1	The evolution of red blood cell shape in a continental radiation of fishes
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30 Abstract

31 The size and shape of Red Blood Cells (RBC) can provide key information on life 32 history strategies in vertebrates. However, little is known about how RBC shape 33 evolved in response to environmental factors and the role of phylogenetic relationship. 34 Here, we analyzed RBC morphometrics in a continental radiation of fishes testing the 35 hypothesis that phylogenetic relationship determines species occupation of 36 morphospace. We collected blood samples of five specimens of 15 freshwater fish 37 species from six orders and used basic stereological methods to measure cell and 38 nucleus area, perimeter, and diameter, cell and nucleus volume, nucleus:cytoplasm 39 ratio, and shape factor of 50 cells per specimen. Then, we conducted a phylogenetic 40 Principal Components Analysis using a dated phylogeny and built a phylomorphospace. 41 To test if the phylogenetic relationship predicted the phenotypic similarity of species, 42 we calculated multivariate phylogenetic signal. We also estimated the evolution rate of 43 RBC shape for each node and tip using ridge regression. Finally, we tested if the 44 position in the water column influenced RBC shape using a phylogenetic GLS. RBC 45 shape seems to have evolved in a non-stationary way because the distribution pattern of 46 species in the phylomorphospace is independent of the phylogeny. Accordingly, the rate 47 of evolution for shape was highly heterogeneous, with an increase in the genus 48 Pygocentrus. Water column position does not influence RBC shape. In conclusion, 49 RBC shape seem to have evolved in response to multiple selective pressures 50 independent of life history characters.

51 Key-words: phylomorphospace, erythrocytes, stereology, phylogenetic signal, rate of
52 evolution.

- 54 Introduction
- 55

The shape, size, and number of Red Blood Cells (RBC) vary greatly in vertebrates (Wintrobe 1934). Although the reasons for this variation are not well understood, phylogenetic relationships can at least partially explain it, because early diverging vertebrate lineages have larger but fewer RBC (Anderson et al., 2018). Early diverging vertebrates also have round and flattened RBCs with a central nucleus, while derived lineages have ellipsoid cells (Nikinmaa 1990; Glomski et al. 1992).

62 Both abiotic factors and life history traits can also affect the composition and 63 morphology of fish RBC (Dal'Bó et al., 2015; Kumar, 2016; Yakhnenko et al., 2016). 64 For example, RBC size is inversely related to swimming ability in teleosts (Lay and 65 Baldwin 1999; Witeska 2013), while size of RBCs is highest at intermediate altitude in 66 one lizard species (González-Morales et al. 2017). In addition, cell size has an inverse 67 relation with metabolic rate, suggesting lowest metabolic cost to maintain active 68 membrane transport in large cells (Szarki, 1970; Graham et al. 1985, Maciak et al, 69 2011). Deep-sea fish species have large RBC, compatible with their low metabolism 70 (Graham et al. 1985). Then, RBC size in teleost has an adaptative value and changes in 71 response to external factors (Lay and Baldwin 1999). RBC volume is another 72 morphological variable correlated with physiological state in fish. For example, cell 73 volume is negative correlated with hemoglobin concentration, while nuclear volume 74 explains only 17% of cell volume (Lay and Baldwin 1999).

The nucleus of RBC is strongly related to species adaptation to environmental conditions. Nucleus size is positively correlated with genome size in vertebrates (Gregory 2001). Accordingly, the amount of DNA regulates the size of cells as well as the nucleus. Larger nuclei have more room for protein synthesis that are required in the metabolism of larger cells (Gregory 2001). Variations in RBC DNA content are also directly related to hematopoiesis, which in turn influence species adaptation. Genome

81 size seems also related to life-history traits. For example, genome size is positively
82 related with the size and diameter of eggs, and negatively related with growth rate and
83 standard size in fish (Hardie and Hebert, 2004; Smith and Gregory 2009).

84 The shape of RBC also changes according to cell maturation stage. While 85 immature RBC (erythroblasts) are usually rounded, older cells are fusiform (Fijan 2002, 86 Passantino et al. 2004). However, the degree of ellipticalness seems to vary among 87 species (Dal'Bó et al., 2015). However, little is known about the physiological 88 implications of the variation in the fusiform shape for species. Similarly, little is known 89 about the factors involved in the variation of RBC volume and its implications (Lay and 90 Bladwin 1999) but increasing temperature and body mass increase RBC volume in 91 tetrapods (Gillooly and Zenil-Ferguson 2014). Also, RBC volume is related to 92 polyploidy in fish (Gregory 2001). However, little is known about the relative role of 93 phylogenetic relationships and abiotic factors in determining RBC shape.

94 No study has yet analysed how RBC shape have evolved in fish using modern 95 phylogenetic comparative methods. As shape is multivariate, here we used a set of first-96 and second-order stereological variables to describe the shape of RBC. Also, we tested 97 if the position in the water column, as a proxy for partial oxygen pressure, species are 98 usually found affect RBC shape. Our hypothesis is that neustonic species (that live 99 closer to the surface) would have smaller RBC due to higher surface area to volume 100 ratio. We also built the phylomorphospace of RBC shape and tested for phylogenetic 101 signal. We expect species with similar habitat use would be close together in the 102 morphospace. This is the first study combining common stereological analysis and 103 phylogenetic comparative method to understand how fish RBC evolved. Our results can 104 help understand how fish species evolved to occupy habitats with different oxygen 105 concentration or toxicity, besides understanding how metabolic rate vary among106 species.

- 107
- 108 Methods
- 109 Specimen sampling

We analyzed five individuals of 15 species belonging to 15 genera and 2 110 superorders: Pygocentrus nattereri, Gymnotus inaequilabiatus, Astyanax lineatus, 111 Bujurquina vittata, Cichlasoma dimerus, Metynnis maculatus, Prochilodus lineatus, 112 Piaractus mesopotamicus, Rhamdia quelen, Poecilia reticulata, Hypostomus 113 114 boulengeri, Pseudoplatystoma corruscans, Synbranchus marmoratus, Brycon hilarii, 115 and Hyphessobrycon anisitsi (Figure 1). We obtained adult fish specimens from both 116 sexes between 2017 and 2018 from a number of sources: Pygocentrus nattereri was 117 fished in a river the Pantanal field station (Corumbá, Mato Grosso do Sul, central 118 Brazil); Gymnotus inaequilabiatus, Hyphessobrycon anisitsi, and Synbranchus 119 marmoratus were bought from a fish store; Astyanax lineatus and Bujurquina vittata 120 were collected in an urban lake in Campo Grande; Cichlasoma dimerus, Metynnis 121 maculatus, Prochilodus lineatus, Piaractus mesopotamicus were donated by the city 122 aquarium; Pseudoplatystoma corruscans, Brycon hilarii, and Hypostomus boulengeri 123 were obtained from the university Aquaculture Research ponds; Poecilia reticulata was 124 collected in an urban stream in Campo Grande. These species were selected because 125 they are common in our region, use distinct habitats, and belong to distant lineages.

Fish were anaesthetized with eugenol solution (50 mg L⁻¹) before blood sampling. Blood was collected by caudal vein or cardiac puncture with syringe with 3% EDTA or by decapitation in small species (Ranzani-Paiva et al., 2013). Blood smears were stained with May-Grunwald-Giemsa-Wright for erythrocyte morphometry

130 (Tavares-Dias and Moraes 2002). Detailed protocol is available at Rodrigues et al.

131 (2020; see also Figure S1).

132

133 Red blood cell stereology

134 Design-based stereological methods have been used since the 1970s to quantify 135 shape and size changes in cells and histological structures (West 2012). This approach 136 uses linear (first-order) measurements to derive tridimensional (second-order) variables 137 that describe the shape of cells in tissues. To take measurements, we used a digital camera (Nikon D3500) coupled in a light microscopy (Zeiss Primo Star[®]). Digital 138 139 images in 1000x magnification were used to measure the following first-order variables: area (μ m²), perimeter, and largest and smallest diameter (μ m) of cells and area, 140 141 perimeter, and diameter of the nucleus in 50 randomly selected cells per animal (see 142 Supporting material), i.e., 250 cells per species (50 cells * 5 animals). From these linear 143 measurements, we calculated the following second-order stereological measurements: 144 To calculate length, we took the mean of the largest and smallest diameters. Then, to 145 calculate radius, we simply divided length by 2. Cell volume (μm^3) was calculated using 146 the formula:

$$\frac{4}{3\pi}r^3$$

147 , where *r* is radius (see West 2012); nucleus volume (μ m³) was calculated by the 148 formula:

149 , where CV is cell volume and N:C is nucleus:cytoplasm ratio. Cell and nucleus
150 circularity (or shape factor) were estimated by the formula:

$$\frac{4\pi A}{P^2}$$

151 , where A is cell area and P cell perimeter (Russ and Dehoff 2000). Circularity ranges
152 from 0 (elliptical shape) to 1 (circular shape). All measurements were made in Motic

153 Images Plus 2.0 in a double-blind fashion (see Fig. S2).

We calculated the mean of each variable for each species (Table S1), which were used as phenotypic traits in further analysis. To visualize the correlation pattern between variables, we built a correlogram considering the average of each variable (Fig. S3) using the *corrplot* package (Wei and Simko, 2017) of R software.

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159 **Phylogenetic comparative methods**

To carry out the comparative analyzes, we first obtained a phylogeny with branch length in millions of years representing the relationship of the 15 species for which we have phenotypic data (Figure 1). This phylogeny was obtained from a fullysampled topology for Actinopterygii recently published (Rabosky et al., 2018). Analysis was done in the fishtree package (Chang et al., 2019).

165 Then, we performed a phylogenetic Principal Components Analysis (pPCA) on the matrix of phenotypic data with the eight variables considering the phylogeny 166 167 (Revell, 2009). The pPCA was performed using the correlation matrix of variables and 168 assuming that traits have evolved according to a Brownian Motion (BM) model of 169 evolution. The pPCA uses a C matrix that describes the variance-covariance structure 170 between species given by the phylogeny and the evolutionary model (BM in this case) 171 to obtain the Principal Components and species scores (see also Adams & Collyer 172 2019). Analysis was performed using the phyl.pca function of the phytools package 173 (Revell, 2012).

From this exploratory analysis, we built a morphospace for all 15 species showing their similarity in relation to RBC shape. The analysis estimates the node

values using a Maximum Likelihood method. Finally, we built the phylomorphospace
by projecting the phylogeny onto the morphospace built with the first two Principal
Components, which allows us to explore how lineages occupied the morphospace as
their traits evolved (Adams and Coller 2018, 2019). Analysis was performed using the
phylomorphospace function of the phytools package.

181 Finally, we calculated a phylogenetic signal measure for multivariate data called 182 K_{mult} (Adams, 2014) using all pPCs to avoid missing variation (Uyeda et al., 2015). This 183 method allows calculating a statistic that measures how similar closely related species 184 are in relation to their phenotypic measurements. Blomberg's K (Blomberg et al., 2003) 185 is a measure widely used to measure phylogenetic signal for univariate data and ranges 186 from 0 < K > 1. High phylogenetic signal (K>1) indicates that closely related species 187 tend to be similar in relation to phenotypic attributes (Münkemüller et al., 2012). This 188 method has been adapted for multivariate data recently (Adams, 2014), along with a 189 Monte Carlo randomization procedure that allows testing the significance of the 190 statistic. Analysis was performed with the physignal function of the geomorph 191 package (Adams et al. 2020).

192 To test the effect of the position in the water column species usually occupy on 193 RBC shape, we gathered data on depth range from FishBase (Froese and Pauly 2019) 194 and treated it as a categorical variable, henceforth referred to as habitat. Thus, species 195 were classified as demersal (n=4), pelagic (n=2), and benthopelagic (n=9). Afterwards, 196 we compared the fit of evolutionary models to the eight pPCs. Specifically, we fit 197 Pagel's lambda transformation, Brownian Motion, and Ornstein-Uhlenbeck models to 198 data using the leave one out cross-validation of the penalized log-likelihood, as 199 implemented in the mvqls function (Clavel and Morlon 2020) of the package 200 mvMORPH (Clavel et al. 2015) including habitat as a predictor variable. Then, we

201 compared the model fit using the Extended Information Criterion (EIC), which showed 202 that the best model was Brownian Motion (BM; Table S2). We built a phylogenetic 203 Generalized Least Squares (Clavel and Morlon 2020) using BM to test the effect of 204 habitat on RBC shape, with the Pillai's trace as test statistic and Type I Sum of Squares. 205 Finally, to test the hypothesis if a change in evolution rate is the mechanism 206 allowing species to occupy different positions in the morphospace, we estimated tip-207 level evolution rate of RBC shape using phylogenetic ridge regression, as implemented 208 in the RRphylo package (Castiglione et al. 2018). For this analysis we used all eight 209 pPCs. This analysis also automatically finds nodes in which there was a rate shift. The 210 R markdown dynamic document describing how analyses were conducted and 211 associated data are available at FigShare (Martins et al. 2020).

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213 Results

214 215 The shape of Red Blood Cells (RBC) of fish species studied varied from oval to 216 ellipsoid and a rounded nucleus (Figure 2). Cell size varied by an order of magnitude, 217 from the largest cell of Synbranchus to the smallest in Poecilia. The same phenomenon happened to cell volume (Fig. 3). Nucleus area varied from 7.45 μ m² (+ 1.2 SD) in 218 Poecilia to 27.30 μm^2 (+ 4.9 SD) in Synbranchus. Additionally, the range of 219 220 intraspecific variation in some measurements varied among species. For example, cell 221 volume had the highest standard deviation, while shape factor, nucleus area and cell 222 perimeter seem to vary less within species (Table S1).

The pPC1 retained 66.1%, while pPC2 retained 21.4% of the variation in RBC shape. Cell and nucleus area, cell and nucleus perimeter, cell and nucleus volume were highly negatively correlated (> 0.84) with pPC1, whereas the nucleus:cytoplasm ratio was highly (-0.87) correlated with pPC2 (Table S2). Species positively correlated with

pPC1 had higher shape factor, whereas those negatively correlated had higher cell and nucleus area, nuclear and cell perimeter, cell and nucleus volume, and nucleus:cytoplasm ratio (Table S2). Species positively correlated with pPC2 had higher cell perimeter, cell and nuclear area, whereas those negatively correlated had higher nucleus:cytoplasm ratio, nucleus and cell volume, and nucleus area (Fig. S4).

232 The distribution of species in the phylomorphospace suggest that closely related 233 species do not have RBC with similar shape (Fig. 4). Accordingly, RBC shape does not 234 exhibit phylogenetic signal ($K_{mult} = 0.6317$; Effect size = 0.7863; P = 0.159). The low 235 effect size suggest phylogenetic signal is not concentrated in a few dimensions. To test 236 if only a subset of dimensions displays phylogenetic signal (see Adams & Collyer 237 2019), we re-run the analysis with only the first two pPCs. Results did not change (see Martins et al. 2020). However, the morphospace suggest a non-stationary pattern in the 238 239 evolution of RBC shape, since most closely related species occupy distant positions, but 240 the position of siluriformes (*Pseudoplatistoma*, *Rhamdia*, and *Hypostomus*) mirror their 241 phylogenetic relationship in the upper part of the morphospace. Interestingly, the 242 Acanthopterygii included in our sampling: Synbranchus, Poecilia, Bujurquina, and 243 *Cichlosoma* had the most divergent pattern, occupying extreme positions in the 244 phylomorphospace, whereas species of Ostariophysi were more packed together. There 245 is a high divergence in RBC shape within Characiformes. Therefore, there seems to be a 246 variation in the pattern of RBC shape evolution at the level of higher order groups 247 (Superorders), instead of a homogeneous pattern throughout the whole phylogeny.

Habitat did not influence RBC shape (Pillai's trace=0.6936; P=0.461). The results did not change if we use a multivariate PGLS (Table S4). We also found that evolution rate of RBC shape was very heterogeneous at the tip level (Fig. 5). The species with the highest rate was *Pygocentrus* (0.741) and the lowest was *Bujurquina*

252 (0.087). There was a tendency to increase the rate in the common ancestor of 253 *Pygocentrus, Metynnis, and Piaractus in relation to the background rate, but the rate* 254 shift was not significant (rate difference = 0.392; P > 0.005). Interestingly, the species 255 occupying the most extreme positions in the phylomorphospace, such as *Synbranchus* 256 (0.514), Astyanax (0.555), and Gymnotus (0.17) were not the ones with the highest rates 257 of evolution. Therefore, our results suggest that an increase in the rate of evolution does 258 not necessarily produces morphological specialization and rate disparity is not the main 259 pattern involved in the formation of RBC morphospace.

260

261 Discussion262

We found that the shape of Red Blood Cells (RBC) varies greatly among and within freshwater fish species. Contrarily to our initial hypothesis, we did not find a correlation between water column position (proxy for oxygen availability) and RBC shape. Accordingly, we did not find phylogenetic signal in RBC shape, suggesting closely related species do not have similar RBC shape. There does not seem to be a single mechanism driving the evolution of RBC shape, since the phylomorphospace shows a non-stationary pattern in the species similarity.

270 Small RBC allow species to occupy environments with low dissolved oxygen, 271 since they usually have a higher number of cells, making them more efficient in oxygen 272 uptake and transport (Silkin et al., 2019; Hawkey et al., 1991). Species with RBCs that 273 have large surface area and volume have low metabolic rate, since the cell 274 surface:volume ratio decreases the metabolic cost of gas exchange between membranes 275 (Jones 1979, Lay and Baldwin, 1999). Synbranchus had higher perimeter (i.e., higher 276 surface) and higher area. Thus, our results suggest that this species could have a lower 277 metabolic rate than *Poecilia*, because the later has a smaller cell area and perimeter.

278 Most species occupied the center of the morphospace, independent of the 279 phylogenetic relationship. This clustering of distantly related species suggests that 280 convergence, apparently not caused by water column position, could be a mechanism 281 influencing RBS shape evolution (Adams and Collyer 2019). Clustering in 282 morphospace can also suggest a developmental constraint (Jablonski 2020) that impedes 283 species to change their traits after speciation (Pie and Weitz 2005). Also, a few species 284 were in extreme positions. Even though water column position did not explain RBC 285 shape, the extreme position of Synbranchus, Astyanax, and Poecilia in the morphospace 286 could be explained by differences in their life history strategies. For example, 287 Synbranchus displays facultative pulmonary respiration, usually occurs in habitats with 288 hypoxia, and burry itself during the dry season (Froese and Pauly, 2019). Astyanax also 289 occurs in habitats with hypoxia (Froese and Pauly, 2019). The pattern we found points 290 to clade disparity differences (Adams & Collyer 2019), with Acanthopterygii having 291 higher dispersion in the morphospace than Ostariophysi (Fig. S6). These two orders 292 have different ecological and biogeographic characteristics. Species from Ostariophysi 293 are essentially from freshwater, while Acanthopterygii has marine origins, with 294 secondary freshwater introgressions. One interesting pattern that emerges from the 295 morphospace is that apparently there is no constrains in the morphospace, because 296 almost all regions have one species. Siluriformes seem to have similar RBC shape, 297 given their position in the morphospace, and similar evolution rate. This lineage 298 occupies the upper position of the morphospace, along with Piaractus and 299 Hyphessobrycon, and had high cell area and perimeter, and low nucleus:cytoplasm ratio, 300 nucleus volume, and circularity. Exception for *Rhamdia* and *Hyphessobrycon*, all those 301 species are demersal (benthic). Together these results suggest that the evolution of

RBC shape was driven by fluctuating selection, although this result should be takenwith care, since our sample size is not large.

304 We found a high heterogeneity in evolution rate of RBC shape. This high rate 305 heterogeneity helps explain why we did not detect a significant phylogenetic signal, i.e., 306 the change in shape seems to vary strongly at the tip level, instead of large clades. This 307 is consistent with the model selection procedure that pointed Brownian Motion (BM) as 308 best fit model to the data. BM can be caused by genetic drift, when selection randomly 309 varies in direction through time, or when selection is weak compared to the time scale 310 analysed (Felsenstein 1988). The large intraspecific variation in most variables (Table 311 S1) may suggest that variables describing RBC shape are under weak selection pressure 312 (Nikinmaa 2019). Interestingly, the position extreme positions of *Synbranchus* (0.514), 313 Astyanax (0.555), and Pygocentrus (0.741) could be explained at least partially by an 314 increase in evolution rate, which allowed them to diverge from their sister species, 315 remaining Acanthopterygii, Hyphessobrycon, and Metynnis respectively. However, 316 given our sample size we cannot rule out that RBC shape of these species are evolving 317 under directional selection. Conversely, if lineages followed a random walk (akin of 318 BM) one would expect species to diverge and occupy positions in the morphospace 319 irrespective of their habitat or phylogenetic position (Pie and Weitz 2005).

Water column position did not explain RBC shape in this freshwater fish species radiation. This result suggest that RBC shape may not be entirely determined by partial pressure of dissolved oxygen in water. It also suggests that water column position does not represent a main constraint in the evolution of these traits. A recent study (Minias 2020) also found that life history traits did not explain hemoglobin and hematocrit evolution in birds. Differently from mammals, fish RBC are nucleated cells and have many cytoplasmic organelles, such as mitochondria, Golgi complex, centrioles,

327 ribosomes, microtubules, rough and smooth endoplasmic reticulum (Sekhon and Beams 328 1969), as well as a range of cytoplasmatic enzymes involved in the anaerobic glycolysis 329 (Sephton et al. 1991). These organelles and enzymes allow the functions of RBC to be 330 finely regulated and somewhat independent from other components of the 331 hematopoietic system, enabling fish to occupy a wide range of environments (Nikinmaa 332 et al. 2019). Therefore, species may respond rapidly to changes in oxygen availability 333 (Witeska, 2013), which indicates that it is not constrained by inheritance (Arnold 1992) 334 or phylogenetic inertia, at least to a certain degree.

In conclusion, our study provides a novel macroevolutionary perspective on fish RBC shape by combining commonly used design-based stereological techniques with modern phylogenetic comparative methods. We found that the RBC of the two main orders of Neotropical freshwater fish vary greatly in shape, but that their water column alone does not explain it. Future studies should use quantitative genetic approaches to explore the underlying genetic basis for RBC morphology, possibly using geometric morphometrics and experimental approaches motivated by ecological data.

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- 490 Figure Legends
- 491492 Figure 1. Dated phylogenetic tree with species to which we have phenotypic data used493 in the analysis, pruned from Rabosky et al. (2018).
- 494
- Figure 2. Shape and size of Red Blood Cells of the 15 fish species analysed. Staining: MMGW. Scale bars = $10 \mu m$.
- 497

498 Figure 3. Diagram showing the mean of each stereological variable with the

- 499 phylogenetic tree of the species sampled. Data on standard deviation for each variable is500 available at Table S1.
- 501

502 Figure 4. Phylomorphospace constructed with phylogenetic Principal Components 1 503 and 2 showing species distribution in the reduced space. Red Blood Cell (RBC) images 504 are all in the same magnification and belong to species occupying the extreme positions 505 along each pPC. The RBC associated positively with pPC1 is from *Poecilia*, which has 506 a high shape factor, while the RBC associated negatively with pPC1 is from 507 Synbranchus that has high cell area and perimeter, and high nucleus area and perimeter. The RBC associated positively with pPC2 belongs to *Pseudoplatystoma*, which has high 508 509 cell perimeter. The RBC image associated negatively with pPC2 is from Astyanax and 510 has a high shape factor, high nucleus:cytoplasm ratio, and high nucleus volume. Colours

- 511 represent depth in the water column species are usually found, green = Pelagic; black =
- 512 Benthopelagic; red = Demersal.

514 Figure 5. Phylogenetic tree showing the results of the tip-level evolution rate estimated

515 using ridge regression for the stereological variables describing the Red Blood Cell

516 shape. Analysis was conducted in RRphylo.

- 518 Data accessibility statement
- 519
- 520 All the data and R code used to run the analysis will be deposited in FigShare upon
- 521 acceptance.

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