Deletion of the mitochondrial matrix protein cyclophilin-D prevents parvalbumin interneuron dysfunction and cognitive deficits in a mouse model of NMDA hypofunction

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Abbreviated title: CypD deletion reduces oxidative stress in PVI

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1 Abstract

- 2 Redox dysregulation and oxidative stress are final common pathways in the pathophysiology of
- a variety of psychiatric disorders, including schizophrenia. Oxidative stress causes dysfunction
- 4 of GABAergic parvalbumin-positive interneurons (PVI), which are crucial for the coordination of
- 5 neuronal synchrony during sensory- and cognitive-processing. Mitochondria are the main
- 6 source of reactive oxygen species (ROS) in neurons and they control synaptic activity through
- 7 their roles in energy production and intracellular calcium homeostasis. We have previously
- 8 shown that in male mice transient blockade of NMDA receptors during development
- 9 (subcutaneous injections of 30 mg/kg ketamine (KET) on postnatal days 7, 9, and 11) results in
- 10 long-lasting alterations in synaptic transmission and reduced parvalbumin expression in the
- 11 adult prefrontal cortex (PFC), contributing to a behavioral phenotype that mimics multiple
- 12 symptoms associated with schizophrenia. These changes correlate with oxidative stress and
- 13 impaired mitochondrial function in both PVI and pyramidal cells. Here, we show that genetic
- 14 deletion (*Ppif^{/-}*) of the mitochondrial matrix protein cyclophilin D (CypD) prevents perinatal KET-
- 15 induced increases in ROS and the resulting deficits in PVI function, and changes in excitatory
- 16 and inhibitory synaptic transmission in the PFC. Deletion of CypD also prevented KET-induced
- behavioral deficits in cognitive flexibility, social interaction, and novel object recognition. Taken
- together, these data highlight how mitochondrial activity may play an integral role in modulating
- 19 PVI-mediated cognitive processes.

20 Significance Statement

- 21 Mitochondria are important modulators of oxidative stress and cell function, yet how
- 22 mitochondrial dysfunction affects cell activity and synaptic transmission in psychiatric illnesses
- 23 is not well understood. NMDA receptor blockade with ketamine during development causes
- 24 oxidative stress, dysfunction of parvalbumin-positive interneurons (PVI), and long-lasting
- 25 physiological and behavioral changes. Here we show that mice deficient for the mitochondrial
- 26 matrix protein cyclophilin D show robust protection from PVI dysfunction following perinatal
- 27 NMDAR-blockade. Mitochondria serve as an essential node for a number of stress-induced
- signaling pathways and our experiments suggest that failure of mitochondrial redox regulation
- 29 can contribute to PVI dysfunction.

30 Introduction

Schizophrenia is a neurodevelopmental disorder in which genetic risk factors and early life 31 stressors converge (Harrison and Owen, 2003). Genes involved in glutamatergic synaptic 32 33 transmission figure prominently among both the rare (Timms et al., 2013; Pocklington et al., 34 2015; Sekar et al., 2016) and common (Pers et al., 2016) gene variants that contribute to the 35 heritable risk for schizophrenia. In the frontal cortex, these genes are highly expressed early in development (Gulsuner et al., 2013; Birnbaum et al., 2015), Dysfunction of glutamatergic 36 37 NMDARs during neurodevelopment can disrupt maturation of interneurons (Zhang and Sun, 2011) and cause abnormalities in the GABAergic and dopaminergic systems in schizophrenia 38 39 (Olney et al., 1999; Krystal et al., 2002; Catts et al., 2013). Aberrant NMDAR activity can 40 therefore shift the cortical excitation-inhibition (E/I) balance (Insel, 2010; Lewis et al., 2012), leading to increased basal neural activity (Jadi et al., 2016), excessive glutamatergic release 41 42 (Plitman et al., 2014), and oxidative stress (Hardingham and Do, 2016; Steullet et al., 2016). In 43 support of this, NMDAR antagonists such as phencyclidine and ketamine (KET) induce a 44 schizophrenia-like syndrome in healthy subjects, and exacerbate symptoms in schizophrenic patients (Malhotra et al., 1997; Krystal et al., 2002; Anticevic et al., 2012). Pharmacological 45 blockade or genetic deletion of NMDARs in rodents mimics many of the behavioral symptoms 46 47 seen in patients with schizophrenia, and it also reduces markers of GABAergic interneurons, including cells that express the calcium-binding protein parvalbumin (Abekawa et al., 2007; 48 49 Braun et al., 2007; Belforte et al., 2010). Reductions in parvalbumin expression are a core 50 finding of post-mortem studies in schizophrenia patients (Olney et al., 1999; Lewis et al., 2005; 51 Akbarian and Huang, 2006) that is replicated by virtually all animal models of the disease (Jiang 52 et al., 2013; Steullet et al., 2017). Fast-spiking PVI have a unique metabolic profile that is 53 reflected in a large number of mitochondria and enriched cytochrome c oxidase (Kann and 54 Kovacs, 2007), which seems to make them particularly susceptible to external stressors during development (Hardingham and Do, 2016; Steullet et al., 2017). 55 56 Mitochondria are crucial regulators of oxidative and nitrosative stress (Chen et al., 2003; Li et 57 al., 2004), and transcriptomic, proteomic, and metabolomic studies in post-mortem samples 58 from subjects with schizophrenia indicate alterations in the expression of several proteins associated with mitochondrial function (Prabakaran et al., 2004; Altar et al., 2005; Iwamoto et 59 60 al., 2005; Hielm et al., 2015). Altered levels of ATP and mitochondrial dysfunction in the frontal lobe are correlated with negative symptoms, as well as cognitive and memory deficits in 61 62 schizophrenia (Ben-Shachar and Laifenfeld, 2004; Rajasekaran et al., 2015). Oxidative and other cellular stresses promote translocation of the mitochondrial matrix protein cyclophilin D 63 64 (CypD) to the inner membrane. This translocation triggers the opening of the mitochondrial permeability transition pore (mPTP) (Connern and Halestrap, 1994; Baines et al., 2005), which 65 is important in glutamate excitotoxicity that results from overactivation of glutamate receptors 66 and subsequent excessive calcium entry into the cell (Schinder et al., 1996; White and 67 Reynolds, 1996). Prolonged CypD-mediated opening of mPTP causes collapsed mitochondrial 68 membrane potential, elevated mitochondrial ROS generation, and lowered ATP production, 69 70 leading to metabolic changes and ultimately cell death (Basso et al., 2005; Halestrap, 2010). 71 Because CypD is a necessary component of the mPTP, reducing CypD translocation in order to

52 block mPTP formation can preserve mitochondrial function (Du and Yan, 2010).

- Here, we investigated whether genetic deletion of CypD (*Ppif^{-/-}*) can prevent changes in PVI
- function, PFC physiology, and behavior that develop in a well-characterized rodent model of
- 75 NMDA hypofunction. Perinatal treatment with ketamine induced oxidative stress and reduced
- PV expression in the PFC of wildtype- but not of *Ppif^{-/-}* mice. CypD-deletion similarly protected
- against changes in glutamatergic transmission at PVI and deficits in cognitive flexibility, social
- interaction, and novel object recognition. These data indicate that mitochondrial redox regulation
- is an important contributor to PVI dysfunction and the resulting E/I imbalance that results from
- 80 NMDAR-hypofunction.
- 81

82 Materials and Methods

- 83 <u>Transgenic *Ppif^{/-}* mice:</u> Cyclophilin-D knockout mice (B6;129-*Ppif^{m1Jmol}/*J; The Jackson
- Laboratory; RRID:IMSR_JAX:009071), were crossed with G42 mice (CB6-Tg[Gad1-
- 85 EGFP]G42Zjh/J; The Jackson Laboratory; RRID:IMSR_JAX:007677) which express GFP in PVI
- 86 neurons, in order to identify PV+ neurons in slice electrophysiology experiments. First- and
- 87 second-generation breeders were selected and their WT (CB6-TgWT; Gad1-EGFP) and *Ppif*/-
- 88 (CB6-Tg*Ppif^{-/-}*; Gad1-EGFP) offspring were used for experiments. All procedures were
- 89 approved by the Institutional Animal Care and Use Committee of The University of Texas at
- 90 Dallas.
- 91 Perinatal ketamine treatment: On postnatal day 7, 9, and 11 mice received subcutaneous
- 92 injections of either saline or a sub-anesthetic dose of the NMDA-antagonist ketamine (30mg/kg;
- 93 Ketathesia HCL, Henry Schein). Both *Ppif^{/-}* and WT mice received either perinatal ketamine
- 94 (KET) or saline injections, creating four groups: Wild type mice that received saline injections
- 95 (WT-SAL); Wild type mice that received ketamine injections (WT-KET); *Ppif^{/-}* mice that received
- saline injections (*Ppif⁻⁻*-SAL); and *Ppif⁻⁻* mice that received ketamine treatment (*Ppif⁻⁻*-KET). All
- 97 experiments were performed on adult male *Ppif^{-/-}* and WT mice (60-120 days old). Animals that
- 98 participated in behavioral experiments were handled for 5 minutes a day in the vivarium for 2
- 99 weeks prior to the test and then also in the room in which behavioral testing took place for 3
- days prior to the test. On the day of testing, animals were transferred to the behavioral room at
- 101 least 30 min before testing began. Behavioral testing and analysis was performed by
- 102 experimenters blind to the experimental condition of the subjects.
- 103 Immunohistochemistry: Animals were perfused transcardially with saline for 2 minutes, followed 104 by 4% paraformaldehyde in 0.12 M phosphate-buffered saline (PBS), at 4°C, pH 7.4; Fisher Scientific) for 10 minutes using a peristaltic pump (5.5 ml/min; PeriStar Pro, WPI). Brains were 105 post-fixated in paraformaldehyde with 30% sucrose for 1 h and then transferred to 30% sucrose 106 in PBS for 18 h at 4°C. Coronal slices (40 um) were cut on a freezing microtome. Free-floating 107 sections were incubated in rabbit anti-parvalbumin (1:2000 working dilution; Swant Cat# PV 25, 108 109 RRID:AB 10000344) in PBS and 0.3% Triton X (Sigma-Aldrich) for 36 h at 4°C. Sections were washed three times for 10 min each in PBS before they were incubated in secondary 594 goat 110 anti-rabbit (1:1000 working dilution; Jackson ImmunoResearch Labs Cat# 111-585-144. 111 112 RRID:AB 2307325) in PBS and 0.3% Triton X. Sections were washed, mounted, and cover 113 slipped using Prolong Gold Antifade with DAPI (Thermo Fisher Scientific). To quantify PVI 114 immunofluorescence a minimum of four sections from each animal containing the prelimbic and 115 infralimbic regions of the PFC were imaged on a confocal microscope (FluoView 1000, Olympus) at 20x magnification. The number of PV+ cells were hand counted in ImageJ 116 (National Institutes of Health), and DAPI-labeled cells were counted using the thresholding 117 function in ImageJ to obtain the percentage of total PV+ cells among all DAPI-labeled cells. In 118 119 order to quantify 4-Hydroxynonenal (4-HNE) levels in PVI free-floating sections were incubated 120 in rabbit anti-parvalbumin (1:2000 working dilution; Swant Cat# PV 25, RRID:AB_10000344) 121 and mouse anti-4HNE (1:1000 working dilution; Abcam Cat# ab48506, RRID:AB 867452) in PBS and 0.3% Triton X (Sigma-Aldrich) for 36 h at 4°C. Sections were washed three times for 122 10 min each in PBS before they were incubated in secondary 488 goat anti-rabbit (1:1000 123
- 124 working dilution; Jackson ImmunoResearch Labs Cat# 111-095-144, RRID:AB_2337978) and

125 647 goat anti-mouse (1:500 working dilution; Cell Signaling Technology Cat# 4410,

- 126 RRID:AB_1904023) in PBS and 0.3% Triton X. To quantify 4HNE in PVI cells PVI
- 127 immunofluorescence confocal images (20x magnification) from three sections of the prelimbic
- and infralimbic cortex were taken for each animal. ROIs were drawn around all PV+ cells in
- cellSens (Olympus cellSens Software, RRID:SCR_016238) and the mean gray intensity of the
- 4HNE signal for each cell was selected and averaged across each image and then across all
- 131 three slices for every animal.
- 132 <u>GSH:GSSG Assay:</u> In order to measure the ratio between reduced glutathione (GSH) and
- 133 oxidized glutathione (GSSG), GSH, GSSG, and total glutathione were measured following the
- 134 manufacturer instructions (glutathione detection kit, catalog #ADI-900-160, Enzo Life Sciences).
- 135 In brief, animals were killed and the medial PFC containing the infralimbic and prelimbic cortex
- 136 was dissected and homogenized in ice-cold 5% (w/v) meta-phosphoric acid (20 ml/g tissue),
- followed by centrifugation at 12,000 x g for 10 min at 4C. The resultant supernatant was
- collected for glutathione detection. For the measurement of GSSG and total glutathione, 2 M 4-
- vinylpyridine was added to the samples at a dilution of 1:50 (v/v). The samples were then
- 140 incubated for 1 h at room temperature to derivatize reduced glutathione. Afterward, the samples
- 141 were diluted in the reaction mix buffer. The reaction was observed by immediately and
- 142 continuously recording changes at an optical density of 405 nm by using a microplate reader
- 143 (Biotek) for a total of 15 min at 1 min intervals. The concentrations of total, oxidized, and
- 144 reduced glutathione were normalized to the original wet weight of the tissue.
- Electrophysiology: Electrophysiological experiments used GFP+ hemizygous mice. Mice were 145 anesthetized with urethane (3 g/kg body weight; Fisher Scientific) and transcardially perfused 146 for one minute with gravity-fed ice-cold oxygenated (95% O2. 5% CO2) cutting ACSF, 147 consisting of (in mM): 110 choline (Sigma-Aldrich), 25 NaHCO3 (Fisher Scientific), 1.25 148 149 NaH2PO4 (Fisher Scientific), 2.5 KCI (Sigma-Aldrich), 7 MgCl2 (Sigma-Aldrich), 0.5 CaCl2 150 (Sigma-Aldrich), 10 dextrose (Fisher Scientific), 1.3 L-ascorbic acid (Fisher Scientific), and 2.4 151 Na+- pyruvate (Sigma-Aldrich). Immediately after, brains were extracted and coronal sections (350 um) of the frontal cortex were cut on a vibratome (VT1000S, Leica) in cutting ACSF. Slices 152 153 were transferred into a holding chamber containing warmed (35C) recording ACSF and cooled 154 to room temperature over a one-hour period. The recording ACSF consisted of (in mM): 126 155 NaCl (Fisher Scientific), 25 NaHCO3, 1.25 NaH2PO4, 2.5 KCl, 2 MgCl2, 2 CaCl2, 10 dextrose, 2.4 Na+-pyruvate, and 1.3 L-ascorbic acid. For data collection, slices were transferred to a 156 157 recording chamber affixed to an Olympus BX61WI microscope (Olympus) with continuous 158 perfusion of oxygenated recording ACSF at room temperature. Whole-cell voltage-clamp recordings were obtained from pyramidal cells and PVIs in the prelimbic and infralimbic cortex 159 160 using an Axon Multiclamp 700B amplifier (Molecular Devices). Data were acquired and analyzed using AxoGraph X (AxoGraph Scientific). Recording electrodes (WPI; 3–5 MΩ open 161 tip resistance for pyramidal cells, $6-8 M\Omega$ for interneurons) were filled with an internal solution 162 consisting of (in mM): 130 CsCl (Sigma-Aldrich), 20 tetraethylammonium chloride (Sigma-163 Aldrich), 10 HEPES (Sigma-Aldrich), 2 MgCl2, 0.5 EGTA (Sigma-Aldrich), 4 Mg2+-ATP (Sigma-164 Aldrich), 0.3 Lithium-GTP (Sigma-Aldrich), 14 phosphocreatine (Sigma-Aldrich), and 2 QX-314 165 166 bromide (Tocris Bioscience). Theta-glass pipettes (Warner Instruments) connected to a stimulus 167 isolator (WPI) were used for focal stimulation of synaptic potentials. Access resistance was

168 monitored throughout the recording, and a <20% change was deemed acceptable.

169 Spontaneous EPSCs were isolated by blocking chloride channels with the addition of picrotoxin

- 170 (75uM; Sigma-Aldrich) into the recording ACSF. Spontaneous IPSCs were isolated by blocking
- 171 AMPA receptor-mediated events with CNQX (6-cyano-7-nitroquinoxaline-2,3-dione; 20uM;
- 172 Sigma-Aldrich). Miniature events were isolated by blocking sodium channels with the addition of
- 173 tetrodotoxin (1uM; Alomone Labs). The frequency and amplitude of events were measured from
- 174 200 s of continuous recording using MiniAnalysis (Synaptosoft) with a threshold set at two times
- the RMS baseline noise. The ratio of currents through NMDA or AMPA receptors, respectively,
- 176 was obtained by clamping cells at +40mv holding potential and applying local electrical
- 177 stimulation. A compound evoked EPSC (eEPSC) was first recorded, then the AMPA component
- 178 was isolated by washing CPP ((+/-)-3-(2-carboxypiperazin-4-yl)propyl-1-phosphonic acid; 10
- uM; Sigma-Aldrich) into the bath. A minimum of 15 sweeps each were average for the
- compound and AMPA-only eEPSCs. The NMDA component was then obtained by digital
- 181 subtraction of the AMPA component from the compound trace. The peak amplitude of the
- 182 NMDA and AMPA traces were used to calculate the NMDAR/AMPAR ratio.

Rule-Shifting: Procedures for our rule-shifting task followed those previously described (Phensy 183 184 et al., 2017b). Mice were food restricted to 85% of their free-feeding weight over two weeks and 185 handled for at least 5 minutes a day. Testing took place in white wooden plus maze (each arm is 10x34x15 cm, with a 10x10 cm center area) under low ambient illumination. The arms were 186 labeled East, West, South, and North for reference. On days 4-6, the maze was converted into a 187 188 T-maze by blocking off one of the arms with a divider, and additionally a visual cue (vertical 189 black and white stripes on a 13x10 cm plastic sheet) was placed alternately near the entrance of one of the two choice arms in a pseudorandom manner (see below). During all days, reward 190 191 pellets (Cheerio bits) were placed around the outside of the maze in order to prevent animals 192 from using olfactory cues to infer the location of the reward. Mice were habituated to the maze over three days. On the first day of habituation, 4 reward pellets (1/8th Cheerios bits) were 193 194 placed in each of the arms of the plus maze. Animals were placed into the center of the maze 195 and were allowed to freely explore the maze for 15 minutes. If a mouse consumed all 16 pellets 196 before the end of the habituation period, it was briefly placed in a holding cage while the maze 197 was rebaited, and then the mouse was placed back into the maze until the end of the 15-minute 198 period. On the second day of habituation, arms were baited with two pellets each, and on the 199 third day of habituation only one food pellet was placed at the end of each arm. To reach 200 habituation criterion, animals were required to consume all 4 food pellets at least 4 times within 201 the 15-minute period. All animals in this study reached this criterion on the third habituation day. 202 On the following day (Day 4), the plus maze was converted into a T-maze by blocking off one of the arms and the animals' turn bias was determined. Therefore, mice were placed in the stem 203 arm and allowed to turn left or right to obtain a food pellet. After the mouse consumed the 204 205 reward, it was returned to the stem arm and allowed to make another choice. If the mouse 206 chose the same arm as on the initial choice, it was returned to the stem arm until it chose the other arm and consumed the food pellet. Once both food pellets were consumed the maze was 207 rebaited and the next trial began. The direction of the initial turn chosen four or more times over 208 209 seven trials was considered the turn bias. On the next day (Day 5, Response Discrimination), 210 mice were trained on an egocentric task which required them to always turn towards one side 211 (left or right, chosen opposite to the direction of their turn bias) to obtain the food reward. The

212 location of the stem arm was pseudorandomly rotated among 3 arms (East, West, and South) to 213 discourage mice from using an allocentric spatial strategy. During all trials a visual cue was placed close to the entrance of one of the choice arms. Placement of this cue into the right or 214 215 left arm varied pseudorandomly to balance the frequency of occurrences in each arm across 216 blocks of 12 consecutive trials. Similarly, the order of the stem arms alternated pseudorandomly 217 in a balanced fashion across blocks of 12 trials. Training continued until the mouse made 9 218 correct choices over 10 consecutive trials. When animals achieved this acquisition criterion, a 219 probe trial was administered. In the probe trial the previously unused fourth arm (North) was 220 used as a stem arm. If the mice performed the probe trial correctly, Response Discrimination 221 training was completed. If an incorrect turn occurred, response training continued until the mouse made another five consecutive correct choices, and then another probe trial was 222 223 administered. On the next day (Day 6, Shift-to-Visual-Cue Discrimination), mice were trained to 224 shift their strategy to now select the choice arm with the visual cue in order to obtain food rewards. The location of the visual cue and the position of the start arm were again varied 225 226 pseudorandomly so that their frequency was balanced across blocks of 12 consecutive trials. 227 The training and response criteria for the Shift-to-Visual-Cue Discrimination were identical to 228 those during Response Discrimination. Performance and Error Analysis: For each of the two 229 test days we analyzed the total number of trials to criterion and the number of probe trials 230 required to reach criterion. For the Shift-to-Visual-Cue Discrimination, errors were scored as entries into arms that did not contain the visual cue, and they were further broken down into 231 232 three subcategories to determine whether the animals' treatment altered the ability to either shift from the previously learned strategy (perseverative errors), or to maintain the new strategy after 233 234 perseveration had ceased (regressive errors, or never-reinforced errors). In order to detect 235 shifts in the strategies that animals used, trials were separated into consecutive blocks of four 236 trials each. A perseverative error occurred when a mouse made the same egocentric response as required during the Response Discrimination, but which was opposite to the direction of the 237 238 arm containing the visual cue. Six of every 12 consecutive trials required the mouse to respond 239 in this manner. A perseverative error was scored when the mouse entered the incorrect arm on 240 three or more trials per block of 4 trials. Once the mouse made less than three perseverative 241 errors in a block, all subsequent errors of the same type were now scored as regressive errors 242 (because at this point the mouse was following an alternative strategy at least half of the time). 243 So-called never-reinforced errors were scored when a mouse entered the incorrect arm on trials 244 where the visual cue was placed on the same side that the mouse had been trained to enter on 245 the previous day.

246 Novel Object Recognition: Testing was conducted in a white wooden open chamber (39 x 19 x 30.5 cm) and sessions were recorded from above by a web camera for later analysis. Wooden 247 toys (approximately 3 x 5 cm) were used as stimulus objects and pseudorandomly selected as 248 249 either the familiar or novel objects. In addition, in a different cohort of mice object preference 250 was measured prior to experiments to ensure mice showed no inherent preference across the 251 objects used. Mice were first habituated for 10 minutes on two consecutive days to the empty 252 chamber. On the third day mice were again habituated for 10 minutes before the training and 253 test trials begun. Therefore, mice were placed in their home cage while the chamber was 254 cleaned and two objects were placed inside the chamber. Mice were then placed inside the 255 chamber and allowed to investigate the two objects for 3 minutes before being placed back into the home cage for a 2-minute intertrial interval during which one of the two familiar objects was

- replaced with a novel object. After 2 minutes mice were placed back into the chamber and
- allowed to explore both the familiar and novel object for an additional 2 minutes. The objects
- were cleaned with 20% ethanol and the chamber was cleaned with 70% ethanol between
- animals. The amount of time the mice spent investigating the objects during both the training
- trial and the novel object trial were analyzed. In order to assess whether animals recognized the
- novel object as such we calculated a "recognition index", which is the percentage of time spent
- investigating the novel object over the total investigation time for both objects.
- 264 <u>Social Interaction:</u> Experimental mice and two size- and age-matched stimulus mice were 265 housed individually for three days prior to the task. On the day of the test, the experimental
- 266 mouse was placed into a new cage with 2.5 grams of their original bedding material to allow the
- 267 animal to habituate for one hour. Stimulus mice were kept in a small custom cylindrical holding
- apparatus (height 20 cm, steel bars separated by 1 cm, acrylic base and lid), which could be
- placed inside the test cage. After one hour, the first stimulus mouse was placed in the holding
- apparatus and positioned into the cage with the test mouse for a trial interval of 1-minute while
- being recorded by an overhead camera. This was repeated for four trials with an intertrial
- interval of 10 minutes (Trials 1-4). On the fifth trial, a novel stimulus mouse was introduced into
- the cage to test for social recognition memory. All trials were recorded via an overhead camera
- and the interaction times (defined as sniffing and investigation of the stimulus mouse at close
- 275 proximity) were analyzed for each trial.
- 276 <u>Statistical Analysis:</u> Differences between groups were compared using one-way ANOVAs or
- 277 two-way mixed ANOVAs as indicated. Post-hoc analyses using Tukey correction were used to
- determine specific group differences. All data is presented as mean ± standard error of the
- 279 mean (SEM). An alpha level of p < 0.05 was considered significant.

280 Results

281 <u>Perinatal KET-treatment reduces parvalbumin expression in adult mPFC in WT, but not in *Ppif*^{/-}</u>

282 <u>mice</u>

A reduction in the number of parvalbumin-expressing interneurons (PVI) is a hallmark of

- schizophrenia (Lewis et al., 2005; Nakazawa et al., 2012) that is recapitulated by most animal
- models, including perinatal KET application (Jeevakumar et al., 2015; Phensy et al., 2017a). To
- determine if genetic deletion of Cyclophilin D can protect against KET-induced reductions in
- 287 PVI, we performed immunohistochemistry and quantified the number of parvalbumin+ somata in
- the mPFC from both adult WT and *Ppif^{/-}* mice which received either KET or saline during
- development (Fig. 1). A one-way ANOVA revealed a main effect of treatment on the number of
- PV+ cells over the number of DAPI+ cells ($F_{(3, 30)} = 8.990$, p < 0.001). Wildtype KET-treated mice showed a significant loss in PV expression. In contrast, *Ppif*^{-/-}-KET mice were protected
- against KET-induced PVI loss and had similar numbers of PV+ cells than saline-control mice
- 293 (Fig. 1B).
- 294 Ketamine-induced oxidative stress is reduced in *Ppif^{/-}* mice
- 295 Perinatal ketamine treatment leads to long-lasting increases in oxidative stress in adult animals,
- and PVI are particularly sensitive to redox dysregulation (Do et al., 2009; Phensy et al., 2017a).
- 297 The ratio between the bioavailable reduced (GSH) and unavailable oxidized (GSSG) forms of
- the endogenous antioxidant glutathione provides a measure of redox balance in cells. A
- 299 decrease in this ratio indicates a disruption in redox balance and subsequent oxidative stress.
- To determine if genetic deletion of cyclophilin D prevents KET-induced oxidative stress, we first
- measured levels of GSH and GSSG in mPFC tissue taken from adult mice (Fig. 2). A one-way
- ANOVA revealed that while there was no effect of treatment on total glutathione levels ($F_{(3, 14)} =$
- 1.172, p = 0.3556; Fig. 2A), there was a main effect of treatment on the ratio of GSH / GSSG ($F_{(3,35)} = 6.664$, p = 0.001; Fig. 2B), with WT-KET mice having a significantly reduced GSH /
- 305 GSSG ratio, indicating increased oxidative stress in these animals. A similar reduction was not
- observed in *Ppif*^{/-}-KET mice. Next, we measured 4-HNE levels in PVI of the mPFC (Fig. 3). 4-</sup>
- 307 HNE levels increase during periods of oxidative stress due to lipid peroxidation. We colocalized
- 308 immunofluorescence signals of 4-HNE and parvalbumin to measure changes in 4-HNE
- 309 specifically in PVI. A one-way ANOVA revealed a main effect of treatment on the mean grey
- intensity of 4-HNE in PVI ($F_{(3, 27)}$ = 5.084, p = 0.0064; Fig. 3B). This effect was due to a
- significant increase in 4-HNE signal in WT-KET mice, which was not present in *Ppif^{/-}*-KET or
- 312 saline-control mice. Taken together, these results show that PVI in the mPFC of *Ppif^{/-}* mice are
- 313 protected from KET-induced oxidative stress.

Perinatal KET-treatment alters inhibitory synaptic transmission onto layer 2/3 mPFC pyramidal cells in WT, but not *Ppif^{/-}* mice

- 316 GABAergic PVI inhibit nearby pyramidal neurons and regulate synchronized firing (Sohal and
- 317 Rubenstein, 2019). Loss of PVI function leads to reduced GABAergic activity onto pyramidal
- neurons resulting in disinhibited circuits. In order to determine if CypD deletion prevents KET-
- induced disinhibition of pyramidal cells, we performed whole cell patch-clamp recordings in layer
- 2/3 pyramidal neurons of the mPFC and quantified the frequency and amplitude of both
- 321 spontaneous (sIPSCs) and miniature inhibitory postsynaptic currents (mIPSCs) (Fig. 4). We

- found a significant main effect of treatment on the frequency of mIPSCs ($F_{(3, 28)} = 6.547$, p =
- 323 0.002; Fig. 4C), but no effect on amplitude ($F_{(3, 28)} = 1.689$, p = 0.192; Fig. 4C). Post-hoc
- analyses revealed that this was driven by a selective decrease in mIPSC frequency in WT-KET
- mice. Similar changes did not occur in *Ppif^{/-}*-KET or saline-control mice. KET-treatment did not
- alter the frequency ($F_{(3, 22)} = 0.8743$, p = 0.469; Fig. 4F) or the amplitude ($F_{(3, 22)} = 1.289$, p =
- 0.303; Fig. 4F) of sIPSCs. These data suggest that KET reduces GABA release and that this
- 328 can be prevented by CypD deletion.

329 KET-treatment induces NMDAR hypofunction in layer 2/3 PVI from WT, but not *Ppif^{/-}* mice

- 330 NMDAR hypofunction likely contributes to aberrant network activity in schizophrenia (Snyder
- and Gao, 2013). Perinatal KET treatment disrupts PVI development in the mPFC, causing
- 332 NMDAR hypofunction in adult layer 2/3 PVI (Jeevakumar and Kroener, 2016; Phensy et al.,
- 2017a). In order to test whether CypD deletion can prevent KET-induced changes in NMDAR-
- signaling we next measured NMDAR and AMPAR currents in GFP+ PVI (Fig. 5A-B). A one-way
- ANOVA ($F_{(3, 18)} = 4.280$, p = 0.019; Fig. 5B) revealed a main effect of treatment on the ratio of
- 336 NMDAR:AMPAR currents at layer 2/3 PVI. Consistent with our previous reports (Jeevakumar
- and Kroener, 2016; Phensy et al., 2017a), WT-KET mice had significantly reduced
- NMDAR:AMPAR ratios. In contrast, *Ppif*⁻-KET mice showed current ratios comparable to
- 339 saline-treated controls, suggesting that genetic deletion of CypD offers protection from KET-
- induced aberrant NMDAR signaling in layer 2/3 PVI.
- 341 <u>KET-treatment alters spontaneous glutamate release onto layer 2/3 PVI from WT, but not *Ppif^{/-}* 342 <u>mice</u>
 </u>
- 343 The KET-induced NMDAR hypofunction in layer 2/3 PVI is accompanied by disinhibition of
- 344 pyramidal cells (as seen in Fig. 4), which subsequently causes increased glutamate release
- back onto PVI (Jeevakumar and Kroener, 2016; Phensy et al., 2017a). The increased activation
- of postsynaptic glutamate receptors may lead to excessive calcium influx and contribute to
- persistent mitochondrial stress in PVI (Phensy et al., 2017a). To further test if CypD deletion
- prevents KET-induced alterations in glutamatergic signaling at PVI, we recorded spontaneous
- excitatory postsynaptic currents (sEPSCs) in GFP+ PVI (Fig. 5C-D). A one-way ANOVA
 revealed a main effect of treatment on sEPSC frequency (F_(3, 23) = 5.812, p = 0.004; Fig. 5D),
- without significant changes in sEPSC amplitude ($F_{(3,20)} = 2.828$, p = 0.065; Fig. 5D). Consistent
- with the idea that mPFC pyramidal cells from KET-treated mice are disinhibited, post-hoc
- analyses showed a selective increase in sEPSC frequency in PVI from WT-KET mice; a change
- that was not seen in any of the other treatment groups.

355 <u>KET-treatment induces deficits in cognitive flexibility, novel object recognition, and social</u> 356 <u>interactions in WT, but not in *Ppif^{/-}* mice</u>

- In order to determine the functional impact of the physiological changes that result from KET-
- 358 treatment and CypD deletion, we tested adult mice in a battery of behavioral tasks. These tasks
- 359 included a rule-shifting task to measure cognitive flexibility, a novel object recognition task which
- 360 measures (short-term) memory for objects, and a social interaction task which tests deficits in
- social interaction and novelty discrimination (Fig. 6) (Jeevakumar et al., 2015; Phensy et al.,
- 362 **2017**b).

363 Rule Shifting Task

Cognitive flexibility is the ability to inhibit the use of a defunct strategy and enable the learning of 364 a new functional strategy. The PFC is important for the ability to shift between strategies, and 365 366 dysfunctions of the PFC lead to perseveration on inappropriate responses. To examine if CypD 367 deletion protects against KET-induced deficits in cognitive flexibility, we tested WT and *Ppif*^{-/-} 368 mice on a well-characterized rule-shifting task that is highly dependent on the mPFC (Birrell and Brown, 2000; Floresco and Magvar, 2006; Young et al., 2009; Hu et al., 2015; Jeevakumar and 369 370 Kroener, 2016). Mice first learn an egocentric Response Discrimination strategy and then need 371 to shift to a Visual-Cue Discrimination strategy. Mice in all treatment groups reached criterion for the Response Discrimination in the same number of trials ($F_{(3,26)} = 0.4760$, p = 0.702; Fig. 6B). 372 In contrast, a one-way ANOVA showed a main effect of treatment on the number of trials 373 374 needed to reach criterion during the Shift-to-Visual Cue Discrimination phase of the task (F_(3, 26) 375 = 9.576, p < 0.001; Fig. 6B). WT-KET mice took significantly more trials to reach criterion 376 compared to mice in all other treatment groups. To further differentiate the effects of KET-377 treatment and CypD deletion on cognitive strategies, we analyzed the types of errors (perseverative, regressive, or never-reinforced) that mice committed. A one-way ANOVA (F_(3, 26) 378 379 = 4.361, p = 0.013; Fig. 6C) revealed that KET-treatment caused significantly more overall errors in WT, but not *Ppif⁻* or saline-control mice. Furthermore, there was a significant effect of 380

- treatment on perseverative errors ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$ = 3.152, p = 0.042; Fig. 6C), but not on regressive ($F_{(3, 26)}$
- $_{26)} = 1.867$, p = 0.160; Fig. 6C), or never-reinforced errors (F_(3, 26) = 0.7296, p = 0.544; Fig. 6C).
- The significant effect on perseverative errors was due to a selective increase in the WT–KET miss which was not observed in KET treated $Pni^{t/2}$ or online control miss
- mice which was not observed in KET-treated $Ppif^{-}$ or saline-control mice.
- 385 Novel Object Recognition
- Mice, like humans, show preference for novel objects and spend more time investigating a novel
- 387 object if they can correctly distinguish it from a previously encountered object. We next
- measured how KET treatment and CypD deletion affect novel object recognition (Fig. 6D-E).
- 389 Preference for the novel object can be calculated as a recognition index, which is the time spent
- investigating the novel object as a percent of total time investigating both objects. A one-way
- ANOVA across treatment groups ($F_{(3, 31)} = 6.375$, p = 0.002; Fig. 6E) revealed a main effect of treatment on recognition index. WT-KET mice had reduced recognition indices; in contrast, *Ppif*
- treatment on recognition index. WT-KET mice had reduced recognition indices; in contrast, *Ppin* ^{/-}-KET exhibited normal novel object recognition and spent similar amounts of time as saline-
- 394 controls investigating the novel object.

395 Social Interaction

- 396 Deficits in social cognition and interaction greatly impact the quality of life of patients with
- 397 schizophrenia. KET-treated mice show reduced social interactions (Jeevakumar et al., 2015;
- Phensy et al., 2017b). To test if genetic deletion of CypD can prevent this social deficit, we
- 399 performed a social interaction and recognition task (Fig. 6F-G). Mice initially show great interest
- anytime a new mouse is introduced into their home cage but gradually reduce their investigation
- time with repeated exposures (trials 1-4). This can be used to investigate differences in baseline
- social interaction and recognition memory when a new stimulus mouse is introduced into the
- 403 cage (trial 5). A two-way mixed ANOVA, revealed a significant main effect of treatment ($F_{(3, 32)}$ =
- 404 7.954, p < 0.001; Fig. 6G) on social interaction. Consistent with our previous reports

(Jeevakumar et al., 2015; Phensy et al., 2017b), WT-KET mice showed reduced investigation
 times across trials 1, 2, and 5. In contrast, *Ppif^{/-}*-KET mice demonstrated investigation times
 similar to saline-treated controls across all five exposures, suggesting normal social interaction
 and recognition memory.

409

410 Discussion

411 NMDAR dysfunction disrupts normal development of GABAergic and glutamatergic networks and this may contribute to schizophrenia pathology (Krystal et al., 2002). Parvalbumin-412 413 expressing interneurons appear to be particularly susceptible to NMDAR dysfunction (Cohen et 414 al., 2015), and changes in PVI and their synapses are well-documented in schizophrenia (Lewis 415 et al., 2005; Nakazawa et al., 2012; Gonzalez-Burgos et al., 2015). PVI are highly sensitive to oxidative stress (Do et al., 2009), which can disrupt neuronal function and decrease NMDAR 416 417 activity (Choi and Lipton, 2000). Mitochondria are the primary mediators of redox state (Rego 418 and Oliveira, 2003; Bhatti et al., 2017) and they are abundant in PVI (Gulyas et al., 2006); 419 however, their role in PVI dysfunction has received relatively little attention. Oxidative and other cellular stresses trigger translocation of CypD to the inner mitochondrial membrane, initiating 420 421 formation of the mPTP (Baines et al., 2005). Prolonged mPTP formation leads to excessive levels of intracellular superoxide and pathological mitochondrial activity (Crompton, 2004; 422 Lemasters et al., 2009). Glutamate excitotoxicity that results from overactivation of NMDA 423 424 receptors and excessive calcium entry is a well-established initiator of chronic mPTP formation

425 (Schinder et al., 1996).

426 NMDAR blockade disrupts development of PVI, alters E/I balance, and impairs cognitive

427 performance (Wang et al., 2008; Jeevakumar and Kroener, 2016). There is strong evidence that

these changes are mediated by oxidative stress (Radonjic et al., 2010; Powell et al., 2012), and

429 we previously demonstrated that boosting antioxidant defense systems with N-acetyl cysteine

430 can counter the physiological and behavioral deficits induced by perinatal KET-treatment

(Phensy et al., 2017a). We also found that perinatal KET-treatment significantly increased levels
 of mitochondrial-derived ROS and reduced mitochondrial membrane potentials in PVI. These

432 of finitection data-derived NOS and reduced mitochondria membrane potentials in PVI. These
 433 changes are signs of prolonged mPTP activation, suggesting mitochondria as important nodes

in KET-induced PVI dysfunction. CypD is a necessary component of the mPTP, and reducing

435 CypD translocation protects mitochondrial function (Du and Yan, 2010). Thus, we hypothesized

that *Ppif^{/-}* mice would be protected from KET-induced increases in oxidative stress and PVI

437 dysfunction.

438 We measured glutathione levels to determine the redox state of PFC tissue from adult KET- and

439 SAL-treated mice. The ratio of GSH to GSSG indicates cell redox status, with healthy cells

440 having a large GSH/GSSG ratio, that drops when they get exposed to oxidative stress

441 (Pizzorno, 2014). Wildtype KET-treated mice showed a significant decrease in the GSH/GSSG

ratio in PFC. This is in line with previous findings by us (Phensy et al., 2017a) and others

443 (Powell et al., 2012) which have shown that NMDAR blockade during development drives

444 oxidative stress in the frontal cortex. We also found increased levels of 4-HNE, a byproduct of

lipid peroxidation, in prefrontal PVI of WT-KET mice. Lipid peroxidation occurs when free

radicals damage lipids and it is an indicator of the damage that results from oxidative stress.

Ppif^{/-}-KET mice demonstrated robust protection against KET-induced reductions in the 447 GSH/GSSG ratio and the increase of 4-HNE levels in PVI (Figs 2,3). Because *Ppif^{/-}*-KET mice 448 also showed no significant loss of PV immunofluorescence in the PFC these results support the 449 450 idea that PVI dysfunction results from mitochondrial oxidative stress. Previous reports have shown that PVI dysfunction following perinatal NMDAR blockade requires activation of NADPH-451 452 oxidase 2 (NOX2) (Behrens et al., 2007; Sorce et al., 2010). Interestingly, there is evidence for 453 significant crosstalk between mitochondria and NOX2, which can reciprocally drive ROS 454 production (Dikalov, 2011; Daiber et al., 2017). Thus, our data support these studies and 455 suggest a complementary mechanism to NOX2-mediated PVI deficits. NMDAR hypofunction in PVI is believed to contribute to aberrant synaptic activity in 456 457 schizophrenia (Homayoun and Moghaddam, 2007). NMDARs can be directly inhibited by 458 oxidizing agents via interaction on a redox-sensitive site on the receptor (Choi and Lipton, 459 2000). Developmental NMDAR blockade with ketamine leads to both long-lasting increases in oxidative stress and NMDAR-hypofunction in layer 2/3 prefrontal PVI (Phensy et al., 2017a). 460 Because *Ppif^{/-}*-KET mice exhibited reduced signs of oxidative stress, we investigated if this was 461 accompanied by normal NMDAR function in layer 2/3 PVI. Consistent with our previous findings 462 (Jeevakumar and Kroener, 2016; Phensy et al., 2017a), PVI in layers 2/3 from KET-treated WT 463 mice showed reduced NMDAR currents. In contrast, *Ppif^{/-}*-KET mice exhibited normal 464 NMDAR: AMPAR current ratios (Figure 4). Because KET-treatment did not affect amplitudes of 465 AMPA-mediated sEPSCs, this effect of CypD-deletion most likely represents a selective 466 467 protection of NMDAR function. Reduced NMDAR activity has significant implications for PVI 468 function. Gating of NMDARs causes influx of calcium which can help in persistent neuronal firing (Myme et al., 2003), an important feature of PVI physiology. Blocking NMDAR on PVI has 469 470 been shown to impair the generation of gamma oscillations (Jadi et al., 2016), which are crucial to cognitive function (Fries, 2009; Sohal et al., 2009). Perturbations in gamma oscillations are 471 believed to result from reduced PVI activity and a shift in the excitation/inhibition (E/I) balance 472 (Gonzalez-Burgos et al., 2015; Sohal and Rubenstein, 2019). Consistent with the idea of a shift 473 in the E/I balance, KET-treatment in WT mice lead to a long-lasting reduction in GABAergic 474 475 inhibition at pyramidal neurons and increased glutamate release back onto layer 2/3 PVI. In contrast, in addition to preserved NMDAR function in PVI, *Ppif^{/-}* mice exhibited normal sEPSCs 476 477 and mIPSCs in PVI and pyramidal cells, respectively, suggesting that normal E/I balance in the 478 mPFC network was maintained.

479 Patients with schizophrenia suffer from a number of PFC-dependent cognitive deficits including 480 disruptions in working memory, social cognition, attention, and cognitive flexibility (Braff et al., 481 1991; Gold et al., 1997; Nuechterlein et al., 2004). Evidence from clinical and preclinical models suggests that NMDAR hypofunction contributes to these deficits (Coyle, 2012; Cohen et al., 482 2015): NMDAR blockade can reduce cognitive abilities in healthy patients and exacerbate 483 484 deficits in schizophrenia patients (Lahti et al., 1995; Malhotra et al., 1997; Krystal et al., 2002), and it impairs cognitive flexibility, episodic memory, and social interactions in rodents (Stefani 485 and Moghaddam, 2005; Powell et al., 2012; Jeevakumar et al., 2015). In order to determine if 486 487 CypD-deletion also protects against KET-induced cognitive deficits we examined the 488 performance of *Ppif⁻⁻*-KET mice in a variety of tasks (Figure 6). In rodents, cognitive flexibility is 489 most often assessed via attentional set-shifting tasks (Young et al., 2012). Here, we measured

cognitive flexibility through a rule-shifting task which requires only a simple shift from an 490 491 egocentric response strategy to a visual cue-based strategy (Stefani and Moghaddam, 2005; Floresco et al., 2006). Consistent with previous findings (Stefani and Moghaddam, 2005; 492 Broberg et al., 2008; Jeevakumar et al., 2015) we found that WT-KET mice required more trials 493 to shift their strategies and committed a larger number of perseverative errors (Figure 6A-C). 494 495 Perseverative errors suggest an inability to abandon a defunct strategy, a deficit that is 496 frequently observed in patients with schizophrenia (Abbruzzese et al., 1996) or lesions of the 497 PFC (Barcelo and Knight, 2002). Patients with schizophrenia also suffer from deficits in episodic memory (Ragland et al., 2009). In rodents, episodic memory can be assessed via the novel 498 499 object recognition task. Performance on the task relies heavily on interactions between PFC and hippocampal circuits (Korotkova et al., 2010), which are disrupted by blockade (Jadi et al., 500 501 2016) or ablation (Korotkova et al., 2010) of NMDARs. Both acute (Rajagopal et al., 2014) and 502 developmental (Jeevakumar et al., 2015; Phensy et al., 2017b) KET-treatment results in 503 reduced novel object recognition. Finally, we examined changes in social interaction in KET-504 treated WT and *Ppif^{-/-}* animals. Reduced social interactions and isolation are negative symptoms 505 associated with schizophrenia (Millan et al., 2014; Green et al., 2015). Consistent with what we (Phensy et al., 2017b) and others (Powell et al., 2012) have previously shown, developmental 506 507 NMDAR blockade in WT mice reduced social interaction times across all presentations of the stimulus mice. Importantly, *Ppif^{/-}* mice showed robust protection against all KET-induced 508 509 behavioral deficits. These findings strongly suggest that transient NMDAR blockade affects cortical networks and behavior via processes that depend on proper mitochondrial function, and 510 that modulation of the mPTP via genetic deletion of CypD can prevent these effects. These 511 512 findings are in line with a number of other studies in which genetic deletion or pharmacological 513 inhibition of CypD has been shown to offer protection against cognitive dysfunction in other preclinical disease models (Du et al., 2008; Yan et al., 2016; Nusrat et al., 2018). One previous 514 515 study reported higher indices of anxiety and a reduced tendency to explore in *Ppif^{/-}* mice (Luvisetto et al., 2008); however, we did not find evidence for reduced exploration during NOR 516 517 or the cross-maze rule-shifting task, nor did we observe any other unspecific phenotypical changes in *Ppif*^{/-}mice. 518

Taken together, our results underscore the impact of mitochondria on cortical networks and cognition. Mitochondria not only play essential roles in cell function, but their bioenergetics are crucial for proper neuronal development (Cobley, 2018), and even acute dysfunction impairs learning and memory (Mancini and Horvath, 2017). Here we illustrate how CypD activity can drive mitochondrial dysfunction in PVI and show that CypD may be a potential therapeutic target in protecting cognitive function in schizophrenia.

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775 Figure legends:

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Figure 1. CypD-deletion (*Ppif*^{/-}) protects against the loss of parvalbumin (PV) expression that results from perinatal ketamine (KET) treatment. Wildtype (WT) or *Ppif*^{/-} mice received either saline (SAL) or KET injections on postnatal days 7, 9, and 11. A) Representative confocal images of PV immunofluorescence (red) and DAPI (blue) from layers 1-6 of adult medial prefrontal cortex. B) Total PV-positive cells as a percentage of DAPI. Perinatal KET-treatment significantly reduced PV expression in WT but not in *Ppif*^{/-} mice. Significance is indicated as *p \leq 0.05, and ***p \leq 0.001, following Tukey correction.

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Figure 2. CypD-deletion (*Ppif^{/-}*) reduces perinatal ketamine (KET)-induced oxidative stress in adult medial prefrontal cortex (mPFC). A) KET-treatment does not affect total glutathione levels.
 B) KET-treatment significantly reduces the ratio of the reduced (GSH) over oxidized (GSSG) form of glutathione in wildtype (WT) mice, indicating increased oxidative stress. KET-treated *Ppif^{/-}* mice are protected from this shift in the GSH / GSSG ratio. C, D) Total levels of GSH (C)

and GSSG (D) in adult mPFC tissue. Significance is indicated as $*p \le 0.05$ and $**p \le 0.01$,

791 following Tukey correction.

792

793 **Figure 3.** Perinatal ketamine (KET)-treatment increases oxidative stress in parvalbumin-positive

interneurons (PVI) from wildtype (WT) but not from CypD knockout (*Ppif'*-) mice. A)

795 Representative confocal images of parvalbumin (PV, green) and 4-HNE (red)

immunofluorescence in the medial prefrontal cortex. B) PV cells in tissue from WT-KET mice

had significantly higher levels of 4HNE, a measure of lipid peroxidation and oxidative stress,

than saline-treated WT mice (WT-SAL). KET-treated *Ppif^{/-}* mice were protected from this

increase. Significance is indicated as *p \leq 0.05, and **p \leq 0.01, following Tukey correction.

800

Figure 4. CypD deletion (*Ppif⁻*) protects against alterations in GABA release that develop in the 801 802 medial prefrontal cortex following perinatal ketamine (KET)-treatment. A) Representative traces of miniature IPSCs (in tetrodotoxin) recorded in layer 2/3 pyramidal cells from in the 4 treatment 803 groups. B) Amplitude distribution of all mIPSC events in the 4 treatment groups. C) KET-804 805 treatment significantly reduced the frequency, but not the amplitude of mIPSCs onto layer 2/3 pyramidal neurons from wildtype (WT) mice. Similar changes were not observed in Ppif^{/-}-KET 806 mice. D) Representative traces of spontaneous IPSCs recorded in layer 2/3 pyramidal cells. E) 807 Amplitude distribution of all sIPSC events in the 4 treatment groups. F) KET-treatment did not 808 809 alter the frequency or amplitude of sIPSCs. Significance is indicated as $p \le 0.05$, and $p \le 0.05$. 810 0.01, following Tukey correction.

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Figure 5. Perinatal ketamine (KET)-treatment alters glutamatergic transmission onto layer 2/3

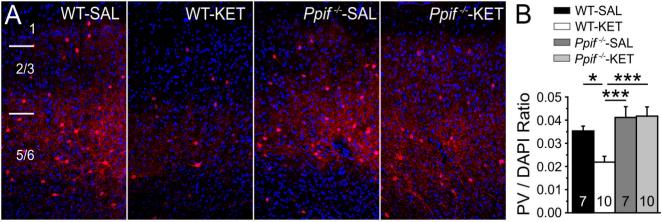
parvalbumin-positive interneurons (PVI) in wildtype (WT), but not in CypD knockout (*Ppif^{/-}*)

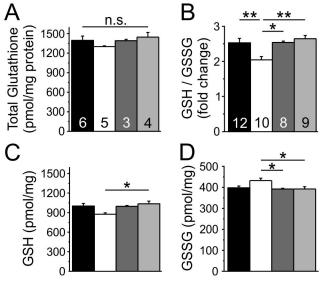
mice. A) Representative traces of NMDAR-currents (red) and AMPAR- (black) from GFP+ PVI

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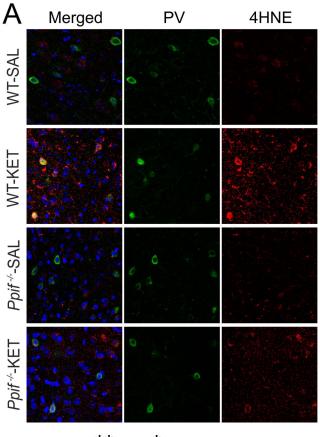
- neurons in layers 2/3 of the medial prefrontal cortex. B) NMDAR: AMPAR ratios in the 4
- treatment groups. Ketamine-treated WT mice exhibit markedly decreased NMDAR:AMPAR
- ratios compared to saline (SAL)-treated mice, as well as KET-treated *Ppif^{/-}* mice. C)
- 818 Representative traces of spontaneous-EPSCs recorded at -70 mV. D) KET-treatment increased
- the frequency, but not the amplitude of sEPSCs in WT mice, but not in *Ppif^{/-}* mice. Significance
- is indicated as $p \le 0.05$, and $p \le 0.01$, following Tukey correction.
- 821

822 Figure 6. Perinatal ketamine (KET)-treatment induced behavioral deficits in wildtype (WT), but 823 not in CypD knockout (*Ppif^{/-}*) mice. A) Schematic overview of the Cross-Maze Rule Shifting task used to assess cognitive flexibility. Mice learn an egocentric strategy (Response Discrimination) 824 825 in order to obtain a food reward and the next day are required to shift to a visual cue strategy (Shift to Visual Cue Day). B) All animals learned the initial response strategy at the same rate: 826 827 however, WT-KET required a significantly larger number of trials to shift between strategies compared to saline-treated controls and KET-treated *Ppif^{/-}* mice. C) Error analysis based on 828 error types committed during the Shift-to-Cue session. WT-KET animals show an increase in 829 total errors and a significantly higher number of perseverative errors. D) Schematic overview of 830 the setup used to test novel object recognition (NOR). E) KET-treatment reduced NOR in WT, 831 but not in *Ppif^{/-}* mice. F) Test of social interaction and recognition. A stimulus mouse is placed in 832 the home-cage of the test mouse for four 1-minute sessions (10-minute inter-trial-intervals) and 833 social interaction time is recorded. On a fifth trial, a novel stimulus mouse is introduced to test 834 social recognition. G) KET-treatment results in reduced interaction times across all sessions. 835 *Ppif*^{/-}-KET mice patterns of social interaction comparable to saline-treated controls. A-F: 836 Significance is indicated as *p \leq 0.05, **p \leq 0.01, and ***p \leq 0.001, following Tukey correction. 837 G: Significance is indicated as*p ≤ 0.05 WT-SAL vs WT-KET, ***p ≤ 0.001 WT-SAL vs WT-KET, 838 839 and $+p \le 0.05$ for WT-KET vs *Ppif⁻⁻*-KET following Tukey correction.

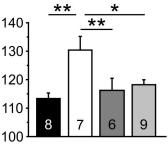




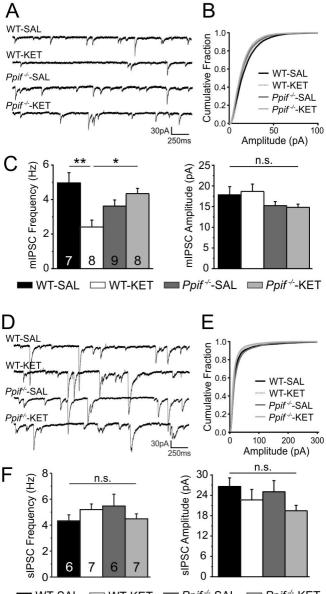
■ WT-SAL WT-KET **P**pif ^{_,,}-SAL **P**pif ^{_,,}-KET



B 14 14HNE WGI 1 1 1



WT-SAL
 WT-KET
 Ppif^{-/-}-SAL
 Ppif^{-/-}-KET



■ WT-SAL □ WT-KET ■ Ppif^{-/-}-SAL □ Ppif^{-/-}-KET

