

1     **Structure of SARS-CoV-2 main protease in the apo state reveals the**  
2                                    **inactive conformation**

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33

34 **Abstract**

35 M<sup>pro</sup> is of considerable interest as a drug target in the treatment of COVID-19 since  
36 the proteolytic activity of this viral protease is essential for viral replication. Here we  
37 report the first insight of the structure M<sup>pro</sup> for SARS-CoV-2 in the inactive  
38 conformation under conditions close to the physiological state (pH 7.5) to an overall  
39 resolution of 1.9 Å. The comparisons of M<sup>pro</sup> in different states reveal that substrate  
40 binding site and the active site are more flexible in the inactive conformation than that  
41 in the active conformations. Notably, compared with the active conformation of the  
42 apo state structure in pH7.6 of SARS, the SARS-CoV-2 apo state is in the inactive  
43 conformation under condition close to physiological state (pH7.5). Two water  
44 molecules are present in the oxyanion hole in our apo state structure, whereas in the  
45 ligand-bound structure, water molecular is absence in the same region. This structure  
46 provides novel and important insights that have broad implications for understanding  
47 the structural basis underlying enzyme activity, and can facilitate rational,  
48 structure-based, approaches for the design of specific SARS-CoV-2 ligands as new  
49 therapeutic agents.

50

## 51 **Introduction**

52 Coronavirus Disease 2019 (COVID-19) caused by SARS-CoV-2 has been a global  
53 pandemic that severely threatens to the global health and economy. However, there is  
54 currently no clinically approved vaccines or drugs against COVID-19 [1].  
55 SARS-CoV-2 particles contain single, positive stranded RNA genome with a length  
56 of about 30 kb, with a 5' cap structure and a 3' poly (a) bundle. The SARS-CoV-2  
57 genome encodes for replicase, spike glycoprotein (S), envelope protein (E),  
58 membrane protein (M) and nucleocapsid protein (N). SARS-CoV-2 main protease  
59 ( $M^{\text{pro}}$ , also called 3C-like protease,  $3\text{CL}^{\text{pro}}$ ) mediates the proteolytic processing of  
60 large replicase polyprotein 1a (pp1a) and pp1ab into non-structural proteins (NSPs) at  
61 11 conservative sites. [2]. Thus,  $M^{\text{pro}}$  is of considerable interest as a drug target in the  
62 treatment of COVID-19 since the proteolytic activity of this viral protease is essential  
63 for viral replication. Mutational and structural studies have identified substrate  
64 binding site and active site of  $M^{\text{pro}}$  that confers specificity for the Gln-P1 substrate  
65 residue in the active conformation [3-5]. Structures of  $M^{\text{pro}}$  for SARS-CoV-2 has been  
66 solved in complexes with Chinese herbal and novel inhibitors very recently [6,7].  
67 However, a structural description of these sites in the inactive conformation has  
68 remained elusive.

## 69 **Results**

### 70 **Overall structure of $M^{\text{pro}}$ for SARS-CoV-2**

71 Here we report the first insight of the structure  $M^{\text{pro}}$  for SARS-CoV-2 in the inactive  
72 conformation under conditions close to the physiological state (pH 7.5) to an overall  
73 resolution of 1.9 Å (Table 1), guiding specific drug discovery and functional studies.  
74 The  $M^{\text{pro}}$  forms a dimer in the crystal and has two distinct dimer interfaces, which are  
75 located in the N-terminal domain (residues 1-11) and the oxyanion loop (residues  
76 137-145) (Fig 1a). Comparison of our  $M^{\text{pro}}$  structure in the apo state to the previously  
77 reported  $M^{\text{pro}}$  structure in complex with inhibitor revealed a backbone ( $C\alpha$ ) RMSD of  
78 0.92 Å showing a similar overall structure [5-7] (Fig. 2a). As in ligand-bound  $M^{\text{pro}}$

79 structures, the protein consists of N-finger and other three domains that bind inhibitor  
80 at the cleft between domains I and II. N-finger (residues 1-7) is a loop located in the  
81 dimer interface and involved in the N-terminal auto cleavage. The domain I (residues  
82 8-101) is comprised of three small  $\alpha$ -helices and six  $\beta$ -strands. The domain II  
83 (residues 102-184) consists of six  $\beta$ -strands. The domain III is composed of five  
84  $\alpha$ -helices, which are closely related to the proteolytic activity.

### 85 **Structural comparisons between $M^{\text{pro}}$ in the apo states and other $M^{\text{pro}}$ structures**

86 There were, however, several notable local differences between the apo and  
87 ligand-bound structures. Electron density of the N-finger (residues 1-2), oxyanion  
88 loop (residues 141-142), C-terminal domain (residues 299-306) were insufficient for  
89 backbone tracing, suggesting the flexibility of this region in the apo state. In addition,  
90 electron densities of side chains Phe140 and Glu166, which are key residues involved  
91 in the substrate binding are missing at this high resolution that may reflect different  
92 conformation of the apo state (Fig. 2b).

93 The oxyanion hole composed of backbone amides or positively charged residues is  
94 directly related to the enzyme activity and substrate binding. In ligand-bound  
95 structures of  $M^{\text{pro}}$ , the oxyanion hole consists of loop (residues 140-145), negatively  
96 charged residues Glu166, positively charged residues His41, His163 and His172  
97 remains in an active conformation (Fig. 2c) [6]. A  $\pi$ - $\pi$  stacking interaction  
98 (Phe140/His163) is found in the oxyanion hole. A hydrogen bond and salt bridge  
99 involving Glu166 with water and His172 at the domain II further stabilize oxyanion  
100 hole. However, the oxyanion loop (residues 137-145) is less well ordered and the side  
101 chains of Glu166 and Phe140 cannot be fit well due to poor density in our apo state  
102 structure. The salt bridge and  $\pi$ - $\pi$  stacking interactions between Glu166/His172 and  
103 Phe140/His163 are broken, resulting in rearrangements in this region and further  
104 collapses of the oxyanion hole (Fig. 2d).

### 105 **$M^{\text{pro}}$ in the apo states at pH 7.5 is in an inactive conformation**

106 We propose that  $M^{\text{pro}}$  in the apo state is in the inactive conformation in the  
107 physiological condition, which is different from the active conformation of  
108 ligand-bound structures of  $M^{\text{pro}}$  [5]. The disordered N-finger is another feature of the  
109 inactive conformation. N-finger plays an important role in the formation of the active  
110 site and auto cleavage activity of  $M^{\text{pro}}$  [4]. Gly2 has interactions with Gly143 in the  
111 oxyanion loop in the neighboring protomer, stabilizing the active site and dimer in the  
112 active conformation, while the electron density of Gly2 is completely missing in the  
113 apo state. Inactive conformation in the apo state of our structure is further supported  
114 by the flexibility of N-finger in the apo state. It is consistent with the proof that lack  
115 of N-finger in TGEV  $M^{\text{pro}}$  is almost completely inactive [8]. Interestingly, His163  
116 forms hydrogen bonds with water molecular (Water 1) in our structure, which is not  
117 observed in the active conformation. Another unprecedented water molecular (Water 2)  
118 is found at Cys145-His41 catalytic dyad in the active site, working as bridge for  
119 proton transfer. We speculate that these water molecules may affect negatively  
120 charged oxygen of the substrate or inhibitor, which suffers from steric hindrance,  
121 making rational drug design more difficult (Fig. 2b and d).

## 122 **Discussion**

123 In summary, we determined the apo state structure of  $M^{\text{pro}}$  for SARS-CoV-2 in the  
124 inactive conformation. The comparisons of  $M^{\text{pro}}$  in different states reveal that  
125 substrate binding site and the active site are more flexible in the inactive conformation  
126 than that in the active conformations. Notably, compared with the active conformation  
127 of the apo state structure in pH7.6 of SARS, the SARS-CoV-2 apo state is in the  
128 inactive conformation under condition close to physiological state (pH7.5). The  
129 instable and disordered regions of oxyanion hole and the active site in the inactive  
130 conformation will raise the activation energy of the protease necessary for the  
131 reaction, slow down catalysis and finally extend the replication cycle of the virus.  
132 These structural differences may reveal the underlying reasons of why some patients  
133 infected with SARS-CoV-2 have longer virus latency than that of SARS. Further

134 studies of detailed molecular mechanisms of SARS-CoV-2 pathogenesis are needed.  
135 For the drug design based on the structure, water molecules imbedded in the oxyanion  
136 hole and corresponding interactions should be taken into more consideration. Two  
137 water molecules are present in the oxyanion hole in our apo state structure, whereas in  
138 the ligand-bound structure, water molecular is absence in the same region. The water  
139 molecules, which is found near His163 and His41 in the occluded pocket, stabilizes  
140 the positively charged His residues, increasing the steric hindrance that may slow  
141 down the enzyme reaction and decrease the catalytic efficiency of the enzyme.  
142 Altogether, the apo state structure of M<sup>pro</sup> for SARS-CoV-2 is an important  
143 complementary to the available structures. This structure provides novel and  
144 important insights that have broad implications for understanding the structural basis  
145 underlying enzyme activity, and can facilitate rational, structure-based, approaches  
146 for the design of specific SARS-CoV-2 ligands as new therapeutic agents.

147

## 148 **Materials and Methods**

### 149 **Protein purification and crystallization**

150 The cDNA of full length COVID-2019 main protease 3CL (NC\_045512) were was  
151 optimized and synthesized (Generay, China) connected into vector pET28a to obtain  
152 the wanted plasmid. The plasmid was transformed into competent cell E.coli Rosetta  
153 DE3. The bacteria were grown in 800mL of LB (Luria-Bertani) broth at 37°C. When  
154 the OD600 reach 0.6-0.8, 500μM IPTG was added to induce the E. coli expression  
155 and then incubated 3-5h at 30°C. Centrifuge the cells at 10000g for 10min at 4 °C,  
156 discard the supernatant, collect the precipitate and add buffer A(100mM Tris/HCl  
157 buffer,pH7.5,300mM NaCl 10mM imidazole and 5% glycerol) to blend the collected  
158 cells, which were broken up by JNBIO 3000 plus(JNBI). The supernatant containing  
159 needed protein was acquired by centrifugation at 30000g, 4°C for 30min. Transfer  
160 the supernatant into 5ml Ni-NTA( Ni<sup>2+</sup>-nitrilotriacetate )column (GE healthcare) and  
161 the protein wanted was loaded onto the column. Add buffer B(100mM Tris/Hcl buffer ,  
162 pH7.5 , 300mM NaCl ,100mM imidazole , and 5% glycerol) into beads which use

163 imidazole to wash. The His tagged protein was eluted by buffer C (50 mM Tris-HCl  
164 pH 7.5, 300 mM NaCl and 300 mM imidazole). Superdex 200 PG gel filtration  
165 column (GE healthcare) can more purify protein and remove imidazole, while need to  
166 change the buffer to buffer C(25mM HEPES buffer pH7.5 ,300mM NaCl, 2mM DTT  
167 and 5% glycerol). Collect positive peak protein and use tiny part test by SDS-PAGE.  
168 In the end, the protein was flash-frozen in liquid nitrogen and stored at -80°C.  
169 Thaw the protein and concentrate it to 5mg/ml in Amicon Ultra-15,10000Mr cut-off  
170 centrifugal concentrator (Millipore). The hanging drop vapor diffusion method was  
171 useful to gain crystal at 4°C. The crystals were grown with buffer containing 0.1M  
172 HEPES sodium 7.5, 10% Propanol and 20% PEG4000 in 3-5 days.

### 173 **Data collection, structure determination and refinement.**

174 The crystals were tailored with cryo-loop (Hampton research,America)and then  
175 flash-frozen in liquid nitrogen to collect better X-ray data. All data sets were collected  
176 at 100 K on macromolecular crystallography beamline17U1 (BL17U1) at Shanghai  
177 Synchrotron Radiation Facility (SSRF,Shanghai,China). All collected data were  
178 handled by the HKL 2000 software package. The structures of COVID-2019 main  
179 protease 3CL were determined by molecular replacement with PHENIX software.  
180 The of 3T0H was referred as a model. The program Coot was used to rebuild the  
181 initial model. The models were refined to resolution limit 1.93Å by using the  
182 PHENIX software. The superimposed data was analyzed with PyMOL software  
183 package. The complete wanted data collection and statistics of refinement are shown  
184 in Table 1. The structure has been deposited in PDB (PDB code 7C2Q).

185

### 186 **Conflict of interest**

187 The authors declare that they have no conflict of interest.

188

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197 COVID-19 Emergency Research Project.

198

### 199 **Author contributions**

200 Jin Zhang and Jian Li designed the project. Xuelan Zhou, Fanglin Zhong, Xiaohui Hu  
201 and Cheng Lin made constructs for expression and determined the conditions used to  
202 enhance protein stability. Huan Zhou and Qisheng Wang carried out X-ray  
203 experiments, including data acquisition and processing. Jian Li and Jin Zhang built  
204 the atomic model. Jin Zhang, Jian Li and Jingjing Duan drafted the manuscript. All  
205 authors contributed to structure analysis/interpretation and manuscript revision. Jin  
206 Zhang and Jian Li initiated the project, planned and analyzed the experiments, and  
207 supervised the research.

208

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229

230 **Figure legends**

231 **Fig. 1.** The apo state structure of M<sup>pro</sup> of SARS-CoV-2 in the inactive conformation.

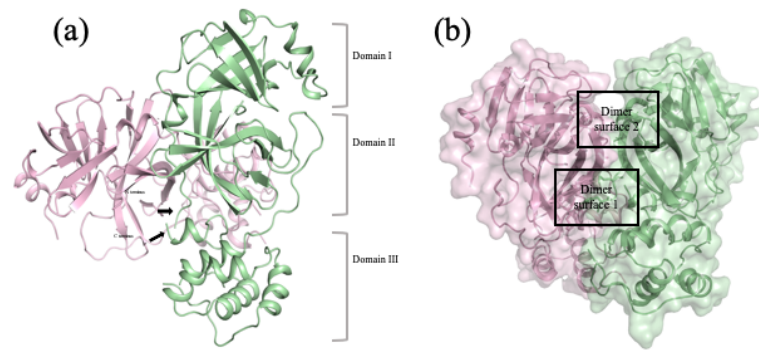
232 (a) The structure of the M<sup>pro</sup> dimer is shown in stereo. Individual protomers are shown  
233 in red and green. (b) Two dimer interfaces of M<sup>pro</sup> of SARS-CoV-2. Dimer interface 1  
234 and 2 are located in the oxyanion hole and N-terminal domain, respectively. (c)  
235 Comparison of M<sup>pro</sup> structures in the apo state of (green) in SARS-CoV-2, with  
236 inhibitor N3 in SARS-CoV-2 (red, PDB: 6LU7), with inhibitor 11b in SARS-CoV-2  
237 (orange, PDB: 6LZE) and in the apo state of SARS (gray, PDB:1UJ1). N3 and 11b are  
238 shown in pink and cyan, respectively. (d) Comparison of substrate binding site and  
239 active site in the apo state (green) and in the ligand-bound state in M<sup>pro</sup> of  
240 SARS-CoV-2. 11b are shown in cyan. (e) Structure of M<sup>pro</sup> bound with 11b in an  
241 active conformation. (f) Structure of M<sup>pro</sup> in an inactive conformation. Water 1 and 2  
242 are shown in red spheres.

243 **Fig. 2.** (a) Comparison of M<sup>pro</sup> structures in the apo state of (green) in SARS-CoV-2,  
244 with inhibitor N3 in SARS-CoV-2 (red, PDB: 6LU7), with inhibitor 11b in  
245 SARS-CoV-2 (orange, PDB: 6LZE) and in the apo state of SARS (gray, PDB:1UJ1).  
246 N3 and 11b are shown in pink and cyan, respectively. (b) Comparison of substrate  
247 binding site and active site in the apo state (green) and in the ligand-bound state in  
248 M<sup>pro</sup> of SARS-CoV-2. 11b are shown in cyan. (c) Structure of M<sup>pro</sup> bound with 11b in  
249 an active conformation. (d) Structure of M<sup>pro</sup> in an inactive conformation. Water 1 and  
250 2 are shown in red spheres.

251 **Table.1.** Statistics for data processing and model refinement of COVID-19 M<sup>pro</sup>.

252

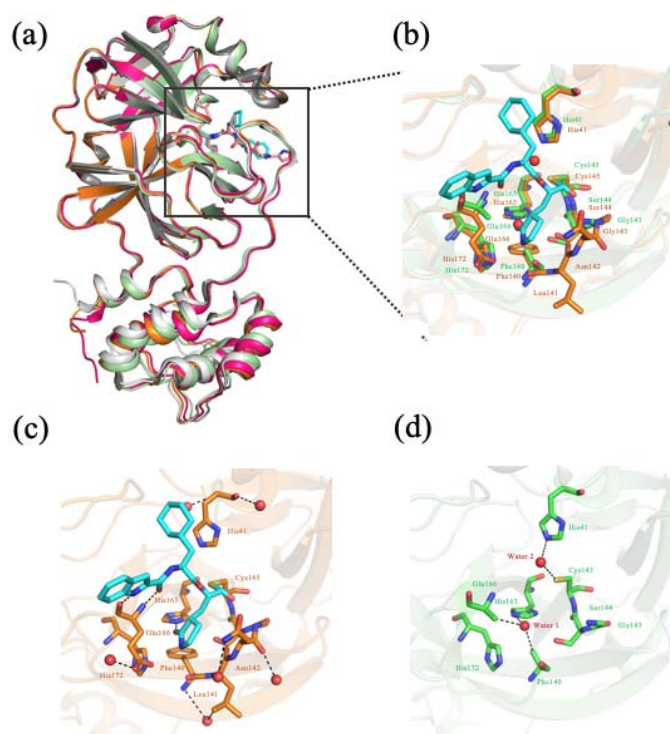
253 **Fig.1.**



254

255

256 **Fig.2.**



257

258

259 **Table 1**

PDB code	7C2Q
Synchrotron	SSRF
Beam line	BL17U1
Wavelength (Å)	0.97918
Space group	P2 <sub>1</sub> 2 <sub>1</sub> 2 <sub>1</sub>
<i>a, b, c</i> (Å)	67.95, 102.66, 103.54
<i>α, β, γ</i> (°)	90.00, 90.00, 90.00
Total reflections	716573
Unique reflections	55189
Resolution (Å)	1.93(1.98-1.93)
R-merge (%)	11.8(149.3)
Mean <i>I</i> / <i>σ</i> ( <i>I</i> )	15.4/2.2
Completeness (%)	99.9(99.9)
Redundancy	13.0(12.8)
Resolution (Å)	72.90-1.93
<i>R</i> <sub>work</sub> / <i>R</i> <sub>free</sub> (%)	22.63/26.61
Atoms	4917
Mean temperature factor (Å <sup>2</sup> )	36.7
Bond lengths (Å)	0.008
Bond angles (°)	0.88

260 Values in parentheses are for the highest-resolution shell.