

1 ***Running head***

2 TESTING THE RED QUEEN AND COURT JESTER

3

4 ***Title***

5 **Testing the role of the Red Queen and Court Jester as drivers of the**
6 **macroevolution of Apollo butterflies**

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26 **Abstract.** – In macroevolution, the Red Queen (RQ) model posits that biodiversity dynamics
27 depend mainly on species-intrinsic biotic factors such as interactions among species or life-
28 history traits, while the Court Jester (CJ) model states that extrinsic environmental abiotic
29 factors have a stronger role. Until recently, a lack of relevant methodological approaches has
30 prevented the unraveling of contributions from these two types of factors to the evolutionary
31 history of a lineage. Here we take advantage of the rapid development of new macroevolution
32 models that tie diversification rates to changes in paleoenvironmental (extrinsic) and/or biotic
33 (intrinsic) factors. We inferred a robust and fully-sampled species-level phylogeny, as well as
34 divergence times and ancestral geographic ranges, and related these to the radiation of Apollo
35 butterflies (Parnassiinae) using both extant (molecular) and extinct (fossil/morphological)
36 evidence. We tested whether their diversification dynamics are better explained by a RQ or
37 CJ hypothesis, by assessing whether speciation and extinction were mediated by diversity-
38 dependence (niche filling) and clade-dependent host-plant association (RQ) or by large-scale
39 continuous changes in extrinsic factors such as climate or geology (CJ). For the RQ
40 hypothesis, we found significant differences in speciation rates associated with different host-
41 plants but detected no sign of diversity-dependence. For CJ, the role of Himalayan-Tibetan
42 building was substantial for biogeography but not a driver of high speciation, while positive
43 dependence between warm climate and speciation/extinction was supported by continuously
44 varying maximum-likelihood models. We find that rather than a single factor, the joint effect
45 of multiple factors (biogeography, species traits, environmental drivers, and mass extinction)
46 is responsible for current diversity patterns, and that the same factor might act differently
47 across clades, emphasizing the notion of opportunity. This study confirms the importance of
48 the confluence of several factors rather than single explanations in modeling diversification
49 within lineages.

50

51 *[Diversification; extinction; Himalayan orogeny; historical biogeography; host-plant shifts;*
52 *integrative study; mountain building; Papilionidae; past climate change; speciation]*

53 **INTRODUCTION**

54 Evolutionary biologists have long endeavored to determine which factors govern
55 biodiversity dynamics, aiming to answer questions such as why some clades have diversified
56 more than others, or why some lineages are widely distributed whereas others survive in
57 restricted ranges (Ezard et al. 2016). Two different mechanistic macroevolutionary models
58 have been proposed to explain the generation and maintenance of diversity. The Red Queen
59 (RQ) model (Van Valen 1973), which stems from Darwin and Wallace, posits that
60 diversification is driven by species-intrinsic, biotic factors such as interactions among species,
61 species ecology, or life-history traits. The Court Jester (CJ) model, which builds on
62 paleontological evidence (Barnosky 2001), argues that diversification dynamics result from
63 historical abiotic forces such as abrupt changes in climate or geological tectonic events that
64 drive speciation and extinction rates, usually acting clade-wide across lineages.

65 The CJ and RQ models are generally considered the two extremes of a continuum,
66 operating over different geographic and temporal scales. Biotic factors such as species
67 interactions shape ecosystems locally over short time spans, whereas abiotic factors such as
68 climate and tectonic events shape large-scale patterns regionally and globally over millions of
69 years (Benton 2009). However, biotic interactions can also be observed at large spatial and
70 temporal scales (Liow et al. 2015; Silvestro et al. 2015), while Van Valen's (1973) original
71 RQ hypothesis is now interpreted as accepting the role of a changing environment in shaping
72 species evolution (Voje et al. 2015).

73 Although both abiotic (environmental) and biotic (species-intrinsic) drivers are
74 recognized as fundamental for regulating biodiversity (Ezard et al. 2011), these two types of
75 factors are often studied in isolation (Drummond et al. 2012a; Bouchenak-Khelladi et al.
76 2015; Lagomarsino et al. 2016), searching for correlations between shifts in diversification
77 rates and the evolution of key innovations or the appearance of key opportunities (Maddison

78 et al. 2007; Alfaro et al. 2009; Rabosky 2014; Givnish et al. 2015). However, often no single
79 factor but a confluence of biotic and abiotic factors is responsible for the diversification rate
80 shift (Donoghue and Sanderson, 2015), and there could be an interaction effect. For example,
81 C₄ grasses appeared in the Eocene but their expansion and explosive diversification started
82 only after mid-Miocene aridification in Africa and Central Asia (Edwards et al. 2010).

83 Statistical assessment of the relative contributions of abiotic and biotic factors
84 underlying diversity patterns has been made possible by the development of new probabilistic
85 models in the field of diversification dynamics (Stadler 2013; Morlon 2014; Höhna 2015).
86 One type of model estimates diversification rates that are clade-dependent and identifies
87 differences in diversification rates among clades that can be explained by key innovations
88 (Alfaro et al. 2009; Morlon et al. 2011; Rabosky et al. 2013), or by diversity-dependence and
89 niche filling (i.e. diversification decreasing as the number of species increases, Rabosky and
90 Lovette 2008; Etienne et al. 2012). A second type of model aims to detect statistical
91 associations between diversification rates and changes in species traits (trait-dependent
92 diversification models, Maddison et al. 2007; Ng and Smith 2014), or between geographic
93 evolution and diversification, such as a change in continental connectivity allowing the
94 colonization of a new region and a subsequent increase in allopatric speciation (Goldberg et
95 al. 2011). A third type of model assumes continuous variation in diversification rates over
96 time that depends on a paleoenvironmental variable and investigates whether diversification
97 rates can be affected by abiotic environmental changes (e.g. paleotemperature, Condamine et
98 al. 2013). Finally, episodic birth-death models search for tree-wide rate shifts that act
99 concurrently across all lineages in a tree, for example a mass extinction event removing a
100 fraction of lineages at a certain time in the past (Stadler 2011; Höhna 2015; May et al. 2016).

101 The first two types of models have been used to test RQ-like hypotheses on the effect
102 of biotic interactions, while the other models are often used in the context of the CJ

103 hypothesis (environmental change). Studies using a subset of these models to address both
104 abiotic and biotic factors are becoming more frequent, but are often used at a local or regional
105 geographic scale (Schnitzler et al. 2011; Drummond et al. 2012a; Jónsson et al. 2012; Hutter
106 et al. 2013; Bouchenak-Khelladi et al. 2015; Lagomarsino et al. 2016), and limited
107 temporally. No study so far has addressed the full set of models using a large (geographic)
108 and long (temporal) scale within the same lineage. Such a study would have substantial power
109 to provide information on questions such as why some lineages diversify and others do not,
110 and the extent that diversification is attributable to trait evolution and/or ecological
111 opportunity (Wagner et al. 2012). It might also shed light on the current debate about whether
112 biodiversity is at an equilibrium bounded by ecological limits (Rabosky 2013), although it is
113 unclear how relevant such limits are compared to other biological explanations (Moen and
114 Morlon 2014). Alternatively, diversity might be unbounded and controlled by rare but drastic
115 environmental changes such as climatic mass extinction events (Antonelli and Sanmartín
116 2011; Meredith et al. 2011; Kergoat et al. 2014; Condamine et al. 2015a; May et al. 2016).

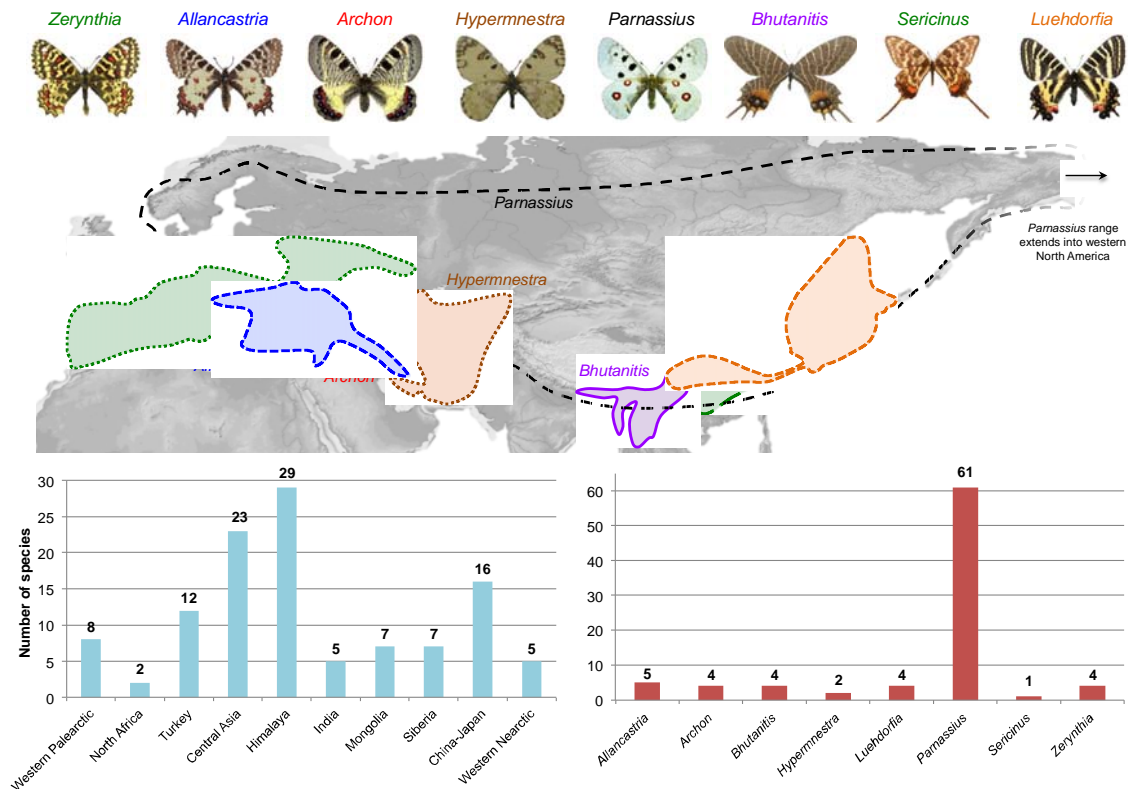
117 A key to such a study would be to find a group that: *(i)* has experienced both biotic
118 and abiotic pressures at different temporal and spatial scales; *(ii)* shows large species diversity
119 and high specialization; and *(iii)* has good information available about its taxonomic and
120 evolutionary history. Nearly complete taxon sampling is crucial for accurate estimation of
121 diversification rates (Cusimano and Renner 2010; Brock et al. 2011; Höhna et al. 2011; Davis
122 et al. 2013), whereas rich fossil evidence is important for divergence time estimation and
123 accurate reconstruction of biogeographic history (Meseguer et al. 2015). Phytophagous
124 insects are especially good models because they present complex biotic (trophic) interactions
125 with their host plants (Ehrlich and Raven 1964), and many of these lineages are old enough to
126 have experienced dramatic past climatic changes.

127 Here, we address the role of biotic (RQ) and abiotic (CJ) factors that drive patterns of
128 species richness in Apollo butterflies (Papilionidae: Parnassiinae). The Parnassiinae comprise
129 eight genera and over 70 species (Ackery 1975; Weiss 1991-2005; Kocman 2009), grouped
130 into three tribes – Luehdorfiini, Zerynthiini, and Parnassiini (Nazari et al. 2007). Apollo
131 butterflies occur from the Western Palearctic to the Western Nearctic (Weiss 1991-2005).
132 Most diversity is concentrated in the Palearctic, where we find two non-monophyletic
133 lowland-flying communities separated by the Himalaya and Tibetan Plateau (HTP, **Figure 1**):
134 an Eastern Palearctic group formed by *Luehdorfia* (Luehdorfiini) and *Bhutanitis* and *Sericinus*
135 (Zerynthiini), and a Western Palearctic community including *Archon* (Luehdorfiini),
136 *Allancastria* and *Zerynthia* (Zerynthiini), and *Hypermnestra* (Parnassiini). The largest genus,
137 *Parnassius*, is mainly distributed in mountainous regions across the Holarctic, with its highest
138 diversity in the HTP (Weiss 1991-2005; Kocman 2009; Nazari et al. 2007; Michel et al.
139 2008). Compared to the species-poor genera *Allancastria*, *Archon*, *Bhutanitis*, *Hypermnestra*,
140 *Luehdorfia* and *Sericinus* (each with five species maximum), the 50+ species of *Parnassius*
141 have been suggested as an example of rapid diversification. This unbalanced species richness,
142 together with their disjunct pattern of distribution in the Himalayan region, suggests that the
143 HTP orogeny might have been an important driver in the biogeographic and diversification
144 history of Parnassiinae.

145

146 **Figure 1. Distribution and species richness patterns of Apollo butterflies.** Map shows the
147 current separation of west and east communities of Parnassiinae, divided by the Himalayan-
148 Tibetan Plateau. Lower portion of figure compares the current species diversity of each
149 biogeographical unit (which may be non-monophyletic) or genus. Images of Parnassiinae
150 (also in subsequent figures) are created by Fabien Condamine.

151



152

153 Parnassiinae are also remarkable for their (nearly) strict but diverse host-plant
 154 specialization (Ehrlich and Raven 1964). Tribes Luehdorfiini and Zerynthiini feed on
 155 Aristolochiaceae, the ancestral host of Parnassiinae (Condamine et al. 2012). Within
 156 Parnassiini, *Hypermnestra* feeds on Zygophyllaceae, while *Parnassius* has experienced two
 157 host-plant shifts, toward Crassulaceae+Saxifragaceae and Papaveraceae (Michel et al. 2008;
 158 Condamine et al. 2012), and is regarded as an adaptive radiation (Rebourg et al. 2006).
 159 Finally, Apollo butterflies constitute a model to understand the role of past environmental
 160 change in lineage diversification. Phylogeographic studies pinpointed the effect of Pleistocene
 161 glaciations on population-level dynamics (e.g. Keyghobadi et al. 2005; Gratton et al. 2008;
 162 Schoville and Roderick 2009; Dapporto 2010; Todisco et al. 2010, 2012; Zinetti et al. 2013).
 163 Time-calibrated phylogenies support an origin of the subfamily in the Early Cenozoic
 164 (Condamine et al. 2012), which means that the lineage has experienced the dramatic cooling
 165 and warming events of the last 50 Ma, including a drop in global temperatures at the end of

166 the Eocene (Eocene-Oligocene climate transition, EOCT, Liu et al. 2009) that led to the
167 demise of Boreotropical Holarctic vegetation (Pound et al. 2012).

168 In this study, we reconstruct a completely sampled phylogeny of Parnassiinae to
169 explore the role played by abiotic (CJ) and biotic (RQ) factors, isolated or in unison, in the
170 origin and fate of biological radiations, including paleotemperature, mountain orogeny, host
171 specialization, range expansion and diversity dependence with niche filling. If interactions
172 among species are the dominant drivers of evolution, diversification rates are expected to
173 show diversity-dependent dynamics or be dependent on ecological traits. For example, one
174 might expect diversification rates to decrease as a function of diversity or increase with
175 ecological opportunity. Conversely, if evolution is driven mainly by changes in the physical
176 environment, clade-wide responses due to abrupt abiotic perturbations should dominate
177 macroevolutionary dynamics, e.g. diversification rates may shift following major climatic
178 changes that extirpated certain lineages while favoring the radiation of others. We test these
179 hypotheses using trait-dependent, time-dependent, environment-dependent and episodic birth-
180 death models and compare the fit (explanatory power) of these models using maximum
181 likelihood and Bayesian inference. Our study is the first to address the CJ and RQ hypotheses
182 at such a large scale, and to compare the performance of these models within a single
183 inference framework.

184

185 **MATERIALS AND METHODS**

186 *Taxon sampling and molecular dataset*

187 The latest effort to resolve phylogenetic relationships in Parnassiinae was Condamine
188 et al. (2012), but that study did not include all species. For our study, DNA sequences were
189 obtained from GenBank using *Taxonomy* browser searches (full dataset is provided in the
190 Supplementary Material that accompanies this article available on Dryad at

191 [http://dx.doi.org/10.5061/dryad.\[NNNN\]](http://dx.doi.org/10.5061/dryad.[NNNN]), **Appendix 1**). Sequences of less than 250
192 nucleotides (possibly microsatellites) and genes sequenced in very few specimens were not
193 included. Overall the dataset comprises >1,800 sequences, representing 69 species and five
194 genes (four mitochondrial and one nuclear) including 730 sequences for cytochrome oxidase I
195 (COI), 214 for NADH dehydrogenase 1 (ND1), 147 for NADH dehydrogenase 5 (ND5), 202
196 for rRNA 16S (16S), and 115 for elongation factor 1 alpha (EF-1 α). This large molecular
197 dataset comprises all sequences generated by previous studies on Parnassiinae, especially by
198 F.L.C. and F.A.H.S. (Omoto et al. 2004, 2009; Katoh et al. 2005; Nazari et al. 2007; Nazari
199 and Sperling 2007; Michel et al. 2008; Condamine et al. 2012), and includes all currently
200 described species within the family, except for *Bhutanitis ludlowi* (Möhn 2005; Weiss 2005;
201 Churkin 2006). The dataset also includes the majority of described subspecies or geographic
202 races (Nazari and Sperling 2007; Gratton et al. 2008; Schoville and Roderick 2009; Dapporto
203 2010; Todisco et al. 2010, 2012; Zinetti et al. 2013). Additionally, sequences of nine species
204 of swallowtails were added to the dataset as outgroups, with *Baronia brevicornis* considered
205 as the sister group to all remaining Papilionidae, and two species for each of the four tribes
206 included within Papilioninae, the sister subfamily of Parnassiinae (Condamine et al. 2012).

207 Sequences were aligned using MAFFT 7.110 (Katoh and Standley 2013) with default
208 settings (E-INS-i algorithm). Reading frames of coding genes were checked in Mesquite 3.03
209 (www.mesquite.org). Datasets (species/subspecies names and GenBank accession numbers)
210 are available on Dryad (**Appendices 1 and 2**).

211

212 *Assessment of fossil positions and calibrations*

213 Constraints on clade ages were enforced through fossil calibrations, whose systematic
214 position was assessed using phylogenetic analyses (Donoghue et al. 1989; Sauquet et al.
215 2012). Phylogenetic analyses of the dataset included both living and fossil taxa and

216 incorporated both morphological and molecular data using a total-evidence approach
217 (Ronquist et al. 2012a).

218 Swallowtail fossils are scarce, but two unambiguously belong to Parnassiinae (Nazari
219 et al. 2007). The first is †*Thaites ruminiana* (Scudder 1875), a compression fossil from
220 limestone in the Niveau du gypse d'Aix Formation of France (Bouches-du-Rhone, Aix-en-
221 Provence) within the Chattian (23.03-28.1 Ma) of the late Oligocene (Rasnitsyn and
222 Zherikhin 2002; Sohn et al. 2012). The second is †*Doritites bosniaskii* (Rebel 1898), an
223 exoskeleton and compression fossil from Italy (Tuscany) from the Messinian (5.33-7.25 Ma,
224 late Miocene, Sohn et al. 2012). Absolute ages of geological formations were taken from
225 Gradstein et al. (2012).

226 To assess the phylogenetic positions of fossils, our morphological dataset comprised
227 236 characters (Hiura 1980; Nazari et al. 2007) including adult external (160) and internal
228 (54) morphology, egg (2) and larval (10) morphology, pupal morphology (5), and characters
229 for pigment color and chromosome number (5). Morphological characters were coded for all
230 extant species in each genus except *Parnassius* (only eight species: one per subgenus). Yet,
231 *Parnassius* had little impact on our analyses since there are no fossils related to this genus
232 (Nazari et al. 2007). Fossils were only coded for adult external morphology.

233 Morphological and molecular data were combined to construct a total-evidence
234 phylogeny using MrBayes 3.2.6 (Ronquist et al. 2012b). Morphological data were modeled
235 using the Mk model (Lewis 2001). The best-fitting partitioning scheme for the molecular data
236 was selected with PartitionFinder 1.1.1 (Lanfear et al. 2012), using the *greedy* search
237 algorithm and the Bayesian Information Criterion (BIC). Rather than using a single
238 substitution model per molecular partition, we sampled across the entire substitution rate
239 model space (Huelsenbeck et al. 2004) using the reversible-jump Markov Chain Monte Carlo
240 (rj-MCMC) option. Two independent analyses with one cold and seven heated chains each

241 were run for 20 million generations, sampled every 2000th. A 50% majority rule consensus
242 tree was built after discarding 25% samples as burn-in. The dataset used for this analysis is
243 available on Dryad (**Appendix 3**).

244

245 *Phylogeny and estimates of divergence times*

246 We examined whether the rate of molecular evolution evolved in a clock-like pattern
247 using PATHd8 (Britton et al. 2007). Since the hypothesis of a strict molecular clock was
248 rejected for 68 of the 92 nodes ($P < 0.05$), we estimated divergence times using Bayesian
249 relaxed-clock methods accounting for rate variation across lineages (Drummond et al. 2006).
250 MCMC analyses implemented in BEAST 1.8.2 (Drummond et al. 2012b) were employed to
251 approximate the posterior distribution of rates and divergences times and infer their credibility
252 intervals. We set the following settings and priors: a partitioned dataset (after the best-fitting
253 PartitionFinder scheme) was analyzed using the uncorrelated lognormal distribution (UCLD)
254 clock model, with the mean set to a uniform prior between 0 and 1, and an exponential prior
255 ($\lambda = 0.333$) for the standard deviation. The branching process prior was set to either a
256 Yule or a birth-death (Gernhard 2008) process (since tree priors may impact estimates of
257 molecular dating, Condamine et al. 2015b), using the following uniform priors: the Yule birth
258 rate and birth-death mean growth rate ranged between 0 and 10 with a starting value at 0.1,
259 and the birth-death relative death rate ranged between 0 and 1 (starting value=0.5).

260 Calibration priors employed the fossil constraints indicated above (see Results for the
261 phylogenetic positions), using a uniform prior with the minimum age equal to the youngest
262 age of the geological formation where the fossil was found. Additionally, we constrained the
263 crown of Papilionidae with a uniform distribution bounded by a minimum age of 47.8 Ma
264 (Smith et al. 2003; Gradstein et al. 2012) based on two fossils †*Praepapilio colorado* and †*P.*
265 *gracilis* (Durdan and Rose 1978), both from the Lutetian (mid-Eocene) of the Green River

266 Formation (USA, Colorado). We could not place these fossils phylogenetically because of
267 limited taxon sampling for Papilioninae. Instead, we placed these unambiguous fossils
268 conservatively at the crown of the family as they share synapomorphies with all extant
269 subfamilies (de Jong 2007), and have proven to be reliable calibration points for the crown
270 group (Condamine et al. 2012). All uniform calibration priors were set with an upper bound
271 equal to the estimated age of angiosperms (~140 Ma, *sensu* Magallón et al. 2015), which is
272 six times older than the oldest Parnassiinae fossil. This upper age is intentionally set as
273 ancient to allow exploration of potentially old ages for the clade. Since the fossil record of
274 Lepidoptera is highly incomplete and biased (Sohn et al. 2015), caution is needed in using the
275 few recent fossil calibrations.

276 To explore the impact of sampled diversity, tree prior, and morphological data on
277 BEAST inferences, we ran four analyses as follows: (i) molecular data only and Yule model;
278 (ii) molecular data only and birth-death process; (iii) molecular and morphological data (total-
279 evidence analysis) and Yule model; and (iv) molecular and morphological data (total-
280 evidence analysis) and birth-death process, with all other parameters set equal. The total-
281 evidence analyses provide a completely sampled phylogeny of Parnassiinae due to the
282 inclusion of *Bhutanitis ludlowi* (only available with morphological data).

283 Each MCMC analysis was run for 100 million generations, sampled every 10,000th,
284 resulting in 10,000 samples in the posterior distribution of which the first 2,500 samples were
285 discarded as burn-in. All analyses were performed on the computer cluster CIPRES Science
286 Gateway (Miller et al. 2015), using BEAGLE (Ayres et al. 2012). Convergence and
287 performance of MCMC runs were evaluated using Tracer 1.6 (Rambaut and Drummond
288 2009) and the effective sample size (ESS) criterion for each parameter. A maximum-clade
289 credibility (MCC) tree was reconstructed, with median age and 95% height posterior density
290 (HPD). Bayes factor (BF) comparisons using stepping-stone sampling (Xie et al. 2011),

291 which allows unbiased approximation of the marginal likelihood of Bayesian analyses (Baele
292 et al. 2013), was used to select among competing models. We considered $2\ln\text{BF}$ values >10 to
293 significantly favor one model over another (Kass and Raftery 1995). The dataset and BEAST
294 xml files generated for this study are available on Dryad (**Appendix 4**).

295

296 *Historical biogeography*

297 Definition of biogeographic units was based on the present-day distribution of species
298 but also informed by plate tectonics (Sanmartín et al. 2001) and alpine orogeny
299 reconstructions (Bouilhol et al. 2013). Ten areas were defined: WN=Western North America
300 (including the Rocky Mountains); WP=Europe (France, Spain, Pyrenees, Alps, Italy, Greece,
301 Crete, Balkans, Scandinavia, Western Russian, and Ural Mountains); SI=Eastern Russia,
302 Siberia, and Kamchatka; CA=Central Asia (Turkmenistan, Uzbekistan and Kazakhstan);
303 MO=Mongolian steppes and Altai Mountains; TU=Turkey, Caucasian region, Syria, Iraq,
304 Iranian Plateau and Zagros Mountains; HTP=Himalaya, Tibetan Plateau, and Pamir region;
305 IN=India, Bhutan, Yunnan, and Southern China; CJ=Northern China, Korea, and Japan;
306 AF=North Africa and Arabia.

307 Species ranges were defined by presence–absence in each region (**Appendix 5**).
308 Biogeographic analyses were performed on the MCC tree (outgroups removed), using the
309 Dispersal–Extinction–Cladogenesis model of range evolution (DEC; Ree and Smith 2008)
310 implemented in the R-package *BioGeoBEARS* 0.2.1 (Matzke 2014). Because of concerns with
311 statistical validity and model choice in *BioGeoBEARS* (Ree and Sanmartín in review), we did
312 not use the DEC+J model (Matzke 2014). We also preferred DEC to DEC+J because the
313 latter often infers null or extremely low extinction rates (Sanmartín and Meseguer 2016), an
314 effect of the model favoring direct dispersal over widespread ranges, which might not be
315 adequate for reconstructing the history of ancient groups. Biogeographic ranges larger than

316 four areas in size were disallowed as valid biogeographic states if they were not subsets of the
317 terminal species ranges; widespread ranges comprising areas that have never been
318 geographically connected (Sanmartín et al. 2001) were also removed. We constructed a time-
319 stratified model (**Appendix 6** on Dryad) with four time slices that specified constraints on
320 area connections and spanned the Parnassiinae evolutionary history; each interval represents a
321 geological period bounded by major changes in tectonic and climatic conditions thought to
322 have affected the distribution of these butterflies.

323

324 *Testing the Red Queen and Court Jester hypotheses*

325 To understand the relative contributions of RQ versus CJ -type factors, we ran a series
326 of macroevolutionary models using both maximum likelihood (ML) and Bayesian inference
327 (BI). Rather than on a single tree, analyses were performed on a sample of trees from the
328 BEAST post-burnin posterior distribution (with outgroups removed); an exception was the
329 diversity-dependent diversification models that, due to time constraints, were run only on the
330 MCC tree. This approach allowed us to assess the robustness of results to phylogenetic
331 uncertainty and estimate confidence intervals for the parameter estimates. We compared the
332 fit of each model to the data using the corrected Akaike Information Criterion (AICc) for the
333 ML analyses, and Bayes Factor comparisons (2lnBF) for BI.

334

335 *Diversification analyses in a Red Queen model.* – We first tested the hypothesis that
336 diversity is bounded or at equilibrium, meaning that diversity expanded rapidly in early
337 diversification, filled most niches and saturated toward the present. We explored the effect of
338 diversity-dependence on speciation and extinction rates using the method of Etienne et al.
339 (2012) implemented in the R-package *DDD* 2.7. We applied five different models: (i)
340 speciation depends linearly on diversity without extinction, (ii) speciation depends linearly on

341 diversity with extinction, (iii) speciation depends exponentially on diversity with extinction,
342 (iv) speciation does not depend on diversity and extinction depends linearly on diversity, and
343 (v) speciation does not depend on diversity and extinction depends exponentially on diversity.
344 For each model, the initial carrying capacity was set to the current number of described
345 species.

346 We also tested the effect of host-plant association on diversification rates, since
347 previous study has pointed to a potential correlation between shifts in diversification and host-
348 plant switches (Ehrlich and Raven 1964). We used a diversification model of the state-
349 dependent speciation and extinction (SSE) family of models, in which extinction and
350 speciation rates are associated with phenotypic evolution of a trait along a phylogeny
351 (Maddison et al. 2007). In particular, we used the Multiple State Speciation Extinction model
352 (MuSSE; FitzJohn et al. 2009) implemented in the R-package *diversitree* 0.9-7 (FitzJohn
353 2012), which allows for multiple character states. Larval host plant data were taken from
354 previous work (Ehrlich and Raven 1964; Scriber 1984; Collins and Morris 1985; Tyler et al.
355 1994; Scriber et al. 1995). The following four character states were used: (1)
356 Aristolochiaceae; (2) Zygophyllaceae; (3) Papaveraceae; and (4) Crassulaceae+Saxifragaceae.
357 Data at a lower taxonomic level than plant family were not used because of the great number
358 of multiple associations exhibited by genera that could alter the phylogenetic signal. Thirty-
359 six different models were run to test whether speciation, extinction, or transition rates were
360 dependent on trait evolution. Models were built with increasing complexity, starting from a
361 model with no difference in speciation, extinction and transition rates (3 parameters) to the
362 most complex model with different speciation, extinction, and transition rates for each
363 character state (20 parameters). We estimated posterior density distribution with Bayesian
364 MCMC analyses (10,000 steps) performed with the best-fitting models and resulting
365 speciation, extinction and transition rates.

366 There have been concerns about the power of SSE models to infer diversification
367 dynamics from a distribution of species traits (Davis et al. 2013; Maddison and FitzJohn
368 2015; Rabosky and Goldberg 2015). First, SSE models tend to have a high type I error bias
369 related to the shape (topology) of the inferred tree (Rabosky and Goldberg 2015). To test
370 whether our diversification results were potentially biased, we estimated the difference of fit
371 (Δ AIC) between the best model from MuSSE and a null model (corresponding to the model
372 with no difference in speciation, extinction and transition rates between the states of a
373 character) and compared this with the difference between the same models as estimated from
374 simulated datasets. 1000 sets of traits were generated on the Parnassiinae phylogeny using the
375 *sim.history* function from the R-package *phytools* (Revell 2012). To keep the phylogenetic
376 signal in the trait, transition rates between states were simulated using values obtained from
377 the null model fitted on the observed phylogeny.

378 Second, there is concern whether SSE models are uncovering actual drivers of
379 diversification, or whether they are simply pointing to more complex patterns involving
380 unmeasured and co-distributed factors in the phylogeny (Beaulieu and O’Meara 2016). A
381 model with an additional hidden character may alleviate this issue. We applied the Hidden
382 SSE (HiSSE) model to specifically account for the presence of unmeasured factors that could
383 impact diversification rates estimated for the states of any observed trait. The analyses were
384 performed in the Bayesian software RevBayes (Höhna et al. 2016a).

385 To provide an independent assessment of the relationship between diversification rates
386 and host specificity, we used models that allow diversification rates to vary among clades.
387 BAMM 2.5 (Rabosky et al. 2013, 2014a) was used to explore for differential diversification
388 dynamic regimes among clades differing in their host-plant feeding. BAMM analyses were
389 run for 10 million generations, sampling every 10,000th and with four different values of the
390 compound Poisson prior (CPP) to ensure the posterior is independent of the prior (Moore et

391 al. 2016). Mixing and convergence among runs (ESS>200 after 15% burn-in) were assessed
392 with the R-package *BAMMtools* 2.1 (Rabosky et al. 2014b). BAMM has been criticized for
393 incorrectly modeling rate-shifts on extinct lineages, i.e. unobserved (extinct or unsampled)
394 lineages inherit the ancestral diversification process and cannot experience subsequent
395 diversification-rate shifts (Moore et al. 2016, but see Rabosky et al. 2017). To solve this, we
396 used here a novel approach implemented in RevBayes that models rate shifts consistently on
397 extinct lineages by using the SSE framework (Moore et al. 2016; Höhna et al. in prep.).
398 Although there is no information of rate shifts for unobserved/extinct lineages in a phylogeny
399 including extant species only, these types of events must be accounted for in computing the
400 likelihood. The number of rate categories is fixed in the analysis but RevBayes allows any
401 number to be specified, thus allowing direct comparison of different macroevolutionary
402 regimes.

403

404 *Diversification analyses in a Court Jester model.* – We evaluated the impact of abiotic
405 factors such as abrupt changes in tectonic or climatic settings or mass extinction events (e.g.
406 the EOCT at 33.9 Ma) using episodic birth-death models implemented in the R-packages
407 *TreePar* 3.3 (Stadler 2011) and *TESS* 2.1 (CoMET model, Höhna et al. 2016b; May et al.
408 2016). These models allow detection of discrete changes in speciation and extinction rates
409 concurrently affecting all lineages in a tree. Both approaches estimate changes in
410 diversification rates at discrete points in time, but can also infer mass extinction events,
411 modeled as sampling events in the past in which the extant diversity is reduced by a fraction.
412 Speciation and extinction rates can change at those points but remain constant within time
413 intervals. The underlying likelihood function is identical in the two methods (Stadler 2011;
414 Höhna 2015), but *TreePar* and *TESS* differ in the inference framework (ML vs. BI) and the
415 method used for model comparison (AICc vs. BF). In addition, *TESS* uses independent CPPs

416 to simultaneously detect mass extinction events and discrete changes in speciation and
417 extinction rates, while TreePar estimates the magnitude and timing of speciation and
418 extinction changes independently to the occurrence of mass extinctions (i.e. the three
419 parameters cannot be estimated simultaneously due to parameter identifiability issues, Stadler,
420 2011). To compare inferences between CoMET and TreePar, we performed two independent
421 TreePar analyses allowing and disallowing mass extinction events (argument
422 ME=TRUE/FALSE). We compared models with 0, 1 or 2 rate shifts/mass extinction events in
423 TreePar using the AICc, while BF comparisons were used to assess model fit between models
424 with varying number and time of changes in speciation/extinction rates and mass extinctions
425 in TESS. Finally, we used an implementation of CoMET in RevBayes (Höhna, unpublished),
426 an episodic birth-death model in which speciation and extinction are allowed to vary at
427 discrete points in time, but are autocorrelated (instead of independent/uncorrelated as in
428 CoMET) across time intervals; a Brownian model with rates in the next time interval centered
429 around the rates in the current time interval was used. Also, the number of diversification rate
430 shifts was set *a priori* as in population skyline models (Strimmer and Pybus 2001), while in
431 CoMET this is modeled through a CPP prior.

432 To examine the influence of elevational distribution (lowland, mountain, or both) on
433 speciation and extinction rates, we used the trait-dependent diversification model GeoSSE
434 (Geographic State Speciation and Extinction, Goldberg et al. 2011). Geographic characters
435 require a third widespread state, because, unlike morphological traits, ancestors can be present
436 in more than one state (area). This requires the modeling of cladogenetic state change, the
437 probability associated with the division of an ancestral range between the two descendants,
438 alongside anagenetic state change (i.e. the probability of character change along branches).
439 Species were coded by their elevation zone, using data from literature, museum records, and
440 field observations. We evaluated 12 models of increasing complexity to test the relationship

441 between elevational distributions and diversification. As in MuSSE above, we evaluated the
442 performance of GeoSSE using simulation tests. Trait simulation was more complex here
443 because there is no direct transition between states A and B. Instead, these transitions involve
444 range expansion to an intermediate widespread state AB (dAB, dBA) followed by local
445 extinction (xA, xB). Following Goldberg et al. (2011), we considered transition rates between
446 A to B as null because they involve more than one instantaneous event; the transition rate
447 from A to AB was coded as range expansion dA, from B to AB as dB; transitions from AB to
448 A and from AB to B (“extirpation rates”) were coded as xB and xA, respectively. For our
449 1000 simulations, we used the transition rates of the model with equal speciation rates
450 ($s_A=s_B=s_{AB}$), but different extinction ($x_A \neq x_B$) and transition rates ($d_A \neq d_B$).

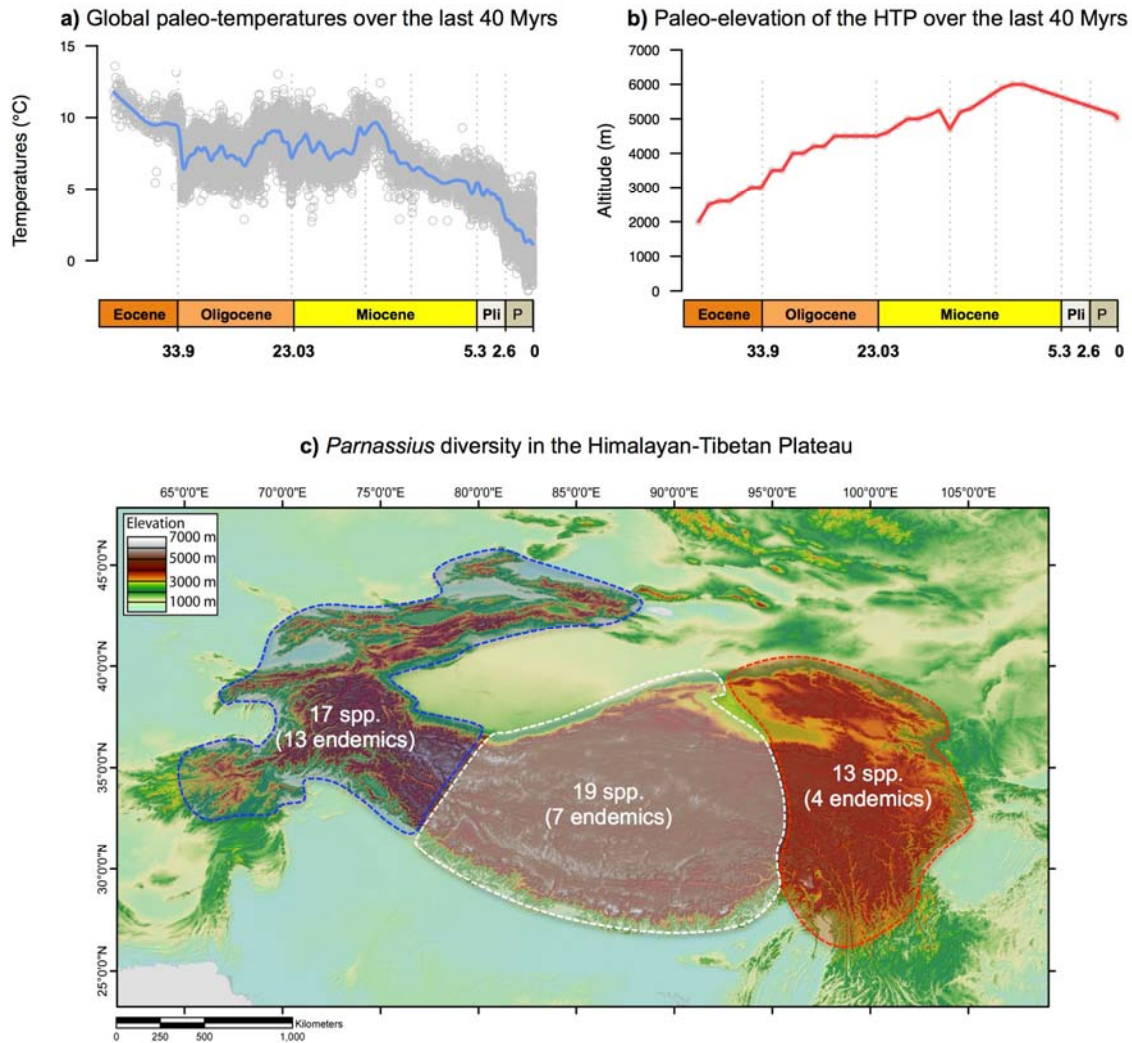
451 Finally, we tested the impact of paleoenvironmental variables on diversification rates,
452 using a birth-death likelihood method in which rates may change continuously with an
453 environmental variable, itself varying through time (Condamine et al. 2013). We tested four
454 models: (i) a constant-rate birth-death model in which diversification is not associated to the
455 environmental variable; (ii) speciation rate varies according to environment and extinction
456 rate does not vary; (iii) speciation rate does not vary and extinction rate varies according to
457 environment; and (iv) both speciation and extinction rates vary according to environment. We
458 also tested the corresponding models in which speciation and/or extinction are allowed to
459 vary with time, but independently from the environmental variable (time-dependent birth-
460 death models, Morlon et al. 2011). When rates varied with the environment (E), we assumed
461 exponential variation, such that $\lambda(E) = \lambda_0 \times e^{\alpha E}$ and $\mu(E) = \mu_0 \times e^{\beta E}$, in which λ_0 and μ_0
462 are the speciation and extinction rates for a given environmental variable for which the value
463 is 0, and α and β are the rates of change according to the environment. Positive values for α or
464 β mean a positive effect of the environment on speciation or extinction (and conversely). As
465 environmental variables (**Figure 2**), we used paleotemperature (data retrieved from Zachos et

466 al. 2008) and paleo-elevation of the HTP (a proxy for mountain building), with data compiled
467 from existing data in the literature available on Dryad (**Appendix 7**). The R-package *pspline*
468 was used to build environmental vectors from the data as input for the birth-death models.

469 Alternatively, we used RevBayes and the CoMET framework (episodic birth-death
470 models) to implement an analysis in which diversification rates are allowed to co-vary with,
471 or evolve independently from, paleotemperatures and paleo-elevation across discrete time
472 intervals (Palazzesi al. in review). A correlation coefficient, denoted β , is co-estimated with
473 diversification rates in the model and describes the magnitude and direction of the
474 relationship between the variable and changes in diversification rates: $\beta < 0$ (negative
475 correlation), $\beta > 0$ (positive correlation), and $\beta = 0$ (no correlation, in which case the model
476 collapses to the episodic birth-death models; see **Appendix 8** on Dryad).

477

478 **Figure 2. Environmental changes over the last 40 million years.** a) Trends in global
479 climate change estimated from relative proportions of different oxygen isotopes (Zachos et al.
480 2008), and b) trends in Himalayan-Tibetan Plateau (HTP) elevation for the last 40 Myrs,
481 compiled from data from a literature survey; our compilation shows that the HTP was as high
482 as 3000 m in the Eocene, congruent with recent reviews (Renner 2016; Appendix 7). c) Map
483 of the current elevation of the Himalayan-Tibetan Plateau with the species diversity of
484 *Parnassius* in the region.



485

486 Results

487 *Phylogeny and fossil placements*

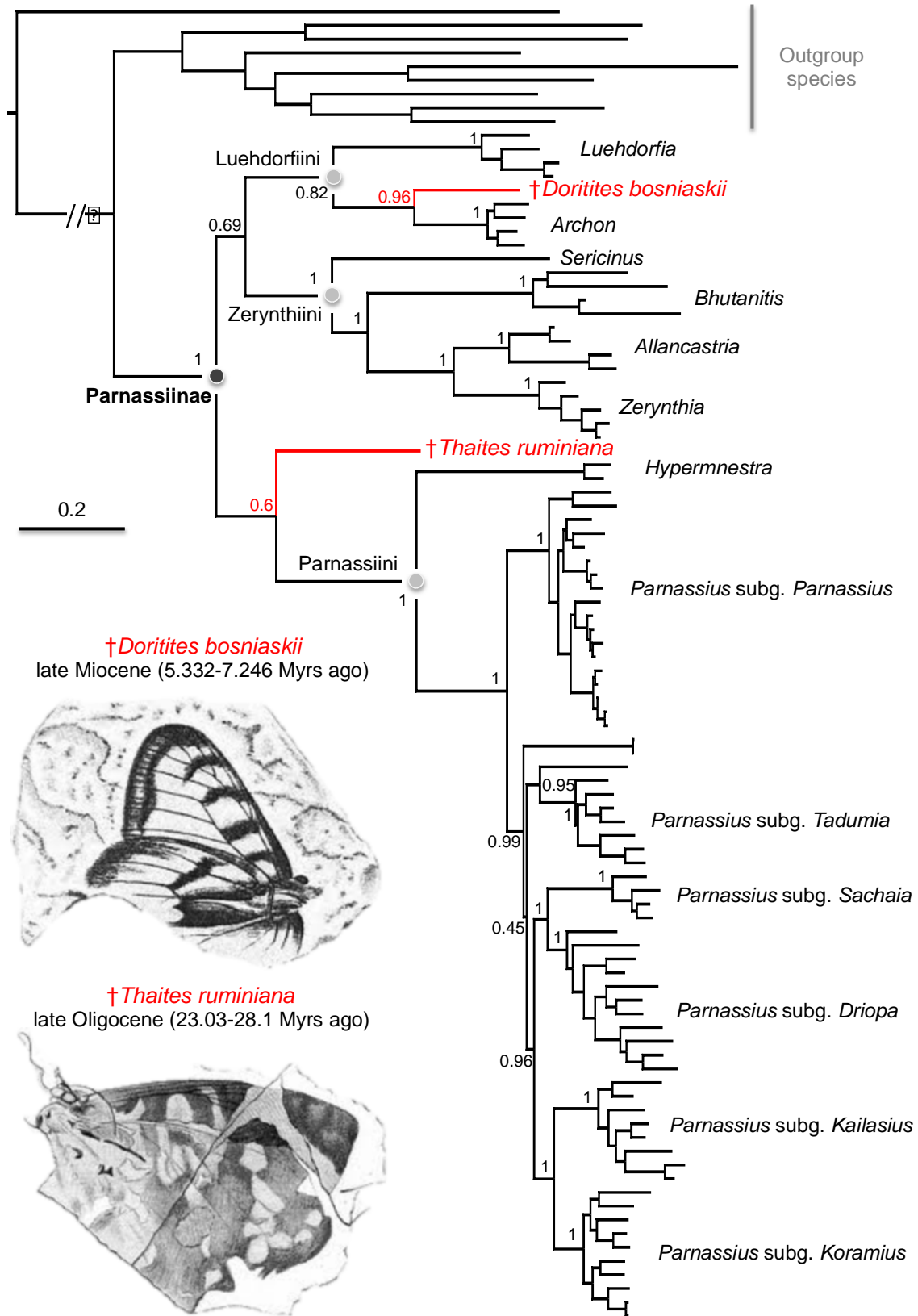
488 The molecular matrix comprised 4535 nucleotides and 85 extant species of Parnassiinae plus
489 two fossil species, following recent taxonomic reviews (Frankenbach et al. 2012; Bollino and
490 Racheli 2012) (**Appendices 1 and 2** on Dryad). PartitionFinder selected eight partitions as the
491 best scheme for substitution models (**Appendix 9** on Dryad). Convergence among runs was
492 supported by the low average standard deviation of split frequencies, PSRF values ≈ 1.0 , and
493 ESS $\gg 1000$ for many parameters. The resulting Bayesian trees were well resolved and robust:
494 74.4% of the nodes were recovered with PP > 0.95 with MrBayes (**Figure 3**), and 83% in

495 BEAST (70% with PP=1) when fossil taxa were removed. Phylogenetic relationships agree
496 with previous studies (Nazari et al. 2007; Michel et al. 2008), showing
497 Luehdorfiini+Zerynthiini as sister-tribes and sister to Parnassiini; within the latter,
498 *Hypermnestra* is sister to the genus *Parnassius*. Most of the weak support is found within the
499 radiation of species complexes, such as *Parnassius apollo* or in the subgenus *Kailasius*, but
500 two basal nodes in *Parnassius* have PP<0.9.

501 The total-evidence analysis allowed us to test the phylogenetic placement of the
502 Parnassiinae fossils. †*Thaites* was often recovered as sister to Parnassiini (PP=0.6, **Figure 3**),
503 and occasionally as sister to Luehdorfiini+Zerynthiini. This fossil was used to provide a
504 minimum age for crown Parnassiinae, calibrated with a uniform prior bounded between 23.03
505 Ma (minimum) and 47.8 Ma (maximum). †*Doritites* was reconstructed as sister to *Archon*
506 (Luehdorfiini, PP=0.96), in agreement with Carpenter (1992), who tentatively synonymized
507 †*Doritites* with *Luehdorfia*. The crown of Luehdorfiini was thus constrained for divergence
508 time estimation using a uniform distribution bounded between 5.33 Ma (minimum) and 47.8
509 Ma (maximum).

510

511 **Figure 3. Phylogenetic relationships inferred with a Bayesian total-evidence approach**
512 **combining molecular and morphological data of extant and extinct Parnassiinae.** This
513 integrative approach allowed assessment of the phylogenetic placement of two fossils, which
514 were then used to calibrate the molecular dating analysis. Backbone nodes show posterior
515 probability values. Nodal support values within genera or subclades are shown in Appendix
516 10 for alternative dating analyses including, or not, morphological data.



518

519 *Divergence time and biogeographic estimates*

520 The BEAST analyses (Yule vs. birth-death, molecular vs. total-evidence) yielded
521 almost identical estimates of divergence times with less than one million years of difference
522 for most nodes (**Appendix 10** on Dryad). Bayes Factor comparisons were also not conclusive,
523 with little difference in the stepping-stone marginal likelihood estimate among analyses
524 (**Appendix 11** on Dryad). We present the results for the analysis with the birth-death prior
525 and the total-evidence dataset (**Figure 4**) because it offered slightly better convergence and
526 incorporating extinction into the tree prior seemed more realistic for such an old lineage
527 (results from the other analyses are available on Dryad, **Appendix 10**).

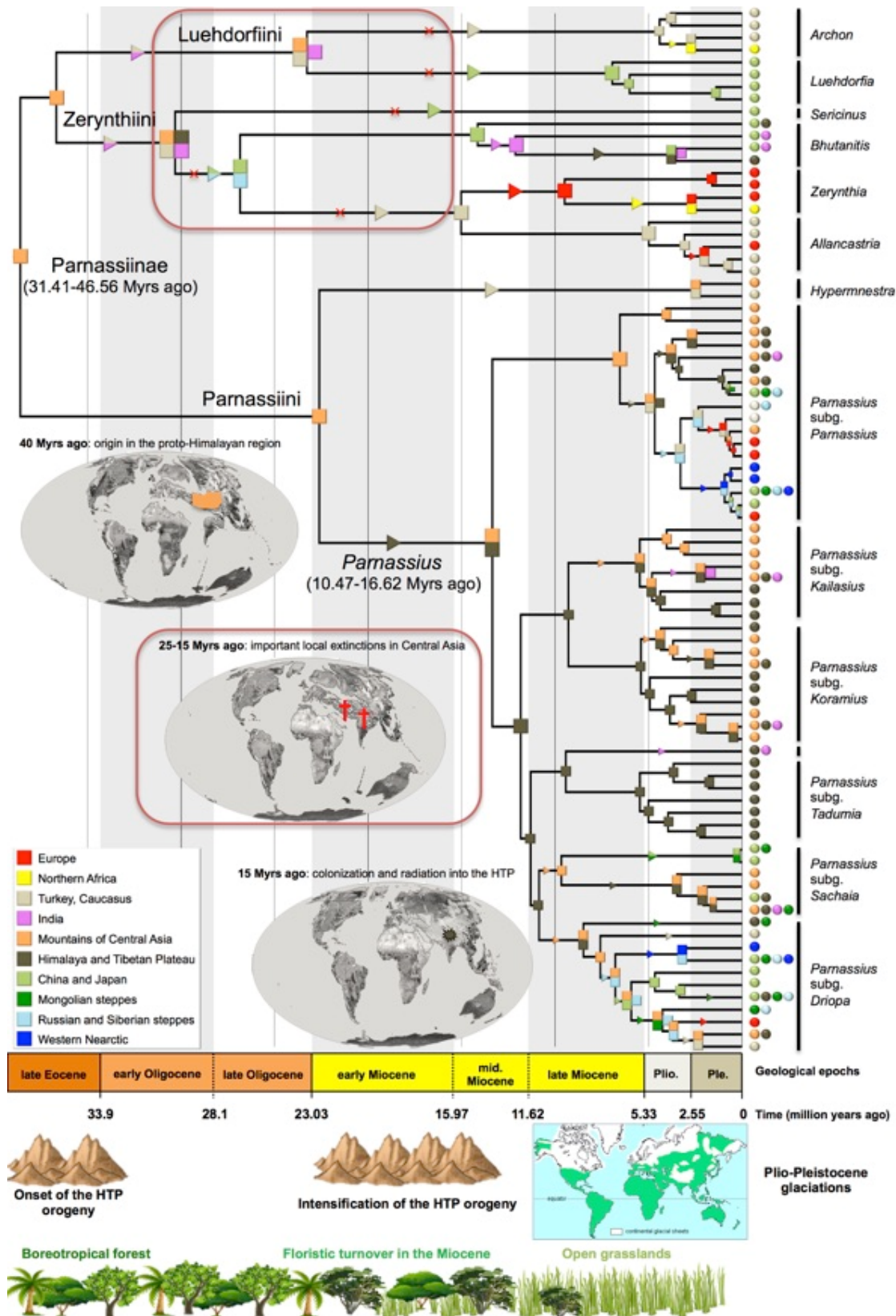
528 Based on this analysis and results from the DEC inference, Parnassiinae originated in
529 the late Eocene (38.6 Ma, 95% HPD 31.4-46.6 Ma) in Central Asia (**Figure 4**). The ancestor
530 of sister-tribes Luehdorfiini and Zerynthiini (36.7 Ma, 29.9-44.5 Ma) is also reconstructed in
531 Central Asia, followed by independent dispersal events to India + Caucasus-Turkey and the
532 HTP. Luehdorfiini diversified in the early Miocene at 23.3 Ma (17.4-30.2 Ma) within a broad
533 geographic range including India, Turkey-Caucasus, and Central Asia; this was followed by
534 extinction in Central Asia and India, and a later dispersal to China-Japan along the stem of
535 *Luehdorfia*. Zerynthiini originated in the Oligocene (30.3 Ma, 24.1-37.2 Ma) within a region
536 comprising India, Turkey-Caucasus, Central Asia, and the HTP, and also underwent
537 extinction events in Central Asia and India during the Miocene. In contrast, Parnassiini first
538 diversified within this region in the early Miocene (22.6 Ma, 17.2-28.5 Ma), followed by
539 dispersal to Turkey-Caucasus in *Hypermnestra*. The ancestor of *Parnassius* dispersed from
540 Central Asia to HTP around the mid-Miocene (13.4 Ma, 10.5-16.6 Ma), followed by
541 vicariance between subgenus *Parnassius* and the ancestor of the other subgenera. Dispersal
542 events to adjacent geographic regions and subsequent allopatric speciation are reconstructed

543 within each subgenus, especially in *Driopa*. A more detailed account of the biogeographic
544 history and alternative nodal reconstructions are available on Dryad (**Appendix 12**).

545

546 **Figure 4. Time-calibrated phylogeny and biogeography of Apollo butterfly radiation**
547 **and paleo-tectonic evolution at important periods.** Colored squares on nodes indicate the
548 most likely biogeographical estimate, as in the lower left inset (uncertainties in range
549 estimates are in Appendix 12). Triangles indicate range expansions, and X's denote local
550 extinctions. A timescale spans the full evolutionary history of the group. Earth maps represent
551 paleogeography at specific time periods. The red box highlights a notable period of local
552 extinctions in the clade Luehdorfiini+Zerynthiini. Panels at bottom represent the main
553 Cenozoic environmental changes occurring with the onset and rise of the Himalayan-Tibetan
554 Plateau (HTP) or floristic turnovers. Sensitivity analyses were conducted to test the effect of
555 adding or excluding morphological data; results are presented in Appendix 10. Plio., Pliocene;
556 Ple., Pleistocene.

557



559 **Macroevolutionary dynamics**

560 A summary of diversification rate analysis results is presented in **Table 1**.

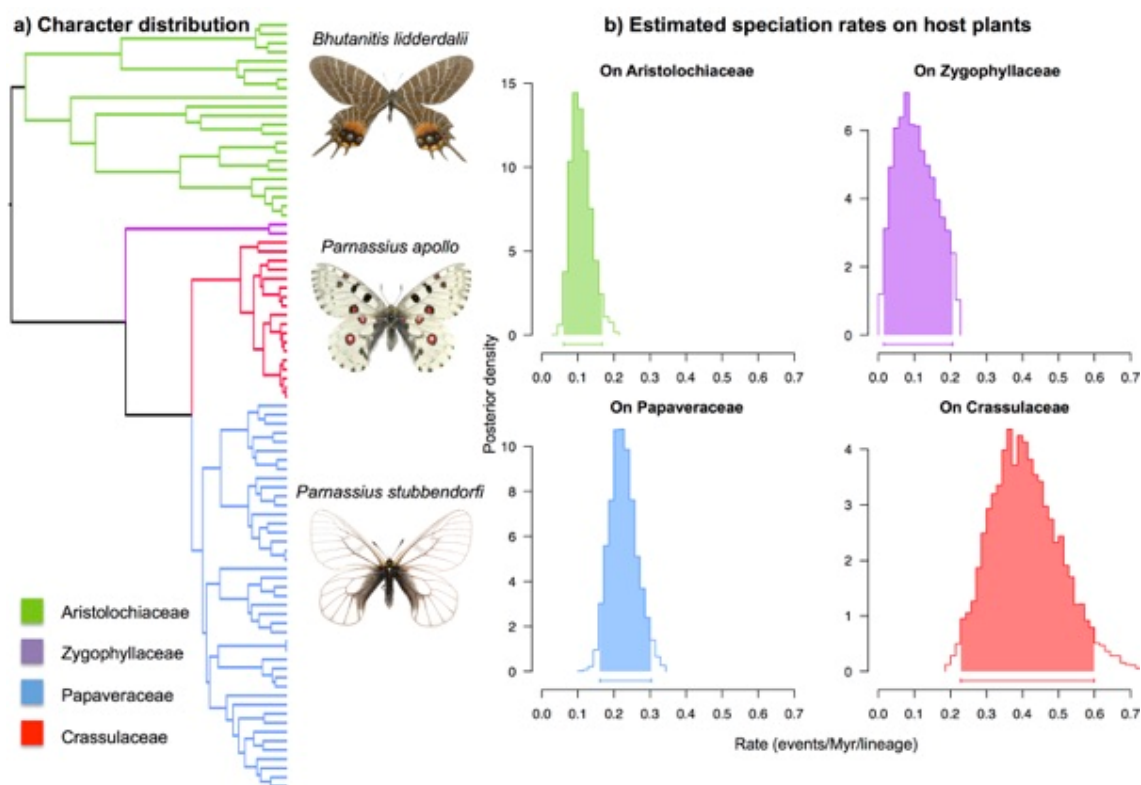
561 *Diversification in a Red Queen model.* – Diversity-dependent diversification models
562 estimated an unlimited carrying capacity for the subfamily (**Appendix 13** on Dryad),
563 implying that accumulating diversity did not influence diversification rates. The best-fitting
564 DDD model was one in which extinction rate increased with diversity. For *Parnassius* the
565 best model was a diversity-dependence process with speciation decreasing with increasing
566 diversity. However, none of these models received significant support when compared to
567 other models ($\Delta AICc < 2$).

568 MuSSE analyses on host-plant preferences selected a model in which speciation rates
569 varied among clades feeding on different host plants; extinction and transition rates were
570 estimated as equal (**Appendix 14** on Dryad). Zygophyllaceae feeders (*Hypermnestra*) had the
571 lowest speciation rate, followed by Aristolochiaceae feeders (Luehdorfiini and Zerynthiini),
572 with the highest rates for clades feeding on Papaveraceae (all *Parnassius* except subgenus
573 *Parnassius*) and Crassulaceae+Saxifragaceae (subgenus *Parnassius*) (**Figure 5**). MCMC
574 credibility intervals showed significant differences between the speciation rates of
575 Papaveraceae feeders and Crassulaceae+Saxifragaceae feeders and those feeding on other
576 host plants ([http://dx.doi.org/10.5061/dryad.\[NNNN\]](http://dx.doi.org/10.5061/dryad.[NNNN]), **Appendix 15**). Transition rates for
577 host-plant switches were often estimated close to zero with strong niche conservatism in the
578 phylogeny; once a lineage shifted to a new host it did not switch back and only rarely
579 colonized another host plant (**Appendix 14**). We assessed the robustness of this pattern with a
580 simulation procedure, and Bayesian implementations of HiSSE and MuSSE in RevBayes
581 ([http://dx.doi.org/10.5061/dryad.\[NNNN\]](http://dx.doi.org/10.5061/dryad.[NNNN]), **Appendix 16**). All analyses supported the same
582 diversification pattern with speciation rates being different among lineages feeding on

583 different host plants. Our estimates were robust to known biases of SSE models, suggesting a
584 strong effect of host plant on diversification rates of Parnassiinae.

585

586 **Figure 5. Diversification of Parnassiinae linked to their host plant.** a) Phylogenetic
587 distribution of host-plant traits (four states) analyzed with MuSSE diversification models. b)
588 Bayesian inferences made with the best-fitting MuSSE model (see Appendix 14) showed that
589 speciation rates vary according to the host-plant trait: *Parnassius* clades feeding on
590 Papaveraceae and Crassulaceae+Saxifragaceae have higher speciation rates than their
591 relatives feeding on other families; extinction and transition rates were estimated as equal
592 across clades.



593

594 Bayesian inferences that model rate-heterogeneity across clades provided further
595 corroboration of the SSE inferences (**Figure 6a**). Both BAMM and an alternative
596 implementation of lineage-specific birth-death model in RevBayes supported a diversification

597 regime in which there is at least an increase in diversification rates along the stem of
598 *Parnassius* (and possibly a second upshift at the stem of subgenus *Parnassius*) and found
599 higher speciation rates for host-plant feeders on Crassulaceae+Saxifragaceae and
600 Papaveraceae than those on Aristolochiaceae or Zygophyllaceae (**Appendices 17-19** on
601 Dryad). BAMM was insensitive to the CPP prior.

602

603 **Figure 6. Diversification dynamics of Parnassiinae inferred with time-dependent models.**

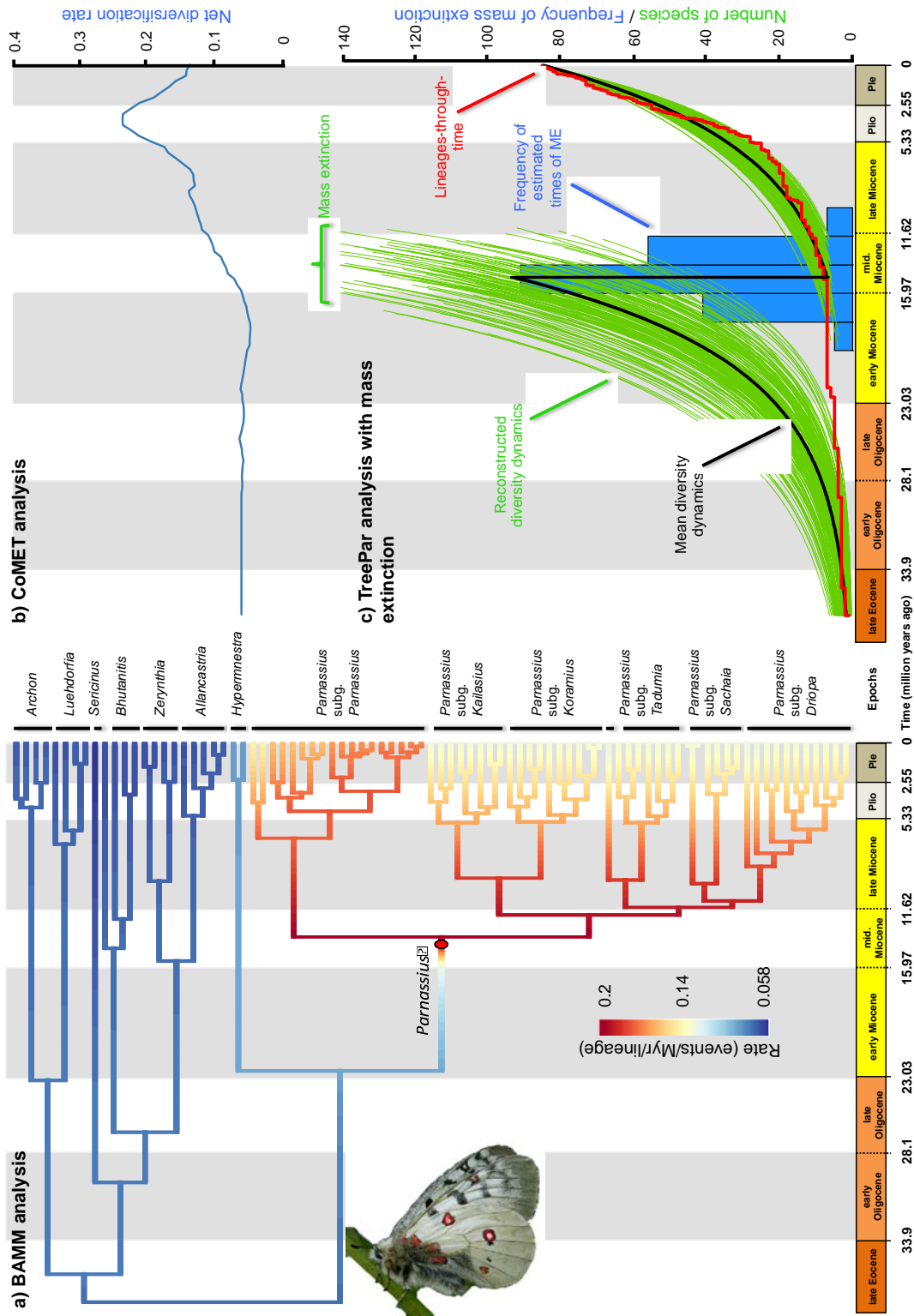
604 a) Rate-heterogeneity-across-clades models implemented in BAMM and RevBayes identified
605 one significant shift in diversification rates along the stem leading to *Parnassius* (represented
606 by a red circle; see also Appendices 17-19), with a higher speciation rate in this clade than in
607 the rest of the tree. Episodic birth-death models implemented in CoMET (b) and TreePar (c)
608 identified a possible mass extinction event in the mid-Miocene (~15 Ma). The blue histogram
609 shows the uncertainty in the timing of the mass extinction event based on the estimates over
610 200 trees with TreePar (similar estimates are obtained for CoMET; Appendix 20). The two
611 models also estimated an increase in diversity around the early-mid Pliocene (b,c), but this
612 was followed by a decrease in diversity towards the present time in CoMET which was not
613 detected in TreePar. The red line shows the lineages-through-time plot. The green lines
614 represent the reconstructed past diversity before and after the mass extinction, based on the
615 net diversification rates and 7.5% of survival probability estimated in TreePar. Plio., Pliocene;
616 Ple., Pleistocene.

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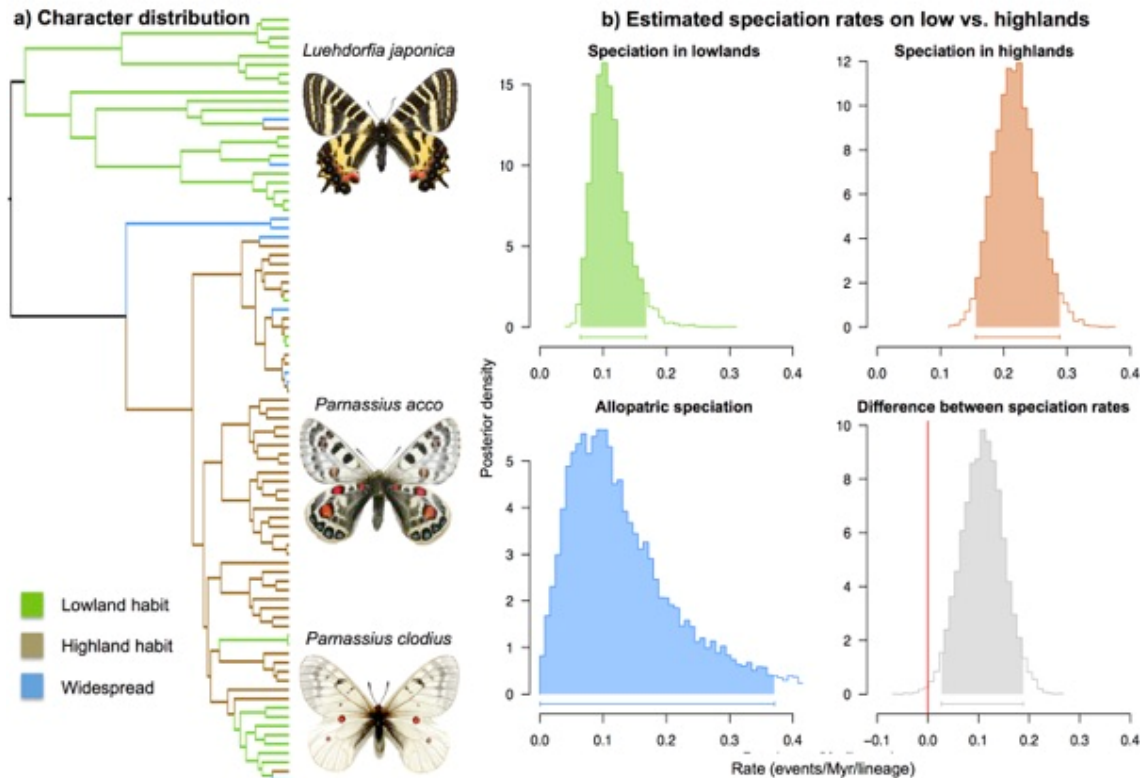


621 *Diversification in a Court Jester model.* – When mass extinctions are disallowed,
622 TreePar supported a model with a single shift at 3.2 Ma (**Table 2**), with a low initial rate of
623 diversification ($r_2=0.031$) and high turnover ($\epsilon_2=0.91$), followed by 4-fold increase in
624 diversification rate ($r_1=0.119$) and a joint decrease of turnover ($\epsilon_1=0.17$). The second best
625 model identified a second shift in the mid-Miocene (15.3 Ma) marked by an extinction period
626 surrounded by low and negative values for the net diversification rate, and values >1 for
627 turnover. When mass extinctions are allowed to occur, TreePar favored a significant mass
628 extinction at 14.8 Ma with an estimated survival probability after the mass extinction of 7.5%
629 (**Table 2; Figure 6c**). The CoMET model implemented in RevBayes with the autocorrelated
630 model also identified a mass extinction event in the mid-Miocene ~15 Ma ($2\ln\text{BF}=6$,
631 **Appendix 20** on Dryad). There was also a significant increase in speciation rates around the
632 Pliocene, followed by a decrease in speciation towards the present (**Figure 6b**); similar results
633 were obtained with the TESS implementation of CoMET (**Appendix 20**).

634 GeoSSE analyses selected a best-fitting model with equal dispersal and extinction
635 rates between altitudinal regions (habitats), but higher speciation rates for highland regions
636 compared to lowland regions (**Appendix 14** on Dryad). Allopatric speciation (for
637 lowland/highland ancestors) was inferred to occur at a higher rate than within-lowland
638 speciation, but at a lower rate than within-highland speciation; these differences remained
639 significant in the Bayesian MCMC analyses, as the credibility interval did not overlap with
640 zero (**Figure 7**). Nevertheless, the difference in speciation rates between higher and lower
641 altitudes was not larger than expected by chance in our simulation tests (**Appendix 16** on
642 Dryad), so we cannot reject the observed effect of altitude on speciation being affected by the
643 shape of the phylogeny.

644

645 **Figure 7. Inference of geographic mode of speciation between mountain and lowland**
646 **species.** a) The phylogenetic distribution of geographic traits (lowland, mountain or
647 ‘widespread’) analyzed with GeoSSE models of diversification. b) Bayesian inferences made
648 with the best-fitting GeoSSE model (selected over a series of 12 diversification models)
649 showing that only speciation varies according to the geographic trait. The results indicate that
650 the mountain-dwelling *Parnassiinae* species have significantly higher speciation rates than
651 their counterparts. The allopatric (or biome divergence) speciation rate is also estimated to be
652 elevated, congruent with our biogeographic estimates.



653

654 The ML-based paleoenvironment-dependent model implemented in RPANDA
655 indicated positive dependence between diversification rates and past temperature (Table 3).
656 In the best model, both speciation and extinction rates increased exponentially with
657 temperature, with a general decrease in diversification rates toward the present, combined

658 with periods of high turnover rates in the late Oligocene and mid-Miocene (**Figure 8**). The
659 best paleo-elevation model had speciation increasing with increasing altitude, whereas
660 extinction remained constant (and not affected by elevation fluctuations), but this model does
661 not fit better than constant-rate or temperature-dependent models (**Table 3**). In contrast, the
662 Bayesian paleoenvironment-dependent model implemented in RevBayes indicated that both
663 past temperature and elevation did not impact the diversification of Parnassiinae, since the
664 correlation parameters α and β had credibility intervals that were not significantly different
665 from zero (**Appendix 21** on Dryad). Moreover, estimates with this model (**Figure 8**) showed
666 diversification dynamics that were similar to those obtained with CoMET and TreePar, but
667 unlike those from RPANDA. These differences might be attributed to the inference
668 framework (ML in RPANDA vs. BI in RevBayes) or to the different underlying birth-death
669 models (continuous in RPANDA vs. episodic in RevBayes). To test this, we implemented the
670 continuous-paleoenvironmental models (Condamine et al. 2013) in TESS. We then compared
671 these models against a constant birth-death model, episodic (piecewise constant) birth-death
672 models (1 to 5 shifts), and time-variable models. This procedure ensured that a single
673 statistical framework (ML and AIC) implemented in TESS was used to compare all models.
674 Results showed that the best-fitting model was one in which speciation and extinction rates
675 vary positively with paleotemperature, in agreement with RPANDA (**Table 4**). We therefore
676 concluded that the different results are related not to the inference framework but to the
677 underlying model: the use of an episodic birth-death model with autocorrelated rates across
678 time intervals in the RevBayes model rather than the uncorrelated episodic model
679 implemented in TESS.

680

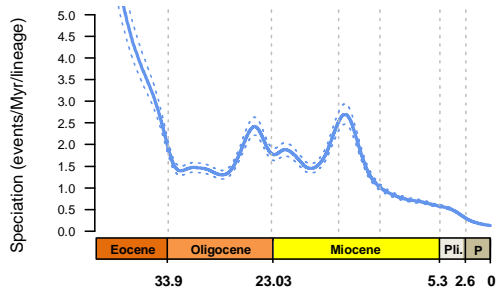
681 **Figure 8. Paleoenvironment-dependent diversification processes in Apollo butterflies.**

682 ML continuous paleoenvironment-dependent models implemented in RPANDA (a-d) showed

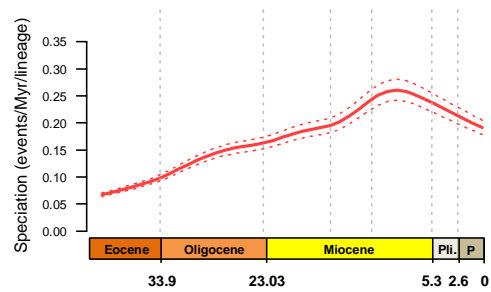
683 positive dependence between paleotemperatures and speciation/extinction; the paleo-
684 elevation-dependent diversification models did not fit the data better than the constant-rate or
685 time-variable models. Bayesian inference of environment-dependent diversification with
686 autocorrelated rates in RevBayes (e-h) supports a model in which both speciation and
687 extinction are not dependent on temperature and elevation changes (represented by dotted
688 lines, temperature and elevation values are given in Figure 2). Colored areas in speciation and
689 extinction plots indicate the credibility interval, with the continuous line representing the
690 median rate. Pli, Pliocene; and P, Pleistocene.

ML continuous paleoenvironment-dependent diversification

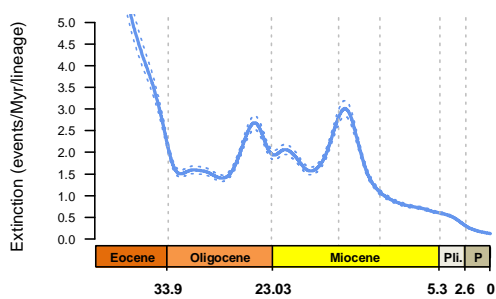
a) Speciation depends positively on past temperatures



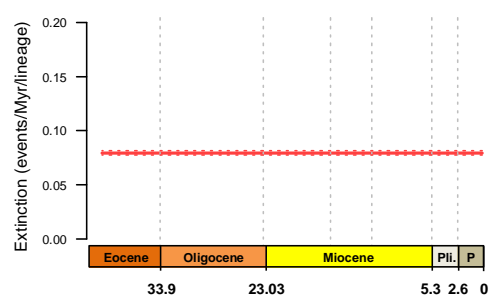
c) Speciation depends positively on past elevation



b) Extinction depends positively on past temperatures

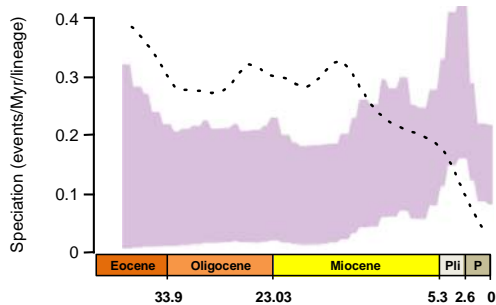


d) Extinction does not depend on past elevation

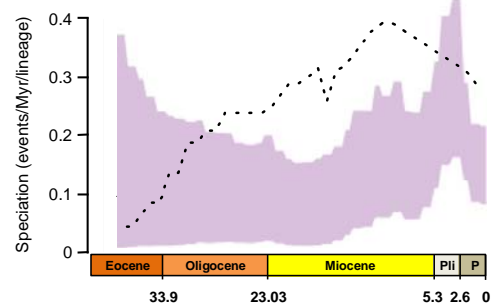


Bayesian autocorrelated paleoenvironment-dependent diversification

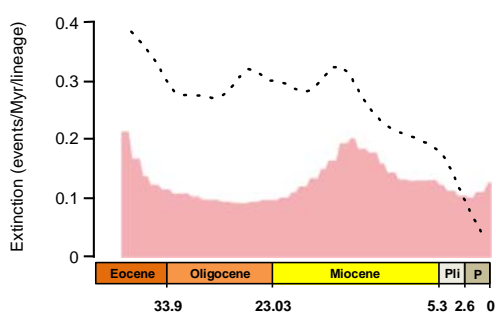
e) Speciation does not depend on past temperatures



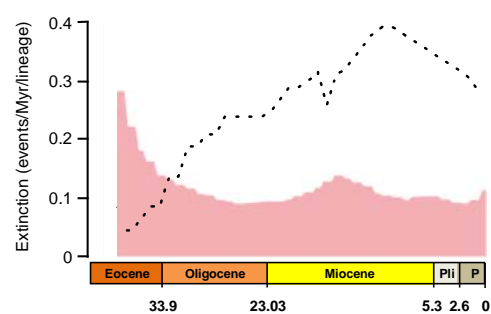
g) Speciation does not depend on past elevation



f) Extinction does not depend on past temperatures



h) Extinction does not depend on past elevation



692 **Discussion**

693 Seeking the determinants of species richness is a fundamental aspect of biology (Morlon
694 2014; Benton 2015). However, studies have generally focused on a single factor to explain a
695 given diversity pattern, whether by historical events promoting changes in diversification and
696 extinction (Barnosky 2001; Erwin 2009; Mayhew et al. 2008), or species ecology and clade
697 competitions as the dominant drivers of diversification (Rabosky 2013; Silvestro et al. 2015).
698 The combined influence of various factors has been tested less often (Drummond et al. 2012a;
699 Bouchenak-Khelladi et al. 2015; Lagomarsino et al. 2016), and at a lower geographic and
700 temporal scale or with a reduced set of methods. Further, these methods have mainly been
701 implemented within a ML framework. In this study, we used existing and some novel
702 methods under both ML and BI to evaluate potential factors driving diversification in
703 Parnassiinae: some are related to a RQ mechanistic model of evolution, others to a CJ
704 hypothesis.

705

706 *Coupled effect of mountain building and climate change*

707 A long-held tenet in biology is that environmental stability leads to greater species richness
708 than a changing environment, suggesting that species interactions are a dominant force
709 (Wallace 1878). A recent debate has emerged between those arguing that physically dynamic
710 ecosystems play a major role in diversification (Hoorn et al. 2013; Condamine et al. 2015a)
711 and even promote adaptive radiation (Tan et al. 2013), and those maintaining that landscape
712 changes have not been important in diversification history, instead advocating the role of
713 large-scale dispersal events as drivers of speciation (Smith et al. 2014). In the Northern
714 Hemisphere, tectonic events such as the closure of Turgai Sea or the building of Alpine and
715 Himalayan orogenies have caused large-scale landscape and climatic changes (Sanmartín et
716 al. 2001). As a result many new habitats were formed that created potential ‘new ecological

717 spaces' and favored speciation (Xing and Ree 2017). Also, the newly created corridors
718 stimulated species exchange between communities, while also acting as barriers that separated
719 formerly continuous populations (Brikiatis 2014). Alternatively, landscape dynamics (i.e.
720 unlike long-term stable environments) may have shattered former habitats, leading organisms
721 to extinction. The rise of the HTP has often been invoked as providing important
722 opportunities to diversify (Fjeldså et al. 2012; Price et al. 2014; Wen et al. 2014; Favre et al.
723 2015; Hughes and Atchison 2015; Renner 2016), but its role as a driver has rarely been
724 formally tested with diversification models (Xing and Ree 2017).

725 Our analyses detected higher *in-situ* speciation rates for mountain species than their
726 lowland counterparts (with similar extinction rates), resulting in higher net diversification
727 rates for mountain species. Yet, this pattern was not robust to phylogenetic shape artifacts
728 (Rabosky and Goldberg 2015). Moreover, ML and BI-based paleo-elevation models found
729 that an explicit link between diversification rates and periods of HTP uplift could be rejected
730 in favor of a constant birth-death. These findings contrast with those of high-elevation taxa in
731 the Andes reported to have diversified faster due to the rise of the Andes as compared to their
732 low-elevation relatives (Lagomarsino et al. 2016; Pérez-Escobar et al. 2017). In contrast,
733 clade rate-heterogeneous models (BAMM, RevBayes) and biogeographic analyses supported
734 a link between diversification rates and the HTP orogeny within Parnassiini, particularly in
735 *Parnassius*. A significant upshift in speciation rates was detected along the stem of
736 *Parnassius*, coincident with the colonization of the HTP, while DEC inferred several
737 speciation/vicariance events associated with the HTP orogeny (**Figure 4**). The latter is
738 corroborated by the relatively high rate of allopatric (lowland/highland) speciation estimated
739 by GeoSSE. These different results can partially be explained by the HTP rise providing
740 novel, high-altitude habitats for colonization in *Parnassius*, and thereby promoting ecological
741 divergence and initial rapid diversification (followed later by allopatric speciation). Yet,

742 diversification was not strictly parallel to periods of mountain building. Conversely, the rise
743 of the HTP – and concomitant climatic changes such as regional cooling and aridification –
744 led to higher extinction rates in non-mountain-adapted Parnassiinae lineages, such as
745 Luehdorfiini and Zerynthiini that survived west and east of the Himalayan range; several
746 events of extinction are inferred within these lineages around the mid-Miocene. This mixed
747 effect is probably responsible for the lack of a significant association between orogeny and
748 speciation in tree-wide models, which is otherwise detected by clade-heterogeneous models.

749 Paleoclimate change is another abiotic factor postulated to be a major trigger of
750 diversification in terrestrial organisms (Erwin 2009). CoMET, TreePar, and the ML-based
751 paleotemperature-dependent model inferred a pattern of increased turnover (background
752 extinction) rates associated with periods of global climate warming, such as the mid-Miocene
753 Climate Optimum (MMCO, 15-17 Ma, Böhme 2003) or the late Oligocene Warming Event
754 (LOWE, ~25 Ma, Zachos et al. 2008, **Figure 2**). DEC also reconstructed several events of
755 (geographic) extinction in Central Asia and India along the stem branches subtending the
756 crown diversification of extant genera in Luehdorfiini and Zerynthiini (**Figure 4**). These long
757 branches are consistent with periods of high extinction rates related to the LOWE, which were
758 eventually followed by mid-Miocene radiations, especially in *Parnassius* (the latter probably
759 linked to the HTP rise). Paleotemperature and the HTP orogeny probably had a joint influence
760 in the diversification of Parnassiinae. Mountain building is known to be responsible for
761 regional climate change (Sepulchre et al. 2006; Armijo et al. 2015), and for changes in
762 biodiversity patterns, both directly as a geographic barrier and indirectly through climate
763 change (Hoon et al. 2013). The HTP acted as a barrier to the influence of the Asian
764 monsoons (Zhisheng et al. 2001) and induced considerable drying of Central Asia (Quade et
765 al. 1989). In Parnassiinae, the HTP rise and subsequent periods of mountain building might
766 have promoted diversification through the provision of “climate refugia”: locations where

767 taxa survived periods of regionally adverse climate. Although usually associated with
768 maintaining biodiversity through glacial–interglacial climate changes (Gavin et al. 2014),
769 mountains can provide climate refugia during warming-aridification events (Migliore et al.
770 2013; Pokorny et al. 2015). Drastic range contractions within the non-mountain adapted
771 Luehdorfiini and Zerynthiini (**Figure 4**) could have been driven by aridification events
772 induced by HTP uplift and mid-Miocene climate warming, with *Hypermnestra* as a relict
773 group that survived in the Zagros Mountains. In *Parnassius*, colonization of the HTP
774 coincided with a boost of speciation, followed by dispersal to adjacent areas in the late
775 Miocene-Pliocene. The long stem branches leading to extant Parnassiinae genera are likely
776 the result of climate-driven extinction events associated with Cenozoic warming periods, such
777 as the LOWE and the MMCO. During these periods, Parnassiinae species probably migrated
778 toward cooler temperatures by colonizing the newly uplifted mountain ranges of the Zagros
779 and HTP. This agrees with the climate refugia hypothesis, a pattern currently observed in
780 Himalayan plants, which are shifting their distribution upward along with global warming
781 (Padma 2014). We argue that the HTP acted as climate refugia during global warming events,
782 in which pre-adapted parnassiines survived and diversified before re-colonizing ancestral
783 geographic ranges. It would be interesting to test this hypothesis in other extra-Himalayan
784 groups that diversified during the HTP orogeny (Favre et al. 2015), as well as in other
785 mountains around the world for which paleo-elevation data is available (Fjeldså et al. 2012;
786 Hoorn et al. 2013; Hughes and Atchison 2015).

787

788 ***Ecological interactions via insect-plant diversification and host-plant shifts***

789 Biological interactions among distantly related lineages probably played a major role in the
790 diversification of clades over geological time (Van Valen 1973; Liow et al. 2015; Silvestro et
791 al. 2015; Voje et al. 2015). Our analyses identified significant differences in speciation rates

792 associated with different host-plants. Several models (BAMM, MuSSE, and Bayesian
793 implementations of MuSSE and HiSSE in RevBayes) indicated that *Parnassius* subgenera
794 associated with Crassulaceae-Saxifragaceae and Papaveraceae have significantly higher rates
795 of speciation than their relatives feeding in other host plants (**Figures 5, 6**). Interestingly, the
796 lowest speciation rates are associated with butterflies feeding on Aristolochiaceae, the
797 inferred ancestral host plant of the Parnassiinae (Condamine et al. 2012). Our results concur
798 with the ‘escape and radiate’ hypothesis of Ehrlich and Raven (1964), which predicts that the
799 evolution of a herbivore insect trait (i.e. new tolerance of plant defenses) that allows
800 colonizing/feeding on a novel host plant lineage would lead to a burst of diversification. The
801 highly unbalanced extant species richness between Parnassiini and the clade
802 Luehdorfiini+Zerynthiini, explained above by geographic and climate drivers, might also be
803 explained by differential speciation rates related to their feeding habit, as well as the overall
804 low ability of Parnassiinae for shifting between host plants (i.e. transition rates estimated
805 MuSSE are close to zero).

806 Testing this hypothesis would require reconstruction of the diversification history of
807 the host-plant clades; this would allow us, for example, to identify shifts that led to reciprocal
808 changes in diversification in the host plants and to test whether these shifts were accompanied
809 by matching ancestral geographic distributions between herbivore insects and plants at key
810 events (Cruaud et al. 2012). Unfortunately, so far there is no complete phylogeny for any of
811 the large host families for Parnassiinae, including Aristolochiaceae (~500-600 spp.),
812 Papaveraceae (~700-800 spp.), Crassulaceae (~1300-1500 spp.), and Saxifragaceae (~600-
813 700 spp.). However, we found a positive correlation between species richness of these plant
814 lineages and the speciation rates of their insect feeders (MuSSE: $R^2=0.96$, BAMM: $R^2=0.87$;
815 **Appendix 22** on Dryad). This suggests that fast-diversifying clades are associated with
816 families offering a higher number of potential hosts (akin to niche availability). Janz et al.

817 (2006) found a similar correlation between host-plant diversity and speciation rates in the
818 Nymphalidae; they posited that recurring oscillations between host-plant expansions (i.e.
819 incorporation of new plants into the feeding repertoire) and host specialization acted as a
820 major driving force behind the diversification of plant-feeding insects.

821 In adaptive radiations, the expectation is an initial rapid burst of diversification,
822 followed by a slowdown in speciation rates as niches are filled up; this is attributed to a
823 diversity-dependence effect (Phillimore and Price 2008; Etienne et al. 2012). A shift to a new
824 ecological resource (e.g. a new host plant) can be an adaptive breakthrough (Ehrlich and
825 Raven 1964), providing higher opportunities for speciation at the start of the radiation, which
826 significantly decrease as species accumulate. Though we did not detect this diversity-
827 dependence effect in Parnassiinae, a pattern of speciation decreasing with standing diversity
828 (and constant extinction rates) was found in *Parnassius*, supporting the hypothesis of this
829 genus as an example of an adaptive radiation (Rebourg et al. 2006), driven by the evolution of
830 a new host-plant association. The inference of similar extinction rates in clades of
831 Parnassiinae feeding on different host plants does agree with Van Valen's (1973) RQ
832 hypothesis, which assumes constant extinction probabilities shared by all members of any
833 given higher taxon.

834

835 ***Interplay between the Red Queen and the Court Jester***

836 Both abiotic and biotic factors drive species diversification, and biological radiations require
837 both extrinsic conditions and intrinsic traits – acting in unison or sequentially over time – for
838 success (Donoghue and Sanderson 2015). A lack of suitable methods and data has resulted in
839 few studies attempting to merge both types of factors to explain evolutionary radiations
840 (Bouchenak-Khelladi et al. 2015; Liow et al. 2015; Lagomarsino et al. 2016). Here, we argue
841 that RQ and CJ-type factors are intimately linked, and they both promote and prevent species

842 diversification. No single but multiple factors explain the diversification of Apollo butterflies,
843 and the effect of these factors differed across clades. Past changes in climate and elevation
844 during active orogenic periods jointly mediated the rapid radiation of mountain-dwelling
845 *Parnassius*, but also triggered extinction events in non-mountain adapted clades Luehdorfiini
846 and Zerynthiini. The ancestor of Luehdorfiini and Zerynthiini was reconstructed in the
847 Oligocene as occupying a broad distribution range extending from the Caucasus region, the
848 Iranian Plateau and the Zagros Mountains, through Central Asia to India (*red box* in **Figure**
849 **4**). Extinction in Central Asia and India around the Oligocene-Miocene, followed by
850 independent eastward/northward colonizations by Luehdorfiini and Zerynthiini, could explain
851 the current disjunct distribution pattern in these two tribes.

852 Our study also demonstrates that ecological traits and biotic interactions such as host-
853 plant associations and clade-specific diversity-dependence may confer differential
854 diversification capacity in closely related species co-occurring in the same rapidly changing
855 environment. In particular, the radiation of *Parnassius* in the HTP seems to have been
856 fostered by the joint effect of climate and geological changes associated with new biotic
857 interactions. Mountain uplift promoted speciation by allopatric speciation, but also increased
858 habitat heterogeneity, and led ultimately to the formation of new niches (ecological
859 divergence). The colonization of these niches led to new associations with mountain host
860 species, and potentially to the evolution of new morphological adaptive traits, e.g. denser
861 setae on the body or altitudinal melanism. Although it is difficult to tease apart the role of key
862 innovations (new traits that evolved allowing the invasion of a new niche) on ecological
863 opportunity (the formation of a new environment that confers selective advantage fitness for
864 the traits), a combination of extrinsic and intrinsic factors seems to have been necessary for
865 the success of the *Parnassius* radiation. Parnassiinae species feed mostly on plant lineages of
866 small size whose leaves grow close to the ground and which are characteristic elements of

867 modern temperate vegetation: *Aristolochia* and *Asarum* (Aristolochiaceae) for Luehdorfiini
868 and Zerynthiini, *Saxifraga* and *Sedum* (Saxifragaceae) and *Rhodiola* (Crassulaceae) for the
869 subgenus *Parnassius*, or *Corydalis* (Papaveraceae) for the other subgenera of *Parnassius*.
870 Zhang et al. (2014) inferred an origin of *Rhodiola* (70 spp., mid-Miocene; 12.1 Ma, 6.3-20.2
871 Ma) and *Sedum* (420 spp., late Oligocene) in the HTP, later spreading to the adjacent regions.
872 Similarly, Ebersbach et al. (2017) placed the colonization of the HTP by genus *Saxifraga* (370
873 spp.) in the late Oligocene (20-34 Ma). Therefore, there was a synchronous temporal and
874 geographic origin of *Parnassius* and its associated host plants in the HTP region. Conversely,
875 Luehdorfiini and Zerynthiini were unable to evolve new traits or establish novel host-plant
876 associations to invade the mountain and/or host-plant niches. This calls for further studies to
877 decipher the genomic baseline for the adaptations to elevation and host plants by comparing
878 Parnassiini and Luehdorfiini/Zerynthiini (Edger et al. 2015).

879

880 *Underlying model and inference framework in diversification analyses*

881 Models of diversification have traditionally relied on time-continuous (independent) or
882 autocorrelated rates in the way they model rate-shifts, with few studies applying both types
883 for macroevolutionary inferences. Here we used both, in particular to estimate speciation and
884 extinction rates depending on an environmental variable that itself varies through time. The
885 first model is an ML implementation with a time-continuous birth-death model (Condamine et
886 al. 2013). For the purpose of this study we implemented Bayesian paleoenvironment-
887 dependent models but with autocorrelated (RevBayes) and time-continuous (TESS) rates of
888 diversification. Our comparison across methods has revealed new aspects on the behavior of
889 the models. The three methods are congruent on the role of orogeny (no effect of orogeny on
890 diversification *per se*), but they disagree on the effect of temperature (**Figure 8**). The time-
891 continuous models (RPANDA and TESS) support a positive dependence on speciation and

892 extinction, while the autocorrelated model (RevBayes) shows no dependence. The results
893 suggest that the lack of correlation found by the RevBayes paleoenvironmental model is
894 directly a consequence of the assumption of autocorrelation in rates across time intervals.
895 Thus, the difference lies in the underlying diversification model (autocorrelated vs. time-
896 continuous rates) and not due to the inference framework (Bayesian vs. ML).

897 Our study shows that multiple approaches must be combined to fully address the
898 diversification of clades in relation to abiotic and biotic factors. Rather than a single factor,
899 the joint effect of multiple factors (biogeography, species traits, environmental drivers, and
900 mass extinction) is responsible for current diversity patterns, and we recommend a
901 correspondingly multifaceted strategy for the study of these patterns.

902

903 **AUTHOR CONTRIBUTIONS**

904 F.L.C., F.A.H.S. and I.S. designed the study; F.L.C. and F.A.H.S collected the data; F.L.C.
905 analyzed the data with the help of J.R. on SSE models, S.H. on Bayesian implementation of
906 diversification models, and I.S. on biogeography; F.L.C. and I.S. wrote the paper with
907 significant contributions from J.R., S.H., and F.A.H.S.

908

909 **SUPPLEMENTARY MATERIAL**

910 Data available from the Dryad Digital Repository: [http://dx.doi.org/10.5061/dryad.\[NNNN\]](http://dx.doi.org/10.5061/dryad.[NNNN]).

911

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921

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14 **TABLES**

15 **Table 1.** Summary of diversification analyses. Evolutionary models are divided by whether they are used to test hypotheses in favor of the Red Queen or to
 16 support the Court Jester. Abbreviations: MCC, maximum clade credibility; HTP, Himalaya and Tibetan Plateau.

Type of birth-death	Evolutionary model	Methods used	References	Data used	Settings	Main results
Time-dependence (rates vary continuously as a function of time and across clades)	Red Queen	BAMM RevBayes	Rabosky et al. (2014) Höhna et al. (2016a)	MCC tree of Parnassiinae	Bayesian model to test whether speciation rates have varied over time and/or across lineages (exponential variation)	A single shift: increase of diversification near the crown of the genus <i>Parnassius</i>
Diversity-dependence (rates vary as a function of the number of species)	Red Queen	DDD (<i>dd_ML</i>)	Etienne et al. (2012)	MCC tree of the clade and one of <i>Parnassius</i> (to test whether they reach their carrying capacity)	5 models to test whether speciation declines with diversity and/or extinction increases with diversity	Both Parnassiinae and <i>Parnassius</i> have not reached their carrying capacity, but show some degree of diversity dependence
Trait-dependence (rates vary as a function of a character state for a trait)	Red Queen	MuSSE (<i>make.musse</i>) RevBayes	Maddison et al. (2007) FitzJohn et al. (2009) Höhna et al. (2016a)	200 posterior trees + host plant preferences (four states)	36 models to test whether a character state impacted speciation and/or extinction and/or transition rates between host plants	Support for independent speciation rates for each host-specialist clade; no significant differences for extinction and transition rates among clades
Episodic birth-death model (rates vary discretely as a function of time)	Court Jester	TreePar (<i>bd.shifts.optim</i>) CoMET	Stadler (2011) May et al. (2016)	200 posterior trees MCC tree	4 models to test whether diversification rates and turnover episodically changed over time	Significant diversification rate and turnover changes in the late Pliocene (3.2 Ma) and a possible mass extinction in the mid-Miocene (15 Ma)
Trait-dependence (rates vary as a function of a character state for a trait)	Court Jester	GeoSSE (<i>make.geosse</i>) RevBayes	Maddison et al. (2007) Goldberg et al. (2011) Höhna et al. (2016a)	200 posterior trees + geographical occurrence (highland, lowland, or widespread)	12 models to test whether a character state impacted speciation and/or extinction and/or transition rates between mountains and lowlands	High speciation in mountain, medium allopatric speciation and low speciation in lowland (no effect of the trait on extinction and transition rates)
Environmental-dependence (rates vary as a function of a time-variable environment)	Court Jester	RPANDA (<i>fit_env</i>) TESS RevBayes	Condamine et al. (2013) Höhna et al. (2016b) Höhna (in prep.)	200 posterior trees + past global temperatures + past altitude of the HTP	8 models for each variable to test whether rates vary with the paleoenvironment	Speciation and extinction are positively associated with warm climate. Speciation or extinction is not significantly associated with past altitude

17 **Table 2.** Results from episodic diversification analyses in TreePar with or without mass extinction. When
 18 mass extinction is disallowed, the best-supported model has a single rate-shift, as determined by the lowest
 19 AICc and Δ AICc. When mass extinction is allowed, the best model also has a single mass-extinction event.
 20 Adding more diversification shifts or mass extinctions did not significantly improve the likelihood of the
 21 models. Abbreviations: BD, birth-death; ME, mass extinction; NP, number of parameters; logL, log-
 22 likelihood; AICc, corrected Akaike Information Criterion; Δ AICc, the difference in AICc between the
 23 model with the lowest AICc and the others. Parameter estimates: r, net diversification rate (speciation minus
 24 extinction); ϵ , turnover (extinction over speciation); st, shift time; sp, survival probability at a mass
 25 extinction event. ‘r1’ denotes the diversification rate and ‘ ϵ 1’ is the turnover, both inferred between Present
 26 and the shift time 1 (‘st1’).

Models	Mass extinction events disallowed				Mass extinction events allowed			
	BD with no shift time	BD with 1 shift time	BD with 2 shift times	BD with 3 shift times	Models	BD with no ME	BD with 1 ME	BD with 2 MEs
NP	2	5	8	11	NP	2	4	6
logL	-182.840 ± 0.5640	-175.099 ± 0.5727	-172.910 ± 0.5716	-170.504 ± 0.5778	logL	-240.789 ± 0.5634	-235.792 ± 0.5667	-234.082 ± 0.5760
AICc	369.827 ± 1.1281	360.959 ± 1.1454	363.714 ± 1.1432	366.624 ± 1.1555	AICc	485.726 ± 1.1267	482.345 ± 1.1334	486.058 ± 1.1520
Δ AIC	8.868	0	2.755	5.665	Δ AIC			
r1	0.0934 ± 0.0008	0.1188 ± 0.0057	0.0915 ± 0.0067	0.0711 ± 0.0105	r	0.0930 ± 0.0008	0.1672 ± 0.0012	0.1859 ± 0.0014
ϵ 1	0.5374 ± 0.0047	0.1699 ± 0.0355	0.3109 ± 0.0411	0.5288 ± 0.1224	ϵ	0.5363 ± 0.0042	0.0279 ± 0.0038	0.0025 ± 0.0010
st1	-	3.21 ± 0.0573	2.81 ± 0.0693	2.56 ± 0.0788	st1	-	14.82 ± 0.12	7.37 ± 0.17
r2	-	0.0312 ± 0.0007	0.0254 ± 0.0166	0.0031 ± 0.0295	sp1	-	0.0749 ± 0.0021	0.466 ± 0.0144
ϵ 2	-	0.9142 ± 0.0052	0.8319 ± 0.0529	0.9179 ± 0.0795	st2	-	-	16.08 ± 0.29
st2	-	-	15.29 ± 0.8932	10.47 ± 0.6951	sp2	-	-	0.0759 ± 0.0028
r3	-	-	-0.3498 ± 0.1026	0.0302 ± 0.0190				
ϵ 3	-	-	0.7616 ± 0.0380	0.8461 ± 0.0506				
st3	-	-	-	20.29 ± 0.9277				
r4	-	-	-	-0.9929 ± 0.1753				
ϵ 4	-	-	-	0.8571 ± 0.0403				

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Table 3. Results from RPANDA analyses. The model with positive dependence of speciation and extinction on temperature has the lowest AICc and highest AIC ω . Macroevolutionary scenarios were compared in which speciation and extinction vary through time (Time), or by paleo-elevation changes of the Himalaya and Tibetan Plateau (Alti.), and global temperature changes (Temp.). Abbreviations: NP, number of parameters; logL, log-likelihood; AICc, corrected Akaike Information Criterion; Δ AICc, the difference in AICc between the model with the lowest AICc and the others; AIC ω , Akaike weight. Parameter estimates: λ_0 and μ_0 , speciation and extinction for environmental value at present; α and β , parameter controlling variation of speciation (α) and extinction (β) with paleoenvironment.

Models	NP	logL	AICc	Δ AIC	AIC ω	λ_0	α	μ_0	β
Constant birth-death	2	-240.336 ± 0.620	484.819 ± 1.240	5.186	0.044	0.2048 ± 0.0018	-	0.1123 ± 0.0017	-
λ_{Time} and μ_{constant}	3	-238.427 ± 0.624	483.151 ± 1.249	3.518	0.101	0.1962 ± 0.0016	-0.0407 ± 0.0004	5.00E-08 $\pm 5.00E-08$	-
$\lambda_{\text{constant}}$ and μ_{Time}	3	-239.089 ± 0.621	484.474 ± 1.242	4.841	0.052	0.1914 ± 0.0016	-	0.0549 ± 0.0010	0.0433 ± 0.0006
λ_{Time} and μ_{Time}	4	-238.427 ± 0.624	485.354 ± 1.249	5.721	0.034	0.1962 ± 0.0016	-0.0405 ± 0.00004	8.535E-05 $\pm 3.24E-05$	0.0347 ± 0.0024
$\lambda_{\text{Alti.}}$ and μ_{constant}	3	-240.247 ± 0.572	486.790 ± 1.144	7.157	0.016	0.0310 ± 0.0012	0.0004 $\pm 6.35E-06$	0.0794 ± 0.0021	-
$\lambda_{\text{constant}}$ and $\mu_{\text{Alti.}}$	3	-241.542 ± 0.577	489.379 ± 1.155	9.746	0.004	0.1991 ± 0.0017	-	0.5116 ± 0.0676	-0.00024 $\pm 1.35E-05$
$\lambda_{\text{Alti.}}$ and $\mu_{\text{Alti.}}$	4	-240.253 ± 0.582	489.005 ± 1.164	9.372	0.005	0.0454 ± 0.0021	0.0003 $\pm 9.30E-06$	0.1016 ± 0.0058	-2.67E-05 $\pm 6.29E-05$
$\lambda_{\text{Temp.}}$ and μ_{constant}	3	-240.605 ± 0.632	487.505 ± 1.264	7.872	0.011	0.2121 ± 0.0021	-0.0242 ± 0.0012	0.0834 ± 0.0011	-
$\lambda_{\text{constant}}$ and $\mu_{\text{Temp.}}$	3	-238.058 ± 0.649	482.412 ± 1.298	2.779	0.146	0.1817 ± 0.0013	-	0.0071 ± 0.0005	0.4707 ± 0.0138
$\lambda_{\text{Temp.}}$ and $\mu_{\text{Temp.}}$	4	-235.566 ± 0.595	479.633 ± 1.189	0	0.586	0.0839 ± 0.0017	0.3811 ± 0.0073	0.0797 ± 0.0019	0.3988 ± 0.0041

Table 4. Results from the TESS analyses. a) Paleoenvironment-dependent diversification models. b) Episodic birth-death models. The model with positive dependence of speciation and extinction with temperature has the lowest AICc and highest AIC ω . We compared macroevolutionary scenarios in which speciation and extinction vary through time (Time), or according to paleo-elevation changes of the Himalaya and Tibetan Plateau (Alti.), according to global temperature changes (Temp.), and episodic birth-death models (Shift). Abbreviations: NP, number of parameters; logL, log-likelihood; AICc, corrected Akaike Information Criterion; Δ AICc, the difference in AICc between the model with the lowest AICc and the others; AIC ω , Akaike weight. Parameter estimates: λ_0 and μ_0 , speciation and extinction for the environmental value at present; α and β , parameter controlling the variation of the speciation (α) and extinction (β) with the paleoenvironment. λ_1 and μ_1 , speciation and extinction for the first period of time (present to first shift).

a)

Models	NP	logL	AICc	Δ AIC	AIC ω	λ_0	α	μ_0	β
Constant birth-death	2	-240.687	485.425	3.668	0.044	0.2037	-	0.1113	-
λ_{Time} and μ_{constant}	3	-238.811	483.775	2.018	0.101	0.1951	-0.0402	2.01E-06	-
$\lambda_{\text{constant}}$ and μ_{Time}	3	-239.331	484.816	3.059	0.060	0.1898	-	0.0515	0.0467
λ_{Time} and μ_{Time}	4	-238.802	485.916	4.159	0.035	0.1951	-0.0398	0.0002	0.0330
$\lambda_{\text{Alti.}}$ and μ_{constant}	3	-239.570	485.294	3.537	0.047	0.0483	0.0003	0.09450	-
$\lambda_{\text{constant}}$ and $\mu_{\text{Alti.}}$	3	-240.416	486.985	5.228	0.020	0.1999	-	0.3730	-0.00014
$\lambda_{\text{Alti.}}$ and $\mu_{\text{Alti.}}$	4	-239.378	487.067	5.310	0.019	0.0472	0.0004	0.1169	0.0002
$\lambda_{\text{Temp.}}$ and μ_{constant}	3	-239.570	485.294	3.537	0.047	0.0483	0.0003	0.0945	-
$\lambda_{\text{constant}}$ and $\mu_{\text{Temp.}}$	3	-237.826	481.806	0.049	0.271	0.1800	-	0.0064	0.5007
$\lambda_{\text{Temp.}}$ and $\mu_{\text{Temp.}}$	4	-236.723	481.757	0	0.278	0.1383	0.1463	0.0458	0.3483

48 **b)**

Models	NP	logL	AICc	ΔAIC	AIC_w	λ_1	λ_2	λ_3	λ_4	λ_5	λ_6	μ_1	μ_2	μ_3	μ_4	μ_5	μ_6
Shift 1	5	-237.008	484.542	2.785	0.069	0.052	0.176	-	-	-	-	0.004	0.018	-	-	-	-
Shift 2	8	-236.086	489.706	7.949	0.005	0.195	0.084	0.180	-	-	-	0.112	0.097	0.004	-	-	-
Shift 3	11	-236.118	497.379	15.622	0.0001	0.180	0.127	0.155	0.181	-	-	0.111	0.051	0.159	0.002	-	-
Shift 4	14	-234.251	501.935	20.178	0	0.164	0.159	0.049	0.161	0.190	-	0.136	0.062	0.074	0.058	0.008	-

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1351 **APPENDICES**

1352 **Appendix 1.** All sequence data used for this study (a file is generated per gene).

1353 **Appendix 2.** The individual gene alignments as recovered by MAFFT. Results of the
1354 Bayesian phylogenetic analyses for each gene, and an explanation of the results.

1355 **Appendix 3.** The total-evidence matrix (including molecular and morphological data) used
1356 for the phylogenetic placement of fossils with MrBayes.

1357 **Appendix 4.** The BEAST files for the Bayesian dating analyses (the tree prior can be a Yule
1358 process or a birth-death model, and the dataset can include or not the morphological data).

1359 **Appendix 5.** The current geographic species distribution data of all Parnassiinae as coded
1360 present (1) or absent (0) in all ten geographic areas (Western Palearctic, North Africa, Turkey,
1361 Central Asia, Himalaya, India, Mongolia, Siberia, China-Japan, and Western Nearctic).

1362 **Appendix 6.** The time-stratified biogeographic model used for DEC analyses (time slices
1363 represent geological epochs or stages in the Cenozoic).

1364 **Appendix 7.** Paleo-elevation for the Himalayan and Tibetan compiled from the literature.

1365 **Appendix 8.** Description of the Bayesian episodic environment-dependent birth-death model.

1366 **Appendix 9.** Results of PartitionFinder performed on the concatenated molecular dataset.

1367 **Appendix 10.** Time-calibrated trees of Parnassiinae as estimated by BEAST following four
1368 different analyses.

1369 **Appendix 11.** Results of the model comparison for the dating analyses based on marginal
1370 likelihood estimates and Bayes factors.

1371 **Appendix 12.** Biogeographic history of Parnassiinae as estimated by DEC.

1372 **Appendix 13.** Results from the diversity-dependence diversification analyses in DDD.

1373 **Appendix 14.** Results of the MuSSE and GeoSSE analyses performed on 200 trees randomly
1374 taken from the Bayesian dating analysis. Models are ranked by AICc.

1375 **Appendix 15.** Plot of the difference between speciation rates between all traits. When the
1376 difference overlaps zero (vertical red bar), the speciation rates are not significantly different.

1377 **Appendix 16.** Robustness of the SSE models with simulation tests, HiSSE analyses and an
1378 implementation in RevBayes. For the simulation, the difference of fit between the best model
1379 and the reference model is shown with the red vertical line for real data, and in black for
1380 simulated data. HiSSE and RevBayes agree with the MuSSE models on host plants.

1381 **Appendix 17.** Summary of diversification models in BAMM compared across a gradient of
1382 values for the Poisson process governing the number of rate shifts.

1383 **Appendix 18.** Credible set of configuration shifts inferred with BAMM and five different
1384 values of the Poisson prior. It shows the distinct shift configurations with the highest posterior
1385 probability. For each shift configuration, the locations of rate shifts are shown as black
1386 circles, with circle size proportional to the marginal probability of the shift.

1387 **Appendix 19.** Rate-through-time plot as inferred with RevBayes for Parnassiinae. Net
1388 diversification rates significantly changed and increased along the stem of the genus
1389 *Parnassius*, in agreement with the rates as estimated with BAMM.

1390 **Appendix 20.** Rate-through-time plot as inferred with CoMET for Parnassiinae. The analyses
1391 detected one possible mass extinction around 15 Ma and one speciation rate shift around 3.5
1392 Ma, in agreement with two TreePar analyses allowing or not the mass extinction.

1393 **Appendix 21.** Credibility intervals of the correlation parameters for the Bayesian (RevBayes)
1394 environment-dependent diversification models.

1395 **Appendix 22.** Correlation (linear regression) between speciation rates as inferred with
1396 MuSSE (a) and BAMM (b) and the species richness of host plants on which each parnassiine
1397 clade is feeding. In both cases, a strong and positive correlation is found.