

1 **Functional connectivity-based subtypes of individuals with and without autism spectrum**
2 **disorder**

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4 **Amanda K. Easson^{a,b}, Zainab Fatima^c, and Anthony R. McIntosh^{a,b}**

5

6 ^aRotman Research Institute, Baycrest Hospital, Toronto, ON, Canada

7 ^bDepartment of Psychology, University of Toronto, Toronto, ON, Canada

8 ^cDepartment of Psychology, Faculty of Health, Sherman Health Sciences Centre, York University, Toronto, ON, Canada

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11 **Corresponding author:**

12 **Amanda Easson, amanda.easson@mail.utoronto.ca**

13 **Rotman Research Institute**

14 **Baycrest Health Sciences**

15 **3560 Bathurst Street, room 348**

16 **Toronto, ON**

17 **M6A 2E1**

18 **Canada**

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20 ***The authors have no competing interests to declare.**

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37 **Abstract**

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39 Autism spectrum disorder (ASD) is a heterogeneous neurodevelopmental disorder, characterized
40 by impairments in social communication and restricted, repetitive behaviours. Neuroimaging
41 studies have shown complex patterns of functional connectivity (FC) in ASD, with no clear
42 consensus on brain-behaviour relationships or shared patterns of FC with typically developing
43 controls. Here, we used k-means clustering and multivariate statistical analyses to characterize
44 distinct FC patterns and FC-behaviour relationships in participants with and without ASD. Two
45 FC subtypes were identified by the clustering analysis. One subtype was defined by increased FC
46 within resting-state networks and decreased FC across networks compared to the other subtype.
47 A separate FC pattern distinguished ASD from controls, particularly within default mode,
48 cingulo-opercular, sensorimotor, and occipital networks. There was no significant interaction
49 between subtypes and diagnostic groups. Finally, analysis of FC patterns with behavioural
50 measures of IQ, social responsiveness and ASD severity showed unique brain-behaviour
51 relations in each subtype, and a continuum of brain-behavior relations from ASD to controls
52 within one subtype. These results demonstrate that distinct clusters of FC patterns exist in both
53 ASD and controls, and that FC subtypes can reveal unique information about brain-behaviour
54 relationships.

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56 **Author Summary**

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58 Autism spectrum disorder (ASD) is a neurodevelopmental disorder, with high variation in the
59 types of severity of impairments in social communication and restricted, repetitive behaviours.
60 Neuroimaging studies have shown complex patterns of communication between brain regions, or
61 functional connectivity (FC), in ASD. Here, we defined two distinct FC patterns and
62 relationships between FC and behaviour in participants with and without ASD. One subtype was
63 defined by increased FC within distinct networks of brain regions, and decreased FC between
64 networks compared to the other subtype. A separate FC pattern distinguished ASD from
65 controls. The interaction between subtypes and diagnostic groups was not significant. Analysis
66 of FC patterns with behavioural measures revealed unique information about brain-behaviour
67 relations in each subtype.

68 **Keywords:** autism spectrum disorder, functional connectivity, clustering, brain-behaviour
69 relationships, multivariate statistics, resting-state networks

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71 **Abbreviations:**

72 ABIDE, Autism Brain Image Data Exchange; ADI-R, Autism Diagnostic Interview Revised;
73 ADOS, Autism Diagnostic Observation Scale; ASD, autism spectrum disorder; BSR, bootstrap
74 ratio; CN, cerebellar network; COMM, communication; CON, cingulo-opercular network; Cov.
75 = covariance; DMN, default mode network; FC, functional connectivity; FD, framewise
76 displacement; FPN, frontoparietal network; ON, occipital network; PCP, Preprocessed
77 Connectomes Project; PLS, partial least squares; ROI, region of interest; RRBs, restricted and
78 repetitive behaviours; RSN, resting-state network; SA, social affect; SMN, sensorimotor
79 network; SRS, Social Responsiveness Scale; SVD, singular value decomposition; TD, typically
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99 INTRODUCTION

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101 Autism spectrum disorder (ASD) is a neurodevelopmental disorder that is characterized
102 by impairments in social cognition as well as restricted and repetitive behaviours (RRBs;
103 American Psychiatric Association, 2013). ASD is a highly heterogeneous disorder, with a broad
104 range of the types and severities of behaviours that can be displayed. For instance, verbal and
105 nonverbal IQ are highly variable in ASD (e.g. Munson et al., 2008), and RRBs can range from
106 low-level motor stereotypies to higher-order behaviours such as insistence on sameness
107 (American Psychiatric Association, 2013). It has been proposed that these complex behavioural
108 features are associated with atypical patterns of functional connectivity (FC). Such theories
109 include reduced communication between frontal and posterior brain regions (Just et al., 2012),
110 increased local FC along with reduced long-range FC (Belmonte et al., 2004; Courchesne &
111 Pierce, 2005), and an abnormal developmental trajectory of FC compared to typically developing
112 (TD) individuals (Nomi & Uddin, 2015; Uddin et al., 2013b). However, complex patterns of
113 both increased and decreased FC have been found in neuroimaging studies of ASD, and results
114 are inconsistent across studies (see Hull et al., 2016; Picci et al., 2016; and Uddin et al., 2013b
115 for reviews).

116 It is crucial to consider the heterogeneous nature of ASD, both in terms of behavioural
117 severity and FC profiles. The importance of this consideration is highlighted by the inconsistent
118 results regarding relationships between FC and behavioural profiles in individuals with ASD in
119 previous studies (e.g. Keown et al., 2013; Lee et al., 2016; Monk et al., 2009; Uddin et al.,
120 2013b). Several recent studies that considered the heterogeneity of neurobiological and
121 behavioural features of ASD have reported novel finding regarding brain-behaviour
122 relationships. For instance, Hahamy, Behrmann & Malach (2015) found that idiosyncratic
123 distortions in FC from a “typical” template were related to ASD symptom severity. Nunes et al.
124 (2018) also reported that incorporation of vertices along the cortical surface into intrinsic
125 connectivity networks, particularly into default mode and sensorimotor networks, was more
126 idiosyncratic in ASD and related to ASD symptom severity.

127 Defining subtypes of ASD based on FC metrics has the potential to resolve some of the
128 current discrepancies in the literature regarding the nature of FC abnormalities in individuals
129 with this disorder, as well as to shed light on the complex relationships between FC and

130 behaviour, which may differ between subtypes. Previously, ASD subtypes have been defined
131 based on clusters of social communication behaviours and RRBs (Georgiades et al., 2012),
132 structural MRI (Hrdlicka et al., 2005), and various neuroanatomical features (Hong et al., 2017),
133 and FC (Chen et al., 2015). Chen et al. (2015) found two subtypes that differed in terms of ASD
134 symptom severity. Further, Hong et al. (2017) found that prediction of individual scores on the
135 Autism Diagnostic Observation Scale (ADOS) greatly improved when subtypes were
136 considered, compared to considering all ASD participants as one group. Thus, brain-based
137 subtyping has the potential to elucidate brain-behaviour relationships that are unique to each
138 subtype, as it could be the case that certain behaviours result from complex interplay between
139 local and distributed processing in the brain. One limitation of these studies is that they did not
140 include both ASD and TD participants in the subtyping procedures. Given the heterogeneous
141 nature of ASD, the inconsistent reports of FC differences between those with and without ASD,
142 and recent evidence showing a continuum of the relationship between neurobiological features
143 and subclinical ASD symptoms in healthy controls (Rashid et al., 2018), it is crucial to include
144 controls in subtyping analyses as well.

145 In the present study, we used a data-driven approach to characterize subtypes based on
146 distinct clusters of FC in all participants, and to relate FC patterns to specific behavioural profiles
147 in these subtypes. We first used k-means clustering, an unsupervised machine learning
148 technique, to define subtypes using functional connections as features, and implemented a
149 multivariate statistical analysis that, when applied to neuroimaging data, reveals the optimal
150 relationship between measures of brain activity and experimental design or group membership.
151 This approach allowed us to determine which connections were reliably different between
152 subtypes, and between ASD and TD participants. We also used this multivariate approach to
153 characterize relationships between particular patterns of FC and a set of behaviours. It was
154 hypothesized that defining FC-based subtypes of ASD and TD participants using data-driven
155 metrics would reveal unique information about brain-behaviour interactions.

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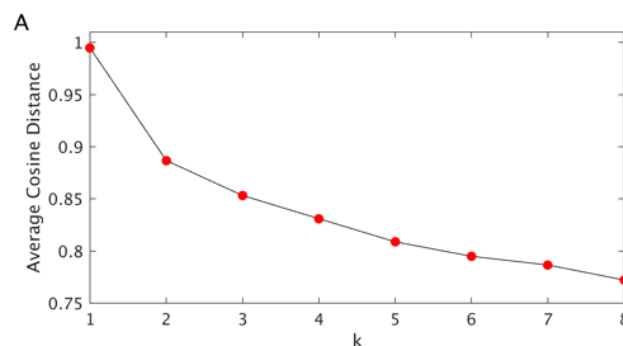
162 RESULTS

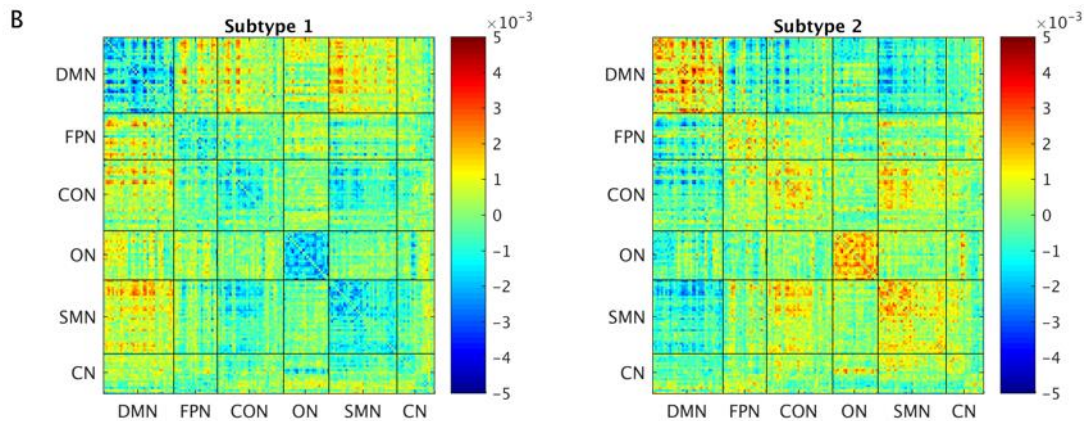
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164 *FC-based subtypes of ASD and TD participants*

165 FC-based subtypes were defined using k-means clustering. The effects of scan site were
166 regressed out of the FC data; when these effects were not removed, there was a significant
167 difference in the distribution of scan sites between the two subtypes, $X^2(4, N=266) = 78.60, p <$
168 0.001 . At this point, subtypes were significantly different in age, $t(264) = 2.50, p = 0.01$; thus,
169 effects of both site and age were regressed from the data. As it has been recently shown that
170 despite implementing preprocessing steps that aim to correct for head motion in resting-state
171 fMRI, residual motion effects can contaminate FC estimates (Ciric et al., 2017), a multivariate
172 brain-behaviour analysis was performed to determine if there were relationships between FC and
173 head motion metrics (mean FD and percentage of frames exceeding 0.2mm). There was not a
174 significant relationship between FC and motion ($p = 0.57$).

175 The optimal number of clusters, as determined by the elbow point criterion, was 2 (Fig.
176 1A). Using a bootstrapping procedure to evaluate the reliability of the optimal number of
177 clusters, it was found that the optimal number of clusters was 2 in 500/500 bootstrap samples.
178 Subtype 1 consisted of 85 ASD participants and 54 TD participants. Subtype 2 consisted of 60
179 ASD participants and 67 TD participants. Qualitatively, Subtype 1 was defined by stronger FC
180 between networks, particularly between the DMN and other networks, and weaker FC within
181 networks relative to Subtype 2 (Fig. 1B).





183

184 **Fig. 1:** A) Elbow point plots, indicating that the optimal number of clusters is 2. B) Subtype
185 centroids. DMN = default mode network; FPN = frontoparietal network; CON = cingulo-
186 opercular network; ON = occipital network; SMN = sensorimotor network; CN = cerebellar
187 network.

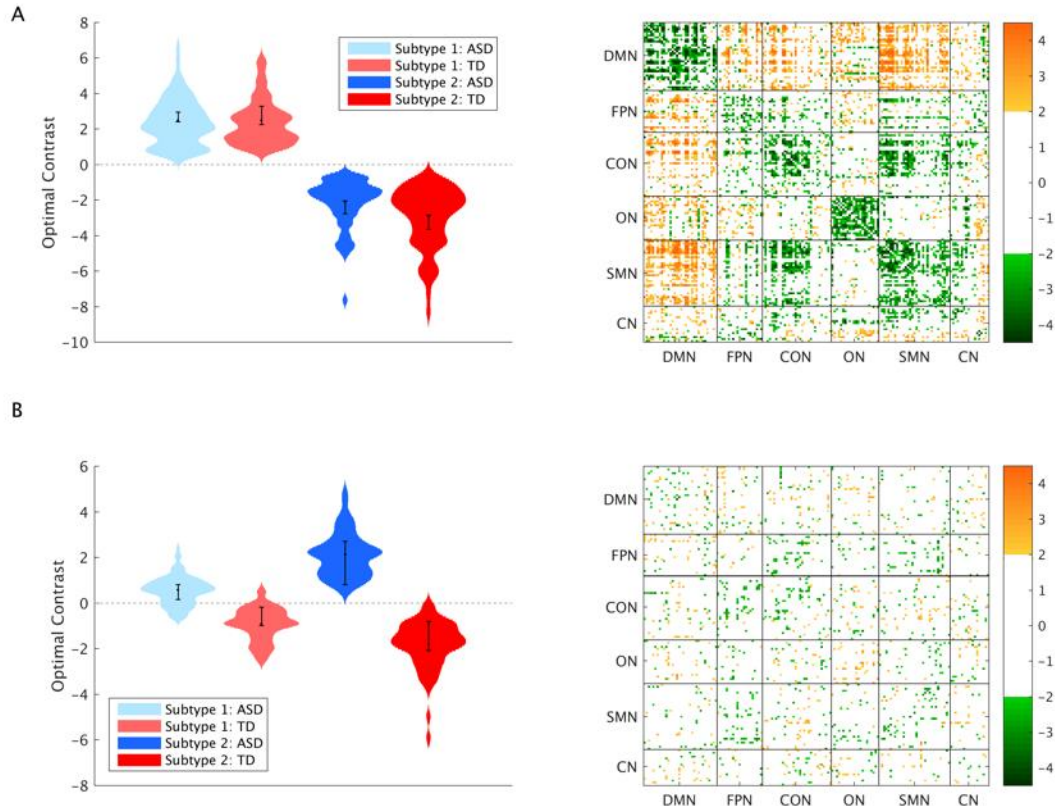
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189 Importantly, subtypes did not differ in demographics or behaviour, including IQ, eye
190 status, medication use, presence of comorbidities, head motion, or the parameters (scan site and
191 age) that were regressed out of the FC matrices (Supplementary Table 3). While subtypes
192 differed in ADOS scores, and differences SRS scores approached significance, these differences
193 were driven by the fact that there were more TD participants with these scores in Subtype 2
194 compared to Subtype 1. SRS scores did not differ between ASD participants in Subtypes 1 and 2,
195 and also did not differ between TD participants in Subtypes 1 and 2. ADOS scores did not differ
196 between ASD participants in Subtypes 1 and 2, but could not be compared for TD participants in
197 Subtypes 1 and 2, because ADOS scores were only available for 2 TD participants in Subtype 1
198 and 12 TD participants in Subtype 2.

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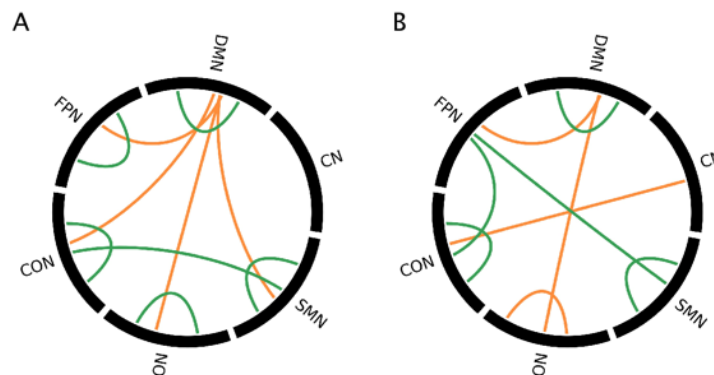
200 Next, we used a multivariate statistical approach to determine differences in FC between
201 subtypes and between ASD and TD participants. The reliability of these patterns was determined
202 via bootstrap sampling. A functional connection was considered to be reliable, or stable, if the
203 absolute value of its bootstrap ratio (BSR) exceeded 2. This analysis revealed two significant
204 patterns. The first pattern showed stable differences in FC between subtypes ($p < 0.001$, 61.07%
205 of variance explained, Fig. 2A), whereby Subtype 2 was characterized by stronger FC within
206 resting-state networks, and weaker FC between networks, compared to Subtype 1. The contrast
expression for this FC pattern (Supplementary Fig. 2) revealed that functional connections with

207 significant positive BSRs, on average, were positive in Subtype 1 and negative in Subtype 2, and
208 vice versa for negative BSRs. The second pattern revealed a contrast between diagnostic groups
209 ($p = 0.02$, 21.74% of variance explained, Fig. 2B), with a diffuse spatial pattern. The contrast
210 expression for the second pattern (Supplementary Fig. 3) revealed that functional connections
211 with significant positive BSRs, on average, were negative in the ASD group and positive in the
212 TD group, and vice versa for negative BSRs. The third pattern, which revealed a subtype by
213 diagnosis interaction, was not significant, $p = 0.92$. The significance of these spatial patterns
214 within and between resting-state networks (RSNs) was evaluated using permutation tests (see
215 Materials and Methods), and is shown in Fig. 3.
216



217
218 **Fig. 2.** Results from the multivariate group analysis. A) First pattern, and B) second pattern, and
219 the associated BSRs for each connection, at a threshold of ± 2 . Error bars show 95% confidence
220 intervals determined through bootstrap resampling.

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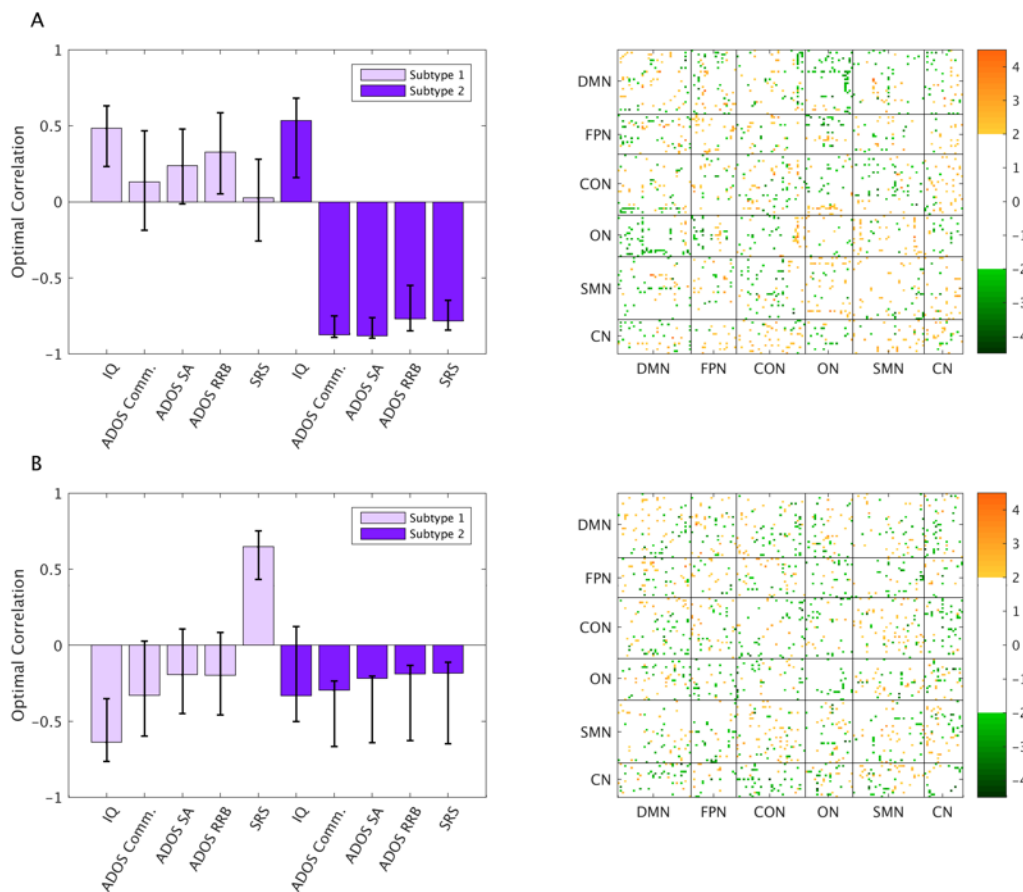
222
223 **Fig. 3.** Significant contributions of RSN pairs to each pattern for positive and negative BSRs, for
224 the A) first pattern and B) second pattern from the multivariate group analysis. Orange = positive
225 BSRs, green = negative BSRs.

226 227 *Multivariate analyses of FC-behaviour relationships*

228
229 A multivariate brain-behaviour analysis was used to assess relationships between FC and
230 a set of behavioural measures in the two ASD-TD subtypes, including IQ, ADOS scores
231 (communication (COMM), social affect (SA), and restricted and repetitive behaviours (RRB)),
232 and scores on the Social Responsiveness scale (SRS). The full set of behavioural measures was
233 available for 51 participants (49 ASD, 2 TD) in Subtype 1 and 50 (38 ASD, 12 TD) participants
234 in Subtype 2. ADI-R scores were not included, as only 28 participants in Subtype 1 and 26
235 participants in Subtype 2 had the full set of behavioural measures including ADI-R scores.
236 Further, none of the participants with the full set of scores including ADI-R scores were TD
237 participants.

238 The analysis revealed 3 significant patterns. The first pattern ($p = 0.03$, 32.09%
239 covariance explained) revealed stable relationships between FC and IQ and ADOS RRB scores
240 in Subtype 1, and stable relationships between FC and all behavioural measures in Subtype 2.
241 The first brain-behaviour pattern was a contrast between Subtypes 1 and 2 in terms of
242 relationships with FC and ADOS RRB scores, such that connections that were reliably positively
243 correlated with ADOS RRB scores in Subtype 1 were negatively correlated in Subtype 2, and
244 vice versa. The third pattern ($p = 0.008$, 10.82% covariance explained) revealed a different
245 spatial pattern that exhibited stable correlations with IQ and SRS in Subtype 1, and with all
246 ADOS scores and SRS in Subtype 2. Additionally, there was a contrast between Subtypes 1 and

247 2 in terms of correlations between FC and SRS scores. The seventh pattern ($p = 0.003$, 4.45%
248 covariance explained) revealed a contrast between Subtypes 1 and 2 in terms of correlations
249 between FC and ADOS communication scores, as well as stable correlations between FC and
250 ADOS social affect scores in Subtype 1. Overall, it can be seen that connections that show stable
251 correlations with behaviour are diffuse. Patterns that accounted for more than 10% of the
252 covariance between FC and behaviour (that is, patterns 1 and 3) are shown in Fig. 4, and the
253 corresponding contrast expressions are shown in Supplementary Fig. 4 and 5. The stability of
254 these FC-behaviour relationships within and between RSNs are shown in Fig. 5.

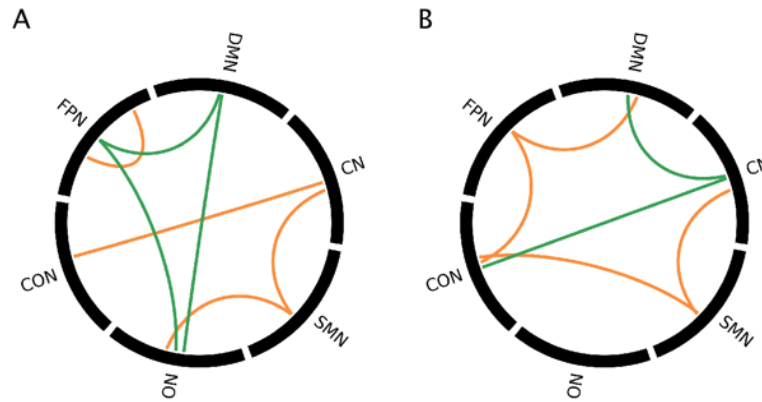


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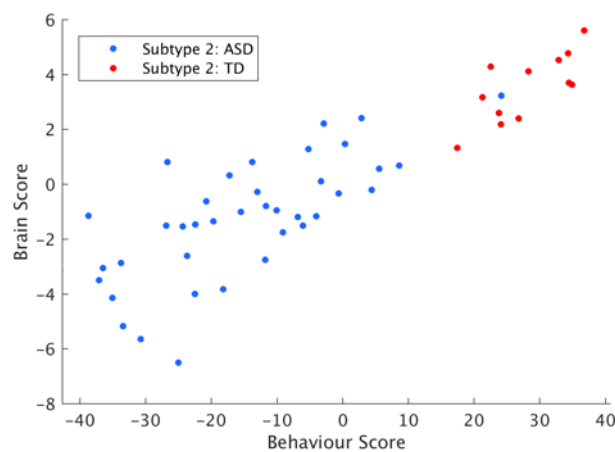
257 **Fig. 4.** Results from the multivariate brain-behaviour analysis. A) First pattern, and B) third
258 pattern, and the associated BSRs for each connection, at a threshold of ± 2 . Error bars show 95%
259 confidence intervals determined through bootstrap resampling.

260



261
262 **Fig. 5.** Significant contributions of RSN pairs to each pattern for positive and negative BSRs, for
263 A) first pattern and B) third pattern. Orange = positive BSRs, green = negative BSRs.
264

265 The relationship between brain and behaviour scores for ASD and TD participants in
266 Subtype 2 for the first pattern of the multivariate brain-behaviour analysis is shown in Fig. 6. The
267 continuum of scores for both brain and behaviour variables illustrates that there is a pattern of FC
268 that co-varies with the severity of behaviours across the autism spectrum and typical
269 development. This analysis was only performed in Subtype 2, as there were only 2 TD
270 participants in Subtype 1 who had the full set of behaviour measures.
271



272
273 **Fig. 6.** Brain and behaviour scores for Subtype 2, from the first pattern of the multivariate brain-
274 behaviour analysis.
275

276 We then determined the relationship between the patterns from the multivariate group
277 analysis and the multivariate brain-behaviour analysis by correlating the brain saliences for each
278 analysis, and evaluated the significance of these correlations using permutation testing. There
279 was a significant correlation between the first brain-behaviour pattern and the second group
280 pattern, $r = 0.40$, $p < 0.001$, indicating that the continuum of FC-behaviour relationships was
281 associated with the diagnostic pattern from the group analysis. The correlations between the
282 other patterns were not significant: (brain-behaviour pattern 1 and group pattern 1: $r = -0.06$, $p =$
283 0.81 ; brain-behaviour pattern 3 and group pattern 1: $r = 0.005$, $p = 0.45$; brain-behaviour pattern
284 3 and group pattern 2: $r = 0.07$, $p = 0.13$).

285

286 **DISCUSSION**

287

288 *Overview*

289 This study reveals that there are distinct clusters of FC patterns in both ASD and controls.
290 We characterized network-level differences between subtypes and diagnostic groups, and further
291 showed that individuals within each subtype exhibit different relationships between FC metrics
292 and behavioural measures. The continuum of brain and behaviour scores across ASD and TD
293 participants reveals that FC phenotypes observed in ASD extend to typical development in
294 relation to behavioural severity.

295

296 *Comparison of FC between subtypes and diagnostic groups*

297 Two FC-based subtypes were defined for all participants. When all four groups were
298 considered in a multivariate analysis (i.e. ASD Subtype 1, ASD Subtype 2, TD Subtype 1, and
299 TD Subtype 2), the strongest pattern, not surprisingly, was a contrast between subtypes.
300 Regardless of diagnostic group, Subtype 2 was defined by greater FC within networks and lower
301 FC between networks, especially between the DMN and other RSNs, compared to Subtype 1.
302 Connections within networks tended to be positive on average in Subtype 2 and negative in
303 Subtype 1, indicating reduced interactions among brain regions within these networks in Subtype
304 1. Further, connections between networks that were lower in Subtype 2 tended to be negative,
305 but were positive on average in Subtype 1 (Supplementary Fig. 2). As anti-correlations between
306 resting-state networks are hypothesized to signify the division of labour between brain regions

307 that are involved in different functions (Fransson 2006), and the ability for regions that are
308 relevant for certain cognitive functions to become activated with concurrent deactivation of
309 irrelevant regions (Fox et al., 2005; Greicius et al., 2003), these abilities may be affected in
310 Subtype 1.

311 A second pattern revealed diffuse functional connections that differed between diagnostic
312 groups in both subtypes. ASD participants exhibited reliable decreases in FC within the SMN,
313 DMN and CON, but greater FC within the ON. Atypical FC of sensorimotor regions in ASD has
314 reported in previous studies (Anderson et al., 2011; Mostofsky et al., 2009; Turner et al., 2006).
315 Thus, despite the broad range of sensorimotor difficulties in ASD (Minshew et al., 1997; Perry et
316 al., 2007; Whyatt & Craig, 2013), atypical SMN FC may be common across the autism
317 spectrum. It has been hypothesized that abnormal DMN functioning in ASD relates to decreased
318 self-referential processing, decreased abilities to redirect attention from external to internal
319 processing, and difficulties with theory of mind (e.g. Assaf et al., 2010). Various studies have
320 reported decreased FC between DMN regions in ASD (Assaf et al., 2010; Kennedy &
321 Courchesne, 2008; Monk et al., 2009; Weng et al., 2010), although hyperconnectivity has also
322 been reported (Monk et al., 2009; Uddin et al., 2013a). Decreased FC within the CON, which
323 plays a role in stable set-maintenance (Dosenbach et al., 2007), is line with previous studies that
324 showed difficulties with set-maintenance in ASD (Kaland, Smith, & Mortensen, 2008; Miller et
325 al., 2015). Increased FC in the ON is consistent with findings of increased local connectivity in
326 primary visual regions (Keown et al., 2013) and increased involvement of extrastriate cortex
327 (Shen et al., 2012) in ASD. Elevated FC in right ventral occipital-temporal cortex in ASD has
328 been associated with higher social deficits (Chien et al., 2015). Additionally, reliably higher FC
329 was found between the DMN and FPN, DMN and ON, and CON and CN in ASD participants.
330 These connections were positive on average in ASD, but negative on average in controls
331 (Supplementary Fig. 3). Previous studies have also reported reduced negative connectivity in
332 ASD, which was described as reduced functional segregation of networks (Rudie et al., 2012;
333 2013a). However, other between-network connections (FPN-CON and FPN-SMN) exhibited a
334 greater degree of anti-correlation in ASD. The functional significance of decreased anti-
335 correlations between some resting-state networks, but increased anti-correlations between others,
336 remains to be explored.

337 The third pattern, showing a subtype by diagnosis interaction, was not significant, thus
338 revealing additive effects of subtype and diagnosis on FC patterns. Thus, the expression of the
339 subtypes does not depend on the diagnosis; the manifestation of the subtypes in ASD is not
340 different from controls.

341

342 *Comparison of FC-behaviour relationships between subtypes*

343 Reliable correlations between FC and behaviour were observed both within and between
344 RSNs for IQ and ADOS RRB scores for Subtype 1, and all behavioural measures for Subtype 2,
345 showing that similar behavioural profiles can be associated with different functional correlates in
346 the brain. Previous studies have reported mixed results regarding associations between FC
347 measures and ASD behavioural measures. For instance, Keown et al. (2013) found that
348 overconnectivity in posterior brain regions was associated with greater severity ASD severity,
349 and that frontal underconnectivity was found only in low-severity participants. However, another
350 study found that ASD severity was correlated with the extent of hyperconnectivity in the salience
351 network, which includes regions such as the dorsal anterior cingulate cortex and frontoinsula
352 cortex (Uddin et al., 2013b). Lee et al. (2016) reported overall reduced FC density in ASD, and
353 found that average interhemispheric FC density and contralateral FC density in a
354 lingual/parahippocampal gyrus cluster and default mode network regions was negatively
355 correlated with RRBs. On the other hand, hyperconnectivity between the posterior cingulate
356 cortex (PCC), a core region of the DMN, and the right parahippocampal gyrus was associated
357 with more severe RRBs in another study (Monk et al., 2009). Our results highlight the
358 importance of considering FC-based subtypes when examining brain-behaviour relationships in
359 individuals with and without ASD. Importantly, individuals in each subtype did not differ
360 significantly in IQ or SRS scores, and ASD participants in the two subtypes did not differ
361 significantly in ADOS scores. Thus, there is unique information about FC-based subtypes that is
362 not accessible by using behaviour alone.

363 The multivariate brain-behaviour analysis supports the idea that instead of being a
364 categorical diagnosis, ASD should indeed be considered as an extreme of a continuum of both
365 neurobiological and behavioural features that can also be observed in TD individuals
366 (Constantino & Todd, 2003; Rashid et al., 2018). In other words, there is normal variation in FC
367 across both ASD and TD participants (see Fig. 6), but too much of this natural variation is

368 associated with a diagnosis of ASD. This idea is supported by the continuum of brain and
369 behaviour scores from pattern 1 of the brain-behaviour analysis for Subtype 2, and the significant
370 correlation between the spatial pattern for this pattern and the second pattern from the group
371 analysis, that is, the contrast in FC between diagnostic groups. This dimensional approach has
372 also been reinforced by recent studies that reported novel findings in individuals with ASD by
373 accounting for the heterogeneity of the relationships between behavioural severity and various
374 neurobiological features (Hahamy et al., 2015; Nunes et al., 2018). Recently, it has been pointed
375 out that different features of brain function are variable even among TD individuals, and a
376 certain feature cannot be considered to be an impairment unless it is accompanied by behavioural
377 symptoms (Muller & Amaral, 2017). Our results support this idea by showing that some sets of
378 functional connections are a) similar among subsets of ASD and TD participants, and b)
379 correlated with behavioural severity. The similarity of FC patterns in ASD and controls has also
380 been demonstrated in a recent study by Spronk et al. (2018), which demonstrated that resting-
381 state FC patterns between TD participants and several clinical groups, including ASD, attention
382 deficit hyperactivity disorder, and schizophrenia, are highly correlated, despite the presence of
383 clinical symptoms.

384

385 *Limitations*

386 One limitation of our study is that we defined subtypes using a single data preprocessing
387 strategy. It has been proposed that differences in analysis approaches between studies are the
388 most likely causes of inconsistent results between studies of FC in ASD (Hull et al., 2016). For
389 instance, it has been shown that global signal regression reduces the relationship between FC and
390 head motion, but can result in distance-dependent artifacts in FC unless used in combination with
391 censoring methods (Ciric et al., 2017). Preprocessing strategies such as global signal regression
392 and low-pass filtering have been shown to affect group differences in FC between participants
393 with and without ASD (Gotts et al. 2013; Muller et al., 2011). The length of fMRI scans may
394 also contribute to heterogeneity across studies: it has been suggested that increasing scan lengths,
395 for instance from 5 to 13 minutes, improves the reliability of FC estimates (Birn et al., 2013). It
396 is therefore crucial to gain a better understanding of how preprocessing choices and scanning
397 parameters affect group differences in FC, and to compare FC-based subtypes across different
398 preprocessing strategies.

399 Subtypes in this study were defined based on FC. The incorporation of additional metrics
400 may help to further characterize differences between the two subtypes defined in this study. For
401 instance, recent work has focused on altered dynamic FC “states” in ASD (e.g. Chen et al., 2017;
402 de Lacy et al., 2017; Rashid et al., 2018). However, as participants’ time series consisted of only
403 145 time points, characterizing FC states in this dataset was not feasible.

404 Finally, we examined the continuum of brain and behaviour scores across both ASD and
405 TD participants in Subtype 2; however, ADOS scores were available for only 2 TD participants
406 in Subtype 1. It will be important for future studies to collect ADOS scores in TD participants to
407 better characterize the continuum of FC-behaviour relationships across all participants in
408 multiple subtypes.

409

410 *Conclusions*

411 Multivariate analyses of FC-based subtypes highlight the importance of considering the
412 heterogeneity of FC patterns and measures of behaviour in resting-state studies, and reveal the
413 continuum of brain-behaviour relationships in individuals with and without ASD. As subtypes
414 exhibited different relationships between FC and behavioural severity, it will be important to
415 determine if individuals with ASD in different subtypes exhibit unique responses to treatments
416 and behavioural therapies.

417

418 **MATERIALS AND METHODS**

419

420 *Participants*

421 Resting-state fMRI data from 145 males with ASD and 121 TD males were acquired
422 from the Preprocessed Connectomes Project (PCP; Craddock et al., 2015;
423 <http://www.preprocessed-connectomes-project.org/abide>). The data had been obtained from the
424 Autism Brain Imaging Data Exchange (ABIDE; Di Martino et al., 2014;
425 http://www.fcon_1000.projects.nitrc.org/indi/abide) and preprocessed using the Connectome
426 Computation System pipeline (Xu et al., 2015). Participants were excluded if their age was
427 greater than 40, full scale IQ was less than 75, mean framewise displacement (FD) during the
428 resting-state fMRI scan was greater than 0.20mm, percentage of data points exceeding 0.20mm
429 was greater than 20%, and/or scans were rated as good by less than 2 (out of 3 raters) as per the

430 ABIDE quality assessment protocol (<http://preprocessed-connectomes->
 431 project.org/abide/quality_assessment.html). Groups were matched for age, IQ, mean framewise
 432 displacement and the percentage of data points exceeding 0.20mm. ASD diagnoses were
 433 confirmed using the Autism Diagnostic Observation Scale (ADOS; Lord et al., 2000) and/or the
 434 Autism Diagnostic Interview-Revised (ADI-R; Lord et al., 1994). Participant characteristics are
 435 shown in Table 1, along with the number of scores that were available for ADOS, ADI-R and
 436 SRS scores for ASD participants if these scores were not available for all 145 participants.
 437 Participant characteristics for each site are described in Supplementary Table 1.

438

439 **Table 1: Participant characteristics**

Variable	ASD Mean \pm SD [range]	TD Mean \pm SD [range]	Significance
N	145	121	
Age	16.47 \pm 6.46 [7.13 – 39.10]	16.03 \pm 5.70 [6.47 – 31.78]	$t(264) = 0.58, p = 0.56$
IQ	107.57 \pm 16.32 [76 – 148]	110.08 \pm 11.61 [80 – 133]	$t(264) = -1.43, p = 0.15$
Mean FD	0.07 \pm 0.04 [0.02 – 0.19]	0.07 \pm 0.03 [0.03 – 0.19]	$t(264) = 1.32, p = 0.19$
Percent FD > 0.2mm	4.69 \pm 5.27 [0 – 19.33]	3.92 \pm 1.29 [0 – 19.33]	$t(264) = 1.29, p = 0.20$
Handedness	120 RH 21 LH	109 RH 10 LH	$X^2(1, N=266) = 0.52, p = 0.13$
Eye status	121 open 24 closed	95 open 26 closed	$X^2(1, N=266) = 1.38, p = 0.35$

Scan site	NYU: 59 SDSU: 11 TRINITY: 18 UM: 26 USM: 31	NYU: 52 SDSU: 10 TRINITY: 16 UM: 29 USM: 14	$X^2(4, N=266) = 5.07, p = 0.28$
Medication use	27 yes 86 no 32 unknown	0 yes 106 no 15 unknown	N/A
Comorbidities	28 yes 117 no/unknown	0 yes 121 no/unknown	N/A
ADOS Total	11.69 ± 3.68 [5 – 22] (N = 118)	1.14 ± 1.17 [0 – 4] (N = 14)	$t(130) = 10.64, p < 0.001$
ADOS Communication	3.89 ± 1.55 [0 – 8] (N = 100)	0.50 ± 0.65 [0 – 2] (N = 14)	$t(112) = 8.06, p < 0.001$
ADOS Social	7.89 ± 2.81 [2 – 14] (N = 100)	0.64 ± 0.84 [0 – 3] (N = 14)	$t(112) = 9.56, p < 0.001$
ADOS RRB	2.04 ± 1.46 [0 – 7] (N = 98)	0.07 ± 0.27 [0 – 1] (N = 14)	$t(110) = 5.00, p < 0.001$
ADI-R Social	19.07 ± 5.44 [7 – 30] (N = 108)	N/A	N/A
ADI-R Verbal	15.38 ± 4.36 [2 – 25]	N/A	N/A

	(N = 109)		
ADI-R RRB	5.66 ± 2.60 [0 – 12] (N = 109)	N/A	N/A
SRS	92.56 ± 31.00 [26-164] (N = 89)	20.59 ± 12.43 [1 – 56] (N = 49)	$t(136) = 15.56, p < 0.001$

440

441 ***fMRI Preprocessing***

442 Data from five sites (New York University Lagone Medical Center, University of Utah
443 School of Medicine, San Diego State University, Trinity Centre for Health Sciences, and
444 University of Michigan) using a TR of 2000ms were included. The proportion of ASD compared
445 to TD subjects was not significantly different across sites, $X^2(4, N=266) = 5.07, p = 0.28$. Written
446 informed consent or assent was obtained for all participants in accordance with respective
447 institutional review boards. Additional information about scanner types and parameters can be
448 found on the ABIDE website (http://www.fcon_1000.projects.nitrc.org/indi/abide). The CCS
449 preprocessing steps, which had been carried out as part of the Preprocessed Connectomes
450 Project, were as follows: dropping the first 4 volumes, removing and interpolating temporal
451 spikes, slice timing correction, motion correction, brain mask creation, 4D global mean-based
452 intensity normalization, boundary-based registration of functional to anatomical images,
453 anatomical segmentation of grey matter, white matter and cerebrospinal fluid, nuisance
454 parameter regression (including 24 motion parameters, white matter and CSF signals, linear and
455 quadratic trends, and the global signal), bandpass filtering (0.01 to 0.1Hz), and registering
456 functional images to the MNI template. The final preprocessed time series for each subject were
457 obtained from the Preprocessed Connectomes Project. We chose to use data that had the global
458 signal regressed out, as this step has been shown to help mitigate differences across multiple sites
459 (Power et al., 2014). Further, it has been shown recently that global signal regression attenuates
460 artefactual changes in BOLD signal that are introduced by framewise displacement (Byrge &
461 Kennedy, 2017). It should also be noted that without global signal regression, FC-based subtypes
462 differed in head motion (both mean framewise displacement ($p < 0.001$) and percentage of

463 frames above 0.2mm ($p < 0.001$). The time series of 160 4.5mm spherical regions of interest
464 (ROIs) from the Dosenbach atlas (Dosenbach et al., 2010) were obtained (see Supplementary
465 Table 2 and Supplementary Fig. 1). Regions in this atlas were selected from meta-analyses of
466 task-related fMRI studies and categorized into six different resting-state networks (RSNs): the
467 default mode network (DMN), frontoparietal network (FPN), cingulo-opercular network (CON),
468 occipital network (ON), sensorimotor network (SMN), and cerebellar network (CN). Additional
469 details of the fMRI preprocessing steps can be found on the PCP website
470 (<http://www.preprocessed-connectomes-project.org/abide>).

471

472 ***Functional connectivity***

473 Each subject's fMRI time series was truncated to 145 time points, which was the
474 minimum number of time points across subjects. FC was defined by Fisher z-transformed
475 Pearson correlations for each ROI pair across all time points for each participant. The effects of
476 age and acquisition site (represented as a Helmert basis) were regressed out of the FC matrices.

477

478 ***K-means Clustering***

479 K-means clustering was used to define subtypes distinct FC patterns. The lower triangle
480 of each participant's FC matrix was used, such that the matrix for k-means was in the form
481 subjects x FC. The k-means algorithm begins with an initialization of k centroids. Then, in the
482 *assignment* step, each participant is assigned to the closest centroid using the cosine distance,
483 defined as one minus the cosine of the included angle between each subjects' FC values and each
484 cluster's centroids, which are treated as vectors. Next, in the *centroid update* step, new centroids
485 are defined as the mean of the data points that are currently assigned to that centroid. These two
486 steps are repeated iteratively until convergence, when cluster assignments no longer change.

487 The "elbow point" criterion was used to determine the optimal number of clusters. To
488 determine the elbow point, the average cosine distance between a cluster's centroids and the FC
489 values of participants assigned to that particular cluster is calculated for each cluster, then
490 averaged across clusters to obtain a single distance metric for each value of k . These distances
491 are then plotted as a function of k , and the "elbow" is defined as the value of k where the change
492 in the rate of decrease in distance is sharpest. Values from $k = 2$ to $k = 8$ were tested (but also
493 included $k = 1$ in the elbow point plot as a reference point). Further, we evaluated the reliability

494 of the number of clusters using bootstrap resampling. Fifty percent of the sample was selected at
495 random, and were grouped into subtypes using the k-means algorithm for values of k from 2 to 8.
496 The elbow criterion was then used to select the ideal value of k for the bootstrap sample. This
497 process was repeated 500 times to determine the reliability of the optimal number of clusters.

498

499 *Partial Least Squares*

500 Partial least squares (PLS) is a multivariate statistical technique that is used to optimally
501 relate brain activity to experimental design or group membership in the form of latent variables
502 (McIntosh et al., 1996; McIntosh & Lobaugh, 2004; Krishnan et al., 2011). PLS software, which
503 is implemented in Matlab, is available for download from research.baycrest.org/pls-software. In
504 *mean-centering PLS*, patterns relating a matrix of brain variables (in the form subjects x brain
505 variables) and group membership are calculated. For this study, the brain variables were the FC
506 values in the lower triangle of each subject's FC matrix (12720 connections). Mean-centering
507 PLS was used to examine differences in FC between subtypes and between ASD and TD
508 participants.

509 Using singular value decomposition (SVD), orthogonal patterns that express the maximal
510 covariance between the brain variables and group membership are computed. The resulting
511 patterns are sorted in order of the proportion of covariance between the brain and
512 design/behaviour variables that the pattern accounts for, with the first pattern accounting for the
513 most covariance. Each pattern consists of saliences (weights) and a singular value. The brain
514 saliences indicate which brain variables (in this case, functional connections) best characterize
515 the relationship between the brain variables and group differences. Design saliences indicate the
516 group differences profiles that best characterize this relationship. Singular values indicate the
517 proportion of covariance between the brain and design matrices that each pattern accounts for.
518 Brain scores, which represent each subject's contribution to each brain salience, are calculated
519 by multiplying the original matrix of brain variables by the brain salience.

520 In *behaviour PLS*, a matrix of behaviour variables is also included in the analysis to
521 determine design-dependent (in this case, group-dependent) relationships between the brain
522 variables and behaviour. For this study, behavioural PLS was used to examine associations
523 between FC and a set of behavioural variables including IQ, ADOS scores (communication,
524 social affect, and RRBs), and scores on the Social Responsiveness Scale (SRS) in each subtype.

525 The statistical significance of each pattern was determined using permutation testing. For
526 this procedure, the rows (participants) of the matrix of brain variables are reshuffled, and new
527 singular values are obtained using SVD. In this study, this procedure was repeated 1000 times to
528 create a distribution of singular values. The p-value associated with the original singular value is
529 defined as the proportion of singular values from the sampling distribution that are greater than
530 the original singular value, thus representing the probability of obtaining a singular value larger
531 than the original value under the null hypothesis that there is no association between the brain
532 variables and group membership.

533 In addition to determining the statistical significance of each pattern, the reliability of the
534 brain saliences can also be determined by utilizing a bootstrapping procedure. Bootstrap samples
535 are generated by randomly sampling subjects with replacement, while ensuring that group
536 membership is maintained. In this study, 500 bootstrap samples were generated. Creating
537 bootstrap samples allows one to determine which brain variables are stable, regardless of which
538 participants are included in the analysis. The bootstrap ratio (BSR), defined as the ratio of the
539 brain salience to the standard error of the salience (as estimated by the bootstrap procedure), is a
540 measure of this stability. Reliable connections were defined as those that surpassed a BSR
541 threshold of ± 2.0 , which corresponds roughly to a 95% confidence interval.

542 As FC values can take on positive or negative values, positive BSRs could correspond to
543 either stronger positive or weaker negative connectivity in one group compared to the other, and
544 negative BSRs could indicate weaker positive or stronger negative connectivity. Thus,
545 expressions of FC PLS contrasts were generated for each group. Positive expressions were
546 generated by averaging connections (Fisher z-transformed Pearson correlation coefficients) that
547 had BSRs greater than 2 across all participants in each group. A similar procedure was
548 performed for negative expressions, that is, for connections showing BSRs less than -2.

549 In addition to assessing the contribution of each individual connection to the group
550 differences, we were interested in determining the extent to which network-level FC, both within
551 and between RSNs, contributed to the group differences. This was of particular interest due to
552 hypotheses that ASD may be characterized by atypical FC within and between networks (e.g.
553 Hull et al., 2016; Rudie & Dapretto, 2013b). To assess the relative contributions of each RSN to
554 the spatial patterns, the BSR-thresholded spatial maps (i.e. adjacency matrices in the form
555 connections x connections) were separated into positive BSRs and negative BSRs. These maps

556 were thresholded such that connections with a BSR less than 2 but greater than -2 were set to 0.
557 Positive BSRs greater than 2 were set to 1, and negative BSRs less than -2 were set to -1. All
558 thresholded BSRs within each pair of networks were then averaged to obtain a 6x6 matrix
559 showing the average contribution of each network pair to the spatial pattern, separately for
560 positive and negative BSRs. To assess the significance of these contributions, the order of
561 connections in the BSR thresholded matrices was permuted while keeping the RSN labels the
562 same, and then the above procedure was repeated to calculate the RSN contributions. This
563 process was repeated 1000 times to obtain a distribution of average contribution values for each
564 RSN pair. Then, the significance of the original contribution is defined as the proportion of
565 contribution values from the sampling distribution that are greater than or equal to the original
566 value.

567

568 *2.6. Data visualization*

569 Connectivity circle plots were created using the `plot_connectivity_circle` function from
570 the open-source MNE software package implemented in Python (Gramfort et al., 2013; 2014).
571 All other figures were created using Matlab (MATLAB 8.6.0 (R2015b), MathWorks, Natick,
572 MA). Violin plots were created using the `distributionPlot.m` function (Jonas 2017).

573

574

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