1	Intrinsic and extrinsic factors collaborate to activate pharyngeal satellite cells
2	without muscle injury
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21 Abstract

22 Satellite cells (SCs), adult muscle stem cells in craniofacial muscles proliferate and differentiate/fuse without injury, unlike quiescent SCs in uninjured limb muscle. However, 23 whether intrinsic or extrinsic factors driving their increased basal activity are largely unknown. 24 We compared SCs from the pharynx, which contains constrictor muscles critical for 25 26 swallowing, to SCs from limb muscle. Pharyngeal SCs are intrinsically more proliferative and 27 contain higher mitochondrial content relative to limb SCs. Pharyngeal SCs occupy less quiescent microenvironments containing collagen V and pharyngeal muscles provide a 28 29 distinctive SC niche enriched with neighboring resident macrophages and fibroadipogenic progenitors. Loss of SCs impacts pharyngeal myofiber cross-sectional area and the number of 30 neighboring cells, suggesting that SCs are required to maintain pharyngeal muscle 31 32 homeostasis and its unique niche. Taken together, this study gives new insights to explain the distinctive SC activity of craniofacial muscles, which may explain their unique susceptibility 33 34 to various muscular dystrophies.

35 Keywords: Skeletal muscle stem cells/ Satellite cells/ Pharyngeal muscle/ Satellite cell
36 activation/ Craniofacial muscle

38 **1. Introduction**

39 The pharynx is a muscular passageway of the digestive and respiratory tracts extending from the nasal and oral cavity to the larynx and esophagus. The pharynx contains a group of 40 skeletal muscles that play a critical role in many vital processes such as swallowing, breathing, 41 and speaking. Like other craniofacial muscles, pharyngeal muscles originate from non-42 43 segmented cranial mesoderm during vertebrate embryogenesis, while trunk and limb muscles are derived from somites (Mootoosamy & Dietrich, 2002; Noden & Francis-West, 2006). These 44 distinctive embryonic origins are associated with unique transcriptional regulatory networks in 45 myogenic progenitor cells as exemplified by PAX3-dependent limb muscle development and 46 PITX2/TBX1-dependent craniofacial muscle development. However, both early muscle 47 development pathways converge to a common myogenic program that requires expression of 48 49 myogenic regulatory factors such as MYF5, MYOD and myogenin (Goulding, Lumsden, & Paquette, 1994; Relaix, Rocancourt, Mansouri, & Buckingham, 2005; Shahragim Tajbakhsh, 50 Rocancourt, Cossu, & Buckingham, 1997). While mature craniofacial and limb/trunk muscles 51 52 are histologically very similar, they are differentially susceptible to muscular dystrophies. For example, extraocular muscles are typically spared in Duchenne muscular dystrophy (Khurana 53 54 et al., 1995) but are preferentially affected by oculopharyngeal muscular dystrophy (OPMD), 55 a late-onset genetic disorder characterized by progressive dysphagia and ptosis (Victor, Hayes, & Adams, 1962). Thus, the distinct embryonic origins of craniofacial muscles could drive 56 functional consequences in adult muscles. 57

58 An important common feature of craniofacial and limb/trunk muscles is presence of 59 muscle specific stem cells termed satellite cells (SCs). SCs are a heterogeneous population of 60 progenitor cells underneath the basal lamina of muscle fibers and are crucial for skeletal muscle

61 regeneration (Lepper, Conway, & Fan, 2009; Mauro, 1961; Sambasivan et al., 2011). Like most other adult stem cells, SCs are quiescent under homeostatic basal physiological conditions. 62 When activated by injury or disease, SCs rapidly re-enter the G₁ phase of the cell cycle, 63 proliferate as myoblasts, and progress along a defined differentiation program known as 64 myogenesis (Shi & Garry, 2006). The properties of SCs during skeletal myogenesis have been 65 66 extensively investigated using easily accessible limb muscles, but some groups have expanded studies to SCs in other muscle types including craniofacial muscles. According to these studies, 67 68 SCs from pharyngeal muscles (Randolph et al., 2015) and extraocular muscles (EOMs (Stuelsatz et al., 2015)) contain a population of activated SCs that chronically proliferate and 69 differentiate into myofibers in the absence of muscle damage. The increased SC activity in 70 71 craniofacial muscles raises the question of whether their unique biological properties are 72 influenced by cell intrinsic factors or by the specialized microenvironment, known as the niche. Multiple studies have demonstrated that extracellular components like collagen (Baghdadi et 73 al., 2018), diffusible cytokines, and growth factors released from neighboring cells such as 74 resident or infiltrating macrophages and fibroadipogenic progenitors (FAPs) (Evano & 75 76 Tajbakhsh, 2018) have a major influence on satellite cell activity in limb muscles (Vishwakarma, Rouwkema, Jones, & Karp, 2017). In contrast, very few studies have probed 77 how the unique niche of craniofacial muscles affects SC activity (Formicola, Marazzi, & 78 79 Sassoon, 2014).

In this study, we compared SCs from pharyngeal and gastrocnemius muscles and discovered distinctive intrinsic attributes of pharyngeal SCs and extrinsic factors of the pharyngeal muscle niche, which may contribute to activate pharyngeal SCs without muscle injury. We demonstrate that pharyngeal SCs are larger, have increased mitochondrial content,

and show accelerated in vitro proliferation and differentiation compared with gastrocnemius 84 SCs. We also show that pharyngeal SCs secrete factors, which may act in an auto/paracrine 85 86 manner, to induce increased proliferation of SCs relative to factors secreted from limb SCs. We confirm that pharyngeal muscles are enriched with resident macrophages and FAPs, both 87 known to stimulate proliferation and differentiation of SC in vivo, thus providing a unique 88 89 niche to the resident SC population. Finally, we used SC ablation experiments to determine the contribution of SC to maintenance of pharyngeal muscle and the pharyngeal muscle niche. 90 91 These studies provide insight into the unique properties of craniofacial muscles, which may 92 explain the differential susceptibility of these muscles to aging and disease.

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94 **2. Materials and methods**

95 *Mice*

C57BL/6J mice (Jax000664), Pax7 CreERT2/CreERT2 mice (Jax017763), Rosa tdTomato/tdTomato 96 (Jax007909), Rosa-DTA (Jax009669), Pax3^{Cre} (Jax005549), Rosa^{mT/mG} (Jax007576) were 97 purchased from Jackson Laboratories (Bar Harbor, ME; www.jax.org). Three or 12 months old 98 mice were used as noted in figure legend. Homozygous Pax7 CreERT2/CreERT2 male mice were 99 crossed with homozygous Rosa^{flox-stop-flox-tdTomato} (tdTomato) to obtain Pax7 ^{CreERT2/+}; Rosa 100 tdTomato/+ (Pax7 Cre^{ERT2}-tdTomato) mice (Sambasivan et al., 2011). To label satellite cells with 101 red fluorescence (tdTomato), tamoxifen, 1 mg (Sigma-Aldrich, St. Louis, MO) per 10 grams 102 103 body weight, was injected intraperitoneally once daily for 5 days. Flow cytometry was used to determine the recombination efficiency in Pax7 Cre^{ERT2}-tdTomato mice. Quantitative 104 polymerase chain reaction (qPCR) was used to determine the recombination efficiency in Pax7 105

106	<i>Cre^{ER12}-DTA</i> mice. Experiments were performed in accordance with approved guidelines and
107	ethical approval from Emory University's Institutional Animal Care and Use Committee and
108	in compliance with the National Institutes of Health.

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110 Dissection of Muscle Tissue

Pharyngeal tissue dissection was performed as described previously (Randolph et al., 2015). Briefly, histologic sections included pharyngeal tissue extending from the soft palate caudally to the cranial aspects of the trachea and esophagus. Cross sections were prepared in both transverse and longitudinally for circular outside and longitudinal inside muscles, respectively. For collection and isolation of myogenic cells, the larynx and trachea were excluded from pharyngeal samples. Gastrocnemius muscles were used as control limb muscles.

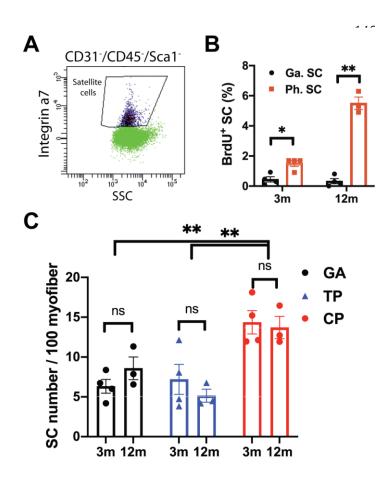
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Satellite Cell Isolation and Fluorescence Activated Cell Sorting

To obtain purified satellite cells (SCs), primary cells were isolated as described previously with small modifications (Randolph et al., 2015). Briefly, dissected pharyngeal and gastrocnemius muscles were minced and digested using 0.2% collagenase II (Gibco, Carlsbad, California) and 2.5U/ml Dispase II (Gibco, Carlsbad, California) in Dulbecco's modified Eagle's medium (DMEM) at 37°C while shaken at 80 rpm for 90 minutes. Digested muscles were then rinsed with same volume of Ham's F10 media containing 20% FBS and 100 μ g/ml penicillin/streptomycin (P/S). Then, mononucleated cells were collected using 70 μ m cell

strainer (Thermo Fisher Scientific, Waltham, MA). To facilitate rapid isolation of a pure 127 pharyngeal and hind limb SCs, we used an antibody-free fluorescence based lineage labeling 128 129 strategy, whereby Pax7 positive SCs are marked with a red fluorescence, tdTomato, upon tamoxifen-mediated Cre recombinase activation. Fluorescence-activated cell sorting (FACS) 130 was performed using a BD FACSAria II cell sorter (Becton-Dickinson, http://www.bd.com, 131 132 Franklin Lakes, NJ) at the Emory University School of Medicine Core Facility for Flow Cytometry. Analyses of flow cytometry data were performed using FACSDiva (BD version 133 134 8.0.1) and FCS Express 6 Flow. FACS-purified SCs were plated at 500 cells per well in 48well plate coated with Matrigel (Corning Life Sciences, New York, NY, Ca No. 354277) and 135 cultured for five days in Ham's F10 media (Hyclone, Pittsburgh, PA; www.gelifesciences.com) 136 137 containing 20% FBS and 25 ng/mL FGF2 (PeproTech, Rocky Hill, NJ). In Supplementary Figure 1, we used antibody strategy to isolate pharyngeal and hindlimb SCs. Cells were labeled 138 using the following antibodies: 1:400 CD31-PE (clone 390; eBiosciences, San Diego, CA 139 1:400 CD45-PE 30-F11; 140 http://www.ebioscience.com/), (clone BD Biosciences. www.bdbiosciences.com, San Jose, CA), 1:4000 Sca-1-PE-Cy7 (BD Biosciences, clone D7; 141 142 www.ablab.ca, Vancouver, Canada), 1:500 a7-integrin-APC (Clone R2F2; AbLab). Dead cells were excluded by 5 µg/ml propidium iodide (PI) staining. SCs were collected according to the 143 following sorting criteria: PI⁻/ CD31⁻/CD45⁻/Sca1⁻/Intergrin7 α^+ . 144



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148Supplementary Figure 1. Active *in vivo* proliferation of pharyngeal satellite cells of 12 months old mice compared149with ones of 3 months old mice. A. Flow cytometry gating strategy for satellite cells defined by CD31^{-/}CD45^{-/}Sca1^{-/}Intergrin150 $\alpha7^+$. B. Percentage of BrdU⁺ SCs in gastrocnemius and pharyngeal muscles of 3 and 12 month old mice. n = 4 for each age group.151Statistical significance was determined by 2-way ANOVA. C. Number of SC in gastrocnemius (GA), thyropharyngeus (TP) and152cricopharyngeus (CP) muscles of 3 and 12 month old mice. n = 3 or 4 for each age groups. The value represents mean ± SEM.153Statistical significances was determined by 2-way ANOVA. Asterisks indicate statistical significance (*p<0.05 and **p<0.01).</td>

155 In Vivo Cell Proliferation Assays by Flow Cytometry

To compare the proliferative abilities of SCs in pharyngeal and hindlimb muscles in vivo, 156 Bromo-2'-deoxyuridine (BrdU) assays were performed. Three-month-old C57BL/6 male mice 157 were injected with 10 µg BrdU (Sigma-Aldrich, St. Louis, MO; www.sigmaaldrich.com)/gram 158 body weight intraperitoneally every 12 hours for 2 days before sacrifice. Muscles were 159 dissected and digested as described above. To assess proliferation, isolated mononucleated cells 160 161 from pharyngeal or gastrocnemius muscles were immunostained with the following antibodies: 162 1:400 CD31-PE (clone 390; eBiosciences, San Diego, CA http://www.ebioscience.com/), 163 1:400 CD45-PE (clone 30-F11; BD Biosciences, www.bdbiosciences.com, San Jose, CA), 1:4000 Sca-1-PE-Cy7 (BD Biosciences, clone D7; www.ablab.ca, Vancouver, Canada), 1:500 164 a7-integrin-APC (Clone R2F2; AbLab). Subsequently cells were labeled for BrdU using a 165 166 FITC-BrdU flow kit in accordance with the manufacturer's instructions (BD Biosciences, www.bdbiosciences.com, San Jose, CA). Proliferating SCs were collected according to the 167 following sorting criteria: CD31⁻/CD45⁻/Sca1⁻/Intergrin7 α^+ /BrdU⁺. 168

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170 Fusion index and nuclei number analysis

For fusion assay, SCs were cultured for 10 days to induce spontaneous differentiation (Stuelsatz et al., 2015). Cells were fixed in 2% formaldehyde in PBS for 10 min at room temperature and stained with Phalloidin-iFluor 594 (abcam, ab176757) for 30 minutes at room temperature. Nuclei were then stained with 4',6-diamidino-2-phenylindole (DAPI) and cells were mounted with Vectashield (Vector Labs, www.vectorlabs.com, Burlingame, CA). Myoblast fusion was quantified by counting myonuclei in myotubes. Fusion index was

177 calculated as the percentage of nuclei of myotubes with two or more nuclei relative to the total
178 number of nuclei in the images. We randomly collected 10 images for each line.

179

180 *MitoTracker staining*

Pharyngeal and gastrocnemius muscles were dissected from *Pax7 Cre^{ERT}-tdTomato* mice, digested into mononuclear cells and sorted using flow cytometry. Isolated cells were incubated with 50 nM MitoTracker® Green FM (Life Technologies, catalog number: M-7514) at 37°C for 30 min. The cells were washed twice prior to analysis using the FACS LSR II flow cytometer or by fluorescence microscopy.

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187 Transwell cultures of satellite cells (SCs) and myogenic progenitor cells (MPCs)

To provide continuous cytokine delivery, we used a co-culture system of sorted 188 tdTomato⁺ (PAX7⁺) SCs from pharyngeal or gastrocnemius muscles as donor cells and 189 expended gastrocnemius myogenic progenitor cells (MPCs) as recipient cells. PAX7⁺ satellite 190 cells from pharyngeal or gastrocnemius muscles settled in the micro-wells at 500 cells/well in 191 the Matrigel-coated 24 well plate. Gastrocnemius MPCs were labelled with 5 μ M 192 CellTracker[™] Green CMFDA (Life Technologies, C7025) at 37°C for 45 minutes, seeded at 1 193 $\times 10^4$ cells/mL in a collagen-coated permeable transwell insert (Corning #3413, Transwell®) 194 with 0.4 µm Pore Polyester Membrane Insert), and cultured using MPC culture medium for 1 195 day to completely adhere. Two days after sorting, transwell inserts (containing MPC) were 196 placed on the micro-wells containing sorted SCs for co-culture and were placed on blank 197

micro-wells (without SCs) as a control. Culture medium was exchanged every other day. On
day 4 of co-culture, the number of green fluorescence positive MPCs in the insert was counted
and the ratio of cell growth was normalized to MPC number in the blank wells.

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Gene expression analysis by real-time qPCR

203 The gastrocnemius and pharyngeal MPCs and muscles were analyzed for the expression of related markers by comparative real-time qPCR. Total RNA from samples was extracted 204 using QIAamp RNA blood mini kit (Qiagen, Hilden, Germany) according to the 205 manufacturer's instructions. Isolated RNA (250 ng) was reverse transcribed into 206 complementary DNA (cDNA) using qScript[™] cDNA SuperMix (Quanta Biosciences, 207 Gaithersburg, MD) and then analyzed by real-time qPCR. Amplification of cDNA was 208 performed using Power SYBR® Green Master Mix (Applied Biosystems, Waltham, MA) and 209 210 2.5 µM of each primer. All primer sequences are listed in Supplementary Table 1. PCR 211 reactions were performed for 35 cycles under the following conditions: denaturation at 95°C for 15 sec and annealing + extension at 60°C for 1 min. Quantitative levels for all genes were 212 213 normalized to endogenous *Hprt* expression. Fold change of gene expression was determined using the $\Delta\Delta$ Ct method (Livak & Schmittgen, 2001). 214

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Genes	Primer sequences	
Col5a1	5'- GCTACTCCTGTTCCTGCTGC -3'	
Coisur	5'- TGAGGGCAAATTGTGAAAATC -3'	
Haf	5'- AAAGGGACGGTATCCATCACT -3'	
Hgf	5'- GCGATAGCTCGAAGGCAAAAAG -3'	
Fst	5'- CCCCAACTGCATCCCTTGTAAA -3'	
F ST	5'- TCCAGGTGATGTTGGAACAGTC -3'	
D. 7	5'- CTGTGCTGGGACTTCTTCCT -3'	
Pax7	5'- AGACTCAGGGCTTGGGAAGG -3'	
4	5'- CCCAAAGCTAACCGGGAGAAG -3'	
Acta1	5'-CCAGAATCCAACACGATGCC -3'	
	5'-TCAGTCAACGGGGGACATAAA -3'	
Hprt	5'- GGGGCTGTACTGCTTAACCAG -3'	

219 Supplementary Table 1. Primers used for gene expression analysis

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221 Immunohistochemistry/Immunofluorescence

Immunohistochemistry/Immunofluorescence was performed as follows: sections were 222 incubated with blocking buffer (5% goat serum, 5% donkey serum, 0.5% BSA, 0.25% Triton-223 X 100 in PBS) for 1 hour and then labeled with primary antibodies (Supplementary Table 2) or 224 isotype controls overnight at 4°C in blocking buffer. The following day, sections were washed 225 three times with washing buffer (0.2% Tween-20 in PBS) and incubated with fluorescence 226 probe-conjugated secondary antibodies for 1 hour at room temperature. We used mannose 227 receptor-1 (CD206) as a marker for resident M2 macrophages and platelet-derived growth 228 factor receptor α (PDGFR α) as a marker for FAPs. The TSA Green kit (Tyramide Signal 229 Amplification; Perkin Elmer, www.perkinelmer.com, Waltham, MA) was used for CD206 and 230 PFGFRα staining to enhance the immunostaining signal, after 1 hour incubation with 231

- biotinylated goat-anti-mouse F(ab') IgG fragments (2.5 μ g/ml). Nuclei were then stained with
- 233 DAPI and mounted using Vectashield (Vector Labs, www.vectorlabs.com, Burlingame, CA).

234	Supplementary Table 2. Antibodies used for immunofluorescence staining
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Detection of	Name	Host species	Dilution or Concentration	Manufacturer (Cat #)
Resident M2 macrophage	Mannose Receptor (CD206)	Rabbit IgG	1:200	Abcam (ab125028)
Fibroadipogenic progenitors (FAPs)	PDGFRα	Rabbit IgG	1:200	Cell Signaling Tech. (3174S)
Collagen V A1	COLVA1	Rabbit IgG	1:200	Sigma (SAB1306996)
Basement membranes	Laminin	Rabbit IgG	1:400	Sigma (L9393)
Basement membranes	Wheat Germ Agglutinin (WGA)	Alexa Fluor™ 647 Conjugate	1:400	Invitrogen (W32466)

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236 Statistical Analyses

Statistical analysis was performed using Prism 8.0. Results are expressed as the mean \pm standard error of the mean (SEM). Experiments were repeated at least three times unless a different number of repeats is stated in the legend. Statistical testing was performed using the unpaired two-tailed Student's t-test or ANOVA as stated in the figure legends. p < 0.05 was considered statistically significant. Statistical method, p-values, and sample numbers are indicated in the figure legends.

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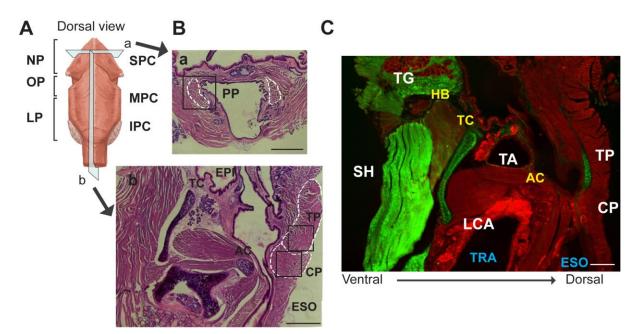
247 **3. Results**

248 **3.1.** Anatomical structure and embryonic origins of pharyngeal muscles

Based on location, the pharynx is separated into three major sections: the nasopharynx 249 250 (NP), oropharynx (OP), and laryngopharynx (LP). The muscles of pharynx consist of a 251 circular outer layer and a longitudinal inner layer (Fig 1A) (Randolph et al., 2014). The inner layer of the pharyngeal wall is comprised of three paired muscles known as the 252 253 palatopharyngeus (PP, (Fig 1B-a)), the stylopharyngeus, and the salpingopharyngeus. The 254 outer layer of the pharynx is comprised of three pharyngeal constrictor (PC) muscles: superior 255 (SPC), middle (MPC), and inferior (IPC) (Fig 1A). The IPC is particularly important for swallowing and consists of two muscles, the thyropharyngeus (TP) and cricopharyngeus (CP), 256 which form a sphincter at the transition from the pharynx to the esophagus (Fig 1B-b). During 257 swallowing, the successive contraction of pharyngeal constrictor muscles is required to 258 259 constrict the pharyngeal lumen to propel the bolus downward to the CP, which is vital to the efficient transfer of the bolus to the esophagus (Cook, 1993). Here, we focused on IPC muscles 260 261 as CP muscles are involved in several types of pharyngeal pathologies including 262 cricopharyngeal spasm (Búa, Olsson, Westin, Rydell, & Ekberg, 2015) and oculopharyngeal muscular dystrophy (Gómez-Torres et al., 2012). 263

The PAX3 transcription factor is a critical upstream regulator of somitic myogenesis during skeletal muscle development, but it is not expressed in developing craniofacial muscles including pharyngeal muscles (McLoon, Thorstenson, Solomon, & Lewis, 2007; Shahragim Tajbakhsh et al., 1997). To confirm that the PAX3 lineage does not contribute to pharyngeal muscle development, we performed PAX3 lineage tracing using *Pax3^{Cre/+}-mTmG* mice, which

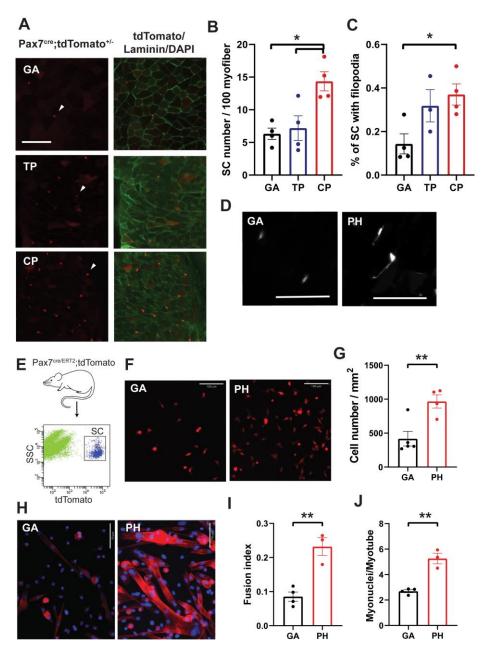
269 label all PAX3 lineage-derived cells with membrane targeted green fluorescent protein (GFP, mG) and non-PAX3 lineage-derived cells with membrane targeted red fluorescent protein, 270 271 tdTomato (mT) (W. Liu et al., 2013). Similar to extraocular muscles of Pax3^{Cre/+}-mTmG mice (Stuelsatz et al., 2015), the TP and CP muscles showed red fluorescence without GFP 272 expression, confirming that they do not originate from PAX3 expressing embryonal 273 progenitors (Fig 1C). Intrinsic laryngeal muscles including thyroarytenoid (TA) and lateral 274 cricoarythenoid (LCA) also expressed tdTomato, indicating that they are non-PAX3 derived 275 276 muscles. On the other hand, extrinsic laryngeal muscles, such as sternohyoid (SH), and tongue muscles (TG) showed green fluorescence expression, suggesting that the PAX3 lineages 277 contribute to both muscles (Dong et al., 2006; Harel et al., 2009). 278



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280 Figure 1. Anatomy and embryonic origins of pharyngeal muscles. A. Illustration of the outer skeletal muscles surrounding the 281 nasopharynx (NP), oropharynx (OP) and laryngopharynx (LP). B. Representative histological images of transverse (a, upper) and 282 longitudinal sections (b, bottom) in pharyngeal muscles. Scale bars = 330 um. C. Representative longitudinal section of larynx and 283 pharynx expressing PAX3 lineage-derived muscles (green) and non-PAX3 lineage-derived muscles (red) from 20 week old 284 Pax3^{Cre/+}/mTmG mice. Abbreviations: superior pharyngeal constrictor (SPC); middle pharyngeal constrictor (MPC); inferior 285 pharyngeal constrictor (IPC); thyropharyngeus (TP); cricopharyngeus (CP); palatopharyngeus (PP); epiglottis (EPI); thyroid cartilage 286 (THY); esophagus (ESO); trachea (TRA); tongue (TG); sternohyoid (SH); hyoid bone (HB); thyroid cartilage (TC); arytenoid 287 cartilage (AC); lateral cricoarythenoid (LCA).

289	Adult SCs are distinguished by expression of the paired-box/homeodomain
290	transcription factor PAX7, which is expressed during quiescence and early activation of SCs
291	and plays a key role in maintenance of self-renewed SCs (Bosnakovski et al., 2008). To
292	investigate the SCs in craniofacial muscles, we used a genetically engineered, tamoxifen-
293	inducible Pax7 Cre ^{ERT2} -tdTomato mouse, which labels all PAX7 lineage-derived cells with
294	red fluorescent protein (tdTomato). After tamoxifen injection, we observed tdTomato-labeled
295	SCs in sectioned TP, CP, and gastrocnemius (GA, limb) muscles (Fig 2A). The number of SCs
296	in CP muscles was significantly higher than the number of SCs in GA and TP muscles (Fig
297	2B). Unexpectedly, we also detected significantly increased number of cellular protrusions
298	(filopodia) in SCs from CP muscles relative to SCs in GA muscles (Fig 2C and D). This result
299	is consistent with a previously published study that showed extensive filopodia in extraocular
300	muscles (Verma, Fitzpatrick, & McLoon, 2017), but the role of filopodia in SC function is
301	unknown.



304 Figure 2. Sorted pharyngeal satellite cells show a high level of proliferation and differentiation. A. Representative cross-305 section expressing PAX7⁺ SCs (red) in gastrocnemius (GA), thyropharyngeus (TP) and cricopharyngeus (CP) muscles from 3 months 306 old Pax7 Cre^{ERT2}-tdTomato mouse. White arrow heads indicate examples of PAX7⁺ tdTomato expressing SCs. Basal lamina was 307 immunostained with anti-Laminin antibody (green). B, C. Quantified numbers of SCs per 100 myofibers (B) and quantified 308 percentages of SCs with filopodia (C) in gastrocnemius and pharyngeal muscles from 3 month old Pax7 Cre^{ERT2}-tdTomato mouse. n 309 = 3 or 4. Data were analyzed by 1-way ANOVA. D. Representative image of filopodia in gastrocnemius (GA) and pharyngeal (PH) 310 SCs. Scale bars = 50 μ m.E. Scheme of flow cytometry gating strategy for SC isolation using Pax7 Cre^{ERT2}-tdTomato mouse. F. 311 Representative image of 5-day cultured myogenic progenitor cells derived from gastrocnemius and pharyngeal SCs of Pax7 Cre^{ER72}-312 tdTomato mouse. Scale bars = 130µm. G. Analysis of cell number/mm² in gastrocnemius and pharyngeal myogenic progenitor cells 313 derived from SCs of 3 month old mice. n = 4. Statistical significance was determined by Student's t-test. H. Representative image 314 of gastrocnemius (GA) and pharyngeal (PH) myogenic progenitor cells derived from sorted SCs of Pax7 Cre^{ERT2}-tdTomato mice 315 after 10 days of culture. Scale bars = 70 µm. I. Quantified fusion index at 10 days after culture. Fusion index was calculated as the 316 percentage of total nuclei that resided in cells containing 2 or more nuclei. n = 3 or 4. Statistical significance was determined by 317 Student's t-test. J. Number of myonuclei per myotube at 10 days after culture. n = 3 or 4. Statistical significance was determined by 318 Student's t-test. For all graphs, the value represents mean \pm SEM. Asterisks indicate statistical significance (*p<0.05 and **p<0.01).

320 **3.2.** *Pharyngeal satellite cells are more proliferative and differentiative than* 321 *gastrocnemius satellite cells in vitro.*

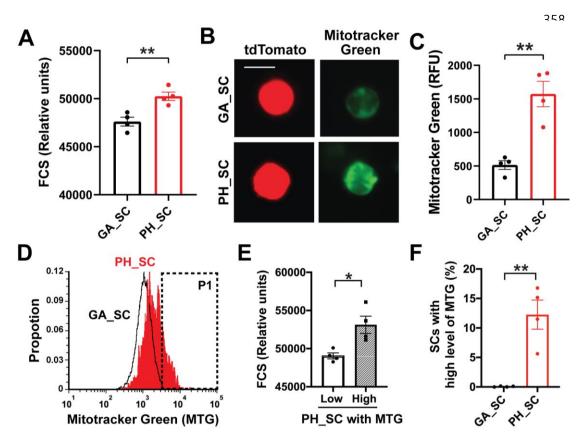
Pharyngeal SCs are highly proliferative in vivo relative to hindlimb SCs as 322 demonstrated by bromodeoxyuridine labeling and flow cytometric analysis using a known 323 satellite cell gating strategy (CD31⁻/CD45⁻/Sca1⁻/Integrin α 7⁺/BrdU⁺) (Fig EV1A) 324 (Randolph et al., 2015). To exclude in vivo niche effects on satellite cell proliferation, we 325 326 sorted by tdTomato signal equal numbers of pharyngeal and gastrocnemius SCs from Pax7 Cre^{ERT2}-tdTomato mice and cultured them for 5 days (Fig 2E and 2F). After 5 days, we 327 328 detected twice the number of cells in wells containing pharyngeal SCs than those containing SCs from gastrocnemius (Fig 2G). To investigate the differentiation potential of pharyngeal 329 SC, we cultured sorted satellite cells for 10 days to induce spontaneous differentiation 330 331 (Stuelsatz et al., 2015). The cultured pharyngeal SCs consistently exhibited an increased differentiation at day 10 (Fig 2H) along with an increased fusion index and increased number 332 of myonuclei per myotube compared to the gastrocnemius SCs (Fig 2I and 2J). These results 333 indicate that pharyngeal SCs retain highly proliferative and differentiative properties 334 compared to the limb muscles in the absence of in vivo niche factors, suggesting that cell-335 336 intrinsic factors contribute to the unique properties of pharyngeal SCs.

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338 **3.3.** *Pharyngeal satellite cells are larger and contain elevated mitochondrial content.*

When analyzing gastrocnemius and pharyngeal SCs by flow cytometry, two lightscattering parameters, the forward scatter (FSC; cell size) and side scatter (SSC; intracellular granularity and complexity), were also measured. We noticed that FSC values for pharyngeal

342 SCs were 10% higher as compared to gastrocnemius SCs (Fig 3A), suggesting that pharyngeal SCs are larger. The increased cell size and rapid proliferation of pharyngeal SCs resemble 343 344 properties of the G_{Alert} state that exists in SCs of the contralateral muscles after induced muscle injury mice (Rodgers et al., 2014). Given that G_{Alert} SCs also have increased mitochondrial 345 content and activity, we hypothesized that pharyngeal SCs also have more mitochondria. We 346 347 stained mitochondria in freshly-isolated SCs and detected increased green fluorescence by microscopy (Fig 3B) and increased relative fluorescence units (RFU) of MitoTracker Green 348 349 (MTG) by flow cytometry (Fig 3C and 3D) in pharyngeal SCs relative to SCs from 350 gastrocnemius muscle. We observed that the pharyngeal SCs with higher MTG signal (P1 gate of Fig 3D) were larger (increased FCS values) than the pharyngeal SCs with lower MTG signal 351 352 (Fig 3E). Approximately 12% of pharyngeal SCs had increased cell size and higher 353 mitochondria contents compared to less than 1% of gastrocnemius SCs (Fig 3F). This result indicates that pharyngeal SCs contain increased mitochondrial mass compared with 354 gastrocnemius SCs, which may provide energy and metabolites for early activation and higher 355 proliferation of pharyngeal SCs. 356



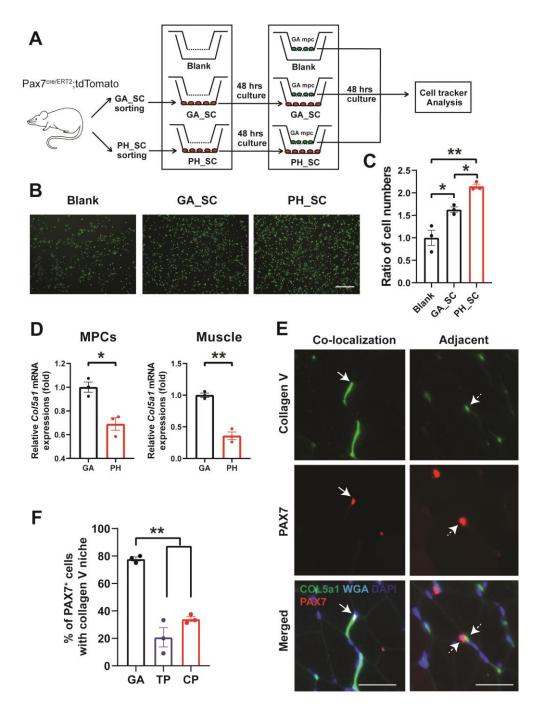
360 Figure 3. Pharyngeal satellite cells contain more mitochondrial contents. A. Quantified forward scatter (FSC) values for 361 pharyngeal (PH_SC) and gastrocnemius SCs (GA_SC) as determined by flow cytometry. n=4. B. Microscopic fluorescence images 362 showing tdToamto expressing SCs from gastrocnemius or pharyngeal muscles mitochondria stained with MitoTracker Green. Scale 363 bars = 10 µm. C. Representative flow cytometry histogram of MitoTracker Green fluorescence levels of both gastrocnemius (black 364 line) and pharyngeal (red line) SCs. The P1 gate indicates the SC population with high levels of MitoTracker Green. D. Quantitated 365 MitoTracker Green (MTG) relative fluorescence units (y-axis, RFU) of gastrocnemius (black line) or pharyngeal (red line) SCs. n=4. 366 E. Quantified forward scatter (FSC) values for pharyngeal SCs (PH_SC) with low and high MitoTracker Green (MTG) as determined 367 by flow cytometry. n=4. F. Percentage of SCs with high levels of MitoTracker Green (MTG) in pharyngeal (PH_SC) and 368 gastrocnemius SCs (GA_SC). n=4. For all graphs, the value represents mean ± SEM. Statistical significance was determined by 369 Student's t-test. Asterisks indicate statistical significance (**P<0.01).

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371

373 **3.4.** *Pharyngeal satellite cells secrete proliferation enhancing factors.*

374 The increased proliferation of pharyngeal SCs in vitro (Fig 2G) suggests that pharyngeal SCs may secrete pro-proliferative factors. Previous microarray analysis revealed that 375 pharyngeal SCs contain higher levels of RNAs encoding secreted factors that induce cellular 376 proliferation and immune cell infiltration as compared limb SCs (Randolph et al., 2015). To 377 378 investigate the effect of secreted auto/paracrine factors from gastrocnemius and pharyngeal SCs on proliferation, we sorted PAX7⁺ SCs from Pax7 Cre^{ERT2}-tdTomato mice and seeded 379 SCs onto the bottom wells of transwell system. Identical batches of gastrocnemius SCs were 380 381 seeded onto the upper transwell inserts above either gastrocnemius or pharyngeal SCs and cultured for 48 hours (Fig 4A). We quantified proliferation in the top transwells by counting 382 the number of gastrocnemius SCs dved with Cell Tracker Green CMFDA (Fig 4B). Compared 383 384 to controls (blank bottom wells), the number of cells was dramatically increased in both gastrocnemius and pharyngeal SC co-cultured groups (Fig 4C). Importantly, proliferation in 385 the pharyngeal SC group was significantly increased relative to the gastrocnemius SC group. 386 This result suggests that pharyngeal SCs secrete more pro-proliferative factors or fewer anti-387 proliferative factors during in vitro culture compared to gastrocnemius SCs. 388



391 Figure 4. Pharyngeal satellite cells secrete pro-proliferating factors and partially connect with quiescent niche. A. 392 Schematic illustration of transwell-coculture to investigate the effects of cytokines secreted from gastrocnemius and pharyngeal 393 satellite cells. An empty bottom well (Blank) was used as a negative control. B. Representative images of Cell Tracker Green stained 394 gastrocnemius myogenic progenitor cells cocultured with gastrocnemius (GA) or pharyngeal (PH) satellite cells. Scale bars = 330 µm. 395 C. The normalized (ratio to transwell of Blank bottom) cell numbers of gastrocnemius myogenic progenitor cells after Cell Tracker 396 image analysis using cytokine interphase system. n = 3. Statistical significance was determined by 1-way ANOVA. D. Relative mRNA 397 expression level of Col5al in gastrocnemius (GA) and pharyngeal (PH) myogenic progenitor cells (MPCs) and muscles obtained 398 from 3 month old mice. n = 3. Statistical significance was determined by Student's t-test. E. Representative image of co-localization 399 or adjacent expression of COLV and PAX7 in gastrocnemius muscle section. Scale bars = 35 µm. F. Quantified graph showing the 400 percentage of COLV+ niche per PAX7+ cells in gastrocnemius (GA), thyropharyngeus (TP) and cricopharyngeus (CP) muscles of 401 Pax7 Cre^{ERT}-tdTomato mouse. Statistical significance was determined by 1-way ANOVA. For all graphs, the value represents mean \pm 402 SEM. Asterisks indicate statistical significance (*p<0.05, **p<0.01).

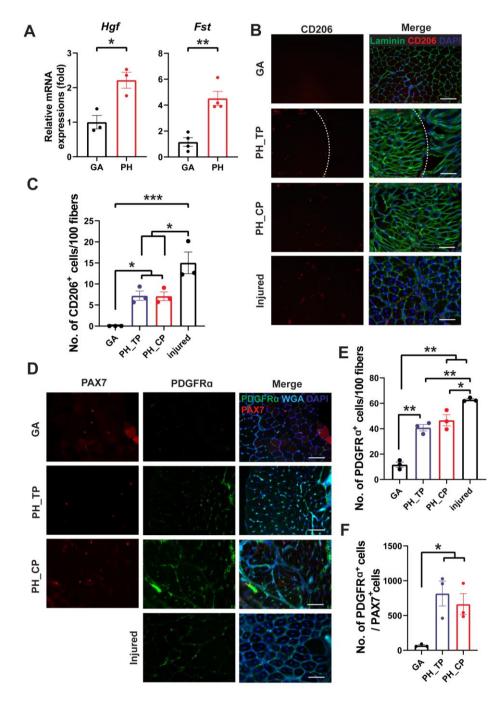
403 3.5. Pharyngeal satellite cells are less associated with collagen V, a marker of the quiescent 404 niche.

405 Although we demonstrated that cell-intrinsic factors are at least partly responsible for the increased proliferation and differentiation of pharyngeal SCs, we hypothesized that niche 406 factors including the extracellular matrix (ECM) and other cell types also contribute to the 407 unique phenotypes of pharyngeal SCs. The skeletal muscle extracellular matrix includes 408 409 several types of collagen and contributes to muscle contraction and maintenance as well as the satellite cell niche (Gillies & Lieber, 2011). A recent study reported that extracellular 410 411 matrix collagen V (COLV) is secreted by SCs and is important for maintenance of the quiescent state (Baghdadi et al., 2018). We detected decreased levels of the Col5a1 mRNA, 412 which encodes COLV, in pharyngeal MPCs and muscles relative to gastrocnemius 413 counterparts (Fig 4D). To confirm that reduced Col5a1 mRNA in pharyngeal muscles is 414 indeed associated with a less quiescent niche, we examined the presence of Collagen V protein 415 relative to PAX7⁺ SCs in pharyngeal and gastrocnemius muscle sections from Pax7 Cre^{ERT2}-416 *tdTomato* mice. We quantified PAX7⁺ cells within a collagen V^+ niche, which is defined by 417 co-localization or adjacent location of COLV and PAX7 (Fig 4E). The percentage of PAX7⁺ 418 SCs in the collagen V^+ niche is significantly higher in GA muscles than in TP and CP muscles 419 (Fig 4F), indicating that pharyngeal SC niches contain less collagen V than limb SC niches. 420 Taken together, these data suggest that the SC niche in pharyngeal muscles is less supportive 421 of the quiescent state than the SC niche in limb muscles. 422

423

424 3.5. The pharyngeal muscle niche contains multiple cell types and secreted factors to 425 enhance satellite cell activation.

To explore the contribution of extrinsic secreted factors to pharyngeal SC activation, 426 we measured the level of known SC activating factors in pharyngeal muscles. We focused on 427 secreted factors known to modulate SC proliferation including hepatocyte growth factor 428 (HGF), a well-known activator of quiescent SCs upon muscle injury (Allen, Sheehan, Taylor, 429 Kendall, & Rice, 1995), and follistatin (FST), also known as a myostatin inhibitor (Amthor et 430 431 al., 2004), which induces SC activation and fusion (Gilson et al., 2009; Jones et al., 2015). Interestingly, the mRNA levels of *Hgf* and *Fst* were increased in pharyngeal muscles 432 433 compared to gastrocnemius muscles (Fig 5A). This result led us to hypothesize that other cell types within pharyngeal muscles contribute to SC activation. Resident macrophages and FAPs 434 have been known to be a primary source of HGF (Sisson et al., 2009) and follistatin (Madaro, 435 436 Mozzetta, Biferali, & Proietti, 2019), respectively. Thus, we chose these cell types for further 437 analysis. To identify resident macrophages and FAPs in pharyngeal muscles, we stained sections for CD206, a surface marker of resident macrophages (Kosmac et al., 2018) and 438 PDGFRα, a surface marker of FAPs (Joe et al., 2010) (Fig 5B and 5D). The number of CD206⁺ 439 cells per 100 fibers in pharyngeal muscles was significantly higher than in uninjured 440 gastrocnemius (Fig 5C). We also detected a significant increase in PDGFR α^+ cells per 100 441 fibers or per PAX7⁺ cells in pharyngeal muscles relative to uninjured gastrocnemius muscles 442 (Fig 5E and 5F). Although the number of CD206⁺ cells or PDGFR α ⁺ cells in pharyngeal 443 444 muscles was higher than in uninjured muscles, these numbers were less than the number of $CD206^+$ cells or PDGFRa⁺ cells in 3-day injured limb muscles. Based on these findings, we 445 suggest that the increased numbers of macrophages and FAPs in pharyngeal muscles may 446 447 activate pharyngeal SCs via secretion of HGF and follistatin.



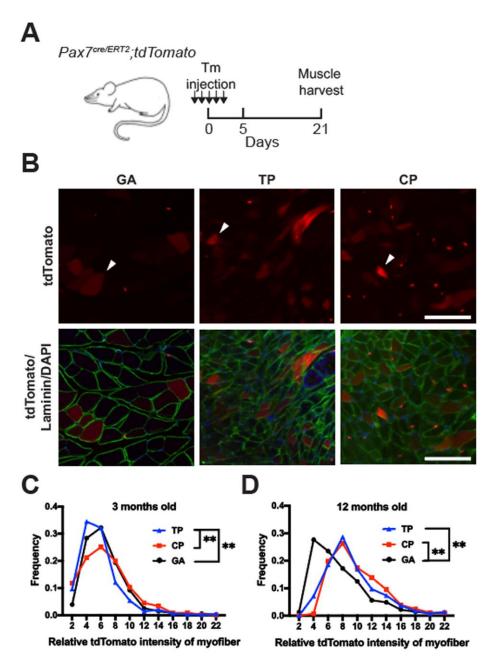
449 Figure 5. Pharyngeal muscles contain an increased number of resident macrophages and fibroadipogenic progenitor 450 cells (FAPs). A. Relative mRNA expression level of hepatocyte growth factor (Hgf) and follistatin (Fst) in gastrocnemius (GA) and 451 pharyngeal (PH) muscles obtained from 3 month mice. n = 3. Statistical significance was determined by Student's t-test. B. 452 Representative images of CD206⁺ cells in gastrocnemius (GA) and pharyngeal (PH) muscles. Merged images show immunostaining 453 with anti-CD206 (Red) and anti-laminin (green) antibodies and DAPI (blue). The asterisk in the right side of dashed white line 454 indicate thyropharyngeus (TP) muscle area. Scale bars = 330 µm. C. Quantified number of CD206⁺ cells per 100 myofibers. BaCl₂-455 injured tibialis anterior (TA) muscles are used as a positive control. n = 3. Statistical significance was determined by ANOVA. D. 456 Representative images of fibroadipogenic progenitors (FAPs) in gastrocnemius (GA) and pharyngeal (PH) muscles. Merged images 457 show immunostaining with anti-PDGFRα (Cyan) and anti-laminin (green) antibodies and DAPI (blue). Scale bars = 330 μm. E. 458 Quantified number of PDGFR α + cells per 100 myofibers. BaCl₂-injured tibialis anterior (TA) muscles are included as a positive 459 control. n = 3. Statistical significance was determined by 1-way ANOVA. F. Quantified number of PDGFR α^+ cells per PAX7⁺ cells. 460 n = 3. For all graphs, the value represents mean \pm SEM. Asterisks indicate statistical significance (*p<0.05 and **p<0.01).

461 3.6. Pharyngeal satellite cells contribute to muscle homeostasis and niche maintenance 462 of pharyngeal muscle.

Given the increased proliferation and differentiation of pharyngeal SCs, we 463 hypothesized that pharyngeal SCs are important for maintaining pharyngeal muscle 464 homeostasis. In a previous study, ablation of SCs in mice from 2 to 6 months of age led to no 465 change of laryngeal pharyngeal muscle fiber cross-sectional area (CSA) but a small significant 466 467 change of nasal pharyngeal muscle (Randolph et al., 2015). However, in 12 month-old mice, pharyngeal SCs showed significantly higher proliferation (Supplementary Figure 1, 468 469 (Randolph et al., 2015)) and fusion with consistent SC number compared to pharyngeal SCs of 3 month-old mice (Supplementary Figure 2). Therefore, we investigated the functional 470 importance of pharyngeal SCs around 12 month-old mice by utilizing Pax7 Cre^{ERT2} -DTA 471 472 mice, which express tamoxifen-inducible, SC-specific diphtheria toxin and thus induce ablation of PAX7⁺ SCs cells *in vivo*. To deplete SC in middle aged mice, we treated with 473 tamoxifen to induce SC-specific DTA expression in 6 month-old mice and harvested 474 pharyngeal muscles after 9 months of SC ablation (Fig 6A). As shown in Fig 6B, we detected 475 a significant decrease in the level of Pax7 mRNA in the SC-ablated Pax7 Cre^{+/-}-DTA^{+/+} TM 476 (Tamoxifen) group compared to the control $Pax7 Cre^{+/-}-DTA^{+/+}$ CO (Corn oil vehicle control) 477 group. There was no significant difference between the *Pax7 Cre^{+/-}-DTA^{+/+}* TM (Tamoxifen) 478 group and *Pax7 Cre^{-/-}-DTA^{+/+}* TM (Cre control) group. To test whether ablation of PAX7⁺ SCs 479 480 impacts pharyngeal myofiber size, we stained sectioned TP and CP muscles sections with hematoxylin/eosin and measured the CSA of myofibers. There was no significant change in 481 myofiber CSA in TP muscles of SC-ablated *Pax7 Cre^{+/-}-DTA^{+/+}* TM mice relative to controls 482 483 (Fig 6C). Unexpectedly, the frequency distribution of CSA shifted to the right in CP muscles

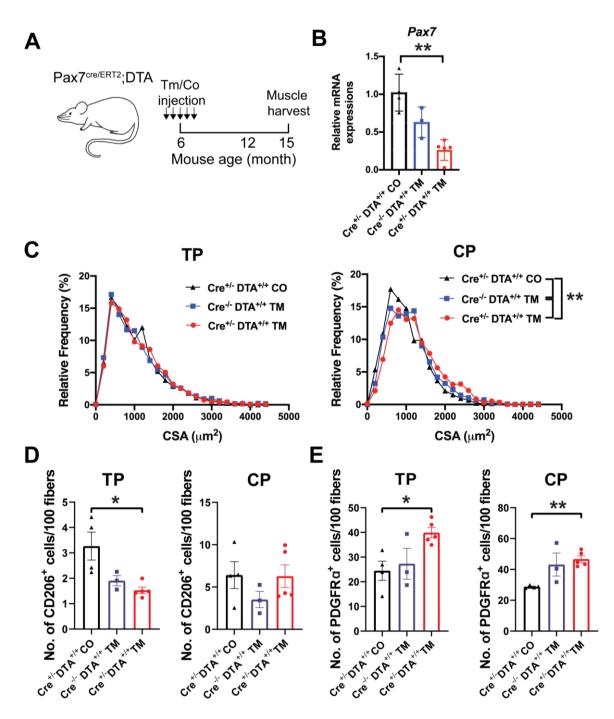
484 of $Pax7 Cre^{+/-}DTA^{+/+}$ TM mice, indicating a preponderance of larger myofibers after ablation 485 of SCs (Fig 6C). This result implies that SCs in the CP muscle are involved in the maintenance 486 of pharyngeal muscle size.

Considering that SCs are known to signal to other cell types in the skeletal muscle niche 487 (Fry, Kirby, Kosmac, McCarthy, & Peterson, 2017), we hypothesized that loss of secreted 488 factors from pharyngeal SCs affect the neighboring cells close to the SC niche. We sectioned 489 pharyngeal muscles from SC-ablated Pax7 Cre^{ERT2} -DTA mice and quantified CD206⁺ cells 490 (resident macrophages) and PDGFR α^+ cells (FAPs) using immunofluorescent staining and 491 492 microscopy (Fig 6D-E). We observed significantly decreased numbers of CD206⁺ cells in the TP muscle of Pax7 Cre^{ERT2} -DTA mice (Fig 6D). Conversely, we detected a significant increase 493 in the number of PDGFR α^+ cells in the TP and CP muscles of Pax7 Cre^{ERT2} -DTA mice (Fig. 494 6E). Although the difference was not statistically significant, $Cre^{-/-}-DTA^{+/+}$ TM (Cre control) 495 mice showed a trend of reduced Pax7 expression (Fig 6B) as well as similar trends of 496 neighboring cell pool change with Pax7 Cre^{ERT2} -DTA mice (Fig 6D-E). Taken together, these 497 data suggest that pharyngeal SCs are required not only to maintain the size of myofibers in 498 cricopharyngeus muscles but also to control the number of neighboring macrophages and 499 500 FAPs that contribute to the microenvironment of the pharyngeal SC niche.





503 Supplementary Figure 2. Increased fusion of pharyngeal satellite cells in 12 month old mice compared with 3 month 504 old mice. A. Scheme of experiments using Pax7 Cre^{ERT2}-tdTomato mice. Abbreviations: Tamoxifen (TM); Control (CO). B. 505 Representative cross-section expressing PAX7+ (red) satellite cells in gastrocnemius (GA), thyropharyngeus (TP) and 506 cricopharyngeus (CP) muscles from 12 month old Pax7 Cre^{ERT2}-tdTomato mice. White arrow heads indicate examples of PAX7⁺ SC 507 fused myofibers, which show diffused tdTomato expression inside the myofiber. Basal lamina was immune-stained with anti-508 Laminin antibody (green), and DAPI (blue) was used to stain nuclei. C, D. Frequency distribution of tdTomato intensity in myofibers 509 from gastrocnemius (GA), thyropharyngeus (TP) and cricopharyngeus (CP) muscles of 3 month (C) and 12 month (D) old Pax7 510 Cre^{ERT2}-tdTomato mice. Statistical significance was determined by Kruskal-Wallis test. Asterisks indicate statistical significance 511 (**p<0.01).





514 Figure 6. Myofiber cross-sectional area and niche factors are impacted by satellite cell ablation in pharyngeal muscle. 515 516 A. Scheme of experiments using Pax7 CreERT2-DTA mice. Abbreviations: Tamoxifen (TM); Control (CO). B. Relative mRNA expression level of Pax7 in muscle samples (Pax7 Cre+/-; DTA+/+ TM, Pax7 Cre-/-; DTA+/+ TM, Pax7 Cre+/-; DTA+/+ CO) obtained from 517 Pax7 Cre^{ERT2} -DTA mice with or without tamoxifen (TM) treatment. n = 4 or 5. Statistical significance was determined by 1-way 518 ANOVA. C. Frequency distribution plots of myofiber cross-sectional area (CSA) from the thyropharyngeus (TP) and cricopharyngeus 519 (CP) muscle regions are shown. n = 4, with 1400-1800 myofibers combined per condition. Statistical significance was determined by 520 Kruskal-Wallis test. D, E. Quantified number of CD206⁺ cells (D) and PDGFRa⁺ cells (E) per 100 myofibers in TP and CP of Pax7 521 Cre^{ERT2} -DTA mice. n = 4 or 5. Statistical significance was determined by 1-way ANOVA. For all graphs, the value represents mean \pm 522 SEM. Asterisks indicate statistical significance (*p<0.05 and **p<0.01).

524 **4. Discussion**

525 Although craniofacial muscles including pharyngeal muscles differ from body muscles in embryonic origin and core genetic programs (S Tajbakhsh, 2009), the majority of satellite 526 527 cell (SC) studies have focused on those in the limb muscles. Studies of SCs in craniofacial muscle are difficult due to its small size, the difficulty dissection, and the lack of functional 528 529 assays. To overcome these difficulties, we employed a series of genetic mouse models to either label or ablate SCs and reveal the distinct characteristics of pharyngeal muscle SCs. To explain 530 the highly proliferative and differentiative properties of pharyngeal SCs, we investigated both 531 intrinsic factors, such as mitochondria and autocrine factors, and extrinsic niche including 532 ECM and secreted factors from other cell types within pharyngeal muscles. Our study provides 533 new evidence to explain how both intrinsic mechanisms and extrinsic factors govern the unique 534 state of craniofacial SCs. 535

536

G-alerted features of pharyngeal SCs: cell size and mitochondria contents.

Dividing SCs typically follow one of two fates, either a return to the quiescent G₀ state 537 538 to renew the SC pool (Li & Clevers, 2010) or entry into an actively cycling G₁ state to proceed along the myogenic lineage (Yin, Price, & Rudnicki, 2013). A third state, G_{Alert}, has also been 539 540 described, which is intermediate to quiescence and activation (Rodgers et al., 2014). Our data indicate that SCs in uninjured pharyngeal muscles exhibit multiple aspects of the G_{Alert} state 541 including increased cell size, enhanced mitochondrial mass, and increased propensity to 542 proliferate and differentiate (Rodgers et al., 2014). Given that SCs in the G_{Alert} state are 'primed' 543 to rapidly respond to injury stimuli, the G_{Alert}-like state of pharyngeal SCs suggests a poor 544 ability to maintain quiescence and, once activated, their cell fate is more forced toward 545

546 commitment when compared to quiescent limb SCs. Indeed, we detected enhanced 547 proliferation and differentiation of cultured SCs isolated from pharyngeal muscle relative to 548 those isolated from the gastrocnemius. This unique "alert"-like state is thought to be tightly 549 regulated by distinct intrinsic or extrinsic factors of pharyngeal muscles itself: cellular 550 metabolism, cell autonomous signaling, cell-cell signaling, the extracellular environment, 551 inflammatory mediators, and so on (Aurora & Olson, 2014; Quarta et al., 2016).

552 One of the core intrinsic factors governing SC state is mitochondria-mediated metabolic regulation, which is considered critical for cell fate decisions, activation, and myoblast 553 554 proliferation (Duguez, Sabido, & Freyssenet, 2004; Zhang, Menzies, & Auwerx, 2018). We found that pharyngeal SCs showed relatively higher mitochondrial content relative to quiescent 555 limb SCs, which contain relatively few mitochondria. This result is consistent with a previously 556 557 reported increase in mitochondrial content upon SC activation in limb muscle, despite a shift to glycolytic metabolism (Montarras, L'honoré, & Buckingham, 2013; Ryall et al., 2015). 558 559 Interestingly, impaired mitochondrial function has been associated with the pathology of oculopharyngeal muscular dystrophy, which preferentially affects craniofacial muscles 560 (Chartier et al., 2015; Vest et al., 2017). Further investigation is necessary to investigate the 561 562 importance of mitochondrial metabolism in pharyngeal SC biology and in pharyngeal muscle pathologies. 563

564

Pharyngeal SC-derived niches: auto/paracrine factors and collagen V.

565 The skeletal muscle niche is critically important in regulating SC state. We tested two 566 important components of the SC-derived niche including autocrine signaling via secreted 567 factors and ECM components. In co-culture experiments, we determined that pharyngeal SCs

568 enhance proliferation of limb MPCs via secreted factors, though further studies are needed to determine the identity of the soluble signals secreted by pharyngeal SCs. Our study also shows 569 570 that the pharyngeal muscle niche contains less collagen V protein, which is produced by SCs and is considered to be a dominant regulator of the quiescent niche in skeletal muscles 571 (Baghdadi et al., 2018). This result is consistent with the differentially expressed ECM genes 572 573 pharyngeal SCs reported in a previous microarray study (Randolph et al., 2015). It is possible that other proteoglycans or ECM proteins contribute to regulation of quiescence in a pharyngeal 574 575 SC-specific manner. Taken together, these data add weight to the hypothesis that pharyngeal SCs are intrinsically less quiescent. 576

577 Neighboring cells to activate pharyngeal SCs: resident macrophages and FAPs.

578 Neighboring cells contribute to the microenvironment of SCs via soluble factors or direct cell-to-cell contact. HGF is one such auto/paracrine factor involved in SC activation in 579 580 response to muscle injury, overuse, or mechanical stretches (Miller, Thaloor, Matteson, & Pavlath, 2000; Sheehan, Tatsumi, Temm-Grove, & Allen, 2000; Tatsumi, 2010; Tatsumi, 581 Anderson, Nevoret, Halevy, & Allen, 1998). HGF is secreted into the extracellular matrix of 582 uninjured muscles as pro-HGF and, upon injury, proteolysis by urokinase-type plasminogen 583 584 activator (uPA) (Sisson et al., 2009) or HGF activator (Rodgers, Schroeder, Ma, & Rando, 2017) 585 generates active HGF that in turn activates SCs (Bernet-Camard, Coconnier, Hudault, & Servin, 1996; Sisson et al., 2009; Stoker, Gherardi, Perryman, & Gray, 1987). Although we did not 586 determine which form of HGF is found in pharyngeal muscle, previous microarray data 587 588 comparing pharyngeal SCs with limb SCs (Randolph et al., 2015) revealed increased levels of the Plat gene encoding tissue-type plasminogen activator (tPA), which is similar (identity 32.8% 589 and similarity 43%) to uPA and cleaves pro-HGF (Mars, Zarnegar, & Michalopoulos, 1993). 590

591 Thus, pharyngeal SCs may contribute to processing pro-HGF to the active form without injury. 592 Interestingly, extraocular muscle SCs, which also proliferate and differentiate without injury, 593 contain high levels of *Plat* mRNA relative to limb SCs (Pacheco-Pinedo et al., 2009). Thus, 594 HGF is likely an important signal modulating pharyngeal and extraocular SC activity, but 595 additional studies are needed to better define the mechanism.

Although HGF signaling can occur in an autocrine manner in SCs, the majority of HGF 596 597 is secreted by macrophages (Sisson et al., 2009) that infiltrate the skeletal muscle niche after injury (Pillon, Bilan, Fink, & Klip, 2013). Previous microarray analysis revealed that 598 599 pharyngeal SCs contain elevated levels of mRNAs encoding cytokines known to attract macrophages (Ccl2, Ccl12, Ccl7) or induce macrophage polarization (Lif and Il-6) (Duluc et 600 al., 2007; Xuan, Ou, Zheng, Xiong, & Fan, 2015). As expected, we detected relatively high 601 602 numbers resident macrophage without injury in pharyngeal muscles compared to uninjured 603 limb muscles. To further address the role of SCs in macrophage recruitment to pharyngeal muscles, we utilized a genetic mouse model (*Pax7 Cre^{ERT2} -DTA* mice) to ablate satellite cells. 604 We found that SC ablation led to a decrease in the number of M2 macrophages in the 605 thyropharyngeal muscle. This result confirms that pharyngeal SCs are important for recruiting 606 607 resident M2 cells under basal conditions. However, the roles of M2 for pharyngeal SC activation as well as function of pharyngeal muscles remain to be determined. 608

Another niche signal important for modulating SC activity is follistatin, which is a TGF- β antagonist (Amthor et al., 2004) suggested to prime myoblasts for myogenic differentiation and promote myofiber hyperplasia (Jones et al., 2015; Medeiros, Phelps, Fuentes, & Bradley, 2009). We provide evidence that pharyngeal muscles have the increased levels of follistatin mRNA and its cellular source, FAPs. (Reggio et al., 2020). These results are consistent with 614 previous reports in extraocular muscles (Formicola et al., 2014) and in contralateral limb muscles, which contains G_{Alert} SCs (Rodgers et al., 2014). Interestingly, we found that SC may 615 616 regulate the pool of FAPs, as we detected elevated numbers of FAPs in SC-depleted muscles, which was consistent with previous report using limb muscles (Formicola et al., 2018). 617 However, fibrosis and fatty infiltration were not detected in SC-depleted pharyngeal muscles 618 unlike chronic injured muscles (X. Liu et al., 2016). In contrast, mice in which the FAPs 619 population has been ablated showed reduced numbers of SC in limb muscles indicating that 620 621 FAPs are important for maintaining SC pools (Ancel, Mashinchian, & Feige, 2019; Wosczyna et al., 2019). Taken together, our results and previously published studies indicate that 622 correlations exists between SC and FAPs pools in skeletal muscle. 623

624

Role of pharyngeal SC for muscle maintenance.

To understand how SCs participate in pharyngeal muscle maintenance, we measured the 625 myofiber CSA in cricopharyngeal and thyropharyngeal muscles in SC-ablated mice. Unlike 626 other SC-depletion studies (Keefe et al., 2015; Pawlikowski, Pulliam, Dalla Betta, Kardon, & 627 Olwin, 2015; Randolph & Pavlath, 2015), the conditional depletion of pharyngeal SCs from 628 adult mice led to an increase in the CSA of cricopharyngeal myofibers. These results indicate 629 that SCs are required for maintenance of cricopharyngeus muscle size, though the mechanism 630 631 allowing for this adjustment remains completely unknown. However, it is inconsistent with our 632 expectation and the previous report showing that satellite cell ablation led to reduced CSA in 12-month SC-depleted EOM (Keefe et al., 2015) and in 4-month SC-depleted nasopharyngeal 633 634 muscles (no CSA change in laryngopharynx, which contains our target muscles, CP and TP) (Randolph & Pavlath, 2015). One possible explanation for our findings is that the ablation of 635 SCs is incomplete and the remaining diminished population of SCs in pharyngeal muscles 636

produces a hypertrophic response related to the increase in the number of FAPs. Alternatively,
hypertrophy of pharyngeal muscle may be a result of small fiber fusion in SC-depleted
conditions or SC-independent hypertrophic growth (McCarthy et al., 2011).

In conclusion, this study is the first comprehensive analysis of both intrinsic and extrinsic 640 factors associated with the highly proliferative and differentiative features of pharyngeal SC. 641 642 While it is not clear whether the unique embryonic origins of pharyngeal SCs leads intrinsic 643 differences in proliferative and myogenic properties, this study demonstrates that both 644 pharyngeal SC-secreted factors and pharyngeal muscle niches are capable of activating 645 pharyngeal SCs without injury. Although the role of highly active SCs in pharyngeal muscle function is still ambiguous, we propose that unique properties of pharyngeal SCs are a 646 promising area of study to better understand pharyngeal muscle specific pathologies, such as 647 oculopharyngeal muscular dystrophy. 648

649

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654

655 Author contributions

EK designed the study, performed experiments, image analysis, analyzed data, prepared figures and wrote the manuscript. YZ performed experiments and image analysis. FW performed experiments and analyzed data. JA prepared samples. KEV performed experiments, analyzed data and wrote the manuscript. HJC designed the study, performed experiments, image analysis, analyzed data,

- 660 prepared figures and wrote the manuscript.
- 661

662 **Conflict of interest**

- 663 The authors declare that they have no conflict of interest.
- 664

665 **Data availability**

- All data to support the conclusions of this manuscript are included in the main text and
- 667 supplementary materials.

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