# A Bayesian Generative Model of Vestibular Afferent Neuron Spiking.

Michael Paulin<sup>1</sup>, Kiri Pullar<sup>1</sup>, and Larry Hoffman<sup>2</sup>

<sup>1</sup>Department of Zoology, University of Otago, Dunedin, New Zealand; and <sup>2</sup>Department of Head & Neck Surgery and Brain Research Institute, David Geffen School of Medicine at UCLA, Los Angeles, California 90095-1624

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### 1 Abstract

Using an information criterion to evaluate models fitted to spike train data from chinchilla 2 semicircular canal afferent neurons, we found that the superficially complex functional 3 organization of the canal nerve branch can be accurately quantified in an elegant mathematical 4 model with only three free parameters. Spontaneous spike trains are samples from stationary 5 renewal processes whose interval distributions are Exwald distributions, convolutions of Inverse 6 Gaussian and Exponential distributions. We show that a neuronal membrane compartment is a 7 natural computer for calculating parameter likelihoods given samples from a point process with 8 such a distribution, which may facilitate fast, accurate, efficient Bayesian neural computation for 9 estimating the kinematic state of the head. The model suggests that Bayesian neural computation 10 11 is an aspect of a more general principle that has driven the evolution of nervous system design, the energy efficiency of biological information processing. 12

### 13 Significance Statement

Nervous systems ought to have evolved to be Bayesian, because Bayesian inference allows 14 statistically optimal evidence-based decisions and actions. A variety of circumstantial evidence 15 suggests that animal nervous systems are indeed capable of Bayesian inference, but it is 16 unclear how they could do this. We have identified a simple, accurate generative model of 17 vestibular semicircular canal afferent neuron spike trains. If the brain is a Bayesian observer 18 and a Bayes-optimal decision maker, then the initial stage of processing vestibular information 19 20 must be to compute the posterior density of head kinematic state given sense data of this form. The model suggests how neurons could do this. Head kinematic state estimation given point-21 process inertial data is a well-defined dynamical inference problem whose solution formed a 22 foundation for vertebrate brain evolution. The new model provides a foundation for developing 23 realistic, testable spiking neuron models of dynamical state estimation in the vestibulo-24 25 cerebellum, and other parts of the Bayesian brain.

### 27 Introduction

The vestibular organs enable agile movement and perceptual acuity by providing the brain 28 with sense data for spatial orientation and postural stability. Among the five sensory epithelia 29 within the mammalian vestibular labyrinth, three semi-circular canal cristae each detect head 30 rotation around a single axis. Dedicated branches of the vestibular nerve transmit Information 31 from each semicircular canal to the brain (Goldberg et al., 2012). Early recordings indicated that 32 the population firing rate within each nerve branch encodes the rate at which the head is turning 33 around the canal axis (Lowenstein & Sand, 1940), but single-unit recordings later revealed 34 systematic, correlated statistical and dynamical heterogeneity within each population (Goldberg 35 & Fernandez, 1971b). The pattern of vestibular afferent neuron behaviour is similar in all 36 vertebrates and has been described using a variety of mathematical models (Paulin & Hoffman, 37 38 2019), but remains unexplained. Why is low-dimensional sensory information about head rotation around a single axis distributed across such a large number of channels in parallel? Why are the 39 spike trains so noisy? Why are the statistical and dynamical properties of these neurons so 40 diverse, and why are they correlated? At first sight information transmission in the vestibular 41 nerve seemed simple: Firing rate encodes stimulus strength. But it turns out to be much more 42 43 complicated than that. Why?

We hypothesized that these questions can be answered by modelling the activity of vestibular sensory afferent neurons as observations for a Bayesian observer, whose goal is to infer what in the world is causing the observations. In this paper we explain how we identified a Bayesian generative model of spontaneous firing in vestibular semi-circular canal afferent neurons, and how it may provide a foundation for modelling neural mechanisms of perception as Bayesian inference.

50 A Bayesian observer represents relevant states of the environment and themselves using a 51 probability distribution, called the Bayesian posterior distribution. They apply Bayes rule to infer

the posterior, the conditional probability distribution of states given what they observe (Gelman et 52 al., 2013; Jaynes & Bretthorst, 2003; Kruschke, 2015). Bayesian inference allows statistically 53 optimal evidence-based decisions and actions (Berger, 1985). This has led to speculation that 54 our nervous systems ought to have evolved to be Bayesian, with selective fitness as an 55 optimization criterion (Doya, 2007; Knill & Pouget, 2004; Knill & Richards, 1996; Kording, 2007; 56 Kording & Wolpert, 2006; Levy, 2006; O'Reilly, Jbabdi, & Behrens, 2012; Ramirez & Marshall, 57 2017; Yuille & Kersten, 2006). The behaviour of humans and other animals is consistent with this 58 "Bayesian brain" hypothesis (Ostwald et al., 2012; Valone, 2006). However, because Bayesian 59 inference is conditional not only on observations but also on a model of how observations depend 60 on states, and optimality criteria can be arbitrary, it is possible to reverse-engineer a Bayesian 61 explanation for any observed behaviour (Bowers & Davis, 2012; Jones & Love, 2011). Thus 62 realistically modelling neural computation for Bayesian inference, and testing the Bayesian brain 63 hypothesis, requires neurobiological model systems whose performance can be quantified 64 independently and for which observer models can be determined empirically. We suggest that 65 66 the vestibular system, including the vestibulo-cerebellum, which has long been proposed as a locus of Bayesian neural computation for dynamical estimation of head kinematic state variables 67 (Borah, Young, & Curry, 1988; de Xivry, Coppe, Blohm, & Lefevre, 2013; MacNeilage, Ganesan, 68 & Angelaki, 2008; Paulin, 1989, 1993, 2005; Paulin & Hoffman, 2011; Selva & Oman, 2012; 69 Young, 2011), is suitable for this purpose. 70

Except in some classical special cases, dynamical Bayesian inference requires a generative model, a model capable of generating simulated observations with the same statistical distribution as the data. Given such a model, sequential random sampling methods can be used to infer the Bayesian posterior density of the model parameters from data (Doucet, De Freitas, & Gordon, 2001). Mathematical parameters of a realistic generative model will map onto to kinematic state variables of the head, the physical parameters of vestibular afferent neuron spike trains. Thus a

first step towards a realistic model of Bayesian neural computation for optimal dynamical head
 kinematic state estimation in the vestibulo-cerebellum is to identify a generative model of
 vestibular sensory afferent neuron spike trains.

80

### 81 Methods

All procedures involving animals were approved by the UCLA Chancellor's Animal Research Committee, and conformed to guidelines mandated in the *NIH Guide for the Care and Use of Laboratory Animals* (National Institutes of Health Publication, revised 2011), and the *Guidelines for the Use of Animals in Neuroscience Research* (Society for Neuroscience).

#### 86 Animal preparation

Adult male chinchillas (n=27; body mass 450 – 650 grams) were used in these experiments. 87 They were first anesthetized with isoflurane, after which an intravenous cannula was secured 88 within a jugular vein through which maintenance doses of sodium pentobarbital (0.05cc, 50 mg/cc) 89 were administered. A tracheotomy was performed into which a catheter delivering 100% O<sub>2</sub> was 90 loosely placed. Heart and respiratory rates, as well as O<sub>2</sub> saturation levels, were monitored 91 throughout the surgical preparation and recording session. Core body temperature was 92 maintained between 38° - 38.5°C with a custom servo-controlled heater and rectal thermocouple 93 94 probe. Animals remained physiologically stable throughout the long electrophysiologic recording sessions, which at times lasted longer than 12 hours. 95

<sup>96</sup> Upon achieving a surgical plane of anesthesia animals were fit into a custom head holder <sup>97</sup> fixed to a turntable. Surgical procedures were similar to those utilized in previous investigations <sup>98</sup> of vestibular afferent electrophysiology (Baird, Desmadryl, Fernandez, & Goldberg, 1988). The <sup>99</sup> right middle ear was exposed by removing the bony cap of the tympanic bulla. The bony ampullae <sup>100</sup> of the superior and horizontal semicircular canals were identified, which provided landmarks to

the internal vestibular meatus channelling the superior vestibular nerve between the labyrinth and brainstem. The superior vestibular nerve was exposed at this site, approximately 1 - 2 mm from the landmark ampullae, using fine diamond dental drill bits. Final exposure of the nerve was achieved by gently teasing the epineurium from the nerve with electrolytically sharpened pins.

#### 105 Single afferent electrophysiology

Spontaneous discharge epochs from 330 semicircular afferents within the superior vestibular 106 nerve were recorded with high-impedance microelectrodes ( $40 - 60M\Omega$ ) driven by a piezoelectric 107 microdrive. Spontaneous discharge was detected as the electrode approached an afferent, and 108 generally improved with subtle adjustments in electrode position achieved by small manipulations 109 of the microdrive (e.g. small forward and reverse displacements, in addition to gentle tapping of 110 the drive). Upon achieving stable recording, manual turntable displacements were used to identify 111 the epithelium from which the afferent projected. Afferents innervating the horizontal and superior 112 cristae increased their discharge to rotations resulting in utriculofugal and utriculopetal endolymph 113 flow, respectively, and would decrease in discharge in response to turntable rotations in the 114 opposite direction. Afferents projecting to the utricle were generally unresponsive to rotations, or 115 increased their discharge during application of rotations in both directions (centripetal 116 displacements of the otolithic membrane concomitant with rotation in either direction). These 117 afferents were excluded from the present dataset. 118

#### 119 Spiketrain analysis and model fitting

### 120 Data acquisition, Summary Statistics and Exploratory Analysis

Single-unit spike times were acquired in 20-second records with 300µs resolution, and imported into MATLAB as arrays of interspike interval (ISI) lengths in seconds. Plots of spike time data and ISIs were visually inspected to identify trends, discontinuities and outliers indicating possible miss-triggering during data acquisition. We tested for serial correlation in interval length

using a Wald-Wolfowitz runs test (MATLAB function runstest). Records with detectable artefacts
 or non-stationarity were removed, leaving 306 (of the initial 330) selected records for further
 analysis and modelling.

Mean  $(\bar{x})$ , standard deviation (s), coefficient of variation  $(CV = s/\bar{x})$  and Pearson's moment of 128 skewness ( $\gamma = E[(x - \mu)^3]/\sigma^3$ ) were computed for the intervals in each spiketrain, using MATLAB 129 functions mean, std and skewness. Standard deviations of interval length for the most regular 130 units in our sample are comparable to the resolution of spike time data acquisition (300us). 131 Because of this, estimates of CV and skewness for very regular units may be less reliable than 132 estimates for irregular units. CV is a scale-invariant measure of variability. It is near zero for highly 133 regular spike trains, near 1 for completely random or Poisson-like activity, and becomes larger 134 than 1 for clumped or bursting activity. By convention, neurons whose CV falls in the lowest 1/3 135 of a sample of vestibular afferents are deemed "regular", neurons whose CV falls in the largest 136 1/3 are deemed "irregular", while neurons with intermediate CV are deemed "intermediate" 137 (Goldberg & Fernandez, 1971b). 138

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#### 140 Candidate Models

The selected records are observations from a stationary renewal process (no correlation or trends in interval length over time), which can be modelled as sequences of samples from a fixedparameter probability distribution of interval lengths. This is a complete model because the event times themselves, up to an arbitrary start time, can be recovered from the sequence of intervals between them. Since interval lengths must be positive and can have arbitrary length, candidate models must be probability density functions  $f(t; \alpha)$  defined on t > 0 with parameters  $\alpha$ .

Previous studies have shown a consistent pattern of ISI distributions in vestibular afferent spike trains. ISI distributions of the most regular afferents have narrow distributions which are nearly symmetrical and approximately Gaussian, with standard deviations much smaller than

mean interval length ( $\sigma \ll \mu$ ). A Gaussian with  $\sigma \ll \mu > 0$  has essentially no probability mass 150 below zero and can be treated as a density on t > 0. ISI distributions of more irregular neurons 151 tend to be more right-skewed with larger CVs, while interval distributions of the most irregular 152 neurons resemble exponential distributions, with standard deviation similar to mean interval 153 length (CV=1). Differences between the most regular and the most irregular neurons are so great 154 that it has often been suggested that there are distinct populations within the nerve, but there is 155 a continuum of behaviour between these extremes (Paulin & Hoffman, 2019). Suitable candidate 156 models therefore are positive-valued, continuously-parameterized probability densities whose 157 shape transforms continuously between limiting cases resembling Gaussian and Exponential 158 distributions. 159

Our candidate models fall into three groups. The first group (1.1-1.5 below) were all initially derived as models of simple physical processes that are at least somewhat analogous to the canonical "noisy integrate-and-fire" model of a stochastic neuron (ref), and have all been applied previously to model spiking statistics of neurons, including vestibular semicircular canal afferent neurons (refs). This group contains the Weibull, Log-normal, Erlang (Integer Gamma), Birnbaum-Saunders (cumulative damage) and Inverse Gaussian or Wald distributions. They are available in the MATLAB Statistics Toolbox.

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$$f_{WB}(t; \lambda, \kappa) = \begin{cases} \frac{\kappa}{\lambda} \left(\frac{t}{\lambda}\right)^{\kappa-1} e^{-(t/\lambda)^{\kappa}} & t \ge 0\\ 0 & t < 0 \end{cases}$$

is the distribution of intervals between events when event rate is proportional to a power of the waiting time since the last event. This is a birth-death model with "aging". When  $\kappa = 1$  (constant event rate) the Weibull reduces to an Exponential distribution.

172 **1.2 Log-normal** 

173 
$$f_{LN}(t; \mu, \sigma) = \frac{1}{t\sigma\sqrt{2\pi}} e^{\left(-\frac{(\ln t - \mu)^2}{2\sigma^2}\right)}$$

is the distribution of outcomes of a growth process involving multiplicative interactions among
 many small random effects. Multiplicative interactions are additive on a log scale, so the log of
 the outcome has a Gaussian or normal distribution because of the Central Limit Theorem.

177 1.3 *Erlang* 

$$f_{ERL}(t; \kappa, \mu) = \frac{t^{\kappa-1}e^{-t/\mu}}{\mu^{\kappa}(\kappa-1)!}$$

where the shape parameter,  $\kappa$ , is a positive integer and the scale parameter,  $\mu$ , is a positive real 179 number, is the distribution of waiting times for  $\kappa$  events in a Poisson process when the average 180 waiting time is  $\mu$  (such that the average waiting time in the underlying Poisson process is  $\mu/\kappa$ ). 181 When  $\kappa$ =1 the Erlang reduces to an Exponential distribution, the waiting time distribution for 182 events in a Poisson process. This has been a popular model of neuronal firing variability, 183 including for vestibular afferent neurons, because of its flexible shape which resembles empirical 184 interval distributions, and because it has a simple mechanistic interpretation as the waiting time 185 for the accumulation of quantal events occurring at random times to reach a threshold (Lansky, 186 Sacerdote, & Zucca, 2016; Shimokawa, Koyama, & Shinomoto, 2010). 187

# 188 **1.4** *Birnbaum-Saunders*

189

$$f_{BBS}(t; \beta, \gamma) = \frac{\sqrt{t/\beta} + \sqrt{\beta/t}}{2\gamma t \sqrt{2\pi}} e^{\left(-\frac{\left(\sqrt{t/\beta} - \sqrt{\beta/t}\right)^2}{2\gamma^2}\right)}$$

is the distribution of waiting time for the accumulation of events with a Gaussian distribution of amplitudes occurring at random times to reach a threshold. It is also known as the Cumulative Damage distribution because of its application to modelling time-to-failure of a system subjected to impacts with random magnitudes occurring at random times. It is a physically plausible model of time to threshold for a neuron receiving EPSPs with Gaussian amplitudes, which fits spike train data from real neurons and biophysically realistic computational neural models (Leiva et al., 2015).

#### 197 1.5 *Inverse Gaussian* or Wald

198 
$$f_{WLD}(t; \mu, \lambda) = \sqrt{\frac{\lambda}{2\pi t^3}} e^{\left(-\frac{\lambda(t-\mu)^2}{2\mu^2 t}\right)}$$

is the distribution of waiting times for Gaussian noise with mean  $1/\mu$  with and variance  $1/\lambda$  to integrate to a threshold at 1. It models the first passage time (time to hit a barrier) of a driftdiffusion process, i.e. Brownian motion in constant flow (Chhikara & Folks, 1989; Folks & Chhikara, 1978).

As discussed in the Results section, a second group of candidate models was constructed by adding a fixed latency (time offset) parameter to some of the candidates in Group 1. This group contains Erlang, Wald and Birnbaum-Saunders distributions, each with an additional time-shift parameter,  $\tau$ .

### 207 2.1 Offset Erlang

$$f_{OEL}(t; \kappa, \mu) = \tau + \frac{t^{\kappa-1}e^{-t/\mu}}{\mu^{\kappa}(\kappa-1)!}$$

209 2.2 Offset Wald

210 
$$f_{OWL}(t; \mu, \lambda) = \tau + \sqrt{\frac{\lambda}{2\pi t^3}} e^{\left(-\frac{\lambda(t-\mu)^2}{2\mu^2 t}\right)}$$

211 2.3 Offset Birnbaum-Saunders

212 
$$f_{OBS}(t; \beta, \gamma) = \tau + \frac{\sqrt{t/\beta} + \sqrt{\beta/t}}{2\gamma t \sqrt{2\pi}} e^{\left(-\frac{\left(\sqrt{t/\beta} - \sqrt{\beta/t}\right)^2}{2\gamma^2}\right)}$$

For reasons discussed in Results, a third group of models was constructed by replacing the constant offset parameter  $\tau$  in the Group 2 models with an Exponentially-distributed random time offset having mean  $\tau$ . In each case this creates a new random variable as the sum of two random variables, whose distribution is the convolution of the distributions of the components.

218 
$$f_{EXE}(x; \kappa, \mu, \tau) = \frac{1}{\tau \left(1 - \frac{\mu}{\tau}\right)^{\kappa}} e^{-\frac{x}{\tau}} \text{ gammainc } \left(x \left(\frac{1}{\mu} - \frac{1}{\tau}\right), \kappa\right)$$

This expression for the convolution of an Exponential distribution and an Erlang distribution was obtained using *Mathematica* (Wolfram Research, Illinois, USA). gammainc is the MATLAB incomplete gamma function, a MATLAB built-in special function. The incomplete gamma function is defined slightly differently in MATLAB and *Mathematica*, so the result derived by *Mathematica* requires adjustment to obtain the formula given above.

224 3.2 Exwald

225 
$$f_{EXW}(x; \mu, \lambda, \tau) = \begin{cases} e^{(\lambda/\mu - t/\tau)} \left( (\operatorname{erfc}(b - c))/d + d(\operatorname{erfc}(b + c)) \right)/(2\tau), & \text{if } a \ge 0\\ \\ \frac{e^{(\lambda/\mu - t/\tau)} e^{-(b^2 + at)} Re\left( w(\sqrt{-at + ib}) \right)}{\tau}, & \text{if } a < 0 \end{cases}$$

where  $a = \lambda/(2\mu^2) - 1/\tau$ ,  $b = \sqrt{\lambda/(2t)}$  and  $c = \sqrt{at}$ . erfc is the complementary error function, w 226 is the Fadeeva scaled complex complementary error function (Abramowitz & Stegun, 1964), i =227  $\sqrt{-1}$  and Re(z) is the real part of the complex number z. This expression was modified from 228 formulae given by Schwarz (ref), by setting the barrier distance/threshold level parameter in the 229 Wald component of Schwarz's derivation to 1 and scaling the other parameters accordingly. We 230 found that this expression can be numerically unstable when  $\lambda \ll \mu$  (diffusion negligible compared 231 to drift) or  $\tau \ll \mu$  (Exponential component negligible compared to Wald component). In the former 232 case we reduced the Wald drift-diffusion component to a pure drift, approximating the Exwald 233 using an Exponential distribution with fixed time offset,  $\mu$ . In the latter case we removed the 234 Exponential component, approximating the Exwald using only the Wald component. None of our 235 data were fitted by models with parameters in regions of parameter space where these 236 approximations were applied, but it was necessary to include these approximations to prevent 237 numerical instability when the fitting algorithm explores the parameter space before converging. 238

239 3.3 Exgaussian

240 
$$f_{EXG}(x; \, \mu, \sigma, \tau) = \frac{1}{2\tau} e^{(2(\mu - x) + \sigma^2/\tau)} \operatorname{erfc}(\mu - x + \frac{\sigma^2}{\tau})$$

This expression for the convolution of a Gaussian distribution with mean  $\mu$  and variance  $\sigma^2$ and an Exponential distribution with mean interval parameter  $\tau$  was derived analytically using *Mathematica* (Wolfram Research, Illinois, USA). In this expression,  $\operatorname{erfc}(x) = \frac{2}{\sqrt{\pi}} \int_x^{\infty} e^{-t^2} dt$ , is the complementary error function, a MATLAB built-in Special Function.

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#### 247 Fitting Criterion

Given an observed probability distribution, p(t), and a model q(t), the Kullback-Liebler divergence from q(t) to p(t), also known as entropy of p(t) relative to q(t), is

$$D_{KL}(p||q) = \int p(t) \log_2 \frac{p(t)}{q(t)} dt$$
 (1).

It measures the bits of information lost when q(t) is used to approximate the empirical distribution, p(t). Given a set of candidate models, minimum  $D_{KL}$  identifies the candidate that minimizes the expected information in future observations, given what has been observed (Jaynes & Bretthorst, 2003; Kullback & Leibler, 1951; Paulin & Hoffman, 2001).

Given *N* observations  $t_1, t_2, \dots, t_N$ , the empirical distribution can be represented as a normalized frequency histogram, with probability  $p_k = n_k/N$  in the *k* th bin, where  $n_k$  is the number of observations in the *k* th bin. Assuming that  $q(t) \approx q_k$  is constant in the kth bin, the expression for  $D_{KL}$  reduces to a sum,

$$D_{KL}(p||q) = \int p(t) \log_2 \frac{p(t)}{q(t)} dt = \sum p_k \log_2 \frac{p_k}{q_k}$$
(2).

If each bin is very narrow and contains at most one observation then  $q(t) = q_k$  and the normalized histogram reduces to a particle model, with probability  $p(t_k) = 1/N$  at the observed points  $t_k$  and zero elsewhere. In that case the expression for  $D_{KL}$  reduces to

263 
$$D_{KL}(p||q) = \int \frac{\delta(t-t_k)}{N} \log_2\left(\frac{\delta(t-t_k)}{N q(t)}\right) dt = -\frac{1}{N} \sum \log_2(q(t_k)/N)$$
(3).

264 Thus

265 
$$D_{KL}(p||q) = -\frac{1}{N} \sum \log_2(q(t_k)) + \log_2(N)$$
(4),

is negative log-likelihood with a logarithmic penalty on sample size.

Since the sample size is fixed in each record, fitting a model by minimum  $D_{KL}$  is equivalent to 267 fitting a model by maximum likelihood for any given neuron. However, across neurons KLD scales 268 the log-likelihood by the entropy of the empirical distribution, giving a measure of model 269 performance which is independent of differences in variability of spike time data from different 270 neurons. For example, regular neurons have narrow ISI distributions with high probability 271 densities, and generate more spikes during the 20-second recording period because they fire 272 faster. As a result, the likelihood for any given model is generally larger for more regular neurons, 273 and using maximum likelihood would bias section in favour of candidates that are better at fitting 274 regular neurons. D<sub>KL</sub> avoids this problem. Having said that, we found that using maximum 275 likelihood as a model-selection criterion leads to qualitatively similar results as using  $D_{KL}$ , and 276 does not change our conclusions. 277

278

## 279 Model Fitting

Models were fitted using the MATLAB function fminseachbnd 1.4.0 (D'Errico, 1965), which implements the Nelder-Mead simplex algorithm (Nelder & Mead, 1965) with constraints. The constraints were applied to prevent the algorithm from stepping outside the region of parameter space in which a model is defined (e.g. negative mean interval length), which would produce meaningless results and/or numerical instability.

#### 285 Analysis of Fitted Models

Candidate models have at most 3 parameters meaning that fitted parameters for each neuron can be visualized as a point in 3D, and parameters fitted to all records form a cloud in 3D space. The cloud of points fitted to our data is roughly ellipsoidal in log-log axes. We computed the major axes of this ellipsoid using the *pca* function in the MATLAB Statistics Toolbox. We computed the convex hull of parameter estimates in 2D projections (the smallest polygon enclosing all points)

using the MATLAB built-in function convhull. We used the first principal component axis to generate curves in parameter space showing the predicted value of a parameter given some other parameter. For example, to show how a model parameter  $\alpha$  relates to the summary statistic  $CV = \frac{s}{\bar{x}}$ , we find parameters on the first principal component axis corresponding to a model with this CV. Simple closed expressions can be found in all cases, i.e. it is not necessary to use numerical optimization/search procedures to compute these curves.

### 297 **Results**

298 Summary Statistics

Figure 1 about here

299

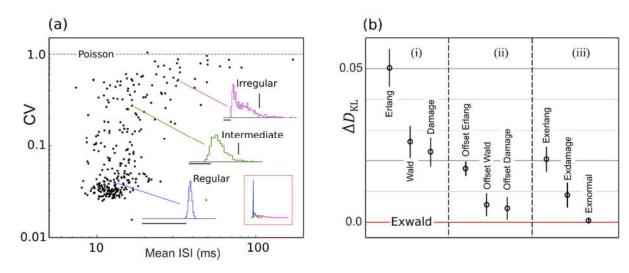


Figure 1: (a) Mean inter-spike interval length vs coefficient of variation (CV) of spontaneous 301 activity. Normalized inter-spike interval (ISI) histograms shown for a regular, intermediate and 302 an irregular afferent. Aspect ratios are adjusted to show differences in shape between the 303 distributions. Horizontal scale bars are 12ms. Vertical scale bars represent relative frequency 304 0.05. Inset (lower right) shows the three histograms overlaid with a common aspect ratio. (b) 305 Average Kullback-Liebler divergence (information loss in bits) for candidate models relative to 306 Exwald, the loss-minimizing candidate. Group (i) Random walk models; (ii) Fixed time-offset 307 random walk models; (iii) Exponential random offset random walk models. Vertical bars are 308 standard errors of means. 309

Figure 1(a) is a scatterplot of conventional ISI summary statistics, mean and coefficient of 310 variation. It shows the heterogeneity of spontaneous discharge characteristics, and the tendency 311 for neurons with shorter mean intervals (higher firing rates) to have more regular firing patterns. 312 The average mean interval is 16.9 ms ( $\pm$  13.0ms) and the average CV is 0.17 ( $\pm$  0.22). This plot 313 closely resembles scatterplots of mean ISI vs CV in previous reports of vestibular afferent neuron 314 spiking activity (e.g. Baird et al. (1988) figure 1; Honrubia, Hoffman, Sitko, and Schwartz (1989) 315 figure 6b; Goldberg (2000) figure 3A; Hullar et al. (2005) figure 1). The scatterplot shows the wide 316 variation in mean interval length and CV with no indication of distinct groups within the population. 317 ISI histograms for three selected afferents are overlaid on the scatterplot. They closely 318 resemble ISI distributions previously reported in vestibular afferents in various species (Paulin & 319 Hoffman, 2019). The inset shows these three distributions plotted on common axes. This 320 illustrates that while mean and CV reveal substantial diversity in spontaneous behaviour of these 321 neurons, these descriptive statistics fail to characterise the shapes of ISI distributions and the 322 large, systematic shape changes across the population. Regular afferents, with faster mean firing 323 324 rates tend to have narrow, approximately Gaussian ISI distributions, while irregular, slower-firing afferents tend to have positively skewed ISI distributions. The most irregular afferents, with CVs 325 near 1, have ISI distributions that resemble right-shifted or left-censored Exponential distributions. 326 Exponential interval distributions are characteristic of Poisson processes, for which the average 327 time between events is fixed but event times are random (Haight, 1967; Landolt & Correia, 1978). 328 These distributions have the unique property that removing intervals shorter than some specified 329 duration (left-censoring) is equivalent to right-shifting the distribution by that duration. 330

331

### 332 Model Fitting

Initial candidate models were continuous probability distributions defined on positive
 intervals: Weibull, Log-normal, Erlang or Integer Gamma, Inverse Gaussian or Wald, and
 Birnbaum-Saunders or Cumulative Damage Distribution (See methods). For brevity, we refer to

the Birnbaum-Saunders/Cumulative Damage Distribution as the Damage distribution. These candidates were selected because they possess the requisite property of having Gaussian-like shapes in some subregion of their parameter space and Exponential-like shapes in some other subregion. Weibull and Lognormal candidates were quickly eliminated because of large qualitative discrepancies between the shapes of data and model distributions, evident by inspection of plots.

The remaining candidates, Erlang, Wald and Damage distributions, all seem capable of generating the shapes of the empirical interval distributions. In addition, they are all waiting time distributions for random counting or integrating processes to reach a threshold, and can be interpreted in terms of simple models of physical mechanisms that underlie neuronal spiking. All have previously been proposed as models of neuronal spiking variability (See Methods). Each of these distributions has two free parameters.

The relative goodness of fit for these three models is shown in the left column of figure 1(b). The vertical axis in this figure ( $\Delta D_{KL}$ ) is the mean difference between Kullback-Leibler Divergence from model to data for each model, and the Kullback-Leibler Divergence from model to data for the model that was ultimately identified as the best model according to the minimum Kullback-Leibler criterion (See *Methods*). Error bars represent the standard error of mean  $\Delta D_{KL}$ . According to the minimum  $\Delta D_{KL}$  criterion, the Damage distribution is the best of these candidates, followed by the Wald and the Erlang.

Inspection of plots of best-fitting models overlaid on the empirical interval distributions showed that in many cases a fitted model deviated systematically from the data, while manual adjustment of parameters indicated that the model should be capable of fitting the shape of the empirical distribution much more accurately than it did. We hypothesized that this may be because the parameters of these models do not affect shape and location independently. A change in either parameter is generally accompanied by a change in the location (mean) and the shape of the

distribution. Because the Kullback-Liebler criterion harshly penalizes models that assign negligible probability to values that are actually observed, minimum  $\Delta D_{KL}$  favours spreading probability mass across all observations (i.e. getting the location right) over matching the shape of the empirical distribution, when it is not possible to do both.

We tested this hypothesis by adding a time-offset parameter, allowing each model distribution to shift arbitrarily along the time axis independently of shape changes. The second panel in figure 1(b) shows that this additional offset parameter improves the fit of each model. Visual inspection of plots showed that all three offset models can accurately locate and match the shapes of the empirical distributions. The performance improvement due to the additional free parameter is similar for each model, so that their ranking remains the same. The offset Damage model is the best, followed by the offset Wald and offset Erlang.

Introducing a time offset parameter confirmed that there is (at least) a degree of freedom 372 missing in each of the group 1 statistical models. However, a pure time offset in a model of 373 neuronal spiking is implausible, not simply because it would imply the existence of a biophysical 374 clock mechanism capable of producing precisely-timed intervals of different lengths in different 375 neurons, but because some of the fitted time offset parameters in the group 2 models are 376 negative. This would imply that in some neurons the clock must trigger the counting/integrating 377 process that generates a spike at a precise time before the preceding spike. This would violate 378 causality. 379

The simplest way to extend the group 1 models in a way that adds a degree of freedom in location is to include a Poisson process in series. A Poisson process has only one parameter, the mean interval length, and has an Exponential distribution of interval lengths (ref). The effect of adding an Exponentially-distributed random delay term to each of the Erlang, Damage and Wald models is shown in the third panel of figure 1(b). This term improves the fit of all three group 1 models. As might be expected, since the time-offset models fit quite precisely and the Poisson series element must introduce a shape change in addition to a time offset, the Poisson element

doesn't improve the fit of the Erlang or Damage models as much as a pure time offset does. Surprisingly, however, it improves the fit of the Wald model by even more than a pure time offset does. Evidently a series Poisson process not only provides an additional degree of freedom allowing the Wald distribution to locate itself over the probability mass of the data, it improves the ability of the Wald distribution to match the shape of the empirical distribution when it gets there.

An Exponential distribution in series with a Wald distribution is called an Exwald distribution (Schwarz, 2001, 2002). Analogously, we refer to the Exponentially-extended Erlang and Damage distributions the Exerlang and Exdamage distributions respectively.

Wald components of fitted Exwald models consistently resemble narrow Gaussians with small positive skewness. Positive skew in an empirical distribution is invariably fitted by increasing the interval parameter of the Poisson component of the model, not by altering the skew of the Wald component. This raises the possibility that positive skew in empirical ISI distributions can be explained entirely by the Poisson component of an Exwald model.

We tested this possibility by adding an Exponential-Gaussian series model to the candidate set. This model is labelled Exnormal in the third panel of figure 1(b). It fits almost as well as the Exwald model on average. The relatively small standard error shows that the Exnormal model fits the data uniformly almost as well as the Exwald model does.

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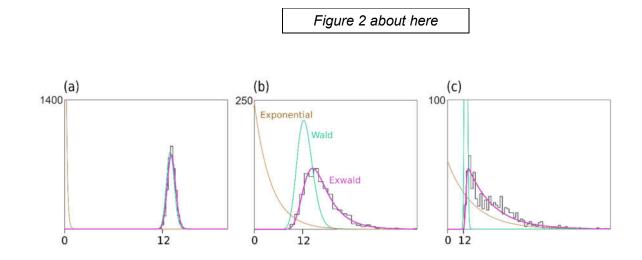


Figure 2: Fitted Exwald models overlaid on empirical ISI histograms for (a) a regular (b) an
 intermediate and (c) an irregular neuron. The Exwald (pink) decomposes into an Exponential
 (brown) and a Wald (teal) distribution. Scales are different on each axis because of the large
 differences in the shapes of the empirical distributions (See inset, figure 1(a)).

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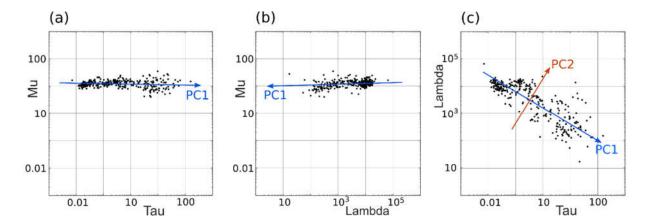
Figure 2 shows Exwald models fitted to ISI histograms for a regular, an intermediate and an 413 irregular unit. These are the same example units shown in figure 1(a). Components of the 414 intermediate model, for which the decomposition is easiest to see, are labelled. All neurons, not 415 just these three examples, have a refractory period of 10-12ms during which the probability of 416 spiking is essentially zero. The refractory period appears to be determined by the Wald 417 component, while the extent of the tail, corresponding to spiking irregularity, appears to be 418 determined by the Exponential or Poisson component. Shape and location parameters of the 419 Wald components are similar for all three neurons, while the interval parameter of the Poisson 420 component is larger for more irregular neurons. 421

### 422 Analysis of the Exwald model.

The Exwald is the distribution of intervals generated by an Inverse Gaussian process in series with a Poisson process. Each sample from the Exwald is the sum of a sample from the Wald component and a sample from the Exponential component. It has three parameters:  $\mu$  and  $\lambda$ , which are the mean interval and shape parameters of the Wald distribution, and  $\tau$ , which is the parameter of the Exponential interval distribution of the Poisson process. The parameters are all positive quantities with dimensions of time, reported here in milliseconds.

429

Figure 3 about here





**Figure 3:** Principal component analysis of Exwald model parameters. **(a-c)** show the 3D cloud of fitted parameters projected into each of the coordinate planes on log-log axes. The aspect ratio is the same on all axes, such that each grid unit represents a tenfold change in magnitude for any of the parameters. Almost all of the variability is in  $\lambda$  and  $\tau$ , which both vary over several orders of magnitude, while  $\mu$  is similar, averaging 12.7ms, in all afferents.

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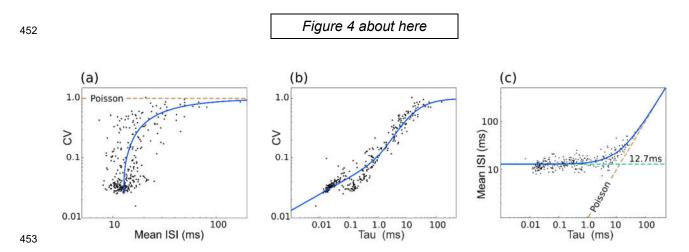
Figure 3 shows the result of principal component analysis (PCA) of Exwald model parameters. The fitted parameters form a flattened, elongated ellipsoidal cloud of points when plotted on loglog axes in 3D. PCA was used to find the major axes of an ellipsoid fitted to this cloud. Panels a-c show the parameter cloud and the principal component axes projected into the three coordinate planes of the parameter space.

Panels (a) and (b) show that the first principal component axis (PC1), the major axis of the parameter distribution, is almost parallel to the  $\tau$  -  $\lambda$  plane, with values of  $\mu$  clustered around the mean value of 12.7ms.  $\tau$  varies over roughly 4 orders of magnitude while  $\lambda$  varies over roughly 2 orders of magnitude.

Panel (c) shows that most of the variation among parameters, and correspondingly most of the differences between interval distributions, can be explained by only two parameters,  $\tau$  and  $\lambda$ . PC1 has a slope near -0.5 in the  $\tau$  -  $\lambda$  plane. A slope of -0.5 on log-log axes would indicate an inverse square relationship between these parameters,  $\lambda \propto 1/\sqrt{\tau}$ .

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### 451 Relationship between Exwald model parameters and conventional summary statistics



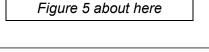
**Figure 4:** Relationship between parameters  $\lambda$  and  $\tau$  of the Exwald model and the conventional summary statistics of spontaneous activity, mean inter-spike interval and CV. **(a)** Scatterplot of mean ISI vs CV (c.f. figure 1(a)). Curve shows CV of the Exwald model on PC1 with a given mean ISI. **(b)** Scatterplot of  $\tau$  vs CV. Curve shows CV of the Exwald model on PC1 for a given  $\tau$ . **(c)** Scatterplot of  $\tau$  vs mean ISI. Curve shows the mean ISI of the Exwald model on PC1 for a given  $\tau$ .

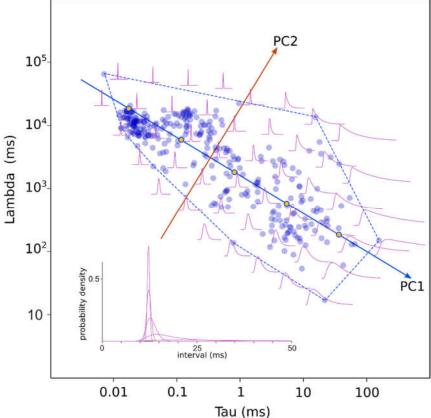
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Figure 4 shows how the parameters of fitted Exwald models are related to the conventional 460 summary statistics that have historically been used to describe the statistical diversity of 461 vestibular afferent firing patterns, mean ISI and CV. The curve in figure 4(a) shows the Exwald 462 model-predicted CV for parameters on the first principal component axis corresponding to a 463 model with the specified mean ISI. It is a projection of PC1 from  $\log(\tau) - \log(\lambda)$  parameter 464 space into mean ISI - CV parameter space. It shows that PC1 predicts the nonlinear 465 relationship between mean ISI and CV. Similarly, the curves in figure 4(b) and 4(c) show that 466  $\tau$  is a good predictor of CV and mean ISI. These plots show that  $\tau$  characterises not only the 467 change in mean and variability of ISI distributions over the population, but also the systematic 468 change in shape of the distributions. For small values of  $\tau$ , ( $\tau \ll \overline{ISI} \approx 12.7ms$ ), interval length 469 is largely determined by the Wald component, while for large values of  $\tau$ , ( $\tau \gg \overline{ISI} \approx 12.7 ms$ ), 470 interval length is largely determined by the Poisson component. Thus  $\tau$  by itself characterises 471 the universally observed pattern in which vestibular afferent neurons show a continuous 472

- 473 diversity of statistical behaviour from rapidly firing, regular neurons whose interval distributions
- 474 resemble narrow Gaussians to slowly firing, irregular neurons whose interval distributions
- resemble right-shifted or (equivalently) left-censored Exponentials.
- 476 Distribution of ExWald model shapes in model parameter space.

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**Figure 5:** Map of ISI distributions in Exwald parameter space. Scatterplot of fitted parameters in the  $\tau$ - $\lambda$  plane (blue discs), with principal component axes projected onto the plane. Dashed blue line is the convex hull of data points. Scaled Exwald models are drawn on a grid. Inset (lower left) shows the true proportions for five models along the first principal component axis. Their parameters are indicated by the five markers along PC1.

Figure 5 is a map of ISI distributions in  $\log(\tau) - \log(\lambda)$  space. Parameter values fitted to data (the same as in figure 3(c)) are plotted here as blue discs. The first two principal component axes

are shown. The dashed line is the convex hull, the smallest polygon enclosing all of the fitted 486 parameter points. Shapes of Exwald model interval distributions are drawn on a grid in PC1-PC2 487 coordinates, aligned with the  $log(\tau) - log(\lambda)$  axes. For each distribution, t=0 is plotted at the grid 488 point and the time scales are all the same. The vertical (probability density) axes are scaled so 489 that all distributions have the same height on the plot. In reality the distributions for the most 490 regular neurons (upper left of the map) are very much taller than the distributions for the most 491 irregular neurons (lower right). The inset (lower left) shows the true shapes of five distributions 492 spaced along PC1. 493

This figure indicates that the first principal component measures ISI variability, which is strongly predictable by  $\tau$  (c.f. figure 4(b)), The second principal component measures variability of the refractory period, which is strongly predicted by  $\lambda$ , as evidenced by the increasingly steep onset of spiking probability after a refractory period, in the PC2 direction.

According to the principal components analysis, 91.7% of parameter variance is explained by PC1, 7.6% is explained by PC2 and 0.7% by PC3. This suggests that differences in the statistical properties of afferents are mostly controlled by changes in a single degree of freedom in the underlying physical process(es), and are almost entirely, if not entirely, controlled by changes in at most two degrees of freedom.

#### 503 Neural Computation for Bayesian Inference Given Exwald Data

The form of the Exwald model suggests an elegant natural mechanism that neurons could employ in inferring the posterior density of stimulus parameters given samples from a point process with Exwald interval statistics. Sequential or dynamical Bayesian inference entails computing the likelihood function for the parameter(s) given the most recent observation, multiplying this by the probability inferred from previous observations (the prior probability) at each

parameter value, then (re)normalizing to obtain a function that integrates to 1 over the parameter
 space (Doucet et al., 2001).

The most recent observation for a stationary renewal process at any time, the latest available 511 information, is the elapsed time since the most recent event. Many models have been proposed 512 to explain multiplicative gain or sensitivity adjustments and normalization of activity levels across 513 neural populations (Bastian, 1986; Beck, Latham, & Pouget, 2011; Capaday, 2002; Carandini & 514 Heeger, 2012; Eliasmith & Martens, 2011; Louie, Khaw, & Glimcher, 2013; Mejias, Payeur, Selin, 515 Maler, & Longtin, 2014; Nelson, 1994; Olsen, Bhandawat, & Wilson, 2010; Silver, 2010), and we 516 will not consider possible mechanisms for these operations in the vestibular system beyond noting 517 that it is widely accepted that neurons are capable of such computations. The key additional 518 computational capability that neurons would require to implement dynamical Bayesian inference 519 in the vestibular system is the ability to compute parameter likelihoods given the elapsed time 520 521 since the most recent event.

The likelihood function for the parameters  $\mu$ ,  $\lambda$ , and  $\tau$  of an Exwald process given elapsed time *t* since the most recent event is, by definition, the probability of observing an interval of length *t* if the parameters are  $\mu$ ,  $\lambda$ , and  $\tau$ . The Exwald distribution is a convolution of an Inverse Gaussian and an Exponential,

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$$L(\mu,\lambda,\tau; t) = \gamma \int_{-\infty}^{\infty} IG(t-s; \mu,\lambda) e^{-s/\tau} ds,$$

where  $\gamma$  is an arbitrary constant (because a scaled likelihood function is a likelihood function). For Bayesian inference given spike train data from a vestibular afferent neuron, (some) central neurons must be able to compute  $L(\mu, \lambda, \tau; t)$  given t > 0.

The electrical response of a neuronal membrane compartment to a transient depolarizing current input with waveform  $I(t; \mu, \lambda)$  is the convolution of the exponential impulse response of the membrane compartment with the input waveform,

533 
$$V(\mu, \lambda, \tau; t) = \gamma \int_{-\infty}^{\infty} I(t - s; \mu, \lambda) e^{-s/\tau} ds$$

where V(t) is voltage referenced to resting membrane potential,  $\tau$  is the electrical time constant 534 of the membrane compartment, and  $\gamma$  is an arbitrary constant (because current and voltage can 535 be measured in arbitrary units) (Bower & Beeman, 1998; Koch, 1999). Thus a neuronal 536 compartment with membrane time constant  $\tau_0$  and a synapse whose EPSP shape is controlled 537 by two parameters,  $\mu$  and  $\lambda$ , with values  $\mu_0$  and  $\lambda_0$ , is a natural analog computer that 538 instantaneously computes the likelihood for the parameters  $(\mu, \lambda, \tau)$  at the point  $(\mu_0, \lambda_0, \tau_0)$ , at 539 time t after the preceding synaptic input. By postulating that EPSP waveforms I(t) mimic the 540 shape of Inverse Gaussian distributions IG(t) - which, on the face of it, they do - neuronal 541 compartments could compute parameter likelihoods from point process data with Exwald 542 interval distributions. 543

It may be non-trivial to arrange neurons capable of these basic operations (amplification, 544 normalization and evaluating likelihoods) into circuitry capable of inferring the Bayesian 545 posterior density given vestibular afferent neuron spike trains, and will not attempt here to show 546 how it may be done. However, the remarkable isomorphism between equation 1, representing 547 an abstract probability computation which is fundamental for dynamical Bayesian inference, and 548 equation 2, representing a physical model of the electrical behaviour of a neuron, is worth 549 mentioning, because it shows that mechanisms capable of implementing all of the mathematical 550 operations required for dynamical Bayesian inference occur naturally in neurons, and would be 551 available to be co-opted by evolution if there was selection pressure on nervous systems to be 552 Bayesian. 553

### 554 Discussion

The diversity of vestibular afferent neuron firing behaviour has been characterised in the past using the coefficient of variation (CV) of inter-spike intervals as a signature that predicts other statistical, dynamical and anatomical characteristics of these neurons (Goldberg, 2000; Goldberg et al., 2012). Using an information-theoretic model selection procedure, we found that

spontaneous activity patterns of chinchilla semicircular canal afferent neurons can be accurately 559 modelled using a simple, three-parameter model. The first principal component axis of fitted 560 parameters lies almost parallel to the  $\tau - \lambda$  plane and in log-transformed axes has slope close to 561  $-\frac{1}{2}$  in that plane, indicating that  $\tau$  and  $\lambda$  are related by a power law that is approximately an inverse 562 square law,  $\lambda \propto 1/\sqrt{\tau}$ . Because there is a unique point on the first principal component axis for 563 any given  $\tau$ , more than 90% of parameter variation among neurons can be explained by  $\tau$  alone. 564 Adding a second parameter,  $\lambda$ , accounts for more than 99% of parameter variation. The third 565 parameter,  $\mu$ , contributes less than 1% of parameter variation and is essentially constant for all 566 neurons. 567

The Exwald parameters,  $\mu$ ,  $\lambda$  and  $\tau$ , can be used to compute the conventional summary statistics of spontaneous activity, mean ISI, CV and skewness, if needed. Because CV is a noisy invertible function of  $\tau$  (figure 4(b)),  $\tau$  by itself should be a good predictor of statistical, dynamical and anatomical properties of vestibular afferent neurons. The Exwald parameters appear to characterise the diversity of spontaneous activity at least as well as the conventional summary statistics do, but in addition they accurately describe the interval distributions themselves.

Because event times can be recovered from the intervals between them, the Exwald model 574 provides a complete stochastic process model of spontaneous activity in these neurons. It can 575 be regarded as a descriptive or phenomenological model whose parameters supersede the 576 conventional summary statistics. Its efficacy as a descriptive model raises the question of 577 whether it is, or may lead to, an explanatory model of vestibular afferent neuron behaviour. 578 Because CV is computable from parameters of an Exwald model and CV is correlated with 579 dynamical response parameters of vestibular afferent neurons, the Exwald model may 580 characterise more than just spontaneous activity patterns of these neurons. 581

582 Mechanosensory hair cells and related acousticolateralis receptor cells can transduce signals 583 with power levels smaller than thermal noise power in the transduction mechanisms (Denk &

Webb, 1988; Denk, Webb, & Hudspeth, 1986; Markin & Hudspeth, 1995), and such signals are 584 perceptible (Bialek, 1987; Devries, 1948; Torre, Ashmore, Lamb, & Menini, 1995). This implies 585 that stochasticity in spontaneous activity is driven by thermal noise in transduction, synaptic and 586 spike-generating mechanisms. Spontaneous firing is, however, a laboratory artefact, imposed 587 by clamping an animal's head so that it cannot move. Under natural conditions the head is always 588 moving, and the ecological function of "spontaneous" firing is to provide high acuity sense data 589 for postural stability, compensatory reflexes and acuity of other senses when the animal is not 590 actively moving its head. A completely motionless head is not natural, but it is the limiting case 591 of an ecologically important state. Generalized fluctuation-dissipation theorems then imply that 592 the response to intrinsic thermal noise characterises the system's dynamical responses to small 593 stimuli (Dinis, Martin, Barral, Prost, & Joanny, 2012; Marconi, Puglisi, Rondoni, & Vulpiani, 2008; 594 Prost, Joanny, & Parrondo, 2009). It follows that an Exwald model fitted to the spontaneous 595 interval distribution of a neuron should be able to predict the neuron's dynamical responses, at 596 least during small head movements. 597

When the average firing rate of a vestibular afferent neuron is held at a constant level above 598 its spontaneous rate by applying prolonged unidirectional acceleration, the variability of interval 599 length as measured by CV also increases (Fernandez & Goldberg, 1976; Goldberg, 2000; 600 Goldberg & Fernandez, 1971a). The change in CV as a function of mean interval is approximately 601 a power law  $CV(\overline{ISI}) = a\overline{ISI}^b$  with exponent b = 1/2 (Goldberg & Fernandez, 1980; Paulin & 602 Hoffman, 2019). Since CV is the square root of variance divided by the mean, this relationship 603 implies that the variance of interval length scales with the cube of mean interval length,  $\sigma^2 \propto \mu^3$ . 604 This scaling law is a unique signature of an Inverse Gaussian or Wald distribution (Chhikara & 605 Folks, 1989). Thus a simple auxiliary assumption - that vestibular stimulation alters the parameter 606  $\mu$  - can extend the Exwald model to explain the statistics vestibular afferent neuron responses 607 under constant stimulation. 608

Instantaneous firing rates of semicircular canal afferents responding to broad-band, 609 naturalistic head motion exhibit a simple, fractional order dynamical relationship to head angular 610 velocity, of the form  $r - r_0 = \frac{d^q \omega}{dt^q}$ , where 0 < q < 1 (Paulin & Hoffman, 1999; Paulin & Hoffman, 611 2019). This suggests that the Exwald model of spontaneous activity may be extended to a 612 stochastic dynamical model by making its parameters depend on head angular velocity in this 613 manner. Further investigation and testing is required in order to determine if and how the Exwald 614 model might be extended to describe the dynamics and statistics of spiking beyond the quasi-615 616 static state of small head movements.

The Inverse Gaussian or Wald is the distribution of time taken for Gaussian white noise with 617 mean  $r = \alpha/\mu$  and power  $\sigma^2 = \alpha/\lambda^2$  to integrate to a threshold at  $\alpha$  (ref). We set  $\alpha = 1$  without 618 loss of generality (equivalent to choosing units in which  $\alpha = 1$ ). A very simple neural model can 619 explain why the distribution of interval lengths for a stochastic spiking neuron might contain a 620 Wald distribution: If r is the mean rate of depolarization, then intervals generated by an integrate-621 and-fire neuron which resets to zero membrane potential after each spike will have a Wald 622 distribution. An Exwald is the distribution of the sum of samples from a Wald and an Exponential 623 distribution, hinting that vestibular afferent neurons spikes may be generated by a Poisson 624 process in series with a noisy integrate-and-fire process. Poisson distributions occur as limiting 625 cases in many stochastic process models, analogous to the way that Gaussian distributions occur 626 as limiting cases when independent observations are combined (Arratia, Goldstein, & Gordon, 627 1990; Chen, 1975). Poisson data can be generated simply by threshold triggering in a stationary 628 noise process (Basano & Ottonello, 1975). For example, spontaneous thermal-noise driven 629 opening times of sensory receptor channels are Poisson-distributed, with exponential interval 630 distributions (Sigg, 2014; Smith, 2002). 631

<sup>632</sup> The existence of very simple mechanisms that can produce events with Inverse Gaussian and <sup>633</sup> Exponential interval distributions then suggests a very simple possible explanation for the

superficial statistical complexity of vestibular afferent neuron behaviour. Exwald distributions 634 could have evolved because combining processes with Inverse Gaussian and Exponential 635 interval statistics in series is a simple, feasible way to translate microscopic, low-power stochastic 636 molecular transduction events into high-power electrochemical events carrying the same 637 information but which can be transmitted rapidly over macroscopic distances to the brain (Sterling 638 & Laughlin, 2015). In other words, evolution found a simple way to transmit information from 639 mechanoreceptors to the brain using existing mechanisms, and this happened to produce spike 640 trains with Exwald interval distributions. 641

However, the molecular mechanisms that mediate signal transmission from transduction in 642 receptor hair cells to spiking in vestibular afferent neurons are prodigiously complex (Glowatzki, 643 Grant, & Fuchs, 2008; Hudspeth, 1983; McPherson, 2018; Vollrath, Kwan, & Corey, 2007). 644 Natural selection appears to have put a great deal of effort into constructing intricate mechanisms 645 that transduce tiny deflections of hair cell cilia into electrical signals, and amplify the transduced 646 signal into spiking events in afferent neurons. The pathway from transduction to spiking is 647 648 evidently not a simple juxtaposition of two simple molecular mechanisms. On the contrary, it comprises a byzantine conglomeration of structures that collectively behave as if this were the 649 case. That the net behaviour of such complex machinery can be accurately modelled in such a 650 mathematically elegant way suggests that the machinery must have been selected to produce 651 this behaviour. That is, there must be some selective advantage in transmitting vestibular 652 653 information to the brain using spike trains with Exwald-distributed intervals, or some similar distribution. 654

As discussed in the introduction, there are several ubiquitous characteristics of vestibular afferent neuron behaviour requiring explanation. As noted above, noisiness or stochasticity in afferent spike trains can be explained by specialization to detect and transmit small signals. Spontaneous activity in afferents is driven by thermal noise in molecular mechanisms, which are amplified to produce spike train stochasticity because the peripheral vestibular system has

evolved to gather information about signals that are small compared to thermal noise, and amplify
them into spike trains (Denk & Webb, 1989, 1992; Denk et al., 1986; Torre et al., 1995; van Netten
& Kros, 2000).

The distribution of low-dimensional signals across thousands of afferents can be explained by 663 selection for energy efficiency. The energy cost of spiking neurons, which is dominated by the 664 cost of spiking (Aiello & Bach-y-Rita, 2000; Cohen, 2005; Niven, 2016; Yu & Yu, 2017), is a major 665 constraint on nervous system evolution (Hasenstaub, Otte, Callaway, & Sejnowski, 2010; 666 Laughlin, 2001; Lewis, Gilmour, Moorhead, Perry, & Markham, 2014; Niven & Laughlin, 2008; 667 Sterling & Laughlin, 2015). Because spiking neurons are so energetically expensive, there is 668 strong selection pressure for neurons to maximize channel capacity per unit energy cost. Sterling 669 and Laughlin (2015) suggest that the performance of neural communication and computation 670 should be measured in bits per second per Watt, or bits per Joule, rather than channel capacity, 671 or bits per second, which has been the conventional measure of performance in communication 672 and information processing systems. Using bits per Joule as a proxy for the evolutionary fitness 673 674 of nervous systems can explain many features of nervous system structure and function (Sterling & Laughlin, 2015). 675

Spike trains become prohibitively expensive at high average firing rates because when an 676 action potential occurs within a few milliseconds of another, overlapping sodium and potassium 677 ion fluxes consume energy without changing the membrane potential. Efficient neural 678 computation and communication requires average firing rates below about 100s<sup>-1</sup> (Goldberg, 679 Sripati, & Andreou, 2003; Hasenstaub et al., 2010; Levy & Baxter, 1996). As illustrated in figure 680 2, semicircular canal afferents have refractory periods in the order of 10ms, and mean interval 681 duration around 13ms. The mean interspike interval for all neurons in our sample is 12.7ms, 682 corresponding to a rate of 78.7 spikes per second. 683

The functional bandwidth of transduction in vestibular hair cells and signal transmission in the vestibular nerve exceeds 1KHz (Bechstedt & Howard, 2007; Eatock, 2018; Hudspeth & Markin,

1994; Roberts, Howard, & Hudspeth, 1988). Such high bandwidth vestibular sense data must be ecologically important because otherwise evolution would not have continued to invest in molecular biophysical machinery capable of transducing it and delivering it to the brain. The prohibitive energy cost of firing at high rates provides strong selection pressure for mammals to distribute high bandwidth sensory signals over many neurons each firing at average rates in the order of tens of spikes per second (Balasubramanian, 2015; Sengupta & Stemmler, 2014).

The Exwald is the distribution of the sum of samples from Exponential and Wald distributions, 692 but because the shape of an Exponential beyond any point is the same as the shape of the whole 693 distribution, the Exwald is also the shape of an Exponential distribution left-censored by a Wald 694 distribution. Thus the Wald component of an Exwald distribution can be interpreted as the 695 distribution of refractory periods in a refractory-censored Poisson process. Refractory censoring 696 with a mean interval around 12.5ms keeps average firing rate below 80s<sup>-1</sup>. Censoring with 697 random rather than fixed refractory periods means that the censored samples are independent 698 random samples from the uncensored distribution. Many such channels can transmit the same 699 information in parallel at the same rate as a single neuron firing fast enough (i.e. sampling from 700 the same distribution fast enough) to transmit the signal at high bandwidth, without incurring the 701 catastrophic energy cost which that would entail. Thus the functional organization of the vestibular 702 nerve is consilient with the proposal of Sterling and Laughlin (2015) that sensory neurons evolved 703 to transmit information about molecular-scale transduction events at the mesoscale of whole 704 animals, severely constrained by the energy costs of doing this using molecular mechanisms. 705

The heterogeneity of afferent neuron firing behaviour, i.e. the fact that they sample from Exwald distributions with different parameters, can be explained by the fact that distributed signalling on parallel channels can be more efficient if different channels have different characteristics (Barlow, 1961). As a specific example, it's easier to detect larger signals in noise, and energy can be saved by using specialized channels with different sensitivities (Doi & Lewicki, 2014; van Hateren, 1992). This principle has been used to explain the statistical and

dynamic diversity of retinal ganglion cells, and might also explain statistical and dynamical
diversity among vestibular afferent neurons. The specific pattern of heterogeneity would
depend on the statistics of natural head motion, which have not been well characterized in any
species. Further investigation is required to explore and test whether the distribution of
parameters illustrated in figure 5 reflects an optimally efficient way to distribute information
about natural vestibular sense data across parallel channels, when individual channels are point
processes with Exwald interval statistics.

Under general assumptions about spiking energetics, spike trains with Generalized Inverse
Gaussian interval distributions maximize the information capacity of point-process channels
subject to an energy constraint (Berger, Levy, & Jie, 2011; Xing, Berger, Sungkar, & Levy,
2015). Wald distributions are members of this class, suggesting that selection for energy
efficiency may at least in part explain not only the massive parallelism and heterogeneity of
information transmission in the vestibular nerve, but also the statistical distribution of intervals in

726 Natural selection does not act on components independently, but on the contribution of components to fitness of the organism. Thus the cost of information transmission in a sensory 727 nerve must be weighed against the cost of processing that information in the brain. 728 Other things being equal, we might expect brains to have evolved to be Bayesian (Levy, 2006), 729 because Bayesian inference is 100% efficient in extracting information about parameters from 730 data (Zellner, 1988) and is necessary for statistically optimal decision-making and optimal 731 stochastic control (Berger, 1985). However, as critics of the "Bayesian brain" hypothesis have 732 asked, at what cost? The mathematical efficiency of Bayesian inference does not account for 733 the energy costs of Bayesian computation. Current Bayesian methods are computationally 734 intensive, and indeed Bayesian inference has only recently become feasible beyond a few 735 classical special cases as a result of massive reductions in the cost of computing (Kruschke, 736 2015). This suggests that even if were possible for neurons to extract information from sense 737

data by Bayesian inference, the very high energy cost of neural computation should have
weighed heavily against it, and should have favoured computationally cheaper heuristic rules
and approximations instead (Bowers & Davis, 2012; Domurat, Kowalczuk, Idzikowska,
Borzymowska, & Nowak-Przygodzka, 2015; Gigerenzer & Gaissmaier, 2011). Perhaps animals
ought to behave as if they are Bayesians, as they do (McNamara, Green, & Olsson, 2006;
Valone, 2006), but it is far from obvious that they should, could or actually do this by being
Bayesian.

We found that Wald distributions by themselves are poor models, but convolution of Wald 745 distributions with Exponential distributions produces Exwald distributions, which are excellent 746 models of semicircular canal afferent neuron behaviour. The Exwald has an interesting property 747 that may be relevant to Bayesian neural computation. A neuronal membrane compartment can 748 be modelled electrically as a resistor parallel to a capacitor, whose response to impulsive 749 current injection is an exponential decay function. Its response to an arbitrary current waveform 750 is the convolution of that waveform with an exponential. Therefore, as we showed above, a 751 752 membrane compartment containing a single synapse is a natural computer which can compute the likelihood of particular parameters given input pulses with Wald-like waveforms and Exwald-753 distributed intervals, instantaneously at all times. This property depends on having a series 754 Poisson component in the data-generating process, and on synapses that can be 755 parameterized so that the shape of EPSP matches the shape of the interval distribution of the 756 other component. It is not necessary for the other component to have a Wald distribution. Thus 757 while Bayesian computations have a reputation for being computationally intensive, slow and 758 energy-hungry, there may be a simple, fast, efficient way for neurons to compute posterior 759 densities of the parameters of a point process with Exwald interval distributions, given samples 760 from the process. We speculate that Exwald distributions may have been selected for 761 information transmission by vestibular afferent neurons because this optimizes energy 762

refficiency, accounting for the total cost of data transmission and Bayesian inference for optimal

764 dynamical head-state estimation in the brain.

- 765 Our goal was to construct a generative model of vestibular sense data, providing a foundation
- <sup>766</sup> for developing testable models of neural computation for Bayesian inference in the vestibular
- <sup>767</sup> system. We found that afferent spike trains are samples from stationary renewal processes with
- Exwald interval distributions. This model provides tantalizing hints about possible mechanisms of
- neural computation for Bayesian inference, and pointers for further research.

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