## 1 Comparative performance of four nucleic acid amplification tests for

- 2 SARS-CoV-2 virus
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### 19 Abstract

Coronavirus disease 2019 (COVID-19) can be screened and diagnosed through the 20 detection of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) by 21 real-time reverse transcription polymerase chain reaction. SARS-CoV-2 nucleic acid 22 amplification tests (NAATs) have been rapidly developed and quickly applied to 23 clinical testing during the pandemic. However, studies evaluating the performance of 24 these NAAT assays are limited. We evaluated the performance of four NAATs, which 25 26 were marked by the Conformité Européenne and widely used in China during the pandemic. Results showed that the analytical sensitivity of the four assays was 27 significantly lower than that claimed by the NAAT manufacturers. The limit of 28 detection (LOD) of Daan, Sansure, and Hybribio NAATs was 3000 copies/mL, 29 whereas the LOD of Bioperfectus NAATs was 4000 copies/mL. The results of the 30 consistency test using 46 samples showed that Daan, Sansure, and Hybribio NAATs 31 could detect the samples with a specificity of 100% (30/30) and a sensitivity of 100% 32 (16 /16), whereas Bioperfectus NAAT detected the samples with a specificity of 100% 33 34 (30/30) and a sensitivity 81.25% (13/16). The sensitivity of Bioperfectus NAAT was lower than that of the three other NAATs; this finding was consistent with the result 35 that Bioperfectus NAAT had a higher LOD than the three other kinds of NAATs. The 36 four above mentioned reagents presented high specificity; however, for the detection 37 of the samples with low virus concentration, Bioperfectus reagent had the risk of 38 missing detection. Therefore, the LOD should be considered in the selection of 39 SARS-CoV-2 NAATs. 40

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Key words: Severe acute respiratory syndrome coronavirus 2; SARS-CoV-2; nucleic
acid amplification test; performance evaluation; Coronavirus disease 2019;
COVID-19.

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#### 46 Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has spread rapidly 47 since its recent identification in patients with severe pneumonia in Wuhan, China(1-3). 48 To date, SARS-CoV-2 has affected more than 372,000 patients worldwide and 49 resulted in more than 16,000 deaths (as of March 24, 2020)(4). The World Health 50 Organization (WHO) has declared the Chinese outbreak of coronavirus disease 2019 51 (COVID-19) to be a Public Health Emergency of International Concern and stated 52 53 that the spread of COVID-19 may be interrupted by early detection, isolation, prompt treatment, and the implementation of a robust system(4, 5). On March 11, at a regular 54 press conference in Geneva, WHO Director-General Tan Desai said that the 55 COVID-19 epidemic can be called a pandemic in terms of its characteristics. 56 Unfortunately, no drug or vaccine has yet been approved to treat human coronaviruses, 57 and new interventions are likely to require months to years to develop(6). Therefore, 58 early diagnosis of SARS-CoV-2 infection is important to distinguish it from 59 asymptomatic, healthy, and other pathogenic infections and to ensure timely isolation 60 61 of the infected patients.

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At present, various detection methods for SARS-CoV-2 infection have been reported, 63 such as real-time reverse transcription-polymerase chain reaction (RT-PCR) assay, 64 sequencing, CRISPR technique, nucleic acid mass spectrometry, and serum 65 immunology(7). However, real-time RT-PCR remains the primary means for 66 diagnosing COVID-19 among the various diagnostic platforms available. The 67 development of RT-PCR methods to diagnose COVID-19 mainly targets various 68 combinations of the open reading frame (ORF), envelope (E), nucleocapsid (N), spike 69 (S), and RNA-dependent RNA polymerase (RdRp) genes(8-10). 70

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Although real-time RT-PCR assay is a highly sensitive technique, false negative results have still been reported in some COVID-19 patients. These results may occur due to insufficient organisms in the specimen resulting from improper collection, transportation, storage, and handling, as well as laboratory test conditions and personnel operation(11-13). Moreover, the quality of the examined regents is related
closely to the PCR results for SARS-CoV-2. In response to this outbreak, a number of
nucleic acid amplification tests (NAATs) for SARS-CoV-2 were rapidly developed in
China and quickly applied to clinical testing. To date, no quality evaluation of
SARS-CoV-2 NAATs has been reported.

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The purpose of this study was to comprehensively evaluate the performance of four SARS-CoV-2 NAATs, which were Conformité Européenne (CE) marked and widely used. The results of this study will be helpful for laboratorians to select the appropriate assay for detecting SARS-CoV-2.

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## 87 Materials and methods

Tested samples. The kit of performance verification reference materials, which were 88 cell cultures containing pseudoviruses, included 10 positive reference materials, two 89 negative reference materials, 18 analytical specificity reference materials, and three 90 91 interference reference materials (Guangzhou BDS Biological Technology Company Limited). The positive quality control agents were made with cell cultures containing 92 SARS-CoV-2 pseudoviruses (Guangzhou BDS Biological Technology Company 93 Limited). The pseudoviruses in the positive quality control agents included segments 94 95 of the ORF1ab gene (the genome coordinates: 900-1500,12200-13500, 18770-18950, and 20560–24453), N gene, and E gene, which were the important characteristic 96 genes of SARS-CoV-2. RNA transcripts, presented by the Chinese Academy of 97 Metrology, were used as standard materials. The RNA transcripts obtained the 98 complete N gene, the complete E gene, and ORF1ab gene segment (14911-15910, 99 GenBank No. NC O45512) of SARS-CoV-2. The standard value of reference 100 material was obtained by absolute quantitative digital PCR. RNA was extracted from 101 cell cultures with the nucleic acid extraction reagent (Tianlong Technology Company 102 Limited), aliquoted into multiple tubes for testing with each NAAT method, and 103 stored at -80 °C. 104

105 Human samples (n = 46), which were RNA leftovers from daily laboratory activity,

were provided by the Guangdong Provincial Hospital of Chinese Medicine and
Hybribio Medical Laboratory. The selection of clinical samples used in the study was
exclusively based on their SARS-CoV-2 virus detection result. The study was
approved by the Ethics Committees from the Guangdong Provincial Hospital of
Chinese Medicine (ZE2020-027-01).

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Assay and equipment. Four sets of systems were used to detect the SARS-CoV-2 112 virus: Daan SARS-CoV-2 NAAT on LightCycler 480 II PCR instrument (Daan 113 NAAT), Sansure SARS-CoV-2 NAAT on SLAN-96P PCR instrument (Sansure 114 NAAT), Hybribio SARS-CoV-2 virus NAAT on SLAN-96P PCR instrument 115 (Hybribio NAAT), and Bioperfectus SARS-CoV-2 NAAT on SLAN-96P PCR 116 instrument (Bioperfectus NAAT). Real-time PCR was applied to the four systems, 117 which targeted the combinations of the ORF1ab gene, N gene of SARS-CoV-2, and 118 endogenous gene of humans. About 5 µL of RNA was added to the PCR mixture and 119 tested according to the manufacturers' instructions for each assay. Positive results 120 121 were defined as the simultaneous detection of the ORF1ab gene and N gene. The four systems had different detection sites for the ORF1ab gene. The PCR primers designed 122 for the four systems were complementary to the sites on the SARS-CoV-2 genomic 123 sequence (GenBank No. NC 045512) in the region of 20700-21000 (Daan), 124 12500–13300 (Sansure), 13400–13550 (Bioperfectus), and 7100–7600 bp (Hybribio). 125 Cycle threshold (Ct) values (i.e., number of cycles required for the fluorescent signal 126 to cross the threshold in RT-PCR) quantified viral load, with low values indicating a 127 high viral load. 128

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Analytical Sensitivity. Analytical sensitivity was examined in accordance with a modified EP17-A protocol, MM03 and MM19-A guidelines of Clinical Laboratory Standards Institute (CLSI). The analytical sensitivity of each NAAT assay was compared using the same RNA samples obtained from the extraction of mixed clinical samples or positive quality controls. The concentrations of RNA samples were determined by fluorescence quantitative method using standard materials as standard.

Serial dilutions were made with a known concentration of the target substance in the 136 analytical range of the expected limit of detection (LOD) and tested in replicates of 20. 137 All panel members were prepared at the same time, aliquoted into individual tubes for 138 each concentration and each assay, stored frozen, and thawed on the day of testing. 139 When 19 or all 20 replicates were positive, the concentration was temporarily defined 140 as LOD, followed by 20 replicates per day for two consecutive days, yielding a total 141 of 60 results. If more than 95% of the results were positive, the concentration was 142 143 finally determined as the LOD of the reagent. The experiments were spread over 3 days so that the standard deviations reflect the performance of the assay over a range 144 of typical laboratory conditions but without a change in reagent lots. 145

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**Precision.** Precision was evaluated in accordance with a modified EP12 protocol, MM09 and MM19-A guideline of CLSI using a patient RNA pool with concentration above 20% of the LOD and the negative sample. Sample pools were divided into five aliquots per level and frozen at -70 °C. One aliquot of each level was thawed daily and analyzed four times per day during a period of five consecutive workdays (n = 20 per level). Precision was evaluated as the coefficient of variation (CV), which was calculated from the data series mean and standard deviation.

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Accuracy. Ten positive reference materials and two negative reference materials from
the performance verification reference material kit were used to evaluate accuracy.

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Analytical specificity. Eighteen pseudovirus samples of analytical specificity 158 reference materials from the performance verification reference material kit were used 159 to determine the analytical specificity of four NAATs, including human coronavirus 160 HCoV-OC43, HCoV-HKU1 RNA, HCoV-229E RNA, HCoV-NL63 RNA, SARS 161 RNA, Middle East respiratory syndrome (MERS) RNA, influenza A HIN1 virus, 162 influenza B INFB virus, respiratory syncytial virus type A and type B, human 163 parainfluenza virus, adenovirus, enterovirus, mycoplasma pneumoniae, Epstein-Barr 164 (EB) virus, human cytomegalovirus, Mycobacterium tuberculosis, and two samples 165

166 with human genome DNA.

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168 Analytical interferences. To investigate the analytical interferences, three 169 interference reference materials from the performance verification reference material 170 kit were used, including 6 g/dL hemoglobin, 30 g/dL albumin, and the mix of 100 171  $\mu$ g/mL ribavirin and 100  $\mu$ g/mL azithromycin. All tests were repeated three times.

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173 **Method comparison.** Forty-six RNA samples from suspected patients with 174 COVID-19 were used in the method comparison. Given that no reference method was 175 used to determine the sensitivity and specificity of NAATs, the consensus result was 176 determined for each sample; samples were categorized as true positive (TP) if positive 177 by two or more assays (14). The SARS-CoV-2-positive samples were further 178 confirmed by sequencing.

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180 Statistical analysis. The significance of the difference in sensitivity between the 181 NAATs was assessed by using Fisher's exact test in GraphPad Prism (5.0). The 182 Chi-square likelihood ratio test was used to analyze the discordant results. The 95% 183 confidence intervals (CIs) for specificity and sensitivity were calculated by using the 184 Wilson–Score method in GraphPad Prism. Significant differences were considered at 185 P values less than 0.05.

186

187 Result

Analytical sensitivity. We evaluated the analytical sensitivity of each assay to 188 determine differences in the ability of each assay to detect SARS-CoV-2 in mixed 189 clinical samples or in pseudovirus cultures. First, we contrived RNA specimens from 190 SARS-CoV-2 pseudovirus cultures at four separate final concentrations (500, 1000, 191 2000, and 3000 copies/mL). The ORF1ab gene test results of the serial dilutions were 192 all negative by the Hybribio and the Bioperfectus NAATs. Further investigation 193 revealed that the pseudoviral RNA did not contain the detecting locus of Hybribio and 194 Bioperfectus NAATs targeting the ORF1ab gene. Daan NAAT detected 18/20 195

replicates at 2000 copies/mL and 20/20 replicates at 3000 copies/mL. Sansure NAAT detected 17/20 at 1000 copies/mL and 20/20 at 2000 copies/mL (Table 1). The final results of 60 replicates showed that the LOD of Daan NAAT was 3000 copies/mL for pseudovirus cultures, and the LOD of Sansure NAAT was 2000 copies/mL for pseudovirus cultures.

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To explore the LOD of the NAATs in clinical samples, we further contrived mixed 202 203 RNA specimens from clinical samples at three separate final concentrations (2000, 3000, and 4000 copies/mL). Daan NAAT detected 12/20 replicates at 2000 copies/mL 204 and 20/20 replicates at 3000 copies/mL (Table 1). Sansure NAAT detected 17/20 at 205 2000 copies/mL and 20/20 at 3000 copies/mL. Hybribio NAAT detected 10/20 206 replicates at 2000 copies/mL and 20/20 replicates at 3000 copies/mL. Bioperfectus 207 NAAT detected 10/20 at 3000 copies/mL and 20/20 at 4000 copies/mL. The final 208 results of 60 replicates showed that the LOD of clinical samples was 3000 copies/mL 209 by Daan, Sansure, and Hybribio NAATs, whereas that of clinical samples was 4000 210 211 copies/mL by Bioperfectus NAAT. The LODs declared in the instructions of Daan, Sansure, Hybribio, and Bioperfectus NAATs were 500, 200,1000, and 1000 212 copies/mL, respectively. 213

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Precision. For the negative sample, the 20 repeated test results by all four NAATs had no amplification curve of target genes but a positive amplification curve of the internal reference gene. For the positive sample, 20 repeated test results by all four NAATs had positive amplification curves of the target genes and internal reference gene. The within-run CVs at the concentrations above the 20% level of the LOD on all four systems were less than 4%, and the total CVs were less than 5% (Table 2).

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Accuracy. The results of all four NAATs for accuracy evaluation were in line with expectations by using 10 positive reference materials and two negative reference materials.

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Analytical specificity. For the four assays, no amplification curves were obtained for
up to 10,000 copies/mL of six coronavirus pseudovirus samples with HCoV-OC43,
HCoV-HKU1, HCoV-229E, HCoV-NL63, SARS, and MERS RNA. For the 10
common respiratory pathogens and two human genomic DNA samples, all results of
the four assays were also negative.

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Analytical interferences. An assessment of interferences is shown in Table 3. The
bias of Ct value for the N gene and ORF1ab gene on all four systems was less than
8.5% at the following interfering substance concentrations tested: 6 g/dL hemoglobin,
30 g/dL albumin, 100 µg/mL ribavirin, and 100 µg/mL azithromycin.

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Comparison of the four NAATs. We defined consistency as two or more tests that 237 produce same results and used these results to define true positives and negatives. By 238 this definition, 34.8% (16/30) tested positive and 65.2% (30/46) tested negative. The 239 results for all four NAATs were negative for all 30 negative samples, and the 16 240 241 positive samples were reported positive by Daan, Sansure, and Hybribio NAATs. However, three of the 16 positive samples were reported negative by Bioperfectus 242 NAAT (Table 4). The Ct values of the N gene and ORF1ab gene in the three positive 243 samples by Daan, Sansure, and Hybribio NAATs are shown in Table 5, and the Ct 244 values of the ORF1ab gene were close to the positive threshold of the three NAATs 245 declared in their instructions. 246

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The sensitivity and specificity for each NAAT were calculated based on consistent analysis. For Daan, Sansure, and Hybribio NAATs, their specificity and sensitivity were 100%. For Bioperfectus NAAT, the sensitivity was 81.25% and specificity was 100%. No significant difference was found in the sensitivity between Bioperfectus NAAT and the three other NAATs (P > 0.05).

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#### 254 Discussion

255 The prevention of the COVID-19 epidemic is very grim. On the basis of China's

experience in preventing the further spread of the disease in the past 2 months, 256 identifying COVID-19 from patients with other diseases as soon as possible has been 257 proven to be particularly important to execute early isolation and early treatment (4, 5, 258 15). The use of RT-PCR technology to detect SARS-CoV-2 nucleic acid is an 259 effective means to screen SARS-CoV-2 virus-infected patients. The current 260 SARS-CoV-2 NAATs, which are widely used in China, were developed in response to 261 the emergency situation of screening for SARS-CoV-2 infection. The performance of 262 263 the assays is exactly what all testers want to know. In this study, four NAATs were evaluated. The results showed that the LODs of the four assays were significantly 264 higher than the LODs declared in their instructions, suggesting that each laboratory 265 should re-evaluate the LOD of the reagents depending on the needs of their laboratory. 266 The RNA detection data from pseudovirus cultures showed that the LOD of Sansure 267 was slightly lower than that of Daan NAAT. The RNA detection data from clinical 268 samples showed that the LOD of Bioperfectus NAAT was slightly higher than that of 269 the three other reagents but still reached the detection sensitivity of 20 copies per PCR 270 271 reaction. There was no significant difference in the LOD of SARS-CoV-2 virus between pseudovirus cultures and clinical samples for Daan and Sansure NAATs. 272 Thus, pseudovirus cultures could be used as an alternative for clinical samples in the 273 performance evaluation of LOD. In addition, the four assays showed high precision, 274 and the CV value was less than 5% when the concentration was 20% higher than the 275 LOD. There were no cross-reactions by the four assays with four common human 276 coronaviruses, SARS, and MERS viruses and no cross-reaction with other common 277 278 respiratory pathogens, such as respiratory syncytial virus, adenovirus, influenza virus, 279 and Mycoplasma pneumoniae.

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In the present study, we further compared four NAATs for the detection of SARS-CoV-2 in clinical samples from suspected COVID-19 patients. Results showed that the clinical sensitivity and specificity of Daan, Sansure, and Hybribio NAATs were 100%, while three of the positive samples were missed by Bioperfectus NAAT. The Ct values of the ORF1ab gene of the three samples tested with Daan, Sansure,

and Hybribio reagents were all close to 40, indicating that the concentration of the 286 three samples may be lower than the LOD of Bioperfectus. Therefore, the LOD 287 should be included in the reference index when selecting reagents for SARS-CoV-2 in 288 the laboratory. Sequencing verification of the PCR-positive samples in this study 289 suggested that the four assays did not show false positives in the detection of limited 290 clinical samples. Although the small clinical sample size limited the optimal 291 evaluation of the performance of these assays, the results of this study can still help 292 293 laboratories to select an appropriate SARS-CoV-2 NAAT supplier and interpret their detection results. 294

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Obtaining high-quality viral RNA from original clinical samples is crucial to ensure 296 the accuracy of the detection results by NAATs. Therefore, the poor quality of 297 extraction reagents and the unoptimized extraction process of viral RNA would affect 298 the detection results. In this study, the performance of NAATs was evaluated by using 299 the same viral RNA samples. Therefore, the difference in the experimental results 300 301 only reflected the difference in the performance of nucleic acid amplification for NAATs but not the diversity in the performance of the whole SARS-CoV-2 detection 302 system, which usually includes the nucleic acid extraction system. 303

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In addition, RNA viruses may show substantial genetic variability. This could result in mismatch between the primer and probes with the target sequence, which can diminish the assay performance or result in false negative results. Therefore, the manufacturer of NAATs should pay attention to the sequence update information of the virus database at any time to ensure no mutation exists in the genome sequences corresponding to the primers, especially for the sequences corresponding to the 3' end of the primers.

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In summary, the four SARS-CoV-2 NAATs commonly used with commercial assays
showed good reliability, but the actual LOD was significantly higher than the declared
LOD. The analytic sensitivity of Daan, Sansure, and Hybribio NAATs was slightly

316 higher than that of Bioperfectus NAAT.

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- 318 Acknowledgments
- 319 The authors would like to thank the Chinese Academy of Metrology, Sansure,
- 320 Bioperfectus, and Hybribio for supplying the test kits.

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## 372

#### **Table 1.** Comparison of Analytical sensitivity.

Copies/mL	Pseudo	oviruses			Clinical samples				
	Daan	Sansure	Hybribio	Bioperfectus	Daan	Sansure	Hybribio	Bioperfectus	
4000	/	/	/	/	20/20	20/20	20/20	20/20	
3000	20/20	20/20	0/20	0/20	20/20	20/20	20/20	12/20	
2000	18/20	20/20	0/20	0/20	12/20	17/20	17/20	5/20	
1000	12/20	17/20	0/20	0/20	/	/	/	/	
500	5/20	17/20	0/20	0/20	/	/	/	/	

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#### 375 Table 2. Comparison of precision.

	N gene			ORF1ab gene			
	Standard	CV Within-run	CV Total	Standard	CV Within-run	CV Total	
	Standard	(%)	(%)	Standard	(%)	(%)	
Daan	39.87	0.54	0.64	37.22	1.60	4.18	
Sansure	36.20	2.40	2.41	38.41	3.12	3.55	
Hybribio	35.27	1.71	1.95	37.03	2.79	2.96	
Bioperfectus	33.90	3.16	3.24	33.33	2.25	3.59	

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**Table 3.** Sample interferences for common interferents.

Relative bias from native sample, Ct%								
Interferent	Daan		Sansure		Hybribio		Bioperfectus	
Interierent	Ν	ORF1ab	Ν	ORF1ab	Ν	ORF1ab	Ν	ORF1ab
Hemoglobin	7.29	5.96	6.06	2.84	7.45	7.00	7.20	4.15
Albumin	6.72	5.92	6.83	3.39	8.22	7.96	7.20	3.72
<b>Ribavirin &amp; Azithromycin</b>	6.72	5.92	6.76	4.54	7.86	7.60	7.20	4.23

## 378

**Table 4.** Comparison of NAATs to consensus results for detection of SARS-CoV-2.

Assays	ТР	TN	FP	FN	Sensitivity (95% CI)	Specificity (95% CI)
Daan	16	30	0	0	100%(80.64 - 100)	100%(80.64 - 100)
Sansure	16	30	0	0	100%(80.64 - 100)	100%(80.64 - 100)
Hybribio	16	30	0	0	100%(80.64 - 100)	100%(80.64 - 100)
Bioperfectus	13	30	0	3	81.25%(56.99 - 93.41)	100%(88.65 - 100)

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**Table 5.** Ct values of the three clinical samples which was SARS-CoA-2 negative detected by

Bioperfectus NAATs.

Samples	Daan		Sansur	e	Hybrib	Hybribio	
	Ν	ORF 1ab	Ν	ORF 1ab	Ν	ORF 1ab	
<b>S1</b>	34.57	38.09	37.22	38.48	36.27	38.51	
<b>S2</b>	35.45	40	37.09	40	37.96	39.69	
<b>S</b> 3	35.83	40	36.16	39.97	36.24	37.42	