1	The superior colliculus and the steering of saccades
2	toward a moving visual target
3	
4	Laurent Goffart <sup>1</sup> , Aaron Cecala <sup>2</sup> & Neeraj Gandhi <sup>3</sup>
5	
6 7 8 9 10	<ol> <li>Institut de Neurosciences de la Timone, UMR 7289 CNRS Aix-Marseille Université, Marseille, France</li> <li>Department of Biology, Elizabethtown College, Elizabethtown PA, USA</li> <li>Department of Bioengineering, University of Pittsburgh, Pittsburgh PA, USA</li> </ol>
11	Number of pages: 22
12	Number of figures: 9
13	Number of words in the Abstract: 250 (<=249)
14	Number of words in the Introduction: 648 (<=650)
15 16	Number of words in the Discussion: 1491 (<=1500)
17 18	Author contributions: LG, AC and NG designed and performed research; LG and NG analyzed the data; LG, AC and NG wrote the paper.
19	This work was supported by the National Institutes of Health (grants EY022854 and EY02831
20	to NG). LG was supported by the Centre National de la Recherche Scientifique and the
21	European Research Council under the European Union's Seventh Framework Program
22	(FP7/2007-2013/ERC Grant Agreement No. AG324070 to Dr Patrick Cavanagh).
23	
24	Correspondence should be addressed to Laurent Goffart, INT, UMR 7289 CNRS-AMU,
25	Campus Santé, 27 Bd Jean Moulin, 13385 Marseille Cédex 5, France. E-mail:
26	laurent.goffart@univ-amu.fr.
27	

1

I

# 28 SIGNIFICANCE STATEMENT

- 29 By comparing the movement field (MF) of saccade-related neurons between saccades
- 30 toward static and moving targets, we show that the motor burst issued by neurons in the
- 31 superior colliculus does not convey commands related to the future location of a moving
- 32 target. During interceptive saccades, the active population consists of a continuum of
- neurons, ranging from cells exhibiting a shift in the center or boundary of their MF to cells
- 34 which exhibit no change. The shifts correspond to residual activity related to the fact that
- 35 the active population does not change as fast as the target in the visual field. By contrast, the
- 36 absence of shift indicates commands related to the current target location, as if it were
- 37 static.

# 39 ABSTRACT

40 Following the suggestion that a command encoding the expected here-and-now target location feeds the oculomotor system during interceptive saccades, we tested whether this 41 42 command originates in the deep superior colliculus (SC). Monkeys generated saccades to 43 targets that were static or moving along the preferred axis, away from (outward) or toward a fixated target (inward) with a constant speed (20°/s). Vertical and horizontal motions were 44 45 also tested. Extracellular activity of 57 saccade-related neurons was recorded in 3 monkeys. The movement field (MF) parameters (boundaries, center and firing rate) were estimated 46 47 after spline fitting the relation between the saccade amplitude and the average firing rate of the motor burst. During radial motion, the inner MF boundary shifted in the same direction 48 49 as the target motion for some neurons, not all. During vertical motion, both lower and 50 upper boundaries were shifted upward during upward motion whereas the upper boundary only shifted during downward motions. For horizontal motions, the medial boundaries were 51 not changed. The MF center was shifted only for outward motion. Regardless of the motion 52 53 direction, the average firing rate was consistently reduced during interceptive saccades. Our 54 study shows an involvement of the saccade-related burst of SC neurons in steering the gaze 55 toward a moving target. When observed, the shifts of MF boundary in the direction of 56 target motion correspond to commands related to antecedent target locations. The absence 57 of shift in the opposite direction shows that SC activity does not issue predictive commands 58 related to the future target location.

# 60 **INTRODUCTION (648 <= 650 words)**

61 The primate oculomotor system has been used as a model to understand the neuronal processes underlying the localization of an object in the external world and the 62 63 generation of movements toward its location (Goffart, 2017). In most studies, the stimulus 64 was static, leaving unexplored the processes generating saccades toward a moving target. An involvement of the deep superior colliculus (SC) and caudal fastigial nucleus (CFN) is 65 however suggested by the emission of bursts of action potentials by their neurons during 66 interceptive and catch-up saccades aimed at a moving target (Keller et al., 1996; Fuchs et al., 67 68 1994). Moreover, their anatomical situation between the cerebral and cerebellar cortices 69 where neurons responsive to the motion of a target are found (Cassanello et al., 2008; 70 Robinson and Fuchs, 2001) and the saccade-related premotor neurons in the reticular 71 formation (Scudder et al., 2002; Sparks, 2002) corroborates their involvement. 72 According to the "dual drive" hypothesis, interceptive saccades are driven by a 73 combination of commands issued by these two subcortical structures (Optican 2009). The 74 locus of SC activity encodes the location where the target first appears whereas the CFN 75 component encodes the command related to the target motion after the collicular 76 "snapshot" (see also Optican & Pretegiani 2017). This hypothesis rests upon the observation 77 that the centers of the movement field (MF) of SC neurons (i.e., the amplitude and direction 78 of saccades associated with the most vigorous burst) shifts to larger amplitudes during 79 saccades toward a target moving away from the central visual field (Keller et al., 1996). 80 However, the magnitude of the shift spans over a notable range since some neurons exhibit 81 no change (see their Fig. 3A). This scattering suggests instead that the population of neurons 82 which burst during interceptive saccades consists of a continuum of cells ranging from 83 neurons issuing commands related to past locations of the target (cells with a shift) to neurons issuing commands related to its current location (cells with no shift). Thus, as an 84 85 alternative to the dual drive hypothesis, the "remapping" hypothesis proposed that the population of active neurons in the SC does not correspond to a snapshot, but expands 86 87 across the SC (Fleuriet et al. 2011). In other words, the supplementary command envisioned 88 by the dual drive hypothesis would be incorporated within the SC itself, making its output a 89 possible origin of the expected here-and-now command that has been proposed to feed the 90 saccade premotor system during interceptive saccades (Fleuriet & Goffart 2012).

91 The goal of this study was to evaluate these hypotheses. We also examined whether 92 SC neurons bursting during interceptive saccades issue commands related to future locations 93 of the target along its motion path, i.e., locations which are going to be reached. Such a 94 possibility would be indicated by shifts in the *boundaries* of the MF in the direction opposite to the target motion, an option which was not addressed in the study of Keller et al. (1996) 95 96 since they focused on the MF centers. Furthermore, we complemented the electrophysiological characterization of saccade-related neurons in the SC by comparing 97 their MF between saccades to static and moving targets. Our results show a continuum of 98 99 neurons, ranging from cells which exhibit a shift in the boundary (or center) of their MF to 100 cells which do not exhibit any change. When shifts were observed, they were always in the same direction as the target motion, never in the opposite direction. This absence of shift in 101 102 the opposite direction indicates no recruitment of neurons which issue commands related to 103 any future target location. When they are observed, the shifts correspond to a residual activity due to the fact that the locus of active neurons across the SC does not change as fast 104 105 as the target in the visual field. The observation of cells with no shift is consistent with their involvement in steering the saccade toward the current location of a moving target, as if it 106 107 were static.

# 109 MATERIALS AND METHODS

# 110 Subjects and surgical procedures

111 All surgical and experimental protocols were approved by the University of Pittsburgh 112 Animal Care and Use Committee and performed in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals. Three adult rhesus monkeys 113 (Macaca mulatta; Male: BB & BL; Female: WI) underwent aseptic surgeries to secure a small 114 115 head-restraint device to the skull, cement a stainless steel chamber over a craniotomy, and attach a Teflon-coated stainless steel wire (search coil) on the sclera of one eye. The 116 117 chamber was placed stereotaxically on the skull, slanted posteriorly at an angle of 38° in the 118 sagittal plane. This approach allowed access to both SC and permitted electrode 119 penetrations roughly perpendicular to the SC surface. Antibiotics and analgesics were administered postoperatively as detailed in an approved protocol. 120

121

# 122 Behavioral tasks and experimental apparatus

123 After full recovery, the subjects were trained to sit in a primate chair with their head 124 restrained and a sipper tube placed near the mouth for reward delivery. They were 125 subsequently trained to perform standard oculomotor tasks involving stationary targets. 126 The monkeys were not previously trained to pursue moving targets, which were introduced 127 only during the recording sessions. Visual stimuli, behavioral control, and data acquisition 128 were implemented by a custom-built program that uses LabVIEW conventions on a real-time 129 operating system supported by National Instruments (Austin, TX) (Bryant and Gandhi, 2005). 130 Each animal sat inside a frame containing two alternating magnetic fields that induced 131 voltages in the search coil thereby permitting measurement of horizontal and vertical eye orientations (Robinson 1963). Visual targets were red dots subtending ~0.5° of visual angle 132 that were displayed on a 55 inch, 120 Hz resolution LED monitor. 133

Every trial began with the illumination of an initial target (T0) that the subjects were required to fixate for a variable duration (300-700ms, 100ms increments). Trials were aborted if the gaze direction deviated beyond a computer-defined window (3° radius) surrounding T0. If fixation was maintained, then T0 was extinguished and another target

(T1) was simultaneously presented in the visual periphery. During static trials, the subjects 138 139 were rewarded for orienting their gaze within a window that surrounded T1 with a radius of 3-6° for a minimum of 350 ms. During motion trials, target T1 moved at a constant speed of 140 20°/sec immediately after it appeared on the screen. The reward window associated with T1 141 142 was elliptical with a long axis that extended from the starting position of T1 to at least 5° 143 beyond its final position. The subjects were required to be within this window for at least 144 500ms before receiving reward. The starting position and the direction of target motion 145 depended upon the movement field properties of the recorded cell as determined during 146 static trials (see below).

147

148

# Single-unit recording and movement fields

Tungsten microelectrodes (Microprobe) were used to record extracellular activity 149 150 from the intermediate and deep layers of SC. The SC was identified online by the presence 151 of distinctive bursts of activity associated with flashes of room lights and saccades as well as 152 identifiable saccade-related cells during static trials. After we isolated a single saccade-153 related neuron, we estimated the boundaries of its movement field by pseudo-randomly 154 presenting targets and observing peak firing rates displayed online by the acquisition 155 software. Once the optimal vector was approximated, a series of static target locations was 156 chosen along either 1) an imaginary line that passed through the center of the movement field and the initial target T0; or 2) an imaginary line that passed through the center of the 157 158 movement field and parallel to the vertical meridian; or 3) an imaginary line that passed 159 through the center of the movement field and parallel to the horizontal meridian. 160 Approximately 75-100 static trials were collected before static and motion trials were 161 pseudo-randomly intermixed. The starting positions of moving targets (T1 ini) were located 162 along the same axis used for the targets during static trials. Target motion could be radial (inward or outward relative to T0), vertical (upward or downward relative to T1 ini) or 163 164 horizontal (rightward or leftward relative to T1 ini). Data were collected across the three 165 axes in block mode. Introducing variability in the location of T1 ini during the motion trials, 166 as well as the natural variability in the subjects' reaction times, allowed the collection of neural data during interceptive saccades that fell both within and outside of the boundaries 167 168 of the movement field as defined during static trials.

169

# 170 Data set and analysis

The horizontal and vertical eye positions for each trial were digitized and stored with a resolution of 1 ms and then analyzed off-line analysis with a custom software and Matlab. The onset and offset of saccades were identified using a velocity criterion of 15°/s. Saccade metrics (amplitude, peak velocity, latency, etc.) reported here were obtained by measuring the first saccade (primary saccade) made after the presentation of T1 (equivalently, offset of T0). The primary saccade needed to occur between 100ms and 500ms after the offset of T0 in order to be considered for further analysis.

178 The present study concerns the discharge properties of 57 neurons which fired a 179 burst of action potentials during saccades. Response fields were obtained by plotting firing 180 rate (calculated as the number of spikes per second during a period beginning 20 ms before saccade onset and continuing until 10 ms before saccade end) as a function of horizontal, 181 182 vertical, or radial saccade amplitude during either the static or motion trials. The boundaries 183 and optimal vector encoded by the MF were estimated from a smoothing spline fit of the 184 data with the curve-fitting toolbox in Matlab. For each neuron, the same spline parameter 185 was used for fitting the data of both tasks. The boundary was defined as the saccade 186 amplitude from which the neuron starts firing with a rate larger than 30 spikes/s. When the 187 saccade-related burst was preceded by a prelude activity, the threshold was adjusted to the 188 minimal value that characterizes the burst onset. The Wilcoxon test (P<0.05) was used to 189 test for statistically significant differences in MF properties across neurons between the 190 saccades toward a static and a moving target.

191

### 192 **RESULTS**

193 Figure 1A illustrates the firing rate of a typical visuomotor SC neuron during a static 194 target trial. The first phasic response occurred approximately 100 ms after the onset of the 195 visual target and was followed by a second, more vigorous burst timed with the saccade 196 toward its location. The neuron also produced a weaker burst during saccades whose 197 amplitude and direction slightly deviated from the neuron's preferred vector (Fig. 1B); the visual response was absent for this particular location. In response to a target moving 198 199 upward at the same horizontal eccentricity, the neuron's discharge was different. When the target motion started from the location that elicited vigorous visual and perisaccadic 200 201 responses during the static condition (Fig. 1A), the visual response was not followed by the saccade-related burst (Fig. 1C). Thus, the response of this neuron could signal the presence 202 203 of the target within its response field, but it did not participate to the population activity 204 which drives this particular interceptive saccade. The cell was active during saccades whose vectors matched the vectors that elicited the most vigorous perisaccadic bursts with a static 205 206 target (compare Fig. 1A to Fig. 1D). Another observation is the absence of firing when the 207 monkey made an interceptive saccade whose vector was associated with a perisaccadic burst if the target had been static (compare Fig. 1B to Fig. 1E). During this particular 208 condition, the neuron was silent even though the saccade vector belonged to the movement 209 210 field measured with static targets (hereafter referred to as "static MF") and even though the 211 target was going to enter within this MF.

Figure 2 plots a slice through the MF of the same cell during three target conditions: 212 213 static (2A), moving upward (2B) and downward (2C). The three MFs were generated by presenting targets along a vertical axis situated at a horizontal eccentricity of 8° to the right. 214 215 During these target conditions, saccades had horizontal amplitudes ranging from 7.2 to 9.2°. 216 The center of the static MF was identified during rightward saccades with a small (-4.4°) 217 downward component (Fig. 2A); the discharge of this neuron was weaker when the saccade 218 deviated from this preferred vertical amplitude. Estimated by a spline fitting procedure, the 219 lower and upper boundaries of the static MF as were -11.2° and 0.1°, respectively. 220 Compared to the static MF, the center and the boundaries of the dynamic MF (Fig. 2B) were 221 shifted upward (toward positive values) during saccades made to a target moving upward 222 (center: -2.3°, shift  $\Delta$  = 2.1°; lower boundary = -7.3°,  $\Delta$  = 2.9°; upper boundary = 2.4°,  $\Delta$  =

223 2.3°). When the target appeared below the lower edge of the static MF and moved upward 224 toward the center of the MF, the neuron did not fire unless the interceptive saccade involved a vertical component larger than -7.3° (see arrow in Fig. 2B). Thus, instead of 225 226 emitting spikes that would promote the foveation of a target which was going to enter in its 227 MF, the neuron remained silent. Likewise, when the vertical amplitude of the interceptive 228 saccade exceeded the amplitude corresponding to the upper boundary of the static MF 229  $(0.1^{\circ})$ , instead of pausing and facilitating the generation of saccades with a larger upward 230 component, this neuron emitted spikes, biasing the population of active neurons with a 231 command encoding an oblique downward vector. While differences between the static and 232 dynamic MFs were clearly visible during saccades directed to a target moving upward, 233 changes were barely visible in the saccade-related burst of this neuron when the target 234 moved downward (Fig. 2C). Thus, the effects of a moving target on the MF properties of this 235 particular neuron were consistent with the "dual drive" hypothesis when the saccades were made to a target moving upward, and with the "remapping" hypothesis when they were 236 237 made to a target moving downward.

238 Next, we examine the saccade-related burst of the same neuron during saccades 239 made along the radial axis of its MF. During saccades to static targets, the neuron fired during saccades of radial amplitudes ranging from 5.5° (inner boundary) to 20.7° (outer 240 241 boundary) with the most vigorous bursts occurring for 8.9° saccades (Fig. 3A). During 242 saccades to a target moving from the peripheral to the central visual field (inward saccades), the entire MF was shifted toward smaller amplitude values (Fig. 3B). When the target 243 started its motion from outside the MF and moved inward, the neuron did not fire unless the 244 245 monkey made a 17° saccade (see arrow in Fig. 3B). Thus, instead of emitting spikes that 246 would promote the reduction of saccade amplitudes, the neuron remained silent. 247 Moreover, although no firing was observed during small saccades toward static targets with 248 eccentricity less than 5°, the neuron discharged during small inward saccades. A small shift of the entire MF was also observed in the direction of the target motion during outward 249 saccades: the outer boundary shifted toward larger amplitudes ( $\Delta = 2.1^{\circ}$ ; Fig. 3C) whereas 250 251 the inner boundary barely changed ( $\Delta = 0.4^{\circ}$ ).

252 Many of the cells that we recorded exhibited open movement fields, so only the 253 proximal boundary could be identified. Figure 4 shows four examples of such neurons 254 where the movement field exhibited a shift in boundary (consistent with the "dual drive"

255 hypothesis) whereas Figure 5 shows examples of neurons where the shift was absent or barely visible (consistent with the "remapping" hypothesis). Fig. 4 shows the movement 256 fields during saccades made to a static target (black symbols) or to a target moving (grey 257 symbols) along an axis orthogonal to the vertical meridian (A: rightward motion), a radial 258 259 axis (B: outward motion) or an axis perpendicular to the horizontal meridian (C: downward 260 motion; D: upward). For each of these neurons, the boundary of the MF is shifted in the 261 same direction as the target motion. By contrast, Fig. 5 shows examples of neurons which exhibited no shift or barely visible shift in the MF boundary during interceptive saccades (like 262 263 in Fig. 3C). Some of them exhibited a lower firing rate during saccades made to the center of the movement field (Fig. 5A-C and F). However, this reduced firing rate was not observed 264 265 during small (Fig. 5A,D) or large (Fig. 5C,F) saccades.

266 Figure 6 compares, for all neurons, the boundaries of static MF to those of MF 267 measured during saccades made toward a target that moved radially (A), horizontally (B), or 268 vertically (C: upward or D: downward) across their MF. In comparison to the static target 269 conditions, the inner boundary shifted toward small amplitude values when the saccades 270 were made to a target that moved inward, i.e., toward the central visual field (Fig. 6A, left 271 graph; average difference=-1.6+/-1.6 deg, non-parametric Wilcoxon test, P<0.05). During 272 outward motion (Fig. 6A, right graph), a small, but significant shift toward larger amplitude 273 values, in the same direction as the target motion, was also observed (0.7+/-0.8 deg, 274 P<0.05). When the target moved horizontally across the MF (Fig. 6B), no significant difference in the medial boundary were observed during leftward (0.9+/-2.2 deg; P-value =275 (0.25) or rightward (1.4+/-3.8 deg; P-value = 0.29) motion. The absence of significant 276 277 difference is likely due to the small sample of neurons recorded during this motion condition 278 of target motion. In contrast, when the target moved upward (Fig. 6C), a shift in the same direction as the target motion was observed for the lower boundary (leftmost graph in C; 279 280  $2.3+/-2.0 \deg$ , P<0.05). For the upper boundary (rightmost graph in C), the difference failed 281 to reach our threshold of statistical significance (1.1+/-2.0 deg; P-value=0.07). During downward target motion (Fig. 6D), a significant shift was observed for the upper boundary (-282 1.9+/-1.7 deg, P<0.05; rightmost graph in D) but not for the lower boundary (0.0+/-1.2 deg, 283 284 P-value=0.81; leftmost graph in D,). In summary, average shifts in the MF boundaries were 285 observed but not in every condition. Crucially, whenever a significant difference was found

between the static and dynamic MFs, the shift was always in the same direction as the targetmotion.

288 While Keller et al. (1996) did not describe the MF boundaries, they reported a shift in 289 MF centers during saccades made toward stimuli moving outward; other directions of target 290 motion were not tested. Figure 7 complements and extends their study by comparing the 291 preferred amplitude values during radial (panel A), vertical (B) and horizontal (C) target 292 motions. The center of MF significantly changed during outward saccades (Fig. 7A, right 293 graph; average difference = 3.0+/-4.2 deg, P < 0.05). No consistent shift was observed 294 during inward saccades (0.4+/-3.6 deg; P-value = 0.54). During vertical motions (Fig. 7B), a 295 shift was observed when the target moved upward (3.1+/-3.2 deg, P < 0.05; rightmost graph)296 but not when it moved downward (-0.2+/-3.3 deg, P-value = 0.81; leftmost graph). During 297 horizontal target motion (Fig. 7C), we could not detect any significant change for leftward (-298 3.6+/-4.4; P-value=0.052) and rightward (1.7+/-4.3 deg; P-value=0.29) motions. In summary, 299 shifts in the MF center were observed but not in every condition. Whenever a significant 300 difference was found between the static and dynamic MF, the shift was always in the same 301 direction as the target motion.

302 Finally, when the average firing rates were compared between saccades toward a static and moving target, significant reductions were consistently observed during radial 303 304 motions (Fig. 8A; -96+/-96 and -101+/-85 spikes/second for inward and outward saccades, 305 corresponding to 24 and 25 % reductions), during vertical motions (Fig. 9B; -54+/-85 and -62+/-110 spikes/second for downward and upward saccades; 15 and 17 % reductions) and 306 307 during horizontal motions (Fig. 8C; -121+/-98 and -153+/-106 spikes/second for leftward and 308 rightward saccades; 31 and 39 % reductions). Contrary to the suggestion made by Berthoz et 309 al. (1986), the firing rate of SC cells during saccades made toward a moving target is not 310 related to their velocity. Figure 9 shows two examples of cells where the largest difference in 311 MF was found between inward and outward saccades. For the first neuron, when one considers the saccades of amplitudes less than 5 degrees, the firing rate was higher during 312 inward saccades than during outward saccades whereas for saccades of amplitudes larger 313 314 than 5 degrees, the firing rate was lower during inward saccades than during outward 315 saccades (Fig. 9A; left panel). Yet, the relation between the amplitude and the peak velocity of saccades does not show any difference between the two groups of saccades (Fig. 9A; right 316 317 panel). For the other neuron, the firing rate was always smaller during outward saccades

- than during inward saccades (Fig. 9B; left panel) and again, no difference in velocity was
- observed between the two saccade types (Fig. 9B; right panel). Our results contrast the
- 320 qualitative impression illustrated in the work of Keller et al. (1996) (see their Figure 1).
- 321 Perhaps the attenuation reflected as a "shoulder" or double peaks in the velocity waveform
- was due to an accompanying gaze-evoked blink (Gandhi, 2012).
- 323

# 324 DISCUSSION (1493 <= 1500 words)

325 In this work, we studied the movement field (MF) of saccade-related neurons in the 326 SC while monkeys made saccades toward a static or moving visual target. For some neurons, significant shifts were found in the center of the MFs, in their boundaries and in the firing 327 328 rate. The changes in boundaries and centers indicate that for a given saccade, the 329 population of bursting neurons is not identical between the two types of saccade. However, the shifts were not always observed and their size varied across the cells. When present, 330 331 they were always in the direction of motion, never in the opposite direction. The absence of shift of boundaries in the direction opposite to the target motion indicates that the SC 332 activity does not issue commands related to upcoming locations of the moving target (no 333 predictive coding). A reduction in the discharge was also observed during interceptive 334 335 saccades. Unrelated to any change in saccade velocity, this lower firing rate is likely due to 336 the fact that less photons bombarded the retinal cells (and their subsequent recipient visual neurons) when their response field was smoothly "traveled" by a moving target than when it 337 was excited by a static stimulus. 338

339

# 340 No predictive coding in the SC for the generation of interceptive saccades

The idea has diffused that the SC would identify the position and speed of an object 341 342 and, in a predictive and anticipatory manner, trigger the movement required to orient the 343 gaze toward its future location (Berthoz, 2012; Optican & Pretegiani, 2017). Target motion 344 would be "used to predict the future target position so as to assure a spatial lead of the gaze 345 at the saccade end, instead of attempting a precise capture of the target" (Klam et al., 2001). The present study and other works (Hafed et al., 2008; Fleuriet & Goffart, 2012; Quinet & 346 347 Goffart, 2015a) do not support this suggestion. During the saccade-related burst, the active 348 population does not include cells which code for saccades toward future locations of a 349 moving target. During inward motions, when the target moved from a location outside the 350 MF toward its inside, none of our neurons emitted action potentials that would promote the 351 reduction of saccade amplitude; the outer boundary of their MF did not shift toward larger 352 values of saccade amplitude (Fig. 2B-C and 3B-C). Likewise, during outward motions, when 353 the target moved from a location inside the MF toward a location outside, instead of pausing 354 and facilitating the amplitude increase, the neuron continued to fire, biasing the vector

355 encoded by the population of active neurons toward past locations of the target (Fig. 2B-C 356 and 3B-C) and not to its upcoming locations. In summary, contrary to what would be 357 expected if the SC neurons fired in a predictive manner, the boundaries did not shift in the 358 direction opposite to the target motion. The neurons did not "predictively" fire during 359 saccades toward a target which was going to enter inside their response field. Moreover, 360 their firing persisted when the target, after crossing the response field, moved away from it. 361 It may be argued that our testing conditions did not favor the possibility of predictive responses because our subjects were not trained to pursue the target, or because the target 362 363 motion direction and the trials with static and moving targets were pseudo-randomly 364 interleaved. Anticipatory saccades would have likely been observed if the target always 365 moved from the same starting location and in the same direction. Such saccades might even 366 be triggered before the target appears, associated with bursting activities in the SC. 367 However, these premature saccades do not necessarily involve a shift of MF in the direction 368 opposite to the target motion. If the SC activity steers the interceptive saccades like 369 saccades toward a static target, viz., toward the target location (here and now), then the 370 movement fields should overlap between saccades toward static and moving targets.

371

# 372 The "dual drive" and "remapping" hypotheses

373 Consistent with the study of Keller et al. (1996), we found that, on average, the MF center shifted in the direction of the target motion during outward saccades (Fig. 7A, 374 375 rightmost graph). But the shift was small and not consistently observed across all neurons (see examples in Fig. 3C and Fig. 5), comparable to observations made in the frontal eye 376 377 fields (Cassanello et al., 2008). Should we consider that the generation of outward saccades 378 involves two sub-groups within the active population, with one sub-group composed of 379 neurons which exhibit a shift and another of neurons which do not? This option would 380 require that we consider sub-groups of neurons also for the generation of inward saccades, 381 and likewise for upward and downward saccades. Indeed, the MF center of our example neuron was shifted during inward (Fig. 3B) and upward ones (Fig. 2B) but not during outward 382 383 (Fig. 3C) or downward saccades (Fig. 2C). The current knowledge of the SC physiology does 384 not support such a segregation (Hall and Moschovakis, 2003; May, 2006; Gandhi and 385 Katnani, 2011). The only known segregation takes place in the pontomedullary and 386 mesencephalic reticular formations, at the level of the premotor neurons which are targeted

by the saccade-related SC neurons and which are respectively involved in the generation of
the horizontal and vertical components of saccades (Moschovakis et al., 1996; Barton et al.,
2003). Therefore, instead of segregation, we propose a continuum of commands within the
SC.

391 Neurophysiological studies indicate that the generation of saccades is under the 392 influence of activity originating in the SC and the CFN. According to the dual drive 393 hypothesis, the MF changes observed during interceptive saccades result from the fact that 394 the saccade-related premotor neurons in the reticular formation are summing commands 395 from the CFN and the SC. Several data are consistent with independent influences of CFN 396 and SC onto the reticular formation, viz., that the fastigial-induced changes in premotor 397 activity do not influence the distribution of active neurons in the SC (see discussion of Quinet 398 and Goffart, 2015b). However, several other observations indicate that the CFN influence on 399 the premotor neurons is modulatory rather than additive (Goffart et al., 2004; Quinet and 400 Goffart, 2007). If the CFN provided a command which compensates for motions of the 401 target away from the vertical meridian (like in Quinet & Goffart, 2015a), one should expect 402 that this supplementary command be constant (or zero) when the target is static. This 403 inference is not consistent with the amplitude-dependent horizontal deviation (ipsipulsion) of vertical saccades during unilateral inactivation of CFN with muscimol (Iwamoto and 404 405 Yoshida, 2002; Goffart et al., 2004; Quinet and Goffart, 2007). Finally, the dual drive hypothesis considers that the SC encodes the location of the target appearance, overlooking 406 the possibility of subsequent changes in the distribution of active neurons in the SC. 407 408 However, this view is neither supported by our results nor by the demonstration that the 409 population of active neurons can change during saccades made toward a target which jumps 410 toward a new location (McPeek et al., 2003; Port and Wurtz, 2003).

411 The shift of the MF boundaries indicates that the locus of activity in the SC is different 412 between identical saccades made toward a static and moving target. The fact that on average the shift is in the same direction as the target motion indicates that the population 413 414 of active neurons includes commands for generating a saccade toward a past location of the 415 target. The larger shifts of MF centers observed by Keller et al. (1996) are consistent with 416 this view since in their work, the target moved 2 to 3 times faster than in our study. Moreover, the examination of the shift for each individual neuron shows a continuum of 417 418 neurons ranging from cells which exhibited a shift to cells with no change or very a small

419 shift. Therefore, instead of considering that all SC neurons provide a discrete "snapshot" command and that another drive is added downstream, we propose that the shifts illustrate 420 the fact that the population of active neurons does not change in the SC as fast as the target 421 does in the visual field. Thus, the population in the SC would consist of a continuum of 422 neurons issuing commands, ranging from commands related to antecedent target locations 423 424 to commands related to its current location. More generally, our study and two others (Hafed et al., 2008; Goffart et al. 2012) show that the SC activity steers the oculomotor 425 426 system for target foveation, regardless of whether the target is located in the peripheral or 427 central visual field, static or moving. Downstream adjustments for improving the accuracy of 428 foveation are still possible, from the CFN, but from other regions also, since the CFN seems to essentially control their horizontal component (Sato & Noda, 1991; Goffart et al., 2004; 429 430 Guerrasio et al., 2010; Quinet and Goffart, 2015b). These adjustments would be modulatory 431 and contribute to the spatial and temporal coordination of eye movements with the motion of a visual target in the external world, in a kind of spatial synchronization (Bourrelly et al., 432 433 2016).

# 435 **REFERENCES**

- 436 Barton EJ, Nelson JS, Gandhi NJ, Sparks DL (2003) Effects of partial lidocaine inactivation of
- the paramedian pontine reticular formation on saccades of macaques. J Neurophysiol 90:372–386.
- 439 Berthoz, A. (2012). Simplexity: Simplifying principles for a complex world. G. Weiss, Trans.
- 440 Berthoz, A., Grantyn A, Droulez J (1986) Some collicular efferent neurons code saccadic eye
- 441 velocity. Neurosc Lett 72: 289 294.
- Bourrelly C, Quinet J, Cavanagh P, Goffart L (2016) Learning the trajectory of a moving visual
- target and evolution of its tracking in the monkey. J Neurophysiol 116: 2739-2751.
- Bryant CL, Gandhi NJ (2005) Real-time data acquisition and control system for the
- 445 measurement of motor and neural data. J Neurosci Methods 142: 193-200.
- 446 Cassanello CR, Nihalani AT, FerreraVP (2008) Neuronal responses to moving targets in
- 447 monkey frontal eye fields. J Neurophysiol 100:1544 –1556.
- Fleuriet J, Goffart L (2012) Saccadic interception of a moving visual target after a
  spatiotemporal perturbation. J Neurosci 32:452–461.
- Fleuriet J, Hugues S, Perrinet L, Goffart L (2011) Saccadic foveation of a moving visual target
  in the rhesus monkey. J Neurophysiol 105:883–895.
- 452 Fuchs AF, Robinson FR, Straube A (1994) Participation of the caudal fastigial nucleus in
- 453 smooth-pursuit eye movements. I. Neuronal activity. J Neurophysiol 72: 2714-28.
- Gandhi NJ (2012) Interactions between gaze-evoked blinks and gaze shifts in monkeys. ExpBrain Res 216: 321-339.
- Gandhi NJ, Katnani HA (2011) Motor functions of the superior colliculus. Annu Rev Neurosci
  34: 205–231.
- 458 Goffart L, Chen LL, Sparks DL (2004) Deficits in saccades and fixation during muscimol
- inactivation of the caudal fastigial nucleus in the rhesus monkey. J Neurophysiol 92: 3351–
  3367, 2004.
- Goffart (2017) Saccadic eye movements: Basic neural processes. In Reference Module in
  Neuroscience and Biobehavioral Psychology, Elsevier.
- Guerrasio L, Quinet J, Büttner U & Goffart L (2010) The fastigial oculomotor region and the
  control of foveation during fixation. J Neurophysiol 103: 1988-2001.

- 465 Hafed ZM, Goffart L & Krauzlis RJ (2008) Superior colliculus inactivation causes stable offsets
- in eye position during tracking. J Neurosci 28: 8124-8137.
- 467 Hall WC, Moschovakis AK (2003) The superior colliculus: new approaches for studying
- 468 sensorimotor integration. CRC Press.
- 469 Iwamoto Y, Yoshida K (2002) Saccadic dysmetria following inactivation of the primate
- 470 fastigial oculomotor region. Neurosci Lett 325: 211–215.
- 471 Keller E, Gandhi N, Weir P (1996) Discharge of superior collicular neurons during saccades
- 472 made to moving targets. J Neurophysiol 76: 3573–3577.
- Klam F, Petit J, Grantyn A, Berthoz A (2001) Predictive elements in ocular interception and
- tracking of a moving target by untrained cats. Exp Brain Res 139: 233–247.
- 475 May PJ (2006) The mammalian superior colliculus: laminar structure and connections. Prog
- 476 Brain Res 151: 321-78.
- 477 McPeek RM, Han JH, Keller EL (2003) Competition between saccade goals in the superior
- colliculus produces saccade curvature. J Neurophysiol 89: 2577–2590.
- 479 Moschovakis AK, Scudder CA, Highstein SM (1996) The microscopic anatomy and physiology
- 480 of the mammalian saccadic system. Prog Neurobiol 50, 133–254.
- 481 Optican LM (2009) Oculomotor system: models. In: Encyclopedia of neuroscience (Squire LR,
  482 ed.), pp. 25–34. Oxford: Academic.
- 483 Optican LM, Pretegiani E (2017) What stops a saccade? Philos Trans R Soc Lond B Biol Sci
  484 372:1718.
- 485 Port NL, Wurtz RH (2003) Sequential activity of simultaneously recorded neurons in the
- superior colliculus during curved saccades. J Neurophysiol 90: 1887–1903.
- 487 Quinet J, Goffart L (2007) Head-unrestrained gaze shifts after muscimol injection in the
- 488 caudal fastigial nucleus of the monkey. J Neurophysiol 98: 3269–3283.
- 489 Quinet J, Goffart L (2015a) Does the brain extrapolate the position of a transient moving
- 490 target? J Neurosci 35: 11780–11790.
- 491 Quinet J, Goffart L (2015b) Cerebellar control of saccade dynamics: contribution of the
- 492 fastigial oculomotor region. J Neurophysiol 113:3323–3336.
- Robinson DA (1963) A method of measuring eye movement using a scleral coil in a magnetic
  field. IEEE Trans Biomed Elect 10: 137–145.

- Robinson FR, Fuchs AF (2001) The role of the cerebellum in voluntary eye movements. Annu
  Rev Neurosci 24: 981-1004.
- 497 Sato H, Noda H (1991) Divergent axon collaterals from fastigial oculomotor region to
- 498 mediodiencephalic junction and paramedian pontine reticular formation in macaques.
- 499 Neurosci Res 11: 41–44.
- 500 Scudder CA, Kaneko CRS, Fuchs AF (2002) The brainstem burst generator for saccadic eye
- 501 movements: a modern synthesis. Exp. Brain Res 142, 439–462.
- Sparks DL (2002) The brainstem control of saccadic eye movements. Nat Rev Neurosci 3:952–964.
- 504 Sparks DL, Lee C, Rohrer WC (1990) Population coding of the direction, amplitude and
- velocity of saccadic eye movements by neurons in the superior colliculus. Cold Spring Harbor
- 506 Symp Quant Biol 55:805–811.

# 508 FIGURE LEGENDS

509

510	Figure 1: Illustration of the firing rate of a SC visuomotor neuron during single trials. A-B:
511	Visual and saccade-related activity following the appearance of a static target at different
512	locations (Cartesian coordinates) of the right visual field. C-E: Activity of the same neuron
513	when the target moves upward at the same horizontal eccentricity. In A and C, the target
514	appears at a location corresponding to the center of the neuron's movement field (MF). In D,
515	the saccade is aimed at the same location as in A: the visual response is absent because the
516	moving target appears outside the neuron's response field. In E, the saccade is aimed at the
517	same location as in B: the neuron does not fire when the target moves.
518	Figure 2: Movement field of the same neuron as in Figure 1 during saccades toward targets
519	located on an axis parallel to the vertical meridian. A: static target; B: target moving upward;
520	C: target moving downward. The arrow in B shows the shift in the lower boundary of the MF.
521	Figure 3: Movement field of the same neuron as in Figures 1 and 2 during saccades toward
522	targets located along the radial axis of its MF. A: static target; B: target moving inward
523	(toward the fixation target); C: target moving outward (away from the fixation target). The
524	arrow in B shows the shift in the outer boundary of the MF when the target moves toward it.
525	Figure 4: Movement fields of four other neurons exhibiting a shift during saccades toward a
526	moving target (grey) in comparison to saccades toward a static target (black). A: target
527	moves to the right; B: target moves outward along the radial axis; C: target moves
528	downward; D: target moves upward.
529	Figure 5: Movement fields of six other neurons exhibiting no shift, neither in the center nor
530	the inner boundary. Grey: firing rate during interceptive saccades, black: firing rate during
531	saccades toward a static target.
532	Figure 6: Comparison of the MF boundaries between saccades toward a static target
533	(abscissa) and saccades toward a target (ordinate) moving along the radial axis (A), a

horizontal axis (B) and a vertical axis passing through the MF center (C and D). The moving

535 target moves upward in C, downward in D.

Figure 7: Comparison of the MF center between saccades toward a static target (abscissa) and saccades toward a target (ordinate) moving along the radial axis (A), the vertical axis (B) and the horizontal axis passing through the MF center (C). In B, the MF center could not be estimated for two neurons because of the absence of sharp peak in the curve fitting the relation between firing rate and saccade amplitude.

**Figure 8:** Comparison of the average firing rate (at MF center) of the motor burst between

542 saccades toward a static target (abscissa) and saccades toward a target (ordinate) moving

along the radial axis (A), the vertical axis (B) and the horizontal axis passing through the MF

544 center (C).

545 Figure 9: The firing rate of SC cells is not related to the velocity of interceptive saccades. Two 546 examples of cells are shown where the largest difference in MF was found between inward and outward saccades. For the neuron shown in A, the firing rate was higher during inward 547 548 saccades than during outward saccades of amplitude < 5 degrees, but lower during inward 549 saccades than during outward saccades of amplitude > 5 degrees (left panel). The relation 550 between the amplitude and the peak velocity of saccades does not show any difference between the two groups of saccades (right panel). For the neuron shown in B, the firing rate 551 552 was lower during outward saccades than during inward saccades (left panel). Again, the 553 relation between the amplitude and the peak velocity of saccades does not show any 554 difference between the two groups of saccades (right panel).





target motion





O static target 

Figure 5









Figure 9

