1	
2	
3	
4	
5	Title: An Animal Model to Study Klebsiella pneumoniae Gastro-Intestinal Colonization and
6	Host-to-Host Transmission
7	
8	
9	Authors: Taylor M. Young ¹ , Andrew S. Bray ¹ , Ravinder K. Nagpal ^{1,2} , David L. Caudell ³ ,
10	Hariom Yadav ^{1,2} , and M. Ammar Zafar ¹
11	
12	
13	Affiliations:
14 15	^{1.} Department of Microbiology and Immunology Wake Forest School of Medicine, Winston-Salem, NC. USA.
16	^{2.} Department of Molecular Medicine, Wake Forest School of Medicine, Winston-Salem, NC
17	USA.
18	^{3.} Department of Pathology-Comparative Medicine, Wake Forest School of Medicine,
19	Winston-Salem, NC. USA.
20	
20	Corresponding Author:
22	
22	M. Ammar Zafar Wales Forest Salas Laf Madiaina
23	Wake Forest School of Medicine
24 25	Biotech Place, Suite 210, Room 2E-022
25	575 Patterson Ave
26	Winston-Salem, NC 27101
27	336-716-9083 - phone
28 29	mzafar@wakehealth.edu
29 30	
30 31	
32	
32 33	
33 34	
35	
36	
30 37	
38	
39	
40	

41

42 Abstract.

An important yet poorly understood facet in the life cycle of a successful pathogen is the host-to-43 host transmission. Hospital-acquired infections (HAI) resulting from the transmission of drug-44 45 resistant pathogens affect hundreds of millions of patients worldwide. Klebsiella pneumoniae (Kpn), a gram-negative bacterium, is notorious for causing HAI, with many of these infections 46 difficult to treat as *Kpn* has become multi-drug resistant. Epidemiological studies suggest that 47 Kpn host-to-host transmission requires close contact and generally occurs through the fecal-oral 48 route. Herein, we describe a murine model that can be utilized to study mucosal (oropharynx and 49 gastrointestinal [GI]) colonization, shedding within feces, and transmission of Kpn through the 50 fecal-oral route. Using an oral route of inoculation, and fecal shedding as a marker for GI 51 52 colonization, we show that Kpn can asymptomatically colonize the GI tract of immunocompetent mice, and modifies the host GI microbiota. Colonization density within the GI tract and levels of 53 shedding in the feces differed among the clinical isolates tested. A hypervirulent Kpn isolate was 54 able to translocate from the GI tract and cause hepatic infection that mimicked the route of 55 human infection. Expression of the capsule was required for colonization and, in turn, robust 56 shedding. Furthermore, Kpn carrier mice were able to transmit to uninfected cohabitating mice. 57 Lastly, treatment with antibiotics led to changes in the host microbiota and development of a 58 59 transient super-shedder phenotype, which enhanced transmission efficiency. Thus, this model 60 can be used to determine the contribution of host and bacterial factors towards Kpn 61 dissemination.

- 75
- 76
- 77

78 Introduction.

79

Host-to-host transmission of pathogens is the primary source of nosocomial infections. 80 which are considered a serious threat to patient's health and also a significant burden on the 81 82 healthcare system (1, 2). Hospital-acquired infections (HAI) account for ~100,000 deaths in the United States alone (3). A leading cause of these hospital-acquired infections and multiple 83 84 outbreaks in hospitals around the world is *Klebsiella pneumoniae* (K. pneumoniae; Kpn), a member of the Enterobacteriaceae family that frequently causes pneumonia, bacteremia, 85 pyogenic liver abscesses, and urinary tract infections (4), with most of these infections generally 86 occuring in immunocompromised patients. With the rampant use of antibiotics Kpn isolates have 87 become extensively drug-resistant, and some are now even considered pan-drug resistant, 88 89 making the infections they cause extremely difficult to treat (5-7). For this reason, WHO lists *Klebsiella pneumoniae* as a critical pathogen for which new antibiotics and other therapies 90 are urgently required to address this growing healthcare problem (8, 9). Further exacerbating 91 92 treatment of Kpn infections is the recent identification of isolates termed "hypervirulent K. 93 *pneumoniae*" (*hvKP*) that can cause disease, such as community-acquired pyogenic liver 94 abscesses in healthy individuals (10-12). Patients recovering from hvKP infections often suffer from post-infectious sequelae that can lead to loss of limb or vision (13-15). These strains, 95 originally isolated in the Pacific Rim, have since disseminated worldwide (10). 96

97 In the natural environment, the initial mucosal sites of colonization tend to be the oropharynx and the gastrointestinal (GI) tract (16, 17). These colonization events are generally 98 99 asymptomatic (18). However, under certain circumstances, *Kpn* can gain access to other sterile 100 sites in the host and cause disease. Epidemiological data suggest that many patients in hospitals are Kpn carriers in the GI tract, with a correlation between Kpn carriage and subsequent disease 101 from the same isolate (19-21). Besides patients, hospital personnel can also be asymptomatic 102 103 carriers of Kpn, and these silent carriers act as a reservoir from which Kpn can manifest disease within the same host or act as a source of transmission to a new host (18, 22-24). 104

105 Colonization resistance provided by the host microbiota plays a critical role in blocking 106 colonization by pathogens. However, the use of antibiotics diminishes the microbial diversity in 107 the GI tract, which potentially allows *Kpn* to readily colonize a host. Studies also show that

antibiotic treatment of mice predisposes them to a "supershedder" state where they shed residentgut pathogens at a higher number, which enhances host-to-host transmission (25, 26). It is,

110 however, unclear whether antibiotic treatment in a hospital setting contributes towards the

111 increased transmission of drug-resistant *Kpn*.

Our understanding of the *Klebsiella pneumoniae*-associated disease-state comes mainly from animal models studying lung and urinary tract infection. While these studies have identified bacterial and host factors that contribute to *Kpn* virulence, there is very little mechanistic understanding of the gastrointestinal colonization and host-to-host transmission. Close contact, especially in a hospital setting, is thought to promote the spread of *Kpn* from an infected host to a naïve host. Transmission is thought to occur via the fecal-oral route, either through poor hygiene

118 or contact with contaminated surfaces (fomites) (20, 22-24).

Here, we describe a novel murine model to allow for the study of *Kpn* GI colonization,
shedding, and host-to-host transmission. Employing an oral route of *Kpn* inoculation in an inbred

121 mouse population, we investigated *K. pneumoniae* gastric colonization and transmission. We

demonstrate that *Kpn* can stably colonize the GI tract without treatment of antibiotics, and these

mice stay persistently colonized and can transmit *Kpn* to cage-mates. Furthermore, antibiotic

treatment of carrier mice induces gut dysbiosis and triggers a transient supershedder phenotype.

125

126 Materials and Methods.

127

128 Ethics Statement. This study was conducted according to the guidelines outlined by National

129 Science Foundation animal welfare requirements and the Public Health Service Policy on

130 Humane Care and Use of Laboratory Animals (27). Wake Forest Baptist Medical Center IACUC

131 oversees the welfare, well-being, and proper care and use of all vertebrate animals. The

approved-protocol number for this project is A18-160.

133

Bacterial growth conditions and strain construction. Strains used in the study are listed in
Table 1. *K. pneumoniae* isolates were grown in Luria-Bertani (LB) Broth Lennox, with constant
agitation at 37°C. For all mouse infections, an overnight culture of *Kpn* was spun down at

 $\sim 27000 x g$ for 15 minutes, and the resulting pellet resuspended in similar volume of 1X

138 Phosphate-Buffered Saline (PBS). To obtain desired density for mouse infections (10^6)

139 CFU/100µl), the bacterial suspension in PBS was diluted into a 2% sucrose-PBS solution. Ten-

140 fold serial dilutions were plated on selective media (LB-Agar with antibiotic) and incubated at

141 30°C overnight for quantitative culture. LB plates containing antibiotics were streptomycin (str,

142 500 μ g ml), chloramphenicol (50 μ g/ml), ampicillin (25 μ g/ml), apramycin (50 μ g/ml),

143 spectinomycin (30 μ g/ml) and rifampin (30 μ g/ml).

144 The *wzi* gene codes for a conserved outer membrane protein involved in the attachment

of capsular polysaccharide to the outer membrane. Sequence polymorphism in the *wzi* gene has

been used to identify and characterize different isolates. *Kpn* AZ10 (*wzi* 372) an antibiotic

147 sensitive isolate was made str-resistant as described, subsequently mouse GI passaged and

named AZ99 (28, 29). To construct the *fimH* mutant in the appropriate genetic background, PCR

149 was carried out using Q5 polymerase (NEB) with AZ101 genomic DNA as template, and

150 primers fimH upstream (GGCGGTGATTAACGTCACCT) and fimH downstream

151 (GATAGAGCAGCGTTTGCCAC), which give at least 500bp homology on either end of the

transposon cassette. The PCR product was purified using the Qiagen MinElute kit. Lambda Red

153 mutagenesis was carried out as described previously (30), and cells were recovered in Super

154 Optimal Broth with Catabolite repression (SOC) media at 30°C with shaking overnight.

155 Recovered bacteria were plated on selective LB agar containing chloramphenicol (50 µg/ml).

156 Single colonies were purified and the mutation was confirmed by PCR.

To determine *fim* promoter orientation, PCR was carried out using either *in vitro* samples 157 158 from LB broth, single colonies from LB plates, or in vivo samples (fecal pellets) from mice 159 infected with Kpn. Broth culture was spun down as described above and resuspended in equal 160 volume dH₂O and boiled for 5 minutes. Also, a single colony was resuspended in 10 µl of dH₂O and boiled for 5 minutes. DNA was isolated from fecal pellets (100 mg) using Quick-DNATM 161 162 Fecal/Soil Microbe Microprep (Zymo Research). 5 µl of sample was used in PCR with OneTaq 163 polymerase (NEB) with primers Cas168 GGGACAGATACGCGTTTGAT and Cas169 GCCTAACTGAACGGTTTGA as described previously (31). Purified PCR product was 164 digested with restriction enzyme *HinFI* (NEB) for 1 hour and resolved on 1.2% agarose gel. As 165 established previously, the "off orientation" of the fim promoter results in product bands of 166 167 496bp and 321bp, whereas the "on orientation" results in bands of 605bp and 212bp (31). 168

169 Mouse Infections for Colonization and Shedding. Colonies of C57BL/6J (SPF) mice obtained from Jackson Laboratory (Bar Harbor, ME) were bred and maintained in a standard animal 170 171 facility at Biotech Place, Wake Forest Baptist Medical Center. All animal work was done according to the guidelines provided by the American Association for Laboratory Animal 172 Science (AALAS) {Worlein, 2011 #87} and with the approval of the Wake Forest Baptist 173 Medical Center Institutional Animal Care and Use Committee (IACUC). 5-7 week-old mice 174 were infected and monitored through the course of the experiments. Food was removed from 175 mice ~6 hours prior to inoculation. Mice were fed ~ 10^6 CFU/100 µl of K. pneumonia in two 50 176 µl 2% sucrose-PBS doses an hour apart, from a pipette tip. Immediately afterwards, food was 177

178 returned to mice.

To quantify daily bacterial shedding, mice were removed from their housing and placed 179 180 into isolation containers. Fecal pellets (~0.02 g, approximately 2 pellets) were collected and placed into a 2 ml screwcap tube (Fisherbrand, 02-682-558) along with at least 2 glass beads 181 182 (BioSpec, 11079127). Samples were diluted 1:10 in PBS (weight:volume). A Bead mill 24 (Fisherbrand) was used to homogenize the fecal pellets (2.1 power setting, 1 min). Afterwards, 183 184 the tubes were spun in a mini centrifuge (Thermo Scientific, MySpin 6) to pellet out larger debris. Ten-fold serial dilutions were plated from the supernatant on appropriate antibiotic plates 185 186 and incubated overnight at 30°C. Bacterial shedding was calculated in CFUs per gram of feces. The limit of detection was 100 CFU/g. Each mouse was uniquely marked so that the fecal 187 188 shedding of each individual mouse could be tracked for the duration of the experiment.

189 To trigger an antibiotic-dependent *Kpn* supershedder phenotype, mice were infected orally with a str-resistant Kpn as described above. Four to five days post-inoculation (p.i) mice 190 were gavaged with streptomycin (5 mg/200 µl) either once or on three consecutive days, and 191 192 daily shedding monitored post-antibiotic treatment. To determine effect of neomycin treatment 193 before Kpn infection, mice were gavaged with a single dose (5 mg/200 μ l) 24 hours before 194 inoculation with the MKP103 a derivative of KPNIH1 isolate with a deletion of the KPC-3 carbapenemase-encoding gene (32). Bacterial counts were enumerated from fecal pellets as 195 described above. To determine the role of continuous antibiotic treatment on supershedder 196 197 phenotype, the drinking water was replaced with water containing 1g/L ampicillin 24 hours before infection; mice were maintained on ampicillin-water for 10 day p.i. after which they were 198

placed on regular water until the end of the experiment. *Kpn* fecal shedding was assessed up to20 days post-infection, and quantified as described above.

For competition experiments, mice were infected with a 1:1 mixture of AZ94 and the mutant of interest. Fecal shedding of both strains was assessed as described above. Fecal homogenates were plated on both apramycin (50 μ g/ml) and str (500 ug/ml) LB agar. The competitive index (CI) was calculated as described previously (33), using the following equation:

206

207 208

$Log_{10} CI = Mutant output / WT output$ Mutant input / WT input

A value of 0 would suggest that neither strain has an advantage. A value >1 would suggest that

the mutant has competitive advantage, whereas a value <1 would indicate the WT has theadvantage.

To determine colonization density in the GI tract, ileum, cecum, and colon were removed under sterile conditions immediately following CO₂ (2 liters/min, 5 min) euthanasia of animals and subsequent cardiac puncture. The cecum, proximal colon, and a span of the terminal section of the ileum equal in length to the colon were removed from each animal. Organs were weighed and placed into individual 2 ml screwcap tubes (Fisherbrand, 02-682-558) with at least 2 glass beads (BioSpec Products, 11079127). Samples were diluted 1:10 in PBS (weight:volume) and were homogenized and plated as described above. The limit of detection was 100 CFU/g.

To determine colonization density in the kidney, liver and spleen, organs were removed under sterile conditions immediately following euthanasia as described above. Organs were weighed and placed 15 ml conical tubes. For kidney and liver equal weight to volume PBS was added, and samples were homogenized using PowerGen 700 (Power setting 2 for 30 seconds), whereas for spleen, ten times the volume of 1X PBS was added to the weight of the organ and homogenized as above. The samples were plated as described. The limit of detection of kidney and liver was 33 CFU/ml and for spleen 100 CFU/ml.

Oropharyngeal lavage was carried out with 200 µl of sterile PBS from a gavage needle
inserted into the esophagus. The esophagus was exposed and cut transversely. A gavage needle,
attached to a prefilled insulin syringe (BD) with 1X PBS was then inserted into the cut
esophagus, and PBS collected from the mouth. The collected lavage was serially diluted and

plated on appropriate antibiotic plates and incubated overnight at 30°C. The limit of detection for
oral lavage was 33 CFU/ml.

232

Transmission Studies. For 4:1 and 1:4 transmission experiments C57BL/6J index mice (n=1 or 233 n=4) at 5-7 weeks of age are infected with Kpn as described above, and shedding is collected 234 daily to determine colonization density of the GI tract. On day 4 p.i., contact mice (n=4 or n=1) 235 were introduced to cages with the index mice. Fecal shedding of index and contact mice was 236 collected and quantified for at least 6 days post-cohousing, for a total of 10 days for index mice 237 and 6 days for contact mice. On day 10, the mice were euthanized as described above and the 238 ileum, cecum, colon, and oral lavages of all mice were processed as described above to 239 determine colonization density of Kpn. 240

241 In 1:4 transmission experiments, in which the administration of a single dose of antibiotic was assessed, index mice were infected with a str-resistant Kpn and fecal shedding of Kpn was 242 243 quantified for 4 days p.i. On day 5 p.i, index mice were treated with streptomycin (5 mg/200 μ l) via gavage and then co-housed with contact mice. Fecal shedding was collected daily from index 244 245 and contact mice. In a continuous antibiotic challenge transmission study, an index mouse was put on water containing ampicillin (1 g/L) 24 hours before infection. The contact mice were 246 247 placed on water containing ampicillin (1 g/L) 24 hours before introduction of the index mouse. Once co-housed, daily shedding was collected from both index and contact mice to determine if 248 249 any transmission events occurred.

To confirm that host-to-host transmission events occur through the fecal oral route, a metabolic cage (Tecniplast Cat. # 3700M022) was used. *Kpn* infections were carried out as described above. 4 days post-infection, a contact mouse was introduced into the metabolic cage and fecal shedding collected from both index and contact mice to determine transmission frequency.

255

Histology. Mice were infected with either PBS (vehicle-only control) or a KPPR1S isolate. A
subset of *Kpn*-infected mice were gavaged with streptomycin (single treatment; 5 mg/200 µl) to
induce the super-shedder state at 5 days p.i. As a positive control, mice were put on 3% w/v
Dextran Sodium Sulfate (DSS) molecular weight 50,000 in their drinking water *ad libitum* for 7
days. All the mice were euthanized at day 7 post initial treatment or infection. 2.5 cm of colon

immediately distal to the cecum was collected, washed with 1x PBS, and prepared using the
Swiss roll method. Afterwards the sample was preserved in 1:10 Formalin (Fisherbrand, 305510), and after 24 hours transferred to 70% ethanol. The samples were embedded in paraffin
before being sectioned, mounted, and stained with hematoxylin and eosin (H & E). The resulting
slides were scored by the Wake Forest Baptist Medical Center Pathology department.

Liver was collected under sterile conditions from either mock infected mice or from orally infected mice with hvKP1, and in extremis. Liver samples were cut in to sections about 6.5mm, and placed in 10% formalin (10 parts formalin to 1 part tissue). After 24-48 hours the samples were transferred to 70% Ethanol and stored at 4°C till they were further processed for H & E and Gram staining, with scoring carried out as described above.

271

272 Fecal microbiome Analysis. Fecal microbiome was examined according to previously described methods (34-36). Briefly, genomic DNA from 200 mg feces was extracted using MoBio 273 Powerfecal DNA kit (Qiagen, Valencia, CA) per manufacturer's instructions. Amplicon PCR of 274 the V4 hypervariable region of the 16S rDNA gene was performed using the universal primers 275 276 515F (barcoded) and 806R according to the Earth Microbiome Project protocol (PMID: 22402401). The amplicons were purified using AMPure[®] magnetic beads (Agencourt), and the 277 278 products quantified with Qubit-3 fluorimeter (InVitrogen). The final amplicon library was generated as previously described (37). Equimolar pooled library was sequenced on an Illumina 279 280 MiSeq platform using 2x300bp reagent kit (Miseq reagent kit v3; Illumina Inc.) for paired-end sequencing. The sequencing quality control was done with on-board Miseq Control Software and 281 282 Miseq Reporter (Illumina Inc.) and the obtained sequences were de-multiplexed, quality-filtered, clustered and analyzed using QIIME software package (34, 35, 38, 39). Taxonomy classification 283 284 was performed within QIIME based on 97% sequence similarity to the Greengenes database (38). Alpha-diversity and bacterial proportions were compared using Kruskal-Wallis test 285 286 followed by pair-wise Mann-Whitney test. Linear discriminatory analysis (LDA) effect size (LEfSe) was applied to identify discriminative features (unique bacterial taxa) that drive 287 288 differences at different time-points or in different groups (40). Hierarchical clustering and heatmaps depicting the patterns of abundance were constructed within 'R' statistical software 289 package (version 3.6.0; https://www.r-project.org/) using the 'heatmap.2' and "ggplots" 290 291 packages.

2	9	2

293 Statistical analysis. All statistical analyses were performed using GraphPad Prism 8.0

294 (GraphPad Software, Inc., San Diego, CA). Unless otherwise specified, differences were

determined using the Mann-Whitney U test (comparing two groups) or the Kruskal-Wallis test

- with Dunn's post-analysis (comparing multiple groups).
- 297
- 298 **Results.**
- 299

Establishing *Klebsiella pneumoniae* colonization in the murine intestinal tract. We sought to 300 establish a GI model of *Klebsiella pneumoniae* colonization that would mimic natural 301 colonization in a host. Because of the difficulty in establishing *Kpn* GI colonization through 302 gavage treatment, previous studies used antibiotic pre-treatment to disrupt the host microbiota 303 and allow for Kpn colonization via the gavage method (41-43). We first tested the ability 304 of *Kpn* to colonize the GI tract by giving adult mice doses ranging from 10^5 - 10^9 CFU/100µl. 305 without antibiotic treatment so as not to disrupt the host microbiota (Fig. 1A). However instead 306 of a gavage treatment, mice were infected orally by pipette feeding to simulate the natural route 307 308 of infection (44). We used a *Kpn* clinical isolate KPPR1S that has been used extensively to model Kpn-associated disease-state in mice. The streptomycin- and rifampin-resistance of 309 310 KPPR1S allowed us to enumerate the bacteria in the fecal pellets on selective plates. As observed in Fig. 1B-C, Kpn colonized the GI tract and was shed robustly in the feces of mice 311 with doses above 10^5 CFU. A dose $< 10^5$ CFU did not result in the establishment of colonization 312 (Threshold for detection 100 CFU), suggesting a minimum dose of 10^5 is required (Data not 313 shown). Based upon these results, we chose 10^6 CFU, as the minimum dose required to 314 establish *Kpn* colonization. Based on our preliminary studies that suggest poor *Kpn* fecal 315 316 shedding correlates with reduced GI colonization, we used daily fecal shedding as a substitute for colonization density in the GI tract. Next, we determined how long Kpn colonizes the mouse 317 318 GI tract. We followed *Kpn* shedding in feces of infected mice for either 15 or 30 days p.i and observed that *Kpn* was shed at similar levels throughout the study (Fig. 1D-E). Furthermore, our 319 320 results showed that *Kpn* colonizes the mucosal surface of the oropharynx (**Fig. 1F**). Taken 321 together, our data suggest that, when introduced by the oral route, *Kpn* colonizes the mucosal surface of the oropharynx, can establish and persist in the GI tract, and is shed robustly in the 322 323 feces.

324 A hallmark of *K. pneumoniae* isolates is their genetic heterogeneity, which affects their ability in causing disease (45). Thus, we determined whether *Kpn* genetic plasticity also 325 326 contributes to GI colonization. We tested the ability of a set of genetically diverse clinical Kpn isolates to colonize the GI tract of mice. For analysis we chose MKP103 a derivative of 327 KPNIH1, which was the cause of an outbreak at NIH Clinical Center, hvKP1, a hypervirulent 328 human isolate, and AZ99, a human fecal isolate. All three strains showed varying colonization 329 density of the murine GI tract, with the hvKP1 shedding at a similar level to KPPR1S (Fig. 2A). 330 Surprisingly, the MKP103 isolate colonized poorly, with mice generally clearing it from their GI 331 tract by day 5 p.i. As observed through fecal shedding, the human fecal isolate AZ99 consistently 332 colonized the GI tract albeit at a lower density in comparison to KPPR1S. Moreover, mice 333 colonized with hvKP1 had a high mortality rate (Fig. 2C). Hypervirulent isolates are notorious 334 for causing pyogenic liver abscesses (PLA) (11). As shown in Fig. 2D mice that succumbed after 335 oral inoculation with the hvKP1 isolate, were colonized at a high density in the liver, kidney and 336 spleen with the same isolate. Moreover, these mice appeared to have developed liver abscesses 337 (Fig. 3A), which H&E and Gram staining confirmed to contain necrotic tissue, inflammatory 338 339 cells, and gram negative bacteria (Fig. 3B-D). Thus, our model mimics human disease dynamics, where a hypervirulent isolate (hvKP1) is able to translocate from the GI tract to other sterile 340 341 sites, and cause the development of the disease state.

342

343 Antibiotic treatment leads to the development of the *Kpn* supershedder phenotype. Given that the fecal-oral route of transmission in a hospital setting is considered a significant cause of 344 345 nosocomial infections (46, 47) it was surprising that the MKP103 isolate failed to colonize the GI tract of mice (Fig. 2A). However, as many of the patients that acquired MKP103 in the GI 346 347 tract were on antibiotics (20), we considered whether the use of antibiotics would affect the ability of this isolate to colonize the GI tract. Moreover, high use of antibiotics in a health-care 348 setting correlates with Klebsiella pneumoniae infections (20). Therefore mice were gavaged with 349 neomycin to reduce the colonization resistance by the host GI microbiota, and then infected with 350 351 MKP103 to determine whether antibiotic treatment positively affected its ability to colonize. As shown in Fig. 2B antibiotic pre-treatment of mice allowed MKP103 to colonize and persist 352 within the infected host GI tract up to 15 days post-infection. 353

354 Our results show that antibiotic treatment allows Kpn isolate (MKP103) that colonizes poorly to establish itself in the GI tract. However, whether antibiotic treatment affects 355 356 colonization density of isolates that colonize robustly without requiring antibiotic treatment remains unknown. We determined whether treatment with antibiotics would lead to the 357 development of a supershedder phenotype, in which an infected host sheds the pathogen at a 358 much higher number than other infected host. This phenomenon has been observed in the natural 359 setting and is considered a major source of host-to-host transmission (48). Murine models have 360 been used to characterize this phenotype, where $>10^8$ CFU/g (supershedder [SS] threshold) of the 361 indicated pathogen in the feces is generally considered as the threshold for the supershedder 362 phenotype (SS phenotype) (25, 26). Using the KPPR1S isolate, as it consistently colonized mice 363 at a high density without antibiotic treatment, we assessed fecal shedding of Kpn for 10-12 days 364 365 p.i. after either a single streptomycin treatment or three consecutive days of streptomycin treatment. We found that antibiotic treatment triggered a temporary supershedder phenotype 366 (Fig. 4A-B), whereas, no such phenotype was observed with the vehicle only control (PBS) (Fig. 367 S1A). A second treatment of antibiotics, after mice had returned to baseline levels of Kpn 368 369 shedding from the first antibiotic treatment, caused the development of another transient supershedder phenotype (Fig. S1B). 370

371 In a clinical setting, immunocompromised patients tend to be on continuous antibiotic treatment; therefore, we determined the effect of daily antibiotic treatment on Kpn shedding. We 372 373 supplemented the drinking water of mice with ampicillin 24 hours before *Kpn* inoculation and 374 continued for 10 days p.i. As Kpn is intrinsically resistant to ampicillin, the mice infected with 375 MKP103 isolate displayed the *Kpn* supershedder phenotype (Fig. 4C). After removal of antibiotic pressure, the mice displayed the high shedding phenotype for multiple days. Taken 376 377 together, our data suggest that, as a consequence of antibiotic treatment, Kpn can develop a 378 supershedder phenotype and the length of this phenotype is dependent upon the duration of the antibiotic treatment. 379

380

381 Antibiotic treatment leads to the disruption of host-microbiota that correlates with the

supershedder phenotype. Next, we determined whether *Kpn* infection or antibiotic treatment

induced supershedder phenotype is a result of the displacement of the host microbiota. To

provide insight into the *Kpn* carrier state and the supershedder phenotype, we carried out a 16S

385 analysis to determine the host intestinal microbiota changes that occurred during infection and as a consequence of antibiotic treatment (Fig. 5A). For a detailed 16S analysis, we isolated DNA 386 387 from fecal samples collected at six different time points from Kpn infected mice (n=4). Fecal pellets were collected pre-inoculation to determine the baseline of the host GI microbiota. 388 Samples were collected on days 7, 9 and 11 post-antibiotic treatment to determine changes in the 389 host microbiota. At days 3 and 5 p.i, we were unable to detect *Kpn* 16S *rRNA* gene sequences, 390 even though it shed at 10^6 CFU / Gram of fecal sample. This result suggests that Kpn comprises 391 only a minor component of the host intestinal microbiota. The main component of a diverse 392 microbial community of the host intestine included Bacteroidetes (Bacteroidales [S24-7]) and 393 *Firmicutes (Clostridales)* (Fig. 5C; Table. S1), which are considered to be a typical profile for 394 stable mammalian intestinal microbiota. 395

396 A single treatment with str led to dramatic changes in the intestinal microbiota. As detailed in **Fig. 5B**, there was a statistically significant decline in the total species richness, 397 especially in S24-7, with a concurrent increase in Erwinia and Bacteroides. As illustrated in Fig. 398 5C, we only observed Kpn-specific 16s rRNA gene sequences during the antibiotic-induced 399 400 supershedder phenotype. A decrease in *Kpn* shedding levels correlated with an increase in *S24-7* and other major components of the host microbiota, and a loss of detection of Kpn specific 16s 401 402 rRNA sequences. Thus, antibiotic treatment leads to a disruption of host-microbiota that correlates with the development of temporary supershedder phenotype. Moreover, disruption of 403 404 the host microbiota with antibiotics is associated with reduced microbial richness, which 405 recovers three days post-antibiotic exposure.

406

407 *Klebsiella pneumoniae* factors contributing to shedding and colonization. To examine the 408 contribution of known virulence determinants of *Kpn*, we tested shedding and colonization of the 409 previously described capsule (cps)-deficient mutant ($\Delta manC$) of the strain KPPR1S. As is 410 evident from **Fig. 6A**, over the course of 15 days of infection, the *AmanC* mutant shed and also 411 colonized poorly (**Fig. S2**) in comparison to the parental wild-type (WT) strain.

412 Bacteria can form biofilm like structures in the GI tract (49). We hypothesized that a 413 coinfection with WT *Kpn* and the $\Delta manC$ strain would form a mixed population (intraspecies) 414 biofilm in the GI tract, helping compensate for the capsule deficiency of the $\Delta manC$ strain. 415 However, coinfected mice still shed the $\Delta manC$ strain poorly compared to the parental strain

416 (Fig. 6B). These observations suggest that capsular polysaccharide of *Kpn* is essential for robust417 GI colonization and eventual fecal shedding.

418 Next, as the type 1 fimbriae of Kpn is considered essential for colonization of the host urinary tract, we determined its role in GI colonization (31). The KPPR1S fim locus promoter is 419 under phase variable control, which was observed to be in the off position under both in vitro 420 (broth culture) and in vivo (fecal pellets) (data not shown). To determine the requirement of type 421 1 fimbriae of KPPR1S in GI colonization, a deletion mutant of *fimH* that encodes the type 1 422 fimbriae tip adhesin, required for proper interaction with the host epithelial layer (50) was 423 constructed. As is evident from Fig. 6C-D, even though mice infected with the *fimH*- mutant had 424 reduced median shedding, it was not significantly lower than the WT strain. Lastly, we 425 determined whether these mutants also contribute towards colonization of the mucosal surface of 426 427 the oropharynx. Fig. 6E shows, capsule was essential for colonization of the oropharyngeal space, whereas type 1 fimbriae was dispensable. Overall our data indicate that Kpn capsular 428 polysaccharide plays a critical role in GI colonization. In contrast, Kpn type1 fimbriae appears to 429 be nonessential for gut colonization. 430

431

Klebsiella pneumoniae transmission occurs through the fecal-oral route. Transmission of 432 433 enteric pathogens generally occurs through the fecal-oral route, and host-to-host transmission in a hospital setting is a major source of infection (20, 46). Thus, we determined whether Kpn host-434 to-host transmission events could be observed in our animal model. Initially, we housed one 435 uninfected mouse (contact) with four infected mice (index). Fecal pellets were collected to 436 437 enumerate colonization density and whether transmission from index to contact mice occurred. We observed 100% transmission efficiency with a ratio of 4:1, with transmission occurring 438 439 within 24 hours of cohousing the animals (Fig. 7A and C). Since transmission efficiency is high with a ratio of 4:1, we decided to determine Kpn transmission dynamics with one index mouse 440 cohoused with four contact mice. With a ratio of 1:4 reduced transmission efficiency (~35%) 441 was observed, suggesting that not enough Kpn shedding events occurred for all uninfected mice 442 to become colonized (Fig. 7B-C). 443

444 Next, to mimic conditions prevalent in a hospital, where patients tend to be on antibiotics,
445 we investigated the effects of antibiotic treatment on *Kpn* transmission dynamics. A single
446 antibiotic treatment to the index mouse cohoused with four contact mouse led to >90%

transmission, suggesting that high Kpn shedding in the fecal pellets can overcome colonization 447 resistance of the contact mice (Fig. 7C). Lastly, we tested the effect of antibiotics on both index 448 449 and contact mice by adding antibiotics in their drinking water. An index mouse was infected with 450 MKP103 and was housed separately for several days before being introduced to four contact mice already on antibiotics. We observed 100% transmission efficiency when both index and 451 contact mice were on daily antibiotics. Moreover, as all the mice in the cage were on antibiotics, 452 they all developed the supershedder phenotype (Fig. S3A). Our results provide insight into the 453 high transmissibility of *Kpn* in hospitals where there is high antibiotic usage. 454

We hypothesize that Kpn transmission occurs through the fecal-oral route, based upon 455 transmission models of other enteric pathogens and the coprophagic nature of mice. However, as 456 Kpn colonizes both the oral cavity and the GI tract, we determined whether host-to-host 457 transmission of Kpn is due to the coprophagic action of mice or by contact with infected oral 458 secretions. Mice were housed in a metabolic cage, where they do not have access to their fecal 459 pellets. At a 4:1 ratio of the index to contact mice, no transmission events were detected between 460 the infected and the uninfected mice during the 10-day experiment, suggesting that in our animal 461 462 model, host-to-host transmission requires the contact with fecal matter (Fig. 7C). Lastly, the infected mice in the metabolic cage shed Kpn robustly suggesting persistent colonization that did 463 464 not require re-seeding through the consumption of infected fecal pellets (Fig. S3B).

465

466 Discussion

The genetic heterogeneity of *Klebsiella pneumoniae* allows this pathogen to colonize a 467 468 variety of host mucosal surfaces, which can dramatically impact the clinical outcome. Klebsiella *pneumoniae* disease manifestations in the respiratory and urinary tract have been extensively 469 470 modeled in animals (4). However, the gastrointestinal mucosal surface, also colonized readily by Kpn has not been the focus of many scientific studies (51, 52). In this report, we describe a 471 472 murine model of oral infection of K. pneumoniae to study GI colonization and host-to-host transmission. We demonstrate for the first time that Kpn can stably colonize the GI tract of 473 immunocompetent mice without disrupting the host-microbiota - a key strength of our model. 474 Secondly, a host colonized persistently with a pathogen is considered a significant reservoir for 475 new infections, and our animal model of Kpn GI colonization replicates this phenotype, 476 477 indicating it is a useful tool to study within-host events and host-to-host transmission. Third, we

observed variability in the ability to colonize the GI tract and to cause invasive disease between
different *Kpn* isolates, suggesting *Kpn* genetic plasticity might be involved in the observed
variability. Lastly, as many patients in a hospital setting tend to be on antibiotics, we were able to
show experimentally for the first time that antibiotic treatment triggers the development of the
supershedder phenotype in carrier mice, which promotes host-to-host transmission.

Previous studies used antibiotic treatment to reduce host colonization resistance by 483 disrupting the resident microbiota to establish Kpn colonization (31, 41, 53). However, treatment 484 with antibiotics reduces the ability to discern the role of bacterial factors that allow Kpn to 485 overcome colonization resistance. Our model does not require the use of antibiotics to establish 486 stable and persistent Kpn colonization, and therefore allows for the identification of bacterial and 487 host factors that contribute to Kpn colonization and transmission. In our initial studies, we 488 established that the route of infection is critical for stable colonization of Kpn in the GI tract. 489 Oral gavage, a standard mode of infection for modeling enteric infections in murine models, only 490 led to transient Kpn colonization in the GI tract (data not shown). However, by orally feeding a 491 similar dose allowed *Kpn* to colonize the GI tract and persist without disrupting the host 492 493 microbiota. A recent study by Atarashi et al. showed that Kpn colonizing the oral cavity of patients can seed the GI tract (44). In our model of infection we also observed Kpn colonizing 494 495 the murine oral cavity.

A hallmark of many GI pathogens is their ability to cause an acute host inflammatory 496 497 response. Multiple reports also suggest that *Kpn* might contribute towards gut dysbiosis and play an active role in inducing host response (44, 54). However, epidemiological data also suggest 498 499 that Kpn can silently colonize healthy individuals (18). In our murine model, we were unable to 500 detect any acute signs of inflammation post-Kpn infection. Furthermore, unlike the Salmonella 501 serovar Typhimurium supershedder phenotype, the Kpn antibiotic-induced supershedder phenotype was not associated with colitis (Fig. S4). Our data suggest that Kpn in the GI tract 502 503 behaves in a manner that does not elicit an acute inflammatory response and carriage is considered an asymptomatic event. 504

The role of major virulence factors of *Kpn*, including its capsular polysaccharide (CPS), type 1 fimbriae, and others have been extensively examined under both *in vitro* and *in vivo* conditions (4). However, data for the requirement of *Kpn* CPS in GI colonization appears contradictory (41, 42). As those studies were undertaken in mice treated with antibiotics, it is

possible that the exact role of the bacterial factors is probably masked. Herein, using our model,
we show definitively that CPS of *Kpn* is an essential component required for efficient
colonization of both the upper (oropharynx) and lower (intestinal) GI tract. The role of the
capsule possibly pertains to protection against host-mediated clearance and interactions with
mucus (42, 55, 56).

We also tested the requirement of the *Kpn* type 1 fimbriae in GI colonization. Even 514 though the type 1 fimbriae is critical for colonization of the urinary tract, it appears to be 515 dispensable for GI colonization (31). However, with certain pathogenic E. coli isolates, type 1 516 517 fimbriae is required for colonization (57, 58). Furthermore, recent work by Jung et al. using antibiotic-treated mice observed a defect in GI colonization with a Kpn fimD mutant that is 518 missing the usher constituent that facilitates assembly and eventual translocation of the pilus 519 across the outer membrane (53). However, in our model we only observed a slight reduction in 520 median shedding from mice infected with the *fimH* isogenic mutant compared to the WT strain, 521 suggesting that the expression of the type 1 fimbriae of the KPPR1S isolate is dispensable for GI 522 colonization. This result that the type 1 fimbriae of KPPR1S isolate does not contribute towards 523 524 GI colonization was not unexpected, as we did not observe its expression under the conditions tested (Data not shown). However, type 1 fimbriae might play a role in GI colonization for 525 526 isolates that do express the structure.

Our model also allows us, for the first time, to understand the transmission dynamics 527 528 of Klebsiella pneumoniae. We observed transmission events between Kpn-infected and contact 529 mice, suggesting that Kpn shedding in this model is high enough to permit transmission, albeit at 530 a lower frequency. We also observed that the contact mice colonized at a lower density compared to the index mice, possibly because of the reduced *Kpn* dose in the fecal pellets. Thus, 531 532 our data follows epidemiologic studies that suggest 5-25% carriage rates in the natural environment (19, 59). However, the treatment of either infected or contact mice with antibiotics 533 534 led to a high host-to-host transmission frequency. A single dose of antibiotic treatment established a suppershedder phenotype in the index host, which was able to transmit to >90% of 535 536 uninfected mice. It is suggested that 80% of the infections are due to 20% of infected individuals 537 transmitting to uninfected hosts (known as the 80/20 rule) (60). Such individuals are termed as supershedders or superspreaders. However, we were unable to observe a Kpn supershedder 538 539 phenotype without disrupting the host microbiota, suggesting that in our animal

model, Kpn transmission dynamics do not follow the 80//20 rule. Multiple studies on enteric 540 pathogens show that antibiotic treatment causes a dysbiosis in the GI tract, reduces colonization 541 542 resistance by the stable resident microbiota, and promotes the expansion of pathogens (25, 26). Our 16S analysis shows that the antibiotic-based supershedder phenotype correlates with a 543 reduction in microbial diversity. In contrast to Salmonella serovar Typhimurium and Clostridium 544 *difficile* supershedder phenotypes (25, 26), the *Kpn* supershedder phenotype lasts for a shorter 545 duration following a single antibiotic treatment. However, Kpn infected mice on continuous 546 antibiotics shed at supershedder levels, a condition we believe to be common in a hospital 547 setting. Our data suggest that the development of the supershedder phenotype is the main 548 contributor to host-to-host transmission events in a hospital. The transmission frequency of K. 549 pneumoniae has not been established in a hospital setting and may be higher or lower than the 550 rates determined in our murine model. We believe that a setting with high antibiotic use 551 increases the likelihood of *Kpn* outbreaks. Therefore, patients on antibiotics should be carefully 552 monitored to determine if they are colonized with K. pneumoniae. 553

In conclusion, we have described a model that will be useful in understanding complex 554 555 interactions between *K. pneumoniae* and the host immune system and the intestinal microbiota. The availability of an arrayed marked mutant library of *Kpn* (32) and several 556 557 annotated Kpn genomes should allow for studies identifying bacterial factors that contribute towards Kpn colonization and transmission. Since a majority of Kpn nosocomial infections arise 558 from GI colonization and fecal-oral route of transmission (20, 29), an understanding of the 559 560 biology of *Kpn* gastrointestinal colonization and fecal-oral transmission would be valuable as it 561 could serve as an ideal point of intervention.

562

563 Acknowledgements

We would like to thank Drs. Michael Bachman (University of Michigan), Alan Hauser
(Northwestern University), Virginia Miller (UNC Chapel Hill), Thomas Russo (University of
Buffalo-SUNY) and Jeffery N. Weiser (NYU School of Medicine) for the strains used in this
study. We would also like to thank Drs. Phillip Hernandez (Boston University), Virginia Miller,
Kimberly Walker (UNC Chapel Hill), Jeffrey N. Weiser and Tonia Zangari (NYU School of
Medicine) for fruitful discussions in regards to the establishment of the model and the

570 manuscript.

- 571 This study was funded by startup funds provided by Wake Forest Baptist Medical Center to
- 572 M.A.Z.
- 573
- 574
- 575 **Figures:**

576 **Figure 1.** *K. pneumoniae* colonizes the gastro-intestinal tract of mice. (A) A schematic

representation of C57BL/6J mice orally infected with *K. pneumoniae* KPPR1S isolate $(10^5, 10^6,$

578 10^8 and 10^9 CFU). (**B-C**) shows quantified daily shedding results and colonization density in the 579 intestinal tract (ileum, cecum and colon) at day 7 post-inoculation from mice given different *Kpn*

doses. (**D-E**) Fecal shedding data collected from mice given 10^6 CFU of KPPR1S and followed

for up to either 15 days or 30 days post-infection. (**F**) (**i**, **ii**) Colonization density of KPPR1S

isolate in the oropharynx and lower GI tract of mice 15 days post-inoculation. Bars indicate the

583 median values. L.O.D, limit of detection.

584

Figure 2. Differences among K. pneumoniae clinical isolates in fecal shedding levels and 585 virulence. (A.) Mice were infected with the indicated K. pneumoniae isolate with daily shedding 586 values shown. (B.) Comparison between mice infected with K. pneumoniae strain MKP103 with 587 or without pre-treatment of antibiotic neomycin (5 mg/ 200 µl). Symbols represent shedding 588 values obtained from a single mouse on a given day. The bar represents the median value. 589 Dashed line represents the limit of detect (L.O.D). (C.) Survival of mice infected gastro-590 intestinally with K. pneumoniae isolate KPPR1S (n=10) or hvKP1 (n=10) over 15 days. An in 591 extremis state or death was scored as non-survival. Log-rank (Mantel-Cox) test performed to 592 determine statistical differences. **, P < 0.01 for KPPR1S compared to hvKP1. (**D**.) Bar graph 593 showing mean colonization density of hypervirulent isolate hvKP1 in the kidney, liver and 594 spleen from mice that were initially infected orally with S.E.M bars. Each data point represents 595 596 colonization density in a specific organ from a specific mouse. Organs were harvested from mice 597 that displayed an in extremis state. Limit of detection of liver and kidney was 33 CFU/ml and 598 100 CFU/ml for spleen.

599

Figure 3. Representative K. pneumoniae liver abscesses (Red Arrows) from mice that 600 succumbed to infection from hvKP1 isolate that originally colonized their GI tract (A.). Liver 601 tissue samples stained with hematoxylin and eosin staining. Shown here are liver tissue sample at 602 20X resolution from either uninfected mice (B.) showing normal heptaocytes or from infected 603 604 mice (C.) that contains a large region of coagulative and lytic necrosis with hepatocellular disassociation including central infiltrates of neutrophils (*) with few scattered mononuclear 605 inflammatory cells. The peripheral aspect of the lesion contains numerous lymphocytes, 606 607 macrophages and new scattered neutrophils (arrows). (D) 100X oil immersion image of the

boxed area from C showing Gram negative bacteria present within the necrotic liver tissue.

609

- **Figure 4.** Antibiotic treatment of *K. pneumoniae* infected mice triggers the supershedder
- 611 phenotype. Fecal shedding from individual mice (n=9) infected with *K. pneumoniae* KPPR1S
- given a single dose of streptomycin sulfate $(5 \text{ mg}/200 \mu \text{l})$ (A.) or (n=4) given three treatments of
- 613 streptomycin on consecutive days (**B**.), resulted in a rapid development of high shedding $(>10^8)$
- 614 CFU / Gram of feces [Supershedder (SS) threshold] lasting for 3 days post antibiotic treatment.
- 615 (C.) High fecal shedding of *K. pneumoniae* isolate MKP103 from mice (n=6) given ampicillin
- 616 (1g/Liter) in drinking water. Removal of antibiotic pressure begins an eventual shift to reduced
- fecal shedding ($<10^8$ CFU/Gram of feces).
- **Figure 5.** Antibiotic triggered supershedder phenotype correlates with reduced intestinal
- 619 microbial diversity. (A.) Average fecal shedding of *K. pneumoniae* isolate KPPR1S by carrier
- 620 mice (n=4) pre antibiotic treatment, and post single dose streptomycin treatment (5 mg/200 μ l)
- that induces a transient supershedder phenotype. Error bars represent S.E.M. Dashed line
- 622 represents the supershedder (SS) threshold. (B.) Intestinal microbiota changes in carrier mice
- from samples obtained on day 0 pre infection, days 3 and 5 post-inoculation, and days 7, 9 and
- 624 11 post-antibiotic treatment. DNA was isolated from fecal samples obtained from infected mice
- and 16s rRNA analysis carried out. Data is shown as Shannon diversity index with mean and
- 626 *Stdev* values shown. Statistical differences calculated by Kruskal-Wallis test. (C.) Shift in
- 627 microbial diversity determined from fecal samples collected on the days indicated above and
- shown as bar graph with percent relative abundance of major genera. Arrow shows identification
- 629 of *Klebsiella pneumoniae* DNA at Day 7 post-infection. *, *p* value < 0.05, **, *p* < 0.01

Figure 6. The effect of *K. pneumoniae* virulence factors on fecal shedding and oropharyngeal 630 colonization. (A.) Mice were infected orally with KPPR1S (WT) or with a capsule-deficient 631 mutant ($\Delta manC$), and fecal shedding collected on the days indicated. Each symbol represents 632 CFU obtained from a single mouse on a given day, with solid line representing median values. 633 (B.) Mice were infected orally with a 1:1 mixture of WT or with capsule-deficient mutant 634 $(\Delta manC)$ with fecal shedding collected on the days indicated. The CI was determined as 635 636 described in the Materials and Methods. Each symbol represents Log_{10} CI value from an individual mouse on a given day. Solid line represents the median. Dashed line indicates a 637 competitive index of 1, or a 1:1 ratio of mutant to WT. (C.) Mice were infected orally with WT 638 639 or with an isogenic mutant (fimH::cam; FimH-), and fecal shedding collected on the days indicated. (D.) Mice were infected orally with a 1:1 mixture of WT or with the mutant (FimH-) 640 with fecal shedding collected on the days indicated. The CI was determined as mentioned in 641 Materials and Methods. (E.) The colonization density in the oropharynx for the WT isolate, and 642 isogenic mutants was determined 15 days post-infection with median values shown. For CI 643 statistical differences determined by Wilcoxon signed rank test. Mann-Whitney test used to 644

- 645 determine the differences in fecal shedding. Differences in oropharyngeal colonization
- 646 determined using Kruskal-Wallis test. *, p < 0.05, **, p < 0.01, ***, p < 0.001.

Figure 7. *K. pneumoniae* transmission between hosts with and without treatment of antibiotics.

- 648 (A.) A representative of 4:1 ratio of index to contact transmission. Four naïve mice were infected
- orally with KPPR1S, and housed with one unifected mouse. Fecal shedding was monitored daily

- from both the index and the contact mouse. (B.) A representative of 1:4 ratio of index to contact 650
- 651 transmission. One naïve mouse was infected orally with KPPR1S, and housed with four
- 652 uninfected mice and fecal shedding monitored daily (C.) Observed efficiency of K. pneumoniae
- 653 transmission with different infected to uninfected ratios, effect of antibiotics, and transmission
- 654 dynamics in a metabolic cage. Statistical differences calculated using two-tailed Fisher's exact test.
- 655
- 656

Supplemental Figures. 657

Figure S1. (A.) Treatment of K. pneumoniae infected mice with PBS alone (200 µl) does not 658

- 659 elicit a supershedder phenotype. (B.) Streptomycin sulfate (5 mg/200 µl) treatment triggers the
- supershedder phenotype (> 10^8 CFU/Gram of feces) in mice infected with *Klebsiella* 660
- pneumoniae. A second treatment of Streptomycin sulfate (5 mg/200 µl) once mice have returned 661
- to reduced *Kpn* shedding elicits a second supershedder phenotype that is also transient. 662
- Figure S2. The colonization density in the GI tract (Ileum, cecum and colon) for the WT isolate, 663 and isogenic capsule deficient mutant ($\Delta manC$) was determined 15 days post-infection with 664

median values shown. Limit of detection was 10^2 CFU/Gram of tissue. Differences in GI 665

- colonization determined using Kruskal-Wallis test. ***, p < 0.001. 666
- Figure S3. (A.) Antibiotic treatment leads to development of supershedder phenotype in the 667
- index (Infected) mice and high transmission rates. Index mice were given ampicillin (1g/Liter) in 668
- drinking water a day before oral inoculation with *Kpn* isolate MKP103. One day before the index 669
- mouse was moved in to the contact mice (n=4) cage they were also given ampicillin (1g/Liter) in 670
- drinking water. The contact mice quickly became colonized with MKP103 isolate, and both the 671
- index and contact mice displayed the supershedder phenotype (> 10^8 CFU/Gram of feces). 672
- Shown here are representation of two independent transmission studies. (B.) Fecal shedding data 673
- collected from mice given 10⁶ CFU of KPPR1S housed in a metabolic cage to reduce 674
- coprophagia, and followed for up to either 13 days post-infection. Shown are median values, 675
- where each point represents a single mouse on a given day. Limit of detection (L.O.D) was 10^2 676
- 677 CFU/Gram of Feces.
- 678 Figure S4. Colonization by Klebsiella pneumoniae shows normal colonic mucosa. Displayed
- 679 here are swiss rolls of colonic mucosa stained with hematoxylin and eosin staining. (A.) Mice
- 680 given PBS alone and tissue prepared 7 days post-treatment. (B.) Colon tissue from mice prepared
- 7 days post 3% DSS daily treatment. Detailed here is the ulceration (long arrows) of the mucosa, 681
- 682 with inflammation expanding the submucosa (*), and loss and fragmentation of individual
- muscle cells (short arrows). (C.) Mice infected with KPPR1S and tissue prepared 7 days post-683
- infection. (D.) Mice infected with KPPR1S, given a single-dose of streptomycin (5 mg/ 200 µl) 684
- 685 to trigger supershedder phenotype and tissue prepared two days post antibiotic treatment.
- Colonic epithelium of mice appears normal that are infected with KPPR1S and its supershedder 686
- phenotype, and is similar in morphology to the PBS control. 687
- **Table S1.** List of bacterial species identified in the mice fecal pellets using 16s rRNA analysis 688

690 Strains used in this study

Strain	Description	Reference or Source
AZ10	K. pneumoniae stool isolate. ST 1322; wzi 372.	(29)
AZ99	Mouse passaged Str ^R derivative of AZ10	This Study
AZ17	KPPR1 serotype 2 <i>K. pneumoniae</i> isolate. Rif ^R derivative of ATCC43816	(61)
AZ55	KPPR1S; Str ^R derivative of KPPR1. Rif ^R , Str ^R	(62)
AZ56	$\Delta manC$ (CPS-) mutant of KPPR1S. Rif ^R , Str ^R	(63)
AZ70	MKP103. A carbapenemase (KPC-3) deletion derivative of KPNIH1	(32)
AZ71	<i>hvKP1</i> . Hypervirulent <i>K. pneumoniae</i> K-type 2 isolate. Amp ^R	(64)
AZ94	Apramycin ^R cassette at <i>att::tn7</i> site derivative of AZ17. Apra ^R , Rif ^R	(65)
AZ63	AZ55 with pKD46 plasmid for lambda red combination. Rif^{R} , Str^{R} , $Spec^{R}$	This study
AZ101	Arrayed Library, MKP103 with transposon element in <i>fimH</i> :cam ^R	(32)
AZ108	Moved <i>fimH::cam</i> cassette from AZ101 into AZ63, and plasmid cured. Rif ^R , Str ^R , Cam ^R	This Study

-

- _ . _

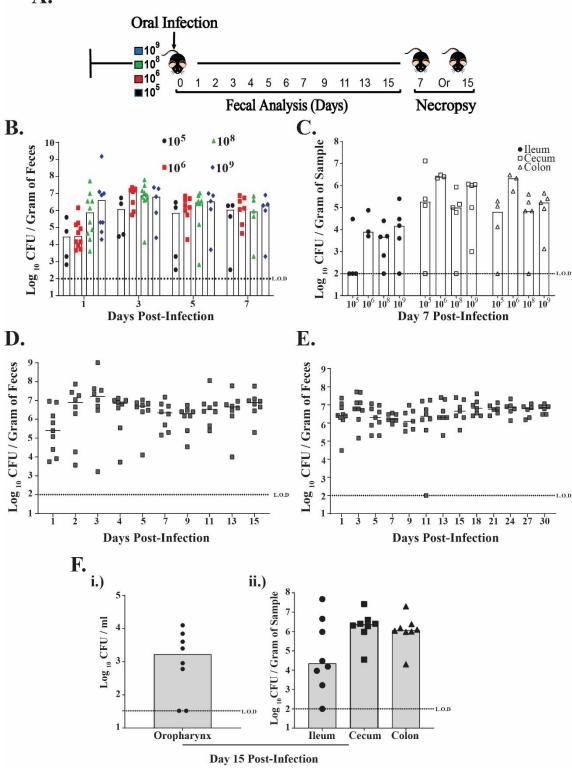
- . _0

- / 52



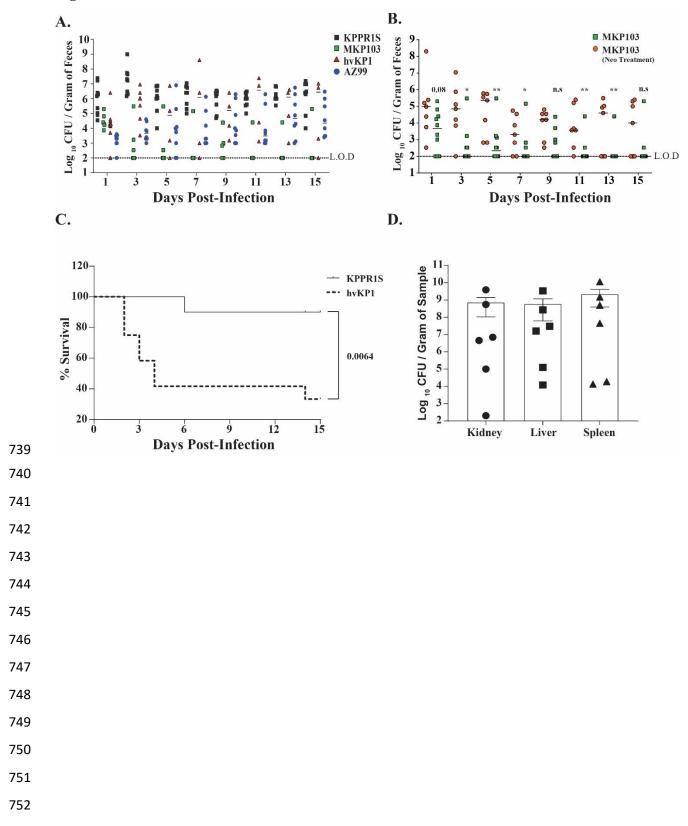
735 **Figure 1.**

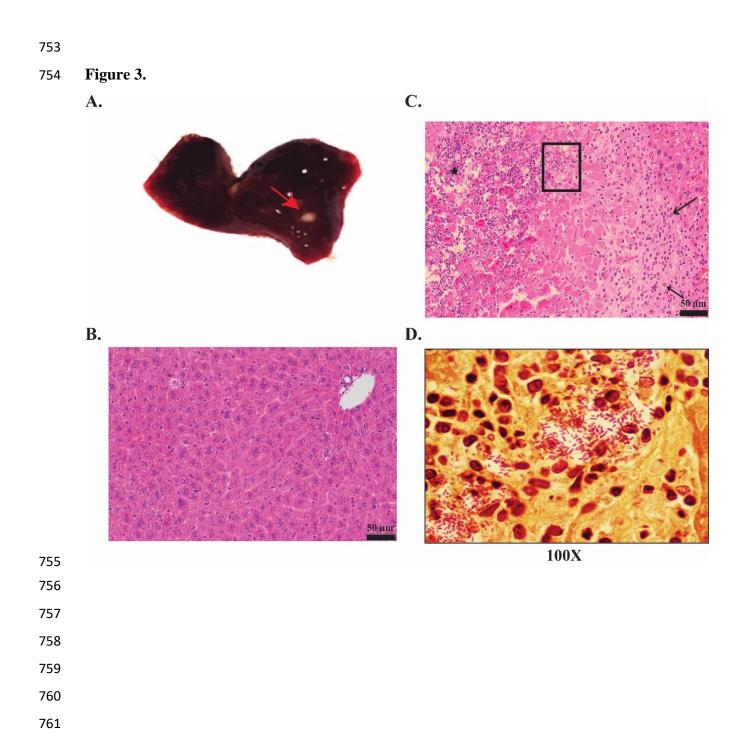






738 **Figure 2.**





- 762
- 763
- 764
- 765
- 766
-
- 767



769 **Figure 4.**

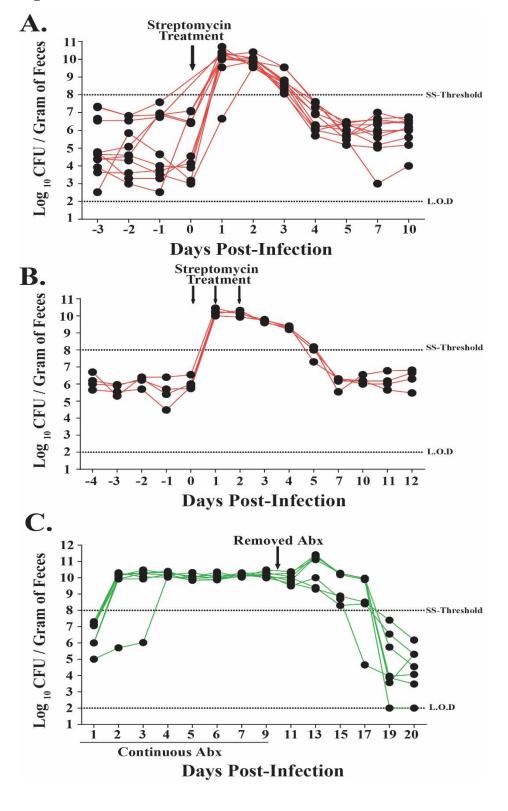
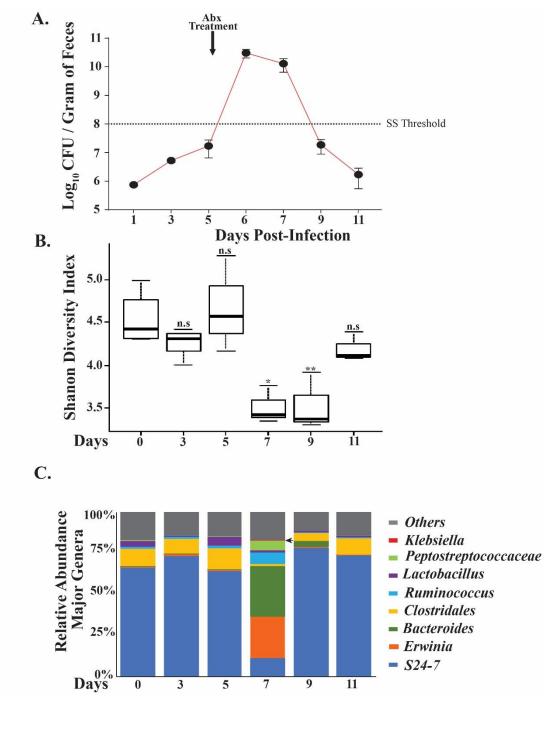


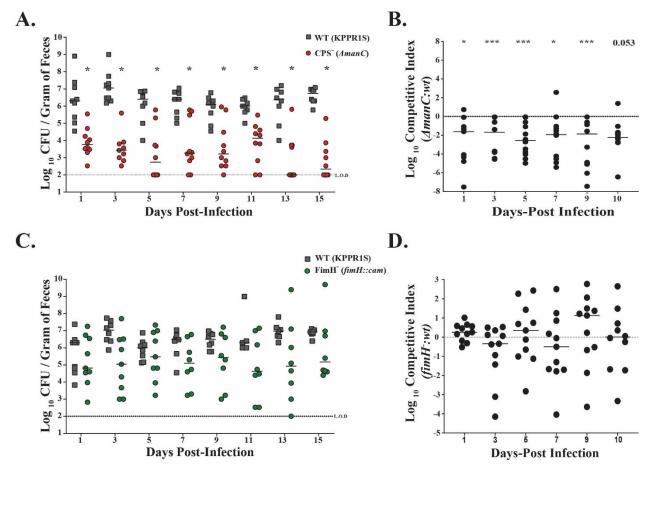
Figure 5.



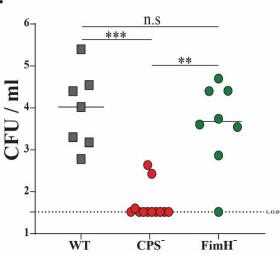
- ___



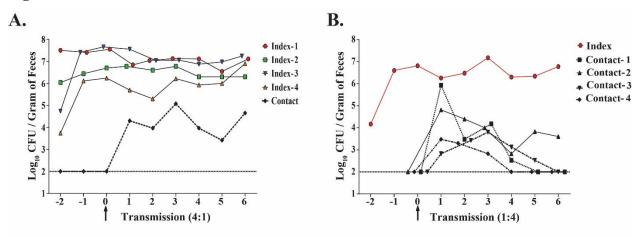
780 Figure 6.



E.



784 Figure 7.



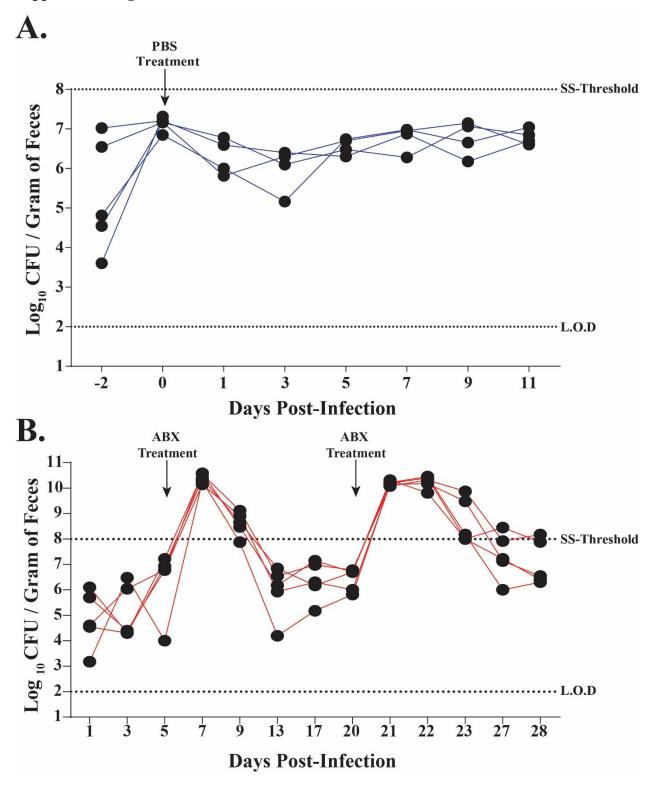
С.

Infected / Uninfected Ratio	Co-housed (Days)	Treatment	Transmission Frequency (%)	# of Experiments	p. value	
4:1	6-7	None	100	4	-	٦
4:1	6-10	Metabolic Cage	0	3	0.011	
1:4	6-7	None	33	4	-	
1:4	6-7	Abx Once (Index Only)	92	3	-	
1:4	6-7	Abx Daily (Cage)	100	3	-	

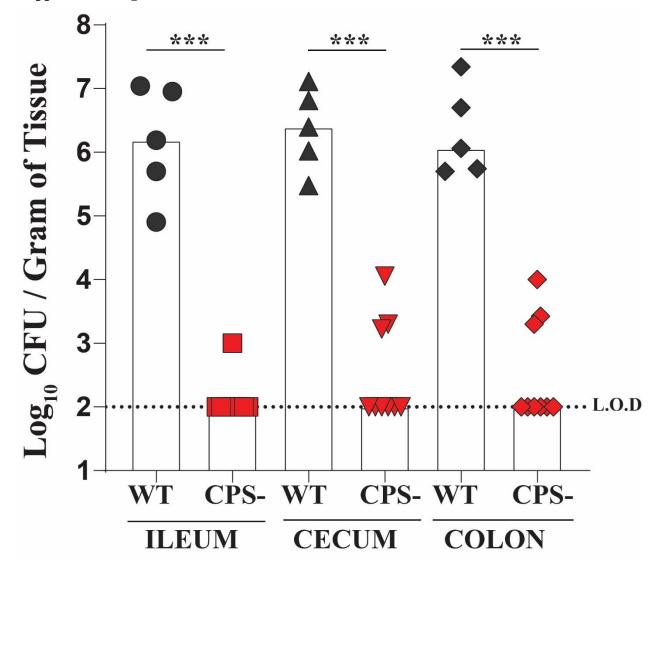
- -



800 Supplemental Figure 1.

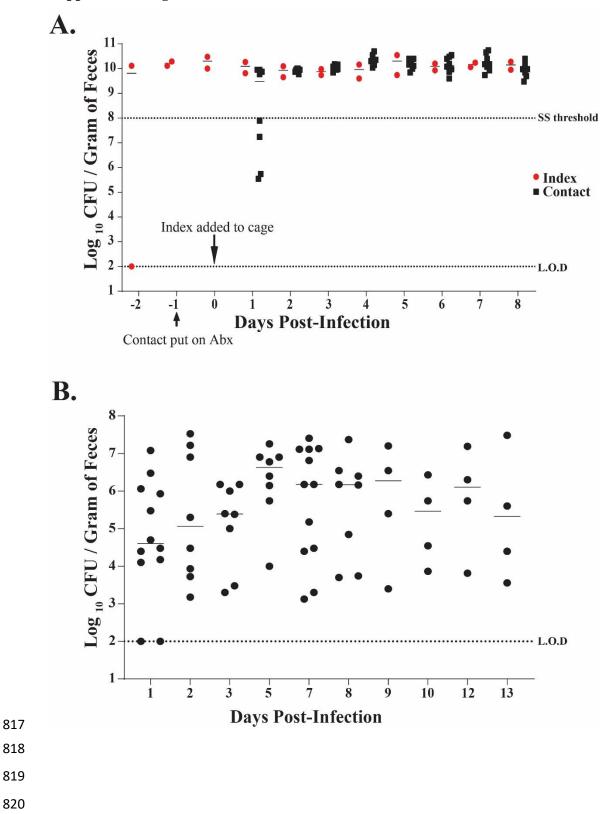


804 Supplemental Figure 2.

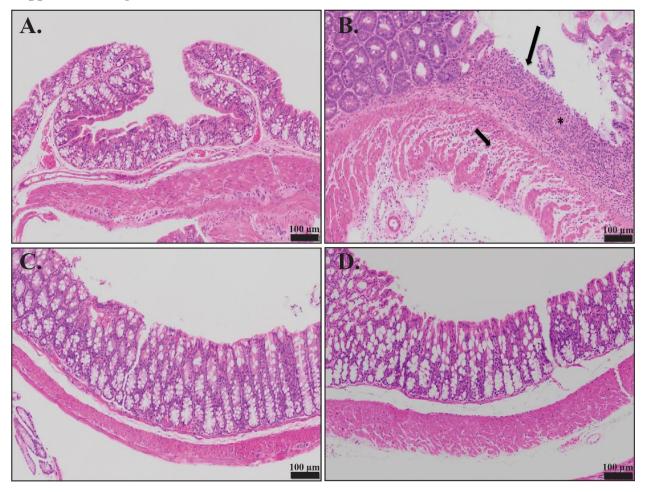








821 Supplemental Figure 4.



- ---

836 **References**

- CDC. 2018. Healthcare-associated Infections. Centers for Disease Control.
 Khan HA, Baig FK, Mehboob R. 2017. Nosocomial infections: Epidemiology, prev
- Khan HA, Baig FK, Mehboob R. 2017. Nosocomial infections: Epidemiology, prevention, control
 and surveillance. Asian Pacific Journal of Tropical Biomedicine 7:478-482.
- 840 3. Aslam B, Wang W, Arshad MI, Khurshid M, Muzammil S, Rasool MH, Nisar MA, Alvi RF, Aslam
- MA, Qamar MU, Salamat MKF, Baloch Z. 2018. Antibiotic resistance: a rundown of a global crisis.
 Infect Drug Resist 11:1645-1658.
- Paczosa MK, Mecsas J. 2016. Klebsiella pneumoniae: Going on the Offense with a Strong
 Defense. Microbiol Mol Biol Rev 80:629-61.
- Bergmans DCJJ, Bonten MJM, Gaillard CA, vanTiel FH, vanderGeest S, deLeeuw PW, Stobberingh
 EE. 1997. Indications for antibiotic use in ICU patients: a one-year prospective surveillance.
 Journal of Antimicrobial Chemotherapy 39:527-535.
- Sakkas H, Bozidis P, Ilia A, Mpekoulis G, Papadopoulou C. 2019. Antimicrobial Resistance in
 Bacterial Pathogens and Detection of Carbapenemases in Klebsiella pneumoniae Isolates from
 Hospital Wastewater. Antibiotics (Basel) 8.
- 8517.Broberg CA, Palacios M, Miller VL. 2014. Klebsiella: a long way to go towards understanding this852enigmatic jet-setter. F1000Prime Rep 6:64.
- 8. Centers for Disease C, Prevention. 2013. Vital signs: carbapenem-resistant Enterobacteriaceae.
 MMWR Morb Mortal Wkly Rep 62:165-70.
- 855 9. WHO. 2014. Antimicrobial resistance: global report on surveillance. World Health Organization,
 856 Geneva, Switzerland.
- 85710.Shon AS, Bajwa RP, Russo TA. 2013. Hypervirulent (hypermucoviscous) Klebsiella pneumoniae: a858new and dangerous breed. Virulence 4:107-18.
- Rodriguez-Villar S, Fife A, Baldwin C, Warne RR. 2019. Antibiotic-resistant hypervirulent
 Klebsiella pneumoniae causing community- acquired liver abscess: an emerging disease. Oxf
 Med Case Reports 2019:omz032.
- Liu C, Guo J. 2019. Hypervirulent Klebsiella pneumoniae (hypermucoviscous and aerobactin positive) infection over 6 years in the elderly in China: antimicrobial resistance patterns,
 molecular epidemiology and risk factor. Ann Clin Microbiol Antimicrob 18:4.
- Fang CT, Lai SY, Yi WC, Hsueh PR, Liu KL, Chang SC. 2007. Klebsiella pneumoniae genotype K1: an
 emerging pathogen that causes septic ocular or central nervous system complications from
 pyogenic liver abscess. Clin Infect Dis 45:284-93.
- 14. Cheng NC, Yu YC, Tai HC, Hsueh PR, Chang SC, Lai SY, Yi WC, Fang CT. 2012. Recent trend of
 necrotizing fasciitis in Taiwan: focus on monomicrobial Klebsiella pneumoniae necrotizing
 fasciitis. Clin Infect Dis 55:930-9.
- Han SH. 1995. Review of hepatic abscess from Klebsiella pneumoniae. An association with
 diabetes mellitus and septic endophthalmitis. West J Med 162:220-4.
- Dao TT, Liebenthal D, Tran TK, Ngoc Thi Vu B, Ngoc Thi Nguyen D, Thi Tran HK, Thi Nguyen CK,
 Thi Vu HL, Fox A, Horby P, Van Nguyen K, Wertheim HF. 2014. Klebsiella pneumoniae
 oropharyngeal carriage in rural and urban Vietnam and the effect of alcohol consumption. PLoS
 One 9:e91999.
- Fung CP, Lin YT, Lin JC, Chen TL, Yeh KM, Chang FY, Chuang HC, Wu HS, Tseng CP, Siu LK. 2012.
 Klebsiella pneumoniae in gastrointestinal tract and pyogenic liver abscess. Emerg Infect Dis
 18:1322-5.
- Viau RA, Hujer AM, Marshall SH, Perez F, Hujer KM, Briceno DF, Dul M, Jacobs MR, Grossberg R,
 Toltzis P, Bonomo RA. 2012. "Silent" dissemination of Klebsiella pneumoniae isolates bearing K.

882		pneumoniae carbapenemase in a long-term care facility for children and young adults in
883		Northeast Ohio. Clin Infect Dis 54:1314-21.
884	19.	Gorrie CL, Mirceta M, Wick RR, Edwards DJ, Thomson NR, Strugnell RA, Pratt NF, Garlick JS,
885		Watson KM, Pilcher DV, McGloughlin SA, Spelman DW, Jenney AWJ, Holt KE. 2017.
886		Gastrointestinal Carriage Is a Major Reservoir of Klebsiella pneumoniae Infection in Intensive
887		Care Patients. Clinical Infectious Diseases 65:208-215.
888	20.	Snitkin ES, Zelazny AM, Thomas PJ, Stock F, Group NCSP, Henderson DK, Palmore TN, Segre JA.
889		2012. Tracking a hospital outbreak of carbapenem-resistant Klebsiella pneumoniae with whole-
890		genome sequencing. Sci Transl Med 4:148ra116.
891	21.	Selden R, Lee S, Wang WLL, Bennett JV, Eickhoff TC. 1971. Nosocomial Klebsiella Infections -
892		Intestinal Colonization as a Reservoir. Annals of Internal Medicine 74:657-+.
893	22.	Casewell M, Phillips I. 1977. Hands as Route of Transmission for Klebsiella Species. British
	22.	
894	22	Medical Journal 2:1315-1317.
895	23.	Chiu CH, Su LH, Wu TL, Hung IJ. 2001. Liver abscess caused by Klebsiella pneumoniae in siblings.
896		J Clin Microbiol 39:2351-3.
897	24.	Harada S, Tateda K, Mitsui H, Hattori Y, Okubo M, Kimura S, Sekigawa K, Kobayashi K, Hashimoto
898		N, Itoyama S, Nakai T, Suzuki T, Ishii Y, Yamaguchi K. 2011. Familial spread of a virulent clone of
899		Klebsiella pneumoniae causing primary liver abscess. J Clin Microbiol 49:2354-6.
900	25.	Lawley TD, Bouley DM, Hoy YE, Gerke C, Relman DA, Monack DM. 2008. Host transmission of
901	_0.	Salmonella enterica serovar Typhimurium is controlled by virulence factors and indigenous
902	26	intestinal microbiota. Infect Immun 76:403-16.
903	26.	Lawley TD, Clare S, Walker AW, Goulding D, Stabler RA, Croucher N, Mastroeni P, Scott P, Raisen
904		C, Mottram L, Fairweather NF, Wren BW, Parkhill J, Dougan G. 2009. Antibiotic treatment of
905		clostridium difficile carrier mice triggers a supershedder state, spore-mediated transmission,
906		and severe disease in immunocompromised hosts. Infect Immun 77:3661-9.
907	27.	US Department of Health and Human Services N. 2015. Public Health Service policy on humane
908		care and use of laboratory animals. US Department of Health and Human Services, NIH,
909		Arlington, VA.
	20	
910	28.	Tsai YK, Liou CH, Lin JC, Ma L, Fung CP, Chang FY, Siu LK. 2014. A suitable streptomycin-resistant
911		mutant for constructing unmarked in-frame gene deletions using rpsL as a counter-selection
912		marker. PLoS One 9:e109258.
913	29.	Martin RM, Cao J, Brisse S, Passet V, Wu W, Zhao L, Malani PN, Rao K, Bachman MA. 2016.
914		Molecular Epidemiology of Colonizing and Infecting Isolates of Klebsiella pneumoniae. mSphere
915		1.
916	30.	Bachman MA, Breen P, Deornellas V, Mu Q, Zhao L, Wu W, Cavalcoli JD, Mobley HL. 2015.
917		Genome-Wide Identification of Klebsiella pneumoniae Fitness Genes during Lung Infection.
		MBio 6:e00775.
918	24	
919	31.	Struve C, Bojer M, Krogfelt KA. 2008. Characterization of Klebsiella pneumoniae type 1 fimbriae
920		by detection of phase variation during colonization and infection and impact on virulence. Infect
921		Immun 76:4055-65.
922	32.	Ramage B, Erolin R, Held K, Gasper J, Weiss E, Brittnacher M, Gallagher L, Manoil C. 2017.
923		Comprehensive Arrayed Transposon Mutant Library of Klebsiella pneumoniae Outbreak Strain
924		KPNIH1. J Bacteriol 199.
925	33.	Armbruster CE, Forsyth-DeOrnellas V, Johnson AO, Smith SN, Zhao L, Wu W, Mobley HLT. 2017.
926		Genome-wide transposon mutagenesis of Proteus mirabilis: Essential genes, fitness factors for
927		catheter-associated urinary tract infection, and the impact of polymicrobial infection on fitness
928		requirements. PLoS Pathog 13:e1006434.

929	34.	Nagpal R, Neth BJ, Wang S, Craft S, Yadav H. 2019. Modified Mediterranean-ketogenic diet
930		modulates gut microbiome and short-chain fatty acids in association with Alzheimer's disease
931		markers in subjects with mild cognitive impairment. EBioMedicine 47:529-542.
932	35.	Ahmadi S, Nagpal R, Wang S, Gagliano J, Kitzman DW, Soleimanian-Zad S, Sheikh-Zeinoddin M,
933		Read R, Yadav H. 2019. Prebiotics from acorn and sago prevent high-fat-diet-induced insulin
934		resistance via microbiome-gut-brain axis modulation. J Nutr Biochem 67:1-13.
935	36.	Nagpal R, Newman TM, Wang S, Jain S, Lovato JF, Yadav H. 2018. Obesity-Linked Gut
936		Microbiome Dysbiosis Associated with Derangements in Gut Permeability and Intestinal Cellular
937		Homeostasis Independent of Diet. J Diabetes Res 2018:3462092.
938	37.	Caporaso JG, Lauber CL, Walters WA, Berg-Lyons D, Huntley J, Fierer N, Owens SM, Betley J,
939		Fraser L, Bauer M, Gormley N, Gilbert JA, Smith G, Knight R. 2012. Ultra-high-throughput
940		microbial community analysis on the Illumina HiSeq and MiSeq platforms. ISME J 6:1621-4.
941	38.	Caporaso JG, Kuczynski J, Stombaugh J, Bittinger K, Bushman FD, Costello EK, Fierer N, Pena AG,
942		Goodrich JK, Gordon JI, Huttley GA, Kelley ST, Knights D, Koenig JE, Ley RE, Lozupone CA,
943		McDonald D, Muegge BD, Pirrung M, Reeder J, Sevinsky JR, Turnbaugh PJ, Walters WA,
944		Widmann J, Yatsunenko T, Zaneveld J, Knight R. 2010. QIIME allows analysis of high-throughput
945		community sequencing data. Nat Methods 7:335-6.
946	39.	Nagpal R, Shively CA, Appt SA, Register TC, Michalson KT, Vitolins MZ, Yadav H. 2018. Gut
947		Microbiome Composition in Non-human Primates Consuming a Western or Mediterranean Diet.
948		Front Nutr 5:28.
949	40.	Segata N, Izard J, Waldron L, Gevers D, Miropolsky L, Garrett WS, Huttenhower C. 2011.
950		Metagenomic biomarker discovery and explanation. Genome Biol 12:R60.
951	41.	Struve C, Krogfelt KA. 2003. Role of capsule in Klebsiella pneumoniae virulence: lack of
952		correlation between in vitro and in vivo studies. FEMS Microbiol Lett 218:149-54.
953	42.	Favre-Bonte S, Licht TR, Forestier C, Krogfelt KA. 1999. Klebsiella pneumoniae Capsule
954		Expression Is Necessary for Colonization of Large Intestines of Streptomycin-Treated Mice. Infect
955		Immun 67:6152-6156.
956	43.	Perez F, Pultz MJ, Endimiani A, Bonomo RA, Donskey CJ. 2011. Effect of antibiotic treatment on
957		establishment and elimination of intestinal colonization by KPC-producing Klebsiella
958		pneumoniae in mice. Antimicrob Agents Chemother 55:2585-9.
959	44.	Atarashi K, Suda W, Luo C, Kawaguchi T, Motoo I, Narushima S, Kiguchi Y, Yasuma K, Watanabe
960		E, Tanoue T, Thaiss CA, Sato M, Toyooka K, Said HS, Yamagami H, Rice SA, Gevers D, Johnson RC,
961		Segre JA, Chen K, Kolls JK, Elinav E, Morita H, Xavier RJ, Hattori M, Honda K. 2017. Ectopic
962		colonization of oral bacteria in the intestine drives TH1 cell induction and inflammation. Science
963		358:359-365.
964	45.	Ma LC, Fang CT, Lee CZ, Shun CT, Wang JT. 2005. Genomic heterogeneity in Klebsiella
965		pneumoniae strains is associated with primary pyogenic liver abscess and metastatic infection. J
966		Infect Dis 192:117-28.
967	46.	Bobo LD, Dubberke ER. 2010. Recognition and prevention of hospital-associated enteric
968	47	infections in the intensive care unit. Crit Care Med 38:S324-34.
969	47.	Aitken C, Jeffries DJ. 2001. Nosocomial spread of viral disease. Clin Microbiol Rev 14:528-46.
970	48.	Chase-Topping M, Gally D, Low C, Matthews L, Woolhouse M. 2008. Super-shedding and the link
971 072		between human infection and livestock carriage of Escherichia coli O157. Nat Rev Microbiol
972	40	6:904-12. Tratact III D. Nahraga El. van der Opet I. de Van W/M. 2010. Devuel Biefilmer Tieping Deinte
973 074	49.	Tytgat HLP, Nobrega FL, van der Oost J, de Vos WM. 2019. Bowel Biofilms: Tipping Points
974 075	50	between a Healthy and Compromised Gut? Trends Microbiol 27:17-25.
975 076	50.	Krogfelt KA, Bergmans H, Klemm P. 1990. Direct evidence that the FimH protein is the mannose-
976		specific adhesin of Escherichia coli type 1 fimbriae. Infect Immun 58:1995-1998.

977	51.	Lau HY, Huffnagle GB, Moore TA. 2008. Host and microbiota factors that control Klebsiella
978		pneumoniae mucosal colonization in mice. Microbes Infect 10:1283-90.
979	52.	Sequeira RP, McDonald JAK, Marchesi JR, Clarke TB. 2020. Commensal Bacteroidetes protect
980		against Klebsiella pneumoniae colonization and transmission through IL-36 signalling. Nature
981		Microbiology doi:10.1038/s41564-019-0640-1.
982	53.	Jung HJ, Littmann ER, Seok R, Leiner IM, Taur Y, Peled J, van den Brink M, Ling L, Chen L,
983		Kreiswirth BN, Goodman AL, Pamer EG. 2019. Genome-Wide Screening for Enteric Colonization
984		Factors in Carbapenem-Resistant ST258 Klebsiella pneumoniae. MBio 10.
985	54.	Kaur CP, Vadivelu J, Chandramathi S. 2018. Impact of Klebsiella pneumoniae in lower
986		gastrointestinal tract diseases. J Dig Dis 19:262-271.
987	55.	Lawlor MS, Handley SA, Miller VL. 2006. Comparison of the host responses to wild-type and cpsB
988		mutant Klebsiella pneumoniae infections. Infect Immun 74:5402-7.
989	56.	Moranta D, Regueiro V, March C, Llobet E, Margareto J, Larrarte E, Garmendia J, Bengoechea JA.
990		2010. Klebsiella pneumoniae capsule polysaccharide impedes the expression of beta-defensins
991		by airway epithelial cells. Infect Immun 78:1135-46.
992	57.	Russell CW, Fleming BA, Jost CA, Tran A, Stenquist AT, Wambaugh MA, Bronner MP, Mulvey MA.
993		2018. Context-Dependent Requirements for FimH and Other Canonical Virulence Factors in Gut
994		Colonization by Extraintestinal Pathogenic Escherichia coli. Infect Immun 86.
995	58.	Edwards RA, Puente JL. 1998. Fimbrial expression in enteric bacteria: a critical step in intestinal
996		pathogenesis. Trends Microbiol 6:282-7.
997	59.	Chung DR, Lee H, Park MH, Jung SI, Chang HH, Kim YS, Son JS, Moon C, Kwon KT, Ryu SY, Shin SY,
998		Ko KS, Kang CI, Peck KR, Song JH. 2012. Fecal carriage of serotype K1 Klebsiella pneumoniae
999		ST23 strains closely related to liver abscess isolates in Koreans living in Korea. Eur J Clin
1000		Microbiol Infect Dis 31:481-6.
1001	60.	Woolhouse ME, Dye C, Etard JF, Smith T, Charlwood JD, Garnett GP, Hagan P, Hii JL, Ndhlovu PD,
1002		Quinnell RJ, Watts CH, Chandiwana SK, Anderson RM. 1997. Heterogeneities in the transmission
1003		of infectious agents: implications for the design of control programs. Proc Natl Acad Sci U S A
1004		94:338-42.
1005	61.	Lawlor MS, Hsu J, Rick PD, Miller VL. 2005. Identification of Klebsiella pneumoniae virulence
1006		determinants using an intranasal infection model. Molecular Microbiology 58:1054-1073.
1007	62.	Palacios M, Broberg CA, Walker KA, Miller VL. 2017. A Serendipitous Mutation Reveals the
1008		Severe Virulence Defect of a Klebsiella pneumoniae fepB Mutant. mSphere 2.
1009	63.	Walker KA, Miner TA, Palacios M, Trzilova D, Frederick DR, Broberg CA, Sepulveda VE, Quinn JD,
1010		Miller VL. 2019. A Klebsiella pneumoniae Regulatory Mutant Has Reduced Capsule Expression
1011		but Retains Hypermucoviscosity. Mbio 10.
1012	64.	Pomakova DK, Hsiao CB, Beanan JM, Olson R, MacDonald U, Keynan Y, Russo TA. 2012. Clinical
1013		and phenotypic differences between classic and hypervirulent Klebsiella pneumonia: an
1014		emerging and under-recognized pathogenic variant. European Journal of Clinical Microbiology $\&$
1015		Infectious Diseases 31:981-989.
1016	65.	Agard MJ, Ozer EA, Morris AR, Piseaux R, Hauser AR. 2019. A Genomic Approach To Identify
1017		Klebsiella pneumoniae and Acinetobacter baumannii Strains with Enhanced Competitive Fitness
1018		in the Lungs during Multistrain Pneumonia. Infect Immun 87.
1019		