# Catecholamines Alter the Intrinsic Variability of Cortical Population Activity and Perception

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19 Abbreviated title: Catecholamines Alter Cortical and Perceptual Variability

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# 22 ABSTRACT

23 The ascending modulatory systems of the brainstem are powerful regulators of 24 global brain state. Disturbances of these systems are implicated in several major 25 neuropsychiatric disorders. Yet, how these systems interact with specific neural 26 computations in the cerebral cortex to shape perception, cognition, and behavior 27 remains poorly understood. Here, we probed into the effect of two such systems, 28 the catecholaminergic (dopaminergic and noradrenergic) and cholinergic systems, 29 on an important aspect of cortical computation: its intrinsic variability. To this end, 30 we combined placebo-controlled pharmacological intervention in humans, 31 magnetoencephalographic (MEG) recordings of cortical population activity, and 32 psychophysical measurements of the perception of ambiguous visual input. A 33 low-dose catecholaminergic, but not cholinergic, manipulation altered the rate of 34 spontaneous perceptual fluctuations as well as the temporal structure of "scale-35 free" population activity of large swaths of visual and parietal cortex. 36 Computational analyses indicate that both effects were consistent with an 37 increase in excitatory relative to inhibitory activity in the cortical areas underlying 38 visual perceptual inference. We propose that catecholamines regulate the 39 variability of perception and cognition through dynamically changing the cortical 40 excitation-inhibition ratio. The combined read-out of fluctuations in perception and 41 cortical activity we established here may prove useful as an efficient, and easily 42 accessible marker of altered cortical computation in neuropsychiatric disorders.

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# 45 INTRODUCTION

46 The modulatory systems of the brainstem send widespread, ascending 47 projections to the specialized circuits of the cerebral cortex that mediate 48 perception, cognition, and goal-directed behavior. These systems regulate 49 ongoing changes in brain state, even during periods of wakefulness [1-4]. They 50 are recruited during, and in turn shape, cognitive processes, such as perceptual 51 inference, learning, and decision-making [5-8]. Because these systems are 52 implicated in most neuropsychiatric disorders, they are also major targets of 53 pharmacological therapy of brain disorders [5,9,10]. Taken together. neuromodulatory systems have remarkably specific effects on cognition, despite 54 55 the widespread and diffuse nature of their projections to cortex. An important 56 challenge for neuroscience is to uncover the mechanistic principles, by which 57 neuromodulatory systems interact with the cortical computations underlying 58 cognition.

One key parameter of cortical computation that might be under 59 neuromodulatory control is the intrinsic variability - i.e., fluctuations that occur 60 61 during constant (or absent) sensory input [13,14]. Specifically, it has been 62 proposed that the catecholaminergic neuromodulators noradrenaline and 63 dopamine may shift the cortical computations underlying decision-making from a 64 stable ("exploitative") to a variable ("exploratory") mode [5,15]. A context-65 dependent adjustment of the variability of cortical computations may also be 66 adaptive for perceptual inference in the face of ambiguous sensory input [16].

Animal work has shown that catecholamines and acetylcholine, another important neuromodulator, alter the intrinsic variability of neural activity [2,11,17– 19] through highly selective interactions with specific elements (pyramidal cells and/or inhibitory interneurons) of cortical microcircuits [20,21]. But it is unknown

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how these changes at the level of cortical microcircuits relate to the intrinsic
variability of perception and cognition.

73 At the larger scale of cortical mass action that is assessable with non-74 invasive recordings in humans, activity also fluctuates intrinsically, in a spatially 75 and temporally structured manner [22,23]. The temporal structure of these 76 fluctuations is characteristic of so-called "scale-free" behavior: Power spectra that scale as a function of frequency according to a power law,  $P(f) \propto f^{\beta}$  [24,25], 77 78 indicating long-range temporal autocorrelations [26-29]. Some studies have 79 linked the spatio-temporal structure of the fluctuations in cortical population activity to specific perceptual and cognitive processes [28,30-32]. But it is 80 81 unknown if and how these fluctuations in cortical population activity are 82 dynamically regulated by neuromodulatory systems.

We aimed to close these gaps by systematically quantifying the effects of catecholaminergic and cholinergic neuromodulation on the intrinsic variability in perception and large-scale cortical activity in the healthy human brain. To this end, we combined placebo-controlled, selective pharmacological interventions, psychophysical measurements of fluctuations in perception in the face of a continuously presented and ambiguous visual stimulus, and MEG recordings of fluctuations in cortical population activity.

Catecholamines, but not acetylcholine, increased both the variability of perception as well as long-range temporal correlations of intrinsic cortical activity in visual and parietal cortex. Based on previous theoretical and experimental work [33–36], we interpreted the increase in perceptual variability in terms of an increase in the net ratio between cortical excitation and inhibition in those cortical regions. Simulating a recurrent neural network under synaptic gain modulation

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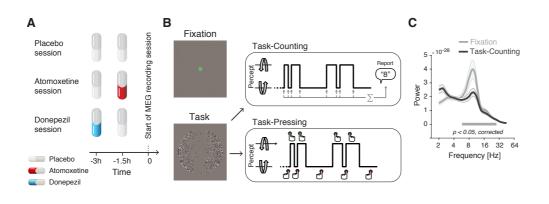
96 enabled us to show that an analogous mechanism may account for the increase

97 of long-range temporal correlations of cortical activity under catecholamines.

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# 99 **RESULTS**

We tested for changes in intrinsic fluctuations of perception and cortical population activity under placebo-controlled, within-subjects pharmacological manipulations of catecholamine (using the noradrenaline reuptake inhibitor atomoxetine) and acetylcholine (using the cholinesterase inhibitor donepezil) levels (Fig 1A, see Methods for details on the pharmacological interventions).



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106 Fig 1. Experimental design (A, B) Types and time course of experimental sessions. (A) Each 107 subject participated in three sessions, involving administration of placebo, atomoxetine, or 108 donepezil (session order randomized across subjects). Each session entailed the administration of 109 two pills, in the order depicted for the different session types. (B) Within each session, subjects 110 alternated between three conditions, Fixation, Task-Counting and Task-Pressing, during which 111 MEG was recorded (runs of 10 min each). See Materials and Methods for details. (C) Group 112 average power spectrum, averaged across all MEG sensors, for Rest and Task (Placebo condition 113 only). 114

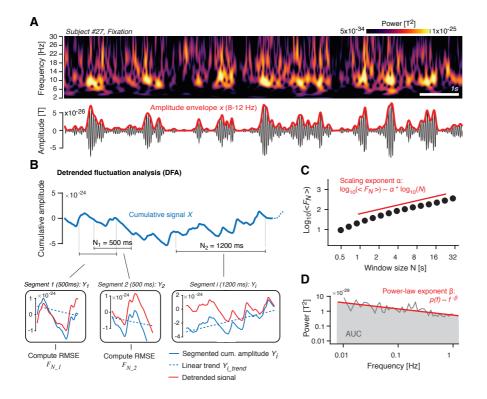
Fluctuations in cortical activity were measured during two steady-state conditions,

both of which excluded transients in sensory input or motor output (Fig 1B): (i) fixation of an otherwise gray screen (a condition termed "Fixation"), as in most studies of human "resting-state" activity [22,23]; and (ii) silent counting of the spontaneous alternations in the perceptual interpretation of a continuously presented, ambiguous visual stimulus (dubbed "Task-counting"). In a third condition that was only used for the analysis of perceptual fluctuations, subjects immediately reported the perceptual alternations by button press ("Task-

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pressing"; i.e. associated with movement-related transients in cortical activity).
This design capitalized on recent insights into the circuit mechanisms underlying
intrinsic perceptual dynamics [33,34,36] which helped constrain the mechanistic
interpretation of the results reported below.

127 The Results section is organized as follows. We first present the effects of the "Atomoxetine" and "Donepezil" conditions (each compared against the 128 129 "Placebo" condition) on the rate of perceptual fluctuations. These effects were in 130 line with a boost in the relative strength of excitatory drive of visual cortex under 131 Atomoxetine. We then show how (i) constant sensory and task drive (i.e., Task-132 counting vs. Fixation) and (ii) the pharmacological manipulations affect the 133 intrinsic fluctuations in cortical activity. We focus on the temporal auto-correlation structure of intrinsic fluctuations in the amplitude of band-limited cortical 134 population activity (see Methods and Fig 2). Control analyses showing the drug 135 136 effects on other measures of cortical population activity and peripheral 137 physiological signals support the validity and specificity of our conclusions.



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### 139 Fig 2. Quantifying the temporal structure of fluctuations in oscillatory cortical activity

140 (A) Top. Time-frequency representation of MEG power fluctuations during Rest (example subject). 141 Bottom. Filtered signal (10 Hz; black) and the corresponding amplitude envelope (red). (B) 142 Illustration of detrended fluctuation analysis. See main text (Materials and Methods) for details. Top. 143 Cumulative sum of the amplitude envelope. Bottom. Detrending of cumulative sum within segments, 144 shown for two different window lengths N (N<sub>1</sub> = 500 ms and N<sub>2</sub> = 1200 ms). (C) Root-mean-square 145 fluctuation function  $\langle F_N \rangle$ . In log-log coordinates,  $\langle F_N \rangle$  increases approximately linearly as a 146 function of N, with a slope that is the scaling exponent  $\alpha$ . (D) Illustration of power spectrum 147 analysis of amplitude envelope. In log-log coordinates, the power spectrum can be approximated by 148 a straight line, with a slope  $\beta$  (power-law exponent) and an area under the curve (gray) that 149 quantifies the overall variance of the signal.

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We close with simulations of a patch of recurrently connected excitatory and inhibitory integrate-and-fire neurons. The simulations show that the changes in temporal correlations observed in the MEG data can be explained by a modulation synaptic gain that altered the net ratio between excitatory and inhibitory activity.

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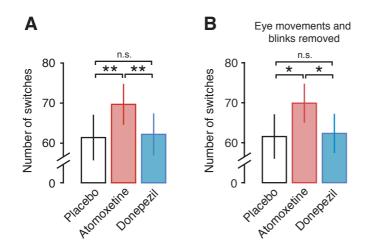
# 157 Atomoxetine increases the rate of bistable perceptual fluctuations

The ambiguous visual stimulus that was continuously presented during both 158 159 Task-counting and Task-pressing induced ongoing fluctuations in perception, i.e., 160 spontaneous alternations between two apparent rotation directions of 3D-motion 161 (Fig 1B; see Movie M1), a phenomenon is referred to as multi-stable perception. 162 The rate of the perceptual alternations reported by the participants provided a 163 read-out of visual cortical circuit state. Current models explain bistable perceptual 164 fluctuations in terms of the interplay between feedforward, excitatory drive of 165 stimulus-selective neural populations in visual cortex, mutual inhibition between them, stimulus-selective adaptation, and neural "noise" [33,34]. Increases in the 166 167 ratio between feedforward, excitatory input to, and mutual inhibition within the 168 cortical circuit, give rise to faster perceptual alternations. This idea is supported 169 by convergent evidence from functional magnetic resonance imaging, magnetic resonance spectroscopy, and pharmacological manipulation of GABAergic 170 171 transmission [31,36]. We reasoned that neuromodulators such as noradrenaline

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might dynamically change these parameters [12,37], and thereby alter the rate ofperceptual fluctuations.

174 Atomoxetine increased the rate of perceptual fluctuations compared to both Placebo and Donepezil conditions (Fig 3A; Atomoxetine vs. Placebo: p =175 0.007; t = 2.913; Atomoxetine vs. Donepezil: p = 0.001; t = 3.632; Donepezil vs. 176 177 Placebo: p = 0.966; t = -0.043; all paired t-tests, pooled across Task-counting and 178 Task-pressing). The perceptual alternation rates were highly consistent across 179 Task-counting and Task-pressing (Fig S1C), supporting the validity of the 180 counting condition as behavioral read-out of bistable perceptual fluctuations. 181 Likewise, the Atomoxetine effect on perceptual fluctuation rate was evident for Task-counting (p = 0.045; t = 2.103; paired t-test; Fig S1A) and Task-pressing (p182 183 = 0.018; t = 2.540; paired t-test; Fig S1B) individually.



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Fig 3. Atomoxetine, but not Donepezil, increases the rate of perceptual alternations (A) Number of perceptual alternations reported by the subjects per 10 min run, pooled across task conditions (Task-counting and Task-pressing). (B) Same as (A), after regressing out blink and eye movement data (see Methods and Supplementary Figure S2). Significance was assessed using two-sided paired t-tests (N=28).

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191 These changes in perceptual fluctuations were not explained by an 192 increase in the rates of eye blinks or fixational eye movements. First, there was 193 no significant increase during Atomoxetine compared to Placebo in any of five 194 different eye movement parameters measured here (Fig S2). Second, none of

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these parameters correlated with the perceptual alternation rate (Fig S2). Third,
and most importantly, the effect of Atomoxetine on the perceptual dynamics was
also significant after removing (via linear regression) the individual eye movement
parameters (Fig 3B).

In sum, Atomoxetine had an effect on bistable perceptual fluctuations that 199 was both robust and specific, evident when compared with either Placebo or 200 201 Donepezil. This effect was in line with an increase in the strength of excitatory 202 feedforward drive of visual cortex relative to the strength of mutual inhibition 203 between the neural sub-populations encoding the competing perceptual 204 interpretations of the ambiguous stimulus. Such an effect should have occurred in 205 the motion-sensitive visual cortical areas, which implement the visual competition 206 induced by the ambiguous structure-from-motion stimulus [38,39].

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208 Atomoxetine increases the scaling exponent of fluctuations in cortical 209 population activity

210 We estimated long-range temporal correlations of band-limited amplitude 211 fluctuations (indicated by the scaling exponent  $\alpha$ : see Methods for details) to 212 quantify intrinsic fluctuations in cortical population activity. Our analyses focused 213 on amplitude envelope fluctuations in the 8-12 Hz frequency range ("alpha 214 band"), for two reasons. First, as expected from previous work [40], the cortical 215 power spectra exhibited a clearly discernible peak in this frequency range, which robustly modulated with sensory or task drive (suppressed under Task-counting, 216 217 Fig 1C). Second, previous studies reported robust long-range temporal 218 correlations with peaks in the same frequency range [26.29].

219 We first replicated two previously established observations pertaining to 220 the scaling exponent  $\alpha$ . First, the average across cortical patches and

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participants was  $\alpha = 0.67$  (s.d. = ±0.09) during Fixation (Placebo only) and  $\alpha =$ 221 222 0.64 (s.d. =  $\pm 0.07$ ) during Task-counting (Placebo only), indicative of long-range 223 temporal correlations similar to the ones found in previous work [26,29,41]. 224 Second, the sensory and task drive during Task-counting reliably reduced  $\alpha$ 225 compared to Fixation, again as shown in previous work [27,42]. Across all voxels, 226  $\alpha$  was significantly larger during Fixation than during Task-counting (p = 0.0062; t 227 = 2.97; paired t-test; Placebo condition only). This difference was significant 228 across pharmacological conditions in large parts of cortex including the occipital and parietal regions that were driven by the motion stimulus (p < 0.05; cluster-229 230 based permutation test; Fig 4D).

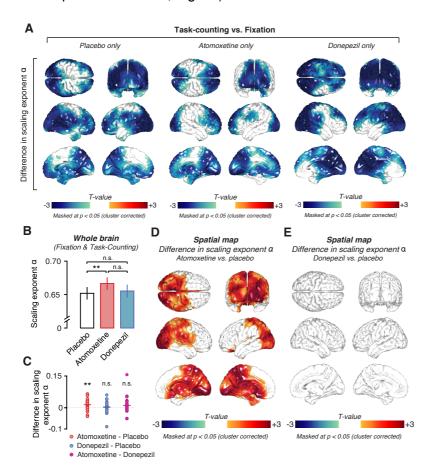




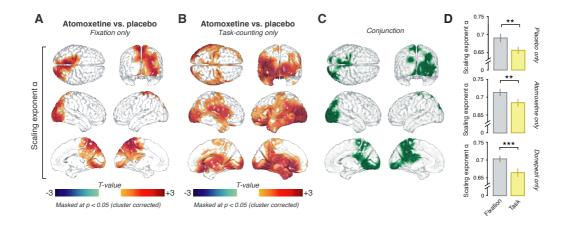
Fig 4. Effects of task and pharmacological conditions on long-range temporal correlations of the amplitude envelope of 8-12 Hz MEG activity. (A) Spatial distribution of significant differences in scaling exponent  $\alpha$  between Task-counting and Fixation during Placebo (left), Atomoxetine (middle), and Donepezil (right). (B) Comparison between mean scaling exponents  $\alpha$  averaged across all the entire brain (see Methods) during the different pharmacological conditions. (C) Individual subject differences in scaling exponent  $\alpha$  between all drug conditions. (D, E) Spatial distribution of drug-induced changes in scaling exponents. (D) Atomoxetine vs. Placebo. (E)

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239 Donepezil vs. Placebo. Two-sided permutation tests (N=28); all statistical maps: Threshold at p = 0.05, cluster-based. All drug comparisons are averaged across behavioral conditions, i.e., Fixation and Task-counting.

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243 Having verified the validity of our measurements of  $\alpha$  we then tested for 244 changes in  $\alpha$  under the pharmacological conditions (Fig 4B-E and Fig 5). There 245 was a highly significant increase in  $\alpha$  for Atomoxetine compared to Placebo when 246 collapsing across voxels as well as across Fixation and Task-counting (p =247 0.0068; t = 2.93; paired t-test, Fig 4B-C). This effect was widespread, but not 248 homogenous across cortex, comprising occipital and posterior parietal, as well as 249 a number of midline regions including the thalamus (Fig 4D, p = 0.0022; cluster-250 based permutation test). Because it is unclear to which extent intrinsic activations 251 from deep sources can be recovered using MEG, we focus our description and 252 conclusions on the effects in cortical regions. Importantly, the Atomoxetine effect 253 on  $\alpha$  was also present at the level of MEG sensors (Fig S4), and hence did not 254 depend on the source reconstruction method applied here (see Methods).



- 255
- Fig 5. Atomoxetine increases long-range temporal correlations irrespective of behavioral condition. Spatial distribution of the Atomoxetine-induced changes in scaling exponent  $\alpha$  during (A) Fixation and (B) Task-counting. (C) Conjunction of maps in (A) and (B), highlighting (in green) voxels with significant increases in both conditions. (D) Scaling exponents for Fixation (gray) and Task-counting (yellow) within conjunction cluster depicted in panel C for Placebo (top), Atomoxetine (middle) and Donepezil only (bottom).

263 The effect of Atomoxetine on  $\alpha$  was subtle, likely due to the low dosage. 264 But. importantly. the effect was highly reproducible across repeated 265 measurements. We assessed reproducibility with two complementary

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266 approaches. The first was a region-of-interest (ROI) analysis. We defined a ROI 267 in terms of a significant cluster for Atomoxetine > Placebo (one-sided paired t-test, 268 p < 0.05, uncorrected) during the first run collected in each session (Fixation and 269 Task-counting collapsed) and extracted this ROI's mean  $\alpha$  from the second run. 270 We then reversed the procedure and so extracted a second, independent ROI-271 based  $\alpha$  and averaged the  $\alpha$ -estimates. This approach revealed a strong 272 increase under Atomoxetine (p = 0.0023; t = 3.365). The second approach 273 assessed the reproducibility of the spatial pattern of effects across both runs. To 274 this end, we correlated the (non-thresholded) individual maps for the Atomoxetine 275 vs. Placebo difference computed from the first and second run in each session 276 (again pooling across Task-counting and Fixation) and tested the resulting 277 correlation coefficients across participants. The average correlation was 278 significantly different from zero (mean r = 0.29, p < 0.0001; permutation test 279 against zero).

280 The Atomoxetine-related increases in scaling exponent  $\alpha$  were evident during both Fixation and Task-counting (Fig 5A, Fixation: p = 0.0245; Fig 5B, 281 282 Task-counting: p = 0.0035; cluster-based permutation test). The effects occurred 283 in largely overlapping regions of occipital and parietal cortex (Fig 5C). There was 284 no interaction between the effects of Atomoxetine and Task-counting anywhere in 285 cortex: a direct comparison of the two Atomoxetine vs. Placebo difference maps, 286 from Fixation and from Task-counting, yielded no significant clusters (p > 0.081for all clusters; cluster-based permutation test). The same cortical regions in 287 288 which  $\alpha$  increased during Atomoxetine exhibited decreases during Task-counting: 289 When testing for the task-dependent change in  $\alpha$  (Fig 4A) specifically in the regions comprising the conjunction cluster of the Atomoxetine effect (Fig 5C), the 290

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291 reduction during Task-counting was also highly significant (Fig 5D) in all292 pharmacological conditions.

293 In contrast to the robust effect of Atomoxetine on  $\alpha$ , there was no 294 evidence for an effect of Donepezil at the dosage used here. The difference between Donepezil and Placebo (collapsed across Fixation and Task-counting) 295 296 did not reach significance, neither when pooling across voxels (p = 0.50; t = 0.68; 297 BF = 0.68; paired t-test; Fig 4B), nor when testing all voxels individually (p > 0.22) 298 for all clusters; cluster-based permutation test; Fig 4E; Fig S5). Atomoxetine also 299 increased the scaling exponents when directly compared to Donepezil during 300 Task-counting (Fig S6A; p < 0.05; two-sided cluster-based permutation test), but 301 not during Fixation (Fig S6B).

Taken together, the rich experimental design gave rise to a highly specific 302 303 and consistent pattern of changes in  $\alpha$  under the different experimental 304 conditions, which helped constrain the mechanistic interpretation of the results. 305 The Atomoxetine effects were specific, and not just due to the application of any 306 drug targeting neurotransmitter systems. It is possible that the absence of 307 detectable Donepezil effects on  $\alpha$  was due to the low dosage or short 308 administration period used here. However, the control analyses presented in the 309 next section revealed clear effects of Donepezil on both cortical activity as well as 310 markers of peripheral nervous system activity.

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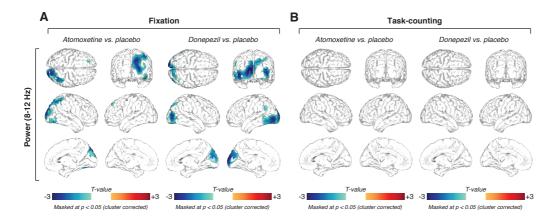
# 312 Control analyses for the drug effects on other features of cortical dynamics

# 313 or peripheral physiological signals

314 During Fixation, Atomoxetine and Donepezil both reduced posterior cortical 315 alpha-band power relative to Placebo in both the 8-12 Hz (Fig 6A; p < 0.05 for all 316 clusters; two-sided cluster-based permutation test) as well as the 2-8 Hz

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- 317 frequency ranges (Fig S7A). This suppression in low-frequency power under
- 318 cholinergic boost is consistent with previous work in rodents [17,18] and humans
- 319 [43].



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Fig 6. Similar effects of Atomoxetine and Donepezil on 8-12 Hz power. (A) Spatial distribution of drug-related alpha power changes during Fixation, thresholded at p = 0.05 (two-sided cluster-based permutation test). Left. Power changes after the administration of atomoxetine. Right. Power 324 changes after the administration of donepezil. (B) Same as (A), but for Task-counting. All threshold 325 at p = 0.05, cluster-based two-sided permutation tests (N=28). 326

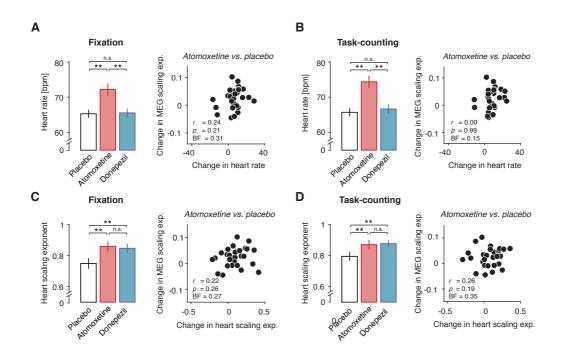
327 The Atomoxetine-induced changes on 8-12 Hz power exhibited a different 328 spatial pattern from the one of corresponding change in the scaling exponent  $\alpha$ : 329 within the cluster of the significant main effect of Atomoxetine on  $\alpha$  (Fig 4D), 330 power did not correlate with the changes in  $\alpha$  (group average spatial correlation 331 between pooled difference maps within cluster; r = 0.073; p = 0.129, BF = 1.065). 332 During Task-counting, neither drug altered MEG-power in the low-frequencies (8-333 12 Hz: Fig 6B, p > 0.05 for all clusters; two-sided cluster-based permutation test; 334 2-8 Hz: Fig S7B), presumably due to the already suppressed power in the 8-12 335 Hz range in that condition (Fig 1C). Together with the findings reported in the 336 previous section, the analyses of the mean MEG power indicate that (i) both 337 drugs reduced the amplitude of cortical low-frequency oscillations and (ii) MEG 338 power and the scaling exponent  $\alpha$  reflected at least partially distinct aspects of 339 intrinsic cortical dynamics

We also controlled for changes in peripheral physiological signals under 340 the drugs as potential confounds of the effect on cortical scaling behavior (Fig 7). 341

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342 As expected, Atomoxetine increased average heart rate (Fig 7A,B). Donepezil 343 had no detectable effect on average heart rate, during neither Fixation (p =344 0.8676; t = 0.16; paired t-test; BF = 0.8676; Fig 7A) nor Task-counting (p =0.3274; t = 1.0; paired t-test; BF = 0.3139; Fig 7B). Both drugs altered heart-rate 345 variability, increasing  $\alpha$  computed on the time series of inter-heartbeat-intervals 346 347 (see Methods) in both behavioral contexts relative to Placebo (Fixation: p =348 0.0012, t = 3.62; Task-counting: p = 0.0167; t = 2.55; Fig 7C; Fixation/Donepezil: 349 p = 0.0076, t = 2.88; Task-counting/Donepezil: p = 0.0049, t = 3.06; Fig 7D; all 350 paired t-tests). Critically, the Atomoxetine-induced changes in heart rate showed 351 no (Task-counting: r = 0.00; p = 0.99; Person correlation; BF = 0.15) or only weak 352 and statistically non-significant (Fixation: r = 0.24; p = 0.21; Person correlation; 353 BF = 0.31) correlations with the changes in cortical activity (Fig 7A/B, right). Similarly, the Atomoxetine-related changes in the scaling behavior of inter-354 355 heartbeat intervals were not correlated with the changes in cortical scaling 356 behavior (Fixation: r = 0.22; p = 0.26; BF = 0.27; Task-counting: r = 0.26; p =0.19; BF = 0.35; Fig 7C/D, right). Atomoxetine also decreased spontaneous blink 357 358 rate during Fixation (p = 0.034; t = 2.24; paired t-test), but not during Task-359 counting (p = 0.112; t = 1.645; BF = 1.130; paired t-test; Fig S2B). However, 360 again there was no significant correlation between changes in blink-rate and changes in cortical scaling behavior due to Atomoxetine (Fixation: r = -0.26; p =361 362 0.19; BF = 0.35; Task-counting: r = -0.09; p = 0.64; BF = 0.16).

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# 363

364 Fig 7. Drug effect on cortical scaling behavior is not explained by systemic drug effects. (A) Left. 365 Heart rate for Atomoxetine, Placebo and Donepezil during Fixation. Right. Correlation of 366 Atomoxetine-related changes in heart rate (x-axis) with Atomoxetine-related changes in MEG 367 scaling exponent a (y-axis) (within significant cluster during Fixation). (B) As (A), but during Task-368 counting (C) Right. Scaling behavior of inter-heartbeat intervals (heart scaling exponent). Left. 369 Heart scaling exponent for all pharmacological conditions during Fixation. Right. Correlation of 370 Atomoxetine-related changes in heart scaling exponent (x-axis) with Atomoxetine-related changes 371 in MEG scaling exponent a (y-axis). (D) Same as (C), but during Task-counting. Two-sided t-tests 372 and Pearson correlations (N=28). BF, Bayes factor. 373

In sum, drug-induced changes in peripheral physiological signals under the drugs, if present, did not account for the Atomoxetine-induced changes in the scaling behavior of the fluctuations in cortical activity (Figs 4 and 5). These controls support our interpretation in terms of a specific effect on cortical net E/I ratio rather than non-specific secondary effects due to the systemic drug effects or changes in retinal input due to blinks.

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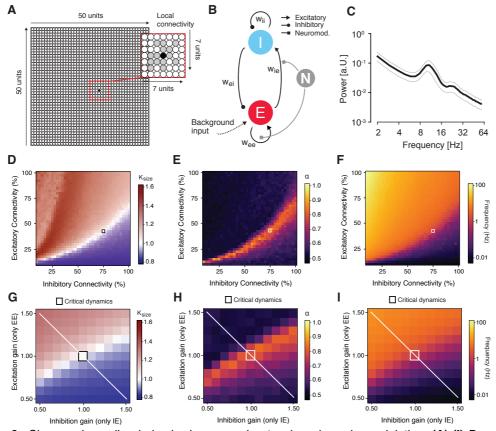
# 381 Change in scaling exponent under Atomoxetine is consistent with increase

# 382 in net E/I ratio in cortical circuits

Atomoxetine had an effect on perceptual fluctuations that was in line with a relative increase in excitation in cortical circuits of occipital and posterior parietal cortex that processed the ambiguous visual motion stimulus. We reasoned that

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386 this change in circuit state might have also produced the observed change in 387 scaling behavior of intrinsic cortical activity fluctuations under Atomoxetine. In 388 order to solidify this intuition, we simulated the activity of a neural network model 389 made up of recurrently connected excitatory and inhibitory integrate-and-fire units 390 (Fig 8). In what follows, we use the term "E/I ratio" to refer to the ratio of 391 excitatory and inhibitory activity across the circuit [44] and "E/I balance" to refer to 392 a specific regime of E/I ratios, in which excitation and inhibition changes in a 393 proportional way [45-48].



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Fig 8. Changes in scaling behavior in a neural network under gain modulation. (A)-(I) Dynamic 396 modulation of excitation-inhibition ratio alters long-range temporal correlations in recurrent network 397 model. (A) Model architecture. The network consisted of 2500 excitatory and inhibitory integrate-398 and-fire units and random, local (within an area of 7x7 units) connectivity (magnified within the red 399 square). (B) Neuromodulation was simulated as a gain modulation term multiplied with excitatory 400 synaptic weights ( $w_{ee}$  and  $w_{ie}$ ). (C) Power spectrum of the simulated neural mass activity, with a 401 peak in the alpha range. (D)  $\kappa$  as a function of excitatory and inhibitory connectivity (with a spacing 402 of 2.5%; means across 10 simulations per cell). The region of  $\kappa \sim 1$ , overlaps with the region of a > 1403 0.5 and splits the phase space into an excitation-dominant ( $\kappa$ >1) and an inhibition-dominant region 404 ( $\kappa$ <1). The black square depicts the network configuration that was chosen for assessing the effects 405 of neuromodulation (E) Scaling exponent a as a function of excitatory and inhibitory connectivity. 406 (F) Same as (D) and (E), but for mean firing rate. (G)  $\kappa$  as a function of independent synaptic gain 407 modulation. Red square, baseline state of critical network before synaptic gain modulation. White 408 line, axis corresponding to largest change in ratio (H) Same as (D), but for scaling exponent a. (I) 409 Same as (G) and (H), but for firing rate.

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410 411 We started from a network (Figure 8A) that was similar to the one 412 developed and analyzed in a previous study [49]. The basic features of the model 413 were as follows. The model was built to generate oscillations of neural mass 414 activity (summed across all units) in the alpha-band (8-12 Hz; Figure 8B). The 415 amplitude envelope of these oscillations fluctuated over time, with scale-free 416 long-range temporal correlations. Those scale-free intrinsic fluctuations in cortical 417 activity were sensitive to variations in the percentage of excitatory and inhibitory connections in the circuit (i.e., microstructure). Our previous work [49], 418 419 reproduced here (Figure 8D-F) showed that such a model accounts for the joint 420 emergence of two scale-free phenomena at different spatial scales (single unit 421 activity vs. mass activity) and temporal scales (tens of milliseconds vs. hundreds 422 of seconds): (i) neuronal avalanches with an event size distribution following a 423 power-law; and (ii) long-range temporal correlations of the amplitude envelope 424 fluctuations of the circuits mass activity. Both phenomena have been established in empirical measurements of cortical population activity [26,50]. Neuronal 425 426 avalanches are activity deflections (i.e., exceeding a certain threshold) that 427 propagate through the cortical network [50], with an "event size" corresponding to 428 the number of activated units. In line with (Shew et al., 2009) we quantified the 429 power-law scaling of the size distributions of avalanches in the model with the 430 kappa-index ( $\kappa$ ): the similarity between the actual event size distribution and a 431 power-law distribution with an exponent of -1.5; A  $\kappa$  of 1 indicates perfect match 432 between the two.

We extended this model by means of a multiplicative modulation of synaptic gain [37,51] (Fig 8B). This allowed us to explore how catecholaminergic effects on neural circuits might change the two phenomena of scale-free neural population activity described above. We first determined the structural

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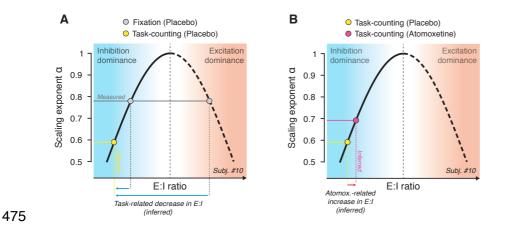
437 connectivity (small squares in Fig 8D-F) and the time scale parameters of the 438 model such that the network generated intrinsic alpha-band oscillations (Fig 8C) 439 with amplitude fluctuations that exhibited neuronal avalanches with scale-free 440 event size distributions (Fig 8D) as well as long-range temporal correlations (with  $\alpha \sim 0.85$ ). We then independently modulated specific excitatory or inhibitory 441 442 connections through the multiplicative scaling of the corresponding synaptic 443 weights, in two ways. In the version shown in Fig 8, we modulated only excitatory 444 synapses, but independently on excitatory as well as inhibitory neurons (EE and IE), thus producing asymmetries in the circuits net E/I ratio as in recent modeling 445 446 work on a cortical circuit for perceptual decision-making [44]. In the second 447 version (Fig S8A), we co-modulated EE and IE and independently modulated inhibitory synapses on excitatory neurons (EI). This was intended to specifically 448 simulate glutamate receptors (AMPA or NMDA) in former two cases (mediating 449 450 the effects of excitatory neurons) as opposed to modulations of GABA receptors 451 in the latter case (mediating the effects of inhibitory neurons on others). N<sub>FF</sub> and  $N_{IE}$  were co-modulated by the same factor for simplicity, because we did not 452 assume that excitatory (glutamatergic) synapses would be differentially 453 454 modulated depending on whether they were situated on excitatory or inhibitory 455 target neurons.

Both types of changes in net E/I ratio robustly altered  $\kappa$  (Fig 8G and Fig S8B),  $\alpha$  (Fig 8H and Fig S8C), and the mean firing rate (Fig 8I). The effect of changes in E/I ratio on the scaling exponent  $\alpha$  were non-monotonic, dependent on the starting point: increases in excitation led to increases in  $\alpha$  when starting from an inhibition-dominant point, but to decreases in  $\alpha$  when starting from an excitation-dominant point (Fig 8H, white line). The effects of excitatory and inhibitory gain modulation on the temporal correlation structure of the simulated

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population activity were qualitatively similar to the effects of changes in the
fraction of excitatory and inhibitory synapses simulated (as shown in Fig 8D-F).
The latter simulated individual differences in cortical anatomical microstructure,
and the former simulated state-dependent changes in cortical circuit interaction,
which occur within an individual brain.

In the model, the scaling exponent  $\alpha$  exhibited a non-monotonic dependence on E/I ratio (see the white diagonal line in Fig 8G-I and schematic depiction in Fig 9). Consequently, without knowing the baseline state, any change in  $\alpha$  was ambiguous with respect to the direction of the change in E/I ratio (i.e., towards excitation- or inhibition-dominance). Thus, the observed increase in  $\alpha$ under Atomoxetine during Fixation could have been due to either an increase or a decrease in E/I ratio.



476 Fig 9. Schematic of inference from observed change in scaling exponents to net E/I ratio (see 477 Results for details). The non-monotonic dependence of scaling exponent a on E/I ratio 478 (corresponding to white line in panel H) is replotted schematically. (A) Measured scaling exponent 479 a during Fixation (gray) can result from both, inhibition- or excitation-dominant regimes; the 480 baseline is unknown. Assuming that sensory drive (Task-counting; yellow dot) either decreases or 481 does not change E/I ratio, the observed decrease in scaling exponent during Task-counting (yellow) 482 reflects a shift towards the inhibition-dominance (blue arrows), consistent with animal physiology 483 [52,53]. (B) This constrains the baseline state for the interpretation of the Atomoxetine-induced 484 increase in scaling exponent during Task-counting (red): The latter increase likely reflects an 485 increase in E/I ratio (red arrow).

486

487 Importantly, insights from animal physiology helped constrain the baseline
488 state during Task-counting: In the awake state, visual drive decreases E/I ratio in
489 visual cortex V1, due to the recruitment of inhibitory mechanisms that outweigh

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the excitatory sensory drive [52,53]. We assumed that the same held for the
Task-counting condition (constant visual stimulation) of our study.

492 This condition enabled us to infer the change in net E/I ratio under 493 Atomoxetine. The rationale is illustrated in Fig 9. The animal physiology results referred to above indicte that the observed decrease in  $\alpha$  during Task-counting 494 495 was due to a shift towards inhibition-dominance (yellow point in Fig 9A). Under 496 this assumption, the Atomoxetine-induced increase in  $\alpha$  during was due to an 497 increase in net E/I ratio (Fig 9B). Because the effects of Atomoxetine on  $\alpha$  were 498 the same during Task-counting and Fixation, it is likely that the same mechanism 499 was at play during Fixation.

500 In sum, under certain, the simulations provided a mechanistic explanation 501 for the observed MEG effects: effective changes in the cortical E/I ratio, due to 502 multiplicative changes of synaptic gain [37] or other mechanisms [12,20] – the 503 same conclusion inferred from the increase in the rate of perceptual alternations 504 above.

505

### 506 **DISCUSSION**

507 Neuromodulators regulate ongoing changes in the operating mode of cognitive 508 processes [1,5,6,10,54] as well as of cortical microcircuits [11,12,17,18,20,21]. 509 Here, we unraveled the effect of two major classes of neuromodulators, 510 catecholamines and acetylcholine, on the intrinsic variability of cortical 511 computation, an important parameter shaping the operating mode. We used two separate read-outs of this parameter: (i) the rate of fluctuations in perception 512 513 induced by ambiguous visual input and (ii) the temporal correlation structure of 514 (scale-free) fluctuations of the amplitude envelope of band-limited cortical mass 515 activity. Catecholamines, but not acetylcholine, altered both read-outs.

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516 Simulations of a recurrent neural network revealed that, under well supported 517 physiological assumptions,, the observed changes in the temporal structure of 518 fluctuations in cortical activity is indicative of an increase in the cortical E/I ratio. 519 Earlier modeling and empirical data show that such an increase in net E/I ratio in 520 visual cortex is also consistent with the increased rate of perceptual fluctuations 521 under the catecholaminergic boost.

522

# 523 Cortical distribution of Atomoxetine effects on cortical activity fluctuations

524 The Atomoxetine effects on the scaling exponent were widespread across cortex, 525 but not entirely homogenous. They were pronounced across occipital and parietal 526 cortex, but not robust in frontal cortex (see Fig 5B). This distribution might point to 527 a noradrenergic, rather than dopaminergic origin. Atomoxetine increases the levels of both catecholamines, noradrenaline and dopamine, but the cortical 528 529 projection zones differ substantially between both systems: Dopaminergic 530 projections mainly target prefrontal cortex [55] and only sparsely to occipital cortex [56,57], whereas the noradrenergic projections are more widespread and 531 532 strong to occipital and parietal cortex [58]. Alternatively, this distribution may 533 reflect the different receptor composition across cortical regions [58,59]. The 534 relative frequency of the different noradrenaline receptors differs between pre-535 frontal and posterior cortex [58], which might translate a homogenous 536 noradrenaline release into a heterogeneous effect on the activity in these 537 different cortical regions. An important next step will be to investigate the 538 differential role of different noradrenaline receptors, and different regional 539 receptor profiles, in shaping the cortex-wide effect of noradrenaline on long-range temporal correlations. 540

541

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# 542 Opposite effects of external drive and catecholamines on long-range 543 temporal correlations

544 Consistent with our current results, previous studies also found a decrease in 545 temporal autocorrelations of cortical activity due to external drive [27,42]. The 546 observation is consistent with the insight from intracellular recordings of cortical 547 neurons in animals, that cortical responses to sensory stimulation in the awake 548 state are dominated by inhibition [52,53,60,61]. One candidate source of this 549 sensory-driven state change is thalamocortical inhibition [62], but intracortical 550 feedback inhibition might also contribute [63]. Modeling work shows that the 551 driven state is associated with shortened temporal autocorrelations as well as a decrease in the entropy of activity states in large-scale cortical networks [64]. 552 Correspondingly, the increase in long-range temporal autocorrelations under 553 catecholaminergic modulation may be associated with an increase in entropy -554 that is, a tendency to explore a larger set of cortical activity states. It is tempting 555 556 to link this to the prominent idea that high sustained noradrenaline levels promote an exploratory mode of cortical computation and behavior [5]. 557

558

# 559 **Convergent evidence for catecholaminergic increase in cortical E/I ratio**

560 Cortical circuits maintain a tight balance between excitation and inhibition, which 561 is largely preserved across contexts and levels of the cortical hierarchy [46,48]. 562 However, even in the absence of changes in sensory input, neuromodulators 563 such as noradrenaline and acetylcholine can change the cortical E/I ratio [65,66]. 564 The E/I ratio, in turn, shapes the computational properties of cortical circuits 565 [67,68], and thereby the behavior of the organism [37,44,69]. Substantial 566 evidence already points to significant changes in E/I ratio in schizophrenia and

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autism [70–72]. Similar changes might be at play in other brain disorders as well[73].

569 Our simulations indicated that the temporal correlation structure of neural 570 population activity, as measured with the scaling exponent  $\alpha$ , is sensitive to 571 changes in E/I ratio, produced through synaptic gain modulation (see the white 572 line in Fig 8H). In both versions of our model, the neuromodulatory effects were 573 not perfectly symmetric (see the deviations of peak scaling exponents from main 574 diagonal in Fig 8H). While the latter effect was small and may be specific to the 575 details of the model, it remains possible that the subtle changes in scaling 576 exponents we observed were produced through symmetric gain modulations that maintained the net E/I balance (i.e., along the main diagonal). However, two 577 578 additional lines of evidence converge on our conclusion that catecholamines (in 579 particular noradrenaline) boosted E/I ratio. First, in the same participants, the 580 catecholaminergic manipulation had a reliable effect on the perceptual switch rate, 581 which is also indicative of cortical E/I ratio [33,34,36]. Second, results invasive rodent work also point to an increase in cortical E/I ratio under noradrenaline: 582 Noradrenaline was found to decrease spontaneous inhibition in auditory cortex 583 584 [66] and mediate a tonic depolarization of visual cortical neurons during 585 locomotion [20].

586

# 587 No evidence for donepezil effects on cortical or perceptual fluctuations

The absence of an effect of Donepezil on either perceptual fluctuations or longrange temporal correlations of cortical activity may be due to the small dosage or the single administration of the drug in our study. Even so, our donepezil manipulation was sufficient to robustly change heart rate variability and, more importantly, low-frequency power of cortical activity, an established marker of

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593 cholinergic action in cortex [17,18,43,74]. The lack of the effects of donepezil on 594 perceptual fluctuations and cortical scaling behavior might also be due to the 595 specific properties of cholinergic action on the cortical net E/I ratio. Invasive 596 evidence indicates that acetylcholine can rapidly disinhibit pyramidal cells by activating a chain of two inhibitory interneurons [21], a mechanism that may alter 597 598 E/I ratio mainly during stimulus-evoked responses [65]. By contrast, 599 noradrenaline also alters the levels of tonic inhibition of pyramidal cells occurring 600 spontaneously [66]. This might explain the dissociation between the effects of 601 atomoxetine and donepezil under the current steady-state conditions, which 602 excluded (or minimized) stimulus-evoked transients .

603

# 604 Functional consequences of changes in net cortical E/I ratio

We observed a selective increase in the rate of spontaneous perceptual alternations under catecholaminergic adding to evidence that these dynamics are under neuromodulatory control [75]. Such a change could be due to an increase in cortical "noise" [33]. Future invasive studies should relate chatecholaminergic changes in the variability of the spiking activity [76] of neurons contributing directly to the contents of multi-stablke perception.

611 We suspect that an increase in cortical E/I ratio will have particularly strong effects on behavior when affecting parietal and prefrontal cortical circuits 612 613 characterized by slow intrinsic timescales [30,32,77] and involved in persistent 614 activity during working memory and the slow accumulation of information over time [69]. It is possible that the catecholaminergic effects on parietal cortex we 615 616 observed here reflects an increase in the recurrent excitation, which, is essential for sustained processes such as working memory [78] as well as information 617 618 integration during decision-making [32,79]. Future work should assess this

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619 through the use of tasks probing into network reverberation and information620 accumulation in association cortex.

621

# 622 A control parameter for critical network dynamics

623 In our model, long-range temporal correlations in the fluctuations of neural mass 624 activity (i.e., activity summed across the entire local network) [26] and avalanches 625 within the neuronal network [50] jointly emerge at the same E/I ratio. Both 626 phenomena are commonly interpreted as hallmarks of "criticality" [26,29,50,80] -627 a state of a complex dynamical system poised between order and chaos [81-83]. 628 It has been proposed that the cortex operates in a narrow regime around 629 criticality [83,84], potentially optimizing its computational capacities [80,85-88]. A 630 number of reports showed that cortical dynamics may continuously vary around 631 the critical state [89–92], but the source of these fluctuations has, so far, 632 remained unknown. Here, we have identified catecholaminergic neuromodulation 633 as an endogenous factor controlling these spontaneous variations in critical 634 dynamics.

In complex systems, critical dynamics can emerge in a self-organized fashion [81], or through an external control parameter that fine-tunes the system. The tuning of temperature in the Ising model of spin magnetization [83] is a common example for the latter case. It is tempting to speculate that catecholaminergic tone serves as such a control parameter in the cerebral cortex.

640

# 641 Link between catecholaminergic effects on fluctuations in perception and 642 cortical mass activity

643 We here used two read-outs of catecholaminergic effects, constituting two distinct 644 expressions of the resulting changes in cortical circuit state. The envelope of

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645 cortical alpha-band oscillations collapsed across large chunks of cortex is unlikely 646 to encode the contents of perception in the phenomenon studied here. The perceived direction of 3D-motion, which fluctuates spontaneously, is encoded in 647 648 fine-grained patterns of neural population activity within motion-sensitive visual cortical areas [39,93]. The power of alpha-band oscillations is a more global 649 650 feature of cortical population activity, which is likely insensitive to the fine-grained, 651 within-area patterns of neural population activity. The widespread release of 652 neuromodulators changes also the cortical circuit state, specifically E/I ratio, in a widespread manner. Such changes, in turn, alter the highly specific (fine-grained) 653 654 interactions between percept-selective populations of visual cortical neurons that 655 give rise to the perceptual dynamics [33,34,36]. Thus, although both read-outs 656 likely tap into similar changes in global cortical circuit state, there is no one-to-to 657 mapping between them.

658

# 659 Limitations of the current modeling approach

While our model simulations provided important mechanistic insights, the model 660 661 has limitations that should be addressed in future work. First, different from the 662 MEG data, the power of alpha-band oscillations behaves similarly to the scaling 663 exponents in the model (Fig S8E). This is because the model oscillations emerge 664 from the same recurrent neuronal interactions within the patch that also shape 665 the long-range temporal correlations in the envelopes of the amplitude envelopes 666 of these oscillations. By contrast, in the brain, alpha-band power of local cortical mass signals is likely affected by a variety of sources other than local circuits, for 667 instance alpha-frequency modulated input from the thalamus [94]. This might 668 lead to dissociations between changes in MEG power and long-range temporal 669 670 correlations of the power fluctuations which the model does not capture in its

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671 present form. Second, the model lacks long-range excitatory connections, which

are prominent in the real cortex, and whose effects on the correlation structure of

673 cortical fluctuations are largely unknown.

674

# 675 Conclusion

676 The combined measurement of fluctuations in bistable perception as well as in 677 cortical mass activity under steady-state conditions provides an easily assessable, 678 multi-level read-out of pharmacological effects on cortical computation. In our 679 study, this read-out supported the idea that catecholamines boost the intrinsic 680 variability of perception and behavior, an effect that might be mediated by an 681 increase in the net E/I ratio in the visual cortical system. This read-out may be 682 useful for inferring changes in cortical E/I ratio in neuropsychiatric disorders, or in their pharmacological treatment in future work. 683

684

# 685 METHODS

# 686 Pharmacological MEG experiment

687 Participants

30 healthy human participants (16 females, age range 20-36, mean 26.7) 688 participated in the study after informed consent. The study was approved by the 689 690 Ethical Committee responsible for the University Medical Center Hamburg-691 Eppendorf. Two participants were excluded from analyses, one due to excessive 692 MEG artifacts, the other due to not completing all 3 recording sessions. Thus, we report results from N=28 participants (15 females). In one of those participants, 693 694 one Task-counting run (during the Atomoxetine condition) was not recorded due 695 to a software problem with the data acquisition computer.

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# 697 General design

698 We pharmacologically manipulated the levels of catecholamines (noradrenaline 699 and dopamine) and acetylcholine in a double-blind, randomized, placebo-700 controlled, and cross-over experimental design (Fig 1A, B). Each participant completed three experimental sessions, consisting of drug (or placebo) intake at 701 702 two time points, a waiting period of 3 hours, and an MEG recording. During each 703 MEG session, participants were seated on a chair inside a magnetically shielded 704 MEG chamber. Each session consisted of 6 runs of different tasks, each of which 705 was 10 minutes long and followed by breaks of variable duration.

706

# 707 Pharmacological intervention

708 We used the selective noradrenaline reuptake inhibitor atomoxetine (dose: 40 709 mg) to boost the levels of catecholamines, specifically noradrenaline and (in 710 prefrontal cortex) dopamine [10]. We used the cholinesterase inhibitor donepezil 711 (dose: 5 mg) to boost acetylcholine levels. Atomoxetine is a relatively selective 712 inhibitor of the noradrenaline transporter, which is responsible for the natural 713 reuptake of noradrenaline that has been released into the extracellular space. 714 Consequently, atomoxetine acts to increase the extracellular levels of 715 noradrenaline, an effect that has been confirmed experimentally in rats prefrontal 716 cortex [95]. The same study showed that atomoxetine also increases the 717 prefrontal levels of dopamine, which has a molecular structure very similar to the one of noradrenaline and is, in fact, a direct precursor of noradrenaline. 718 719 Atomoxetine has smaller affinity to the serotonin transporter, and there are 720 discrepant reports about the quantitative relevance of these effects: While one study found no increases in serotonin levels under atomoxetine [95], a recent 721 722 study reports a significant atomoxetine-related occupancy of the serotonin

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transporter in non-human primates [96] at dosages which would correspond to
human dosages of 1.0 – 1.8 mg/kg. Note, that these dosages are substantially
higher than the administered dosage in this study (40 mg, independent of body
weight). It is, therefore, unclear to which extent our Atomoxetine condition
affected cortical serotonin levels.

Donepezil is a selective inhibitor of the enzyme acetylcholinesterase, which breaks up all the extracellular acetylcholine to terminate its synaptic action. Consequently, donepezil acts to increase the extracellular levels of acetylcholine. Donepezil is also an agonist of the endoplasmatic sigma<sub>1</sub>-receptor, which modulates intracellular calcium signaling.

733 A mannitol-aerosil mixture was administered as placebo. All substances were encapsulated identically in order to render them visually indistinguishable. 734 735 Peak plasma concentrations are reached ~3-4 hours after administration for 736 donepezil [97] and 1-2 hours after administration for atomoxetine [98], 737 respectively. We adopted the following procedure to account for these different 738 pharmacokinetics (Fig 1A): participants received two pills in each session, one 3 739 h and another 1.5 h before the start of MEG recording. In the Atomoxetine 740 condition, they first received a placebo pill (t = -3 h) followed by the atomoxetine 741 pill (t = -1.5 h). In the Donepezil condition, they first received the donepezil pill (t = 742 -3 h), followed by placebo (t = -1.5 h). In the Placebo condition, they received a 743 placebo at both time points. The half-life is  $\sim 5$  h for atomoxetine [98] and  $\sim 82$  h 744 for donepezil, respectively [97]. In order to allow plasma concentration levels to 745 return to baseline, the three recording sessions were scheduled at least 2 weeks 746 apart. This design ensured maximum efficacy of both pharmacological manipulations, while effectively blinding participants as well as experimenters. 747

748

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# 749 Stimuli and behavioral tasks

750 In each session, participants alternated between three different task conditions (2) 751 runs à 10 minutes per condition) referred to as Fixation, Task-counting, and 752 Task-pressing in the following (Fig 1B). All conditions entailed overall constant sensorv input. Fixation and Task-counting also entailed no overt motor responses 753 and are, therefore, referred to as "steady-state" conditions in the following. We 754 755 used these steady-state conditions to quantify intrinsic fluctuations in cortical 756 activity. Task-pressing entailed motor responses and was used for reliable 757 quantification of perceptual dynamics. All instructions and stimuli were projected 758 onto a screen (distance: 60 cm) inside the MEG chamber. The individual 759 conditions are described as follows.

*Fixation.* Participants were asked to keep their eyes open and fixate a green fixation dot (radius = 0.45° visual angle) presented in the center of an otherwise gray screen. This is analogous to eyes-open measurements of "resting-state" activity widely used in the literature on intrinsic cortical activity fluctuations.

765 Task-counting. Participants viewed a seemingly rotating sphere giving rise 766 to the kinetic depth effect [99,100]: spontaneous changes in the perceived 767 rotation direction (Fig 1B). The stimulus subtended 21° of visual angle. It 768 consisted of 1000 dots (500 black and 500 white dots, radius: 0.18° of visual 769 angle) arranged on a circular aperture presented on a mean-luminance gray background, with the green fixation dot in the center. In order to minimize tracking 770 771 eye movements, the sphere rotation was along the horizontal axis, either "forward" 772 (towards the observer) or "backward" (away from the observer), and the dot density decreased along the horizontal axis towards the center of the stimulus. 773 774 Participants were instructed to count the number of perceived changes in rotation

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direction and report the total number of perceived transitions at the end of the run. 775 776 Just like during Fixation, Task-counting minimized any external (sensory or motor) transients. Subjects silently counted the alternations in perceived rotation 777 778 direction and verbally reported the total count after the end of the 10 minutes run. Task-pressing. This condition was identical to Task-counting, except that 779 780 participants were instructed to press and hold one of two buttons with their index 781 finger to indicate the perceived rotation direction of the sphere. Thus, each 782 perceptual alternation was accompanied by a motor response leading to change 783 in the button state. This allowed for a more reliable quantification of participants' 784 perceptual dynamics. On two sessions (Atomoxetine condition), button presses 785 were not registered. Hence, the corresponding analyses were performed on 26 786 participants.

787

# 788 Data acquisition

MEG was recorded using a whole-head CTF 275 MEG system (CTF Systems, Inc., Canada) at a sampling rate of 1200 Hz. In addition, eye movements and pupil diameter were recorded with an MEG-compatible EyeLink 1000 Long Range Mount system (SR Research, Osgoode, ON, Canada) at a sampling rate of 1000 Hz. In addition, electrocardiogram (ECG) as well as vertical, horizontal and radial EOG were acquired using Ag/AgCl electrodes (sampling rate 1200 Hz).

795

# 796 Data analysis

797 Eye data

Eye blinks were detected using the manufacturer's standard algorithm with default settings. Saccades and microsaccades were detected using the saccade detection algorithm described in [101], with a minimum saccade duration of 4

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801 samples (= 4 ms) and a threshold velocity of 6. For 18 out of 28 participants, only
802 horizontal eye movements were recorded.

803

804 EOG data

EOG events (blinks and saccades) were extracted using semi-automatic artifact procedures as implemented in FieldTrip [102]. In short, EOG traces were bandpass filtered using a third-order butterworth filter (1 - 15 Hz) and the resulting signal was z-scored. All time points where the resulting signal exceeded a z-score of 4 were marked as an EOG event.

810

811 MEG data

812 Preprocessing, First, all data were cleaned of strong transient muscle artifacts 813 and squid jumps through visual inspection and manual as well as semi-automatic 814 artifact rejection procedures, as implemented in the FieldTrip toolbox for MATLAB 815 [102]. To this end, data segments contaminated by such artifacts (+/- 500 ms) 816 were discarded from the data (across all channels). Subsequently, data were 817 downsampled to 400 Hz split into low (2-40 Hz) and high (>40 Hz) frequency 818 components, using a 4th order (low- or high-pass) Butterworth filter. Both signal 819 components were separately submitted to independent component analysis [103] 820 using the FastICA algorithm [104]. Artifactual components (eye blinks/movements, 821 muscle artifacts, heartbeat and other extra-cranial artifacts) were identified based on three established criteria [105]: power spectrum, fluctuation in signal variance 822 823 over time (in bins of 1s length), and topography. Artifact components were 824 reconstructed and subtracted from the raw signal and low- and high frequencies 825 were combined into a single data set. On average, 20 (+/- 14) artifact

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components were identified for the low frequencies and 13 (+/- 7) artifactual
components were identified for the high frequencies.

828

829 Spectral analysis. Sensor-level spectral estimates (power spectra and cross spectral density matrices) were computed by means of the multi taper method 830 831 using a sequence of discrete prolate Slepian tapers [106]. For the power 832 spectrum shown in Fig 1C, power spectra were computed using a window length 833 of 5s and a frequency smoothing of 2 Hz, yielding 19 orthogonal tapers. The 834 focus of this paper was on the fluctuations of the amplitude envelopes, rather 835 than on the (oscillatory) fluctuations of the carrier signals per se. The temporal 836 correlation structure of the amplitude envelope fluctuations of cortical activity 837 seems similar across different carrier frequency bands [29]. We focused on 838 amplitude envelope fluctuations in the alpha-band because (i) the cortical power 839 spectra exhibited a clearly discernible alpha-peak, which robustly modulated with 840 task, as expected from previous work [40] (Fig 1C); and (ii) the computational model used to study the effect of synaptic gain modulation on cortical activity 841 842 fluctuations was tuned to produce alpha-band oscillations (see above and [49]).

843

844 Source reconstruction: general approach. The cleaned sensor level signals (N 845 sensors) were projected onto a grid consisting of M = 3000 voxels covering gray 846 matter of the entire brain (mean distance: 6.3 mm) using the exact low-resolution brain electromagnetic tomography (eLORETA; [107] method. The grid was 847 848 constructed from the ICBM152 template [108], covering gray matter across the 849 brain. The magnetic leadfield was computed, separately for each subject and session, using a single shell head model constructed from the individual 850 851 structural MRI scans and the head position relative to the MEG sensors at the

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beginning of the run [109]. In case no MRI was available (4 subjects), the leadfield was computed from a standard MNI template brain transformed to an estimate of the individual volume conductor using the measured fiducials (located at the nasion, the left and the right ear).

856 In order to depict the source-level results, we interpolated the voxel-level 857 results onto the surface of the brain. Activations from structures distant to the 858 surface are not shown and were exponentially attenuated.

859

Source level estimates of amplitude envelopes and power. For comparing 860 861 amplitude envelope and power estimates between experimental conditions in 862 source space we aimed to select a single direction of the spatial filter for each voxel across pharmacological conditions (i.e., MEG sessions), but separately for 863 Fixation and Task-Counting conditions. The rationale was to avoid filter-induced 864 biases in the comparisons between the pharmacological conditions, while 865 866 allowing that external task drive might systematically change the dipole orientations. 867

To this end, we first computed the mean source-level cross-spectral density matrix C(r, f) for each frequency band, f, averaged across the three MEG sessions, as follows:

(1)

871 
$$C(r,f) = \frac{1}{3} \sum_{i=1}^{3} \left( A_i^T(r) C_i(f) A_i(r) \right)$$

872 whereby *i* indicated the MEG session,  $C_i(f)$  was the (sensor-level) session- and 873 frequency-specific cross-spectral density matrix and  $A_i$  is the spatial filter for 874 session *i*. We then extracted the first eigenvector  $u_1(r, f)$  of the session-average 875 matrix C(r, f), by means of singular value decomposition, and computed the 876 unbiased filter selective for the dominant dipole orientation,  $B_i(r, f)$ , as:

877 
$$B_i(r,f) = A_i(r)u_1(r,f)$$
 (2)

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This procedure ensures that, for each voxel, dipole orientation was 878 879 chosen such that power is maximized. Please note that this filter was now 880 frequency-specific, whereas the previous filters,  $A_i(r)$ , were not. To obtain 881 instantaneous estimates of source-level amplitudes, the sensor-level signal for 882 session i,  $X_i(t)$ , was band-pass filtered (using a finite impulse response filter) 883 and Hilbert-transformed, yielding a complex-valued signal  $H_i(f,t)$  for each 884 frequency band. This signal was projected into source space through multiplication with the unbiased spatial filter,  $B_i(r, f)$ , and the absolute value was 885 886 taken:

887 
$$Env_i(r, f, t) = |(H_i(f, t) B_i(r, f))|$$
 (3)

888 where  $Env_i(r, f, t)$  was the estimated amplitude envelope time course of source 889 location *r* and frequency *f*. Next, for each session, unbiased source-level cross 890 spectral density estimates were obtained from the sensor-level cross-spectral 891 density matrix  $C_i(f)$  and the frequency-specific, unbiased spatial filter  $B_i(f)$ . The 892 main diagonal of the resulting matrix contains source-level power estimates for all 893 source locations:

894 
$$S_i(f) = diag(B_i^T(f)_i C_i(f) B_i(f))$$
 (4)

These computations where repeated separately for the Task-counting and Fixation conditions, session by session. The differences in amplitude envelope fluctuations and power estimates between pharmacological and task conditions reported in this paper were robust with respect to the specifics of the analysis approach. In particular, we obtained qualitatively similar pharmacological effects in sensor space, as reported in an earlier conference abstract [110].

901

902 Detrended fluctuation analysis. The source-level amplitude envelopes 903  $Env_i(r, f, t)$  were submitted to detrended fluctuation analysis [111,112] in order to

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904 quantify long-range temporal correlations. Detrended fluctuation analysis 905 quantifies the power law scaling of the fluctuation (root-mean-square) of a locally 906 detrended, cumulative signal with time-window length. Different from the analysis 907 of the more widely known autocorrelation function [30,77], detrended fluctuation 908 analysis provides robust estimates of the autocorrelation structure for stationary 909 and non-stationary time series. The procedure of the detrended fluctuation 910 analysis is illustrated in Fig 2.

911 For simplicity, in the following, we re-write the amplitude envelope 912  $Env_i(r, f, t)$  as x of length T. First, we computed the cumulative sum of the 913 demeaned x, (Fig 2B):

914 
$$X(t) = \sum_{t'=1}^{t} (x(t') - \langle x \rangle)$$
 (5)

915 where *t'* and *t* denote single time points up to length *T*. The cumulative signal *X* 916 was then cut into  $i = 1 \dots k$  segments  $Y_i$  of length *N* (overlap: 50%), where k =917 floor[(T - N)/(0.5 N)] (Fig 2B, top). Within each segment  $Y_i$  of equal length *N*, 918 the linear trend  $Y_{i\_trend}$  (least squares fit) was subtracted from  $Y_i$  (Fig 2B, bottom, 919 blue vs. red lines), and the root-mean-square fluctuation for a given segment was 920 computed as:

921 
$$F_{N_i} = \left[\frac{1}{N} \sum_{n=1}^{N} (Y_i(n) - Y_{i_trend}(n))^2\right]^{\frac{1}{2}}$$
 (6)

922 where *n* indicates the individual time points. The fluctuation was computed for all923 *k* segments of equal length *N* and the average fluctuation was obtained through:

(7)

924 
$$< F_N > = \frac{1}{k} \sum_{i=1}^k F_{N_i}$$

925 The procedure was repeated for 15 different logarithmically spaced window
926 lengths *N*, ranging from 3 s to 50 s, which yields a fluctuation function (Fig 2C).
927 As expected for scale-free time series (103), this fluctuation function follows a
928 power-law of the form:

$$929 \qquad < F_N > \propto N^{\alpha} \qquad (8)$$

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930 The "scaling exponent"  $\alpha$  was computed through a linear regression fit in log-log 931 coordinates (Fig 2C). The longest and shortest window lengths were chosen 932 according to guidelines provided in [112].

933 A scaling exponent of  $\alpha \sim = 0.5$  indicates a temporally uncorrelated ("white 934 noise") process. Scaling exponents between  $0.5 < \alpha < 1$  are indicative of scale-935 free behavior and long-range temporal correlations [112], whereas exponents of 936  $\alpha < 0.5$  indicate long-range anti-correlations ("switching behavior") and  $\alpha > 1$  are 937 indicative of an unbounded process [112]. The scaling exponents for alpha-band 938 MEG amplitude envelopes estimated in this study ranged (across experimental 939 conditions, MEG sensors and participants) from 0.40 and 1.04, with 99.4% of all 940 estimates in the range from 0.5 to 1. This is indicative of scale-free behavior and 941 consistent with previous human MEG work [26-29,42,113].

942

943 Relationship between measures of cortical variability. Scale-free behavior of 944 neural time series has also been quantified via analysis of the power spectrum [24,25]. There is a straightforward relationship between both approaches, which 945 946 we explain below, to help appreciate our results in the context of these previous 947 studies. The power spectrum of the amplitude envelope of cortical activity is typically well approximated by the power law  $p(f) \propto f^{-\beta}$ , where  $\beta$  is referred to 948 949 as the power-law exponent (Fig 2D). For power-law decaying autocorrelations, 950 the relationship between the power-law exponent  $\beta$  and the scaling exponent  $\alpha$ (estimated through DFA) of a time series is: 951

952  $\beta = 2\alpha - 1$ 

953

954 *Analysis of ECG data*. ECG data were used to analyze two measures of 955 peripheral autonomic activity: average heart rate and heart rate variability. For

(9)

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both measures, we used an adaptive threshold to detect the R-peak of each QRS-complex in the ECG. Heart rate was then computed by dividing the total number of R-components by time. Heart rate variability was quantified by means of the detrended fluctuations analysis described for MEG above, but now applied to the time series of the intervals between successive R-peaks [28,29]. In line with the MEG analyses, we used windows ranging from 3 to 50 heartbeats (roughly corresponding to 3–50 s).

963

964 Statistical tests

965 Statistical comparisons of all dependent variables between conditions were,966 unless stated otherwise, performed using paired t-tests.

Null effects are difficult to interpret using regular null hypothesis significance testing. The Bayes Factor addresses this problem by quantifying the strength of the support for the null hypothesis over the alternative hypothesis provided by the data, taking effect size into account. Wherever null effects were conceptually important, results obtained from a regular (paired) t-test [114] and Pearson correlations [115] were converted into corresponding Bayes Factors.

973 To map significant changes of scaling exponents  $\alpha$  across the brain, we 974 computed a non-parametric permutation test based on spatial clustering 975 [116,117]. This procedure has been shown to reliably control for Type I errors 976 arising from multiple comparisons. First, a paired t-test was performed to identify voxels with significant changes (voxel with p < 0.05). Subsequently, significant 977 978 voxels are combined into clusters based on their spatial adjacency. Here, a voxel 979 was only included into a cluster when it had at least two significant neighbors. Subsequently, the t-values of all voxels comprising a cluster were summed, 980 981 which yields a cluster statistic (i.e., a cluster t-value) for each identified cluster.

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982 Next, a randomization null distribution was computed using a permutation 983 procedure (N = 10.000 permutations). On each permutation, the experimental 984 labels (i.e., the pharmacological conditions) were randomly re-assigned within 985 participants and the aforementioned procedure was repeated. For each iteration, 986 the maximum cluster statistic was determined and a distribution of maximum 987 cluster statistics was generated. Eventually, the cluster statistic of all empirical 988 clusters was compared to the values obtained from the permutation procedure. 989 All voxels comprising a cluster with a cluster statistic smaller than 2.5% or larger 990 than 97.5% of the permutation distribution were labeled significant, corresponding 991 to a corrected threshold of  $\alpha$  = 0.05 (two-sided).

992

## 993 Model simulations

To simulate the effects of synaptic gain modulation on cortical activity fluctuations, 994 995 we extended a previously described computational model of a local cortical patch 996 [49] by means of multiplicative modulation of synaptic gain. All features of the 997 model were identical to those of the model by [49], unless stated otherwise. The 998 model consisted of 2500 integrate-and-fire neurons (75% excitatory, 25% 999 inhibitory) with local connectivity within a square (width = 7 units) and a 1000 connection probability that decayed exponentially with distance (Fig 8A). The 1001 dynamics of the units were governed by:

1002 
$$I_i = I_i + \sum_j N_{ij} W_{ij} S_j$$
 (10)

1003 
$$\tau_i \frac{dI_i}{dt} = I_0 - I_i$$
 (11)

where subscripts *i*, *j* indicated different units,  $N_{ij}$  was a multiplicative gain factor,  $W_{ij}$  were the connection weights between two units, and  $S_j$  a binary spiking vector representing whether unit *j* did or did not spike on the previous time step, and  $I_0 = 0$ . For all simulations reported in this paper, we optimized the

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1008 connection weights using Bonesa [118], a parameter tuning algorithm, such that 1009 the network exhibited alpha-band oscillations, long-range temporal correlations, 1010 and neuronal avalanches (see below). The optimized values for the connection  $W_{EE} = 0.0085$ ,  $W_{IE} = 0.0085$ ,  $W_{EI} = -0.569$  and  $W_{II} = -2$ 1011 weights were 1012 whereby subscript E indicated excitatory, subscript I indicated inhibitory, and the 1013 first and second subscript referred to the receiving and sending unit, respectively. 1014 On each time step (dt = 1 ms),  $I_i$  was updated for each unit *i*, with the 1015 summed input from all other (connected) units *j* and scaled by a time constant

1016  $\tau_i = 9 \text{ ms}$ , which was the same for excitatory and inhibitory units. The probability 1017 of a unit generating a spike output was given by:

1018 
$$P_{si} = P_{si} + I_i$$
 (12)

1019 
$$au_P \frac{dP_{si}}{dt} = P_0 - P_{si}$$
 (13)

1020 with the time constant for excitatory units  $\tau_P = 6 ms$  and for inhibitory  $\tau_P = 12 ms$ . 1021  $P_0$  was the background spiking probability, with  $P_0(exc.) = 0.000001 [1/ms]$  and 1022  $P_0(inh.) = 0 [1/ms]$ . For each time step, it was determined whether a unit did or 1023 did not spike. If it did, the probability of that unit spiking was reset to 1024  $P_r(excitatory) = -2 [1/ms]$  and  $P_r(inhibitory) = -20 [1/ms]$ .

1025 We used this model to analyze the dependency of two quantities on E/I ratio: (i) the power-law scaling of the distributions of the sizes of neuronal 1026 1027 avalanches [50] estimated in terms of the kappa-index  $\kappa$  which quantifies the 1028 difference between an empirically observed event size distribution and a 1029 theoretical reference power-law distribution with a power-law exponent -1.5 [86], 1030 and (ii) the scaling behavior (scaling exponent  $\alpha$ ) of the amplitude envelope 1031 fluctuations of the model's local field potential. To this end, we summed the activity across all (excitatory and inhibitory) neurons to obtain a proxy of the local 1032 1033 field potential. We band-pass filtered the local field potential in the alpha-band (8-

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1034 12 Hz) and computed long-range temporal correlations in the alpha-band 1035 amplitude envelopes following the procedure described above (see *Detrended* 1036 *fluctuation analysis of MEG data*), using windows sizes ranging from 5 s to 30 s.

1037 In order to assess the influence of structural excitatory and inhibitory 1038 connectivity on network dynamics (Figs 4D-F), we varied the percentage of units 1039 (excitatory and inhibitory) a given excitatory or inhibitory unit connects to within a 1040 local area (7 units x 7 units; Fig 8A). These percentages were varied 1041 independently for excitatory and inhibitory units with a step size of 2.5%.

1042 The gain factor  $N_{ii}$  was the main difference to the model described by [49]. 1043 It was introduced to simulate the effects of neuromodulation on synaptic 1044 interactions in the cortical network [37]. For this, we kept all the structural parameters fixed (42.5% excitatory connectivity, 75% inhibitory connectivity; 1045 small square in Figs 4D-F), in a range where the model exhibits both robust long-1046 1047 range temporal correlations as well as neuronal avalanches. Note that any other 1048 combination of parameters would yield similar results, as long as the model 1049 exhibits these two phenomena. From the chosen starting point, we systematically 1050 varied the synaptic gain factors, in two different ways. In the first version, we only 1051 varied  $N_{EE}$  and  $N_{IE}$  to dynamically modulate the circuit's net E/I ratio (Fig 8B), in a 1052 way consistent with recent modeling of the effects of E/I ratio on a cortical circuit 1053 for perceptual decision-making [44]. In the second version, we varied  $N_{EE}$ ,  $N_{IE}$ , 1054 and  $N_{EI}$  (Fig S8A). Here,  $N_{EI}$  was modulated independently from  $N_{EE}$ , and  $N_{IE}$ , 1055 which in turn were co-modulated by the same factor.

Per parameter combination, we ran 10 simulations, using the Brian2 spiking neural networks simulator [119]. Each simulation was run for 1000 seconds, with a random initialization of the network structure and the probabilistic spiking.

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1060

## 1061 ACKNOWLEDGEMENTS

1062 The authors thank Christiane Reissmann for help with the data collection, as well 1063 as Sander Nieuwenhuis and Peter Murphy for helpful comments on the 1064 manuscript. This work was supported by the German Research Foundation 1065 (DFG): Heisenberg Professorship DO 1240/3-1 (to T.H.D.), and the Collaborative 1066 Research Center SFB 936 (Projects A2/A3, A7, Z3, to A.K.E., T.H.D., G.N., respectively); by the BMBF (Project 161A130, to A.K.E.); by the EU (ERC-2010-1067 1068 AdG-269716, to A.K.E.), by a grant from the state of Hamburg (CROSS FV25, to 1069 A.K.E.); and by the Netherlands Organization for Scientific Research (NWO, 1070 dossiernummer 406-15-256 to K.L.-H. and A.-E.A.)

1071

### 1072 AUTHOR CONTRIBUTIONS

- 1073 Conceptualization: T.P., A.K.E., and T.H.D.; Experimental design: T.P. and
- 1074 T.H.D.; Model design: T.P., A-E.A., K.L-H., and T.H.D.; Investigation: T.P.;
- 1075 Formal analysis: T.P.; Model simulations: A.-E.A.; Writing Original draft: T.P.
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- 1077 T.H.D. Funding Acquisition: K.L-H., A.K.E., and T.H.D.; Supervision: G.N., K.L-
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- 1079

# 1080 COMPTETING FINANCIAL INTERESTS

1081 The authors declare no competing financial interests.

1082

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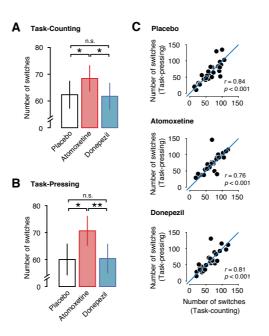
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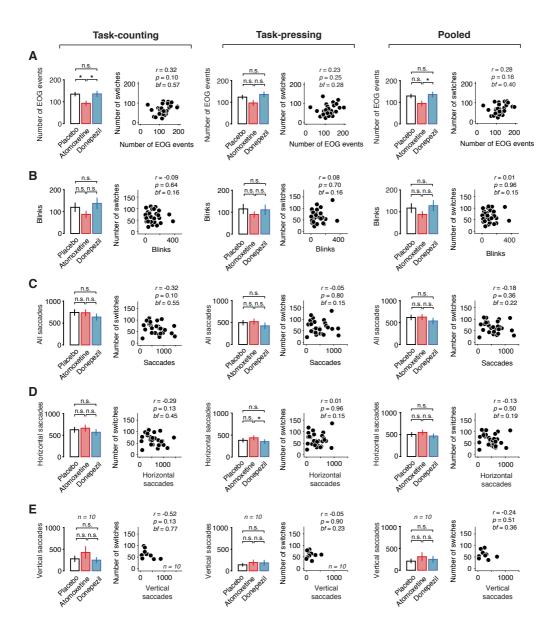
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# SUPPLEMENTAL MATERIAL

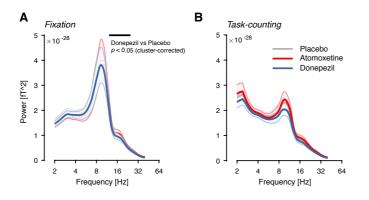


**S1 Fig.** Similar Atomoxetine-related effects in both Task-counting and Task-resting conditions. **(A)** Number of perceptual alternations reported by the subjects per 10 min run for Task-counting condition. **(B)** Same as (A), but for Task-pressing condition. **(C)** Relation between the number of reported alternations during Task-counting (x-axis) and Task-pressing (y-axis). The blue line depicts a linear relation with slope 1 as a reference. Two-sided t-tests and Pearson correlations (N=28).

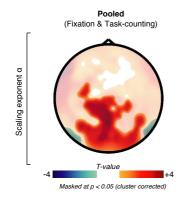


**S2** Fig. Change in perceptual alternation rate is not due to change in blinks or fixational eye movements. (A) Number of EOG events for during Task-counting (left), Task-pressing (middle) and pooled across both conditions (right). Scatter plots depict the relation between the number of EOG events (x-axis) and the number of reported perceptual alternations (y-axis). (B) Same as (A), but for the number of detected eye blinks. (C) Same as (A) and (B), but for the number of saccades (horizontal and vertical). (D) Same as (C), but for horizontal saccades only. (E) Same as (D), but for vertical saccades only. Two-sided t-tests and Pearson correlations (N=28). BF, Bayes factor. These control analyses demonstrate that the change in perceptual dynamics under Atomoxetine is not explained by changes in ocular parameters.

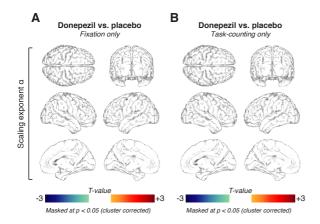
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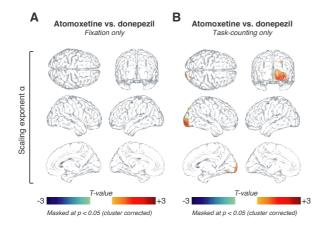
**S3 Fig.** Power spectra, averaged across all MEG sensors, during Fixation (A) and Taskcounting. (B) Black bar denotes significant differences assessed using a paired clusterbased permutation test (p < 0.05).



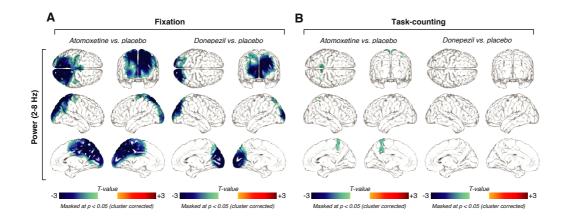
**S4 Fig.** Sensor-level scaling exponent for the Atomoxetine condition, pooled across Fixation and Task-counting conditions. Thresholded at p = 0.05, two-sided cluster-based permutation test.



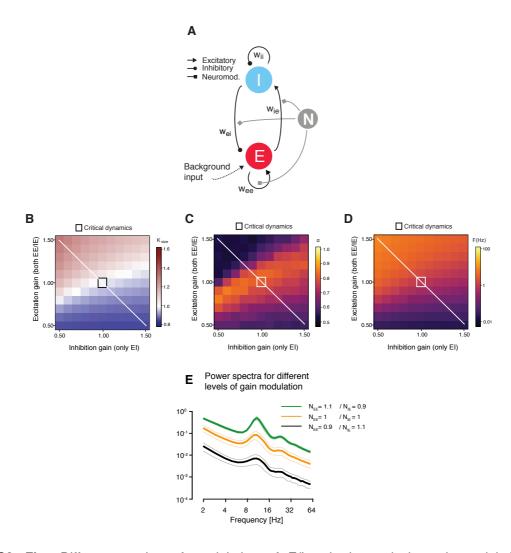
**S5 Fig.** No Donepezil-related changes in scaling exponent in neither behavioral contexts. **(A)** Spatial distribution of Donepezil-induced changes in scaling exponent  $\alpha$  during Fixation, thresholded at p = 0.05 (two-sided cluster-based permutation test). **(B)** As (A), but for Task-counting.



**S6 Fig.** Direct comparison of the drug effects on scaling exponent  $\alpha$ . (A) Comparison of the effects of the two drugs conditions (i.e., Atomoxetine vs. Donepezil) during Fixation. (B) Same as (A), but during Task-counting. All thresholds at p = 0.05, cluster-based two-sided permutation tests (N=28).



**S7 Fig.** Similar effects of Atomoxetine and Donepezil on low-frequency (2-8 Hz) power. (A) Spatial distribution of drug-related low-frequency power changes during Fixation, thresholded at p = 0.05 (two-sided cluster-based permutation test). *Left.* Power changes after the administration of atomoxetine. *Right.* Power changes after the administration of donepezil. (B) Same as (A), but for Task-counting. The changes in low-frequency power, in combination with the reported decreases in alpha-band power, demonstrate a robust effect of both drugs on cortical dynamics.



**S8 Fig.** Different version of modulation of E/I ratio in cortical patch model (A) Neuromodulation was simulated as a gain modulation term multiplied with excitatory (EE and IE) and/or inhibitory (EI only) synaptic weights. (B)  $\kappa$  as a function of excitatory and inhibitory connectivity (with a spacing of 2.5%; means across 10 simulations per cell). The region of  $\kappa$ ~1, overlaps with the region of a > 0.5 and splits the phase space into an excitation-dominant ( $\kappa$ >1) and an inhibition-dominant region ( $\kappa$ <1). (C) Same as (B), but for scaling exponent a. (D) Same as (B) and (C), but for firing rate. In sum, the alternative version of modulation of E/I ratio yields comparable results to the version presented in Figure 8. (E) Model power spectra under different levels of synaptic gain modulation (neuromodulation).