1	AncesTree: an interactive immunoglobulin lineage tree visualizer
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12	
13	Abstract
14	High-throughput sequencing of human immunoglobulin genes allows analysis of antibody repertoires
15	and the reconstruction of clonal lineage evolution. Phylip, an algorithm that has been originally
16	developed for applications in ecology and macroevolution, can also be used for the phylogenic
17	reconstruction of antibodies maturation pathway. The study of antibodies (Abs) affinity maturation
18	is of specific interest to understand the generation of Abs with high affinity or broadly neutralizing
19	activities. Phylogenic analysis enables the identification of the key somatic mutations required to
20	achieve optimal antigen binding. To complement Phylip algorithm, we developed AncesTree, a
21	graphic user interface (GUI) that aims to give researchers the opportunity to interactively explore

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antibodies clonal evolution. Ances Tree displays interactive immunoglobulins (Ig) phylogenic tree, Ig

related mutations and sequence alignments using additional information coming from specialized

antibody tools (such as IMGT®). The GUI is a Java standalone application allowing interaction with

Ig-tree that can run under Windows, Linux and Mac OS.

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27 Keywords: Antibodies, Phylogenic tree, Sequence alignment, Immune repertoire exploration

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#### 29 Introduction

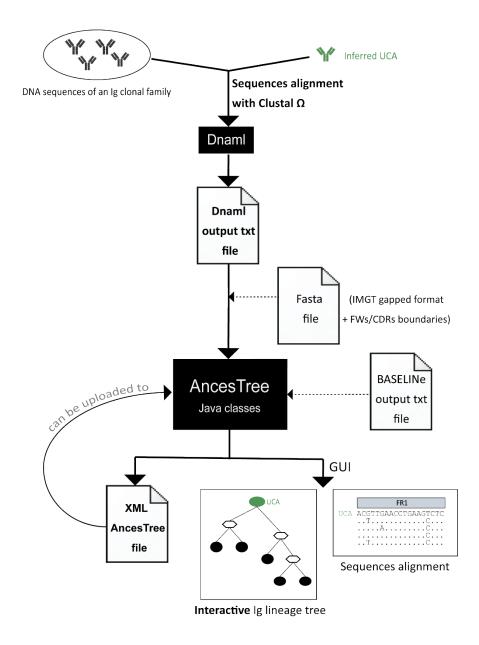
Development of Next Generation Sequencing (NGS) methodology and its use for high-throughput 30 sequencing of the Adaptive Immune Receptor Repertoire (AIRR-seq) has provided unprecedented 31 molecular insight into the complexity of the humoral adaptive immune response by generating Ig data 32 sets of 100 million to billions of reads. Different computational methods have been developed to 33 exploit and analyze these data (1). Retracing the antigen-driven evolution of Ig repertoires by 34 35 inferring antibody evolution lineages is a powerful method to understand how vaccines or pathogens 36 shape the humoral immune response (2-5). Indeed, Abs maturation is the result of clonal selection during B cell expansion. A clonal lineage is defined as immunoglobulin sequences originating from 37 the same recombination event occurring between the V, D and J segments (6). Upon B cell receptor 38 (BCR) engagement by a given antigen, somatic hypermutations (SHMs) events will generate a large 39 BCR diversity, leading to antibodies with mutated Ig variable regions, thus forming a specific B-cell 40 lineage that extends from the naive unmutated B-cells, to somatically hypermutated and class 41 switched memory B or plasma-cells (7). Lineage tree building requires a common preprocessing step, 42 43 where all sequences with identical V, J genes and CDR3 length (with a high CDR3 similarity) are grouped together (8-12). However, there is no consensus as to which phylogenetic method is optimal 44 to infer the ancestral evolutionary relationships among Ig sequences (13, 14). Actually, several 45 46 methods have been used, such as Levenshtein distance (LD), neighbor joining (NJ), maximum parsimony (MP), maximum likelihood (ML), and Bayesian inference (BEAST) (9, 15-17). DNA 47 Maximum Likelihood program (Dnaml) of the PHYLIP package (18), is a ML method that has been 48 originally developed for applications in ecology. It is also commonly used to infer B cell clonal 49 lineages (19-24). Visualization of the phylogeny is performed using Dendroscope (25, 26). Currently 50 51 there is no efficient bioinformatics tool allowing an interactive display of phylogenic tree inferred

52	from Ig sequences. Here we developed AncesTree, a Dnaml Ig lineage tree visualizer that also
53	integrates information coming from most used antibody bioinformatics tools: IMGT® (27), Kabat
54	numbering (28) and BASELINe (29). AncesTree enables users to interact with the tree generated by
55	Dnaml via the GUI, which is a standalone application that is platform independent and only need
56	JAVA JRE 12 or higher as prerequisite software installed.
57	

### 58 Design and implementation

59 The AncesTree workflow is presented in Fig 1, it consists of three different main steps: Input,

60 Processing and Outputs.



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Figure 1. AncesTree workflow. DNA sequences of the variable region of an Ig clonal family of 63 interest are aligned with Clustal  $\Omega$ . Dnaml processes the sequences and generates a phylogenetic tree. 64 The Dnaml output text file is then used as input for AncesTree. A fasta file with the UCA in gapped 65 IMGT format can be provided (with the FWs and CDRs nucleotide positions in the fasta identifier). 66 AncesTree processes the different inputs and reconstructs the phylogenic tree with all information 67 related to Ig. BASELINe can be processed separately and its output saved in a text file and then 68 uploaded into AncesTree. The tree is displayed in a GUI and an Extensible Markup Language (XML) 69 file is produced (that could be used as direct input into AncesTree). Dashed arrows indicate optional 70 71 features.

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#### 73 Input

The required input for AncesTree usage is the output text file generated by Dnaml. Optionally, a fastafile with data obtained from IMGT® can also be used to have full AncesTree features.

76 A clonal family is composed of heavy (or light) V(D)J sequences and their related unmutated common

ancestor (UCA). The UCA can be inferred with Antigen Receptor Probabilistic Parser (ARPP) UA

78 Inference software (30) or Cloanalyst (31). Then, sequences are aligned with Clustal  $\Omega$  (32) and the

79 generated file in PHYLIP format can be provided for Dnaml. Dnaml is launched with the following

80 settings: 'O' for the outgroup root with the number corresponding to the UCA position provided in

81 the PHYLIP input text file and '5' to reconstruct hypothetical sequences. The generated 'outfile' text

82 file can be used as input for AncesTree.

To visualize the different frameworks (FW) and complementary-determining (CDR) regions that composed the Ig variable region, a fasta file can be uploaded. The user provides a fasta file containing the following information: the UCA V(D)J sequence in IMGT format including gaps, and the end positions of each region included in the fasta identifier (separated by a space). This information is easily retrieved using IMGT/V-QUEST (33) with the UCA nucleotide sequence as input.

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#### 89 **Processing**

AncesTree parses the Dnaml output file, and does not required a tree in Newick format. Indeed, the relationship between the different nodes of the tree is already stored, in addition to the sequence of each node, in the Dnaml output text file. The theoretical intermediate reconstructed sequences are renamed branch points (BPs) and in the case of ambiguous nucleotide notation (IUPAC nomenclature), AncesTree selects the nucleotide with the highest probability based on the Ig sequences retrieved after this BP. AncesTree has the ability to collapse a node if the sequences are identical, for example in the case of a theoretical BP correspond to an existing Ig. Moreover,

97 AncesTree will also draw different nodes clustered together in the case of identical Ig sequences, thus98 providing a clear topology view of the tree.

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#### 100 **Outputs**

After running AncesTree, a sub-folder is automatically created in the 'output' folder, it uses the name
of the Dnaml output file. The folder will contain all produced files such as a XML file that can be
used for direct loading into the GUI.

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AncesTree displays the processed tree in the main panel of the GUI (Fig 2A). The number of 105 106 nucleotide and amino acid mutations written on the edge between each node/sequence (with amino acid mutations shown in parenthesis) is clickable and enables the opening of a new window frame 107 that displays the detailed location of each mutation (Fig 2B). Of note, the color of the box around 108 109 each mutated codon indicates whether the mutation is replacement (R) in red or silent (S) in green. This information is also available as R/S numbers under each region. The user can view the amino 110 acid mutations, and have access by default to the Kabat numbering of the related amino acid 111 position (without internet access, AncesTree will use the absolute position). To obtain the 112 nucleotide or protein sequence of a node, the user can click on it (Fig 2C). The user has also the 113 114 possibility to enter the EC50 for the specified Ig. The sequence alignments (DNA or protein) are also accessible in a new frame via the 'Menu' button on the top (Fig 2D). The alignment view is 115 customizable: the sequences can be selected or deselected, as well as the different positions or 116 117 regions. Different color modes can be chosen.

If the user is interested in a BASELINe analysis of its clonal family of interest, and if the optional input fasta file (with the UCA VDJ sequence including gaps) was provided, AncesTree generates automatically the fasta input file needed for this software (<u>http://selection.med.yale.edu/baseline/</u>).
Once BASELINe is processed, its output can be loaded into AncesTree to have a nice graphic view

- 122 of antigen-driven selection occurring for this particular clonal family. All generated graph can be
- exported in PNG or EPS format, the alignment can also be exported in a Tab-separated Values (TSV)
- 124 file.

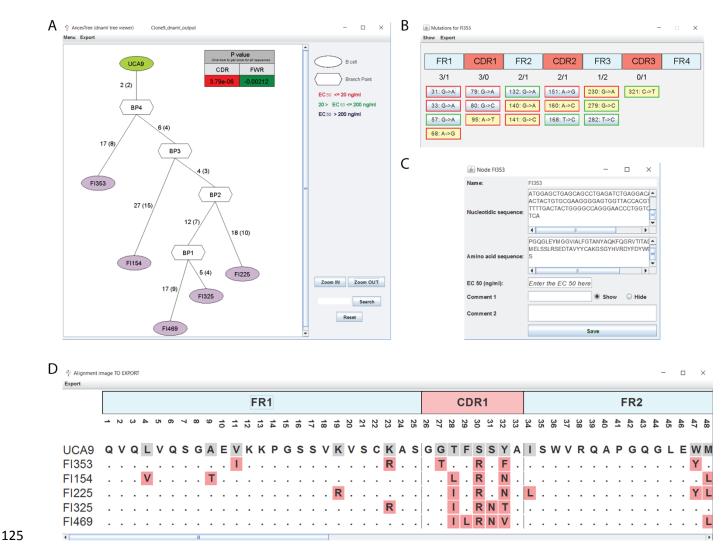


Figure 2. Snapshot of AncesTree GUI. (A) The tree generated by Dnaml is displayed in the main panel. The BASELINe analysis for the clonal family is displayed in the right upper corner. (B) The mutations between two nodes can be displayed in a separate window and they are positioned using IMGT® sequence annotation. (C) The user can have access to each specific node to obtain the related sequences (DNA or protein) and add comments. (D) An alignment is generated with the UCA appearing in the first lane, and a ruler indicates the different regions that compose an Ig sequence.

#### 133 **Results**

To demonstrate the utility of AncesTree we analyzed a case study by performing the analysis of an 134 Ig lineage tree targeting the fusion protein (F) of the Respiratory Syncytial Virus (RSV). RSV is an 135 enveloped RNA virus belonging to the recently defined *Pneumoviridae* family (34). Infection of 136 healthy adults by RSV typically results in mild respiratory symptoms. However, viral infection of 137 infants and older adults, accounts for a substantial hospitalization burden in both age groups (35). 138 139 Indeed, RSV infection is the second cause of infant mortality worldwide after malaria (36). Understanding the immunological basis for the development of potent neutralizing antibodies is a key 140 step for the development of an effective vaccine for RSV. 141

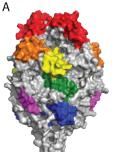
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# Case study: Exploration of Ig lineage targeting the Fusion protein of the Respiratory Syncytial Virus (F-RSV)

145 To demonstrate the practical use of AncesTree, we re-analyzed an Ig dataset generated post infection by Respiratory Syncytial Viral infection (HRSV). The dataset was collected by isolating antibodies 146 direct against the RSV F protein, a class I fusion protein mediating viral entry into host cells (37). 147 The Ig sequences were clustered by grouping antibodies sharing the same VH and VL gene usage, 148 149 HCDR3 length and identity (at least 85% for HCDR3). Among the clusters generated, we chose Igs 150 targeting the antigenic site V of RSV F located near amino acid 447 between the  $\alpha$ 3 helix and  $\beta$ 3/ $\beta$ 4 hairpin of F-RSV in prefusion (Fig 3A). About 70% of the mAbs targeting this site use the same VH 151 and VL germline pair (VH1-18 and VK2-30) (37-39). We identified an Ig family of interest 152 153 containing potent neutralizers targeting site V with one outlier, the mAb ADI-14576, being less potent and with a 10-fold decrease in binding affinity (Fig 3B). We used Dnaml to generate VH sequences 154 phylogenic tree and launched AncesTree to analyze and interact with the produced phylogenic tree 155 (Fig 4A). The EC50 (ng/ml) related to the neutralization assay against RSV subtype A are reported 156 in each node (of note, EC50 against subtype B are in the same range for each Ig). Surprisingly, a 157 common mutation 92:G->A (kabat position 31: S ->N) is shared between all the Igs, except for ADI-158

159 14576 that does not share this mutation. The alignment of the Ig protein sequences highlights clearly 160 this shared mutation (**Fig 4B**). A result suggesting that ADI-14576 underwent less affinity maturation 161 and therefore diverges from all the other family members. Interestingly, the 31:S->N mutation is 162 located in the HCDR1 and asparagine residues are often involved in protein binding sites. It is 163 tempting to speculate that the Serine to Asparagine substitution is in part responsible for the higher 164 potency and binding titer of the antibodies.

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В									
	Name	Donor	Neut IC50 (ug/ml) subtype A*	Neut IC50 (ug/ml) subtype B*	Antigenic Site Assignment	VH gene	VL/K gene		GenBank Accession Number (VL)
	ADI-14402	Infant 856	0.02	0.03	Site V	VH1-18	VK2-30	MG524289	MG524754
	ADI-14585	Infant 856	0.02	0.03	Site V	VH1-18	VK2-30	MG524287	MG524752
	ADI-14577	Infant 856	0.04	0.05	Site V	VH1-18	VK2-30	MG524286	MG524751
	ADI-14583	Infant 856	0.05	0.06	Site V	VH1-18	VK2-30	MG524288	MG524753
	ADI-14336	Infant 856	0.07	0.09	Site V	VH1-18	VK2-30	MG524285	MG524750
[	ADI-14576	Infant 856	3.11	0.77	Site V	VH1-18	VK2-30	MG524290	MG524755

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Figure 3. Clonal family against RSV-F protein antigenic site V. (A) Shown is the prefusion 169 conformation of RSV F trimer. The antigenic sites are colored, site Ø (red), I (blue), II (yellow), III 170 171 (green), IV (purple) and V (orange). Representation was done using PDB ID 4mmu (40) and prepared using PvMOL software (The PvMOL Molecular Graphics System, Version 4.5 172 Schrödinger, LLC). (B) Table showing the different characteristic of a mAbs clonal family isolated 173 174 from an infant ( $\geq 6$  months) after RSV infection. The Igs neutralization titers are shown as well as their related Germline annotations. ADI-14576 is highlighted because of is lower neutralization 175 176 value in comparison to the other mAbs of the same clonal family. 177 178 179 180



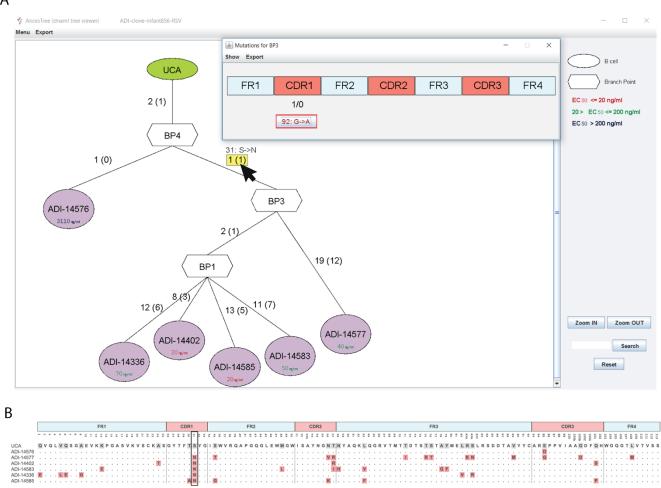


Figure 4. Phylogenetic analysis of the VH chain of a clonal family RSV-F specific. (A) Phylogenic
tree displayed in AncesTree where the user clicked on the shared mutation for all Igs below BP3 node
(31: S->N). (B) Protein alignment of the different Ig sequences, the mutation 31: S->N is boxed.

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#### 186 **Concluding remarks**

187 To summarize, we developed an intuitive, easy and interactive GUI allowing the visualization and 188 exploration of antibody clonal evolution. Our application is open access and only needs the file 189 produced by Dnaml and restricted information specific to antibody sequence analysis.

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191 Availability

192	AncesTree is open-source software implemented in Java and freely available from
193	https://bitbucket.org/mathildefog/ancestree. Documentation for installation and user tutorial are
194	provided.
195	
196	Authors' contributions
197	MF developed the application and performed the analyses. LPa, AL, DC and LPe participated in the
198	design of the application. MF and LPe wrote the paper. All authors read and approved the final
199	manuscript.
200	
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205 206 207 208 209 210 211 212 213	<ul> <li>This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.</li> <li>Acknowledgements</li> <li>The authors acknowledge present and past members of the Lanzavecchia's group for comments and feedback on the software.</li> <li>References</li> <li>Miho E, Yermanos A, Weber CR, Berger CT, Reddy ST, Greiff V. Computational Strategies for</li> </ul>
205 206 207 208 209 210 211 212	This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors. Acknowledgements The authors acknowledge present and past members of the Lanzavecchia's group for comments and feedback on the software. References

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