# A systematic examination of preprint platforms for use in the medical and biomedical sciences setting

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# ABSTRACT

### **Objectives**

The objective of this review is to identify all preprint platforms with biomedical and medical scope and to compare and contrast the key characteristics and policies of these platforms. We also aim to provide a searchable database to enable relevant stakeholders to compare between platforms.

#### **Study Design and Setting**

Preprint platforms that were launched up to 25<sup>th</sup> June 2019 and have a biomedical and medical scope according to MEDLINE's journal selection criteria were identified using existing lists, web-based searches and the expertise of both academic and non-academic publication scientists. A data extraction form was developed, pilot-tested and used to collect data from each preprint platform's webpage(s). Data collected were in relation to scope and ownership; content-specific characteristics and information relating to submission, journal transfer options, and external discoverability; screening, moderation, and permanence of content; usage metrics and metadata. Where possible, all online data were verified by the platform owner or representative by correspondence.

#### Results

A total of 44 preprint platforms were identified as having biomedical and medical scope, 17 (39%) were hosted by the Open Science Framework preprint infrastructure, six (14%) were provided by F1000 Research Ltd (the Open Research Central infrastructure) and 21 (48%) were other independent preprint platforms. Preprint platforms were either owned by non-profit academic groups, scientific societies or funding organisations (n=28; 64%), owned/partly owned by for-profit publishers or companies (n=14; 32%) or owned by individuals/small communities (n=2; 5%). Twenty-four (55%) preprint platforms accepted content from all scientific fields although some of these had restrictions relating to funding source, geographical region or an affiliated journal's remit. Thirty-three (75%) preprint platforms provided details about article screening (basic checks) and 14 (32%) of these actively involved researchers with context expertise in the screening process. The three most common screening checks related to the scope of the article, plagiarism and legal/ethical/societal issues and compliance. Almost all preprint platforms allow submission to any peer-reviewed journal following publication, have a preservation plan for read-access, and most have a policy regarding reasons for retraction and the sustainability of the service. Forty-one (93%) platforms currently have usage metrics, with the most common metric being the number of downloads presented on the abstract page.

#### Conclusion

A large number of preprint platforms exist for use in biomedical and medical sciences, all of which offer researchers an opportunity to rapidly disseminate their research findings onto an open-access public server, subject to scope and eligibility. However, the process by which content is screened before online posting and withdrawn or removed after posting varies between platforms, which may be associated with platform operation, ownership, governance and financing.

**Keywords:** Biomedicine, Medicine, Preprint, Preprint platform, Preprint server, Review, Scholarly Publishing

#### What is already known on this topic

- In concurrence with an increase in the number of preprint servers and platforms supporting biomedical and medical sciences research since 2013, there has been substantial growth in the number of preprints posted in this research area.
- The significant benefits of accelerated dissemination of research that preprints offer has attracted the support of many major funders.
- The raised profile of preprints has led to their wider acceptance in institutional and individual level assessment.

#### What this study adds

- This is the first full examination of the characteristics and policies of 44 preprint platforms with biomedical and medical scope. We use a robust methodological approach to extract relevant information from web-based material with input from preprint platform owners.
- Despite concerns regarding the permanence and quality of preprints, most preprint platforms have long-term preservation strategies and many have screening checks (for example, a basic check for the relevance of content) in place. For some platforms, these checks are performed by researchers with content expertise.
- We provide a searchable database as a valuable resource for researchers, funders and policymakers in the biomedical and medical science field to determine which preprint platforms are relevant to their research scope and which have the functionality and policies that they value most.

# Introduction

A preprint is an non-peer reviewed scientific manuscript that authors can upload to a public preprint platform and make available almost immediately without formal external peer review. Posting a preprint enables researchers to 'claim' priority of discovery of a research finding; this can be particularly useful for early-career researchers in a highly competitive research environment. Some preprint platforms provide digital object identifier (DOIs) for each included manuscript. This information can be included in grant applications. Indeed, progressive granting agencies are recommending applicants include preprints in their applications (e.g., National Institutes of Health (NIH, USA) [1] and in the UK, preprints are becoming recognised as eligible outputs in the Research Excellence Framework (REF) exercise which assesses institutional research performance [2].

Preprints have been widely used in the physical sciences since the early 1990s, and with the creation of the repository of electronic articles, arXiv, over 1.6 million preprints or accepted/published manuscripts have been deposited on this platform alone [3]. Since September 2003, arXiv has supported the sharing of quantitative biology preprints under the q-bio category. The use of preprints in biomedical sciences is increasing, leading to the formation of the scientist-driven initiative ASAPbio (Accelerating Science and Publication in biology) to promote their use [4]. A preprint platform dedicated to life-science-related research (bioRxiv) founded in 2013 has already attracted nearly 80,000 preprints [5]. This platform was set up to capture science manuscripts from all areas of biology, however, medRxiv was launched in June 2019 to provide a dedicated platform and processes for preprints in medicine and health related sciences [6] and it already hosts over 3400 preprints, becoming particularly popular with COVID-19. The Center for Open Science [7] has also developed web infrastructure for these new 'Rxiv' (pronounced "archive") services [8], while F1000 Research Ltd has provided instances of its post-publication peer review and publishing platform for use by several funders (e.g. Wellcome Trust) and research institutions to encourage preprint-first research publishing [9]. Recently, several large publishers (Springer Nature, Wiley, Elsevier) have developed, co-developed or acquired preprint platforms or services, and in April 2020, SciELO launched a preprint platform that works with Open Journal Systems [10]. Many other preprint platforms also support dissemination of biomedical and medical sciences within their broader multi-disciplinary platforms.

Given the increase in the use and profile of preprint platforms, it is increasingly important to identify how many such platforms exist and to understand how they operate in relation to policies and practices important for scientific publishing. With this aim in mind, we conduct a review to identify all preprint platforms that have biomedical and medical science scope and contrast them in terms of their unique characteristics and policies. We also provide a searchable repository of the platforms identified so that researchers, funders and policymakers have access to a structured approach for identifying preprint platforms that are relevant to their research area.

# Terminology

We define a preprint according to the COPE (Committee of Publication Ethics) definition:

"A preprint is a scholarly manuscript posted by the author(s) in an openly accessible platform, usually before or in parallel with the peer review process." [11].

Any platform or server that hosts a collection of preprints will be referred to as a *preprint platform*. We use 'platform' instead of 'server' because, within this definition, we include both servers with no dedicated formal peer-review service and platforms where a manuscript has been submitted for peer review and is openly available to view *before* the peer review is formally complete.

# Methods

#### **Preprint platform identification**

A preliminary list of potentially relevant preprint platforms was identified using Martyn Rittman's original list [12] and extended using a basic *Google* web-search using the search term '*preprint*' and the knowledge of the Steering Group (study authors) and Amy Riegelman, University of Minnesota, containing academic experts in publication science and innovators in the promotion of preprints. Additional preprint platforms that were launched up to 25<sup>th</sup> June 2019 were included if we became aware of them during assessment via our network or contact with platform owners.

#### **Preprint platform selection**

We included any preprint platform that has biomedical or medical scope according to MEDLINE's journal selection criteria [13]. Generally this covers: "[manuscripts] *predominantly devoted to reporting original investigations in the biomedical and health sciences, including* 

research in the basic sciences; clinical trials of therapeutic agents; effectiveness of diagnostic or therapeutic techniques; or studies relating to the behavioural, epidemiological, or educational aspects of medicine."

We aimed to be over-inclusive such that preprint platforms that hosted work within the above MEDLINE definition of scope amongst a broader scope (such as "all physical, chemical and life sciences") were included. Eligibility of preprint platforms was arrived at in discussion with two authors (JJK and NP) and independently approved by the Steering Group. Preprint platforms were excluded for any of the following reasons: they were no longer active (as of June 25, 2019); they were print only or had no web-presence ('offline'); their primary function was classed as a general purpose repository with no specific preprint functionality.

#### **Data extraction items**

A data collection form was developed by the Steering Group which aimed to capture both preprint platform characteristics and policies. The form was pilot-tested with bioRxiv and revised accordingly following discussion with the platform owner. The final agreed data collection form is available online in Zenodo repository [14]. In brief, we extracted information on the preprints scope, ownership, governance, indexing and citation identifiers, submission formatting, visibility/versioning, article processing charges, publication timings, editorial board membership and for-profit or not-for-profit status. We also collected data on any checking/screening before preprint posting, open access/copyright and licensing options, sustainability and long-term preservation strategies, usage metrics and the simultaneous deposition policy relating to a manuscript submitted to a journal and the manuscript on the platform, and, if appropriate, policies about the deposition of accepted and published papers onto the platform.

#### **Data extraction process**

A single researcher (JJK, NP, FM) completed the data extraction for each platform using information found on the platform's website where content was directly accessible or found on associated webpages provided by the platform (for example, the 'About' pages for many OSF platforms linked to external websites provided by the platform operators). Verbatim text from the online search was recorded alongside any relevant web links. The completed data extraction form was then sent to the platform contacts (usually the platform owner), who were asked to check the data for completeness, fill in any missing fields and respond to any queries. On receiving the responses from the platforms, the researcher updated the data form, in some cases

simplifying the text records into categorised information. These data were then returned to the platform to confirm the data were accurate and as complete as possible, and these records were then recorded as 'verified by the platform representative/owner'.

If no contact with the platform was established, a second researcher (JJK, NP, FM) independently completed the data extraction using information found on the platform's website and consensus was reached on the final agreed responses following discussion. The completed data form was sent to the platforms informing them that the included information would be presented about their platform as 'unverified' data. The deadline for preprint platforms to approve any information and to confirm that all data could be shared publicly was 19<sup>th</sup> January 2020. Further datasets and records were updated with information provided up to 27th January 2020, and are available on the Zenodo repository [14].

#### **Reporting of results**

The preprint platform characteristics and policies were summarised descriptively and divided into preprint platforms (i) hosted on the Open Science Framework (OSF) Preprints infrastructure, (ii) provided by the Open Research Central infrastructure, and (iii) all other eligible platforms. Characteristics are presented as: (a) the scope and ownership of each platform; (b) content-specific characteristics and information relating to submission, journal transfer options, and external discoverability; (c) screening, moderation, and permanence of content; (d) usage metrics and other features; and (e) metadata.

#### Results

From all sources, ninety potentially eligible preprint platforms were identified for this review, although 46 were excluded based on scope (n=23), inactivity (n=13), no online presence (n=5) or were general repositories (n=5) (Figure 1). A list of excluded preprint platforms can be found in Supplementary Table 1. Of the 44 included preprint platforms, 17 were hosted by the OSF preprint infrastructure (although MarXiv is no longer part of the OSF family), six were provided by the Open Research Central infrastructure and 21 were other independent preprint platforms (Figure 1). Of the 21 independent preprints platforms, four were First Look platforms (Cell Press Sneak Peek, Preprints with the Lancet, NeuroImage: Clinical, and Surgery Open Science). Whilst meeting the criteria for inclusion in this review, PeerJ Preprints decided to accept no new preprints after 30<sup>th</sup> September 2019. Thirty-eight (86%) of the 44 preprint platforms verified their own data. We present the data tables in this manuscript, though all tables and raw data are

available in the Zenodo repository [14]. A searchable database of all the preprint platform information is also available (https://asapbio.org/preprint-servers).

#### Scope and ownership of preprint platforms (Table 1)

Twenty-eight platforms (64%) are owned by non-profit academic groups, scientific societies or funding organisations while two platforms are owned by individuals or small communities (Frenxiv and ViXra). Fourteen preprint platforms (32%) are affiliated or partly owned by for-profit publishers or companies; however, the preprint service part of their operation was declared as non-profit for three of these (Preprints.org, ESSOAr and MitoFit Preprint Archives). Of the preprint platforms associated with 'for-profit' status, only F1000 Research requires authors to pay an article processing charge.

Twenty-four (55%) preprint platforms accepted articles that covered multidisciplinary scope while 20 (45%) were discipline specific (e.g. PsyArXiv for psychological research). Despite the multidisciplinary scope, there were some further restrictions for some of the platforms, for example, there are five regional platforms (AfricArxiv, Arabixiv, Frenxiv, INA-Rxiv, ChinaXiv) aimed mostly at research being conducted in a specific geographical region. The Open Research Central platforms also only accept articles that are funded by certain funders (e.g. Wellcome Open Research platform only accepts research funded by the Wellcome Trust). Some preprint platforms also only allow articles that fit the remit of their affiliated journals (e.g. Cell Press Sneak Peek). Across all platforms, the median time that they have been active is 32 months (range 10 months, medRxiv to 28 years 8 months, arXiv). In that time, over 2.72 million preprints have been posted and in 2020, two platforms (Research Square and bioRxiv) have averaged more than 2,500 biomedical postings per month.

#### Submission, journal transfer options and external discoverability (Table 2)

Where the information is known, all preprint platforms support the English language, and all accept research articles (with the exception of Thesis Commons which accepts only theses). Some platforms also accept other languages and other article types including research presentation slides and posters. Readers can access the full content of articles from all platforms with the exception of JMIR Preprints and some of the First Look platforms (Cell Press Sneak Peek, Preprints with the Lancet and Surgery Open Science) where reader registration is required. All platforms support PDF as the main viewing option, for some platforms this can be viewed in the browser while for others it requires a download. For all platforms, authors can submit

articles using either a Word doc or as a PDF, with many platforms offering authors a choice of licensing, although where authors do not get a choice, the license required is commonly the CC-BY license.

In general, the Open Science Framework (OSF) and many of the other platforms allow authors to submit their articles to any journal although in some cases there is facilitated submission to certain journals, for example, for bioRxiv there is a host of direct transfer journal options. Authors submitting to F1000 Research, the Open Research platforms and all First Look platforms can only submit articles to journals associated with the platform. Where the information is available, all platforms with the exception of Therapoid and ViXra are externally indexed and most are commonly indexed on *Google Scholar*.

#### Screening, moderation, and permanence of content (Table 3)

Thirty-three (75%) preprint platforms provided some detail about article screening, while two (FocUS Archive and SocArxiv) do mention checks although the details of such checks are unknown. Therapoid does not perform any screening checks but relies on a moderation process by site users following article posting and ViXra does not perform screening checks but will retract articles in response to issues. Fourteen (32%) preprint platforms that perform screening checks actively involved researchers with content expertise in this process. The three most common screening checks performed related to scope of the article (e.g. scientific content, not spam, relevant material, language), plagiarism and legal/ethical/societal issues and compliance. Only three preprint platforms (Research Square, bioRxiv and medRxiv) check whether the content is dangerous to human health.

All F1000 platforms (inclusive of Open Research ones), MitoFit Preprint Archives, PeerJ Preprints and Preprints.org describe policies online in relation to NIH guidance for reporting preprints [15] with regards to plagiarism, competing interests, misconduct and all other hallmarks of reputable scholarly publishing. Some preprint platforms do have policies but fall short of transparently making these policies visible online while some platforms have no policies. If content is withdrawn, some platforms ensure that the article retains a web presence (e.g. basic information on a tombstone page) although this was not standard across all platforms. Almost all platforms have a preservation plan (or are about to implement) for read access. Most commonly, platforms have set up an archiving agreement with Portico. Others have made their own arrangements: as a notable example, the OSF platforms are associated with a preservation

fund provided by the Center for Open Science (COS) to maintain read access for over 50 years. In addition, most platforms have details on the sustainability of the service, for the OSF platforms this come from an external source (e.g. grants to support the COS framework), while for the Open Research Central infrastructure platforms this comes from article processing charges covered by the respective funding agencies. For some of the other platforms, funding is received from either internal or external sources or from other business model services (e.g. from associated journal publishing).

#### Usage metrics and other features (Table 4)

With the exception of arXiv and MitoFit Preprint Archives (Therapoid metrics arriving soon), all preprint platforms have some form of usage metrics, and apart from JMIR Preprints and ViXra all provide the number of article downloads on the abstract page. The OSF preprints are limited to downloads but the Open Research Central platforms also include the number of views, number of citations and altmetrics, whilst some of the independent platforms also include details of social media interactions direct from the platform (as opposed to the altmetric attention score). Most platforms (n=33; 75%) have some form of commenting and onsite search options (35; 80%), and some (mostly but not exclusively to the independent platforms) have alerts such as RSS feeds or email alerts.

#### Metadata (Table 5)

Forty (91%) of platforms provided information on metadata and all provide the manuscript title, publication date, abstract, and author names in the metadata. Nearly all of these with the exception of SciELO Preprints provide a DOI or other manuscript identifier as well. The majority also offer subject categories (n=34) and license information (n=26) but less than half include author affiliations (n=17) and funder acknowledgements (n=13). Eleven platforms (all six platforms under the Open Research Central infrastructure, Authorea, bioRxiv, ChemRxiv, F1000 Research, Research Square) offer full-text content, but only five include references in the metadata. Half of the platforms (n=22) offer a relational link to the journal publication (if it exists) in the metadata.

#### Discussion

Forty-four preprint platforms were identified that considered biomedical and medical scope. This review characterises each of these preprint platforms such that authors can make a more

informed choice about which ones might be relevant to their research field. Moreover, funders can use the data from this review to compare platforms if they wish to explicitly support and/or encourage their researchers to use certain platforms.

Preprint platforms are fast evolving and despite our cutoff of 25<sup>th</sup> June 2019, we are aware of new eligible preprint platforms that have been or are about to be launched after this date, for example Open Anthropology Research Repository (OARR) [16] and Cambridge Open Engage [17]. However, the recent advancements in the number of preprint platforms in this field has meant that one platform in this review (PeerJ Preprints) ceased to accept new preprints from the end of September 2019 to focus on their peer-reviewed journal activities [18]. Through our searchable database (https://asapbio.org/preprint-servers), we will endeavour to keep this information up-to-date.

Due to the lack of formal external peer review, preprint platforms that include medical content have been criticised as they may lack quality which can lead to errors in methods, results and interpretation, which subsequently has the potential to harm patients [19, 20]. This review has demonstrated the reality that many preprints do undergo some sensible checks before going online, in contrast to the perception that preprints are not reviewed at all. Research Square, bioRxiv and medRxiv check specifically if there is potential harm to the preprints' dissemination before peer review. Research Square also offers a transparent checklist to indicate the status of various quality assurance checks (not equivalent to scientific peer review) for each preprint.

Empirical evidence to support the use of editors and peer reviewers as a mechanism to ensure the quality of biomedical research is relatively weak [21, 22] although other studies have rendered peer review as being potentially useful [23, 24]. This review provides some justification that preprint platforms might be a reasonable option for researchers, especially given the time spent and associated cost of peer review [25]. In a recent survey of authors that have published with F1000 Research, 70% of respondents found the speed of publication to be important or very important [26]. In some scenarios, the time to deliver research findings may be as equally as important as research quality, and may be critical to health care provision. A good example of this is the current outbreak of novel coronavirus, where much of the preliminary evidence has been made available through preprints at the time of the World Health Organisation declaring the epidemic a public health emergency [27]. The issue of preprints being available before peer review, and also the level of screening before a preprint is posted, has been particularly pertinent in this case. As an example, bioRxiv has rapidly adapted to ensure users appreciate there has not been any peer review of the COVID-19-related work presented on this platform. In light of

COVID-19, people including the patients and the public might be interested in a quick and easy way to search across platforms. As a start at improving discoverability, Europe PMC aggregates preprints from several repositories and already nearly 3000 preprint articles with 'COVID-19' in the title are listed [28].

#### Strengths and limitations of the study

The strength of this study is that we developed robust methodology for systematically identifying relevant preprint platforms and involved platform owners/representatives wherever possible to verify data that was either unclear or not available on platform websites, and when this was not possible, a second researcher was involved in the data acquisition process. Systematically identifying web-based data that is not indexed in an academic bibliographic database is challenging [29], though the methods employed here are compatible with the principles of a systematic search: the methods are transparent and reproducible. This approach builds on the work by Martyn Rittman to produce an earlier list of preprint servers [12], the process behind which did not use systematic methods or involve platform owners as far as we are aware.

We undertook an internal pilot of developing and testing out the data collection form in collaboration with a preprint platform owner (John Inglis, bioRxiv, medRxiv) and ASAPbio staff and funders (promoters of preprint use) in order to ensure that the list of characteristics collected was both complete and relevant to different stakeholder groups including academics and funders. Many of the general policy information for some platforms was not well-reported or easy to find online and therefore an unexpected but positive by-product of this research is that several of these platforms have updated their webpages to improve the visibility and transparency of their policies in response to this research. Similarly, some platforms became aware of policy attributes that they had not previously considered and are now in the process of considering these for future implementation.

One limitation is that we focussed our attention on the 'main' preprint article although in some cases different policies existed for the supplementary material, e.g. acceptable formats and licensing options. This level of detail will be included in our searchable database. Another potential shortcoming was that some preprint platforms had a partner journal and without verification it was sometimes unclear if the policy information related to the journal, preprint platform or both. Finally, we defined preprint platforms as hosting work before peer review is formally complete and we acknowledge that some platforms included here also host content that

has already been peer-reviewed and/or published in a journal (e.g. post-prints) [30]; this is unlikely to affect the interpretation of policies for pre-printed works discussed herein.

#### Implications for authors of biomedical and medical research

With the increase in the number of preprint platforms available in the biomedical and medical research field, authors have the option to make publicly available and gain some early ownership of their research findings with little or no cost to themselves. Moreover, with many preprints platforms there is little restriction with regards to authors later publishing their preprints in peer-reviewed journals of their choice. While we did not tabulate information on this specifically, it was noted that some platforms (notably OSF platforms) did recommend that authors check the SHERPA/RoMEO service for details of a journal's sharing policy. There is also some evidence that pre-printing an article first may even boost citation rates [31] due to increased attention from tweets, blogs and news articles than those articles published without a preprint. With many platforms carrying out suitable quality-control checks and having long-term preservation strategies, preprint platforms offer authors direct control of the dissemination of their research in a rapid, free and open environment. As well as primary research, preprints are also vital to users of research (systematic reviewers and decision makers). As an example, a living mapping systematic review of ongoing research into COVID-19 is currently being undertaken, and almost all included studies to date have been identified through preprint platforms [32].

#### **Implications for preprint platforms**

There has been a sharp rise in the number of preprints being published each month and it has been estimated (as of June 2019), preprints in biology represents approximately 2.4% of all biomedical publications [33]; and as of April 2020 there are already over 2.72 million preprints in the platforms that we evaluated. This review has summarised the key characteristics and policies of preprint platforms posting both medical and biomedical content although there is a need for some of these platforms to update their policies and to make them more transparent online. As preprints are not formally validated through peer review, it is important to make it clear that their validity is less certain than for peer-reviewed articles (although even the latter may still not be valid). There is perhaps a growing need to standardise the checking process across platforms; such a process should not diminish the speed of publication (what authors value most about a preprint [22]). There is the temptation of making the checking process more rigorous, e.g. by including relevant researchers within the field as gatekeepers. However, this

may slow down the process of making scientific work rapidly available and may promote groupthink, blocking innovative contrarian ideas to be circulated for public open review in the preprint platforms. Based on current checks, our review shows that most preprint platforms manage to post preprints within 48 hours and all within a week on average. Further challenges may arise on resources if the number of preprints continue to rise at a similar rate and the number of new platforms begins to plateau. And now, as several initiatives progress with work to build scientific review directly onto preprints (e.g. Peer Community in [34], Review Commons [35], PREreview [36]), it may become even more important to provide clarity about the level of checks a manuscript has already received and would need to receive to be considered "certified" by the scientific community. If anything, the wide public availability of preprints allows for far more extensive review by many reviewers, as opposed to the typical journal peer-review where only a couple of reviewers are involved. Our review identified 14 platforms linked to for-profit publishers and companies but only F1000 Research currently charges a small article processing charge to authors. With the increase in demand and resources needed to maintain preprint platforms, we should be mindful that article processing charges may change downstream meaning that platforms may have to charge authors; this would be unfortunate in light of the open science movement.

#### Conclusion

One outcome of this review has been to understand the various drivers behind the proliferation of preprint platforms for the life and biomedical sciences. While arXiv, bioRxiv, chemRxiv and medRxiv aim to provide dedicated servers for academics within each field they are dedicated to, several academic groups have offered alternative subject-specific or regional services in line with their own community's needs, such as sharing work in languages other than English, using the OSF infrastructure. A third provider of preprint platforms is industry stakeholders: as academic publishers providing or acquiring preprint services to support the content they receive as submissions to their journals, and as biotechnology or pharmaceutical companies looking to support the sharing of relevant research content. Whether any platform becomes dominant may be influenced by the communities who adopt them, the influencers who promote them (funders and researchers who influence hiring and promotion decisions) and the financial sustainability underpinning them. We hope that enabling transparency into the processes and policies at each platform empowers the research community (including researchers, funders and others involved in the enterprise) to identify and support the platform(s) that help them to share research results most effectively.

# **Competing Interests**

JP is Executive Director of ASAPbio.

# **Contributors:**

JJK and DM jointly conceived the study and are the guarantors. JJK, DM and NP designed the study methods and developed the data collection form. All authors were involved in identifying eligible platforms. JJK, NP and FM were all involved in data extraction and JJK and NP did the analysis and prepared the data tables. JP developed the online searchable database. JJK prepared the initial manuscript. All authors were involved in the revision of this manuscript. All authors read and approved the final manuscript and are accountable for all aspects of the work, including the accuracy and integrity.

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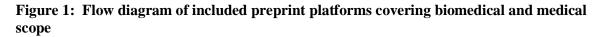
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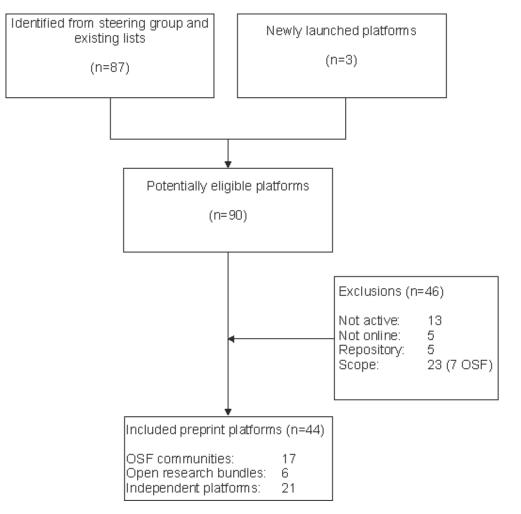
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**OSF:** Open Science Framework

# Table 1: General platform details

Preprint Server (date la unched/content first posted; number of articles <sup>a</sup> )	Platform Description	Scope	Ownership (O)/Ownership Type (OT)/For Profit status (P)/Technology (T; name & openness of source code)	Time to publication (T) / Processing Charges (C)
OSF Communities				
AfricArxiv [1] (22 June 2018; 103) <i>Verified</i>	"is a free, open source and community-led digital archive for African research"	All scientific fields	O <sup>b</sup> : Small group of enthusiasts OT: Academic community group; charity P: Non-profit or not-for-profit T: Open Science Framework (open source)	T: A few days C: No fee to author
AgriXiv [2] (15 February 2017; 418) Verified	"Preprints for Agriculture and Allied Sciences"	Relating to agriculture and allied sciences, including life sciences, medicine and health sciences, social and behavioural sciences	O <sup>D</sup> :       Open Access India and small group of enthusiasts         OT:       Academic community group         P:       Non-profit or not-for-profit         T:       Open Science Framework (open source)	T: Unknown C: No fee to author
Arabixiv [3] (2 July 2018; 424) <i>Verified</i>	"The Arabic Preprint Server"	All scientific fields	O <sup>b</sup> : Small group of enthusiasts OT: Academic community group P: Non-profit or not-for-profit T: Open Science Framework (open source)	T: Up to 48 hours C: No fee to author
EcoEvoRxiv [4] (21 March 2018; 191) <i>Verified</i>	"A free preprint service for ecology, evolution and conservation"	Subject-specific, including ecology, evolution and conservation	O <sup>b</sup> : Transparency in Ecology and Evolution OT: Academic community group P: Non-profit or not-for-profit T: Open Science Framework (open source)	T: Up to 48 hours C: No fee to author
FocUS Archive [5] (20 September 2017; 42) <i>Verified</i>	"A free preprint service for the focused ultrasound research community"	Relating to ultrasound, including life sciences, medicine and health sciences	<ul> <li>O<sup>b</sup>: Focused Ultrasound Foundation</li> <li>OT: Funding organisation (funder); medical research organisation</li> <li>P: Non-profit or not-for-profit</li> <li>T: Open Science Framework (open source)</li> </ul>	T: Unknown C: No fee to author
Frenxiv [6] (06 July 2018; 94) <i>Verified</i>	"The French server for Preprints in all the scientific fields"	All scientific fields	O <sup>b</sup> : Small group of enthusiasts OT: Individual or community P: Non-profit or not-for-profit T: Open Science Framework (open source)	T: A few days C: No fee to author
INA-Rxiv [7] (17 August 2017; 16,637) <i>Verified</i>	"A preprint server for Indonesian academia to provide an open, free and sustainable scientific repository"	All scientific fields	O <sup>b</sup> : Indonesia open science team OT: Academic community group P: Non-profit or not-for-profit T: Open Science Framework (open source)	T: Unknown C: No fee to author
MarXiv [8] (09 November 2017; 449) note: no longer on the OSF Verified	"The free research repository for the ocean and marine-climate sciences"	Relating to ocean and marine climate sciences, including life sciences, social and behavioural sciences	O <sup>b</sup> : Open Communications for the Ocean (OCTO group) OT: Publishing organisation P: For-profit T: Open Science Framework (open source)	T: Up to 48 hours C: No fee to author
MetaArXiv [9] (17 March 2017; 121) <i>Verified</i>	"An interdisciplinary archive of articles focused on improving research transparency and reproducibility"	Relating to meta-science	O <sup>b</sup> : The Berkeley Initiative for Transparency in the Social Sciences (BITSS), Centre for Effective Global Action, University of California, Berkeley OT: Academic institution P: Non-profit or not-for-profit	T: Unknown C: No fee to author

			T: Open Science Framework (open source)	
MindRxiv [10]	"Open archive for research on mind and	Relating to mind and contemplative practices,	O <sup>b</sup> : Mind and Life Institute	T: Same day
(17 July 2017; 192)	contemplative practices"	including medicine and health sciences,	OT: Academic institution	C: No fee to author
Verified		neuroscience and neurobiology, psychology,	P: Non-profit or not-for-profit	
		social and behavioural sciences	T: Open Science Framework (open source)	
NutriXiv [11]	"A free preprint service for the nutritional	Relating to nutritional sciences	O <sup>b</sup> : Small group of enthusiasts	T: Un known
(11 September 2017; 63)	sciences"		OT: Academic Institution	C: No fee to author
Verified			P: Non-profit or not-for-profit	
			T Open Science Framework (open source)	
OSF Preprints [12]	"OSF Preprints is a preprints discovery site,	All scientific fields	O <sup>b</sup> : Center for Open Science	T: Un kn own
(23 May 2007; 15, 174)	where you can search not only the preprints		OT: Charity	C: No fee to author
Verified	in all subjects hosted at OSF Preprints, but		P: Non-profit or not-for-profit	
_	also preprints on partner sites such as arXiv,		T: Open Science Framework (open source)	
	AgriXiv, engrXiv, PsyArXiv and SocArXiv"			
PaleorXiv [13]	"is a free, open source and community-led	Relating to palaeontology and paleobiology	O <sup>b</sup> : Small group of enthusiasts	T: A few days
(18 August 2017; 162)	digital archive for Paleontology research"	research	OT: Academic community group	C: No fee to author
Verified			P Non-profit or not-for-profit	
			T: Open Science Framework (open source)	
PsyArXiv [14]	"is designed to facilitate rapid	Relating to psychology and psychological sciences	O <sup>b</sup> : Society for the Improvement of	T: A few days
(09 December 2016;	dissemination of psychological research"		Psychological Science (SIPS)	C No fee to author
8, 509)			OT: Scientific society	
Verified			P Non-profit or not-for-profit	
-			T: Open Science Framework (open source)	
SocArxiv [15]	"open archive of the social sciences"	Relating to social sciences including social and	O <sup>b</sup> : University of Maryland, College Park (UMD)	T: Un known
(16 June 2017; 5, 149)		behavioural sciences	OT: Academic institution	C: No fee to author
Verified			P: Non-profit or not-for-profit	
-			T: Open Science Framework (open source)	
SportRxiv [16]	" is the first community-led and open	Relating to sports and exercise science, including	O <sup>b</sup> : Society for Transparency, Openness, and	T: A few days
(01 April 2017; 173)	access subject repository dedicated to sport,	rehabilitation and therapy, theatre, dance,	Replication in Kinesiology (STORK)	C: No fee to author
Verified	exercise, performance, and health research"	physiology, physiotherapy, psychology, sociology	OT: Scientific society	
-			P: Non-profit or not-for-profit	
			T: Open Science Framework (open source)	
Thesis Commons [17]	"An open archive of theses"	All scientific fields	O <sup>b</sup> Center for Open Science and small group of	T: Unknown
(21 April 2017; 583)			enthusiasts	C: No fee to author
Verified			OT: Academic community group; charity	
,			P: Non-profit or not-for-profit	
			T: Open Science Framework (open source)	
Dpen Research Central nfrastructure				
AAS Open Research [18]	"is a platform for rapid publication and	Multiple scientific fields, including health and	O: African Academy of Sciences	T: Up to 1 week
(18 April 2018; 61)	open peer review for researchers supported	wellbeing <sup>c</sup>	OT: Funding organisation (funder)	C: No fee to author (APC
Verified	by AAS (African Academy of Sciences) and		P: Non-profit or not-for-profit	bet ween £120-£800
	programs supported through its funding		T: Custom F 1000 software (closed source)	typically paid by AAS)
	platform, AESA (Accelerating Excellence in			-,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	Science in Africa's)"			
MRC Open Research [19]	"A platform for rapid author-led publication	Broad life & biomedical research, including basic	O: Association of Medical Research Charities	T: Up to 1 week

(19 February 2019; 7) <i>Ve</i>	and open peer review of research funded by AMRC (Association of Medical Research Charities) member charities"	scientific, translational, applied and clinical research	OT: Funding organisation (funder), membership organisation P: Non-profit or not-for-profit	C: Author fee applies (min £ 120; max £ 800 <sup>d</sup> ; £20 per poster, slide or
Gates Open Research [20] (06 November 2017; 218) Verified	"is a platform for rapid author-led publication and open peer review of research funded by the Bill & Melinda Gates Foundation"	Global health, global development, global growth and opportunity	T:       Custom F 1000 software (closed source)         O:       Bill and Melinda Gates Foundation         OT:       Funding organisation (funder)         P:       Non-profit or not-for-profit         T:       Custom F 1000 software (closed source)	document) T: Up to 1 week C: No fee to author (APC bet ween \$150-\$1500 <sup>d</sup> paid by the Gates Foundation)
HRB Open Research [21] (28 February 2018; 80) Verified MNI Open Research [22] (05 December 2017; 11) Verified	"is a platform for HRB-funded (Health Research Board) researchers to rapidly publish their research outputs in an open and accessible way" "is a platform for rapid publication and open peer review of research conducted by MNI (Montreal Neurological Institute) and Hospital researchers"	Broad life & biomedical research, including exploratory, translational, biomedical, clinical, epidemiological, health services research Subject-specific, including brain imaging, cellular and molecular neuroscience, cognitive neuroscience, neurological diseases/disorders	O:       Health Research Board Ireland         OT:       Funding organisation (funder)         P:       Non-profit or not-for-profit         T:       Custom F 1000 software (closed source)         O:       Montreal Neurological Institute and Hospital, McGill University         OT:       Academic institution         P:       Non-profit or not-for-profit	T:       Up to 1 week         C:       No fee to author (APC bet ween €123-€818 <sup>d</sup> paid by HRB)         T:       Up to 1 week         C:       No fee to author (APC bet ween £116-£775 <sup>e</sup> paid by MNI)
Wellcome Open Research [23] (15 November 2016; 602) <i>Verified</i>	"A new way for Wellcome-funded researchers to rapidly publish any results they think are worth sharing"	Broad life & biomedical research, including basic scientific, translational and clinical research	T:       Custom F 1000 software (closed source)         O:       Wellcome Trust         OT:       Funding organisation (funder)         P:       Non-profit or not-for-profit         T:       Custom F 1000 software (closed source)	T: Up to 1 week C: No fee to author (APC bet ween £116-£775 <sup>d</sup> paid by Wellcome)
Others arXiv [24] (14 August 1991; 1,688,374) Verified	"Open access to… e-prints…"	Multiple scientific fields, including quantitative biology <sup>c</sup>	O: Cornell University OT: Academic institution P: Non-profit or not-for-profit T: SWORD (open source)	T: A few days C: No fee to author
Authorea [25] (06 August 2013; 2,579) <i>Verified</i>	"is the leading collaborative platform to read, write, and publish research"	All scientific fields	O: Atypon (Wiley) OT: Publishing organisation (publisher) P: For-profit T: Custom Authorea software (proprietary software with some open source components)	T: Same day C: No fee to author
bioRxiv [26] (7 November 2013; 79, 370) <i>Verified</i>	"is a free online archive and distribution service for unpublished preprints in the life sciences"	Broad life & biomedical research (from animal behaviour and cognition to zoology)	O: Cold Spring Harbor Laboratory (CSHL) OT: Academic institution P: Non-profit or not-for-profit T: Highwire Preprint & BenchPress (proprietary software with some open source components)	T: Up to 48 hours C: No fee to author
Cell Press Sneak Peek [27] (02 April 2018; 2, 152) Verified	"is an author opt-in preview of the papers under review in [Cell Press] primary research journals"	Subject-specific, including cancer; stem cell; neuron; cell development; biology; immunity	O: Elsevier OT: Publishing organisation (publisher) P: For-profit T: Unknown	T: A few days C: No fee to author
ChemRxiv [28] {15 August 2017; 4,332}	"is a free submission, distribution and archive service for unpublished preprints in chemistry and related areas"	Subject-specific, including biological and medicinal chemistry	<ul> <li>O: American Chemical Society, German Chemical Society, and Royal Society of Chemistry</li> <li>OT: Scientific society</li> <li>P: Non-profit or not-for-profit</li> <li>T: Figshare (closed source)</li> </ul>	T: Up to 48 hours C: No fee to author

				L
ChinaXiv [29]	"is an open repository of Chinese scientific	All scientific fields	O: Chinese Academy of Sciences	T: Unknown
(25 January 2016; 13,561)	research papers in the field of natural		OT: Academic institution	C: No fee to author
	sciences"		P: Non-profit or not-for-profit	
			T: Java, SQL server (closed source)	
Earth and Space Science	"is a community server established to	Relating to earth, environmental, and space	O: American Geophysical Union (AGU), Wiley	T: A few days
Open Archive (ESSOAr)	accelerate the open discovery and	sciences (subject-specific topics include biology,	OT: Scientific society; publishing organisation	C: No fee to author
[30]	dissemination of Earth and space science"	microbiology and public health)	(publisher)	
(11February 2018; 671)			P: Non-profit or not-for-profit	
Verified			T: Atypon/Literatum (closed source)	
F1000 Research [31]	"is an Open Research publishing platform	Broad life & biomedical research, including basic	O: F1000 Research Ltd.	T: Up to 1 week
(13 July 2012; 3,226) -	for life scientists, offering immediate	scientific, translational and clinical research	OT: Publishing organisation (publisher)	C: Author fee applies
Verified	publication of articles and other research	within the life sciences and medicine	P: For-profit	(min \$150; max \$2000
	outputs without editorial bias"		T: Custom F1000 software (closed source)	US) <sup>d</sup>
JMIR Preprints [32]	"A preprint server for pre-publication/pre-	Broad life & biomedical research	O: JMIR Publications Inc.	T: Unknown
(11 November 2015; Not	peer-review preprints intended for		OT: Publishing organisation (publisher)	C: No fee to author
available)	community review as well as ahead-of-print		P: Unknown	
	(accepted) manuscripts"		T: Unknown	
medRxiv [33]	"is a free online archive and distribution	Broad medical, clinical and related health	O: Cold Spring Harbor Laboratory (CSHL), Yale	T: A few days
(25 June 2019; 3,412)	server for complete but unpublished	sciences	University and BMJ	C: No fee to author
Verified	manuscripts (preprints) in the medical,	Sciences	OT: Academic institution	
Venjreu	clinical, and related health sciences"		P: Non-profit or not-for-profit	
	chinea, and related nearth sciences		T: Highwire Preprint & BenchPress (proprietary	
			software with some open source components)	
MitoFit Preprint Archives	"Open Access preprint server for	Subject-specific, including mitochondrial	O: Oroborus Instruments	T: Up to 1 week
[34]	mitochondrial physiology and bioenergetics"	physiology, bioenergetics, ergodynamics	OT: Life sciences tech company	C: No fee to author
(12 February 2019; 15)	mitochondria physiology and bioenergetics	physiology, bioenergetics, ergodynamics	P: Non-profit or not-for-profit	C: No ree to author
(12 February 2019; 15)				
	II : :		T: MediaWiki (open source) O: Elsevier	
Neurolmage: Clinical -	"is an author opt-in preview of the papers	Subject-specific, including diseases, disorders and		T: A few days
First Look [35]	currently under consideration in	syndromes involving the nervous system,	OT: Publishing organisation (publisher)	C: No fee to author
(01 August 2018; 12)	[Neurolmage: Clinical]"	neuroimaging	P: For-profit	
Verified			T: Unknown	
PeerJ Preprints [36]	"is the 'pre-print' area of PeerJ"	Biological, medical and environmental sciences,	O: PeerJ	T: Up to 24 hours
(04 April 2013, 5,068;		matching scope to <i>PeerJ</i> journal (excludes Clinical	OT: Publishing organisation (publisher)	C: No fee to author
note: no new preprints		Trials, diagnostic, therapeutic or health	P: For-profit	
accepted after 30		implications)	T: Custom PeerJ Preprints software (closed source)	
September 2019)				
Verified				
Preprints with The Lancet	"a place where journals and other research	Medical sciences	O: Elsevier	T: Up to 2 weeks
[37]	experts identify content of interest prior to		OT: Publishing organisation (publisher)	C: No fee to author
(30 June 2018; 5,073)	publicationAuthors have either opted in at		P: For-profit	
Verified	submission to The Lancet family of journals		T: Unknown	
	to post their preprints on Preprints with The			
	Lancet, or submitted directly via SSRN"			
Preprints.org [38]	"is a multidisciplinary preprint platform	All scientific fields	O: Multidisciplinary Digital Publishing Institute (MDPI)	T: Up to 24 hours
(02 July 2016; 14,837)	that makes scientific manuscripts from all		OT: Publishing organisation (publisher)	C: No fee to author
	fields of research immediately available"		P: Non-profit or not-for-profit	
1	,	1	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	I

			T:	Custom software (closed source)	
Research Square [39]	"lets you share your work early, gain	All scientific fields	0:	Research Square	T: A few days
(15 October 2018; 20, 917)	feedback from the community, and start		OT	Publishing services organisation	C: No fee to author
Verified	making changes to your paper prior to peer		P:	For-profit	
	review in a journal"		Т:	Custom software (closed source)	
SciELO Preprints [40]	"focus on manuscripts related to the main	Multiple scientific fields including biological,	<b>O</b> :	SciELO and FAPESP Program	T: A few days
(06 July 2018; 31)	topics of the SciELO (Scientific Electronic	health, human, applied social sciences <sup>c</sup>	OT	Research infrastructure program	C: No fee to author
Verified	Library Online) 20 Years Week agenda"		P:	Non-profit or not-for-profit	
			T:	Open Journals System (open source)	
SSRN [41]	"is an open-access online preprint	Multiple scientific fields including applied	0:	Elsevier	T: Up to 48 hours
(1994; 791, 714)	community providing valuable services to	sciences, health sciences, life sciences, social	OT	Publishing organisation (publisher)	C: No fee to author
Verified	leading academic schools and government	sciences <sup>c</sup>	P:	For-profit	
	institutions"		T:	Unknown	
Surgery Open Science -	"open access journal affiliated with the	Relating to surgery, matching scope to Surgery	0:	Elsevier	T: A few days
First Look [42]	journal Surgery and striving to incorporate	journal	OT	: Publishing organisation (publisher)	C: No fee to author
(23 April 2019; 42)	the strongest tenets of the open science		P:	Unknown	
Verified	movement including allowing author preprint"		T:	Unknown	
Therapoid [43]	"an open web platform for scientific	Relating to pharmaceutical, medical device, and	0:	Open Therapeutics	T: Same day
(08 February 2017; 9)	collaboration by Open Therapeutics"	medical/health diagnostic technologies	OT	: Life sciencestech company	C: No fee to author
Verified			P:	For-profit	
			T:	Custom software (proprietary software with some	
				open source components)	
ViXra [44]	"An alternative archive in Science and	Multiple scientific fields, including biology <sup>c</sup>	0:	Small group of enthusiasts	T: Up to 48 hours
(25 February 2007;	Mathematics serving the whole scientific		ОТ	,	C: No fee to author
35,405)	community"		P:	Non-profit or not-for-profit	
			T:	Custom viXra software with services from	
				formsite com and disqus com (closed source)	

APC: Article Processing Charge

<sup>a</sup> As of 21<sup>st</sup> April 2020 (accept for SSRN, last verified 11<sup>th</sup> September 2019); <sup>b</sup> OSF communities are still working out the balance of ownership between service leads and the Centre for Open Science; <sup>c</sup> Disciplinary scope of server is broader – we list only those relevant to biomedical and health sciences; <sup>d</sup> Based on article length, surcharges may apply for articles with unusually large word counts; <sup>e</sup> MNI will pay for one publication per lab only.

Preprint Server	Major content accepted (level of research <sup>ª</sup> , where known)	Content Language(s)	Permitted submission formats (S) Viewing options (V) Unique identifier (I; if DOI, prefix in brackets) Versioning policy / version identifiers (VP) Licensing options (L) Reader registration required to view or download full content (R)	Journal submission options Preprint displays link to journal publication (PL)	External content indexing (EI) / Metadata availability (MA) / Machine-readable full-text content (MR)
OSF Communities					
AfricArxiv [1] – Verified	Any scholarly content	Afrikaans, Akan, English, French, Igbo, Swahili, Zulu, other unspecified native African languages – summary must be provided in English and French, non- English languages held in moderation queue awaiting verification	<ul> <li>S: PDF, Word doc, other text formats</li> <li>V: By download in file format submitted by author(s), PDF viewable in browser without download</li> <li>I: DOI (10.31730/osf.io)</li> <li>VP: Accepts any new versions / single DOI retained for all versions</li> <li>L: Authors are provided with a choice of licenses: GNU Lesser General Public License (LGPL) 3.0, BSD 3- Clause "New"/"Revised" License, BSD 2-Clause</li> <li>"Simplified" License, GNU Lesser General Public License (LGPL) 2.1, CC-By Attribution 4.0 International, Artistic License 2.0, CCO 1.0 Universal, Apache License 2.0, Mozilla Public License 2.0, Academic Free License, GNU General Public License 1.0, MIT License, GNU General Public License (GPL) 3.0, GNU General Public License (GPL) 2.0</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: None Facilitated submission to other journals: None PL: Can be added by author	El: Google Scholar, SHARE, Microsoft Academic, Unpaywall MA: Openly available via API (application programming interface) MR: No (planned in development roadmap)
AgriXiv [2] – <i>Verified</i>	Research manuscripts only	Cyrillic, English, Indonesian, unknown if others	<ul> <li>S: Any format supported by OSF platform</li> <li>V: By download in file format submitted by author(s), PDF viewable in browser without download</li> <li>I: DOI (10.31220/osf.io)</li> <li>VP: Accepts any new versions / single DOI retained for all versions</li> <li>L: Authors are provided with a choice of licenses: CCO 1.0 Universal, CC-By Attribution 4.0 International</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: None Facilitated submission to other journals: None PL: Can be added by author	El: Google Scholar, SHARE, Microsoft Academic, Unpaywall MA: Openly available via API MR: No (planned in development roadmap)
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PsyArXiv [14] – <i>Verified</i>	Any scholarly content	Unknown	<ul> <li>S: PDF, Word doc</li> <li>V: By download in file format submitted by author(s), PDF viewable in browser without download</li> <li>I: DOI (10.31234/osf.io)</li> <li>VP: Accepts any new versions / single DOI retained for all versions</li> <li>L: Authors are provided with a choice of licenses: CCO, CC-BY, no license; with no preference stated</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: None Fadlitated submission to other journals: None PL: Can be added by author	El: Google Scholar, SHARE, Microsoft Academic, Unpaywall MA: Openly available via API MR: No (planned in development roadmap)
SocArxiv [15] – Verified	Research manuscripts only	Unknown	S: Any format supported by OSF platform V: By download in file format submitted by author(s),	<i>Journal submission:</i> Unrestricted - manuscript can be published in any destination	El: Google Scholar, SHARE, Microsoft Academic,

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Verified	Theses only	Any language	<ul> <li>S: PDF, Word doc</li> <li>Y: By download in file format submitted by author(s), PDF viewable in browser without download</li> <li>I: DOI (10.31237/osf.io)</li> <li>VP: Accepts any new versions / single DOI retained for all versions</li> <li>L: Authors are provided with a choice of licenses: CCO, CC-BY; with no preference stated</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: None Facilitated submission to other journals: None PL: Can be added by author	El: Googe Scholar, SHARE, Microsoft Academic, Unpaywall MA: Openly available via API MR: No (planned in development roadmap)
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ESSOAr [30] – Verified	Scholarly research	English	S: PDF (Word and LaTeX in development)	Journal submission: Unrestricted - manuscript	El: Google Scholar, SHARE,
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	and slides; primary		: DO  (10.12688/f1000research)		MA: Openly available via API
	and secondary research)		<ul> <li>VP: Accepts any new versions / each version receives its own DOI and own non-DOI citable identifier</li> <li>L: Authors must use CC-BY license</li> <li>R: No reader registration required</li> </ul>	PL: Yes, added by platform within one month of publication	MR: Yes (XML and HTML)
JMIR Preprints [32]	Research manuscripts, blog posts, "grey" online reports	Unknown	<ul> <li>S: Word doc</li> <li>V: By PDF download, PDF viewable in browser without download</li> <li>I: DOI (10.2196/preprints.)</li> <li>VP: Accepts first submission only / not applicable</li> <li>L: Authors must use no license (all rights reserved)</li> <li>R: Author choice: No reader registration required, or reader can view basic content (title, abstract) without account but must register to view and download full content</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: JMIR Publications journals Facilitated submission to other journals: Unknown PL: Unknown	El: Unknown MA: Unknown MR: No
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Neurolmage: Clinical - First Look <sup>®</sup> [35]	Content criteria as directed by associated journal (NeuroImage: Clinical)	Unknown	S:       PDF, Word doc         V:       By PDF download, PDF viewable in browser without download         1:       URL only         VP:       Unknown / Unknown         L:       Unknown         R:       No reader registration required	Journal submission: Manuscripts must be submitted to the NeuroImage: Clinical first, authors are then provided with the option of displaying their manuscript while under review using SSRN's 'First Look' PL: Unknown	El: Unknown MA: Unknown MR: No

PeerJ Preprints [36] — Verified	Any scholarly content (primary and secondary research)	English	<ul> <li>S: PDF</li> <li>V: By PDF download, PDF viewable in browser without download, by XML download</li> <li>I: DOI (10.7287)</li> <li>VP: Accepts any new versions until publication in a journal / each version receives its own non-DOI citable identifier</li> <li>L: Authors are provided with a choice of licenses: CC0, CC-BY; with CC-BY preferred</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: PeerJ journals Facilitated submission to other journals: Unknown PL: Can be added by author or journal	El: Google Scholar, Europe PMC MA: Openly available via Crossref MR: No
Preprints with The Lancet <sup>b</sup> [37] <i>– Verified</i>	Content criteria as directed by associated journal(s) (Lancet family of journals)	Unknown	<ul> <li>S: Unknown</li> <li>V: By PDF download, PDF viewable in browser without download</li> <li>I: URL only</li> <li>VP: Accepts first submission only / not applicable</li> <li>L: Unknown</li> <li>R: Reader can view basic content (title, abstract) without account but must register to view and download full content</li> </ul>	Journal submission: Manuscripts must be submitted to the Lancet family of journals (including EBioM edicine and EClinicalM edicine) first, authors are then provided with the option of displaying their manuscript while under review using SSRN's 'First Look' PL: Can be added by author or journal	El: Unknown MA: Unknown MR: No
Preprints.org [38]	Multiple article types (including research manuscripts, comprehensive reviews, data articles, case reports; types not accepted are: editorials, discussion papers, commentaries, coursework)	English	<ul> <li>S: Word doc, LaTeX</li> <li>V: By PDF download</li> <li>I: DOI (10.20944)</li> <li>VP: Accepts any new versions / each version receives its own DOI and own non-DOI citable identifier</li> <li>L: Authors must use CC-BY license</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: None Facilitated submission to other journals: Not available PL: Unknown	El: Google Scholar, PrePubMed, Europe PMC, SHARE, SciLit MA: Openly available via Crossref MR: No
Research Square [39] — <i>Verified</i>	Multiple article types (including research articles, systematic reviews, method articles, data articles; types not accepted are: literature reviews, hypotheses, opinions, theories or commentaries)	English	<ul> <li>S: Word doc, LaTeX</li> <li>V: By PDF download, full-text viewable in browser without PDF (e.g. as HTML)</li> <li>I: DOI (10.21203)</li> <li>VP: Accepts any new versions / each version receives its own DOI</li> <li>L: Authors must use CC-BY license</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: Submit directly or submit from BMC journals and more from Springer Nature portfolio listed at https://www.researchsquare.com/journals Facilitated submission to other journals: Not available	El: Google Scholar, Crossref, Researcher-app MA: Openly available via Crossref MR: Yes (HTML)

SciELO Preprints [40] — Verified	Research manuscripts only	English, Portuguese, Spanish	<ul> <li>S: PDF or any PDF-convertible format</li> <li>V: By PDF download, PDF viewable in browser without download</li> <li>I: URL only</li> <li>VP: Accepts new versions until acceptance at journal / single DOI retained for all versions</li> <li>L: Authors must use CC-BY license</li> <li>R: No reader registration required</li> </ul>	<ul> <li>PL: Yes, added by platform within one month of publication</li> <li>Journal submission: Unrestricted - manuscript can be published in any destination</li> <li>Journals directly associated with platform: None (SciELO journals when full operation launched)</li> <li>Facilitated submission to other journals: Available for any journal using a SWORD (Simple Web-service Offering Repository Deposit) protocol-based manuscript submission platform</li> <li>PL: Can be added by author or journal</li> </ul>	El: Google Scholar MA: Openly available via API MR: No
SSRN [41] – Verified	Multiple types (including research manuscripts, abstracts, editorials, opinion papers)	Any language – English preferred, title and abstract must be provided in English, non-English translations can be submitted and linked to English version	<ul> <li>S: PDF</li> <li>V: By PDF download, PDF viewable in browser without download</li> <li>I: DOI (10.2139)</li> <li>VP: Accepts any new versions / unknown</li> <li>L: Unknown</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted - manuscript can be published in any destination Journals directly associated with platform: None Facilitated submission to other journals: Not available PL: Unknown	El: Unknown MA: Unknown MR: No
Surgery Open Science – First Look <sup>b</sup> [42] – Verified	Content criteria as directed by associated journal (Surgery Open Science)	Un kn own	S:       Unknown         V:       By PDF download, PDF viewable in browser without download         I:       URL only         VP:       Unknown         L:       Unknown         R:       Reader can view basic content (title, abstract) without account but must register to view and download full content	Journal submission: Manuscripts must be submitted to Surgery Open Science first, authors are then provided with the option of displaying their manuscript while under review using SSRN's 'First Look' PL: Unknown	El: Unknown MA: Unknown MR: No
Therapoid [43] — <i>Verified</i>	Research manuscripts only (multimedia content accepted in associated discussion forum)	English – Chinese also to be supported in future	<ul> <li>S: PDF</li> <li>V: By PDF download, PDF viewable in browser without download</li> <li>I: URL only</li> <li>VP: Accepts any new versions / each version receives its own DOI</li> <li>L: Authors must use CC-BY license</li> <li>R: No reader registration required</li> </ul>	Journal submission: Unrestricted – manuscript can be published in any destination Journals directly associated with platform: None Facilitated submission to other journals: Not available yet (to be provided for any and only open access journals) PL: Yes, added by platform within one month of publication	El: Not indexed MA: Openly available via Crossref MR: No (plan to require HTML in future)

ViXra [44]	Multiple types	Any language –	S: PDF	Journal submission: Unrestricted - manuscript	El: Not indexed
	(including research	English preferred,	V: By PDF download, PDF viewable in browser without	can be published in any destination	
	articles, books,	non-English	do wn I oad		MA: Unknown
	theses)	translations can be	I: URL, Platform-specific ID	Journals directly associated with platform: None	
		provided with	VP: Accepts any new versions / each version retains ID		MR: No
		English version, as	with a version number	Facilitated submission to other journals: Not	
		new versions or	L: Authors can use any license	available	
		submitted	R: No reader registration required		
		separately		PL: Can be added by author or journal	

<sup>a</sup> Where primary research is research based on empirical data and secondary research is derived from primary research, such as literature reviews and meta-reviews; <sup>b</sup>See SSRN for general platform information

# Table 3: Publishing practices: screening, moderation, review, preservation & data practices

Preprint Server	Stated not peer reviewed on manuscript record webpage (M) /general server webpages (S)	Advisory Board (A) / Includes Researchers (R)	Commitment to FAIR (Findable, Accessible, Interoperable and Reusable) principles / Data availability statement (DAS)	Screening checks performed between submitting and posting (stating where this process involves active researchers)	Permanence of content (P) / Reasons for retraction (R) / Web presence of removed content (W)	Mechanisms to report concern s about posted content	Sustainability of the service (S) / Preservation of content (P)
OSF Communities							
AfricArxiv [1] – Verified	M: No S: Yes	A: Yes R: Yes	Yes, endorses, stated on website DAS: Not requested or required	'moderated submission check for quality standard, good scientific practices, open science principles', including data/code availability	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS (Centre for Open Science)	S: COS receive external financial support (e.g. grant, individual); operators report no sources of income, staffed on voluntary basis P: COS Preservation Fund to maintain read access for 50+ years
AgriXiv [2] – <i>Verified</i>	M:No S:No	A: Yes R: Yes	Yes DAS: Unknown	Noinformation	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); operators report Open Access India plans to raise funds P: COS Preservation Fund to maintain read access for 50+ years
Arabixiv [3] – <i>Verified</i>	M:No S: No	A: Yes A: Unknown	Yes DAS: Unknown	Arabixiv editors check for: ensuring it is academic research	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS; Readers encouraged to post concerns publicly as new article	S: COS receive external financial support (e.g. grant, individual); no further information to report from operators P: COS Preservation Fund to maintain read access for 50+ years
EcoEvoRxiv [4] – Verified	M: No S: Yes	A: Yes R: Yes	Yes DAS: Unknown	Steering committee member (including active researchers) checks for: competing interests, plagiarism, misconduct or integrity checks, ethical and legal compliance, funder acknowledgment	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); no further information to report from operators P: COS Preservation Fund to maintain read access for 50+ years
FocUS Archive [5] — <i>Verified</i>	M:No S:No	A: Yes R: Yes	Yes	There is a moderation process, no further information known	P: Permanent with some removal options in	Email administrator; helpdesk support	S: COS receive external financial support (e.g. grant,

			DAS: Unknown		extraneous circumstances R: Plagiarism, false or inaccurate content W: Basic information remains on a tombstone page	from COS	individual); nothing to report from operators P: COS Preservation Fund to maintain read access for 50+ years
Frenxiv [6] – <i>Verified</i>	M: No S: No	A: Yes R: Yes	Yes DAS: Unknown	Editorial-advisory members ( <i>including active researchers</i> ) check for: competing interests, plagiarism, misconduct or integrity checks, authors are genuine, ethical and legal compliance, data availability	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); nothing to report from operators P: COS Preservation Fund to maintain read access for 50+ years
INA-Rxiv [7] – Verified	M:No S:Yes	A: Yes R: Yes	Yes DAS: Unknown	Unknown	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); operators endorse users to donate to COS P: COS Preservation Fund to maintain read access for 50+ years
MarXiv [8] – note: no longer on the OSF – Verified	M: No S: Yes	A: Yes R: Yes	Yes DAS: Unknown	The MarXiv team check for: plagiarism, misconduct or integrity checks, ethical and legal compliance	P: Permanent with some removal options in extraneous circumstances R: Plagiarism, false or inaccurate content W: Basic information remains on a tombstone page	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); service moving to another host P: COS Preservation Fund to maintain read access for 50+ years
MetaArXiv [9] — Verified	M:No S: No	A: Yes R: Yes	Yes DAS: Not requested or required	BiTSS staff check for: relevance of material, author name match es submitter	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS; Community moderation via commenting	S: COS receive external financial support (e.g. grant, individual); operation supported by BITSS funders and revenue P: COS Preservation Fund to maintain read access for 50+ years
MindRxiv [10] – Verified	M: No S: Yes	A: Yes R: Yes	Yes DAS: Not requested or required	Science Director at Mind & Life ( <i>including active researchers</i> ) checks for: relevance of material, ensuring it is academic research	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); nothing to report from operators P: COS Preservation Fund to maintain read access for 50+ years
NutriXiv [11] — Verified	M:No S:No	A: Unknown R: Unknown	Yes DAS: Unknown	No information	P: Permanent with some removal options in extraneous circumstances R: Plagiarism, false or	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); nothing to report from operators

					inaccurate content W: Basic information remains on a tombstone page		P: COS Preservation Fund to maintain read access for 50+ years
OSF Preprints [12] – Verified	M: No S: Yes	A: Yes R: Yes	Yes DAS: Not requested or required	OSF staff members run automated checks for spam	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual) P: COS Preservation Fund to maintain read access for 50+ years
PaleorXiv [13] – Verified	M: No S: Yes	A: Yes R: Yes	Yes DAS: Not requested or required	A moderator from the PaleoRxiv steering committee ( <i>including</i> <i>active researchers</i> ) checks for: plagiarism, relevance of material, ensuring it is academic research	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); nothing to report from operators P: COS Preservation Fund to maintain read access for 50+ years
PsyArXiv [14] – Verified	M: No S: Yes	A: Yes R: Yes	Yes DAS: Not requested or required	Moderation team checks for: ethical and legal compliance, relevance of material, copyright violations, spam	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); nothing to report from operators P: COS Preservation Fund to maintain read access for 50+ years
SocArxiv [15] – Verified	M: No S: Yes	A: Yes R: Yes	Yes DAS: Unknown	There is a moderation process, no further information known	P: Permanent with some removal options in extraneous circumstances R: Plagiarism, false or inaccurate content W: Basic information remains on a tombstone page	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); nothing to report from operators P: COS Preservation Fund to maintain read access for 50+ years
SportRxiv [16] — Verified	M:No S:Yes	A: Yes R: Yes	Yes DAS: Not requested or required	SportRxiv editors ( <i>including active researchers</i> ) check for: basic scientific standards, authors are genuine, all authors provide permission to post	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); operators report membership fees help offset costs P: COS Preservation Fund to maintain read access for 50+ years
Thesis Commons [17] – Verified	M: No S: No	A: Yes R: Yes	Yes DAS: Not requested or required	There is no moderation process beyon d that for OSF Preprints (OSF staff check for spam)	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, false or inaccurate content</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator; helpdesk support from COS	S: COS receive external financial support (e.g. grant, individual); nothing to report from operators P: COS Preservation Fund to maintain read access for 50+ years

Open Research Central infrastructure								
AAS Open Research [18] – Verified	M: Yes S: Yes		Yes Yes	Yes, compliant, stated on website	F1000's in-house editorial team check for: competing interest declaration, plagiarism, authors	P: Permanent with some removal options in extraneous circumstances	Community moderation via commenting	S: Article processing charges, covered by funding agency for AAS, Gates, HRB, MNI and
AMRC Op en Research [19] — Verified	M: Yes S: Yes		Not yet Still finalising advisory board	DAS: Required	are genuine and at least one eligible for platform, authors provide permission to post, ethical and legal compliance, funder acknowledgment, clinical	R: Contravention of copyright, plagiarism, false or inaccurate content, ethical and legal issues W: Basic information remains		Wellcome (MNI - limited to one article per lab per year) P: Preprints permanently archived in Portico
Gates Open Research [20] — <i>Verified</i>	M: Yes S: Yes		Yes Yes		trial registration, data/code availability, minimum reporting standards	on a tombstone page		
HRB Open Research [21] — Verified	M: Yes S: Yes		Yes Yes	-				
MNI Open Research [22] — Verified	M: Yes S: Yes		Not yet Not applicable	-				
Wellcome Open Research [23] — <i>Verified</i>	M: Yes S: Yes		Yes Yes					
Othe rs								
arXiv [24] — Verified	M: No S: Yes	R:	Yes Yes	None as yet DAS: Not requested or required	arXiv moderators (including active researchers) check for: plagiarism, misconduct or integrity checks, authors are genuine, ethical and legal compliance, relevance of material	<ul> <li>P: Permanent with no removal options (as long as archive exists)</li> <li>R: None</li> <li>W: Not applicable</li> </ul>	Social media or direct with authors (except copyright violations or significant issues)	S: External financial support (grants and membership program: libraries, research labs, philanthropy, government funding) P: Persistent access through mirror sites, no external preservation services used as yet
Authorea [25] – Verified	M: No S: Yes		No Not applicable (no advisory board)	Yes, in process of becoming compliant, without stating on website DAS: Not requested or required	Submissions are checked for spam	P: Content maybe removed by operator/owner only R: Policy not yet in place W: Not applicable (at this time)	Email administrator	S: Other business model not based on direct preprinting charges or associated journal (acquisition and investment from Atypon, part of Wiley) P: Preprints permanently archived in Portico
bioRxiv [26] – <i>Verified</i>	M: Yes S: Yes		Yes Yes	None as yet DAS: Not requested or required	bioRxiv staff and scientific affiliates ( <i>including active researchers</i> ) check for: plagiarism, misconduct or	P: Permanent with withdrawal options and (rare) removal in extraneous	Email administrator	S: Internal (Cold Spring Harbor Laboratory) and external financial support (multiyear grant funding from Chan

				integrity checks, ethical and legal compliance, clinical trial registration, submission is within scope and no danger to human health	circumstances R: Removal: Legal or biohazard reasons; Withdrawal: Plagiarism, false or inaccurate content, ethical and legal issues, co-author(s) did not consent to posting W: Withdrawn articles remain visible; For removed articles, basic information remains on a tombstone page		Zuckerberg Initiative) P: Preprints permanently archived in Portico
Cell Press Sneak Peek [27] — <i>Verified</i>	M: Yes S: Yes	A: Yes R: Yes	Unknown	No information	P: Unknown R: Unknown W: Unknown	Unknown	S: Unknown P: Unknown
ChemRxiv [28]	M: Yes S: Yes	A: Yes R: Yes	Yes, compliant, without stating on website DAS: Not requested or required	PhD-level chemists (including active researchers) check for: competing interests declared, plagiarism, misconduct or integrity checks, authors are genuine, all authors provide permission to post, ethical and legal compliance, funder acknowledgment, external data/code availability	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Contravention of copyright, plagiarism, ethical and legal issues</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator, or contact ethics departments of governing partners	S: Nothing to report from server P: Agreement to dark archive content with TIB (Leibniz Information Centre for Science and Technology University Library)
ChinaXiv [29]	M: No S: No	A: Yes R: Yes	Unknown DAS: Not requested or required	Project team and scientists (including active researchers) check for: plagiarism, misconduct or integrity checks, authors are genuine	<ul> <li>P: Permanent with no removal options</li> <li>R: Contravention of copyright, plagiarism, false or inaccurate content, ethical and legal issues</li> <li>W: Basic information remains on a tombstone page</li> </ul>	None	S: Nothing to report from server P: Long term preservation in local
ESSOAr [30] — Verified	M: Yes S: Yes	A: Yes R: Yes	Yes, without stating on website DAS: Not requested or required	Editorial board members (including active researchers) check for: plagiarism, misconduct or integrity checks, authors are genuine, all authors provide permission to post, submission is scholarly and within scope	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Copyright infringement or other ethical or legal issues the Advisory Board deems critical</li> <li>W: Unknown</li> </ul>	Email administrator	<ul> <li>S: Internal financial support (investment from Wiley and AGU)</li> <li>P: On roadmap: preprints to be permanently archived in Portico</li> </ul>
F1000 Research [31] — Verified	M: Yes S: Yes	A: Yes R: Yes	Yes, compliant, stated on website DAS: Required	F1000's in-house editorial team check for: competing interest declaration, plagiarism, authors are genuine and at least one	P: Permanent with some removal options in extraneous circumstances R: Contravention of copyright,	Email administrator	S: Nothing to report from server P: Preprints permanently archived in Portico

JMIR Preprints [32]	M: No S: Yes	A: Unknown R: Unknown	Unknown	eligible for platform, authors provide permission to post, ethical and legal compliance, funder acknowledgment, clinical trial registration, data/code availability, minimum reporting standards, relevance of material Unknown	false or inaccurate content, ethical and legal issues W: Basic information remains on a tombstone page P: Unknown R: Unknown W: Unknown	Unknown	S: Unknown P: Unknown
medRxiv [33] — <i>Verified</i>	M: Yes S: Yes	A: In formation (January 2020) R: Yes	None as yet DAS: Required	Submission is checked for: competing interests declared, plagiarism, misconduct or integrity checks, minimal reporting standards, ethical and legal compliance, clinical trial registration, funder acknowledgment, submission is within scope and no danger to human health	<ul> <li>W: Onknown</li> <li>P: Permanent with withdrawal options and (rare) removal in extraneous circumstances</li> <li>R: Removal: Legal or biohazard reasons; Withdrawal: Plagiarism, false or inaccurate content, ethical and legal issues, co-author(s) did not consent to posting</li> <li>W: Withdrawn articles remain visible; For removed articles, basic information remains on a tombstone page</li> </ul>	Unknown	S: Supported by CSHL P: Preprints permanently archived in Portico
MitoFit Preprint Archives [34]	M: Yes S: Yes	A: Yes R: Yes	Yes, endorses, stated on website DAS: Encouraged	Scientific Advisory Board members ( <i>including active</i> <i>researchers</i> ) check for: competing interests declared, misconduct or integrity checks, authors are genuine, funder acknowledgment	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Plagiarism, ethical and legal issues, no appropriate declaration of conflicts of interest</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Community moderation via commenting	<ul> <li>S: Other business model not based on direct preprinting charges or associated journal (maintained by MitoFit DOI Data Center)</li> <li>P: Backup kept in secondary server</li> </ul>
Neurolmage: Clinical - First Look [35] — Verified	M: Yes S: Yes	A: Unknown R: Unknown	Unkno wn	No information	P: Unknown R: Unknown W: Unknown	Unknown	S: Unknown P: Unknown
PeerJ Preprints [36] – Verified	M: Yes S: Yes	A: Yes R: Yes	None DAS: Encouraged	PeerJ staff check for: competing interests declared, misconduct or integrity checks, ethical and legal compliance, funder acknowledgment	P: Permanent with some removal options in extraneous circumstances R: False or inaccurate content, additional reasons not stated	Email administrator	S: Supported by associated journal publishing (PeerJ) P: Preprints permanently archived in Portico and EuropePMC

						1		
						W: Basic information remains		
						on a tombstone page		
Preprints with The Lancet [37] – <i>Verified</i>	M: Yes S: Yes	R:	Un kno wn Un kno wn	Unknown	SSRN staff and a Lancet editor check for: competing interests declared, ethical and legal compliance, funder acknowledgment, clinical trial registration, relevance of material	P: Unknown R: Unknown W: Unknown	Unknown	S: Unknown P: Unknown
Preprints.org [38]	M: Yes S: Yes		Yes Yes	None as yet DAS: Encouraged	Preprints staff and advisory board members ( <i>including active</i> <i>researchers</i> ) check for: plagiarism (as needed), authors are genuine, ethical and legal compliance, basic scientific content, data/code availability	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Contravention of copyright, plagiarism, false or inaccurate content, ethical and legal issues, misconduct</li> <li>W: Basic information remains on a tombstone page if DOI has been issued, otherwise no web presence retained</li> </ul>	Email administrator	S: Supported by associated journal publishing (MDPI) P: Currently no long-term preservation strategy
Research Square [39] — Verified	M: Yes S: Yes		Not yet Not applicable	None as yet DAS: Not requested or required	In-house editorial team checks for: competing interests declared, plagiarism (only for submissions to BMC journals), ethical and legal compliance, funder acknowledgment, clinical trial registration, relevance of material, basic scientific content, language, potential for harm	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Contravention of copyright, ethical and legal issues</li> <li>W: Basic information remains on a tombstone page</li> </ul>	Email administrator	S: Other business model not based on direct preprinting charges or associated journal publishing (publishing services by Research Square) P: Preprints permanently archived in Portico
SciELO Preprints [40] — Verified	M: No S: No		Yes Yes	Yes, endorses, without stating on website DAS: Not requested or required	SciELO editors ( <i>including active</i> <i>researchers</i> ) will check for: competing interests declared, plagiarism, misconduct and integrity checks, ethical and legal compliance, authors are genuine, all authors provide permission to post, clinical trial registration, funder acknowledgment, data/code availability	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Contravention of copyright, plagiarism, false or inaccurate content, ethical and legal issues</li> <li>W: No web presence retained</li> </ul>	Email administrator	S: Internal financial support (SciELO program) P: Plan to use LOCKSS- compatible preservation strategy [once full operation launch ed]
SSRN [41] – Verified	M: No S: No		Yes Yes	Unknown	SSRN staff check for relevance of material	<ul> <li>P: Content maybe removed</li> <li>by author (or</li> <li>operator/owner)</li> <li>R: Contravention of copyright,</li> <li>plagiarism, false or</li> <li>inaccurate content, ethical</li> </ul>	Email administrator	S: Unknown P: Unknown

					and legal issues W: Unknown		
Surgery Open Science - First Look [42] – Verified	M:No S:No	A: Unknown R: Unknown	Unkno wn	Unknown	P: Unknown R: Unknown W: Unknown	Unknown	S: Unknown P: Unknown
Th erapoid [43] — Verified	M: No S: No	A: Yes R: Yes	None as yet DAS: Not requested or required	There is no pre-moderation of submissions; any checks are performed by siteusers ( <i>including active researchers</i> ) after posting	<ul> <li>P: Permanent with some removal options in extraneous circumstances</li> <li>R: Contravention of copyright, plagiarism, false or inaccurate content, ethical and legal issues</li> <li>W: No web presence retained</li> </ul>	Not yet in place: expect community moderation via forum	S: Unknown P: All content is preserved — no further information reported by server
ViXra [44]	M: Yes S: Yes	A: Yes R: Yes	Mostly compliant, without endorsing, without stating on website DAS: Not requested or required	Administrators do not perform formal screening checks but reject submissions in response to issues relating to: plagiarism, misconduct and integrity checks, ethical and legal compliance, format (PDF)	<ul> <li>P: Content maybe removed by author (or operator/owner)</li> <li>R: Contravention of copyright, plagiarism, false or inaccurate content, ethical and legal issues</li> <li>W: No web presence retained</li> </ul>	Email administrator	S: Sustainable low-cost web hosting service secured, previously run on donations P: Long-term archiving provided by mirror site and cloud storage of database

Table 4: Usage metrics & features	Table 4:	Usage	metrics	&	features
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Preprint Server			Usage metri	cs on abstract p	oage				Other features	
OSF Communities	Number of views	Number of downloads	Number of citations (e.g. Google Scholar)	Number of comments	Altmetrics	Social media interactions (from platform not Altmetric)	Commenting (including annotation plug-ins)	Onsite search	Alerts (e.g. RSS (Really Simple Syndication) feeds, email alerts)	Other
AfricArxiv [1] – Verified		$\otimes$					$\otimes$	$\otimes$		
AgriXiv [2] – Verified		⊗					8	8		
Arabixiv [3] – Verified		8					8	8		
EcoEvoRxiv [4] – <i>Verified</i>		8						8		
FocUS Archive [5] – Verified		8						8		
Frenxiv [6] ] – Verified		8					8	8		
NA-Rxiv [7] ] – Verified		8					8	8		
MarXiv [8] — note: no longer on the OSF — Verified		⊗					8	8		
MetaArXiv [9] – Verified		8					8	8		
MindRxiv [10] — Verified		$\otimes$					$\otimes$	8		
NutriXiv [11] – Verified		$\otimes$					$\otimes$	8		
OSF Preprints [12] – Verified		$\otimes$					8	8		
PaleorXiv [13] - Verified		$\otimes$					$\otimes$	8		
PsyArXiv [14] – Verified		8					8	8		
SocArxiv [15] – Verified		8					8	8	8	
SportRxiv [16] – Verified		8					8	8		
Thesis Commons [17] – Verified		8					8	8		
Open Research Central infrastructure										
AAS Open Research [18] – Verified	8	⊗	⊗		8		8	8		'Link to Google Scholar citations, Blog and gateways'

		T		1	1	1	1	1 1		
AMRC Open Research [19] – Verified	$\otimes$	$\otimes$	$\otimes$		$\otimes$		$\otimes$	$\otimes$		'Link to Google
		0	-				0	0		Scholar citations'
Gates Open Research [20] — <i>Verified</i>	$\otimes$	$\otimes$	$\otimes$		$\otimes$		$\otimes$	$\otimes$		'Link to Google Scholar citations,
HRB Open Research [21] – Verified	$\otimes$	$\otimes$	$\otimes$		$\otimes$		8	$\otimes$		Gateways' 'Link to Google
HKB Open Kesearch [21] – Verijied	$\otimes$	$\otimes$	$\otimes$		$\otimes$		$\otimes$	$\otimes$		Scholar citations, Blog
MNI Open Research [22] – Verified	$\otimes$	<b>A</b>			$\otimes$		$\otimes$	$\otimes$		'Link to Google
with Open Research [22] – <i>Verified</i>	$\otimes$	$\otimes$	$\otimes$		∅		⊗	$\otimes$		Scholar citations'
Wellcome Open Research [23] —	$\otimes$	$\otimes$	$\otimes$		$\otimes$		$\otimes$	$\otimes$		Link to Google
Verified	$\otimes$	$\otimes$	0		0		⊘	$\otimes$		Scholar citations, Blog
venneu										and gateways'
Othe rs										and Baterrays
arXiv [24] – Verified								$\otimes$	$\otimes$	
Authorea [25] — <i>Verifie</i> d	$\otimes$	$\otimes$				$\otimes$	$\otimes$			'Forking (copying an
										article that may or
										may not be authored
										by you), follow the
										article'
bioRxiv [26] – <i>Verified</i>	$\otimes$	$\otimes$		$\otimes$	$\otimes$	$\otimes$	$\otimes$	$\otimes$	$\otimes$	'Channels, PREreview
										reviews linked,
										PreLights blog linked,
										Pingbacks to blog, PCI,
										biOverlay and Twitter
										thread embedded'
Cell Press Sneak Peek [27] – <i>Verified</i>	$\otimes$	$\otimes$								Unknown 'other'
ChemRxiv [28]	$\otimes$	$\otimes$	$\otimes$		$\otimes$					Unknown 'other'
	Ŭ	Ŭ	Ŭ		Ŭ					
ChinaXiv [29]	$\otimes$	$\otimes$					$\otimes$		$\otimes$	'News, Author
										bl a ckli st'
ESSOAr [30] – Verified		$\otimes$			$\otimes$			$\otimes$	$\otimes$	'Plaudit, QR code,
										bookmarks, reference
										management tools'
F1000 Research [31] – Verified	$\otimes$	$\otimes$	$\otimes$		$\otimes$		$\otimes$	$\otimes$	$\otimes$	'Link to Google
										Scholar citations'
JMIR Preprints [32]						$\otimes$	$\otimes$	$\otimes$		
medRxiv [33] – Verified	$\otimes$	⊗		⊗	$\otimes$	⊗	⊗	$\otimes$	$\otimes$	
MitoFit Preprint Archives [34]										None
	-	-								
Neurolmage: Clinical - First Look [35] –	$\otimes$	$\otimes$								Unknown 'other'
Verified		1								

PeerJ Preprints [36] – Verified	$\otimes$	$\otimes$	8		8	8	$\otimes$	$\otimes$	
Preprints with The Lancet [37] — Verified	8	8							Unknown 'other'
Preprints.org [38]	8	8	8	8		8	8	$\otimes$	Embedded bookman tabs for reading and reference management tools
Research Square [39] — Verified	$\otimes$	$\otimes$	8	8		8	8		Email alerts with comments
SciELO Preprints [40] – <i>Verified</i>	8	8		8	⊗ (when regular operation launches in 2020)	⊗ (when regular operation launches in 2020)	⊗ (when regular operation launches in 2020)		
SSRN [41] – Verified	$\otimes$	8							Plum metrics
Surgery Open Science - <i>First Loo</i> k [42] — Verified	8	8					8	⊗ (SSRN subscription)	
Therapoid [43] – <i>Verified</i>		1 1	'metrics coming soo	n'	1	8	$\otimes$	$\otimes$	'Blockchain technology being implemented'
ViXra [44]	$\otimes$					$\otimes$		$\otimes$	

Table 5. Metadata contents	Table	5:	Metadata	contents
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Preprint Server	Title	DOI or identifier	Publication or deposition date	Author name(s)	Author affiliation(s)	Funder acknowledge- ment(s)	Subject category	License	Abstract	Full-text content	References	Relational link to journal publication version (where it exists)	Other
<b>OSF</b> Communities													
AfricArxiv [1] – Verified	$\otimes$	$\otimes$	8	$\otimes$	$\otimes$		$\otimes$	$\otimes$	$\otimes$			8	
AgriXiv [2] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
Arabixiv [3] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			⊗	
EcoEvoRxiv [4] – Verified	$\otimes$	$\otimes$	$\otimes$	⊗			$\otimes$	⊗	$\otimes$				
FocUS Archive [5] — Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
Frenxiv [6] – <i>Verified</i>	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
INA-Rxiv [7] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
MarXiv [8] – <i>Verified</i>	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
MetaArXiv [9]— <i>Verified</i>	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
MindRxiv [10] — Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
NutriXiv [11] – <i>Verified</i>	$\otimes$	$\otimes$	$\otimes$	$\otimes$					$\otimes$				
OSF Preprints [12] — Verified	$\otimes$	$\otimes$	$\otimes$	⊗			$\otimes$	⊗	$\otimes$			$\otimes$	
PaleorXiv [13] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
PsyArXiv [14] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	⊗	$\otimes$			$\otimes$	
SocArxiv [15] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			$\otimes$	
SportRxiv [16] — Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			8	
Thesis Commons [17] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			$\otimes$	$\otimes$	$\otimes$			8	
Ope n Research Central infrastructure													

AAS Open Research [18] – Verified AMRC Open Research [19] – Verified Gates Open Research [20] – Verified HRB Open Research [21] – Verified	8	8	8	8	8	8	8		8	8			Competing interests, Referee (name, affiliation, report), Commenter (name, affiliation, comment text), Study type, Article type
MNI Open Research [22] – Verified Wellcome Open Research [23] – Verified													
Others													
arXiv [24] – Verified	$\otimes$	$\otimes$	$\otimes$	⊗					8			⊗	
Authorea [25] — Verified	$\otimes$	$\otimes$	8	⊗	$\otimes$		8		8	⊗			Keywords
bioRxiv [26] — Verified	$\otimes$	$\otimes$	8	⊗	$\otimes$		8	8	8	⊗	8	8	
Cell Press Sneak Peek <sup>b</sup> [27] — Verified													Noinformation
ChemRxiv [28]	$\otimes$	8	$\otimes$	8	⊗	⊗	8	8	$\otimes$	8	$\otimes$		
ChinaXiv [29]	$\otimes$	$\otimes$	$\otimes$	8			$\otimes$		$\otimes$				
ESSOAr [30] – Verified	$\otimes$	$\otimes$	$\otimes$	⊗	$\otimes$	8	8	$\otimes$	8			8	ORCID, keywords
F 1000 Research [31] — Verified	8	⊗	8	8	8	8	8		8	8			Competing interests, Article is a Faculty Review (yes/no), Referee (name, affiliation, report), Commenter (name, affiliation,

													comment text), Study type, Article type
JMIR Preprints [32]	$\otimes$	$\otimes$	$\otimes$	8				⊗	⊗				Journal currently submitted to
medRxiv [33] – V <i>erified</i>	$\otimes$	$\otimes$	$\otimes$	8	8	⊗	8	⊗	⊗		8	$\otimes$	
MitoFit Preprint Archives [34]	$\otimes$	$\otimes$	$\otimes$	8	$\otimes$	$\otimes$	8	⊗	⊗				ORCID if provided, Language, Version
Neurolmage: Clinical - First Look <sup>b</sup> [35] – Verified													Noinformation
PeerJ Preprints [36] – Verified	$\otimes$	$\otimes$	$\otimes$	⊗	8	8	8	$\otimes$	⊗			$\otimes$	
Preprints with The Lancet <sup>b</sup> [37] — <i>Verified</i>													No information
Preprints.org [38]	$\otimes$	$\otimes$	$\otimes$	8				$\otimes$	8			$\otimes$	
R esearch Square [39] — Verified	$\otimes$	$\otimes$	$\otimes$	⊗	$\otimes$	$\otimes$	8	⊗	⊗	⊗	⊗	$\otimes$	
SciELO Preprints [40] — <i>Verified</i>	$\otimes$		$\otimes$	8	$\otimes$		8	⊗	⊗				Language
SSRN [41] – Verified	$\otimes$	$\otimes$	$\otimes$	8					⊗		⊗		
Surgery Open Science – First Look <sup>b</sup> [42] – Verified													Noinformation
Therapoid [43] – Verified	$\otimes$	$\otimes$	$\otimes$	$\otimes$			8		$\otimes$				"Anything else the author provides"
ViXra [44]	$\otimes$	$\otimes$	$\otimes$	$\otimes$					$\otimes$				

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## **Preprint platform websites**

- 1. AfricaArxiv <u>https://info.africarxiv.org/</u>
- 2. AgriXiv <u>https://agrixiv.org</u>
- 3. Arabixiv <u>https://arabixiv.org/</u>
- 4. EcoEvoRxiv <u>https://ecoevorxiv.org</u>
- 5. FocUS Archive <u>https://osf.io/preprints/focusarchive/</u>
- 6. Frenxiv <u>https://frenxiv.org</u>
- 7. INA-Rxiv <u>https://osf.io/preprints/inarxiv</u>
- 8. MarXiv <u>https://marxiv.org</u>
- 9. MetaArXiv <u>https://osf.io/preprints/metaarxiv/</u>
- 10. MindRxiv <u>https://mindrxiv.org</u>
- $11. \quad NutriXiv \ \underline{https://osf.io/preprints/nutrixiv}$
- 12. OSF Preprints <u>https://osf.io/preprints/</u>
- 13. PaleorXiv <u>https://paleorxiv.org</u>
- 14. PsyArXiv <u>https://psyarxiv.com</u>
- 15. SocArXiv <u>https://osf.io/preprints/socarxiv</u>
- $16. \quad SportRxiv \ \underline{https://osf.io/preprints/sportrxiv}$
- 17. Thesis Commons <u>https://thesiscommons.org</u>
- 18. AAS Open Research <u>https://aasopenresearch.org/</u>
- 19. AMRCOpen Research <u>https://amrcopenresearch.org/</u>
- 20. Gates Open Research <u>https://gatesopenresearch.org/</u>
- 21. HRB Open Research <u>https://hrbopenresearch.org/</u>
- 22. MNI Open Research <u>https://mniopenresearch.org/</u>
- 23. Wellcome Open Research <u>https://wellcomeopenresearch.org/</u>
- 24. arXiv <u>https://arxiv.org</u>
- 25. Authorea <u>https://www.authorea.com</u>
- 26. bioRxiv <u>https://www.biorxiv.org/</u>
- 27. Cell Press Sneak Peek https://papers.ssrn.com/sol3/Jeljour\_results.cfm?form\_name=journalBrowse&journal\_id=3184889
- 28. ChemRxiv <u>https://chemrxiv.org</u>
- 29. ChinaXiv <u>http://chinaxiv.org</u>
- 30. ESSOAr https://www.essoar.org
- 31. F1000 Research <u>https://www.essoar.org</u>
- 32. JMIR Preprints <u>https://preprints.jmir.org/</u>
- 33. medRxiv <u>https://www.medrxiv.org</u>
- 34. MitoFit Preprint Archives <u>https://www.mitofit.org/index.php/MitoFit\_Preprint\_Archives</u>
- 35. NeuroImage: Clinical First Look https://papers.ssrn.com/sol3/JELJOUR\_Results.cfm?form\_name=journalBrowse&journal\_id=3178959
- 36. PeerJ Preprints <u>https://peerj.com/preprints/</u>
- 37. Preprints with The Lancet https://papers.ssrn.com/sol3/JELJOUR\_Results.cfm?form\_name=journalBrowse&journal\_id=3184962
- 38 Preprints org <u>https://www.preprints.org/</u>
- 39. Research Square <u>https://www.researchsquare.com</u>
- 40. SciELO Preprints <u>https://preprints.scielo.org/index.php/scielo</u>
- 41. SSRN <u>https://www.ssrn.com</u>
- 42. Surgery Open Science First Look
  - https://papers.ssrn.com/sol3/Jeljour\_results.cfm?form\_name=journalBrowse&journal\_id=3303309
- 43. Therapoid <u>https://therapoid.net</u>
- 44. ViXra <u>http://vixra.org</u>