1 CO₂ enhances the ability of Candida albicans to form biofilms, overcome

2 nutritional immunity and resist antifungal treatment

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1 Abstract

2 C. albicans is the predominant fungal pathogen of humans and frequently colonises 3 medical devices, such as voice prosthesis, as a biofilm. It is a dimorphic yeast that 4 can switch between yeast and hyphal forms in response to environmental cues, a property that is essential during biofilm establishment and maturation. One such cue 5 6 is the elevation of CO_2 levels, as observed in exhaled breath. However, despite the 7 clear medical relevance, the effects of CO₂ on C. albicans biofilm growth has not 8 been investigated to date. Here, we show that physiologically relevant CO_2 elevation 9 enhances each stage of the C. albicans biofilm forming process; from attachment 10 through to maturation and dispersion. The effects of CO_2 are mediated via the 11 Ras/cAMP/PKA signalling pathway and the central biofilm regulators Efg1, Brg1, Bcr1 and Ndt80. Biofilms grown under elevated CO₂ conditions also exhibit 12 13 increased azole resistance, tolerance to nutritional immunity and enhanced glucose 14 uptake to support their rapid growth. These findings suggest that C. albicans has 15 evolved to utilise the CO₂ signal to promote biofilm formation within the host. We 16 investigate the possibility of targeting CO_2 activated processes and propose 2-17 Deoxyglucose as a drug that may be repurposed to prevent C. albicans biofilm 18 formation on medical airway management implants. We thus characterise the 19 mechanisms by which CO₂ promotes C. albicans biofilm formation and suggest new 20 approaches for future preventative strategies.

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1 Introduction

C. albicans is a commensal yeast located on the mucosal surfaces of the oral cavity, 2 gastrointestinal and genitourinary tracts of most healthy individuals ¹². Despite being 3 a commensal organism, it is also an opportunistic pathogen ¹³; in fact, it is the most 4 widespread of all the human fungal pathogens⁴ and is the fourth most common 5 cause of hospital-acquired infections in the USA¹. Infection with C. albicans is a 6 particular problem among immunocompromised individuals or persons with 7 implanted medical devices such as catheters or voice prostheses ⁵⁶ upon which the 8 veast grows as a biofilm 7. 9

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Biofilms are structured communities of microorganisms attached to a surface. The 11 cells are often encased within an extracellular matrix (ECM) which is commonly 12 comprised of DNA⁸⁹, lipids⁸, proteins⁸¹⁰ and polysaccharides⁸. *C. albicans* is able 13 14 to form biofilms on both abiotic and biotic surfaces and biofilm-associated cells are 15 considerably more resistant to traditional antifungals when compared to planktonic cells¹¹. The reasons for this increased resistance are complex but include; the 16 presence of an ECM which can act as a barrier to prevent antimicrobial agents 17 reaching the cells ¹²¹³, the presence of metabolically dormant persister cells inherent 18 to biofilms ¹⁴, and the upregulation of drug efflux pumps ¹⁵. A significant percentage 19 of human microbial infections arise from or are mediated via the formation of a 20 biofilm ¹⁶¹⁷¹⁸, and this, combined the limited treatment options available, means the 21 ability of *C. albicans* to grow as a biofilm is of particular medical interest. 22

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C. albicans is a dimorphic fungus, it has the ability to undergo a morphogenic switch from a yeast, to pseudohyphal or hyphal forms in response to environmental cues.

1 The virulence of *C. albicans* is closely linked with the capacity to switch between 2 these forms; hyphal C. albicans cells are frequently located at sites of tissue invasion, and cells which are unable to readily form hyphae exhibit reduced virulence 3 ¹. The yeast-to-hyphal switch is also critical to biofilm formation as hyphal cells 4 express a number of specific cell surface adhesins that enable cell-cell and cell-5 surface attachment ¹⁹. These adhesins, such as the agglutinin-like sequence (Als) 6 proteins, possess a folded N-terminal domain required for protein-ligand interaction 7 8 and a C-terminal peptide which covalently bonds to glycosylphosphatidylinositol (GPI) to anchor the adhesin in the fungal cell wall ²⁰. The Als proteins also contain an 9 amyloid forming region (AFR) in the N-terminal domain ²¹ which interacts with AFRs 10 of other Als proteins. This results in the formation of large molecular weight clusters 11 of Als proteins on the fungal cell wall called nanodomains which can bind multivalent 12 ligands with high avidity ²². These nanodomains form in response to sheer forces 13 14 applied to the adhesin molecules which cause the AFR to unfold and facilitate Als molecule aggregation ²³²⁴. Nanodomains therefore strengthen adhesion and support 15 the structure of mature biofilms ²⁵. 16

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C. albicans biofilm formation is a complex process involving tightly regulated, 18 interwoven signalling pathways centrally controlled by a set of nine transcription 19 factors; Bcr1, Brg1, Efg1, Flo8, Gal4, Ndt80, Rob1, Rfx2 and Tec1. These nine 20 essential regulators function at different stages throughout C. albicans biofilm 21 formation ²⁶ and coordinate the expression of over 1000 target genes upregulated 22 23 during biofilm formation²⁷. C. albicans biofilm formation can be divided into distinct 24 stages that are governed by programmes of gene expression; attachment, initiation, 25 maturation and dispersion. The attachment stage involves the initial attachment of C.

albicans cells, primarily in the yeast-form ²⁸, to a surface ²⁹. Both nonspecific factors, 1 such as cell surface hydrophobicity and electrostatic forces, and specific factors, 2 such as adhesins on the yeast cell surface binding to precise ligands on the 3 substratum to be colonised, are responsible for the preliminary attachment ²⁹. 4 Approximately 3-6 hours after the initial attachment, pseudohyphal and hyphal cells 5 start forming from the proliferating yeast-form cells ³. This initiation step is 6 characterised by the appearance of extracellular material. The maturation phase of 7 biofilm growth lasts between 24-48 hours ²⁹. Colonies of *C. albicans* continue to grow 8 and secrete ECM, increasing the amount of material encasing the biofilm ²⁸. The final 9 10 stage of biofilm development is the dispersal stage during which yeast-form cells bud 11 off from hyphal cells within a mature biofilm and disperse in order to establish additional biofilms elsewhere ²⁸³⁰. The yeast-form cells emerging from mature 12 13 biofilms have distinct characteristics compared to typical planktonic yeast cells; with 14 enhanced adherence, an increased propensity to filament, and increased biofilm forming capability ³¹. 15

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17 Elevated CO₂ levels, as found in a number of physiologically relevant scenarios such 18 as in exhaled breath or hypercapnia, have been shown to promote the yeast-tohyphal switch in C. albicans. CO_2 is converted to bicarbonate ions HCO_3^{-} by the 19 enzyme carbonic anhydrase which in turn activate the adenylate cyclase Cyr1, 20 21 resulting in increased cAMP levels and the PKA dependent activation of hyphal specific genes ³². The yeast-to-hyphal switch is critical to the biofilm maturation 22 process of *C. albicans*³³, as well as being important to its virulence¹. The effect of 23 24 CO₂ may be particularly important within the context of biofilm development on voice 25 prostheses (VPs) since these devices are situated in the throat of patients where

1 they are consistently exposed to high CO_2 (5%) levels during exhalation. If CO_2 does 2 play a role in *C. albicans* biofilm maturation it could offer a possible explanation as to 3 why C. albicans is found in such high frequencies on failed VPs. In addition, CO_2 4 content within the blood is also elevated (46mmHg and 40mmHg for venous and arterial blood respectively versus 0.3mmHg found in atmospheric air) ³⁴³⁵, and it has 5 6 been estimated that as many as 80% of all microbial infections directly or indirectly involve pathogenic biofilms ³⁶. Thus, the work presented here could be more widely 7 8 applicable to bloodstream infections and biofilm formation within the body.

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Here we demonstrate that physiologically relevant increases in CO₂ accelerate C. 10 11 albicans biofilm formation on silicone surfaces. Transcriptome analysis reveals that 12 several core biofilm regulatory pathways, including those governed by Efg1, Bcr1, 13 Brg1, and Ndt80, are upregulated. We also demonstrate that a high CO_2 14 environment results in increased resistance of biofilms to azole antifungals, 15 enhanced dispersal of cells from mature biofilms and an increase in capacity for 16 glucose uptake. Moreover, a transcription factor knockout (TFKO) library screen 17 demonstrated transcription factors involved in the acquisition of iron, such as the 18 HAP transcription factors Hap43, Hap2, Hap3 and Hap5, to be important for C. 19 albicans biofilm formation on silicone surfaces in atmospheric CO₂ conditions. 20 However, high CO₂ was able to overcome the requirement for HAP transcription 21 factor activity and enable C. albicans biofilms to forage for essential metabolites to 22 support growth. Overall, we propose that *C. albicans* has adapted to utilise the high 23 CO₂ environment found in the host to promote its ability to colonise and to compete 24 for nutrition. Our analysis reveals new approaches that can be taken to prevent C.

- 1 albicans biofilm formation in high CO₂ environments that pave the way for new
- 2 therapeutic approaches to treat these highly drug resistant structures.

1 Materials and Methods

2 Candida strains and growth media

Candida strains (Supplementary Table S1) were routinely grown at 30°C in yeast
peptone dextrose (YPD) media (2% peptone (BD Bacto), 2% D-glucose (Fisher
Scientific), 1% yeast extract (BD Bacto)). For biofilm growth assays, *Candida*biofilms were grown at 37°C in RPMI-1640 media (Sigma-Aldrich, R8755)
supplemented with 80µg/ml uridine (Sigma-Aldrich, U3750) if required.

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9 *In vitro* biofilm growth assays

10 C. albicans biofilms were grown on a PDMS silicone elastomer (Provincial Rubber, S1). The silicone was cut into 1cm² squares and placed in clips in a modified 24-well 11 plate lid (Academic Centre for Dentistry Amsterdam, AAA-model) so they could be 12 13 suspended in media within a 24-well plate. Silicone squares were incubated in 1ml 50% Donor Bovine Serum (DBS) (Gibco, 16030074) for 30 minutes at 30°C, then 14 15 washed twice with 1ml PBS to remove excess DBS. 1ml of C. albicans were added to each well of a 24 well plate following resuspension in PBS at an OD₆₀₀ of 1.0 and 16 17 the lid with the silicone squares attached was placed on top so the silicone squares 18 protrude into the cell suspension. Plates were then incubated at 37°C (in either 0.03% CO₂ or 5% CO₂) without shaking for 90 min to allow cell attachment to the 19 20 silicone. After the attachment phase, the silicone squares were washed twice with 21 1ml PBS to remove any unattached cells and transferred to 1ml RPMI-1640 media 22 (Sigma-Aldrich, R8755). They were then incubated at $37^{\circ}C$ (in either 0.03% CO₂ or 23 5% CO_2) without shaking for 48h to allow biofilm maturation.

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1 Biofilm quantification via XTT assay

Biofilm growth was quantified using an XTT assay ³⁷. Biofilms were washed twice 2 with 1ml PBS to remove any planktonic cells before proceeding to quantification. 3 After washing, the biofilms were transferred to a new pre-sterilised 24-well plate 4 (Greiner Bio-one, CELLSTAR, 662160) containing 30µg/ml XTT labelling reagent 5 (Roche, 11465015001) and incubated at 37°C for 4h. After incubation, the biofilms 6 were removed from the 24-well plate and the absorbance of the remaining XTT 7 labelling reagent was measured at 492nm using a BMG LABTECH FLUOstar 8 Omega plate reader machine. 9

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11 *C. albicans* transcription factor knockout (TFKO) screen

Biofilms using mutants from a *C. albicans* TFKO library ³⁸ were seeded and grown for 48h on a PDMS silicone elastomer (Provincial Rubber, S1) as described above. Biofilm growth was quantified using the XTT assay. Experiments were performed in biological triplicate.

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17 Iron starvation of *C. albicans* biofilms

Biofilms were set up as described previously except they were incubated at 37° C in either 0.03% CO₂ or 5% CO₂ for 48h in RPMI-1640 containing varying concentrations of the Fe²⁺ chelator 3-(2-PyridyI)-5,6-diphenyI-1,2,4-triazine-p,p'disulfonic acid monosodium salt hydrate (Ferrozine – Sigma-Aldrich, 160601) or the Fe³⁺ chelator Deferasirox (Cambridge Bioscience, CAY16753-5). Ferrozine was made as a 100mM stock solution in sterile MQ H₂O and diluted in RPMI-1640 to final concentrations of 250-500µM. Deferasirox was made as a 20mg/ml stock solution in

DMSO and diluted in RPMI-1640 to final concentrations of 70-210µg/ml. Relevant
 solvent controls were included. Final biofilms were quantified using an XTT assay.

3

4 **Preparation of PDMS-coated microscope slides**

To prepare PDMS for coating microscope slides 16q (6.16 x 10⁻⁴ mol) silanol-5 terminated PDMS (cSt 1000, M_W 26000, from Fluorochem Ltd.) and 0.26g (12.48 x 6 7 10⁻⁴ mol, 1:4 stoichiometric ratio) cross-linking agent tetraethyl orthosilicate (TEOS – Sigma-Aldrich, 131903) were mixed at 3500rpm for 1 min using a DAC 150FV2-K 8 speedmixer. At this point, 720µl tin(II) ethylhexanoate (Sigma-Aldrich, S3252) made 9 10 up at a concentration of 0.6M in toluene was added as a catalyst and the mix spun for a further 60 secs at 3500rpm. The elastomer mixture was then doctor bladed onto 11 microscope slides using an automatic precision film applicator MTCX4 (Mtv-12 13 Messtechnik – blade width = 70mm, thickness adjustability 0-3000µm). The doctor 14 blade height was set 10µm higher than the thickness of the microscope slide. The 15 elastomer mix was poured over the top of the slide (with a bias towards the side of the microscope slide closest to the doctor blade), and then the doctor blade is moved 16 17 at a constant speed over the substrate. The microscope slide was then air cured for 2h before being heat cured for 18h in a 70°C oven. 18

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20 Preparation of *C. albicans* biofilms on silicone coated slides for microscopy

Biofilms were grown directly on microscope slides that had been pre-coated with a
PDMS silicone polymer (see above) for confocal imaging. Biofilms were grown with a
prefabricated well. PDMS-coated microscope slides were incubated with 400µl 50%
Donor Bovine Serum (DBS) (Gibco, 16030074) in the wells for 30 min at 30°C and
washed twice with 400µl PBS. *C. albicans* overnight cultures were grown in YPD at

1 30°C and washed in PBS as described previously. 400µl of the OD₆₀₀ 1.0 standard cell suspension was added to the wells and incubated at 37°C (in either 0.03% CO₂ 2 or 5% CO₂) without shaking for 90 min to allow cell attachment to the silicone 3 4 surface of the microscope slide. After the attachment phase, the microscope slides were washed twice with 400µl PBS to remove any unattached cells and then 5 6 incubated at 37°C with 400µl RPMI-1640 media in the wells for 6h, 24h or 48h (in either 0.03% CO₂ or 5% CO₂). Biofilms were washed twice with 400µl PBS and then 7 8 incubated in the dark for 45 min at 30°C in 400µl PBS containing 50µg/ml ConA-FITC (Sigma-Aldrich, C7642) and 20µM FUN-1 (Invitrogen Molecular Probes, 9 10 F7030). After incubation with the dyes, the stained biofilms were washed again with 400µl PBS to remove any residual dye. The well was removed and 2 drops of 11 ProLong[™] Diamond Antifade Mountant (Invitrogen, P36965) was added to each 12 13 stained biofilm. A cover slip was placed on top and the microscope slides were 14 incubated in the dark at room temperature overnight to allow the mountant to cure.

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Confocal scanning laser microscopy (CSLM) of *Candida albicans* biofilms on silicone slides

18 Stained biofilms grown on silicone coated slides were imaged using a Zeiss LSM880/Elyra/Axio Observer.Z1 Confocal Microscope (Carl Zeiss Inc.) using the 19 488nm argon and the 561nm DP55 lasers. Images of the green (ConA-FITC) and 20 21 the red (FUN-1) were taken simultaneously using a multitrack mode. Z-stacks were 22 taken using the inbuilt 'optimal' settings to determine the optimal intervals (typically 23 1.5-2.0µm slices) based upon sample thickness and magnification. The 20x and oil-24 immersion 40x objective lenses were used throughout. The image acquisition 25 software used was ZENBlack and the image processing software was ZENBlue.

1 RNA isolation from *C. albicans* biofilms

Total RNA was extracted in biological triplicate per condition (0.03% and 5% CO₂) 2 using the E.Z.N.A.[™] Yeast RNA Kit (Omega Bio-Tek, R6870-01) as per the 3 manufacturer's instructions with a few modifications. Specifically, C. albicans CAI-4 4 biofilms were seeded and grown in 0.03% and 5% CO₂ as described for in vitro 5 6 biofilm growth assays. Mature biofilms were washed twice with 1ml ice-cold PBS to remove any planktonic cells. Biofilm cells were harvested by transferring silicone 7 8 squares upon which the biofilms were growing into 5ml cold SE buffer/2mercaptoethanol (provided in the E.Z.N.A.[™] Yeast RNA Kit) and vortexing at 9 10 2500rpm for 1 min. The resulting biofilm cell suspension was pelleted by centrifugation at 4000rpm for 10 min at 4°C. The supernatant was discarded and the 11 cells re-suspended in fresh 1ml cold SE buffer/2-mercaptoethanol, this cell 12 13 suspension was transferred to a 2ml Eppendorf tube. The cell suspension was 14 centrifuged again for 10 min at 4°C, the supernatant discarded and the pellet re-15 suspended in 480µl fresh SE buffer/2-mercaptoethanol. The biofilm cell suspension 16 was incubated with 80µl lyticase stock solution (5000units/ml in SE buffer) at 30°C for 90 min. The resulting spheroplasts were pelleted by centrifugation at 2900rpm for 17 10 min at 4°C and the supernatant aspirated and discarded. The spheroplasts were 18 gently re-suspended in 350µl YRL buffer/2-mercaptoethanol (provided in the 19 E.Z.N.A.[™] Yeast RNA Kit). The rest of the RNA extraction proceeded as per the 20 21 manufacturer's instructions including the optional DNase digestion step. RNA was eluted in 30µl DEPC water, the concentration and purity established using a 22 NanoDrop ND-1000 spectrophotometer (NanoDrop Technologies) and stored at -23 24 80°C.

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1 Library Preparation and RNA Sequencing

2 RNA samples were sent to the Centre for Genome Enabled Biology and Medicine 3 (Aberdeen, UK) for library preparation and sequencing. Before library preparation, 4 the quality and quantification of RNA samples were evaluated with TapeStation (Agilent) and Qubit (Thermal Fisher). Samples with a minimum RIN of 8.0 proceeded 5 6 to library preparation. The input of RNA was based on the specifically measured RNA concentration by Qubit. The mRNA-Seq libraries were prepared using 7 8 TruSeg[™] Stranded mRNA Sample Preparation Kit (Illumina) according to the 9 manufacturer's instructions. Briefly, Poly-A RNA were purified from 500ng of total 10 RNA with 1ul (1:100) ERCC spike (Thermal Fisher) as an internal control using RNA 11 purification oligo(dT) beads, fragmented and retrotranscribed using random primers. Complementary-DNAs were end-repaired, and 3-adenylated, indexed adapters were 12 13 then ligated. 15 cycles of PCR amplification were performed, and the PCR products 14 were cleaned up with AMPure beads (Beckman Coulter). Libraries were validated for 15 quality on Agilent DNA1000 Kit and quantified with the qPCR NGS Library 16 Quantification kit (Roche). The final libraries were equimolar pooled and sequenced 17 using the High Output 1X75 kit on the Illumina NextSeq500 platform producing 75bp 18 single-end reads. For each library a depth of 50-70M reads was generated.

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20 Analysis of RNA-Seq data

Analysis of RNA-Seq data was performed using the Galaxy web platform ³⁹. The quality of the RNA sequencing reads was checked using FastQC v0.11.5 ⁴⁰ with default settings. Low quality ends (Phred score < 20) and any adaptor sequences were trimmed using TrimGalore! v0.4.3 ⁴¹. Reads which became shorter than 40bp after trimming were removed from further analysis. After trimming, 97.7% of initial

reads remained and the quality was checked again using FastQC v0.11.5⁴⁰. There 1 2 were no Poly-A reads (more than 90% of the bases equal A), ambiguous reads (containing N) or low quality reads (more than 50% of the bases with a Phred score 3 < 25). After processing, the mean Phred score per read was 35. Processed reads 4 were aligned with the reference C. albicans genome SC5314 version A21-s02-m09-5 r10 using HISAT2 v2.1.0⁴² with single-end reads and reverse strand settings (rest of 6 the settings were default). After alignment, the number of mapped reads which 7 8 overlapped CDS features in the genome (using С. the albicans SC5314 version A21-s02-m09-r10 features.gtf annotation file ⁴³) were 9 determined using htseq-count v0.9.1⁴⁴ with default settings. Reads aligning to 10 multiple positions or overlapping more than one gene were discarded, counting only 11 reads mapping unambiguously to a single gene. Differential gene expression 12 13 analysis between conditions (0.03% and 5% CO₂) was performed using DESeq2 v1.18.1⁴⁵ with default settings. 14

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Gene Set Enrichment Analysis of transcription profiles

17 Downstream analysis of RNA Sequencing data was performed using the PreRanked tool of Gene Set Enrichment Analysis (GSEA; Broad Institute) ⁴⁶ which compares a 18 pre-ranked significantly differentially expressed gene list to a functional gene set 19 database. False discovery rate (FDR) q-values were calculated based upon 1000 20 permutations. The gene set database used was assembled by Sellam, A. et al. as 21 described in ⁴⁷, which is based upon experimental analyses from published studies, 22 Gene Ontology (GO) term categories curated by the Candida Genome Database 48 23 24 and protein-protein interaction information derived from Saccharomyces cerevisiae data curated by the Saccharomyces Genome Database ⁴⁹. Gene set networks were 25

1generated in Cytoscape 3.7.1 (Available at: https://cytoscape.org/)

250using the2EnrichmentMapplug-in(Availableat:3http://apps.cytoscape.org/apps/enrichmentmap). Gene expression heat maps based4on GO term categories were created using the Pheatmap package in R Studio.

5

6 Antifungal treatment of *C. albicans* biofilms

Biofilms were set up as described previously and grown in RPMI-1640 for 24h at 7 8 37°C. The biofilms were then transferred to fresh RPMI-1640 media containing a select antifungal. Three antifungals were tested; Fluconazole, Miconazole and 9 10 Nystatin. Fluconazole (Santa Cruz Biotechnology, sc-205698) was made as a 11 50mg/ml stock solution in ethanol and diluted in RPMI-1640 final concentrations ranging from 8-256µg/ml. Miconazole (Santa Cruz Biotechnology, sc-205753) was 12 13 made as a 50mg/ml stock solution in DMSO and also diluted in RPMI-1640 to final 14 concentrations ranging from 8-256µg/ml. Nystatin (Santa Cruz Biotechnology, sc-15 212431) was made as a 5mg/ml stock solution in DMSO and diluted in RPMI-1640 to final concentrations ranging from 1-8µg/ml. Drug vehicle controls (0.5% ethanol for 16 17 Fluconazole, 0.5% DMSO for Miconazole and Nystatin) were used in all cases. The 18 biofilms matured in the RPMI-1640 media containing the select antifungal for a further 24h at 37°C in both 0.03% and 5% CO₂ before proceeding to quantification 19 20 via the XTT assay. Experiments were performed in biological and technical triplicate.

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22 *C. albicans* attachment assay

CAI-4 cells were seeded onto silicone-coated microscope slide for 90 min as described previously for growing biofilms for confocal microscopy, except here an OD_{600} of 0.1 was used instead of 1.0 and the silicone-coated microscope slides were

not pre-incubated with DBS. The slide surface was washed twice with 400µl PBS to remove any unattached cells. Images were taken using a Leica DMR fitted with a Leica DFC9000 GT camera using a 20x objective lens and brightfield settings. The image acquisition software used was the Leica Application Suite X package. Using identical microscope settings throughout, five images were taken of each of three biological replicates in both 0.03% and 5% CO₂ and the cells per image counted using the 'Cell Counter' function in ImageJ.

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9 2-deoxyglucose (2-DG) treatment of *C. albicans* biofilms

Biofilms were set up as described previously except they were incubated at 37°C in either 0.03% CO₂ or 5% CO₂ for 48h in RPMI-1640 containing varying concentrations of 2-DG. 2-DG (Sigma-Aldrich, D6134) was made up as a 20% stock solution in sterile MQ H₂O and diluted in RPMI-1640 to final concentrations of 0.25-1%. Biofilms were quantified using XTT assays and images were also taken. Experiments were performed in biological and technical triplicate.

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17 *C. albicans* biofilm dispersion assay

Biofilms were set up as described previously and grown in RPMI-1640 for 48h at 37°C. The spent media, containing dispersed cells, was sonicated at amplitude 4µm for 10 secs to separate clumps of hyphal cells (previous work in our lab has demonstrated these sonication settings do not affect viability). After sonication, the dispersed cells were diluted 1 in 10 and 200µl of this suspension was plated on YPD agar plates in triplicate. YPD plates were incubated for 48h at 37°C to allow colonies to form, at which point the number of colonies were manually counted using a Stuart

- 1 Scientific Colony Counter. Experiments were performed in biological and technical
- 2 triplicate.
- 3

1 Results

2 CO₂ enhances C. albicans biofilm formation

C. albicans biofilms were seeded and grown on silicone in CO₂ levels found in 3 exhaled air (5%) and atmospheric air (0.03%) for 24 and 48 hours. Biofilms were 4 quantified using the XTT assay which acts as a readout of cell number ³⁷. After 24 5 hours of growth, both CAI4pSM2 and SN250 C. albicans strains exhibited a 6 7 significantly higher cell number within biofilms grown in a 5% CO₂. However, after 48 8 hours, cell numbers within biofilms grown in both CO₂ conditions appeared equal 9 (Figure 1A). Interestingly, although cell number appeared equivalent at 48h, the 10 resultant biofilm mass appeared noticeably larger in biofilms grown under elevated 11 CO_2 conditions (Figure 1B).

12

13 Analysis of the effects of CO₂ on phases of *C. albicans* biofilm formation

We sought to determine which phases of biofilm growth were influenced by CO_2 14 15 elevation. To investigate the attachment phase C. albicans cells were seeded for 90 16 min onto silicone-coated microscope slides under 0.03% CO₂ or 5% CO₂ levels. We observed that exposure to elevated CO_2 led to a significant increase in the number 17 18 of cells that attached to silicone (mean of 2108 cells in 0.03% vs. a mean of 7033 19 cells in 5% CO₂) (Figure 2A). Cells also appeared to attach as larger aggregations in 20 5% CO₂ when compared to those in 0.03% CO₂ (Supplementary Figures S1A and B) 21 indicating that both cell-substrate and cell-cell attachments may be enhanced.

22

23 Confocal scanning laser microscopy (CSLM) was used to investigate the effects of 24 CO₂ on biofilm growth during maturation. *C. albicans* biofilms were seeded on 25 silicone-coated microscope slides and images were taken at 6h, 24h and 48h of

1 growth under either 0.03% or 5% CO₂ growth conditions (Figure 2B). Biofilm images 2 are displayed as maximum intensity ortho-projections of Z-stacks to give a view of 3 the overall structures of the biofilms. After 6h growth in 0.03% CO₂, the majority of 4 cells were found in the yeast form with some visibly initiating hyphae. In comparison, biofilms grown in 5% CO₂ appeared to consist of a high proportion of hyphal cells, 5 6 were visibly denser and had begun to exhibit an ordered structure in the Z-plane (Figure 2B). After 24h growth in 0.03% CO₂, biofilms were progressing through the 7 maturation stage with the appearance numerous hyphal cells. However, the 5% CO_2 8 9 biofilms displayed a fully mature biofilm organisation displaying hyphal cells organised in a brush-like structure above a basal layer of yeast cells (Figure 2B). At 10 11 48h, biofilms grown in both 0.03% and 5% CO_2 appeared as dense mature 12 structures, however, biofilms grown under elevated CO_2 appeared larger (Figure 2B) 13 as had been observed macroscopically (Figure 1B).

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15 Dispersion is the final stage of biofilm formation, we therefore investigated whether 16 CO₂ elevation resulted in increased levels of cell shedding. We routinely observed 17 that spent RPMI-1640 media isolated after biofilm growth in 5% CO₂ contained more 18 cells than that of taken from biofilms grown in 0.03% CO₂ (Supplementary Figure S2A). We quantified this by seeding and growing C. albicans biofilms for 48h in 19 20 0.03% and 5% CO_2 and conducting colony forming unit (CFU) assays using the 21 spent RPMI-1640 media (Figure 2C and Supplementary Figure S2B). Our results 22 showed an approximate four-fold increase in cell number released from mature 23 biofilms when grown under elevated CO_2 conditions, consistent with an increase in 24 dispersal. Overall, these data demonstrate that the elevation of CO_2 enhances each

stage of the *C. albicans* biofilm forming process, from attachment through maturation
 to dispersion.

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4 Identification of the regulatory mechanisms that govern CO₂ acceleration of C.

5 *albicans* biofilm formation

6 In planktonic C. albicans cells CO_2 is converted to bicarbonate ions (HCO₃) which stimulates the adenylate cyclase Cyr1 (Cdc35), an increase in cAMP and activation 7 of PKA ³². We investigated whether CO₂ elevation may drive biofilm formation and 8 maturation via a similar Ras/cAMP/PKA mechanism. We conducted biofilm growth 9 assays using C. albicans mutants lacking key components of the pathway; ras $1\Delta/\Delta$, 10 $cdc35\Delta/\Delta$, $CDC35^{\Delta RA}$ (adenylate cyclase missing the Ras1 interacting domain), 11 $tpk1\Delta/\Delta$ (missing a catalytic subunit isoform of PKA), and $tpk2\Delta/\Delta$ (missing the other 12 13 catalytic subunit isoform of PKA). Biofilm formation was guantified after 48h of 14 growth and compared to an isogenic wild type control. The ras $1\Delta/\Delta$ mutant displayed 15 significantly attenuated biofilm growth in 0.03% CO₂ but this was rescued to wild type levels in 5% CO_2 (Figure 3A), indicating that Ras1 function is dispensable for 16 biofilm formation under conditions of elevated CO₂. The $cdc35\Delta/\Delta$ and $CDC35^{\Delta RA}$ 17 mutants both exhibited significantly reduced biofilm growth when grown under either 18 atmospheric or elevated CO₂ conditions (Figure 3A). Conversely, both $tpk1\Delta/\Delta$ and 19 $tpk2\Delta/\Delta$ mutants exhibited biofilm growth equivalent to the wild type under both CO₂ 20 21 conditions (Figure 3A). Taken together, this data implies the CO₂-mediated effect on 22 *C. albicans* biofilm growth is reliant on Cyr1 but can bypass a requirement for Ras1 23 and that the PKA isoforms Tpk1 and Tpk2 are functionally redundant with respect to cAMP activation of the biofilm programme. Our findings are consistent with the 24

adenylate cyclase Cyr1 as a key CO₂ sensor in the enhanced biofilm growth
 observed under elevated CO₂ conditions.

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4 To investigate the molecular basis of the activation of *C. albicans* biofilm formation in 5% CO₂, we conducted a screen of an available transcription factor knockout (TFKO) 5 library ³⁸ containing 147 mutants each lacking a non-essential transcription factor. 6 This screen identified 122 deletions that had no effect upon biofilm formation in 7 8 either CO₂ condition (Supplementary Figure S3) and 22 transcription factors which attenuated biofilm formation (Supplementary Table S2). Six TFKO mutants ($tup1\Delta/\Delta$, 9 10 sef1 Δ/Δ , swi4 Δ/Δ , pho4 Δ/Δ , bcr1 Δ/Δ and efg1 Δ/Δ) had diminished biofilm growth in 11 both 0.03% and 5% CO₂, 12 had reduced biofilm formation only in 0.03% CO₂ $rbf1\Delta/\Delta$, $rob1\Delta/\Delta$. $dal81\Delta/\Delta$. 12 $(hap 2\Delta/\Delta)$ fgr15 Δ/Δ . $mig1\Delta/\Delta$, $brg1\Delta/\Delta$, 13 C4 00260W Δ/Δ , zcf27 Δ/Δ , C1 13880C Δ/Δ , crz1 Δ/Δ , and hap43 Δ/Δ), and 4 TFKOs 14 had reduced biofilm formation only in 5% CO₂ (*leu3Δ/Δ*, *mbp1Δ/Δ*, *bas1Δ/Δ*, and 15 $try6\Delta/\Delta$) (Supplementary Table S2 and Supplementary Figure S3). Intriguingly, 3 16 additional mutants, $zcf17\Delta/\Delta$, $zcf30\Delta/\Delta$ and $mac1\Delta/\Delta$, displayed increased biofilm growth in 0.03% CO_2 but no significant difference in 5% CO_2 . 17

18

¹⁹ We performed gene ontology enrichment analysis on the genes encoding the 25 ²⁰ transcription factors whose loss impacted upon biofilm growth to group them ²¹ according to biological processes. This revealed that 7 of these transcription factors ²² – Brg1, Bcr1, Efg1, Rob1, Dal81, Leu3, and Try6 – were already known to be ²³ involved in the regulation of single-species biofilm formation within *C. albicans* (Table ²⁴ S2). Interestingly, out of these, only the *efg1* Δ/Δ and *bcr1* Δ/Δ mutant exhibited ²⁵ attenuated biofilm growth in both 0.03% and 5% CO₂. The *brg1* Δ/Δ , *dal81* Δ/Δ , and

1 $rob1\Delta/\Delta$ mutants had significantly reduced biofilm growth in 0.03% CO₂ but this was 2 rescued in the 5% CO_2 environment (Supplementary Table S2), possibly indicating 3 redundancy of these TFs when cells are exposed to high CO₂. This important finding 4 suggests that CO_2 elevation can bypass the requirement for some of the key transcriptional regulators of biofilm formation (Figure 3B). The $leu3\Delta/\Delta$ and $try6\Delta/\Delta$ 5 6 mutants had significantly reduced biofilm growth in 5% CO₂ but no significant difference in 0.03% CO₂. Eight of the TFs identified – Brg1, Crz1, Efg1, Mac1, Mig1, 7 Rob1, Zcf27, Zcf30 – are associated with the positive regulation of filamentous 8 9 growth and Tup1 is involved in the negative regulation of filamentous growth. C. 10 albicans biofilm formation is strongly linked with the yeast-to-hyphal switch, with 11 mutants unable to perform this switch having previously been shown to be deficient in biofilm growth ³³. 12

13

14 The TFKO screen also revealed that mutants lacking transcription factors associated 15 with metal ion homeostasis, specifically iron homeostasis, had altered biofilm-16 formation in 0.03% and/or 5% CO_2 (Figure 4A and Supplementary Table S2). 17 Mutants lacking genes expressing components of the HAP complex; $hap 2\Delta/\Delta$, $hap3\Delta/\Delta$, $hap5\Delta/\Delta$, and $hap43\Delta/\Delta$, exhibited significantly reduced biofilm growth 18 19 after 48h compared to wild type in 0.03% CO₂. However, their biofilm growth was significantly higher in 5% CO₂, reaching wild type levels in the cases of the hap $3\Delta/\Delta$, 20 21 $hap5\Delta/\Delta$, and $hap43\Delta/\Delta$ mutants (Figure 4A). This is an important observation as it 22 indicates that an increase in CO₂ concentration is sufficient to compensate for the 23 loss of these transcription factors. The HAP complex in C. albicans is responsible for the regulation of iron homeostasis ⁵¹. Sef1 acts downstream of this complex and the 24 25 sef $1\Delta/\Delta$ mutant displayed significantly reduced biofilm growth in both 0.03% and 5%

CO₂ after 48h growth (Figure 4A). These data suggest that the elevation of CO₂ can
 bypass the requirement for HAP complex activity in biofilm formation in a Sef1
 dependent manner.

4

To further determine whether elevated CO₂ enhanced C. albicans ability to 5 6 appropriate iron from the environment biofilms were grown in the presence of the 7 Fe²⁺ chelator 3-(2-Pyridyl)-5,6-diphenyl-1,2,4-triazine-p,p'-disulfonic acid 8 monosodium salt hydrate (Ferrozine). Iron chelation was observed to have a marked effect on biofilm growth at and above 350µM Ferrozine (Figure 4B). As had been 9 10 observed with TFKO strains of the HAP complex, the elevation of CO₂ counteracted 11 the effects of iron limitation (Figure 4B) providing further evidence of a role for CO_2 in enhancing iron uptake or utilisation capability. This tolerance to iron starvation of C. 12 13 albicans biofilms grown in 5% CO₂ was also exhibited by $tpk1\Delta/\Delta$ and $tpk2\Delta/\Delta$ 14 mutants (Supplementary Figure S4), indicating these PKA isoforms are functionally 15 redundant with respect to iron homeostatic pathways. Clinical strains of C. albicans isolated from failed voice prostheses displayed the same phenotype with biofilm 16 formation rescued in 5% CO₂ when grown in the presence of 500µM Ferrozine 17 18 (Figure 4C). A transcriptomic analysis comparing biofilms grown in 0.03% and 5% CO₂ (described below) revealed that several genes related to iron acquisition were 19 20 upregulated in 5% CO_2 biofilms, providing an explanation for the tolerance to iron 21 sequestration in high CO_2 (Figure 4). For example, *FTR2*, which encodes the high 22 affinity iron permease Ftr2, had increased expression. In addition, CFL4 which encodes a putative ferric reductase, is regulated by Sef1 and induced in low-iron 23 conditions 52 was also upregulated in high CO₂ biofilms after 48h. Finally, the CSA2 24 25 and RBT5 genes, which encode proteins involved in the acquisition of iron from

haem groups, were also upregulated. Csa2 and Rbt5 have both previously been
 found to be required for normal biofilm formation in RPMI-1640 media ⁵³⁵⁴.

3

4 Transcriptome analysis of *C. albicans* biofilms grown in high and low CO₂

5 To further investigate the effect of high CO_2 on C. albicans biofilm growth, we 6 performed an RNA Sequencing analysis of C. albicans biofilms grown in 0.03% and 5% CO₂. Growth in 5% CO₂ led to a global response resulting in the significant 7 8 differential expression (false-discovery-rate adjusted p-value (q) \leq 0.05) of 2875 genes, with roughly equal numbers of genes up- and downregulated (1441 up and 9 10 1434 down) (Supplementary Figure S5A). 80 genes were strongly (log2 fold change 11 >2) upregulated and 25 were strongly (log2 fold change <-2) downregulated. To investigate the cellular pathways which these differentially expressed genes are 12 13 within we conducted Gene Set Enrichment Analysis (GSEA; Broad Institute)⁴⁶. 14 GSEA revealed that biofilm formation pathways controlled by four of the nine 'core' biofilm regulator transcription factors ²⁷⁵⁵ were upregulated in 5% CO₂ biofilms at 48h 15 (Figure 5A). Genes downstream of Brg1, Efg1, Ndt80 and Bcr1 are enriched in the 16 upregulated genes at the top of the ranked list of differentially expressed genes 17 18 (NES = +2.79, +2.66, +2.56, and +2.60 respectively) (Figure 5A), indicating high CO₂ drives expression of genes previously described as important in the biofilm-forming 19 20 ability of C. albicans.

21

As GSEA can show enrichment profiles exhibiting correlations with several
 overlapping gene sets, we visualised networks of similar gene sets using the
 Cytoscape 3.7.1 EnrichmentMap plug-in ⁵⁰. Upregulated gene sets included those
 encoding membrane transporters, ribosome biogenesis, peroxisomal β-oxidation and

white-opaque switching (Figure 5B). Pathways involved in cytoskeleton organisation,
cell-cycle progression and membrane biosynthesis were downregulated in high CO₂
conditions (Figure 5B), indicating cells within biofilms in high CO₂ may have stopped
dividing at the 48h time point assessed, consistent with such biofilms having moved
more rapidly through the maturation process.

6

GSEA suggested that cell adhesion processes were upregulated in high CO₂ 7 8 biofilms (NES = +2.13) (Figures 6A). GO Slim process analysis also highlighted 9 many genes associated with cell adhesion were upregulated in C. albicans biofilms 10 grown in 5% CO_2 after 48h (Figure 6B). The second highest upregulated gene in 5% 11 CO₂ biofilms compared to 0.03% CO₂ biofilms was ALS1 with a 3.77 log2 fold change (Figure 6B). The Als1 cell surface adhesin has previously been shown to 12 have important roles in biofilm formation ⁵⁶, and its expression is controlled by the 13 14 biofilm transcription regulation network composed of Brg1, Rob1, Tec1, Ndt80, Bcr1 and Efg1²⁷. Other genes encoding cell surface adhesins such as ALS4 and ALS2 15 were also upregulated in 5% CO₂ biofilms after 48h (Figure 6B). 16

17

18 GSEA has also identified that genes involved in membrane transporter activity are enriched in the upregulated genes at the top of the ranked list of differentially 19 20 expressed genes (NES = +2.22) (Figure 6A). Specifically, genes encoding amino 21 acid transporters were enriched in the significantly upregulated genes of 5% CO₂ biofilms (NES = +2.62) (Figure 6B). Likewise, significantly differentially expressed 22 23 genes possessing the GO term 'amino acid transport' were primarily upregulated 24 (Figure 6B). The most highly upregulated of these was GAP2 which encodes a general amino acid permease ⁵⁷. GAP2 was in fact the highest upregulated gene of 25

the entire RNA-Seq data set with a 4.60 log2 fold change. The basic amino acid
permease genes *CAN1*, *CAN2*, and *CAN3* were also upregulated (Figure 6B).

3

Genes associated with carbohydrate transmembrane transport were also enriched in the significantly upregulated genes of 5% CO₂ biofilms as revealed by GSEA (NES = +1.88) (Figure 6B). Amongst these were 12 genes encoding putative major facilitator superfamily (MFS) glucose transmembrane transporters present in the significantly differentially expressed gene list and these are almost all upregulated. The only exceptions are *HGT9* and *HGT18* with log2 fold changes of -1.24 and -1.70 respectively (Figure 6B).

11

Genes previously identified to be involved in hyphal formation in response to foetal 12 13 bovine serum (FBS) exposure or 37°C were enriched in the downregulated genes 14 (NES = -3.41). Likewise, the majority of genes under the GO term 'hyphal growth' in 15 the significantly differentially expressed gene list were downregulated in 5% CO₂ biofilms at 48h growth (Supplementary Figure S5B). Significantly differentially 16 17 expressed genes associated with the cytoskeleton, as identified by GO term 18 analysis, were enriched in the downregulated genes (NES = -2.69) (Supplementary Figure S5C). Cytoskeleton reorganisation is important for the growth of C. albicans 19 hyphal cells ⁵⁸ as well as cell division ⁵⁹⁶⁰, indicating cell growth is lower in 48h old *C*. 20 21 albicans biofilms grown in 5% CO₂ than in those grown in 0.03% CO₂. Consistent 22 with this, genes involved in the transition through the G1/S checkpoint were also 23 enriched in the significantly downregulated genes (NES = -2.83) (Supplementary 24 Figure S5C).

Initially, these data appear to be contradictory to the previous data highlighting the increased biofilm formation of *C. albicans* under high CO_2 conditions. However, due to the increased biofilm formation in a 5% CO_2 environment, *C. albicans* biofilms reach full maturity much quicker in high CO_2 , as observed via confocal microscopy (Figure 2B). Thus, by 48h *C. albicans* biofilms grown in high CO_2 have been fully mature for several hours, and hence would contain fewer dividing cells or cells extending hyphae in comparison to low CO_2 biofilms.

8

9 CO₂ elevation enhances azole resistance in *C. albicans* biofilms

10 In addition to the observed increase in expression of genes that drive biofilm 11 formation we observed an elevation in certain stress response pathways in C. albicans biofilms grown in 5% CO₂. Gene sets involved in the response of C. 12 albicans to antifungals such as Ketoconazole⁶¹ (Figure 7A) were upregulated as well 13 14 as several drug transporters (Figure 7B), indicating that elevation may lead to 15 increased drug resistance. Upregulated genes included the *MDR1* gene (2.56 log2) fold change) which encodes the multidrug resistance pump Mdr1 and is associated 16 with resistance to several antifungals such as azoles ⁶². To test the significance of 17 18 this C. albicans biofilms were seeded and grown for 24h in 0.03% and 5% CO₂ before the addition of antifungals, after which they were grown for an additional 24h 19 20 in both conditions to observe the effect of drug application. Antifungal concentrations 21 were selected based upon previously reported MIC values for these antifungals against *C. albicans* biofilms ²⁸. Overall, Fluconazole and Miconazole treatment led to 22 a significant reduction in biofilm growth in 0.03% CO₂ (Figures 7C and 23 24 Supplementary Figure S6). Treatment with Fluconazole and Miconazole also 25 significantly reduced biofilm formation in 5% CO₂, however, their effects were

markedly reduced (Figures 7C and Supplementary Figure S6). This suggests an
increased resistance of biofilms grown in 5% CO₂ to azole treatment. Interestingly,
Nystatin was equally as effective against biofilms in either CO₂ environment (Figure 7D).

5

6 Precision approaches to overcome CO₂ acceleration of *C. albicans* biofilm 7 formation

8 Our data indicate that elevation of CO_2 leads to an increase in the ability to scavenge 9 for iron and glucose, both essential for biofilm formation and growth. We therefore 10 wished to test whether these represented potential targets to combat C. albicans growth in high CO_2 environments such as the airway. An Fe³⁺ chelator called 11 Deferasirox, which is approved for treating patients with iron overload, has recently 12 13 been shown to reduce infection levels in a murine oropharyngeal candidiasis model ⁶³. With this in mind, we repeated our previous iron starvation biofilm growth assay 14 15 (Figure 4B) using Deferasirox in place of Ferrozine. We observed that Deferasirox treatment completely eradicates C. albicans biofilm growth in 0.03% CO₂ but has 16 17 very little effect on biofilm growth in 5% CO₂ (Figure 8A). Thus adding further evidence that exposure to high levels of CO₂ can enable C. albicans biofilms to 18 overcome the effects of iron starvation. Deferasirox does not therefore appear to be 19 20 an effective treatment against *C. albicans* biofilms in high CO₂ such as in the context 21 of voice prostheses colonisation.

22

C. albicans biofilms grown in 5% CO_2 exhibited the upregulation of genes encoding glucose transporters. Accordingly, we contemplated whether treatment of *C. albicans* biofilms with the glucose analogue 2-deoxyglucose (a glycolytic inhibitor) may

1 decrease biofilm growth in high CO₂ environments. 2-deoxyglucose (2-DG) has 2 previously made it to Stage II clinical trials as an anti-prostate cancer treatment and is considered safe for use in humans ⁶⁴. Thus, it could be a potential therapeutic 3 option to combat C. albicans biofilm formation on medical devices, specifically on 4 voice prostheses. C. albicans biofilm formation was significantly reduced in the 5 6 presence of 2-DG regardless of CO₂ environment (Figure 8B and Supplementary 7 Figure S7). Interestingly, the biofilm reductions in all 2-DG concentrations were 8 similar for biofilms in both low and high CO₂, despite the fact several glucose 9 transporters were upregulated in 5% CO_2 biofilms (Figure 6B). The reduction in 10 biofilm growth upon 2-DG treatment was also quite apparent macroscopically (Figure 8C). 11

1 Discussion

2 Our data demonstrate for the first time how a physiologically relevant elevation of CO₂ accelerates biofilm formation in C. albicans by activating the cAMP/PKA 3 pathway (Figure 9). Although CO_2 elevation is dependent on Cyr1 it appears to 4 bypass a requirement for Ras1. CO₂ elevation enhances each stage of the C. 5 6 albicans biofilm forming process, from attachment through maturation to dispersion. The observed increase in cell attachment is accompanied by an increase in the 7 8 abundance of mRNA transcripts for ALS1, ALS2 and ALS4 which encode adhesins of the agglutinin-like sequence family that function in the cell-surface and cell-cell 9 attachment of *C. albicans*¹⁹. Our observed increase in dispersion also correlates 10 with an upregulation of the known regulator of this stage of biofilm growth, NRG1⁶⁵. 11 Our observations have important clinical implication in scenarios where prosthetic 12 13 devices are placed in areas of elevated CO₂, for example voice prostheses or 14 tracheostomy tubing. We would anticipate that high CO_2 may increase the probability 15 of C. albicans colonisation and dissemination.

16

17 Of the original six 'core' biofilm regulators (Efg1, Bcr1, Brg1, Rob1, Ndt80 and Tec1) only four (Efg1, Bcr1, Brg1, and Rob1) were identified as required for normal biofilm 18 growth in our TFKO screen. The original TFKO screen which identified these 19 regulators was carried out using a polystyrene surface ²⁷ whereas we used silicone. 20 21 This may suggest that attachment to and biofilm growth on silicone requires a more 22 limited set of core transcription factors reflecting the importance of surface upon biofilm formation as has been observed previously ⁵⁵. In support of the environment 23 24 being critical to biofilm establishment, CO₂ elevation was able to compensate for the 25 loss of the core biofilm regulators Brg1 and Rob1. Intriguingly, of the four biofilm

regulators whose gene sets were predicted to be upregulated in 5% CO₂ biofilms, Efg1, Bcr1 and Brg1 were identified in our TFKO screen whereas Ndt80 was not. Moreover, only $efg1\Delta/\Delta$ and $bcr1\Delta/\Delta$ had reduced biofilm growth in both 0.03% and 5% CO₂. This disparity may be explained by the degree of overlap in downstream target genes between the core regulators of biofilm formation ²⁷ which implies significant potential for functional redundancy.

7

Many of the genes significantly upregulated in biofilms grown in high CO₂ after 48h 8 were also found to be upregulated in other biofilm gene expression studies ⁶⁶⁶⁷. For 9 10 instance, Nett, J. et al. observed an increase in the expression of adherence genes 11 in an *in vivo* venous catheter biofilm model. Like us, this venous catheter biofilm study saw an increase in the transcript abundance of ALS1 and ALS2 however, this 12 was only observed at earlier time point biofilms ⁶⁶. This was also the case in a 13 temporal gene expression analysis using in vitro denture and catheter models ⁶⁷. 14 15 Interestingly, some pathways such as hexose transport, amino acid uptake, and 16 stress responses that our differential gene expression analysis predicted to be upregulated in mature biofilms grown in high CO₂ were concluded to be upregulated 17 only in early phase biofilms (12h) in this denture and catheter study ⁶⁷. The authors 18 concluded the result of the induction of these pathways is the increase of intracellular 19 20 pools of pyruvate, pentoses and amino acids, preparing for the large increase in biomass that occurs later in biofilm development ⁶⁷. We hypothesise that high CO₂ 21 may stimulate these pathways and maintain their activity even in mature biofilms, 22 23 thus supporting the increased biomass and maturation rate of biofilms observed 24 when grown in high CO_2 .

25

1 We observed an increase in azole antifungal resistance within C. albicans biofilms 2 grown in 5% CO₂. This, at least partly, could be explained by the increase in expression of drug transporter genes such as MDR1 which have previously been 3 implicated in azole resistance 6268 . However, $mdr1\Delta/\Delta$, as well as $cdr1\Delta/\Delta$ and 4 $cdr2\Delta/\Delta$, mutants only exhibit reduced azole resistance in planktonic culture and 5 6 early stage (6h) biofilms, while levels of resistance are maintained in mature biofilms 7 ⁶². Therefore, we propose that it is more likely the increased azole resistance 8 phenotype of 5% CO₂ biofilms displayed is contributed to via another mechanism, 9 possibly increased ECM deposition. β -1,3-glucan, a major component of biofilm 10 ECM, can bind to azole antifungals and sequester them to prevent passage to the cells ⁶⁹. We have observed that after 48h, 5% CO₂ biofilms, while containing similar 11 cell numbers to 0.03% CO₂ biofilms, often appear larger to the eye with a more 12 13 bulbous appearance. This could suggest more ECM material being produced in high 14 CO_2 environments, contributing to the increased azole resistance. Furthermore, 15 Miconazole treatment has been shown to generate superoxide radicals within C. 16 albicans biofilms and leads to the increased expression of SOD5 and SOD6 (encode superoxide dismutase enzymes) in an attempt to protect against the toxic 17 superoxides. A sod $\frac{4\Delta}{\Delta}$ sod $\frac{5\Delta}{\Delta}$ sod $\frac{6\Delta}{\Delta}$ triple mutant is hypersensitive to 18 Miconazole treatment when growing as a biofilm ⁷⁰. Our transcriptome analysis 19 20 revealed SOD6 and SOD4 are upregulated in 5% CO₂ biofilms, providing a potential 21 further mechanism for increased Miconazole resistance.

22

Our study identified that transcription factors involved in iron homeostasis are important for *C. albicans* biofilm growth. Principal among these were the Hap transcription factors which come together to form the HAP complex, a CCAAT box-

binding transcriptional regulator, under iron-limiting conditions. Genetic studies have revealed a requirement of *HAP2, HAP3, HAP5* and *HAP43* for growth in low-iron media ³⁸⁷¹. Thus, the biofilm formation defect exhibited by the *hap2\Delta/\Delta, hap3\Delta/\Delta, <i>hap5\Delta/\Delta*, and *hap43\Delta/\Delta* mutants in 0.03% CO₂ could be explained by this growth deficiency since RPMI-1640 media has a low iron content. Nevertheless, this makes it even more intriguing that simply an increase in ambient CO₂ levels was able to significantly increase the biofilm growth of these mutants.

8

The HAP complex represses a GATA-type transcription factor called Sfu1. Sfu1 is 9 10 responsible for repressing iron-uptake genes along with SEF1 under iron-replete conditions. Sef1 activates iron-uptake genes as well as HAP43, HAP2 and HAP3⁵², 11 in this way the HAP complex is able to induce iron-uptake pathways while repressing 12 iron-utilisation genes ⁵¹⁵². Deletion of Sef1 results in the aberrant downregulation of 13 all the major iron-uptake pathways of *C. albicans* in low iron conditions ⁵². Due to the 14 15 fact the sef $1\Delta/\Delta$ mutant had defective biofilm growth in both CO₂ conditions, we hypothesise that a high CO_2 environment may influence Sef1 directly, causing the 16 17 HAP complex to become at least partially redundant under these conditions. It 18 should be noted that we did not observe a significant effect on SEF1 mRNA levels within 5% CO₂ biofilms after 48h. Thus, if it is influencing Sef1 activity, CO₂ may be 19 20 acting at either the protein level or post-translational level as Sef1 is subject to a 21 post-translational control loop consisting of Sfu1 and the cyclin-dependent kinase Ssn3⁷². However Ssn3 may not play a role as it has recently been found to be 22 dephosphorylated, and thus inactive, in 5% CO₂⁷³. Our current model suggests that 23 24 under elevated CO₂ PKA can phosphorylate Sef1 and possibly also inhibit Sfu1 25 (Figure 9). This is currently under investigation and may possibly explain the tolerance to iron sequestration exhibited by *C. albicans* biofilms grown in high CO₂. We believe any potential phosphorylation of Sef1 by PKA to be Tpk1/Tpk2 redundant since the *tpk1* Δ/Δ and the *tpk2* Δ/Δ mutants both exhibited a significant increase in biofilm growth in 5% CO₂ when in the presence of 500µM Ferrozine compared to 0.03% CO₂. This is supported by a Tpk1/Tpk2 phosphoproteomic study which predicted Sef1 to be a potential PKA target that can be phosphorylated by both Tpk1 and Tpk2⁷⁴.

8

The increased azole resistance of C. albicans biofilms in 5% CO2 along with the 9 observed iron starvation tolerance when treated with the Fe³⁺ chelator Deferasirox 10 has important implications for the development of potential biofilm treatment 11 strategies. At a clinical level this also indicates the location of a C. albicans biofilm 12 13 within the body should be taken into consideration when deciding upon the most 14 effective treatment. Encouragingly, 2-deoxyglucose (2-DG) was able to attenuate C. albicans biofilm growth in both 5% and atmospheric (0.03%) CO₂ environments. 2-15 DG has exhibited antimicrobial effects against fungal moulds ⁷⁵ and bacterial biofilms 16 ⁷⁶. This, together with its action against *C. albicans* biofilms presented here, 17 18 highlights the potential for 2-DG to be used an anti-biofilm therapeutic. It may be particularly useful for medical devices such as voice prostheses which are situated in 19 CO₂-rich environments in the body and are often colonised by a mixture of bacterial 20 and fungal species ⁷⁷⁷⁸⁷⁹⁸⁰. It's important to note however that 2-DG was unable to 21 22 eradicate C. albicans biofilm growth completely. It may be that 2-DG treatment is 23 beneficial in combination with other compounds, such as iron chelators or traditional 24 antifungals; this possibility is yet to be explored.

25

1	In conclusion, our data demonstrates that elevated levels of $\rm CO_2$ act as an important
2	driver of <i>C. albicans</i> biofilm formation, growth and maturation. These CO ₂ -mediated
3	effects are likely to have important medical ramifications, particularly in the context of
4	prosthetics and airway management devices, but also for host infections in CO2-rich
5	environments in the body.
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- 6

1 Author Contributions

- 2 The study was conceived by C.W. Gourlay and F.A. Mühlschlegel. Experimental
- 3 procedures and data analyses were conducted by D.R. Pentland. The manuscript
- 4 was written and edited by D.R. Pentland, C.W. Gourlay and F.A. Mühlschlegel.

1 Competing Interests

2 The authors declare no competing or conflicting interests.

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1 Figure Legends

Figure 1: The effect of high CO₂ (5%) on *C. albicans* biofilm formation. (A) Biofilms were seeded and grown for 24h or 48h in 0.03% or 5% CO₂, the resulting biofilms were quantified using the XTT assay with absorbance at 492nm as a readout. The graph represents three biological replicates each containing technical triplicates, error bars denote Standard Deviation. Paired two-tail t-tests were carried out: *p<0.05, **p<0.01, ***p<0.001, n.s. = not significant. (B) Representative images of *C. albicans* (SN250 strain) biofilms grown in 0.03% and 5% CO₂ for 48h.

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Figure 2: The effects of CO₂ on C. albicans biofilm growth (A) Attachment: C. 10 albicans CAI-4 cells were seeded onto silicone-coated microscope slides under 11 12 0.03% or 5% CO₂ and images taken at 20x objective magnification. Cells per image 13 were counted and the mean calculated across three biological replicates (five 14 images per replicate). A paired two-tail t-test carried out: **p<0.01. Error bars denote 15 Standard Deviation. (B) Maturation: Biofilms were seeded on silicone-coated microscope slide and grown for 6h, 24h and 48h. Biofilms were stained with ConA-16 17 FITC (green) and FUN-1 (red). Z-stack Images were taken using 20x (6h) and 40x (24 and 48h) magnifications. Experiments were repeated in triplicate and 18 19 representative maximum intensity images are presented as well as a z stack profiles. 20 (C) Dispersion: Spent media was collected from biofilms grown for 48h in 0.03% or 21 5% CO_2 and diluted 1:10 before being plated to assess the number of colonies. 22 Three biological replicates each containing technical triplicates were conducted, 23 error bars denote Standard Deviation. A paired two-tail t-test was carried out: 24 *p<0.05.

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2 Figure 3: Biofilm growth assays of *C. albicans* Ras1-Cyr1-PKA pathway and central biofilm regulator null mutants. Cells were seeded and grown as biofilms 3 for 48h before XTT quantification. Control wells with no cells were included. (A) 4 Graph represents three biological replicates each containing technical triplicates, 5 error bars denote Standard Deviation. (B) Graph represents three biological 6 replicates, error bars denote Standard Deviation. Two-way ANOVAs followed by 7 Tukey tests for multiple comparisons were carried out: *p<0.05, **p<0.01, 8 ***p<0.001, n.s. = not significant. Stars directly above the bars indicate a significant 9 difference to the wild type in the same CO_2 environment. 10

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Figure 4: The effect of high (5%) CO_2 on iron homeostasis in *C. albicans* 12 13 biofilms. Biofilms were seeded and grown for 48h before XTT quantification. Control wells with no cells were set up as media controls. (A) Biofilm growth assay using 14 TFKO mutants lacking iron homeostatic transcription factors. (B) Iron starvation 15 biofilm growth assay of in the presence of the Fe^{2+} chelator Ferrozine. (C) Iron 16 17 starvation biofilm growth assay of clinical isolates in the presence of Ferrozine. Graph represents three biological replicates each containing technical triplicates, 18 error bars denote Standard Deviation. Two-way ANOVAs followed by Tukey tests for 19 multiple comparisons were carried out: *p<0.05, **p<0.01, ***p<0.001, n.s. = not 20 21 significant.

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Figure 5: Global gene expression changes in 5% CO₂ vs. 0.03% CO₂ C.
 albicans biofilms (A) GSEA enrichment plots of central biofilm regulator gene sets

1 with altered expression levels as assessed by RNA Sequencing, four of the nine identified core regulators of biofilm formation (Brg1, Efg1, Ndt80, and Bcr1²⁷) were 2 identified as having positive GSEA scores. Vertical black lines represent individual 3 4 genes in the significantly differentially expressed ranked gene list from upregulated (left) to downregulated (right). The enrichment score increases if there are lots of 5 6 genes towards the beginning of the ranked list (upregulated). NES = normalised enrichment score, positive NES indicates enrichment in the upregulated group of 7 8 genes. (B) Gene set cluster map showing the most upregulated and downregulated 9 gene sets as determined by GSEA along with their cellular functions. Each circle is a gene set and the lines between them depict how much they overlap, thicker line = 10 11 more genes in common.

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13 Figure 6: Adhesion and transport processes are upregulated in 5% CO₂ C. 14 albicans biofilms (A) GSEA enrichment plot of the BIOLOGICAL ADHESION_BIO and TRANSPORTER ACTIVITY MOL, AMINO ACID TRANSPORT BIO and 15 16 CARBOHYDRATE TRANSPORTER ACTIVITY_MOL gene sets containing genes 17 under the GO terms 'transporter activity' and 'amino acid transport' NES = 18 normalised enrichment score, positive NES indicates enrichment in the upregulated 19 group of genes. (B) Heat map of significantly differentially expressed genes 20 associated with cell adhesion, amino acid transport and glucose transport as 21 identified by GO Slim process analysis. The colours saturate at log2 fold change of 2 and -2. 22

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1 Figure 7: Antifungal sensitivity of *C. albicans* biofilms grown in high (5%) CO₂.

2 (A) GSEA enrichment plot of the KETOCONAZOLE UP gene set containing genes upregulated in C. albicans cells grown in the presence of Ketoconazole 61 . NES = 3 normalised enrichment score, positive NES indicates enrichment in the upregulated 4 group of genes. (B) Heat map of genes associated with drug transport, including the 5 6 multidrug efflux pump gene MDR1. (C) Biofilm growth assay of CAI4pSM2 in the presence of Fluconazole. (D) Biofilm growth assay of CAI4pSM2 in the presence of 7 8 Nystatin. The relative XTT activity is presented with the 0.03% CO₂ biofilms being 9 normalised to the 0.03% CO₂ untreated control and the 5% CO₂ biofilms being 10 normalised to the 5% CO₂ untreated control. Two-way ANOVAs followed by Tukey 11 tests for multiple comparisons were carried out: *p<0.05, **p<0.01, ***p<0.001, n.s. = 12 not significant. Stars directly above the bars indicate a significant difference to 13 untreated in the same CO_2 environment.

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Figure 8: Efficacy of potential treatments to combat C. albicans biofilms grown 15 16 in high (5%) CO₂. Biofilms were seeded and grown for 48h before XTT 17 quantification. Control wells with no cells were set up as media controls to monitor for contamination. (A) Biofilm growth assay of SN250 in the presence of the Fe³⁺ 18 19 chelator Deferasirox. Graph represents two biological replicates each containing 20 technical triplicates, error bars denote Standard Deviation. (B) Biofilm growth assay 21 of SN250 in the presence of the glycolytic inhibitor 2-DG. Graph represents three 22 biological replicates each containing technical triplicates, error bars denote Standard 23 Deviation. Two-way ANOVAs followed by Tukey tests for multiple comparisons were 24 carried out: *p<0.05, **p<0.01, ***p<0.001, n.s. = not significant. Stars directly above 25 the bars indicate a significant difference to the untreated SN250 in the same CO_2

1 environment. (C) Representative images of SN250 biofilms grown in 5% $CO_2 \pm 2$ -DG

2 for 48h.

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Figure 9: Predicted model of the interplay between CO₂ signalling and iron homeostasis in *C. albicans* biofilms on silicone surfaces. We hypothesise that the CO₂-mediated activation of PKA via Cyr1 will result in increased activity of Sef1 (via its phosphorylation and possibly the inhibition of Sfu1), thus increasing the expression of iron-uptake genes. PKA activation leads to Efg1 activation promoting the biofilm process.

Figure 1

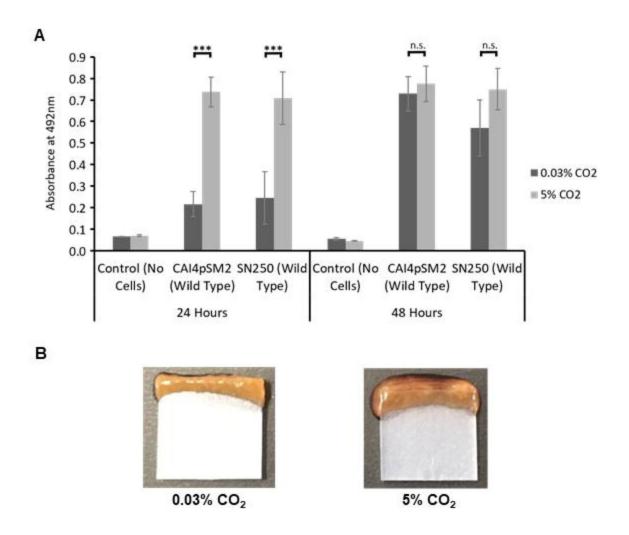
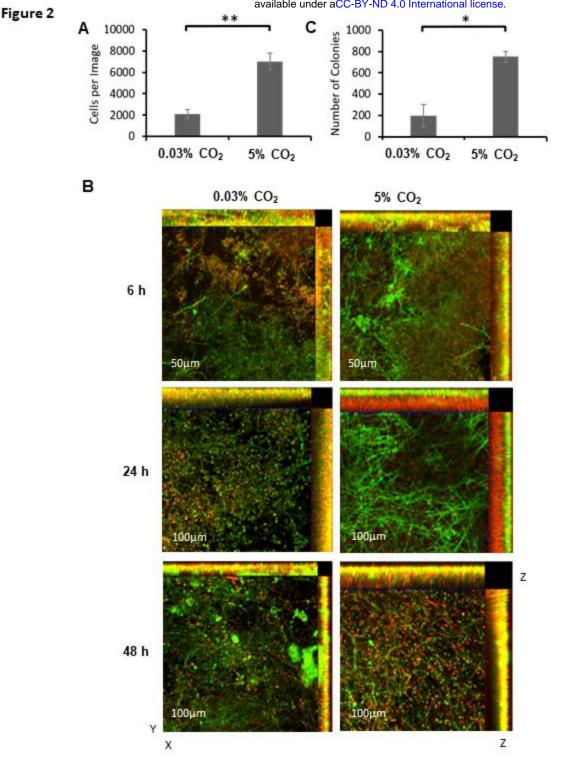
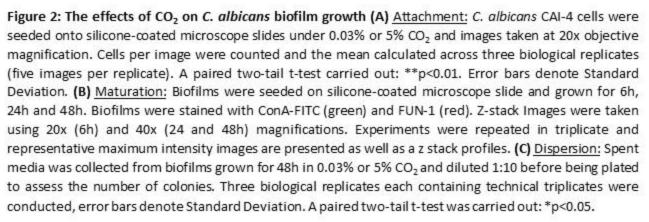


Figure 1: The effect of high CO₂ (5%) on *C. albicans* biofilm formation. (A) Biofilms were seeded and grown for 24h or 48h in 0.03% or 5% CO₂, the resulting biofilms were quantified using the XTT assay with absorbance at 492nm as a readout. The graph represents three biological replicates each containing technical triplicates, error bars denote Standard Deviation. Paired two-tail t-tests were carried out: *p<0.05, **p<0.01, ***p<0.001, n.s. = not significant. (B) Representative images of *C. albicans* (SN250 strain) biofilms grown in 0.03% and 5% CO₂ for 48h.









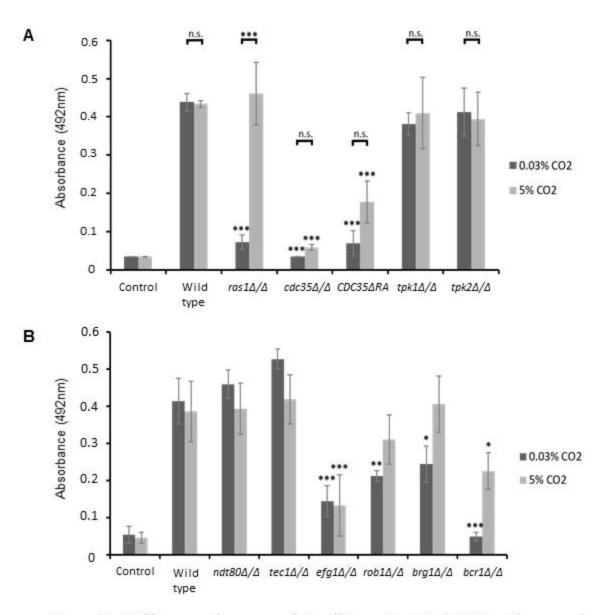
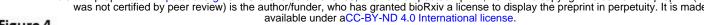


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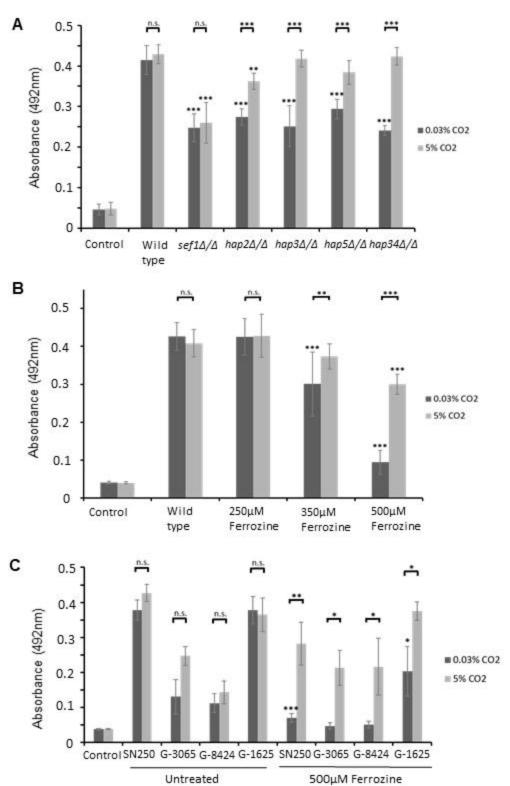


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Figure 5

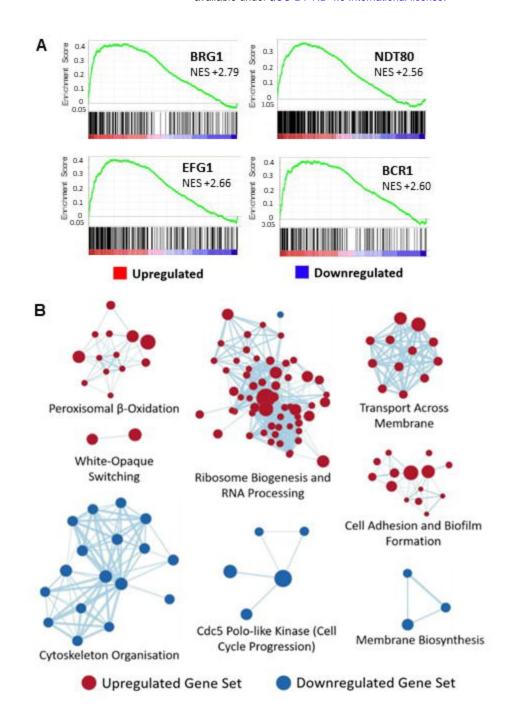


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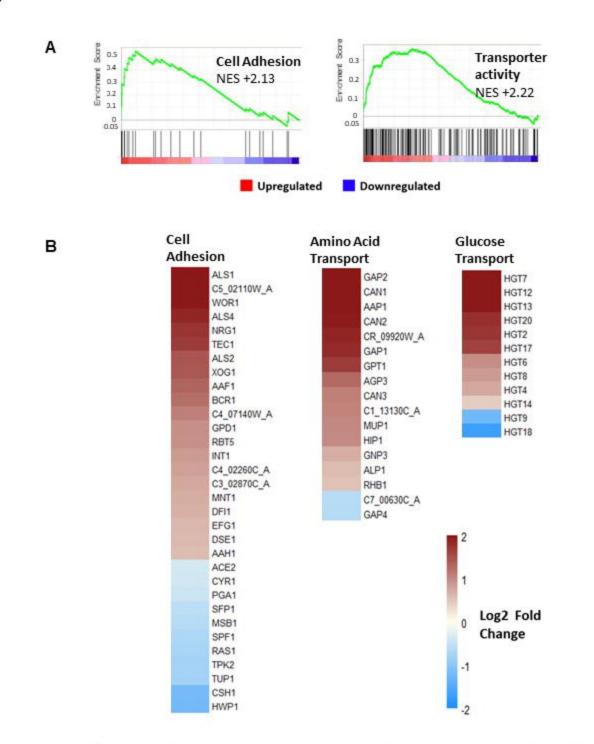


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Figure 7

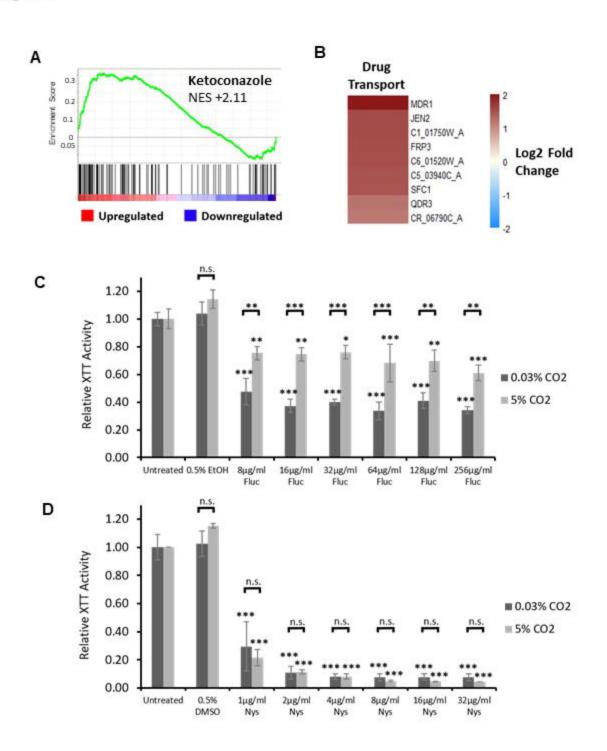


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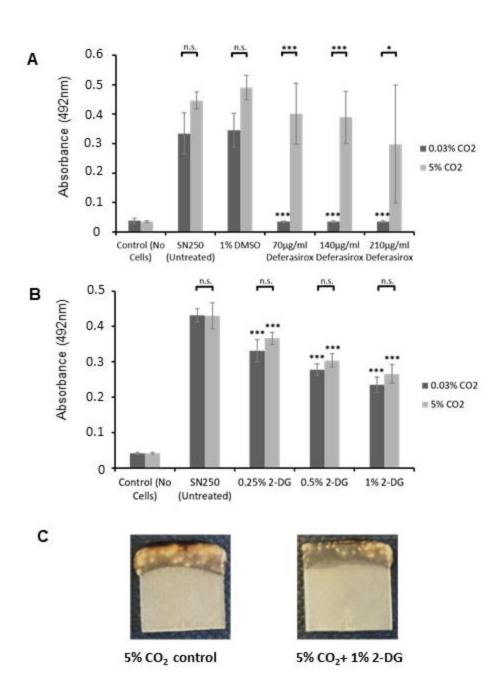


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Figure 9

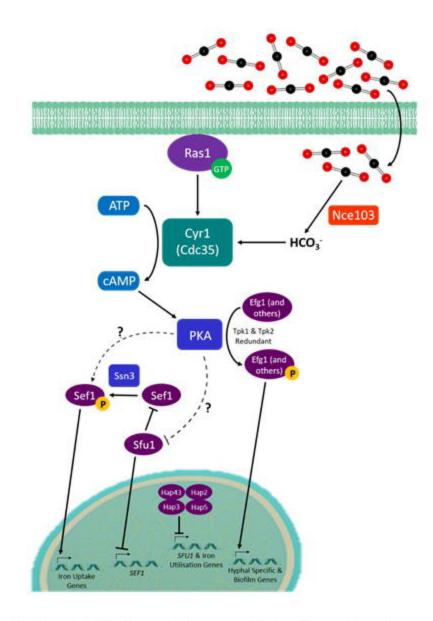


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