protection to pruning wounds and thus preventing fungal trunk
465 pathogens infections, they firstly need to establish itself, grow and colonize wounds instead of
466 a simply temporal establishment (Munkvold and Marois, 1993; Mutawila et al., 2011a, 2011b).
467 In this study, pruning wound colonization by both strains of *T. atroviride* was very low at both
468 vineyards and seasons ranging from 5 to 14%. Environmental conditions such as the
469 temperature at the time of application might have a negative influence in the persistence and
470 implementation of *Trichoderma* spp. (Elmer and Reglinski, 2006; Pertot et al., 2017).
471 According to the label recommendations of each product, *T. atroviride* SC1 formulation should
472 be applied when environmental temperature is equal or higher than 10°C for a minimum of five
473 hours on the day of application in the field, while *T. atroviride* I-1237 formulation is supposed
474 to be biologically active at temperatures above 5°C. The average of the daily mean temperature
475 experienced in the week of the trials set up was 6.5°C and 8.2°C during 2018-19 and 2019-20
476 seasons, respectively, a fact that could explain the low colonization performed by *Trichoderma*-
477 based formulations. In addition, a slightly higher *Trichoderma* recovery was registered during
478 2019-20 season (9.4 to 13.5%) than 2018-19 (4.8 to 9.8%) probably also explained by the
479 warmer temperatures registered in this season.

480 Timing of pruning within the dormant season should be adjusted to periods with mild and
481 favourable temperature values that might lead to a better implantation and development of the
482 BCA on pruning wounds and thus increasing its effectiveness against GTD pathogens.
483 *Trichoderma* spp. application after pruning in late winter or early spring would likely provide
484 higher disease control than normal pruning in winter. However, late pruning is not feasible in
485 all vineyards. In those vineyards with limited labour force, growers need to begin pruning early
486 in the winter to ensure completion of the activity before bud break. An alternative would be to
487 prune in late autumn or early winter. Recent research carried out in the same grape-growing
488 region of the present study reported low abundances of GTDs pathogens infecting naturally
489 pruning wounds after an early pruning made in November (Martínez-Diz et al., 2020b). The
490 age and physiological state of the vine as well as the dose and product formulation have also
491 been suggested as factors that could have an influence on effective colonization by *Trichoderma*
492 spp. (Schubert et al., 2008; Halleen et al., 2010; Mutawila et al., 2016). However, further
493 research is required to confirm these hypotheses.

494 Label instructions of most fungicide and BCA commercial formulations to protect pruning
495 wounds recommend their application shortly after pruning to minimize the chances of GTDs

496 infection. In our study, we followed the official method of European countries to evaluate
497 pruning wounds protection products against *E. lata*, which suggests carrying out fungal
498 inoculations 24 hours after the application of preventive treatments (EPPO, 2017). Accordingly,
499 in most of the previous pruning wound protection trials, the time elapsed between pruning
500 wound protection and GTD fungal inoculation was 24 hours (John et al., 2005; Sosnowski et
501 al., 2008, 2013; Halleen et al., 2010; Rolshausen et al., 2010; Kotze et al., 2011; Amponsah et
502 al., 2012; Pitt et al., 2012; Díaz and Latorre, 2013; Ayres et al., 2017; Sosnowski and Mundi,
503 2019). This short time between BCA treatments application and artificial fungal inoculations
504 could also have explained the poor performance exhibited by *Trichoderma*-based commercial
505 formulations in our study. Previous research reported a greater biocontrol efficacy when
506 artificial GTD pathogen infection was delayed 7 (Kotze et al., 2011; Mutawila et al., 2015) and
507 14 (Munkvold and Marois, 1993; John et al., 2005) days after application of *Trichoderma* spp.
508 on pruning wounds. These findings suggest BCAs might need a period to colonise the pruning
509 wound surface and grapevine wood to be effective, as reported by John et al. (2005).

510 The use of pathogen artificial inoculations is very common in the assessment of the efficacy
511 of pruning wound protectants against GTDs to guarantee a substantial establishment of
512 infection in untreated inoculated controls for statistical analysis (Halleen et al., 2010;
513 Rolshausen et al., 2010; Sosnowski et al., 2008, 2013; Amponsah et al., 2012; Pitt et al., 2012;
514 Ayres et al., 2017; Sosnowski and Mundi, 2019). In the present study, artificial inoculations
515 with 400 (*D. seriata*) and 800 (*P. chlamydospora*) conidia were applied per wound to obtain
516 optimal recovery percentages for robust evaluation of treatments according to doses
517 recommendations made by Elena et al. (2015b). This fact represents a significantly higher
518 ‘disease pressure’ than that which might be expected to occur under natural conditions. Wounds
519 were infected naturally up to 1% by *D. seriata* and 3% by *P. chlamydospora*, in contrast with
520 the artificially inoculated controls recovery with up to 68% and 42%, respectively. This
521 indicates that wound protectants that showed lower efficacy rates in this study, such as BCA
522 formulations, will most likely provide better control of both *D. seriata* and *P. chlamydospora*
523 under ‘natural disease pressure’ in the vineyard. The efficacy of pruning wound protectants
524 under lower artificial GTD inoculum levels or natural infections in the vineyard should be tested
525 in future studies. Different affinities of *T. atroviride* strains for specific grapevine cultivars has
526 been previously reported in South Africa (Mutawila et al., 2011b), and this should not be
527 discarded as a possible cause of the low *Trichoderma* colonization rates obtained in this study.

528 To conclude, this study highlighted the efficacy of several fungicides with or without a
529 physical barrier to protect grapevine pruning wounds against *D. seriata* and *P. chlamydospora*
530 infections under field conditions. In particular pyraclostrobin + boscalid (Tessior), a registered
531 product against GTD fungi in several countries in Europe, is recommended as pruning wound
532 protectant to prevent infection by the most prevalent pathogens associated with *Botryosphaeria*
533 dieback and esca. *Trichoderma*-based treatments showed lower efficacy against GTD fungi
534 than that provided by fungicides and their performance seems to be related to environmental
535 conditions and wound colonisation prior to infection by the pathogens. Good pruning practices
536 along with strict sanitation procedures and pruning wound protection by the application of
537 authorized products can significantly reduce the impact of GTD pathogens infections and thus
538 increasing the lifespan of vineyards.

539 540 **Declaration of Competing Interest**

541
542 The authors declare that they have no known competing financial interests or personal
543 relationships that could have appeared to influence the work reported in this paper.

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Table 1. Pruning wound treatments evaluated for control of *Diplodia seriata* and *Phaeomoniella chlamydospora* under field conditions.

Trade name	Nature	Chemical/Biological group ^a	Active ingredient	Application rate	Supplier
Enovit Metil	Chemical	MBC ^b / Thiophanates	Thiophanate methyl 70%	1 g L ⁻¹	Sipcam Inagra S.L.
Tessor	Chemical	QoI ^c / methoxy-carbamates + SDHI ^d / pyridine-carboxamides	Pyraclostrobin 0.5% + boscalid 1%	n/a [*]	BASF Española S.L.U.
Master + Song	Paste + chemical	DMI ^e / Triazoles	Paste (resin 55% + vegetal oil and healing substances 45%) + tebuconazole 25%	n/a	Sipcam Jardin S.L.+ Sipcam Iberia S.L.
Vintec	BCA ^f	Microbial (fungi)	<i>Trichoderma atroviride</i> SC1 (2 x 10 ¹⁰ CFU g ⁻¹)	2 g L ⁻¹	Belchim Crop Protection España S.A.
Esquive	BCA	Microbial (fungi)	<i>T. atroviride</i> I-1237 (1 x 10 ⁸ CFU g ⁻¹)	100 g L ⁻¹	Idai Nature S.L.

^a According to Fungicide Resistance Action Committee (FRAC) Code List[®] (2020): Fungal control agents sorted by cross resistance pattern and mode of action (https://www.frac.info/docs/default-source/publications/frac-code-list/frac-code-list-2020-final.pdf?sfvrsn=8301499a_2)

^b MBC, Methyl Benzimidazole Carbamates

^c QoI, Quinone outside Inhibitors

^d SDHI, Succinate-dehydrogenase Inhibitors

^e DMI, Demethylation Inhibitors

^f BCA, Biological Control Agent

^{*} n/a, not applicable

Table 2. Efficacy of wound treatments when applied 24 h before inoculation with *Diplodia seriata* in two growing seasons.

Trade name	Active ingredient	Growing season			
		2018/2019		2019/2020	
		MPR ^a	MPDC ^b	MPR	MPDC
	Inoculated control (IC)	58 a	-	68 a	-
Vintec	<i>T. atroviride</i> SC1	52 a	10	53 ab	22
Esquive	<i>T. atroviride</i> I-1237	43 a	26	46 ab	32
Master + Song	Paste (resin 55% + vegetal oil and healing substances 45%) + tebuconazole 25%	14 b	76	38 bc	44
Enovit Metil	Thiophanate methyl 70 %	6 b	90	31 bc	54
Tessor	Pyraclostrobin 0.5% + boscalid 1%	3 b	95	21 c	69

^a Efficacy was based on the mean percent recovery (MPR) of *Diplodia seriata* from the treated canes by traditional isolation.

^b Mean percent disease control (MPDC) of treatments was calculated as the reduction in MPR as a proportion of the inoculated control.

Table 3. Efficacy of wound treatments when applied 24 h before inoculation with *Phaeoconiella chlamydospora*.

Trade name	Active ingredient	MPR ^a	MPDC ^b
	Inoculated control (IC)	36 ab	-
Vintec	<i>T. atroviride</i> SC1	45 a	0
Esquive	<i>T. atroviride</i> I-1237	30 ab	17
Enovit Metil	Thiophanate methyl 70%	19 bc	46
Tessor	Pyraclostrobin 0.5% + boscalid 1%	18 c	51
Master + Song	Paste (resin 55% + vegetal oil and healing substances 45%) + tebuconazole 25%	12 c	67

^a Efficacy was based on the mean percent recovery (MPR) of *Phaeoconiella chlamydospora* from the treated canes by traditional isolation.

^b Mean percent disease control (MPDC) of treatments was calculated as the reduction in MPR as a proportion of the inoculated control.