1	Effect of short-term prescription opioids on DNA methylation of the
2	OPRM1 promoter
3	Jose Vladimir Sandoval-Sierra ¹ , Francisco I Salgado García ¹ , Jeffrey H Brooks ² ,
4	Karen J Derefinko ¹ , Khyobeni Mozhui ^{1*}
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6	¹ Department of Preventive Medicine, College of Medicine, University of
7	Tennessee Health Science Center, Memphis, Tennessee, USA
8	² Department of Oral and Maxillofacial Surgery, College of Dentistry, University of
9	Tennessee Health Science Center, Memphis, Tennessee, USA
10	
11	*Corresponding author: kmozhui@uthsc.edu
12	

13 Abstract

14	Background. Long-term opioid use has been associated with hypermethylation of the opioid
15	receptor mu 1 (<i>OPRM1</i>) promoter. Very little is currently known about the early epigenetic
16	response to therapeutic opioids. Here we examine whether we can detect DNA methylation
17	changes associated with few days use of prescribed opioids. Genome-wide DNA methylation
18	was assayed in a cohort of 33 opioid-naïve participants who underwent standard dental surgery
19	followed by opioid self-administration. Saliva samples were collected before surgery (visit 1),
20	and at two postsurgery visits at 2.7 \pm 1.5 days (visit 2), and 39 \pm 10 days (visit 3) after the
21	discontinuation of opioid analgesics.
22	Results. The perioperative methylome underwent significant changes over the three visits that
23	was primarily due to postoperative inflammatory response and cell heterogeneity. To
24	specifically examine the effect of opioids, we started with a candidate gene approach and
25	evaluated 10 CpGs located in the OPRM1 promoter. There was significant cross-sectional
26	variability in opioid use, and for participants who self-administered the prescribed drugs, the
27	total dosage ranged from 5–210 morphine milligram equivalent (MME). Participants were
28	categorized by cumulative dosage into three groups: <25 MME, 25–90 MME, ≥90 MME. Using
29	mixed effects modeling, 4 CpGs had significant positive associations with opioid dose at 2-tailed
30	p-value < 0.05, and overall, 9 of the 10 <i>OPRM1</i> promoter CpGs showed the predicted higher
31	methylation in the higher dose groups relative to the lowest dose group. After adjustment for
32	age, cellular heterogeneity, and past tobacco use, the promoter mean methylation also had
33	positive associations with cumulative MME (regression coefficient = 0.0002, 1-tailed p-value =
34	0.02), and duration of opioid use (regression coefficient = 0.003, 1-tailed p-value = 0.001), but

- 35 this effect was significant only for visit 3. A preliminary epigenome-wide association study
- 36 identified a significant CpG in the promoter of the RAS-related signaling gene, RASL10A, that
- 37 may be predictive of opioid dosage.
- 38 **Conclusion.** The present study provides evidence that the hypermethylation of the *OPRM1*
- 39 promoter is in response to opioid use, and that epigenetic differences in *OPRM1* and other sites
- 40 are associated with short-term use of therapeutic opioids.
- 41
- 42 Key words: prescription opioids, DNA methylation, addiction, opioid use disorder,
- 43 epigenetics

44 Background

45	Prescription opioids were once considered as a relatively benign treatment for pain
46	management [1, 2]. However, over the past decade, prescribed analgesics have emerged as a
47	major socio-environmental factor that has contributed to the opioid epidemic [3, 4]. For many
48	individuals who develop opioid use disorder (OUD), the initiation phase may begin with
49	treatment for acute pain or minor surgery, with primary care physicians and dentists
50	accounting for a large fraction of prescribed opioids [5-11]. Even short-term use (e.g., up to
51	three days) is a risk factor for some individuals, and the risk for addiction increases
52	proportionally with dosage and duration of use [8, 9, 12-15].
53	Drug addiction is a chronic disease that is triggered by an exposure to an environmental agent.
54	Following the initial exposure, the addictive substance continues to have a persistent effect,
55	and this suggests a form of cellular memory. There is strong evidence that epigenetic processes,
56	including DNA methylation, play a key role in maintaining the long-term effects of the additive
57	substance [16, 17]. Studies particularly in model organisms have shown that drugs of abuse
58	trigger intracellular signaling cascades that alter gene transcription; repeated exposure to the
59	drug then results in remodeling of the epigenome that persists over time; and these epigenetic
60	processes maintain the long-term changes in steady-state gene expression that underlie
61	addiction [16, 18-20]. Work in humans generally relies on postmortem tissue from long-term
62	drug users, and studies have found significant epigenetic differences in brains of former addicts
63	compared to non-addicts [21, 22]. While the brain is the most relevant tissue in terms of
64	neuroadaptation and drug seeking behavior, epigenetic markers of addiction have also been
65	detected in peripheral tissues such as blood and sperm [23-28]. Easily accessible peripheral

tissues are clearly the practical choice when it comes to defining biomarkers of drug use and/or
predictors of individual risk for addiction.

68 The μ -opioid receptor gene (*OPRM1*) encodes the primary target for both endogenous and 69 exogeneous opioids and plays a central role in mediating the rewarding and therapeutic effects. 70 The CpG island located in the promoter of this gene is a potential sensor for drug use, and 71 multiple studies in leukocytes and sperm have found higher DNA methylation among long-term 72 opioid users compared to control samples [23, 29-33]. Hypermethylation of the promoter 73 region has also been found among people with alcohol dependence [34]. However, as all these 74 studies are cross-sectional comparisons between opioid-exposed individuals and controls, there 75 is no definite way to discern whether the epigenetic differences are the cause, or effect, of drug 76 use. Since genetic variants both within, and near the OPRM1 gene have also been associated 77 with susceptibility to addiction and drug sensitivity[35-37], it is plausible that such epigenetic 78 markers represent genetic effects that preceded drug use. Another lingering question is, if the 79 epigenetic changes are induced by drug use, does the hypermethylation of the promoter CpGs 80 occur only after repeated and sustained exposure, or are these indicators of the early 81 epigenomic, and potentially transcriptomic, responses to drugs? In the case of potent drugs 82 such as opioids, the initial exposure is a crucial phase in the pathway to drug dependence and 83 addiction, and it is reasonable to expect that some of the modification to the epigenome occurs 84 within the first few exposures.

To address these questions, we applied a longitudinal design and collected saliva samples and self-reports of opioid use from a group of opioid naïve dental patients before oral surgery, and at two follow-up visits after surgery. We assayed genome-wide DNA methylation and explored

88 (1) the methylome during the perioperative period. (2) how demographic variables such as age 89 and race/ethnicity relate to methylome changes and immune response, and (3) whether we can 90 discern opioid associated CpGs from the highly heterogeneous methylome data. As the site of 91 surgery and postsurgery inflammatory response, the saliva presents particular challenges due 92 to immune-related cellular heterogeneity. To overcome this, we applied *in-silico* approaches to 93 deconvolute the underlying cellular heterogeneity and demonstrate the utility of the 94 methylome-based cell estimates as proxies for the immune changes induced by surgery. For the 95 effect of opioids, we specifically focused on the OPRM1 promoter CpGs and evaluated whether 96 the data replicates the CpG hypermethylation. Overall our results show a dose-dependent 97 increase in methylation at the OPRM1 promoter that can be discerned despite extensive 98 heterogeneity in the methylome data, and this indicates that the epigenetic response to opioids 99 occurs within the first few days to weeks following drug exposure. Additionally, we also 100 performed an epigenome-wide association study (EWAS), and this identified a few CpGs that 101 may be predictive of opioid dosing.

102 **Results**

The number of enrolled participants (N = 41) and timeline of sample collection are shown in Fig. 1. Only 33 patients (19 females) received prescription opioids after an oral procedure. The baseline characteristics, other diagnosed diseases, casual use of other drugs (specifically tobacco and marijuana; no participant reported use of cocaine, psychedelics, and other hard drugs), and prescription opioid self-administration are reported only for these 33 participants (Table 1). Following the pre-surgery visit (visit 1 or v1), the second visit (visit 2 or v2) occurred after surgery and within a week of the last opioid dose (average number of days between last

110	opioid dose and visit 2 was 2.7 \pm 1.5 days). The last sample collection (visit 3 or v3) occurred
111	between 32–88 days from surgery, and the number of days between the last opioid dose and
112	visit 3 was 39 ± 10 days. In total, 26 participants provided saliva samples at all three visits, 6
113	participants provided saliva at two visits, and one provided saliva only at v1 (Table 1). The mean
114	age was 33.61 ± 13.84 years and ranged from 19 to 61 years (Table 1). Based on self-reported
115	race/ethnicity, there were 13 Caucasians (mean age = 31.69 ± 14.11 years), 13 African
116	Americans (mean age = 39.92 ± 13.91), and the remaining 7 were of "other" racial/ethnic group
117	(mostly Hispanic/Latino; mean age = 25.43 ± 8.02). The African American group was slightly
118	older but there was no statistically significant difference in age between the groups (p-value =
119	0.06). Sex distribution was not significantly different between the race/ethnic groups. Individual
120	level information, including comorbidities, is provided as Additional file 1: Table S1.
121	Postoperative opioid dosing data was based on self-reported pill counts converted to morphine
121 122	Postoperative opioid dosing data was based on self-reported pill counts converted to morphine milligram equivalent (MME). With the exception of one individual who used no opioids (and we
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122 123 124	milligram equivalent (MME). With the exception of one individual who used no opioids (and we considered this individual to represent a dose of 0 MME with 0 days of use), all patients started opioid treatment generally within 24 hours of surgery, and continued use for an average of $6 \pm$
122 123 124 125	milligram equivalent (MME). With the exception of one individual who used no opioids (and we considered this individual to represent a dose of 0 MME with 0 days of use), all patients started opioid treatment generally within 24 hours of surgery, and continued use for an average of 6 ± 4 days for up to 17 days (Additional file 1: Table S1). As expected, cumulative dosage correlated
122 123 124 125 126	milligram equivalent (MME). With the exception of one individual who used no opioids (and we considered this individual to represent a dose of 0 MME with 0 days of use), all patients started opioid treatment generally within 24 hours of surgery, and continued use for an average of 6 ± 4 days for up to 17 days (Additional file 1: Table S1). As expected, cumulative dosage correlated with length of use (r = 0.67, p-value < 0.0001). For the 32 participants that self-administered
122 123 124 125 126 127	milligram equivalent (MME). With the exception of one individual who used no opioids (and we considered this individual to represent a dose of 0 MME with 0 days of use), all patients started opioid treatment generally within 24 hours of surgery, and continued use for an average of 6 ± 4 days for up to 17 days (Additional file 1: Table S1). As expected, cumulative dosage correlated with length of use (r = 0.67, p-value < 0.0001). For the 32 participants that self-administered opioids, the total cumulative dosage over the course of treatment ranged from 5–210 MME.
122 123 124 125 126 127 128	milligram equivalent (MME). With the exception of one individual who used no opioids (and we considered this individual to represent a dose of 0 MME with 0 days of use), all patients started opioid treatment generally within 24 hours of surgery, and continued use for an average of 6 ± 4 days for up to 17 days (Additional file 1: Table S1). As expected, cumulative dosage correlated with length of use (r = 0.67, p-value < 0.0001). For the 32 participants that self-administered opioids, the total cumulative dosage over the course of treatment ranged from 5–210 MME. Based on the quantile distribution of the cumulative MME, participants were classified into

- 132 reported race/ethnicity. There was no significant association between opioid dosage and the
- 133 presence or absence of other comorbidities. Dosage was also not associated with past
- 134 marijuana use. However, the group that reported using tobacco within the past 12 months had
- significantly higher self-administered opioid dosage (mean of 95.63 ± 57.78 MME among
- tobacco users, and 49.55 ± 36.19 MME among non-users; p-value = 0.008).

137 Table 1. Participant characteristics and postoperative opioid use

Variables ^a	
Sex	
Female	19
Male	14
Age (years)	33.61 ± 13.84
Self-reported race/ethnicity	
African-American	13
Caucasian	13
Other ^b	7
Tobacco in past 12 months ^c	
Yes	11
Νο	22
Marijuana in past 12 months ^c	
Yes	10
No	23
Other disease diagnosis ⁶	
Yes	17
Νο	16
Prescribed opioid medication	
Hydrocodone 5mg	19
Oxycodone 5mg	12
Oxycodone 10mg	1
Oxycodone 5mg and Codeine 30mg	1
Length of opioid use in days (mean \pm sd)	6 ± 4
MME^{d} (mean ± sd)	64.91 ± 48.88
<25 MME (<q1)< td=""><td>10</td></q1)<>	10
25–90 MME (Q1–Q3)	13
≥90 MME (≥Q3)	10
Surgery to visit 2 in days (mean \pm sd)	8.0 ± 4.1
Surgery to visit 3 in days (mean \pm sd)	43.9 ± 10.9
Number of completed visits	
Three visits (v1, v2, and v3)	26 participant

Two visits (v1 and v2)	5 participants ^e
Two visits (v2 and v3)	1 participant
Only v1	1 participant

138 [°]	¹ Mean and standard deviation	(sd)	or continuous variables and counts for c	ategorical variables

^b Other= Hispanic/Latino, Asian, Middle-eastern, and Native American

140 ^c Self-reported data on other drug use and diagnosis of other diseases (diseases listed in Additional file 1: Table S1)

^d Opioid dose converted to morphine milligram equivalent (MME) according to medication type; Q1 is the first

- 142 quartile (25%) and Q3 is the third quartile (75%)
- ^e Methylome data for one participant with v1 and v2 samples were excluded during the methylome data check
 (see methods)
- 145

146 **Global shift in postoperative methylome**

147 For an overview of the methylome and the variance structure, we started with a principal

148 component analysis (PCA) using the full set of high quality probes (736,432 probes passed QC

149 criteria). The top PC (PC1) captured a vast portion of the variance at 63.5%, and following that,

150 PC2 and PC3 captured only 2.5% and 1.6% of variance, respectively (PCs for each methylome

151 data in Additional file 1: Table S2). PC1 was not significantly associated with the demographic

152 variables (sex, age, self-reported race/ethnicity), or with comorbidities and past use of

153 marijuana or tobacco. Instead, visit was the most significant explanatory variable for PC1 (F_{2,86}=

154 5.94, p-value = 0.004), and the pattern indicated a significant change in the methylome with the

strongest contrast between v3 and v2 (Tukey-Kramer *post hoc* p-value = 0.003) (Fig. 2a). To

156 deduce whether the longitudinal variance capture by PC1 could be explained by the length of

157 time from surgery or opioid self-administration, we performed bivariate analyses between PC1

and the following variables: opioid dose, days from surgery to sample collection, and days from

159 last opioid self-administration to sample collection. This analysis was done for the three visits

separately, and at v2, PC1 had a modest but significant correlation with days from surgery to v2

161 (r = 0.40, p-value = 0.03, n = 31 participants with methylome data at v2; Fig. 2b). Similarly, at v3,

PC1 was correlated with days from surgery to v3 (r = 0.41, p-value = 0.04, n = 27 participants
with methylome data at v3). PC1 was not correlated with opioid dose or the number of days
from the last opioid use. From this, we can infer that the longitudinal shift in the methylome is
primarily due to surgery.

166 To profile the CpGs that changed longitudinally over the three visits we performed a mixed 167 effects ANOVA with visit as a fixed variable and the person ID as random effect (Fig. 2c). The p-168 values for visit showed a significant deviation from the null hypothesis (Fig. 2d histogram). 169 However, only 2 intergenic CpGs (cg05639411 and cg24904009) were above the genome-wide 170 significant threshold of 5.0e-8 (Fig. 2c) and overall, the pattern indicated a modest shift in the methylome across several CpGs. At a genome-wide suggestive threshold of p-value = 1.5e-5, 171 172 there were 1701 CpGs that underwent change over the visits (Additional file 1: Table S3). The 173 majority of these CpGs (>65%) decreased in methylation between v1 and v2, and regained 174 methylation by v3 such that these sites showed significantly higher levels of methylation at v3 compared to both v1 and v2 (Fig. 2e). Similarly, for the ~35% of CpGs that gained methylation 175 176 between v1 and v2, these sites generally declined in methylation by v3 resulting in significantly 177 lower methylation compared to both v1 and v2 (Fig. 2e). Gene set enrichment analysis (GSEA) 178 of the 1133 annotated genes represented by the CpGs conveyed mostly an innate immune 179 inflammatory response (Additional file 1: Table S4). The most overrepresented pathway was 180 natural killer cell mediated cytotoxicity (KEGG ID hsa04650; normalized enrichment score = -181 1.93, FDR = 0.03), and the most overrepresented function was for genes involved in cellular 182 defense response (GO ID 0006968; normalized enrichment score = -1.83 p = 0.001, FDR = 0.3), 183 and these immunity-related categories were enriched among the CpGs that decreased in

methylation at v2. The opioid receptors were not represented in the list of visit associated
CpGs. Based on these observations, a possible explanation for the shift in the methylome is that
it is the result of surgery-induced immune response and changes in the oral cell composition.
Opioid use, if it had an impact, is likely to exert a weaker signal, and given the limited sample
size, more suitable for a focused candidate gene study.

189 **Deconvolution of cellular heterogeneity**

190 To decompose cell types from the composite DNA methylation signal, we applied a reference-191 free approach [38]. The bootstrapping method described in Houseman et al. [38] determined K 192 = 4 cell types (Additional file 1: Table S2). Cell 1, which represented the most abundant cell 193 type, showed an increase at v2 right after surgery followed by a decline by v3 (Table 2). Aside 194 from cell 1, no other cell showed significant change over the visits (Table 2). To deduce what 195 cell types are represented by the 4 groups, we also estimated blood leukocyte proportions 196 (mainly lymphocytes and granulocytes/neutrophils) using a reference-based approach [39], and compared correlations between the 4 cell types to the reference-based cell estimates (Table 2; 197 198 Additional file 1: Table S2). Cell 1 had a strong positive correlation with granulocytes, and cell 4 199 had a strong positive correlation with lymphocytes indicating that cells 1 and 4 are chiefly 200 representative of the leukocyte population in saliva, and serves as a proxy for the increase in 201 granulocyte proportions after surgery. Cells 2 and 3 had only modest correlations with 202 leukocyte estimates (|r| of 0.4–0.5) and may be more representative of the epithelial cells. 203 The cell estimates were not associated with opioid dose. To evaluate if the baseline 204 characteristics were related to cellular composition, we tested associations with age, sex, and

205	race/ethnicity. Cell 1 had a significant negative correlation with age (r = -0.48, p-value = 0.006)
206	only at v2 that suggests an age-dependent immune response in the days immediately after
207	surgery (Fig. 3a). Cell 3 had the strongest association with age at all three visits (Fig. 3b). Cells 2
208	and 3 showed extensive cross-sectional variability without longitudinal change, and both were
209	significantly associated with race/ethnicity at all three visits, indicating that these could serve as
210	proxies for the cellular composition differences between populations (Fig. 3c, 3d). Cell 4 was
211	not associated with any of the baseline variables, and sex was not a factor for any of the cell

212 types.

213 Table 2. Reference-free and reference-based estimates of cellular proportions

	Ce	ell proportions b	± SD) Pearson r with referen based estimates			
Cell types	Visit 1	Visit 2	Visit 3	Visit p-val	Lymphocytes	Granulocytes
		Reference-fr	ee estimates			
Cell 1	0.60 ± 0.28	0.74 ± 0.23	0.47 ± 0.32	F _{2,86} = 6.6, 0.002	-0.96	0.95
Cell 2	0.13 ± 0.13	0.10 ± 0.10	0.17 ± 0.15	ns	0.51	-0.47
Cell 3	0.21 ± 0.20	0.12 ± 0.18	0.22 ± 0.19	ns	0.43	-0.40
Cell 4	0.07 ± 0.18 0.03 ± 0.08 $0.14 \pm 0.$		0.14 ± 0.23	F _{2,86} = 2.7, 0.07	0.77	-0.81
		Reference-ba				
Granulocytes	0.71 ± 0.14	0.77 ± 0.10	0.64 ± 0.18	F _{2,86} = 6.1, 0.003		
Lymphocytes	0.27 ± 0.12	0.21 ± 0.09	0.33 ± 0.15	F _{2,86} = 6.6, 0.002		

214

215 Effect of opioid dose on *OPRM1* promoter methylation

To examine if higher opioid dose is related to higher promoter methylation, we started with a candidate gene approach and focused on the CpGs located in the *OPRM1* promoter. In total, 10 promoter CpGs were targeted by the Illumina probes and these encompassed the CpG island described by Nielsen et al. and replicated by Chorbov et al. [29, 30] (Fig. 4a; Table 3; individual

220 level β -values in Additional file 1: Table S2). We first applied a mixed regression model with 221 opioid dosage group and visit as fixed categorical variables, and each participant ID as random 222 intercept. With the exception of the last CpG, the regression estimates for all the OPRM1 223 promoter CpGs were positive, with higher methylation levels for the two higher dosage groups 224 (i.e., 25-90 MME and \geq 90 MME) relative to the lowest dosage group (<25 MME) (Table 3). At a 225 nominal p-value of 0.05, 4 CpGs were significantly associated with opioid dosage groups. The 226 ANOVA plots for these CpGs showed that the difference between dosage groups was 227 pronounced at v3 (for CpG1, CpG2, CpG6) and v2 (for CpG7) but not at v1 (Fig. 4a). As tobacco 228 use was associated with higher self-administered dosage of opioids, we considered it as a 229 potential contributing factor. However, including past tobacco use in the regression model did 230 not alter the results, and this indicated that the higher methylation at the OPRM1 CpGs is a 231 specific effect of opioids.

232 To check whether the association with opioid dosage can be robustly detected, we summarized 233 the overall methylation pattern in the promoter by taking the mean DNA methylation β -values 234 for the nine CpGs that were positively associated with opioid dosage (CpG1 to CpG9). We 235 applied a linear regression model and tested whether higher mean methylation was associated 236 with either higher MME or longer length of opioid use. This analysis was done for the three 237 visits separately and adjusted for age, tobacco use, and cellular heterogeneity. Both MME and 238 length of opioid use were associated with higher mean methylation, but this effect was 239 significant only at v3, further indicating that the hypermethylation of the OPRM1 receptor is 240 more likely a response rather than a predisposing factor (Fig. 4b; Table 4). Our results are 241 consistent with the opioid associated hypermethylation and indicates that even a relatively

- short-term opioid use may induce an increase in methylation that is proportional to dosage at
- the *OPRM1* promoter.

244

245 Table 3. Dose dependent methylation of individual *OPRM1* promoter CpGs

		<25 MME vs. 25–90 MME ¹		<25 MME vs. ≥90 MME ¹		Dosage anova ²	
CpG	ProbeID	Coef	t-val	Coef	t-val	F _{2,29}	р
CpG1	cg22370006	0.041	2.66	0.023	1.39	3.53	0.04
CpG2	cg14262937	0.051	2.77	0.014	0.69	4.20	0.02
CpG3	cg06649410	0.047	1.65	0.010	0.31	1.56	0.23
CpG4	cg23143142	0.018	1.56	0.000	-0.04	1.71	0.20
CpG5	cg23706388	0.010	0.76	0.006	0.38	0.29	0.75
CpG6	cg05215925	0.019	2.73	0.012	1.59	3.74	0.04
CpG7	cg14348757	0.042	2.78	0.019	1.16	3.92	0.03
CpG8	cg12838303	0.026	2.08	0.022	1.64	2.38	0.11
CpG9	cg22719623	0.006	0.52	0.004	0.33	0.14	0.87
CpG10	cg15085086	-0.029	-0.94	-0.040	-1.19	0.78	0.47

¹Regression estimates for higher dose groups (25–90 MME and ≥90 MME) relative to lowest dose group (<25

247 MME) based on linear mixed effects model: $Imer(CpG \sim dose + visit + (1|ID))$

248 ²Two-tailed p-values for the main effect of dosage groups

249

250 Table 4. Mean methylation in the *OPRM1* promoter and association with opioid dose and

251 days of use

	MME dosage effect Visit 3 ²			Days of opioid use effect Visit 3		
	Coef	t-val	р (1- tailed)	Coef	t-val	p (1-tailed)
Promoter methylation ¹	0.0002	2.16	0.02	0.003	3.40	0.001

 $^{1}OPRM1$ promoter methylation summarize by averaging the β -values for CpG1 to CpG9

²Linear regression at visit 3, one-tailed p-value to test hypermethylation with higher cumulative MME or longer
 duration of use

255 **Preliminary epigenome-wide association study for opioid dose**

256 Since the candidate gene approach indicated that the short-term use of prescribed opioids can

257 have an impact on CpG methylation, we expanded the analysis to an EWAS using the same

258 mixed model to test association with opioid dosage. A CpG (cg08105965) located in the

259 promoter CpG island of the GTPase signaling gene, RASL10A (RAS like family 10 member A), was 260 genome-wide significant (p-value of 5.0e-8; Fig. 5a). Unlike the pattern for the OPRM1 261 promoter, the lowest dose group had significantly lower methylation level at both visits 1 and 262 3, indicating that the difference preceded opioid use (Fig. 5b). In addition to the RASL10A 263 promoter CpG, 5 other CpG sites were associated with opioid dosage at the suggestive 264 threshold (p-value of 1.0e-5; Fig. 5c-g). For most of these, the methylation differences were 265 apparent at v1 and preceded opioid use. For these top CpGs, adjusting for past tobacco use did 266 not alter the results, and none of these sites were significantly associated with tobacco use. To 267 explore if any of the CpGs that were above the suggestive threshold have been previously 268 implicated in opioid use or dependence, we referred to recent human EWAS for opioid 269 dependence [24], and methadone treatment dosage [28]. Based on comparison of probe IDs 270 and genes, none of the CpGs we report here have been previously linked to opioid related 271 traits.

While this is preliminary results from a small study cohort and is yet to be replicated, we provide the list of 64 CpGs that were associated with opioid dosage at a nominal uncorrected pvalue of 1.0e-4, along with the gene ontology IDs and KEGG pathways for the corresponding genes in Additional file 1: Table S5.

276 **Discussion**

Here we report results from a longitudinal study of DNA methylation in a cohort of opioid naïve dental patients who received prescription opioids following oral surgery. To summarize the main result, we found increased methylation at the *OPRM1* promoter associated with higher

cumulative opioid dose. This replicates the hypermethylated profile among long-term opioid
users and alcohol dependent individuals [23, 29-34]. The pattern of methylation we observed
indicates that the increase in methylation is more likely the response to, rather than the cause
of, opioid use [20]. The present study provides evidence that such epigenetic modifications are
induced within the early days of drug use and may represent early epigenomic responses to an
addictive substance.

286 A peculiar challenge we faced was that the site of sample collection was also the site of surgery. 287 Saliva has a highly heterogeneous cellular makeup and is estimated to constitute about ~45% 288 epithelial cells, and about ~55% leukocytes from circulating blood [40]. The main goal of the 289 study was to detect the effect of short-term and comparatively low-dose opioids, while 290 accounting for the larger perturbation caused by surgery. Although we do not have details on 291 the severity of the oral surgery, most were third molar extractions and were relatively minor 292 and non-invasive. Nonetheless, the patients would have experienced an injury-induced 293 inflammatory response that can result in changes in numbers of circulating immune cells [41], 294 and consequently, changes in oral cell composition. As DNA methylation is highly cell-type 295 specific, the heterogeneity in cells will be a major source of "noise" in the methylome data [39, 296 42-44]. The longitudinal variability in DNA methylation that was captured by the top PC can 297 therefore be attributed to cell composition rather than opioid use. We could deduce this by the 298 significant correlation between PC1 and the number of days from surgery to the follow-up 299 visits. We were able to partly resolve the cell heterogeneity by applying reference-free and 300 reference-based estimates of cell proportions. The reference-free method estimated four major 301 cell types. Although saliva is highly heterogeneous, and certainly has more than just 4 types of

302 cells [40], the classification into 4 broad groups likely reflects the limitation in the *in-silico* 303 approach to resolve finer differences between cellular subtypes. Cell 1 most likely represented 304 the granulocyte population (chiefly neutrophils), which constitutes the most abundant 305 leukocyte subtype in circulating blood, and is responsible for innate immunity and acute 306 inflammatory response. Consistent with the known increase in granulocyte-to-lymphocyte ratio 307 in the few days following surgery [45], we also found an increase in cell 1 and in relative 308 abundance of granulocytes compared to lymphocytes at visit 2. This was followed by a 309 compensatory decrease in granulocyte proportions by visit 3. Cell 2 and cell 3 are presumed to 310 represent a portion of the epithelial cell population, and these showed no significant within-311 individual changes over the visits. However, these cells exhibited significant association with 312 age and self-reported race/ethnicity. Although cell type decomposition was not the primary 313 objective of the study, our analyses demonstrated that the saliva methylome can be highly 314 informative of individual differences in perioperative immune profiles. 315 For the effect of postsurgical opioid use, we first focused on the *OPRM1* promoter region as an 316 epigenetic sensor of opioid dose. The CpG-rich promoter harbors a CpG island and several 317 studies in different populations have demonstrated higher DNA methylation at this site among 318 opioid users and methadone-maintained heroin addicts [23, 29-33]. The increased methylation 319 of the OPRM1 promoter is not only limited to OUD but has also been detected among 320 individuals with alcohol dependence, suggesting that the hypermethylation is generally 321 associated with substance use disorder and addiction [34]. A guestion has been whether such 322 epigenetic differences are the result of drug use or the cause of increased vulnerability to 323 addiction [20]. To address this, we interrogated 10 CpGs in a 550 bp region that encompassed

324 the promoter CpG island investigated by Nielson et al. and Chorbov et al. (the CpG island is 325 depicted in Fig. 4) [29, 30]. With the exception of the last CpG, the remaining 9 CpGs showed 326 higher methylation in the two higher-dose groups relative to the low-dose group, and four of 327 these CpGs were significantly associated with dosage at nominal alpha of 0.05. Comparison of 328 mean methylation differences between the dosage groups across the three visits indicated that 329 higher methylation in the higher dose groups is more apparent at the postsurgery visits, 330 particularly visit 3. The positive association between the mean promoter methylation and 331 cumulative MME, and mean promoter methylation and days of opioid use, were also significant 332 only at visit 3. The heightened inflammatory state at visit 2, which occurred within a few days of 333 surgery, may have been the reason why the more subtle effect of opioids was not significant at 334 visit 2, and the positive association emerged only at visit 3. 335 The *OPRM1* locus presents a prime site for gene x environment interaction, a critical aspect of 336 addiction since the addictive substance is an environmental agent that has a long-lasting 337 biological effect. The OPRM1 gene has been the subject of several candidate gene studies for 338 addiction. Much attention has been paid to the missense SNP that alters the OPRM1 protein 339 function, although its impact on addiction traits and OUD is somewhat ambiguous [46, 47]. 340 Several studies have also identified non-coding variants in the OPRM1 locus that alters DNA 341 methylation and gene expression [33, 35, 48]. At least one genome-wide association study has 342 also identified a genome-wide significant association between a SNP upstream of OPRM1 and 343 methadone-maintenance dosing [37]. These studies collectively provide evidence that common 344 genetic variants in the proximal region of *OPRM1* affect DNA methylation and gene expression, 345 and could have a downstream impact on opioid response that could potentially influence

vulnerability to addiction. Our present work was carried out in a small sample size and our
primary goal was to track the within-individual trajectory across visits. If there were genetically
modulated small cross-sectional differences at baseline, this sample size would be
underpowered to detect the differences, and the significant association with opioid dose that
we found may have been the result of opioid-induced augmentation of differential methylation
at the postoperative visits.

352 The hypermethylation of the OPRM1 promoter is likely only a small part of a larger network of 353 genes involved in the cellular response to drug exposure. We therefore followed up with a 354 preliminary EWAS exploration to identify other CpGs that may be associated with opioid self-355 administration. To our surprise, despite the small sample size, one CpG, located in the promoter 356 region of RASL10A, a Ras-related GTPase signaling gene, was genome-wide significant. Perhaps 357 this is due to the power of the longitudinal design in capturing differentially methylated sites 358 that are significantly different between dosage groups at more than one visit. For instance, the 359 differential methylation of cg08105965 at *RASL10A* is apparent at both visits 1 and 3. Although 360 RASL10A has not been previously implicated in opioid response or addiction, it is notable that 361 the OPRM1 protein is a G-protein coupled receptor, and its activation results in cellular 362 signaling cascades that also involve Ras GTPase activity [49-51]. In addition to the RASL10A CpG, 363 five other CpGs were at or above the suggestive threshold, including sites located in AFF1, 364 VSNL1, ANXA2, and PAIP2. To our knowledge, DNA methylation at these genes have not been 365 previously linked to opioid use or dependence. However, one recent study of gene expression 366 in the rat model has shown an upregulation of RASL10A and VSNL1 in the brain following acute 367 morphine treatment [52]. Similar to RASL10A, VSNL1 (visinin-like 1) also codes for an

intracellular signaling molecule with high expression in the brain [53]. The list of CpGs that were
associated with opioid dosage at a nominal uncorrected p-value < 0.0001 included few other
cellular signaling genes (e.g., ANXA2, RET, ADRB1). Taken together, the EWAS results hint that
epigenetic modulation of genes involved in intracellular signal transduction may play a role
during the early phase of opioid use.

373 We must emphasize that the small sample size and the heterogeneity in methylome signal. 374 partly due to cell composition and partly due to the heterogenous population group, are major 375 limitations, and the EWAS results await replication in an independent cohort. The CpGs 376 identified by the present EWAS were differentially methylated even at v1, prior to opioid use. 377 and this suggests that there may be genetic variants underlying these epigenetic differences. 378 However, such potential effects of genetic variation is not addressed in the present study due 379 to the lack of genotype data. Another weakness that we should note is that the main variable of 380 interest, therapeutic opioid dosing, was based on patient self-reports rather than objective 381 measures of drug use [54]. A future strategy would be to use existing technologies such as 382 wearable devices that can provide additional means of tracking the physiological responses to 383 opioids [55]. The present study also does not address whether these epigenetic changes linger 384 or diminish over time in the absence of continued drug use. A more comprehensive longitudinal 385 epigenomic study of the early effects of prescription opioids that also integrates genetic effects, 386 and with a longer follow-up period would be the next phase of study.

Regarding the potential for epigenetic persistence, we must point out that any peripheral tissue serves only as a proxy for the possible epigenetic changes in the brain. A distinction is that blood and epithelium are mitotically active tissues and cells are renewed within a few days to a

few weeks, with the exception of long-lived memory T-cells. For methylation signals to persist,

- it will require either continued presence of the perturbation (i.e., continued exposure to
- opioids), or methylation changes in mitotically active stem cells that can be faithfully
- transmitted to daughter cells. The brain, on the other hand, is mitotically inactive and consists
- of mostly terminally differentiated cells that last a lifetime. If the relatively modest dose and
- 395 short-term use of prescription opioids has a similar impact in brain cells, the effects may not
- readily decay and may be long lasting in the central nervous system.

397 Conclusion

In conclusion, our study replicates the hypermethylation of the *OPRM1* promoter with opioid use. Previous studies reported on the effects of chronic opioid use; here we provide evidence that the epigenetic restructuring begins within the initial stage of opioid exposure. The present findings on the acute effects of prescription opioids, as well as the CpGs that may be predictive of opioid dosing, require further replication with a well-powered and more comprehensive study in a larger cohort.

404 Methods

405 Participants

Eligible participants were scheduled for tooth extractions, mostly third molar extractions, at an oral and maxillofacial surgery clinic that were typically followed by postoperative prescriptions of hydrocodone/acetaminophen (7.5/325 mg q4-6h prn pain) or oxycodone/acetaminophen (5mg/325mg q6h prn pain). For inclusion in the study, individuals were required to be 18 years of age or older, opioid naïve, able to consent, able to understand and speak English, and willing

411 to provide saliva samples. Individuals were excluded if they reported previous use of opioids, 412 had current substance use dependence, were pregnant, were incarcerated, had other causes of 413 pain, were unable to consent, or had a developmental disability that prevented participation. 414 The study received approval by the university Institutional Review Board. Eligible participants 415 were provided a summary of the consent form by the study coordinator and allowed to read 416 and ask questions before enrollment. All participants provided written informed consent. 417 Forty-one individuals consented to the study and provided contact information and responded 418 to a demographic questionnaire. The enrolled participants also provided information on 419 existing diagnosed diseases, and were assessed for casual substance use within the past 12 420 months (tobacco, marijuana, cocaine, psychedelics, other hard drugs; details in Additional file 1: 421 Table S1). The clinical staff provided routine opioid medication and recovery instructions for all 422 participants right after surgery. The opioids prescribed to participants were Hydrocodone, 423 Oxycodone, and Codeine in doses that varied between 5mg to 30mg (Table 1). The study 424 coordinator also provided opioid medication logs to participants to record self-administration 425 including the date, time, individual dose per opioid pill, and number of opioid pills taken. For 426 the 33 participants who received opioid medication, only one participant (person ID 142) 427 reported no opioid usage.

428 Sample processing and DNA methylation assay

Saliva was collected using the Oragene DNA sample collection kit (OGR 500) by DNA Genotek
(http://www.dnagenotek.com). The first set of samples was collected before surgery. The
second saliva sample was collected a few days after opioid discontinuation, and the third was
collected on a follow-up visit (Fig. 1; Table 1). DNA was purified using the DNA Genotek PrepIT

L2P kit according to manufacturer's instruction. Genome-wide DNA methylation was assayed 433 434 on the Illumina Infinium Human MethylationEPIC BeadChips following the manufacturer's 435 standard protocol at the HudsonAlpha Genomic Services Lab (https://gsl.hudsonalpha.org). 436 Data processing 437 Raw intensity IDAT files were loaded to R and all quality checks, data preprocessing, and 438 normalization were carried out using the R package, minfi (v.1.31) [56]. Methylation levels were 439 estimated as β -values (ratio of methylated by unmethylated probes) and quantile normalized. 440 The initial QC involved comparison between the log median intensities of methylated and

441 unmethylated channels, and the density plots for β -values (Additional file 2: Fig. S1a). All

samples passed these checks. Sex estimated from the DNA methylation data also matched the

self-reported sex. To retain only high-quality data, probes with detection p-value > 0.01 (14,676

444 probes) were excluded. Probes that target CpGs on the sex chromosomes were also removed

445 (18,605 probes). Finally, a total of 96,146 probes that overlapped annotated SNPs and/or were

flagged for poor mapping quality (MASK.general list from [57]) were also filtered out. A total of

447 736,432 high quality probes were retained and used for downstream analysis.

As further QC, we performed unsupervised hierarchical clustering using the full set of highquality probes (Additional file 2: Fig. S1b). While samples longitudinally collected from the same individual tended to cluster together, there were also several samples that did not cluster with self. To check for possible errors in sample labeling, we repeated the cluster analysis using a subset of 30,435 probes that had been filtered out due to overlap with common SNPs. While these were deemed poor-quality probes and unfit for differential methylation analysis, in terms

of sample identity check, these probes can serve as proxy genotype markers that can help verify
if samples came from the same person. Using this set, almost all samples collected from the
same participant clustered with self, and for the most part, the clusters also aligned with selfreported race/ethnicity groups (Additional file 2: Fig. S1c). Only one of the 33 participant who
received prescription opioids (person ID 108) did not pair with self and data from this person
were excluded from all downstream analysis.

460 **Estimation of cellular proportions**

461 To infer the relative proportions of the major cell types, we first implemented a reference-free 462 deconvolution of the methylome data using the R package RefFreeEWAS (v2.2) [58]. The 463 RefFreeEWAS algorithm applies a non-negative matrix factorization to decompose a matrix Y = 464 $M\Omega$, where M represents an *m x K* matrix with *m* as CpG specific methylation for an unknown number of K cell types, and Ω as the cell-type proportion constrained to sum to a value \leq 1. For 465 466 computational efficiency, the K cell types has to be first specified, and as described in 467 Houseman et al. [58], we set the K to vary from 2 to 10 cell types and decomposed the Y = $M\Omega$. 468 Following this, we applied bootstrapping to estimate the optimal K value. For this estimation, 469 we applied 10 iterations with replacement every 1000 times. The optimal K = 4 was selected 470 based on the minimum value of the average of bootstrapped deviances for each putative cell type. While this method provides the relative proportions of cell types, the identity of the four 471 472 cells are unknown. Since a significant proportion of saliva consists of leukocytes, we also 473 applied a reference-based approach to estimate the relative proportions of lymphocytes and 474 neutrophils [39, 42, 43]. To infer the putative identities of cells, we performed Pearson 475 correlations between the *K* cells and the proportions of leukocyte types.

476 Statistical analyses

477 For the global analysis, PCA was done on the full set of 736,432 probes using the prcomp 478 function in R. In order to evaluate which variable had the most significant association with PC1, 479 we examined the association between PC1 and the following variables: sex, age, self-reported 480 race/ethnicity, presence or absence of other diseases, tobacco or marijuana usage in the past 481 12 months, and opioid dose, days from surgery to sample collection, and days from last opioid dose to sample collection. We used ANOVA for categorical variables, and Pearson correlation 482 483 for continuous variables; and these tests were conducted separately for the three visits. We 484 also performed similar analyses for estimated cell proportions to examine whether the 485 variables were significantly related to the cell proportions. PC1 and the cell proportions were 486 also related to visit using ANOVA. Since visit was the most significant explanatory variable for 487 PC1, we identified the CpGs that showed longitudinal change over the three visits by applying a 488 mixed-effects ANOVA: $aov(\beta$ -value ~ visit + Error(ID/visit)). This epigenome-wide analysis was 489 done for the 26 participants with data from all 3 visits. For the set of genome-wide suggestive CpGs that changed over the visits (uncorrected p-value $\leq 10^5$), GSEA was implemented on the 490 491 WebGestalt platform (http://www.webgestalt.org) with each CpG ranked by the mean β -value 492 difference between v2 and v1.

For candidate gene analysis, we surveyed the promoter region of *OPRM1*. The CpG island that was interrogated by Nielson et al., and Chorbov et al. is located at 154360587–154360922 bp of chromosome 6 (GRCh37/hg19) [29, 30]. Within that exact coordinate, our data only had 4 CpG probes. We therefore considered a slightly wider region (550 bp) and in total, the array data contained 10 probes that targeted promoter CpGs at chr6:154360344-154360894 bp. To

498	evaluate methylation at individual CpGs, we applied a linear mixed-effects model with dosage
499	group and visit as fixed categorical variables, and person ID as random intercept: lmer(eta -value $^{\sim}$
500	dosage + visit + (1 ID)). To test if history of tobacco use could account for some of the effects,
501	we repeated the test with the model: Imer(eta -value $^{\sim}$ MME + tobacco-use + visit + (1 ID)). This
502	was done using the "Imertest" R package, and to get the p-values for the main effect of dosage
503	groups, the degrees of freedom were computed by the Satterthwaite's method [59, 60].
504	Following the CpG level analysis, we estimated the general methylation trend for the promoter
505	by averaging the eta -values for the 9 CpGs that had a positive regression coefficient with the
506	dosage groups. We then tested the association between the promoter mean methylation score,
507	and two opioid-related continuous variables: length of opioid use in days, and cumulative MME.
508	This analysis was done for the three visits separately, and adjusted for age and cellular
509	heterogeneity using the equations lm(mean- eta \sim MME + tobacco-use + age + cell1 + cell2 +
510	cell3), and lm(mean- eta ~ days-of-use + tobacco-use + age + cell1 + cell2 + cell3).
511	Following the candidate gene study, we then performed an EWAS for opioid dosage using the
512	same mixed-effect model: lmer(eta -value ~ MME + visit + (1 ID)); and for the CpGs identified by
513	the EWAS at above the genome-wide suggestive threshold, we checked for the effect of past
514	tobacco use using the model: <code>lmer(eta-value ~ MME + tobacco-use + visit + (1 ID))</code> .

515 **List of Abbreviations**

- 516 EWAS: Epigenome-wide association study
- 517 FDR: False discovery rate
- 518 GO: Gene ontology

- 519 GSEA: Gene Set Enrichment Analysis
- 520 KEGG: Kyoto encyclopedia of genes and genomes
- 521 MME: Morphine milligram equivalent
- 522 OPRM1: Opioid receptor mu 1
- 523 OUD: Opioid use disorder
- 524 PC: Principal component
- 525 PCA: Principal component analysis
- 526 QC: Quality control
- 527 SNP: Single nucleotide polymorphism
- 528 **Declarations**
- 529 **Ethics approval and consent to participate:** All participants provided written informed consent
- 530 and study received IRB approval.
- 531 **Consent for publication:** Not applicable
- 532 Availability of Data. The full de-identified raw DNA methylation data will be made available
- 533 from the NCBI NIH Gene Expression Omnibus repository upon official publication.
- 534 **Competing interests:** We have no financial or non-financial conflicts of interest.
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- 537 manuscript; FISG: contributed to data analysis; JHB: identified suitable patients and facilitated

- 538 participant recruitment at the dental clinic; KJD: contributed to study conception and design;
- 539 KM: contributed to study conception, design and data analysis, and wrote the manuscript. All
- 540 authors contributed to and approved the final version of the manuscript.

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718 Figure legends

Fig 1. Timeline of sample collection. Saliva samples were collected before surgery and the two
follow-up visits after surgery and end of opioid self-administration. The notations above the
arrows show the range of days between events.

722

723 Figure 2. Global patterns in DNA methylation across visits

724	(a) The top principal component (PC1) extracted from the methylome-wide data explained
725	63.5% of the variance, and the ANOVA plot shows significant differences between the three
726	visits (F _{2,86} = 5.94, p-value = 0.004). (b) At visit 2, PC1 is correlated with number of days from
727	surgery to the second visit (r = 0.40, p-value = 0.03, n = 31). (c) The epigenome-wide association
728	plot depicts the location of each CpG (autosomal chromosomes 1 to 22 on the x-axis) and the –
729	$-\log_{10}(p-value)$ for the effect of visit (y-axis). Genome-wide significant threshold was set at p-
730	value = 5 x 10^{-8} (upper red horizontal line); suggestive threshold was set at p-value = 10^{-5} (lower
731	blue horizontal line). (d) Distribution of p-values for the effect of visit shows a significant
732	deviation from the null hypothesis. (e) For the CpGs above the suggestive threshold,
733	comparison of mean differences between visit 1 and visit 2 (x-axis), and visit 3 and visit 2 (y-
734	axis) indicates a reversal in methylation patterns from visit 2 to visit 3, with the majority of sites
735	showing lower methylation at visit 2, and then increasing in methylation by visit 3.
736	

737 Figure 3. Estimated cell type proportions and associated variables

738 (a) Cell 1 shows both longitudinal and cross-sectional variability. Proportion of cell 1 is 739 negatively correlated with age at visit 2 (r = -0.48, p-value = 0.006, n = 31; black squares and dashed line), but not at visit 1 (r = -0.13, p-value = 0.49, n = 31; red x markers and dotted line). 740 741 and only slightly at visit 3 (r = -0.32, p-value = 0.10, n = 27; grey circles and solid line). (b) Cell 3 742 is associated with cross-sectional variability but no significant longitudinal change. The 743 estimated proportion has a strong positive correlation with age at all three visits. At visit 1, r = 744 0.36 (p-value = 0.05); visit 2, r = 0.57 (p-value = 0.0009); visit 3, r = 0.48 (p-value = 0.01). (c) Cell 745 3 also shows a significantly higher proportion in African Americans at all three visits (F_{2.28} = 746 15.66, p-value < 0.0001 at visit 1). (d) Cell 2 is also ethnicity specific and associated with lower 747 proportion in African Americans at all three visits ($F_{2,28}$ = 4.77, p-value < 0.02 at visit 1).

748

749 Figure 4. OPRM1 promoter CpG methylation

(a) The *OPRM1* promoter and the CpG island (green block) are depicted along with base pair 750 751 coordinates (black line; GRCh37/hg19), and location of the 10 CpGs (filled circles). Residual β -752 values were extracted after fitting participant ID as random intercept, and the plots show the 753 methylation patterns across the three visits for CpG1, CpG2, CpG6, and CpG7 (panels with 754 ANOVA line plots; error bars are standard error). The difference between the dosage groups 755 appears at visit 3 (for CpG1, CpG2, CpG6) and visit 2 (for CpG7). The lowest cumulative dose 756 group (<25 MME: blue dotted line) has lower average methylation compared to the two higher 757 cumulative dose groups (25–90 MME: vellow dashed line; \geq 90 MME: red solid line). (b) The 758 promoter mean methylation was taken as the average β -values for CpG1 to CpG9. After fitting a

regression model with adjustment for age and cell proportions, the leverage plots show a
significantly higher average promoter methylation (y-axes) associated with higher MME (x-axis,
left panel), and longer duration of use (x-axis; right panel). MME is morphine milligram
equivalent.

- 763 **Figure 5. Epigenome-wide test for prescription opioid dosage.**
- 764 (a) The epigenome-wide Manhattan plot depicts the location of each CpG (autosomal
- response to 22 on the x-axis) and the -log₁₀(p-value) for the effect of doage (y-axis).
- 766 Genome-wide significant threshold was set at p-value = 5×10^{-8} (upper red horizontal line);
- respective threshold was set at p-value = 10^{-5} (lower blue horizontal line).
- For the six CpG sites that were above the genome-wide suggestive threshold, residual β -values
- 769 were extracted after fitting participant ID as random intercept, and the plots show the
- 770 methylation patterns across the three visits (error bars are standard error) for (b) cg08105965
- 771 (RASL10A), (c) cg18500286 (AFF1), (d) cg04718304 (intergenic), (e) cg16719801 (VSNL1), (f)
- cg08163714 (ANXA2), and (g) cg25124965 (PAIP2). For most of these, the difference between
- the dosage groups appears at visits 1 and 3.









