No more business as usual: agile and effective responses to emerging pathogen threats require open data and open analytics

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Global cooperation, necessary for tackling public health emergencies such as the Wuhan pneumonia virus (COVID-19) outbreak, requires unimpeded access to data, analysis tools, and computational infrastructure. The current state of much of COVID-19 research shows regrettable lack of data sharing and considerable analytical obfuscation. In this study, we use all COVID-19 genomic data available in the public domain so far to (1) underscore the importance of access to raw data and to (2) demonstrate that existing community efforts in curation and deployment of biomedical software can reliably support rapid, reproducible research during global crises.

The initial publications describing genomic features of COVID-19 [1–4] used Illumina and Oxford nanopore data to elucidate the sequence composition of patient specimens (although only Wu et al. [3] explicitly provided the accession numbers for their raw short read sequencing data). However, their approaches to processing, assembly, and analysis of raw data differed widely (Table 1) and ranged from transparent [3] to entirely opaque [4]. Such lack of analytical transparency sets a dangerous precedent. Infectious disease outbreaks often occur in locations where infrastructure necessary for data analysis may be inaccessible or unbiased interpretation of results may be politically untenable. As a consequence, there is a global need to ensure access to free, open, and robust analytical approaches that can be used by anyone in the world to analyze, interpret, and share data. Can existing tools and computational resources support such a global need? Here we show that they can: we analyzed all available raw COVID-19 data to demonstrate that analyses described in [1–4] can be reproduced on public infrastructure using open source tools by any researcher with an Internet connection.

We exclusively used free software tools publicly available from the BioConda package distribution system [5], deployed through the worldwide network of open Galaxy platforms [6] and executed using public high throughput computational infrastructure (XSEDE in the US, de.NBI and ELIXIR in EU). We also used an open source Jupyter environment [7] for exploratory analysis of data. All analyses performed here are fully documented and accessible at <u>https://github.com/galaxyproject/SARS-CoV-2/</u> and <u>https://doi.org/10.5281/zenodo.3678710</u>.

We divided our analysis into the following stages: (1) read pre-processing, (2) genome assembly, (3) timing the most recent common ancestor (MRCA), (4) analysis of genomic variation within individual samples, and (5) recombination and selection analyses.

We pre-processed six currently available (as of Feb 19, 2020) sequencing read datasets for COVID-19 (Table S1) by removing adapter contamination and reads derived from human transcripts and combined the resulting datasets. This was done to enrich COVID-19-specific reads that constitute only a fraction of the original data. These were used as inputs for SPAdes assembler [8] and Unicycler [9]—an assembly pipeline based on SPAdes that includes a number of pre-processing and polishing steps. Both approaches were able to reconstruct a full length COVID-19 genome with Unicycler producing a cleaner assembly graph. Its largest contig (29,781bp) had 100% identity to the published assembly NC_045512.

Next we estimated the date of the most recent common ancestor (MRCA) of COVID-19. For this we used simple root-to-tip regression [10] (more complex and powerful phylodynamics methods could certainly be used, but for this data with very low levels of sequence divergence, simpler and faster methods suffice). Using a set of sequences from all COVID-19 sequences available as of Feb 16, 2020 we obtained an MRCA date of Oct 24, 2019, which is close to other existing estimates [11].

The vast majority of COVID-19 genomic data available at the time of writing are partially or fully assembled genomes. There is no public access to sequence reads that were used to produce these assemblies: as of Feb 19, 2020—more than two months since the beginning of the outbreak—there are only six raw datasets (Table S1). This state of affairs should be unacceptable, since raw read data can be used to uncover viral diversity within individual samples—the type of information gets removed by the assembly process, and to evaluate robustness and reliability of the assembly and variant calling process. To demonstrate that such diversity exists, we mapped Illumina reads against COVID-19 reference (NC_045512) and identified sequence variants with frequencies above 5% while taking into account quality of alternative bases and strand bias. Five percent was selected as a conservative threshold that can be reliably resolved from Illumina data [12]. Using this threshold, thirty nine single nucleotide variants (SNVs) were identified in total across all samples (Fig. 1, Supplemental Table 1). SRR10971381, the sample with most variants (and most reads), contained a cluster of three substitutions within the first two codons of the *M* gene with alternate allele frequencies ranging from 5.2% to 7.8%. There was no evidence of linkage among these variants.

The most prominent sequence variant was observed in sample SRR10903401. It is an A-to-C substitution with alternate allele frequency of 38% that causes a Lys⁹²¹Gln amino acid replacement within the spike glycoprotein S (product of gene S). S is a homotrimeric protein containing S1 and S2 subdomains mediating receptor recognition and membrane fusion, respectively [13]. S2 subdomains contain two heptad repeat (repeats of units containing seven amino acids) regions: HR1 and HR2. The Lys⁹²¹Gln substitution we observed is located in HR1 and forms a salt bridge with Gln¹¹⁸⁸ within HR2. This is one in a series of salt bridges involved in the formation of the HR1/HR2 hairpin structures [14]. This site invariably contains Lys in all human SARS-related coronaviruses (S protein residue 903) as well as in many other coronaviruses (Fig 2). However, other, more distantly related coronaviruses including transmissible gastroenteritis coronavirus (TGEV), the porcine respiratory coronavirus (PRCV), the canine coronavirus (CCV), the feline peritonitis virus (FIPV), and the porcine epidemic diarrhea virus (PDEV) all contain Gln at the corresponding position ([14] and Fig. 2). The Lys⁹²¹Gln change would prevent the formation of the salt bridge with Gln¹¹⁸⁸ and may have structural and functional implications for the spike

protein. This potentially adaptive change was not observed in the other two samples and lack of raw read data prevented us from identifying it in other geographically and temporally distributed samples.

To detect potential genome rearrangement events that might have led to the emergence of COVID-19 we performed analysis of recombination using a genetic algorithm approach [15]. Wu et al. [3] identified two potential recombination breakpoints within the COVID-19 S-gene with some segments having higher similarity to Bat ZC45 and ZXC21 coronaviruses (accessions MG772933 and MG772934, respectively), while others were more similar to SARS Tor2 and SZ3 isolates (accessions AY274119 and AY304486). Our attempt at reproducing this analysis did identify a set of potential breakpoints similar to the ones reported by Wu et al. [3], but lacking robust statistical support (Fig. 3).

Finally, we performed a branch-level test for positive selection on a codon-alignment of the *S* gene from COVID-19, SARS-Tor2 as well as Bat ZC45, ZXC21, and Rp3 coronaviruses, specifically to identify if there was any evidence of diversifying selection along the ancestral branch leading to COVID-19 isolates. We found statistically significant evidence of positive diversifying selection (~7% of *S*-gene sites) along the branch leading to COVID-19 (Fig. 4).

The goal of our study was to (1) raise awareness of the lack of primary data necessary to effectively respond to global emergencies such as the COVID-19 outbreak and (2) demonstrate that all analyses can be performed transparently with already existing tools and computational infrastructure. The first problem — reluctance to share primary data — has its roots in the fact that the ultimate reward for research efforts is a publication. As a result individual researchers are naturally averse to sharing primary data prior to manuscript acceptance. One may hope that the need to fight global pandemics will downplay individualistic tendencies, yet this is likely wishful thinking. The second problem—the use of disparate tools in an irreproducible fashion — relates to the fact that data analysis remains a "second-class" citizen in infectious disease research and in life sciences in general.

We want to particularly emphasize the issue of irreproducibility. All researchers involved in any given outbreak research should have access to a set of community-curated tools in the same way as they have access to COVID-19 RT-PCR primers [16]. Moreover they should have access to computational infrastructure that can execute these tools and apply them to potentially large NGS datasets. This is essential as precious time is spent on "reinventing the wheel". Instead, in an ideal world, after reading any of the original COVID-19 manuscripts any researcher should be able to apply the same analytical procedures to their own data. To illustrate these points we assessed the reproducibility of the four initial manuscripts describing the COVID-19 genome (Table 2). All manuscripts reported versions of the software used but none listed parameters used. This effectively prevents quality control and replication because outcomes of complex procedures such as genome assembly, phylogenetic

reconstruction, and recombination analysis are notoriously parameter-dependent. One of the manuscripts [4] explicitly lists FreeBayes, a variant discovery tool, as software used for short read assembly—something that FreeBayes is not capable of doing. Finally, only [3] provided access to the raw data rendering the other three manuscripts unverifiable and completely irreproducible.

Our short study demonstrates that viral genome analyses can be performed using open worldwide scientific infrastructure and relying entirely on community-curated open-source software. While we used Galaxy as the platform to execute all analyses described here, the individual software components can be obtained directly from BioConda and run independently. They can be combined into workflows using systems like the CWL[17], Nextflow[18], or Snakemake[19]. Whatever the execution environment or workflow engine, using community supported, versioned, open source tools makes data analyses robust and transparent. This increases the quality and impact of biomedical research.

As we were submitting this manuscript on February 21, 2020, several new sets of raw Illumina reads have been deposited to the Short Read Archive at the NCBI. We did not analyze them in this version of our manuscript. However, this reinforces the key point we are making in this study—anyone can use the open workflows described here to analyze the new data!

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Analysis stage	Publication				
Analysis stage	[3]	[1]	[2]	[4]	
Tools	Bowtie2 (NV)	BWA	BWA	minimap	
Assembly	MegaHit 1.1.3,	Geneous	SPAdes	Sequencher	
	Trinity 2.5.1	MegaHit	CNCBio	FreeBayes?	
Comparative	MAFFT 7.407	MAFFT	MAFFT		
Analysis	PhyML	Clustal	RAxML		
	MEGA RDP4	RAxML			
Versions	+	+	+	+	
specified					
Parameters	-	-	-	-	
specified					
Raw data	+	?	-	-	

Table 1. Methods used for the analysis of primary 2019-nCoV data. ? = uncertain (e.g., Holshue et al. [4] identify FreeBayes as an assembly tool).

Table S1. Raw COVID-19 sequencing data available at the time of writing (Feb 20, 2020). BALF = bronchoalveolar lavage fluid, * indicates that data may not be reliable (for example, the link between SRR10903402 and [1] is inferred: neither the SRA record nor the manuscript establish this relationship).

#	Dataset	Reads	Source	Technology	Reference	Locality
1	SRR10903401	476.6K	BALF RNA	Miseq, PE	* [1]	Wuhan
2	SRR10903402	676.7K	BALF RNA	Miseq, PE	* [1]	?
3	SRR10971381	28.3M	BALF RNA	Miseq, PE	[3]	?
4	SRR10948550	425.7K	* RNA	Minion	?	?
5	SRR10948474	505.5K	* RNA	Minion	?	?
6	SRR10902284	261.9K	* RNA	Minion	?	?

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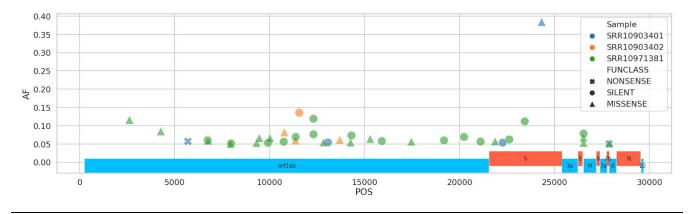


Figure 1. Distribution of nucleotide changes across 2019_nCoV genome. AF = minor allele frequency, POS = position

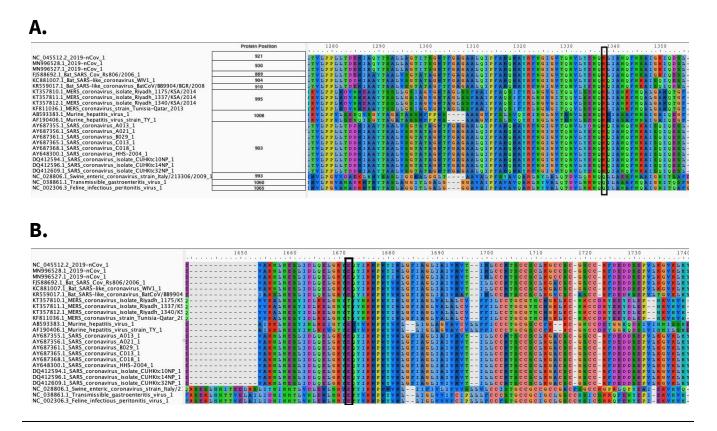


Figure 2. Amino acid alignment of spike glycoprotein regions HR1 (A) and HR2 (B). The site of the Lys⁹²¹Gln substitution observed by us in a COVID-19 isolate is highlighted with a black rectangle in panel A. Its corresponding salt bridge partner is highlighted with black rectangle in panel B.

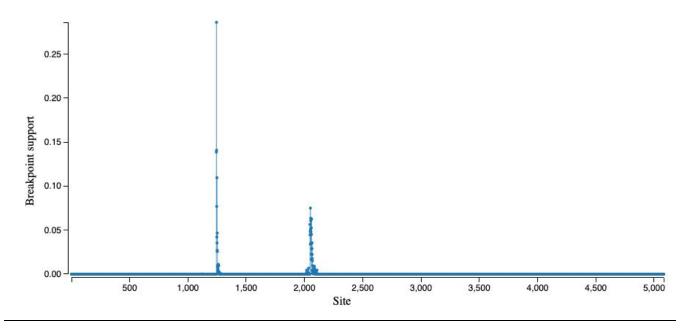


Figure 3. Location of potential recombination breakpoints along the S-gene (GARD analysis).

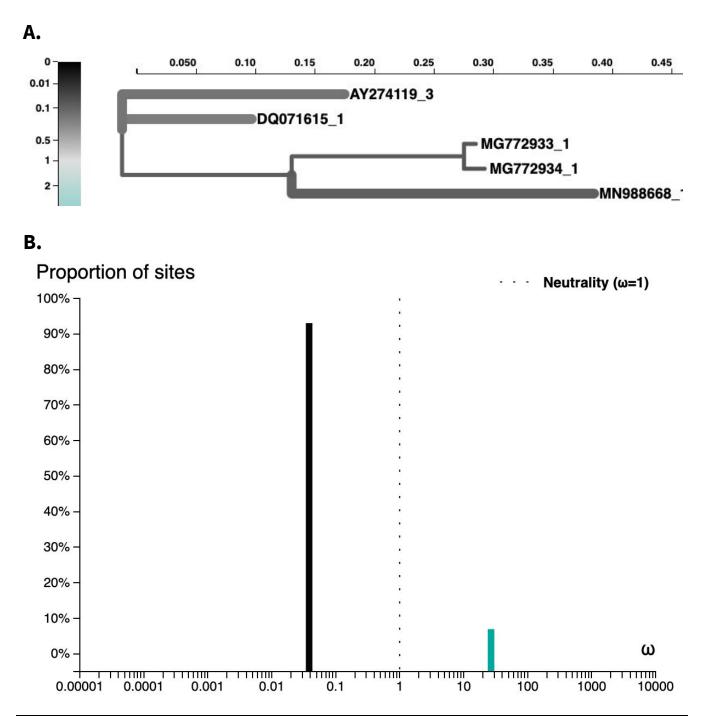


Figure 4. Analysis of branch-specific positive diversifying selection (aBSREL) along the branch leading to COVID-19 (MN988688).