

Coupling between slow-waves and sharp-wave ripples organizes distributed neural activity during sleep in humans

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Abstract

Hippocampal-dependent memory consolidation during sleep is hypothesized to depend on the synchronization of distributed neuronal ensembles, organized by the hippocampal sharp-wave ripples (SWRs, 80-150 Hz) and subcortical/cortical slow-waves (0.5-4 Hz). However, the precise role of SWR-slow-wave interactions in synchronizing subcortical/cortical neuronal activity is unclear. Here, we leverage intracranial electrophysiological recordings from the human hippocampus, amygdala, temporal and frontal cortices, to examine activity modulation and cross-regional coordination during SWRs. Hippocampal SWRs are associated with widespread modulation of high frequency activity (HFA; 70-200 Hz) a measure of local neuronal activation. This peri-SWR HFA modulation is predicted by the coupling between hippocampal SWRs and local subcortical/cortical slow-waves. Finally, local cortical slow-wave phase offsets during SWRs predicted functional connectivity between the frontal and temporal cortex. These findings suggest a selection mechanism wherein hippocampal SWR and cortical slow-wave synchronization governs the transient engagement of distributed neuronal populations supporting hippocampal-dependent memory consolidation.

40 Introduction

41
42 Memory consolidation involves the transformation of newly encoded representations into
43 long-term memory¹⁻³. During non-rapid eye movement (NREM) sleep, hippocampal
44 representations of recent experiences are reactivated^{4, 5}, along with transient synchronization of
45 distributed subcortical and cortical neuronal populations⁶⁻⁸. It is hypothesized that the oscillatory
46 synchrony facilitates connections between the neuronal ensembles, stabilizing memory
47 representations^{9, 10}. The selection and synchronization of distant neuronal populations that
48 participate in hippocampal-dependent memory consolidation are proposed to depend on the
49 interaction between hippocampal sharp-wave ripples (SWRs; 80-150 Hz) and traveling
50 subcortical/cortical slow-waves (0.5-4 Hz), but the underlying mechanisms subserving this
51 network engagement are unclear. Here we investigated how hippocampal SWRs and
52 subcortical/cortical slow-waves coordinate distributed neuronal populations during memory
53 consolidation in NREM sleep.

54 Hippocampal SWRs are transient local field potential (LFP) oscillations (20-100 msec;
55 80-150 Hz in humans) implicated in planning, memory retrieval, and memory consolidation¹¹.
56 Several lines of evidence highlight the role of SWRs in sleep-dependent memory consolidation.
57 First, memory reactivation in the hippocampus, cortical and subcortical structures peaks during
58 SWRs^{4-7, 12, 13}. Second, hippocampal-subcortical/cortical functional connectivity, the prerequisite
59 for binding of anatomically distributed reactivated memory traces is enhanced around SWRs^{7, 14-16}.
60 Finally, SWR suppression or prolongation interferes with, while prolongation of SWR duration
61 improves hippocampal-dependent memory consolidation^{17, 18}.

62 While research converges on the notion that SWR output modulates neuronal activity
63 across brain regions during NREM sleep, SWR events are temporally biased by phases of
64 slow-wave oscillations^{19, 20}. Slow-waves are present in cortical and subcortical structures^{21, 22},
65 originate in frontal areas and traverse in an orderly succession to temporal lobes and subcortical
66 structures, including the hippocampus and the amygdala^{19, 22-24}. Indeed, slow-wave synchrony
67 increases following learning²⁵, and the reduction of slow-wave synchrony is correlated with

68 memory impairment²⁶. Finally, although slow-waves are ubiquitous, individual slow-wave
69 trajectories are usually limited to a subset of cortical/subcortical areas, with ~80% of these events
70 detected in less than half of recorded locations in humans²². Therefore, each SWR-associated
71 slow-wave event could recruit and index a unique sequence of cortical and subcortical
72 populations.

73 In this study, we used the broadband high frequency activity (HFA, 70-200 Hz)^{27, 28}
74 recorded from human intracranial electrodes as a metric of subcortical/cortical activity. HFA is an
75 indirect measure of neuronal spiking from the population surrounding the electrode contact,
76 estimated in the range of several hundred thousand neurons²⁹. Consistent with the hypothesized
77 role of SWR in synchronizing distributed memory traces, we found HFA power modulation during
78 hippocampal SWR events in ~30% of extrahippocampal recording sites. Given the critical role of
79 slow-waves in facilitating hippocampal-dependent memory consolidation¹⁵ and their confinement
80 to local regions²², we hypothesizes that slow-waves organize hippocampal - cortical and cortical -
81 cortical interactions during SWR events. Indeed, we found a strong association between SWR
82 phase locking to cortical slow-waves and HFA modulation in the same recording site. These
83 findings suggest that coupling of SWRs and slow-waves drive the selection of cortical populations
84 to participate in hippocampal - cortical communication. Theoretical constructs of memory
85 consolidation further predict transient synchronization of neuronal populations in distant cortical
86 regions, temporally linking cortical - cortical functional connections. In support of the cooperative
87 role of SWR and slow-waves in orchestrating cortical - cortical communication, we found that
88 slow-wave phase alignments between two distant cortical sites predicted their neuronal
89 population synchronization manifested by temporal HFA power correlations. These results imply
90 a recruitment mechanism by which coupling of slow-waves and SWRs provide communication
91 windows for long-range interactions between distributed neuronal populations, critical for
92 hippocampal-dependent memory consolidation.

93 Results

94 Sleep staging and SWR detection

95 We recorded overnight sleep local field potentials (LFPs) in 12 subjects (573 ± 18 minutes,
96 range 480-725) simultaneously from the frontal lobe (including the orbitofrontal, medial prefrontal,
97 dorsomedial and cingulate cortices), temporal lobe (including the insula, entorhinal,
98 parahippocampal, inferior, medial and superior temporal cortices), amygdala (including the
99 basolateral, lateral and centromedial amygdala), and hippocampus (Figure 1A-C). The
100 localization of the depth electrodes was determined based on co-registered pre- and
101 post-implantation magnetic resonance imaging (MRI), as well as registration to a high-resolution
102 atlas. A trained researcher (B.A.M.) performed sleep staging guided by standard criteria³⁰ (Figure
103 1D) using polysomnography (PSG) data collected from surface electrodes (i.e.,
104 electroencephalography, electrooculography, and electromyography). On average, subjects
105 spent 287 ± 44 minutes (range 115 – 405 minutes) in NREM sleep, which represented $49.9 \pm$
106 4.1% of overnight sleep recording durations. We used depth electrodes implanted in the
107 hippocampus to detect SWR events (see methods, Figure S1A). Hippocampal LFPs were
108 bandpass-filtered in the SWR frequency range (80-150 Hz), rectified, and transformed to z-scores
109 (Figure 1E). Events that exceeded five standard deviations from the mean amplitude and were
110 beyond a one-second window of the nearest interictal epileptic discharges (Figures S1A-B) were
111 classified as SWRs. As shown in Figure 1E, the morphology of grand-average SWR ($n = 12$
112 subjects; 44965 SWRs) and the numbers of SWR events per hippocampal electrode (1653 ± 274)
113 were consistent across subjects and in line with previous reports from humans and non-human
114 primates^{20, 31-34}

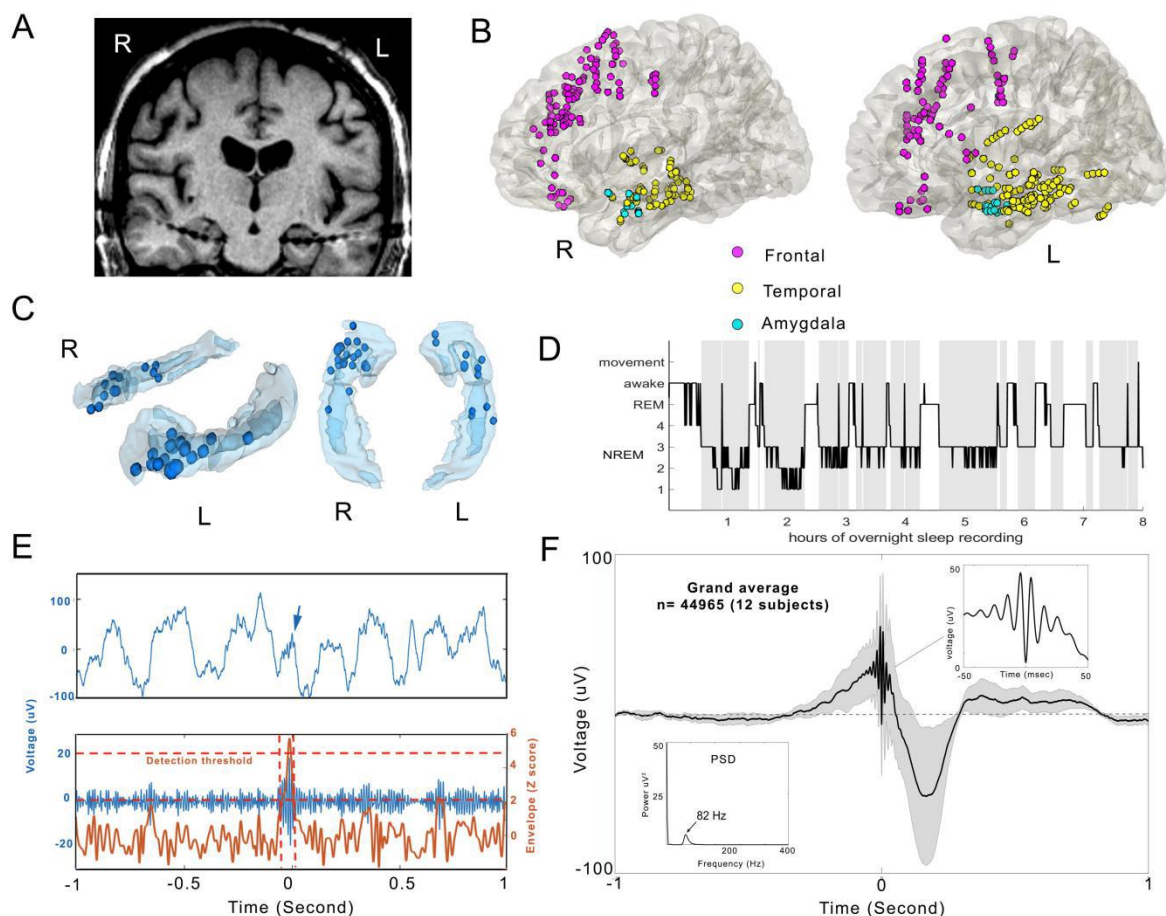


Fig.1. Recording locations, sleep staging, and sharp-wave ripple detection. **a**, Coronal MRI image from an example subject, showing the depth electrodes targeting the hippocampus bilaterally. Thicker areas along the electrode shafts represent the individual recording contacts. **b**, Anatomical distribution of extra-hippocampal recording locations (amygdala - cyan, $n = 36$; temporal cortex - yellow, $n = 180$; frontal cortex - magenta, $n = 189$; medial views of the right (R) and left (L) hemispheres. Regional electrode distributions across individual subjects are shown in the Supplementary Table 1. **c**, Hippocampal electrode localizations ($n = 33$) from all the subjects, shown on a 3-dimensional hippocampus model. Blue dots indicate the individual electrode locations in the left (L) or right (R) hippocampus. **d**, Overnight sleep hypnogram from an example dataset. The non-rapid eye movement (NREM) stages 2-4 were used in the analysis (grey). **e**, Example of detected sharp-wave ripple (SWR) and illustration of the detection algorithm. Top: raw LFP trace around the SWR (arrow). Bottom: blue - the LFP trace shown in the top plot, band-pass filtered in the SWR range (80-150 Hz). Orange - z-scored envelope of the filtered trace. SWR detection was based on the coincidence of two criteria: 1) SWR envelope peak crossing the mean + 5SD (upper threshold, top dashed orange line) and 2) SWR envelope around the candidate upper threshold crossing exceeding mean + 2SD for 20-100 msec (lower threshold, bottom dashed orange line). **f**, Grand-average SWR-centered raw LFP (mean \pm SEM; $n = 12$ subjects). Time 0 corresponds to SWR peak. Top right inset: Several oscillatory cycles around the grand-average SWR peak reflect the oscillatory nature of detected SWR events, lasting several tens of msec. Bottom left inset: Power spectral density (PSD) averaged across all detected SWRs. Unimodal peak (82 Hz) suggests the lack of contamination with epileptic activity, which is typically reflected as an additional PSD peak in > 200 Hz range³³.

Hippocampal sharp-wave ripples modulate subcortical and cortical high frequency activity

Functional MRI studies show a widespread peri-SWR activity modulation³⁵, but the precise timing and anatomical distribution of the neuronal activity is unclear. Therefore, we leveraged millisecond temporal resolutions and broad anatomical coverage of intracranial

145 electrophysiological recordings to measure HFA power (a proxy of neuronal population activity)
146 during SWR windows (± 250 msec, relative to SWR peak). We paired each hippocampal
147 recording site containing a minimum of 100 SWRs/overnight recording session with
148 simultaneously recorded extra-hippocampal recording sites and operationally defined the pairs as
149 target sites ($n = 1308$, 625 ipsilateral and 683 contralateral to SWR location; Figure 2A). All of
150 the electrodes used in the analysis were localized in gray matter (see Methods). Based on the
151 presence or absence of significant peri-SWR HFA modulation (see Methods), target sites were
152 classified as either HFA+ or HFA-. We found significant peri-SWR HFA power modulation in
153 28.1% (368/1308) of target sites. Linear regression analysis showed significant main effects of
154 region ($F(1,1304) = 219.6$, $p < 10^{-10}$) and hemisphere ($F(1,1304) = 133.5$, $p < 10^{-10}$) on the
155 percentage of target sites showing peri-SWR HFA modulation, as well as a region by hemisphere
156 interaction ($F(1,1304) = 109.5$, $p < 10^{-10}$). Moreover, the percentage of peri-SWR HFA modulated
157 subcortical/cortical target sites was significantly higher when SWRs originate from the same
158 hemisphere (278/625, 44.5%) versus SWRs arising from the contralateral hemisphere (110/683;
159 16.1%; Figure S2A; chi-square: $\chi^2(1, n = 1308) = 116.2$, $p < 10^{-10}$). The highest percentage of
160 modulated sites were in the amygdala (88.6% ipsilateral and 30.2% contralateral to SWR),
161 followed by the temporal (67.6% ipsilateral and 19.2% contralateral) and
162 the frontal cortex (15.1% ipsilateral and 12.2% contralateral; Figure 2C, S2B). Detailed statistical
163 comparisons of regional and hemispheric peri-SWR HFA modulation are shown in Supplementary
164 Tables 2A and B. We classified peri-SWR HFA power modulations as either: 1) positive
165 (increased HFA power); 2) negative (decreased HFA power); or 3) mixed (both periods of
166 increased and decreased HFA power; Figure 2B). Positive modulations were the most common
167 modulation class (266/368, 72.3% of HFA-modulated target sites), followed by mixed-modulations
168 (74/368, 20.1%) and negative-modulations (28/368, 7.6%). Overall, these findings suggest an
169 anatomically - specific engagement of neuronal populations during SWR windows.

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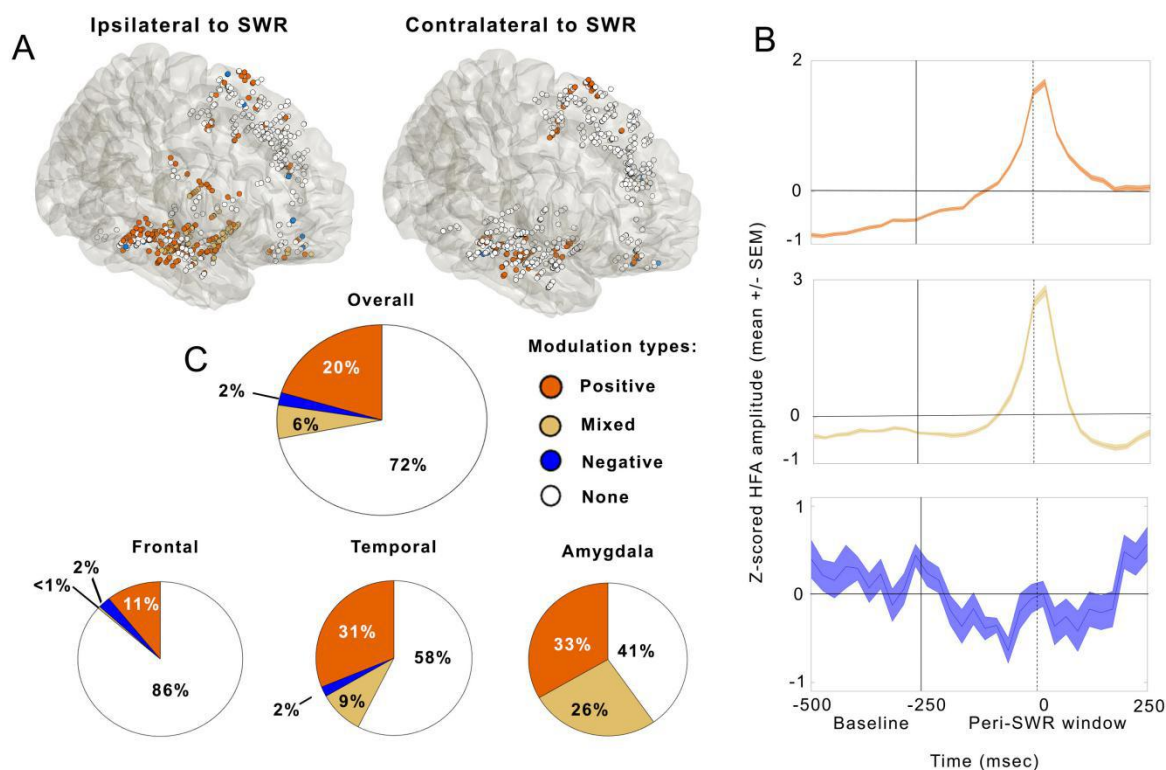
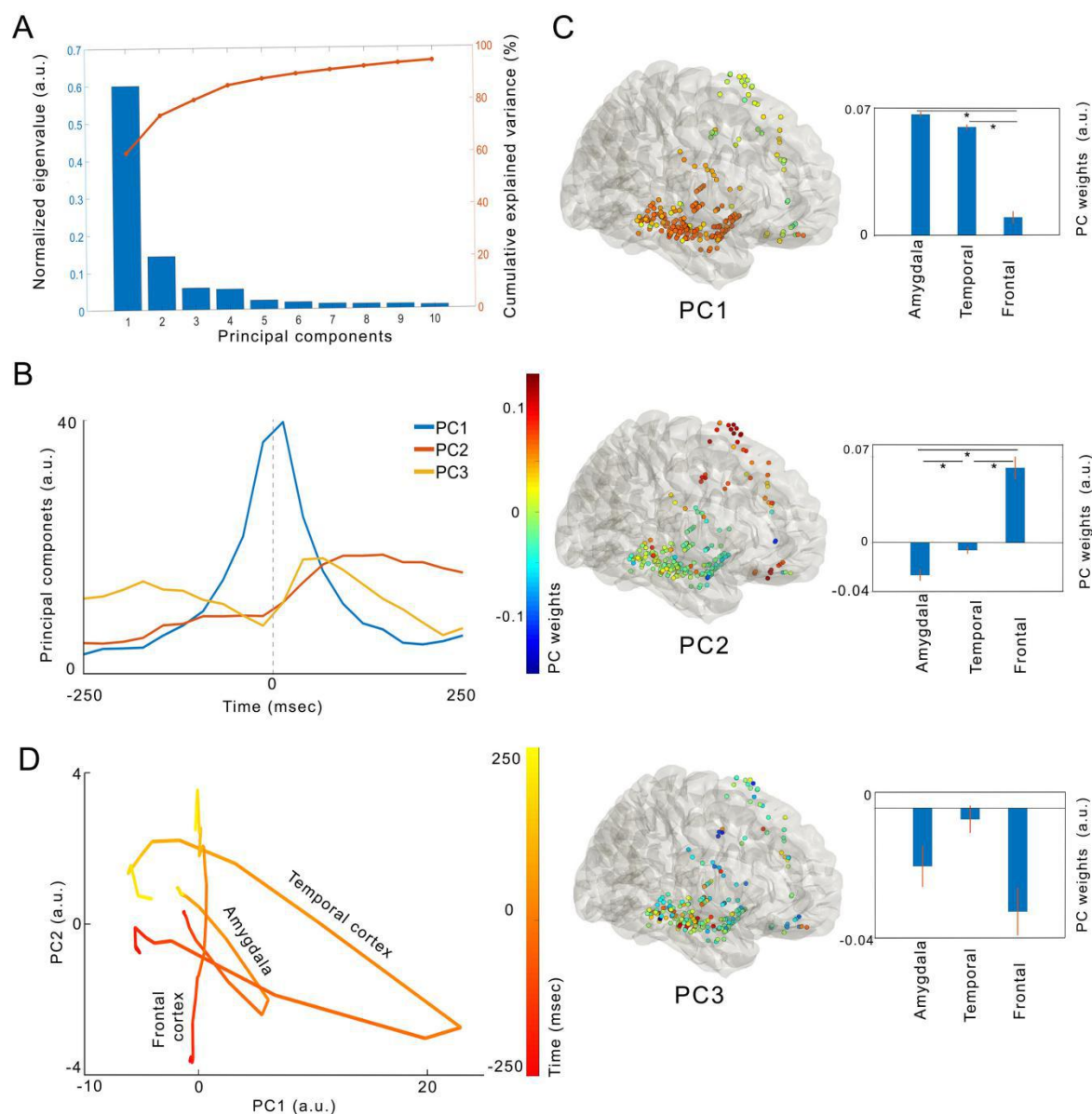


Fig. 2. High frequency activity (HFA) modulation around sharp-wave ripples (SWRs). **a**, Anatomical distributions of peri-SWR HFA modulation ipsilateral (left) and contralateral (right) to SWR location. Orange - positive-modulation, blue - negative-modulation, ochre - mixed modulation and white - no modulation (1308 target sites, 625 ipsilateral and 683 contralateral to SWR location). Target sites are defined as extra-hippocampal recording locations. When SWRs are recorded from multiple hippocampal channels in the same subject, locations of target sites are minimally jittered for visualization purposes. The most common significant peri-SWR HFA modulations are positive-modulations ipsilateral to SWR location in temporal and amygdala sites. See also Movie S1 for the temporal dynamics of peri-SWR HFA modulation. **b**, Average z-scored peri-SWR HFA time-courses (mean \pm SEM) from all the target sites showing a given modulation type (positive-modulation - top, mixed modulation - middle, negative-modulation - bottom). Tick vertical line denotes the boundary between baseline (-500 to -250 msec, relative to SWR peak time) and peri-SWR window (\pm 250 msec around SWR peak time). SWR peak time is denoted by the vertical dashed vertical line. **c**, Percentages of significant peri-SWR HFA modulation overall (top chart) and at the regional level (bottom charts). Orange - positive modulation, blue - negative modulation, ochre mixed modulation and white - no modulation.

While the previous analysis characterizes modulation at the level of individual target sites, memory consolidation theories predict coordinated activity of distributed neuronal populations around hippocampal SWR windows. Thus, we hypothesized that these temporal profiles co-vary across target sites around the time of SWR. To examine the low dimensional representation of neural population activity, we performed a principal component analysis (PCA) on the HFA time-courses derived from a pseudo-population of HFA modulated target sites, ipsi- and contra-lateral to hippocampal SWRs ($n = 368$). Consistent with the prediction of a low dimensional space of peri-SWR HFA dynamics, the first three principal components (PCs) accounted for

198 55.5%, 15.0%, and 6.2% of the explained variance (EV), respectively (cumulative EV = 76.7%;
199 Figure 3A). Reconstructed activity time-courses of these three PCs revealed distinct dynamics,
200 with PC1 activity showing a symmetric increase around the hippocampal SWR, while PC2
201 time-course showing a persistent activity increase following the SWR (Figure 3B). The PC3
202 time-course is bimodal, characterized by activity peaks both before and after the SWR peak
203 (Figure 3B). For each of the first three PC scores, a two-way ANOVA (with region and hemisphere
204 relative to SWR location as main factors) showed significant main effects of region ($F(2,367) > 7$,
205 p 's $< 10^{-3}$) and hemisphere ($F(1,367) < 8$, p 's $< 10^{-5}$), as well as region by hemisphere
206 interactions (F 's(1,2) > 11 , p 's $< 10^{-4}$), except for PC3 ($F(2,367) = 2.37$, $p = 0.1$). Remarkably, PC
207 distributions showed regional specificity, with PC1 dynamics represented in the amygdala and the
208 temporal cortex, while PC2 dynamics characterized the peri-SWR HFA in the frontal cortex
209 (Figures 3C). Detailed statistical comparisons of regional PC weights are shown in
210 Supplementary Table 3. Further, for different brain regions, the state-space trajectories around
211 the time of the SWR show distinct dynamics (Figure 3D). The trajectories derived from the
212 amygdala and the temporal cortex peri-SWR HFA are characterized by increased velocity around
213 the SWR peak and returning thereafter to the baseline (Figure 3D). In contrast, the state space
214 trajectory derived from the frontal cortex peri-SWR HFA is characterized by a slower velocity
215 along the PC2 axis, without returning to the baseline within the 250 msec after the SWR peak.
216 This analysis reveals low-dimensional peri-SWR HFA dynamics, which could be organized by
217 oscillatory synchrony. Based on the role of slow-waves in long-range synchronization supporting
218 the hippocampal-dependent memory consolidation^{15, 20, 25, 36}, we next sought to discern the
219 potential role of SWR and slow-wave interactions in facilitating cortical - cortical communication.



226

227 **Fig. 3. Principal component analysis shows low-dimensional and regionally-specific peri-SWR HFA**
 228 **dynamics patterns.** **a**, Blue bars - normalized eigenvalues and orange line - cumulative explained variance (EV) for
 229 the first ten principal components. The first three principal components show significance (see Methods), with
 230 cumulative EV ~80%. **b**, Reconstructed peri-SWR HFA time-courses (± 250 ms) of the first three principal components,
 231 centered on the SWR peak (dashed gray vertical line). PC1 activity is characterized by a symmetric peak around SWR,
 232 while the PC2 activity shows a protracted increase following SWR. PC3 activity time-course is bimodal, with distinct
 233 peaks both before and after the SWR peak. **c**, Left column: Glass brain maps of different PC weights in the hemisphere
 234 ipsilateral to SWR peak, denoted by color. Right column: the first three PC weights. Right column: Pseudo-population
 235 regional means of the PC weights for the corresponding PCs from the left column. PC1 weights in amygdala and
 236 temporal cortex are higher, relative to frontal cortex, while the anatomical distribution of PC2 weights shows the
 237 opposite pattern (two-tailed t-test, $p < 0.05$, statistical details in Supplementary Table 3). Data is shown as mean \pm SEM.
 238 **d**, Projections in state space of the ipsilateral regional average trajectories for the first two PCs, which were showing
 239 regional weight differences. Line color gradient denotes the time domain, ranging from -250 msec to 250 msec, relative
 240 to the SWR peak. Note the increased state-space distances between adjacent time-points closer to SWR peak time,
 241 reflecting the higher velocity in state space. Amygdala and temporal cortex trajectories tend to move along both PC1
 242 and PC2 axes, while the frontal cortex trajectory moves mostly along the PC2 axis. In addition, the amygdala and
 243 temporal cortex trajectories return close to origin by the end of the peri-SWR period. In contrast, the frontal cortex
 244 trajectory remains in a different part of state space, suggesting prolonged activity in frontal populations modulated
 245 around SWR.

246 **SWR synchrony with subcortical/cortical slow-waves predicts local activity**

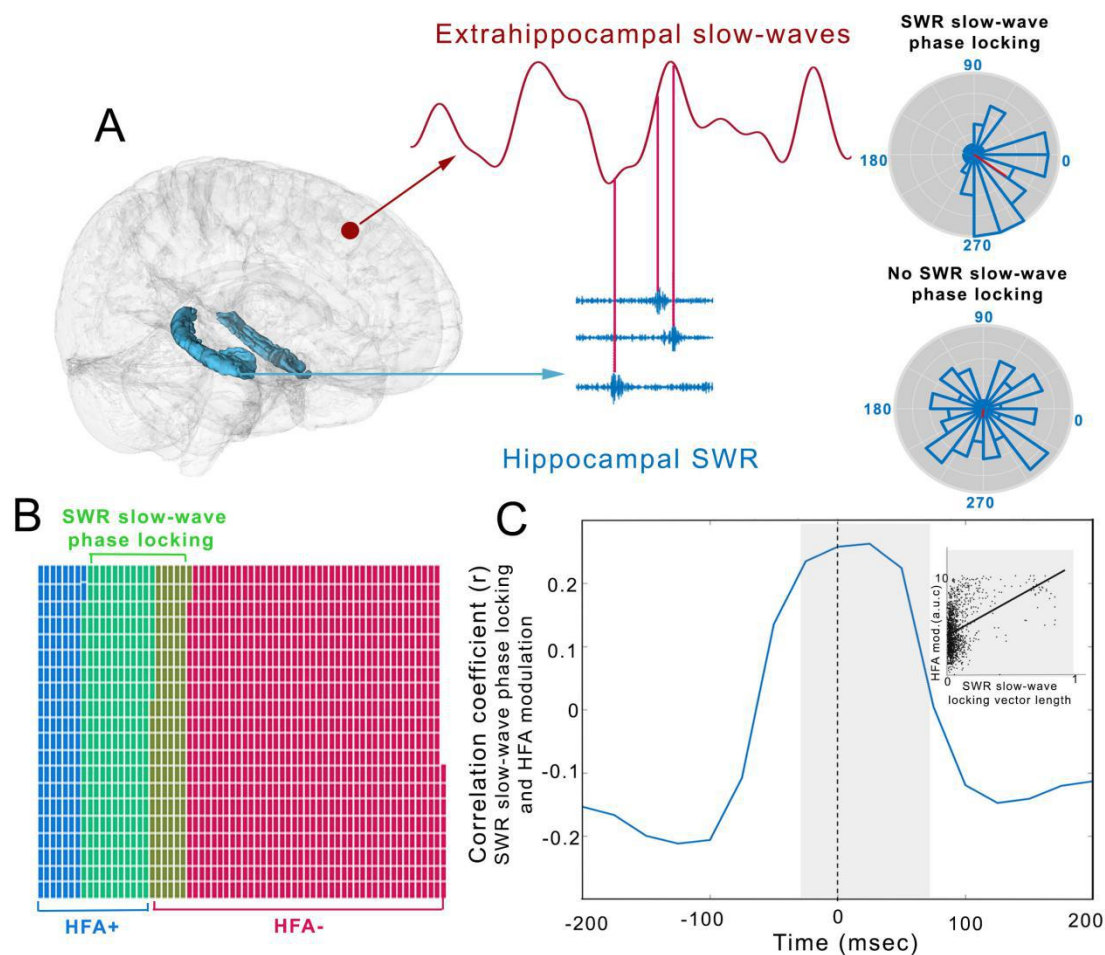
247 **modulation**

248 If slow-waves rhythmically modulate neuronal excitability^{21, 22}, we hypothesized that the
249 synchrony between hippocampal SWRs and slow-waves at individual subcortical/cortical target
250 sites would predict local HFA modulation. For each target site, we define SWR-slow-wave
251 synchrony as significant phase-locking between hippocampal SWRs and local slow-waves
252 (Rayleigh test, $p < 0.05$, with Benjamini-Hochberg correction for multiple comparisons; Figure 4A
253 and Methods). First, we found a higher percentage of target sites with SWR-slow-wave synchrony
254 in the ipsilateral, compared to contralateral hemisphere, relative to the SWR location (39.4%
255 (246/625) vs. 13.8% (94/683); $\chi^2(1, n = 1308 \text{ target sites}) = 131.3, p < 10^{-10}$; Figure 4B and S4A).
256 Second, the percentage of SWR-slow-wave synchrony was significantly higher for HFA+, relative
257 to HFA- sites (ipsilateral HFA+ = 71.7% (185/258), HFA- = 16.6% (61/367), $\chi^2(1, n = 625 \text{ target}$
258 $\text{sites}) = 182.5, p < 10^{-10}$; contralateral HFA+ = 37.3% (41/110), HFA- = 9.3% (53/573), $\chi^2(1, n =$
259 $683 \text{ target sites}) = 53.4, p < 10^{-10}$), Figure S4B). Further, a higher percentage of SWR-slow-wave
260 synchrony in HFA+ relative to HFA- target sites was present in all recorded brain regions except
261 in the ipsilateral amygdala (89.8% HFA+ (35/39) or 60% (3/5) HFA- sites ($\chi^2(1, n = 44 \text{ recorded}$
262 $\text{sites}) = 3.33, p = 0.07$; Figure S4C). In all other recorded brain regions, including the contralateral
263 amygdala, the percentage of SWR-slow-wave synchrony was 2-4 times higher in HFA+, relative
264 to HFA- target sites (Figure S4C; Supplementary Table 4, all p 's $< 10^{-3}$).

265 After establishing association between the presence of SWR-slow-wave synchrony and
266 local HFA modulation, we tested the relation between the SWR-slow-wave synchrony magnitude
267 and the strength of local peri-SWR HFA modulation. We define the synchrony magnitude as the
268 length of mean vector r (Rayleigh test) and peri-SWR HFA modulation strength as the sum of
269 HFA power (baseline-corrected and z-scored). SWR-slow-wave synchrony was positively
270 correlated with HFA modulation strength around the SWR peak (Figure 4C), reaching the
271 maximum correlation at 25 msec (Spearman $r = 0.26, p < 10^{-10}$; $n = 1308 \text{ target sites}$).

272 The anatomical selectivity of SWR-slow-wave synchrony is not attributable to slow-wave
273 power, as this parameter is not different between target sites with and without significant

274 SWR-slow-wave synchrony (Figure S4D; independent samples two-tailed t-test, $t(1306) = 0.19$, p
 275 $= 0.85$; $n = 1308$). In summary, these findings indicate that the synchrony between hippocampal
 276 SWRs and target site slow-waves is a potential mechanism for selecting neuronal populations to
 277 participate in widespread synchronous activity during SWRs.



278

279 **Fig.4. SWR synchrony with subcortical/cortical slow-waves predicts local peri-SWR HFA modulation. a,**
 280 Illustration of the SWR-slow-wave phase locking. Local slow-wave phases from an example extra-hippocampal target
 281 site (maroon) corresponding to SWR peak times on simultaneously recorded hippocampal channel (blue) are used to
 282 construct the target site-specific circular distribution of slow-wave phases coinciding with hippocampal SWR peaks. The
 283 distribution uniformity is tested with the Rayleigh test ($p < 0.05$, Benjamini-Hochberg correction for multiple
 284 comparisons). Based on the presence of significant non-uniformity, the target sites are classified as showing
 285 SWR-slow-wave synchrony (SWR-slow-wave+) or no synchrony (SWR-slow wave-). Right column: Polar plots showing
 286 the distribution of slow-wave phases at SWR peak times for the SWR-slow wave+ (top) and SWR-slow-wave- (bottom)
 287 example target sites. **b**, Venn diagram showing the larger overlap between the target sites showing SWR-slow wave
 288 synchrony (green) and HFA+ (blue), relative to HFA- (red) target sites ($\chi^2(1, n = 1308) = 333.9$, $p < 10^{-10}$). Individual
 289 target site are represented as squares. **c**, Correlation between the HFA modulation strength and extent of SWR-slow
 290 wave phase locking (as denoted by the length of r vector), calculated for 100 msec sliding windows (25 msec step size).
 291 The peak correlation is shown for the window ranging from -25 to 75 msec around the SWR peak (Spearman $r = 0.26$, p
 292 $< 10^{-10}$). Inset: scatter plot illustrating the positive correlation between vector length and HFA modulation strength in the
 293 highlighted window. For both plots, $n = 1308$ target sites.

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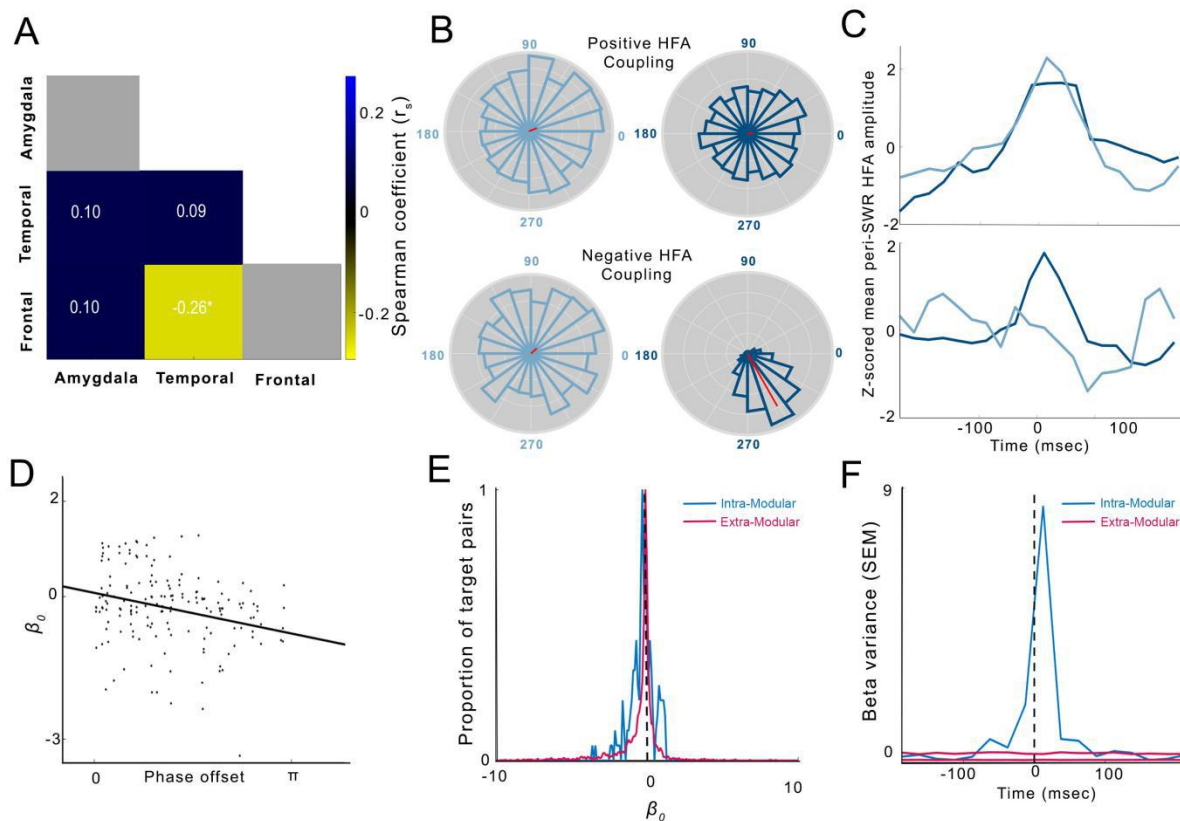
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296 **Slow-wave phase difference during sharp-wave ripples predicts cortico-cortical**

297 **coupling**

298 As demonstrated by simultaneous recordings from multiple locations in the monkey visual
299 cortex, neuronal population locking to specific phases of local gamma oscillations determines
300 their functional coupling³⁷. Similarly, we hypothesized that the HFA locking to slow-wave phases
301 during SWR windows determines the strength of pairwise coupling between populations across
302 the subcortical/cortical regions, organizing functionally connected transient modules³⁶. HFA
303 coupling is defined as the temporal correlation between the HFA analytic amplitude time-courses
304 at different target sites. To test this hypothesis, we first quantified the target site pairwise HFA
305 coupling during SWR windows, using a generalized linear model³⁸. This method allows pairwise
306 coupling estimation while factoring out the contribution of common coupling to global neuronal
307 activity. For a given target site pair (A and B), peri-SWR HFA time-course on target site A (HFA-A)
308 was modeled based on two regressors, peri-SWR time-course on target site B (HFA-B) and
309 average population peri-SWR HFA time-course (HFA-pop). We define the population activity as
310 HFA from all the simultaneously recorded target sites, except A and B. This method produced a
311 pair-specific coupling coefficient (β_0), with amplitude reflecting the coupling strength and sign
312 reflecting positive or negative coupling. We repeated this analysis on the same target site pair, but
313 with HFA-A and HFA-pop used as regressors for modeling HFA-B. Next, we tested the
314 association between the pairwise HFA coupling and local slow-wave phases at the time of SWR.
315 The analysis focused on a subset of target site pairs meeting both of the following criteria: 1) HFA
316 modulation (HFA+; Figure 2) during the SWR window and 2) SWR synchrony with local
317 slow-waves (Figure 4). For each target site pair, we correlated the coupling coefficient β_0 and the
318 slow-wave phase difference (ϕ_{diff}) between two target sites at the SWR occurrence time (Figure
319 5A-C). When analyzing target site pairs from all the regions in both hemispheres together, β_0 - ϕ_{diff}
320 correlation was not significant after correcting for multiple comparisons using the Benjamini and
321 Hochberg method (Spearman correlation, $n = 1716$ pairs, $r = -0.04$; $p = 0.045$). These findings
322 suggest that slow-wave phase differences did not predict HFA coupling at the global level. We
323 next computed the β_0 - ϕ_{diff} correlation for within-region (e.g., amygdala - amygdala pairs) and

324 cross-region sets (e.g., frontal - temporal cortex pairs) with at least 20 simultaneously recorded
 325 target site pairs (Figure 5A). The frontal-temporal target site pairs ipsilateral to the side of
 326 hippocampal SWR showed significantly negative β_0 - ϕ_{diff} correlation (Figure 5D; Spearman
 327 correlation, $n = 166$ pairs; $r = -0.26$, $p < 0.001$). Negative β_0 - ϕ_{diff} correlation reflects the positive
 328 functional coupling with small slow-wave phase offsets at the time of SWR and negative coupling
 329 with larger phase offsets. These results show that the local slow-wave phase difference at the
 330 time of SWR occurrence determines the HFA coupling between the frontal and temporal target
 331 sites. This coordination mechanism appears specific for frontal-temporal interactions as there was
 332 no significant β_0 - ϕ_{diff} correlation within or across any other regions (see Supplementary Table 5 for
 333 statistics).



334

335 **Fig. 5. Correlations between the pairwise SWR-slow-wave phase difference (ϕ_{diff}) and HFA coupling (β_0).** **a**,
 336 Correlation matrix for ipsilateral within- and cross-structure SWR-slow wave phase-locking difference ϕ_{diff} and HFA β_0 .
 337 Color denotes the Spearman r value for a given region pair. White color denotes the region pairs with < 20
 338 simultaneously recorded target site pairs, which were excluded from the analysis. **b**, Examples of SWR-slow wave
 339 phase distributions for the target site pairs showing highly positive (top row) or negative (bottom row) HFA coupling (β_0).
 340 **c**, Peri-SWR HFA time courses from the target site pairs in **b**. Positive or negative HFA coupling is associated with
 341 small or large ϕ_{diff} , respectively. **d**, Scatter plot showing the negative correlation between the ϕ_{diff} and HFA β_0 for the
 342 frontal-temporal target sites from the hemisphere ipsilateral to SWR location (Spearman correlation ($r = -0.26$, $p <$
 343 0.001 ; $n = 166$ pairs). Negative correlation denotes the functional coupling between the pair of frontal-temporal sites
 344 becoming positive with decreased local slow-wave phase differences at the time of SWR. **e**, Histogram of pairwise HFA
 345 β_0 coefficients for the intra-modular (blue) and extra-modular (maroon) frontal-temporal target site pairs. Distribution of

346 intra-modular β_0 is significantly wider dispersed around zero, denoting the higher proportion of strongly positively or
347 negatively coupled intra-modular pairs, relative to both extra-modular and combined target pairs (Ansari-Bradley
348 dispersion test, $p < 0.002$). f, Variance of pairwise frontal-temporal intra-modular (blue) and extra-modular (maroon) β_0
349 coefficients during the window around SWR. Extra-modular variance is shown as 1th and 99th percentile of 100 random
350 samples for each sliding window. SWR peak time is shown as dashed line.
351

352 If slow-waves organize transient functional modules around the time of SWRs^{36, 39}, then the
353 HFA+ target sites organized by slow-waves (intra-modular) would show stronger mutual coupling,
354 relative to coupling with other target sites (extra-modular). Also, the strong intra-modular coupling
355 should appear transiently, locked to SWR events. We define coupling strength as the dispersion
356 width of HFA pairwise coupling coefficients (β_0). We observed that the intra-modular
357 frontal-temporal coupling strength was significantly stronger, relative to extra-modular
358 (Ansari-Bradley test, $n = 166$ intra-modular and 3026 extra-modular target site pairs, $p < 0.002$;
359 Figure 5E). These findings are conceptually similar to the wider dispersion of spike train coupling
360 coefficients between the intra-modular, relative to extra-modular grid cell pairs, which indicated
361 the modular organization in the entorhinal cortex³⁸.

362 Finally, to test if the higher frontal-temporal intra-modular coupling strength is transient and
363 locked to hippocampal SWRs, we compared the variance (expressed as the standard error of
364 mean, SEM) of intra- and extra-modular coupling strengths using a sliding window (100 msec
365 width, 25 msec step size), centered between -200 and 200 msec around SWR peak. To avoid the
366 effect of unequal sample size on variance, for each time window, we randomly sampled 100 sets
367 of extra-modular target site pairs, equal in size to the intra-modular set ($n = 166$). Indeed,
368 intra-modular connectivity variance was significantly higher compared to extra-modular
369 connectivity within ± 100 msec window of hippocampal SWR (Figure 5F; >99th percentile of the
370 extra-modular set; $p < 0.01$). In summary, these results confirm the presence of modular
371 organization of distributed neural activity during SWR windows, which could support the functional
372 segregation of populations participating in global peri-SWR activation preventing interference
373 from random background activity¹⁰. Furthermore, the transient nature of modular activity locked to
374 SWRs suggests that HFA coupling does not reflect the stable connectivity patterns during NREM
375 sleep, but emerges from SWR-slow-wave coordination.
376

Discussion

377
378
379 These findings reveal widespread modulation of brain activity during hippocampal SWRs
380 during time windows of memory reactivation in the hippocampus and associated
381 subcortical/cortical structures^{8, 11, 40}. In addition, there is a strong correlation between peri-SWR
382 activity modulation in a given location and synchrony between hippocampal SWRs and local
383 subcortical/cortical slow-waves. Thus, SWR-slow-wave synchrony may act as a mechanism for
384 selecting distributed populations recruited simultaneously with hippocampal memory traces
385 reactivated during SWRs. Finally, functional coupling between the pairs of sites in frontotemporal
386 network during SWR windows is correlates with phase offsets between the local slow-waves. This
387 could underlie the formation of temporary neuronal coalitions around the SWRs, as predicted by
388 memory consolidation theories^{3, 36, 41}.

Peri-SWR HFA modulation

389
390 Most of the peri-SWR HFA modulations were positive, in line with the observations of
391 widespread increase in cortical blood oxygen level-dependent (BOLD) signal and the higher
392 probability of cortical gamma bursts during peri-SWR windows^{32, 35}. Mixed peri-SWR HFA
393 modulations, were mostly present in temporal lobe ipsilateral to SWR location, manifested as HFA
394 increase around the SWR peak, followed by decrease 100-200 msec later (Figure 2B,
395 Supplementary Movie 1). Peri-SWR HFA negative-modulations were of lower amplitude and most
396 common in the frontal cortex (~15% of modulations in that region; Figure 2C). The presence of
397 both positively and negatively modulated peri-SWR HFA in frontal areas could reflect consistent
398 co-occurrence of local up-to-down or down-to-up state transitions with SWRs. However, the low
399 SWR density during cortical down-states^{7, 22, 42} and the wider spatial synchronization of
400 down-states²², would likely result in more widespread peri-SWR HFA negative modulation than
401 observed in the present data. Another possible interpretation of frontal peri-SWR HFA negative
402 modulations could be the recruitment of local inhibitory networks, similar to increased firing of
403 inhibitory interneurons in deep layers of rodent prefrontal cortex during SWRs⁴³.

404 Peri-SWR HFA modulation is region- and hemisphere-dependent, with higher
405 percentages ipsilateral to SWR location (Figures 2C, D and S2A, Supplementary Movie 1), as

406 well as in the temporal lobe structures (amygdala and temporal cortex), where it reached
407 >70-80% of target sites in the ipsilateral and 20-30% in the contralateral hemisphere. Interestingly,
408 hemispheric differences were not present in the frontal cortex, where 15-20% of sites were
409 modulated irrespective of the SWR origin laterality (Figures 2C, E, S2B, and C). In addition to
410 classifying the peri-SWR HFA modulation based on direction of change, we applied PCA to
411 assess the dimensionality of peri-SWR HFA time-course. PCA revealed the low dimensionality of
412 peri-SWR HFA time-courses, with ~80% of variance explained by the first three principal
413 components (Figure 3A). This low dimensionality shows a robust regional mapping, with the sites
414 carrying high PC1 weights, characterized by symmetric peri-SWR activity increase located
415 predominantly in the amygdala and temporal cortex. On the other hand, the sites carrying high
416 PC2 weights, characterized by persistent delayed increase following SWR - located mostly in the
417 frontal cortex (Figure 3B). Widespread and low-dimensional peri-SWR HFA modulation suggests
418 the synchronized activation of distributed neuronal ensembles during SWR windows, a necessary
419 prerequisite for binding of distributed memory traces underlying hippocampal-dependent memory
420 consolidation¹⁰. Higher presence of HFA modulation in temporal cortex and amygdala could
421 reflect enhanced connectivity between the hippocampus and temporal lobe structures, relative to
422 hippocampal-frontal connectivity, although the frontal cortex is one of the principal hippocampal
423 target areas outside the temporal lobe⁴⁴.

424 **SWR-slow-wave synchrony predicts local HFA modulation**

425 We demonstrate that the consistent relation between the hippocampal SWR timing and
426 subcortical/cortical slow-wave phases (SWR-slow-wave synchrony) strongly predicts a subset of
427 local populations participating in global peri-SWR activation³⁵, proposed to be involved in memory
428 consolidation^{36, 40}. The sites with significant peri-SWR modulation or no modulation co-exist in the
429 same brain structures (Figure 2A). Such a selective pattern of peri-SWR HFA modulation
430 suggests that besides the necessary anatomical connectivity, the local subcortical/cortical
431 slow-waves could provide a gating mechanism that enables the peri-SWR HFA modulation. The
432 traveling slow-waves tend to emerge from frontal lobe and spread in the anterior-posterior
433 direction²³, but the site of origin, traveling directions and velocities across individual waves are

434 highly variable^{22, 23}. Moreover, ~85% of slow-waves in the human brain show relatively limited
435 extent, invading less than half of recorded locations²². Although the slow-wave trajectories are
436 constrained by anatomical connections⁴⁵, slow-waves are theoretically capable of sampling from
437 a large combinatorial space of subcortical/cortical populations, enabling their synchronous activity
438 during individual peri-SWR windows. Slow-waves are regulated by local learning history and
439 appear with higher amplitude and more often in the regions involved in recent learning^{46, 47}. This
440 could be due to locally-regulated mechanisms, such as learning-dependent changes in
441 excitatory-inhibitory balance⁴⁸, that creates the path of least resistance for the traveling
442 slow-waves, biasing their trajectories towards populations modified by recent learning. Hence, the
443 slow-wave trajectory could be biased towards visiting the areas involved in recent learning,
444 thereby representing the dynamic selection mechanism for synchronized reactivation of
445 distributed memory traces around the SWRs, facilitating hippocampal-dependent memory
446 consolidation¹⁵.

447 **Slow-wave phase during SWRs determines cortico-cortical functional coupling**

448 Slow-waves synchronization in anatomically distributed neuronal populations during
449 peri-SWR periods could facilitate formation of transient neuronal coalitions providing a
450 mechanism for binding of distributed memory traces³⁶. We demonstrate that the strength and sign
451 of long-distance interactions in the fronto-temporal network during SWR windows are dependent
452 on the phase differences between local slow-waves. Critically, this correlation is present after
453 factoring out the common coupling to global brain activity, by applying a generalized linear model
454 (GLM). This is a widely used approach for quantifying the relative contributions of multiple
455 predictors on the activity of single neurons^{8, 38, 49} and neuronal populations⁵⁰. The relation
456 between the oscillatory phase offset and functional connectivity strength between the different
457 local populations has been previously demonstrated in the visual cortex³⁷.

458 In addition, the distribution of coupling coefficients for the temporal-frontal intra-modular
459 pairs, defined by showing peri-SWR HFA modulation and SWR-slow-wave synchrony, was much
460 wider than coupling with other sites (extra-modular; Figure 5E). Similarly, grid phase
461 offset-dependent strong positive or negative spike train correlations were found only for the grid

462 cell pairs belonging to the same functional module, resulting in wider distribution of intra-modular
463 coupling strengths³⁸. In general, stronger intra-modular connectivity is a hallmark of functional
464 organization in the brain³⁹. These results suggest that slow-waves functionally segregate the
465 subspace of anatomically distributed neuronal populations, organized by their coupling to local
466 slow-wave phase. HFA coupling for most of the temporal-frontal target site pairs is negative
467 suggesting a relatively high dimensional communication space occupied by a larger number of
468 subspaces defined by the local slow-wave phase differences.

469 Finally, the slow-wave phase-dependent functional coupling between the cortical sites
470 does not reflect the stable functional connectivity matrix during NREM sleep, but is temporally
471 coupled to SWRs (Figure 5F). Such a dynamic suggests the role of slow-wave phase-organized
472 distributed neuronal coalitions in hippocampal-dependent memory consolidation supporting
473 binding of distributed memory traces^{3, 10}.

474 **Summary**

475 Various models of hippocampal-dependent memory consolidation implicate binding
476 between the hippocampal memory traces reactivated during SWRs and the subcortical/cortical
477 populations encoding various aspects of the same experience^{2, 3}. These results suggest the
478 critical role of a consistent phase relation between the hippocampal SWRs and
479 subcortical/cortical slow-waves for the selection of local populations active during SWR windows.
480 In addition, the local slow-wave phases during SWRs predict the functional coupling between the
481 distant cortical populations, enabling the plasticity necessary for binding of distributed memory
482 traces. Our findings implicate SWR-slow-wave synchrony as a core mechanism affecting the
483 content, fidelity and strength of consolidated memories.

484

485 **Materials and Methods**

486

487 **Experimental Design**

488 The first objective of this study was to map the spatio-temporal modulation of neural activity
489 around the SWR windows in human brain, as reflected by the HFA amplitude, a proxy measure of

490 population activity in proximity of electrode tip. Additional objective was to assess the
491 dependence of local subcortical/cortical peri-SWR HFA modulation on the coupling between
492 hippocampal SWRs and local slow-waves. Finally, we aimed to assess the relation between the
493 local slow-wave phase differences during SWR windows and HFA functional coupling across the
494 recorded structures, including the amygdala, temporal and frontal cortices.

495 Twelve pharmacoresistant epileptic patients (7 males, 5 females, age 38 ± 4 (mean \pm
496 SEM), range 24-57) undergoing presurgical evaluation of seizure foci at the University of
497 California Irvine (UCI) Medical Center were included in the study based on written informed
498 consent. All the procedures were performed in accordance with the UCI Institutional Review
499 Board. The subjects were stereotactically implanted with 6-10 intracranial depth electrodes
500 (Integra or Ad-Tech, 8-10 macroelectrodes with 5-mm inter-electrode spacing) under robotic
501 assistance (Rosa Surgical Robot, Medtech, New York, NY). Electrode placements were driven
502 strictly by clinical diagnostic needs and included the unilateral or bilateral implants in the
503 hippocampus, amygdala, temporal and frontal cortices. Details of individual patient electrode
504 locations are given in the Supplementary Table 1. The criteria for subject inclusion were: 1)
505 presence of at least one hippocampal electrode with >100 SWRs recorded during the seizure-free
506 overnight sleep; 2) presence of electrodes in at least one extrahippocampal region (amygdala,
507 temporal or frontal cortices). The local field potential (LFP) was recorded during overnight sleep,
508 typically starting 8:00-10:00 pm and lasting ~ 8 -12 hours. The LFP was analog-filtered with 0.01
509 Hz highpass cutoff and recorded at 5000 Hz using the Nihon-Kohden recording system (256
510 channel amplifier, model JE120A) or at 8000 Hz using the Neuralynx ATLAS Clinical System .
511 Sleep staging was performed in 30 sec blocks by a sleep specialist (B.A.M.), based on the visual
512 inspection of scalp EEG at frontal, central, and occipital derivations, electrooculogram and
513 electromyogram, guided by standard criteria^{16, 30}. The NREM sleep stages 2-4 (N2-4) were used
514 in further analysis (Figure 1D).

515

516

517 **Electrode localization**

518 Electrode localization was done using the pre-implantation MRI and post-implantation CT
519 images. Both images were transformed into Talaraich space, followed by MRI segmentation
520 (Freesurfer 5.3.067) and co-registration of T1-weighted structural MRI scans to the CT⁵¹. The
521 electrode locations and selection of white matter contacts for re-referencing was verified by the
522 epileptologist (J.J.L.).

523 **Recording locations**

524 We analyzed SWRs recorded on 33 hippocampal locations (19 in left and 14 in right
525 hemisphere; Figure 1B). The extra-hippocampal recording sites were grouped in three regions
526 (Figure 1B): amygdala (including the basolateral, lateral and centromedial amygdala), temporal
527 (including the insula, entorhinal, parahippocampal, inferior, medial and superior temporal cortices)
528 and frontal cortex (including the orbitofrontal, medial prefrontal, dorsomedial and cingulate
529 cortices). Regional distribution of extrahippocampal recording sites included: 36 (19 left, 17 right)
530 in amygdala, 180 (106 left, 74 right) in temporal and 189 (89 left, 100 right) in frontal cortex
531 (Figure 1C; Supplementary Table 1). Distribution of recording sites at individual subject,
532 hemispheric and regional levels are shown in Table S1.

533 **Data Preprocessing**

534 Recordings were re-referenced to the nearest white matter contact, resampled to 2000 Hz
535 with linear interpolation (resample.m function in Matlab Signal Processing Toolbox) and high-pass
536 filtered at 0.5 Hz using 4th order Chebyshev filter. The data analysis and visualization was
537 performed using the custom-written Matlab code, as well as the Freely Moving Animal (FMA;
538 <http://fmatoolbox.sourceforge.net/>), Circular Statistics⁵² and FieldTrip^{51, 53} toolboxes. Electrodes
539 outside of the primary epileptogenic regions were used in the analysis. Further, interictal epileptic
540 discharges (IEDs) on those electrodes were detected based on the combination of amplitude and
541 derivative thresholds²⁰. For amplitude-based IED detection, each LFP trace was low-pass filtered
542 (300 Hz cutoff frequency) and the envelope of filtered trace was z-scored, while for the

544 derivative-based IED detection, absolute differences between the consecutive voltage samples
545 were z-scored. IEDs were detected based on the threshold crossing (mean + 5SD) by either the
546 amplitude or derivative trace (Figure 1E). This method is optimized for detection of sharp
547 transients that correspond to IEDs. Finally, automatic IED detection accuracy was validated by
548 comparison with visual scoring performed by an epileptologist (Figure S1B).

549

550 **Sharp-wave ripple detection**

551 Following electrode localization, LFP from hippocampal channels was bandpass-filtered in
552 SWR range (80-150 Hz) using the 4th order Chebyshev filter (filtfilt.m function in Matlab Signal
553 Processing Toolbox), rectified and the upper envelope of rectified trace was z-scored. SWRs
554 were detected using the FMA Toolbox, based on the double threshold crossing criteria: 1)
555 envelope trace exceeding mean + 2 SDs for 20-100 ms and 2) the peak during this period
556 exceeding mean + 5 SDs (Figure S1A). SWRs within 1 sec from nearest IED and hippocampal
557 channels with < 100 SWRs remaining after exclusion of SWRs in IED proximity were excluded
558 from analysis.

559 **Peri-SWR HFA modulation**

560 The HFA analytical amplitude was calculated by bandpass-filtering the raw LFP in 70-200
561 Hz range, Hilbert-transforming the filtered signal (hilbert.m function in Matlab Signal Processing
562 Toolbox) and extracting the analytic signal amplitude. HFA amplitude trace was smoothed by
563 convolving with Gaussian kernel (15 ms width, fastsmooth.m function in Matlab Signal Processing
564 Toolbox) and binned (25 ms bin size), resulting in 20 time bins extending over the +/- 250 ms
565 window centered at SWR peak (peri-SWR window). The time window between -500 and -250 ms
566 relative to SWR peak was used as a baseline (Figure 2B). Baseline normalization was done at
567 single trial level, by z-scoring concatenated baseline and peri-SWR HFA time windows. Peri-SWR
568 HFA modulation was assessed at individual time bin level, by comparing the mean HFA within
569 each bin with the mean HFA from the baseline period, using the two-tailed paired t-test ($p < 0.05$).

570 Correction for multiple comparisons was done using Benjamini-Hochberg method⁵⁵, based on the
571 number of time bins within the peri-SWR window ($n = 20$). In addition, peri-SWR HFA modulation
572 significance criteria at individual channel level included the presence of at least two consecutive
573 time bins (a total of 50 msec) showing significant peri-SWR HFA modulation in the same direction.
574 Target sites were first classified based on the presence (HFA+) or absence (HFA-) of significant
575 peri-SWR HFA modulation. Based on the peri-SWR HFA modulation type, HFA+ sites were
576 further classified as positively-, negatively- or mixed-modulated, the latter defined by the presence
577 of both positive and negative modulation periods during peri-SWR window (Figure 2B). The time
578 bin width choice (25 msec) was based on the fine temporal structure of peri-SWR cortical
579 neuronal spiking fluctuations in rodents^{6, 42} and humans²².

580 **Principal component analysis of peri-SWR HFA dynamics**

581 Principal component analysis (PCA) of average peri-SWR HFA time-courses was
582 performed on the pseudo-population consisting of HFA+ target sites from all the subjects, both
583 ipsi- and contra-lateral to SWR location ($n = 368$). First, the peri-SWR HFA matrix ($n \times t$) was
584 constructed, with rows representing the individual target site trial-averaged and z-scored
585 peri-SWR HFA time-courses (-250 to 250 ms), and columns representing 20 peri-SWR time bins
586 (25 ms each). Covariance was calculated over all the target site pairs and the principal
587 components were extracted by applying the eig.m Matlab function on peri-SWR HFA covariance
588 matrix. Individual principal component (PC_n) was considered significant if the percentage of
589 explained variance ($PC_n \text{ EV\%}$) was at least 2-fold larger than the next PC ($PC_{n+1} \text{ EV\%}$). The PC
590 time-courses (Fig. 3B) were reconstructed by calculating the dot product between the given PC
591 eigenvector and population vector activity at individual time bins. The regional PC time-courses
592 were calculated using the PC weights from the target sites localized in a given region and
593 calculating the dot product with the corresponding HFA population vector from the same region
594 and time bin. Two-way analysis of variance (ANOVA) with region and hemisphere as the main
595 factors was performed on the weight distribution of each significant PC, followed by planned
596 comparisons using the two-tailed t-test ($p < 0.05$).

597 **SWR phase locking to target site slow-wave activity**

598 The raw LFP recorded at each extra-hippocampal location was bandpass filtered in
599 slow-wave range (0.5 - 4 Hz). Filtered traces were Hilbert-transformed and instantaneous phases
600 were extracted using the angle.m function in Matlab. SWR-slow-wavephase locking is defined as
601 a measure of consistency of local subcortical/cortical slow-wave phase at the times of
602 hippocampal SWR peaks (Figure 4A). For each target site, significance of SWR phase locking to
603 slow-wave in target site was quantified using the Rayleigh test (circ_rtest.m function, Matlab
604 Circular Statistics Toolbox⁵²). Multiple comparisons correction was performed using the
605 Benjamini-Hochberg method⁵⁵, based on the number of recording sites in a given subject.
606 SWR-slow-wave synchrony was defined based on presence or absence of significant
607 SWR-slow-wave phase locking (SWR-slow-wave+ or SWR-slow-wave-). For each target site
608 showing SWR-slow-wave synchrony (SWR-slow-wave+), mean SWR-slow-wave phase angle
609 was computed using circ_mean.m function (Matlab Circular Statistics Toolbox). Peri-SWR HFA
610 modulation strength on a given target site (Figure 4C) was defined as the summed absolute
611 values of a z-scored HFA trace within a 100 msec sliding window (25 msec step size), with
612 centers starting -200 msec and ending 200 msec following SWR. SWR-slow-wave synchrony
613 magnitude was defined as the length of vector r , an output of Rayleigh test (circ_rtest function
614 from Matlab Circular Statistics Toolbox). Vector r could take any value in the range 0-1 and the
615 higher value denotes SWRs occurring more consistently at given phase of target site slow-wave.
616 For each target site, correlation between the SWR-slow-wave synchrony magnitude and
617 peri-SWR HFA modulation strength was calculated using Spearman correlation (Figure 4C).

618 To verify that SWR-slow-wave phase locking is not driven by higher slow-wave amplitude on
619 a given target site, LFP signal from each target site was filtered in slow-wave range (0.5 - 4 Hz)
620 and the target site slow-wave average amplitude was computed as the mean of slow-wave
621 analytical amplitude from individual peri-SWR windows. Comparison of peri-SWR slow-wave
622 amplitude between the SWR-slow-wave+ and SWR-slow-wave- target sites was done using the
623 two-tailed t-test for independent samples ($p < 0.05$; Figure S3D). The lack of significant group

624 difference in peri-SWR slow-wave amplitude was interpreted as ruling out the possibility of
625 peri-SWR slow-wave amplitude having a confounding effect on slow-wave phase extraction and
626 SWR-slow-wave phase locking calculation.

627 **Generalized linear model**

628 The generalized linear model (GLM) analysis was performed by modelling the average
629 peri-SWR HFA time-course on a target site A (HFA-A) as a function of HFA time-course of
630 another simultaneously recorded target site (HFA-B) and averaged population peri-SWR HFA
631 time-course (HFA-pop), with population defined as all the simultaneously recorded target sites,
632 except A and B. All of the analysis was done at zero time lag. To prevent the influence of absolute
633 HFA levels on the resulting coupling estimates, individual peri-SWR HFA time-courses were
634 z-scored. The model fitting was done using a Matlab `glmfit.m` function, with normal distribution.
635 For each target site pair, the model produced two coefficients (β_{peer} and β_{pop}), reflecting the
636 predictive power of HFA-B and HFA-pop on HFA-A. β_{peer} at zero time lag (β_0) was used in further
637 analysis. Intra-modular target sites were defined based on the presence of both significant
638 peri-SWR HFA modulation (HFA+) and SWR-slow-wave synchrony. For each simultaneously
639 recorded intra-modular target site pair (A and B) with mean SWR-slow-wave phase angles Φ_A and
640 Φ_B , the absolute SWR-slow-wave phase difference (Φ_{diff}) was computed as follows:

641

$$642 \quad \Phi_{\text{diff}} = | \Phi_A - \Phi_B | ,$$

643

644 with Φ_a and Φ_b representing the mean phase angles on the respective target sites, across all the
645 SWRs recorded on a given hippocampal channel. If the individual within- or cross-regional β_0 - Φ_{diff}
646 correlation was significant (Spearman correlation, $p < 0.05$), we compared the distributions of
647 β_0 coefficients between the intra-modular and extra-modular target site pairs, the latter defined as
648 the pairs containing just intra-modular target site. Distributions of β_0 between each category were
649 compared using Ansari-Bradley tests ($p < 0.05$). The variance of intra- and extra-modular β_0 was
650 expressed as the standard error of mean (SEM) and calculated for sliding windows (100 msec

651 width, 25 msec step size), centered between -200 and 200 msec around SWR peak. As the
652 unequal sample size could affect the variance calculation, we randomly sampled 100 sets of
653 extra-modular target site pairs, equal in size to the intra-modular set ($n = 166$). Significant
654 difference was reached if the intra-modular variance during given time window exceeded 99th
655 percentile of the extra-modular set ($p < 0.01$; Figure 5E).

656 **Statistical Analysis**

657

658 The analysis was done using all the hippocampal channels that passed the inclusion criteria.
659 This approach was chosen because the SWRs at different hippocampal locations might be
660 associated with different dynamics in the same extra-hippocampal populations⁵⁴. In addition,
661 including the hippocampal channels from both hemispheres allowed the testing of both ipsi- and
662 contralateral brain dynamics during peri-SWR periods. Therefore, the peri-SWR dynamics in all
663 the extra-hippocampal recording sites was analyzed relative to SWRs on each individual different
664 hippocampal site in the same subject. For the purpose of this analytical approach, each
665 extra-hippocampal recording site was defined as a target site, with respect to individual
666 hippocampal site. Target sites in each region were classified as ipsi- or contralateral relative to
667 SWR location. The regional distribution of target sites included: 87 (44 ipsilateral, 43 contralateral)
668 in the amygdala, 521 (250, 271) in temporal and 700 (331, 369) in frontal cortex.

669 All the corrections for multiple comparisons were done using the Benjamini-Hochberg
670 method⁵⁵. Correction factor was based on the number of time bins during peri-SWR window for
671 individual target site peri-SWR HFA modulation, the number of recording locations in individual
672 patient for the SWR-slow-wave phase locking or the number of regional and hemispheric
673 comparisons in other cases. Analysis was performed on the pseudo-populations, containing all
674 the target sites that passed inclusion criteria for a given analysis. The target sites with at least 50
675 IED-free peri-SWR windows (± 1 sec) were used for peri-SWR HFA modulation (Figures 2, S2),
676 SWR-slow-wave phase locking (Figure 4, S3) and GLM-based HFA pairwise coupling analysis
677 (Figure 5; $n = 1308$). PCA included all the target sites showing significant peri-SWR HFA
678 modulation (Figure 3; $n = 368$). Correlation between the target site pairwise SWR-slow-wave

679 locking phase difference (ϕ_{diff}) and HFA coupling coefficient (β_0) was done for target sites showing
680 both significant peri-SWR HFA modulation and significant SWR-slow-wave phase locking
681 (intra-modular; $n = 234$). Main effects of region and hemisphere, as well as region*hemisphere
682 interactions in pseudo-populations were obtained using the linear regression (Figures 2, S2, 3),
683 followed by planned comparisons using chi-square test ($p < 0.05$). Uniformity of SWR-slow-wave
684 phase distributions was tested using the Rayleigh test (Figure 4; $p < 0.05$).

685
686

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688

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806 **Acknowledgments**

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Competing interests: The authors declare no competing interests.

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Data and materials availability: Data and code are available from the corresponding author upon reasonable request.

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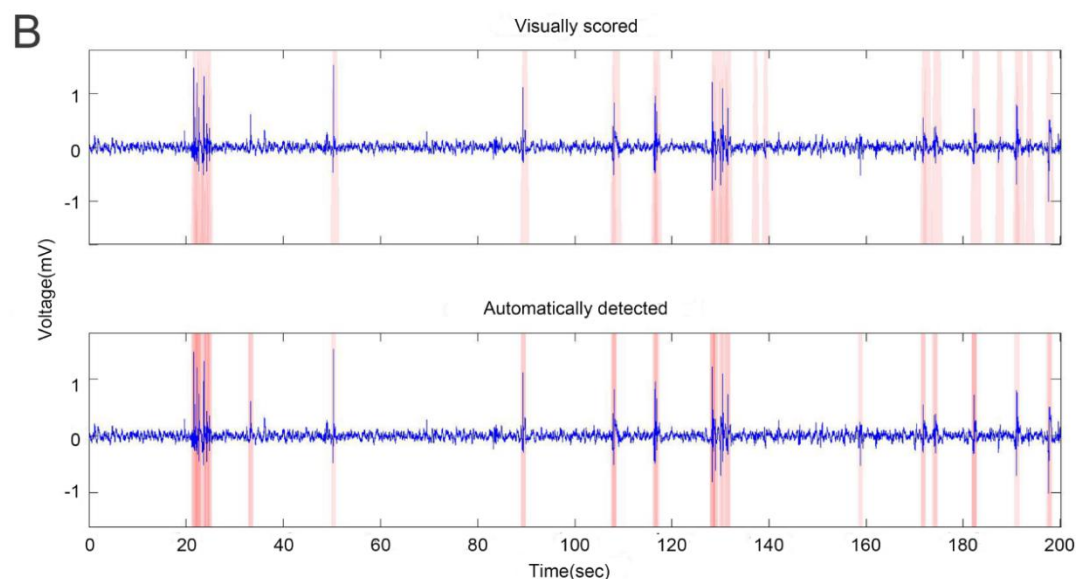
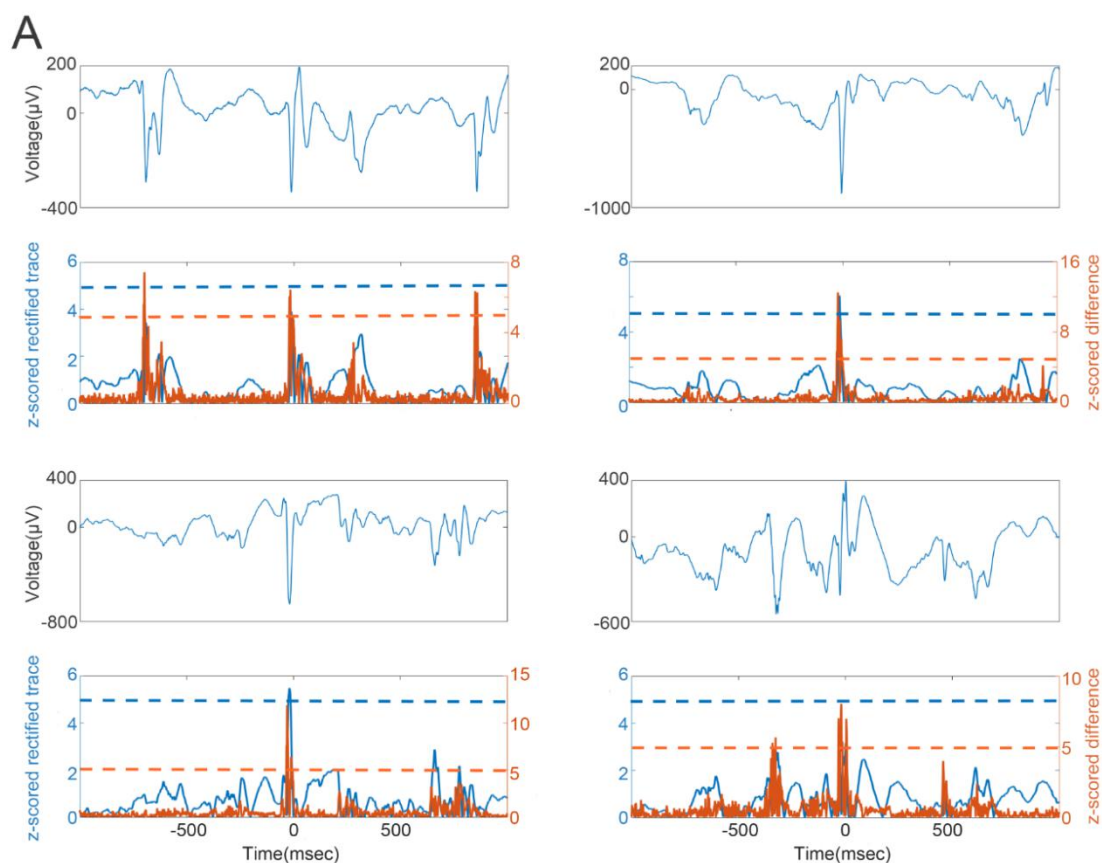
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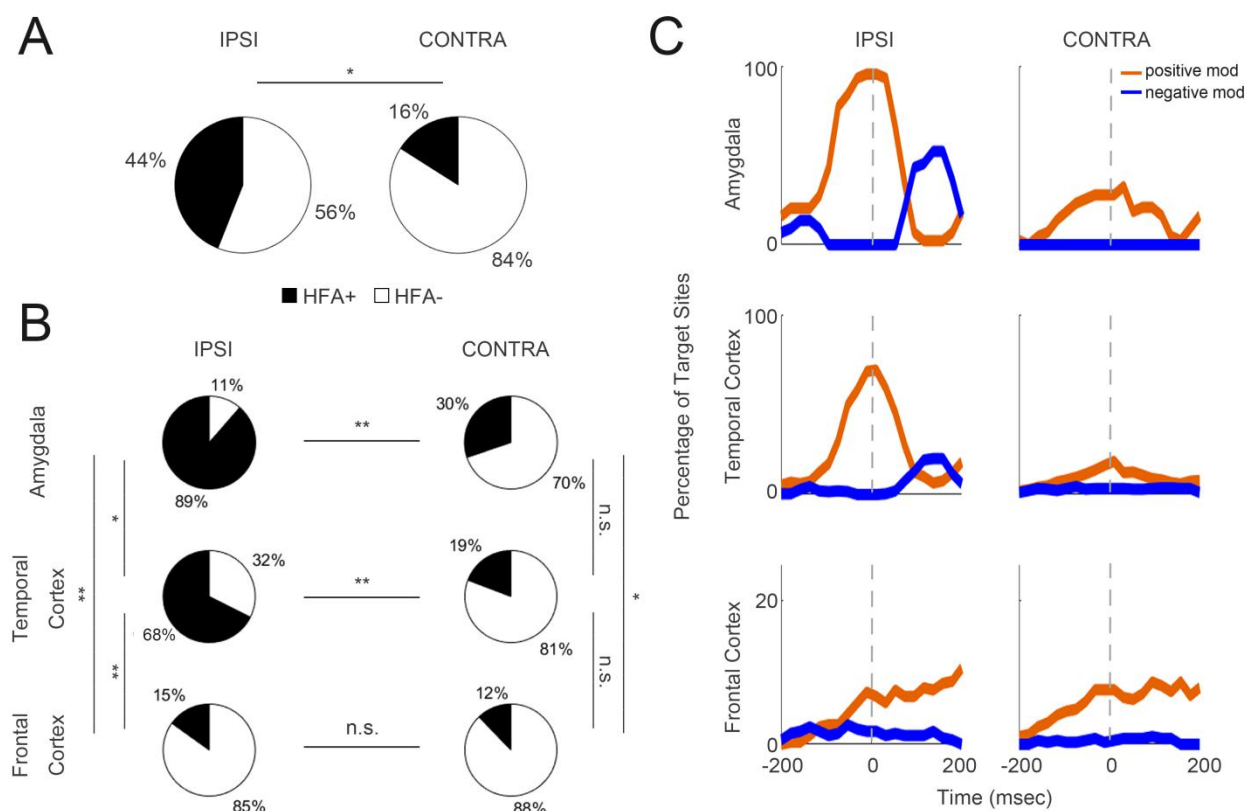
Supplementary Materials



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Supplementary Fig.1. Detection of interictal epileptic discharges (IEDs). a, Examples of detected interictal epileptic discharges (IEDs) and illustration of IED detection algorithm. The top plot in each example: raw LFP trace around the IED. Bottom plot in each example: Blue - z-scored rectified raw trace. Orange - z-scored derivative of raw trace. Detection was based on any of the two traces crossing the threshold of mean + 5SD (dashed lines). The periods of ± 1 sec around the detected IEDs were excluded from the analysis. b, Example of the raw local field potential (LFP) trace with IEDs (pink bars) annotated by a trained observer (top). The same LFP trace with IEDs detected by an automatic algorithm (pink bars, bottom).

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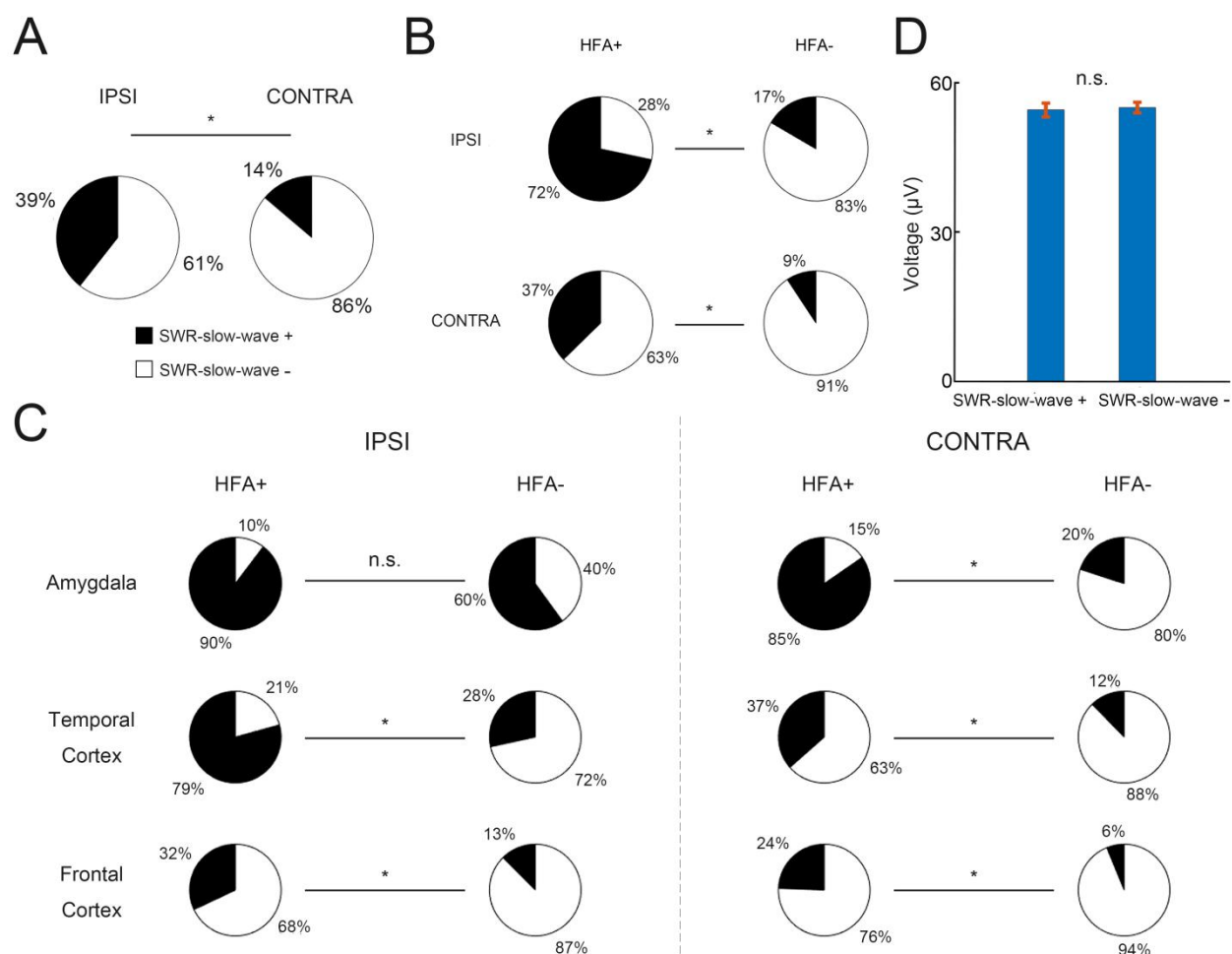
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Supplementary Fig. 2. Regional and hemispheric distributions of peri-SWR HFA modulation.

a, Percentage of significant high frequency activity modulation (HFA+) around SWRs is higher in the hemisphere ipsilateral to SWR location, relative to contralateral ($\chi^2(1, n = 1308) = 116.2, p < 10^{-10}$). Black: HFA+. White: HFA-. **b**, Percentages of HFA+ are significantly higher in the amygdala and temporal cortex, relative to frontal cortex. HFA+ percentages are higher ipsilateral to SWR location in amygdala and temporal cortex, but not in the frontal cortex (χ^2 test, $p < 0.05$, statistical details in Supplementary Table 2B). Black: HFA+. White: HFA-. **c**, Regional percentages of target sites showing significantly positive (orange) or negative (blue) HFA modulation at a given time bin during the peri-SWR window. Left column - ipsilateral and right column - contralateral to SWR location. SWR peak time is shown as dashed line. Black: HFA+. White: HFA-.



Supplementary Fig.3. SWR synchrony with subcortical/cortical slow-waves (SWR-slow-wave +) predicts local peri-SWR HFA modulation at the hemispheric and regional level. **a**, Percentage of SWR-slow-wave+ target sites is significantly higher ipsilateral to SWR location. Pseudo-population consisting of 625 ipsilateral and 683 contralateral locations ($\chi^2(1, n = 1308) = 131.3, p < 10^{-10}$). Black: SWR-slow-wave+. White: SWR-slow-wave-. **b**, Right: Percentages of SWR-slow wave+ phase locking are higher for the target sites with significant peri-SWR HFA modulation (HFA+), relative to target sites without significant peri-SWR HFA modulation (HFA-), both ipsilateral ($\chi^2(1, n = 625) = 182.5, p < 10^{-10}$) and contralateral ($\chi^2(1, n = 683) = 53.4, p < 10^{-10}$) to SWR location. Black: SWR-slow-wave+. White: SWR-slow-wave-. **c**, Percentages of SWR-slow wave+ phase locking are significantly higher for the target sites with significant peri-SWR HFA modulation (HFA+) in all the regions ipsilateral and contralateral to SWR location ($\chi^2 > 10$, all p 's $< 10^{-3}$), except the ipsilateral amygdala ($\chi^2(1, n = 44) = 3.3, p = 0.07$). Black: SWR-slow-wave+. White: SWR-slow-wave-. **d**, Slow-wave amplitude during peri-SWR period (± 1 sec) does not differ between the SWR-slow wave+ and SWR-slow wave- target sites ($t(1306) = 0.19, p = 0.85; n = 1308$). The data is shown as mean \pm SEM. Black: SWR-slow-wave+. White: SWR-slow-wave-.

Subject ID	Sex, Age	HIPP (L, R)	AMY (L, R)	TEMP (L, R)	FRON (L, R)
1	M, 41	1, 0	1, 3	5, 11	16, 11
2	F, 32	1, 0	4, 4	12, 5	3, 12
3	F, 48	2, 1	0, 3	4, 10	9, 9
4	M, 24	4, 2	3, 0	8, 13	0, 21
5	F, 55	1, 2	0, 2	13, 3	2, 9
6	F, 33	1, 0	0, 0	4, 0	0, 0
7	M, 56	1, 0	1, 0	9, 0	0, 0
8	M, 28	4, 0	2, 2	5, 6	11, 9
9	M, 27	1, 2	0, 0	14, 7	13, 9
10	M, 57	1, 0	3, 0	10, 0	7, 0
11	F, 25	2, 4	2, 2	8, 12	28, 20
12	M, 29	0, 3	3, 1	14, 7	0, 0

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Supplementary Table 1. Recording site distributions across the subjects, hemispheres and regions. L = Left, R = Right. HIPP = hippocampus, AMY = amygdala, TEMP = temporal cortex, FRON = frontal cortex.

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Ipsilateral	Peri-SWR HFA modulation
Amygdala	39/44 (88.6%)
Temporal	189/250 (67.6%)
Frontal	50/331 (15.1%)
Contralateral	Peri-SWR HFA modulation
Amygdala	13/43 (30.2%)
Temporal	52/271 (19.2%)
Frontal	45/369 (12.2%)

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Supplementary Table 2A. The numbers and percentages of target sites showing significant peri-SWR high frequency activity modulation in each region and hemisphere (ipsi- or contralateral to SWR location). Ipsilateral and contralateral denotes the target site hemisphere, relative to SWR location.

Ipsilateral	Chi-square statistics
Temporal-Frontal**	$\chi^2(1,580) = 167.1; p < 10^{-10}$
Temporal-Amygdala*	$\chi^2(1,293) = 8.0; p < 0.005$
Frontal-Amygdala**	$\chi^2(1,374) = 116.0; p < 10^{-10}$
Contralateral	Chi-square statistics
Temporal-Frontal	$\chi^2(1,639) = 5.9; p < 0.05$
Temporal-Amygdala	$\chi^2(1,313) = 2.8; p = 0.10$
Frontal-Amygdala*	$\chi^2(1,411) = 10.4; p < 0.005$
Interhemispheric	Chi-square statistics
Temporal-Temporal**	$\chi^2(1,520) = 124.8; p < 10^{-10}$
Frontal-Frontal	$\chi^2(1,699) = 1.3; p = 0.26$
Amygdala-Amygdala**	$\chi^2(1,86) = 30.8; p < 10^{-7}$

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Supplementary Table 2B. Chi-square statistics for the regional and hemispheric percentages of peri-SWR HFA modulation ($p < 0.05$, Benjamini-Hochberg correction for multiple comparisons; * $p < 0.005$, ** $p < 0.0005$). Ipsilateral and contralateral denotes the target site hemisphere, relative to SWR location.

	PC1	PC2	PC3
Region	F(2,367) = 133.1 ** $p < 10^{-10}$	F(2,367) = 37.4 ** $p < 10^{-10}$	F(2,367) = 7.3 * $p < 0.001$
Hemisphere	F(1,367) = 17.8 * $p < 10^{-4}$	F(1,367) = 8.7 * $p < 0.005$	F(1,367) = 15.0 * $p < 0.005$
Region Hemisphere Interaction	F(2,367) = 32.4 ** $p < 10^{-10}$	F(2,367) = 11.6 ** $p < 10^{-10}$	F(2,367) = 2.4 $p = 0.1$
Temporal - Frontal	t(1,217) = -18.6 ** $p < 10^{-10}$	t(1,217) = 10.5 ** $p < 10^{-10}$	t(1,217) = -3.4 * $p < 0.005$
Temporal - Amygdala	t(1,206) = 2.86, $p = 0.01$	t(1,206) = 3.83, * $p < 10^{-3}$	t(1,206) = 1.53, $p = 0.11$
Frontal - Amygdala	t(1,87) = 15.6, * $p < 10^{-10}$	t(1,87) = 8.29, ** $p < 10^{-10}$	t(1,87) = 1.43, $p = 0.16$

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Supplementary Table 3. T-test statistics for the regional comparisons of the first three principal component weights ($p < 0.05$, Benjamini-Hochberg correction for multiple comparisons; * $p < 0.005$, ** $p < 0.0005$). Ipsilateral denotes the target site hemisphere, relative to SWR location.

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Ipsilateral	HFA + sites	HFA- sites	Chi-square statistics
Temporal**	79.3% (134/169)	28.4% (23/81)	$\chi^2(1,250) = 60.7, p < 10^{-10}$
Frontal*	32.0% (16/50)	12.5% (35/281)	$\chi^2(1,331) = 12.4, p < 10^{-3}$
Amygdala	89.7% (35/39)	60.0% (3/5)	$\chi^2(1,44) = 3.3, p = 0.07$
Contralateral	HFA + sites	HFA- sites	Chi-square statistics
Temporal*	36.5% (19/52)	12.3% (27/219)	$\chi^2(1,271) = 17.5, p < 10^{-4}$
Frontal*	24.4% (11/45)	6.2% (20/324)	$\chi^2(1,370) = 17.1, p < 10^{-4}$
Amygdala*	84.6% (11/13) ²	20.0% (6/30)	$\chi^2(1, 43) = 15.8, p < 10^{-4}$

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Supplementary Table 4. Chi-square statistics for the comparisons of SWR phase locking to slow waves on target sites showing significant or non-significant peri-SWR high frequency activity modulation ($p < 0.05$, Benjamini-Hochberg correction for multiple comparisons; * $p < 0.005$, ** $p < 0.0005$). Ipsilateral and contralateral denotes the target site hemisphere, relative to SWR location.

All pairs	$r = -0.04; p = 0.05; n = 1716$ pairs
Ipsilateral	
Temporal-Frontal*	$r = -0.26; p < 0.001; n = 166$ pairs
Temporal-Amygdala	$r = 0.10; p = 0.09; n = 302$ pairs
Frontal-Amygdala	$r = 0.10; p = 0.60; n = 32$ pairs
Temporal-Temporal	$r = -0.09; p = 0.04; n = 450$ pairs
Contralateral	
Temporal-Temporal	$r = -0.41; p = 0.02; n = 32$ pairs
Inter-Hemispheric	
Temporal-Temporal	$r = -0.02; p = 0.75; n = 176$ pairs

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Supplementary Table 5. Correlation between the beta coefficient and phase difference at the whole brain, within- and cross-regional levels. Only the region pairs with more than 20 target site pairs were included in the analysis (Spearman correlation; * $p < 0.05$; Benjamini-Hochberg correction for multiple comparisons).

Movie S1. Temporal dynamics of significant peri-SWR HFA modulations in the hemisphere ipsilateral (left) or contralateral (right) to SWR location. The time window includes ± 250 ms around the SWR peak, binned into 25 msec time bins (20-time bins in total). Significant HFA modulations were more prevalent in the hemisphere ipsilateral to SWR location and in the temporal lobe (amygdala and temporal cortex), relative to the frontal cortex. Orange or blue markers denote the anatomical locations of significant positive or negative modulations at a given time during the peri-SWR window. Note the widespread HFA decrease ~ 100 msec following the SWR peak in the ipsilateral temporal lobe, but not in the contralateral hemisphere.