

1 Methods Section- Applied and Environmental Microbiology

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4 **Optimization of subsampling, decontamination, and DNA extraction of difficult peat**
5 **and silt permafrost samples**

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16 **RUNNING TITLE**

17 Efficient methods in obtaining clean DNA from permafrost

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25 **ABSTRACT**

26 This study aims to act as a methodological guide for contamination monitoring,
27 decontamination, and DNA extraction for peaty and silty permafrost samples with low
28 biomass or difficult to extract DNA. We applied a biological tracer, either only in the
29 field or both in the field and in the lab, via either spraying or painting. Spraying in the
30 field followed by painting in the lab resulted in a uniform layer of the tracer on the core
31 sections. A combination of bleaching, washing, and scraping resulted in complete
32 removal of the tracer leaving sufficient material for DNA extraction, while other widely
33 used decontamination methods did not remove all detectable tracer. In addition, of four
34 widely used commercially available DNA extraction kits, only a modified
35 ZymoBIOMICS™ DNA Microprep kit was able to acquire PCR amplifiable DNA.
36 Permafrost chemical parameters, age, and soil texture did not have an effect on
37 decontamination efficacy; however, the permafrost type did influence DNA extraction.
38 Based on these findings, we developed recommendations for permafrost microbiologists
39 to acquire contaminant-free DNA from permafrost with low biomass.

40 **IMPORTANCE:**

41 Permafrost has the capacity to preserve microbial and non-microbial genomic material for
42 millennia; however, major challenges are associated with permafrost samples, including
43 decontamination of samples and acquiring pure DNA. Contamination of samples during
44 coring and post coring handling and processing could affect downstream analyses and
45 interpretations. Despite the use of multiple different decontamination and DNA extraction
46 methods in studies of permafrost, the efficacy of these methods is not well known. We
47 used a biological tracer to test the efficacy of previously published decontamination
48 methods, as well as a bleach-based method we devised, on two chemically and
49 structurally different permafrost core sections. Our method was the only one that
50 removed all detectable tracer. In addition, we tested multiple DNA extraction kits and
51 modified one that is able to acquire pure, PCR amplifiable DNA from silty, and to some
52 extent from peaty, permafrost samples.

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56 **Key words:** Permafrost; Subsampling and decontamination; DNA extraction; 16S rRNA
57 gene PCR; Contamination detection; Permafrost Microbiome

58 INTRODUCTION

59

60 Permafrost, i.e. Earth materials below 0°C for at least two years and up to millions of
61 years, acts as an archive of past environments and ecosystems, preserving biological
62 material as a result of its isolation from atmospheric inputs, low temperatures, and low
63 water activity (1). Ancient DNA derived from long-dead organisms is an important
64 example of such material and has been used for a variety of purposes, ranging from
65 reconstructing human migration patterns to reconstituting the genomes of extinct
66 organisms such as the woolly mammoth and North American horses (2-6). Furthermore,
67 permafrost-dwelling microbes may also play important roles in carbon cycling by
68 conversion of permafrost organic carbon to methane and carbon dioxide, both important
69 greenhouse gasses (7-10). The use of high-throughput sequencing technologies has
70 enriched our understanding of microbial communities in permafrost and ancient DNA.
71 However, these technologies require the extraction of high yields of DNA devoid of
72 contaminants (11).

73

74 Obtaining DNA devoid of contaminants from environmental samples, especially from
75 those with low biomass such as permafrost, is often challenging. Such samples are prone
76 to external contamination during drilling and collection in the field and handling in the
77 laboratory, which could lead to misinterpretation of microbial diversity, activity, or
78 ancient DNA studies (12-14). External contamination is particularly problematic in DNA-
79 based approaches due to the high sensitivity in detecting, amplifying and sequencing of
80 DNA. Several methods have been used for permafrost decontamination, such as scraping
81 the outer surface of cores, fracturing of cores followed by clean subsampling from the

82 interior of the core sections (i.e. “disk sampling”), or washing the cores with DNase (e.g.
83 (15-17); Table S1). Either scraping or disk sampling are the most commonly used
84 protocols (Table S1); however, the efficacy of these methods in removing external
85 contaminants is not well characterized (see, for example, (12)).

86

87 Ancient DNA (aDNA) and deep subsurface (both sediment and ice) microbiology studies
88 face similar challenges to permafrost DNA studies, with high potential for contamination
89 due to low endogenous cell and DNA abundance in the samples. Such studies have
90 formalized highly stringent sampling and decontamination protocols, with protocols to
91 minimize contamination and controls to monitor contamination at all stages from
92 sampling to downstream analyses (e.g. (18-24)). Similar approaches may be beneficial for
93 permafrost studies. For example, a unique tracer or combination of tracers added during
94 drilling is used to monitor contamination in deep subsurface microbiology studies (25).
95 Similar tracers have also been used in permafrost microbiology, but only rarely (e.g. (26-
96 28)). Likewise, many decontamination methods have been systematically tested for
97 ancient DNA studies of skeletal remains. Some of these methods, such as scraping (29)
98 and disk sampling (30), have been used for permafrost decontamination as well.

99 However, other methods used for aDNA studies of bone, including UV irradiation (31),
100 and treatment with household bleach (32) have not been tested on permafrost intended for
101 microbial work. Bleaching, in particular, has proven to be highly effective in removing
102 external contaminants without damaging the genomic material within the samples in both
103 ancient remains and ice cores (20, 32-34).

104

105 Another major issue in permafrost molecular studies is low DNA yield and poor quality
106 of isolated DNA due to co-extracted chemical inhibitors (35-37). Permafrost researchers
107 have utilized either commercial DNA extraction kits, most of which are based on
108 mechanical disruption followed by DNA purification, or chemical DNA extraction
109 protocols. Commercial mechanical disruption-based kits provide consistent DNA yield
110 (although yield differs significantly between kits) and similar community composition,
111 while chemical DNA extraction approaches are less consistent (38). Issues with co-
112 extraction of chemical inhibitors have led some researchers to add extra purification
113 steps. In some cases (e.g. 39-41), additional purification can lead to a loss of DNA or
114 biases in the evaluation of community structure, although observable bias is not always
115 seen (e.g. 38). To our knowledge, there have been no comparative studies assessing the
116 efficacy of commercial kits for DNA extraction of difficult permafrost samples of
117 different textures and chemistry.

118

119 In this study, we tested the efficacy of several decontamination methods on permafrost
120 with the aid of a microbial tracer. In addition, we compared DNA yield and purity for
121 four widely used commercially available soil DNA extraction kits with peaty and silty
122 permafrost samples, with and without modifications of the manufacturer's protocol. We
123 developed recommendations for permafrost researchers for sample handling and
124 processing, contamination detection and control, and DNA extraction.

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128 **MATERIALS AND METHODS**

129 **Site description and sampling strategies**

130 A 3.97 m long, 10 cm diameter continuous permafrost core (termed DHL-16) was
131 collected in May, 2016 adjacent to cores collected and presented previously (2 m lateral;
132 GPS: 65.21061N, and 138.32208W; Fig. 1) (42). Two intervals of this core were sampled
133 for this study. The first was a lower silt unit (from a depth of 254 cm to 336 cm, here
134 called DH-2) dating to the Pleistocene, between 11,650 and 15,710 cal yr BP based on
135 radiocarbon dating and age modelling (42). The second was an upper peat unit (from a
136 depth of 105 cm to 212 cm, here called DH-1) dating to the early Holocene between
137 8,190 and 10,380 cal yr BP. The organic/silt boundary was determined at 244 cm
138 (~10,400 cal yr BP), placing the DH_2 core segment right around the start of the
139 Holocene geological epoch.

140

141 The surface material at our sampling site was approximately 2.5 m below the surface of
142 surrounding undisturbed sites. To access the frozen permafrost table, we removed
143 approximately 10-20 cm of thawed material with a shovel. The core was extracted by
144 vertical drilling with a gas-powered drill with a custom-made diamond bit. Upon
145 removing the core segments from the core catcher, the organic materials stuck to the
146 surface of the core were scraped off with a clean pocket knife and the core was
147 immediately sprayed with our contamination tracer (see below). Frozen core segments
148 were placed in heat-sealed clear plastic bags (ULine, Canada), placed in coolers with ice
149 packs for the duration of coring, and then stored at -20°C during transportation and
150 subsequent analyses. At the University of Alberta, the DH_1 and DH_2 core segments
151 were cut vertically into $\frac{1}{3}$ and $\frac{2}{3}$ subsections with the aid of a masonry saw. The $\frac{2}{3}$

152 section was used to test decontamination and DNA extraction protocols, while the $\frac{1}{3}$
153 section was used for chemical analyses

154

155 **Contamination tracer**

156 Approximately 2.8×10^7 cells/ml of *Escherichia coli* strain DH10B harboring a pBAD
157 vector (ThermoFisher Scientific, Canada), suspended in a total of 50 ml $1\times$ PBS, was
158 sprayed from a spray bottle on the core catcher, diamond bits, and the surface of the
159 frozen cores (43-45). pBAD is an expression vector that codes for the mNeonGreen
160 protein. This vector and its product was targeted as the main contamination tracer in this
161 study via PCR of vector sequences and macro-photography of the mNeonGreen protein
162 fluorescence under 470 nm wavelength using a xenon arc lamp (Sutter Instruments;
163 California, USA).

164

165 **Sterilization procedure of the tools and work areas**

166 To maintain cleanliness in the sub-sampling laboratory environment, we followed
167 recommendations for ancient DNA and deep subsurface microbiological work (21, 46).
168 These recommendations include the use of Tyvek clothing covers, masks, and gloves;
169 sterilization of all equipment via baking, bleaching, or both; subsampling in a class 1000
170 clean space with no history of DNA extraction or PCR amplification of DNA; and
171 monitoring of the space for potential contaminants. For full details, see supplemental
172 methods.

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175

176 **Basic chemical parameter analyses of the core segments**

177 The $\frac{1}{3}$ core sections of DH_1 and DH_2 were cut into 1-cm³ cubes with a handsaw in a
178 4°C cold room. The analyses of water content, organic carbon content, and pH were
179 determined based on standard methods (see supplemental methods for details).

180

181 **Decontamination and subsampling methods**

182 To prepare the samples for intentional contamination and decontamination, the $\frac{2}{3}$ section
183 of each core segment was cut horizontally into multiple disks (Fig. S2). Except for the
184 piece selected for the decontamination protocol g (see below), one side of the disks was
185 painted with a total of 5.3×10^8 cells ml⁻¹ of *E.coli* with pBAD suspended in 1× PBS
186 using a 25 mm paintbrush. The other side was not painted in the laboratory and thus any
187 spike present was the result of spraying in the field. For decontamination protocol g, the
188 disk was cut into three rectangular subsections (Fig. S2). One rectangular piece was
189 painted with the spike as above, another painted with a total of 18 µg of pBAD vector
190 DNA isolated using QIAprep® Spin Miniprep Kit, by the manufacturer's instructions
191 (MO Bio, Qiagen Canada), and the third piece was not painted in the laboratory (Fig. S2).

192

193 Seven decontamination methods were tested in this study: a) scraping off external,
194 potentially contaminated material by shaving the exterior of the cores 4-5 times with a
195 series of 0.012"/0.30 mm single edge blades (i.e. "scraping", modified from (17)). b)
196 Sampling of a fresh, uncontaminated face with brass fitters (1/2" O.D. × 1.2" O.D.)
197 (similar to (16) with the only difference being that brass fitters were used instead of a
198 stationary drill press (i.e. "disk sampling")); c) disk sampling as in protocol b, but using a
199 soil press for volumetric subsampling (similar to (47)). Protocol c was performed with a

200 set of high-pressure 30 cm long and 1.5 mm thick stainless steel tubing. d) Disk sampling
201 as in protocol b, but using a hammer, chisel and a hand saw to remove the outer,
202 contaminated material (similar to (48), with the only difference being that a manual hand
203 saw was used instead of an electric jigsaw, no clamps were utilized, and the cores were
204 not cut into cubes). e) A combination of scraping and disk sampling with chisels and
205 blades (similar to (35), with the only difference being that single edge blades were used
206 instead of knives). f) UV irradiation of the disk (modified from (29)). In protocol f, a disk
207 was placed in a clean, closed UV box (UVP C-70G Chromato-Vue® Cabinet; Analytik
208 Jena, USA) ~6 cm from the UV lamp of 15 watts and was subjected to UV light at 254
209 nm for 5 min (8.45×10^{-17} J/m² UV dosage), 10 min (1.69×10^{-16} J/m² UV dosage), 20
210 min (3.38×10^{-16} J/m² UV dosage) or 30 min (5.07×10^{-16} J/m² UV dosage) intervals. g)
211 Scraping and bleaching (developed for this study based on (32, 33)). In protocol g, the
212 surface of the rectangular piece was first washed with pre-chilled (4°C), full strength
213 concentrated household bleach solution with no phosphorus compounds. Bleach was
214 rinsed off with pre-chilled (4°C) Milli-Q water. The resulting loosened surface materials
215 were removed via scraping with 0.012"/0.30 mm heavy duty single edge blades (Richard
216 Ltd, Quebec Canada). This entire process was then repeated a second time. Unlike
217 protocols a-f, protocol g started with subsampling first and then decontamination (Fig.
218 S2).

219

220 Decontaminated samples were stored at -20°C prior to DNA extraction. Decontaminated
221 samples obtained from protocols (a and c-f) were crushed into smaller pieces with a
222 sterile chisel and hammer and prior to DNA extraction, they were allowed to thaw at
223 room temperature. Thawed material was homogenized by mixing and the resulting

224 material was subsampled for DNA work. Soils in brass fitters obtained via protocol (b)
225 were left at room temperature prior to DNA extraction to allow easy removal of the
226 material with the aid of a sterilized spatula and were later mixed and subsampled.

227

228 **DNA extraction**

229 We compared seven DNA extraction protocols: four commercially available, well-
230 established soil DNA extraction kits as recommended by the manufacturers as well as
231 modifications to three of these commercial kits (see supplemental material for details of
232 the modifications). The protocols used for soil DNA extraction were as follows: 1) Fast
233 DNATM SPIN kit for soil (MP Biomedicals, California, USA) by the manufacturer's
234 protocol; 2) Fast DNATM SPIN kit for soil (MP Biomedicals, California, USA) with
235 modifications; 3) OMEGA E.Z.N.A soil DNA kit (OMEGA-Bio-Tek, Georgia, USA) by
236 the manufacturer's protocol; 4) Powersoil[®] Isolation kit (MO Bio Laboratories/Qiagen,
237 Canada) by the manufacturer's protocol; 5) Powersoil[®] Isolation kit (MO Bio
238 Laboratories/Qiagen, Canada) with modifications; 6) ZymoBIOMICSTM DNA Microprep
239 kit (Zymo Research, California, USA), by the manufacturer's protocol; and 7)
240 ZymoBIOMICSTM DNA Microprep kit (Zymo Research, California, USA) with
241 modifications. DNA yield was determined using a Qubit fluorometer device (Invitrogen,
242 Ontario, Canada) via Quant-iT dsDNA HS Assay Kit (Invitrogen, Canada), calibrated
243 using the manufacturer's protocol. DNA was extracted from triplicate 1 g subsamples for
244 DH_1 and DH_2, and triplicate 0.5 g subsamples from the control soil (CS; see below).
245 A positive control soil sample (termed CS in this manuscript) was used to test the
246 efficiency of each DNA extraction protocol (mentioned below) in obtaining contaminant
247 free and PCR amplifiable DNA from a non-permafrost sample. The CS sample was an

248 8:1 ratio of peat and mineral subsoils from the rhizosphere of *Populus tremuloides*, mixed
249 using a clean cement mixer for 10 minutes. Two blank negative controls with no soil
250 added were prepared from each kit to trace possible contamination originating from kit
251 reagents.

252

253 **Contamination detection**

254 To determine if a decontamination procedure was successful, the isolated DNA was
255 tested for the presence of pBAD-vector via PCR (see supplemental methods for PCR
256 protocol details).

257

258 **16S rRNA gene-targeted PCR protocol**

259 16S rRNA genes were PCR amplified from the DNA obtained from the decontaminated
260 samples to test for their PCR amplifiability, here used as a proxy for DNA purity. For
261 more information, refer to supplemental methods.

262

263 **RESULTS**

264

265 **Chemical characteristics of core sections DH_1 and DH_2**

266 The DH_1 segment was a peaty unit with high organic matter content (mean = 95.7%
267 w/w dried ($\pm 1.82\%$), n = 23), high gravimetric water content (mean = 91.8% w/w
268 (± 3.01), n = 23), and low pH (mean = 3.68 (± 0.102), n = 24). DH_2 segment was a silty
269 unit with lower organic matter content (mean = 39.58% w/w dried ($\pm 21.85\%$), n = 21),
270 lower gravimetric water content (mean = 74.48% w/w ($\pm 18.02\%$), n = 21), and higher
271 pH (mean = 6.04 (± 0.54), n = 30) (Figure S1). The organic matter content range for
272 DH_1 was relatively consistent (90.56% - 98.02%) (Fig S1). However, DH_2 varied
273 widely in organic content (9.91% - 68.36%). A similar trend was observed regarding
274 gravimetric water content, with the DH_1 fairly consistent (80.88-98.33%), but DH_2
275 samples varying dramatically (8.15-97.61%). pH did not change significantly in DH_1
276 with depth; however, the pH increased significantly with depth for DH_2, from 5.17 to
277 6.9 (Fig. S1).

278

279 **Decontamination testing**

280 To test our decontamination protocol, we applied *E. coli* carrying a mNeonGreen protein
281 expression vector to our core sections as a tracer. The tracer was applied by spraying the
282 corer and the core sections in the field and/or by painting the core sections in the lab.
283 Painting of the tracer on the core sections showed a uniform distribution of cells based on
284 fluorescence of mNeonGreen protein as well as consistent amplification of the pBAD
285 vector PCR product from all samples prior to decontamination (data not shown). The side

286 of the disk where tracer was only applied in the field resulted in patches of spike and
287 inconsistent amplification of the vector. However, the crystallized ice from the interior of
288 the bags used for transporting the core sections always showed positive PCR
289 amplification of the vector, indicating that the tracer was easily removed from the surface
290 of the core. In addition, we noticed cutting the samples and handing in the lab resulted in
291 the loss of the contamination tracer. Hence, we recommend the application of the tracer
292 by painting prior to decontamination, as well as field application by spraying, to ensure
293 decontamination is as thorough as possible.

294

295 Of the seven decontamination methods, scraping (protocol a) and UV irradiation
296 (protocol f) retained the most material for subsequent biological work (Table 1).
297 Conversely, disk decontamination with brass fitters (protocol b) was the most destructive,
298 resulting in a very small quantity of decontaminated material. The soil press method
299 (protocol c) did not perform well, resulting in crushing and thawing of the disk and
300 bending of the tubes. Protocols d (disk sampling with chisel removal of outer material), e
301 (disk sampling with scraping), and g (scraping and bleaching) resulted in a moderate
302 quantity of samples for biological work (Table 1).

303

304 The DNA from the soil samples was extracted via DNA extraction protocol 7 and tested
305 via PCR of the pBAD vector. The decontaminated samples from protocols b and g were
306 devoid of PCR amplifiable pBAD vector, indicating effective decontamination (Table 1).
307 Decontaminated samples from protocols (a) and (c-f) resulted in amplification of the
308 pBAD vector when tested with PCR, indicating incomplete decontamination. Protocols a-
309 f resulted in colony formation on growth media left open in the room during

310 decontamination, indicating contamination of the local environment. Such contamination
311 could lead to subsequent cross-contamination of other samples. Protocol (g) was the only
312 method that did not show colony formation on nearby growth media (Table 1). Thus,
313 protocol (g) provided complete decontamination and a moderate amount of
314 decontaminated material remaining, and therefore seems to be the best decontamination
315 protocol for permafrost samples and was used for subsequent DNA extraction testing.

316

317 **DNA extraction testing**

318 Following decontamination with protocol (g), DNA was extracted from the two
319 permafrost samples as well as a positive control temperate soil (CS). The kits and
320 protocols tested displayed varying efficiency and effectiveness in extracting DNA (Table
321 2). Protocol 1 did not result in any detectable DNA when it was used on either permafrost
322 sample, but it resulted in the highest yield of DNA from CS (Table 2). Detectable, but
323 low, DNA yield from DH_2 was obtained with Protocol 2 and Protocol 5; however,
324 neither of these protocols provided detectable DNA from DH_1 (Table 2). Protocol 3
325 resulted in DNA yield from DH_1, DH_2, and CS (Table 2). Protocol 6, in contrast to
326 other methods, was able to obtain detectable DNA from DH_1 and CS, but not DH_2
327 (Table 2). Protocol 7 produced DNA from both DH_1 and DH_2 (Table 2). All of the
328 DNA extraction protocols provided high yields of DNA for the positive control temperate
329 soil, (CS). The CS samples provided 2-3 orders of magnitude more DNA ($47\times - 754\times$)
330 than the permafrost samples, no matter which extraction protocol was utilized (Table 2).
331 Protocol 3 consistently resulted in PCR amplification from blank extractions, both with
332 different kit lot numbers and different researchers; as a result, we did not test this protocol
333 further (Table 2). We tested the purity of DNA obtained from unmodified kit protocols

334 (i.e. protocols 1, 4, and 6) on the CS soil; all kits provided DNA pure enough to PCR
335 amplify 16S rRNA genes. However, on permafrost soils, DNA from protocols 1 and 2
336 was not PCR amplifiable for either permafrost sample (Table 2). Several protocols gave
337 differential results for the two different samples, with protocols 4 and 5 showing better
338 PCR amplifiability with DH_2 and protocol 6 showing better PCR amplifiability with
339 DH_1 (Table 2). For protocols 4 and 6, DNA yield was below the detection limit;
340 however, PCR product was obtained (Table 2). Only protocol 7 provided consistently
341 strong PCR amplification from both permafrost samples (Table 2).

342

343 **DISCUSSION**

344

345 Deep subsurface microbiology studies have demonstrated the importance of
346 contamination detection through the use of tracers (18, 49). Fluorescent latex beads
347 similar in size to microbes have been used extensively in deep subsurface microbiology
348 (e.g. (50)) and to a lesser extent in permafrost studies (e.g. (12, 51)) to track potential
349 contamination during sample acquisition. However, these beads do not mimic microbes
350 well (12, 52), are subject to quenching and bleaching of fluorescence (22), are labor-
351 intensive to detect (53), and cannot be detected easily at low levels of contamination (19).
352 Biological tracers have two major advantages relative to beads: they are biological
353 particles and thus mimic contaminants better and they can be easily detected at very low
354 levels by PCR (51). Intact cells that are not found in permafrost that carry a well-
355 characterized target DNA molecule, such as a plasmid, are an ideal contamination tracer.
356 In this study, we utilized *E.coli* mNeonGreen-expressing cells, which are a commercial
357 product and thus are not found in permafrost. This tracer can be visualized by

358 fluorescence of the mNeonGreen protein and the pBAD plasmid is easily detected at low
359 levels by PCR.

360

361 Applying the tracer to the wrong sampling component or at the wrong time may lead to a
362 false negative, i.e. the presumption that decontamination is complete when the lack of
363 detection of the tracer is actually due to loss during handling (12, 18, 51). Based on our
364 observations, tracer should be applied both to the drilling apparatus and cores in the field
365 and again in the laboratory; application solely in the field led to inconsistent detection of
366 tracer even before decontamination. Furthermore, we found that applying the tracer by
367 painting rather than by spraying provided a more consistent coverage of samples.

368

369 Our results showed that none of the tested decontamination methods were able to
370 completely remove the tracer except the bleach wash method and disk sampling method
371 with brass fitters. Bleach is cheap and readily available in comparison to costlier DNase
372 and RNase decontamination solutions used in the past (15, 32). Bleach was effective in
373 removing our tracer and left a moderate amount of the material available for subsequent
374 work. In contrast, while the fitter based protocol used a clean subsampling approach, it
375 yielded a low quantity of subsamples.

376

377 One possible disadvantage of using bleach for decontaminating permafrost segments is
378 changes to the chemistry of the samples. We tackled this potential issue by splitting the
379 core section into separate samples for chemistry and biology (i.e. $\frac{1}{3}$ and $\frac{2}{3}$ sections),
380 which allowed preservation of samples for chemistry work, and a sufficient amount of

381 material for decontamination and DNA extraction. However, if the amount of material
382 available is restricted, this approach may not be tenable.

383

384 The rest of the tested methods, based on the most commonly used method in published
385 permafrost studies (i.e. disk sampling or scraping; Table S1) resulted in inconsistent PCR
386 amplification of the tracer from decontaminated samples. One possible reason for a lack
387 of decontamination was physical contact of the clean interior pieces with contaminated
388 materials and dust generation during the subsampling. We noted that our test plates were
389 contaminated with tracers and other cells during disk sampling methods, likely indicating
390 the production of contaminated dust or aerosols during processing, similar to previous
391 findings (23, 54). Thus, methods that minimize dust and aerosol generation are
392 recommended to decrease the possibility of re-contaminating cleaned samples.

393

394 In the case of scraping, insufficient removal of the contaminated surface of the core
395 section may have been another reason for detecting the tracer. Bang-Andreasen and
396 colleagues (12) demonstrated that their intentional contamination spike was still
397 detectable down to 17 mm depth after coring; thus, scraping, which in our experiment
398 only removed 2-3 mm after 4-5 scrapes, is insufficient to decontaminate the core. The
399 ineffectiveness of the scraping method has also been reported in ancient DNA studies
400 (32). Thus, we strongly recommend against scraping as the sole decontamination method
401 for permafrost cores.

402

403 In our experiment, commercial DNA extraction kits vary in both DNA yield and purity.

404 In a previous study, the Fast DNATM SPIN kit for soil (MP Biomedicals, California,

405 USA) provided the highest DNA yield from permafrost, although it required further
406 purification (38). However, in our experiment while the Fast DNATM SPIN kit for soil
407 gave the highest yield in the control soil, no detectable DNA was obtained from the
408 permafrost. The modified protocol for ZymoBIOMICSTM DNA Microprep kit (Zymo
409 Research, California, USA) was the only protocol able to yield sufficient PCR
410 amplifiable DNA. It is unclear whether the same kit or the same modifications will
411 always provide optimal results; thus, when there is sufficient sample, we recommend
412 testing of several commercially available kits and modification of those protocols (e.g.
413 see supplemental methods) to obtain the maximum amount of pure DNA from
414 permafrost.

415

416 It is critical to utilize DNA extraction blank controls since the kit reagents could
417 introduce contamination. In one protocol, the negative control for the kit always showed
418 amplification, indicating contamination from the kit reagents. Contamination via kit
419 reagents has been observed in other studies as well (e.g. (55)). Eisenhofer and colleagues
420 (55) have noted and summarized some species from a large variety of microbiome studies
421 that are regularly found in DNA extraction kits. Thus, it is clear that extraction kits can
422 and often do introduce contaminants: kits should be selected with care for low biomass
423 samples such as permafrost that are prone to contamination. Furthermore, extractions
424 should include extensive positive (control soils) and negative (blank extraction) controls.

425

426 Our results indicate that basic soil chemical parameters did not influence the spike
427 penetration or decontamination procedures; however, these parameters did affect DNA
428 extraction yield. The silty core generally provided a higher DNA yield than the peaty

429 core, indicating that permafrost chemical and physical parameters can affect DNA
430 extraction.

431

432 **CONCLUSIONS AND RECOMMENDATIONS**

433

434 We recommend the following to prevent contamination of permafrost samples intended
435 for microbial work:

- 436 1. A biological spike should be applied both in the field via spraying and in the lab
437 by painting of the core sections. The spike should be allowed to fully freeze onto
438 the core. PCR should be used to detect the applied biological tracer: clean
439 samples should be negative; removed material should be positive.
- 440 2. Ancient DNA protocols for sample handling should be followed whenever
441 possible (e.g. (21, 46)). These protocols were developed to minimize external
442 contamination and cross-contamination between samples. These protocols are
443 evolving and should be updated regularly. We have provided a summary of these
444 guidelines in this manuscript (see supplemental methods for details)
- 445 3. Combined bleach wash and shaving are the most effective method for
446 decontaminating permafrost samples intended for DNA work. We recommend
447 against utilizing only disk decontamination or scraping, as these approaches did
448 not remove our tracer.
- 449 4. Multiple DNA extraction kits should be tested for the specific samples, with both
450 positive (temperate soil) and negative (reagents only) controls. In our experiment,
451 modified ZymoBIOMICS™ DNA Microprep kit (Zymo Research, California,
452 USA) was the most effective method in extracting DNA from silty permafrost

453 and to some extent from peaty permafrost; however, other samples may respond
454 better to other DNA extraction protocols.

455

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457

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659 **COMPETING INTEREST**

660 The researchers declare no conflict of interest.

661

662 **FIGURES AND TABLES**

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665 TABLE 1. Decontamination methods on permafrost samples DH_1 and DH_2.

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Protocol ^a	Fraction retained (mass %)		Colonies (<1 m away)		Colonies (> 1 m away) ^e		pBAD amplification ^f	
	DH_1	DH_2	DH_1	DH_2	DH_1	DH_2	DH_1	DH_2
a	94	92	+ ^d	+	+/-	+/-	+	+
b	7	6	+	+	+/-	+/-	-	-
c ^b	0	0	n.d. ^d	n.d.	+/-	+/-	+/-	+/-
d	40	47	+	+	+/-	+/-	+/-	+/-
e	37	45	+	+	+/-	+/-	+/-	+/-
f	100	100	+	+	+/-	+/-	+/-	+/-
g ^c	40	32	- ^f	-	-	-	-	-

667 ^a Protocols: a = scraping, b = disk sampling with brass fitters, c = disk sampling with a
 668 soil press, d = disk sampling with a chisel, hammer and a hand saw, e = combination of
 669 scraping and disk sampling, f = UV irradiation, g = bleach and scraping. See methods for
 670 details.

671 ^b This protocol failed to acquire any samples due to bending of the tubing.

672 ^c NOTE: In this protocol, permafrost is first subsampled and then decontaminated; for
 673 other protocols, decontamination occurs before subsampling (see figure S2).

674 ^d n.d. = not done, + = detected, - = not detected, +/- = inconsistent detection.

675 ^e Colonies formed on nutrient rich media plates placed near work station.

676 ^f PCR amplification of the pBAD plasmid carried by the intentional contaminant.

677 Indicates contamination.

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680 TABLE 2. DNA extraction protocol on samples DH_1, DH_2, and CS.

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Protocol ^a	DNA yield (ng/g) ^b				PCR amplification			
	DH_1 (±SD)	DH_2 (±SD)	CS (±SD)	Kit blank ^c (±SD)	DH_1	DH_2	CS	PCR blank
1	BDL	BDL	6633 (2310)	BDL	- ^d	-	+++	-
2	BDL	2.5 (0.1)	n.d.	BDL	-	-	n.d. ^d	-
3	24.6 (0.2)	31.8 (0.2)	1517 (16)	60.1 (3)	+ ^d	+	+	+
4	BDL	10 (0.1)	660 (6.4)	BDL	+	++ ^d	++	-
5	BDL	4.6 (0.4)	n.d.	BDL	-	++	n.d.	-
6	0.7 (0.1)	BDL	513 (14)	BDL	+++ ^d	+	+	-
7	1.1 (0.2)	17 (0.2)	n.d.	BDL	+++	+++	n.d.	-

683 ^aDNA extraction protocols: 1 = Fast DNA SPIN kit for soil (manufacturer's protocol), 2
684 = Fast DNATM SPIN kit for soil (modified), 3 = OMEGA E.Z.N.A soil DNA kit
685 (manufacturer's protocol), 4 = Powersoil Isolation kit (manufacturer's protocol), 5 =
686 Powersoil Isolation kit (modified), 6 = ZymoBIOMICSTM DNA Microprep kit
687 (manufacturer's protocol), and 7 = ZymoBIOMICSTM DNA Microprep kit (modified).

688 ^bDNA was extracted from triplicate 1 g subsamples for DH_1 and DH_2, and triplicate
689 0.5 g subsamples from CS.

690 ^cMeasured in ng of DNA.

691 ^dBDL = below the detection limit, n.d. = not done, + = weak PCR band, ++ = medium
692 PCR band, +++ = strong PCR band, - = not detected.

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713 FIGURE 1. Map of Yukon region showing the coring location (DHP174) for DHL_16

714 core. The location was within the continuous permafrost zone (90-100% permafrost

715 extent).

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