Relic DNA contributes minimally to estimates of microbial diversity

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12   **Extracellular or "relic" DNA is one of the largest pools of nucleic acids in the biosphere [1,2]. Relic DNA can influence a number of important ecological and evolutionary**

14   **processes, but it may also bias estimates of microbial abundance and diversity, which has implications for understanding environmental, engineered, and host-associated ecosystems.**

16   **We developed theoretical predictions from a simulation model based on sampling a species abundance distribution to assess how and when relic DNA biases estimates of microbial**

18   **diversity. We then tested our predictions by quantifying relic DNA and assessing its contribution to bacterial diversity using 16S rRNA gene sequences collected from soil,**

20   **sediment, water, and mammalian gut environments. On average, relic DNA made up 33 % of the total bacterial DNA pool, but exceeded 80 % in some samples. Despite its abundance,**

22   **relic DNA had a minimal effect on estimates of taxonomic and phylogenetic diversity, which is consistent with model simulations where the processes regulating the**

24   **compositional turnover of relic and intact DNA are at steady state. When such conditions are met, relic DNA should not bias cultivation-independent estimates of microbial**

26   **diversity.**

     When microorganisms die, their DNA leaks into the surrounding environment. The fate

28   of this relic DNA has important implications for evolutionary and ecological processes. For

example, relic DNA can be taken up and incorporated into the genomes of some microorganisms

30   via transformation, thereby serving as a reservoir of genetic information that can confer new

traits and fitness benefits to distantly related taxa [3]. In addition, relic DNA is a high-quality

32   resource containing carbon, nitrogen, and phosphorus that is consumed by a diverse array of

bacteria with consequences for microbial community structure and ecosystem processes [1,4].

34   Relic DNA also has the potential to bias cultivation-independent estimates of diversity, which are widely used for addressing questions concerning the assembly, biogeography, and

36   functioning of microbial communities. Microbial DNA extracted from environmental and host-associated samples is not solely derived from metabolically active organisms [5]. A large portion

38   of the individuals in a microbial community is dormant or dead [6,7]. Although nucleic acids can be temporarily retained in non-viable cells, DNA is ultimately released into the environment when

40   individuals die from autolysis, senescence, viral infection, or predation [8]. Together, these sources of mortality can create large pools of relic DNA [2,9]. For example, there is an estimated 0.45

42   petagrams of relic DNA in global ocean sediments, which is 70-fold greater than the amount of DNA contained in intact cells from the same environments [1]. If included in cultivation-

44   independent approaches, relic DNA could distort our understanding of the ecological and evolutionary processes that regulate the distribution, abundance, and function of microbial taxa.

46   The accumulation and turnover of relic DNA varies among ecosystems in ways that may bias estimates of microbial diversity. In some environments, DNA is rapidly broken down

48   outside the cell via hydrolysis, oxidation, and UV-mediated damage [2]. These degradation processes are reflected by the size distribution of relic DNA, which tends to be skewed towards

50   small fragments ranging between 100 and 500 base pairs [10,11]. In addition, the residence time of relic DNA is extremely short in some ecosystems. In freshwater and marine habitats,

52   extracellular DNA turns over in less than one day [12,13] while plasmid DNA begins to degrade in just minutes [14]. In other environments, the degradation of relic DNA can be much slower. For

54   example, DNA can bind with inorganic and organic substances in soils and sediments depending on cation availability and pH [9]. The resulting adsorption can increase the persistence of relic

56   DNA by protecting it from hydrolytic enzymes [15,16]. In addition, relic DNA is contained in

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biofilms [8], and appears to be enriched in the gut mucosa of hosts with high-fat diets [17]. As a

58   result, even in systems with high DNase activity, large pools of relic DNA can accumulate [18].

To date, the documented effects of relic DNA on estimates of diversity are idiosyncratic.

60   Even in samples with relatively large relic DNA pools, bias can be non-existent or substantial,

and lead to the overestimation or underestimation of diversity [19,20]. To delve further into this

62   apparent unpredictability, we developed a theoretical framework to explore how relic DNA

affects estimates of microbial diversity. While some variability in the effect of relic DNA may

64   reflect taxonomic- or ecosystems-specific features, we hypothesized that the magnitude and

direction of bias can be explained by the richness and evenness of sequences contained in the

66   relic DNA pool. We used a simulation model to identify conditions where sampling effects lead

to biased estimates of microbial diversity based on the size and species abundance distribution

68   (SAD) of the relic DNA pool. We then tested our model predictions by quantifying the

contribution of relic DNA on the abundance and diversity of bacterial communities in four

70   distinct ecosystem types, including soils, sediments, surface waters, and mammalian guts.

72   **RESULTS**

We developed a model that represents the dynamics of relic DNA. The size of the relic

74   DNA pool is affected by inputs associated with the death rates of microorganisms and by losses

associated with the degradation of relic DNA. When death rates exceed degradation rates, the

76   size of the relic DNA pool increases (Fig. 1a). However, a large relic DNA pool is not sufficient

to create bias in diversity estimation. Rather, the direction and magnitude of bias reflects the

78   simultaneous sampling of taxa from the species abundance distributions (SAD) associated with

the relic and intact pools. Using sampling-based simulations (see Methods), we found that there

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80   was no bias in estimating the number of microbial taxa (richness) when the SAD of the intact

community was similar to the SAD of the relic pool (Fig. 1b-c). Such conditions are satisfied

82   when the death rates and degradation rates are proportional among taxa. Owing to sampling

effects, our simulations reveal that bias can arise when the SADs of the relic and intact pools are

84   different. For example, our simulations predict that richness is overestimated when diversity

(richness or evenness) is elevated in the relic pool, which reflects the increased probability of

86   sampling many unique taxa (Fig. 1b-c). Alternatively, richness can be underestimated when the

diversity (richness or evenness) of the relic DNA pool is lower than the diversity of sequences in

88   the intact pool (Fig. 1b-c), which reflects an increased probability of sampling a few

disproportionately abundant (i.e., dominant) taxa. Such conditions should arise when processes

90   (death and degradation) influencing the turnover of relic DNA are decoupled for different taxa in

the intact and relic pools (Fig. 1a). The conditions leading to the over- or under-estimation of

92   richness also translate into biased measurements of beta-diversity (between-samples) due to

compositional changes in the relative abundances of sequences (Fig. S1). Thus, we predict that

94   properties of the species abundance distribution – in particular, richness and evenness –

determine whether or not relic DNA biases estimates of microbial diversity.

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Variation in relic DNA pool size — We evaluated our model predictions by collecting samples

98   from different ecosystem types (soil, sediment, water, and mammalian guts) that are

hypothesized to vary in relic DNA turnover rates [10,16]. Using quantitative PCR with 16S rRNA

100 primers, we quantified intact DNA after treating an aliquot of sample with DNase to remove relic

DNA. In addition, we quantified total DNA (intact + relic) from a control aliquot of sample that

102 was not treated with DNase (see Methods and Fig. S2). Relic DNA accounted for a substantial

but variable portion of the total bacterial DNA. The proportion of relic DNA was normally

104   distributed (mean ± sd = 0.33 ± 0.218) and ranged from 0 to 0.83 across 34 samples obtained

from different ecosystem types (Fig. 2). These results are in line with the amount of relic DNA

106   that has been reported in other studies [19,20,24]. Even though host and environmental features

associated with these habitats are thought to influence relic DNA dynamics, there was only a

108   marginally significant effect of ecosystem type on the proportion of relic DNA (one-way

ANOVA, $F_{3, 30} = 2.43$, $P = 0.08$) [2,19]. Nevertheless, the large average pool size and range in relic

110   DNA provided us with the opportunity to test our model predictions regarding the magnitude and

direction of bias that relic DNA should have on estimates of microbial diversity.

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Magnitude and direction of bias on microbial diversity — We sequenced 16S rRNA genes

114   from the intact and total DNA pools to determine whether and to what degree relic DNA biased

the taxonomic and phylogenetic alpha-diversity of bacterial communities. Despite accounting for

116   a substantial portion of the total DNA (Fig. 2), relic DNA had no effect on estimates of richness,

evenness, or phylogenetic diversity (PD) (Table S1). We expressed each of these alpha-diversity

118   metrics as a ratio (total / intact), where values > 1 represent overestimation bias and values < 1

represent underestimation bias. Relic DNA had no effect on the diversity ratios based on the

120   observation that the 95 % confidence intervals overlapped with 1.0 (Fig. 3). Furthermore, the 95

% confidence intervals of the diversity ratios overlapped across ecosystem types, indicating that

122   the contribution of relic DNA to all measures of diversity was low irrespective of the microbial

habitat sampled. Finally, using simple linear regression, we tested our model predictions that the

124   magnitude of bias has the potential to increase with increasing relic DNA pool size (see Fig. 1b-

c). For all diversity ratios (richness, evenness, and PD), the slopes were not different from zero

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126   ($P > 0.65$) and the intercepts were not different from 1.0 ($P > 0.18$) (Fig. S3). These findings are

consistent with simulations predicting that in order for bias to arise, there must be differences in

128   the diversity of the intact and relic DNA pools (Fig. 1b-c).

130   **Contribution of relic DNA to community composition** — We estimated the effects of relic

DNA on the compositional diversity for the intact and total DNA pools. Principal Coordinates

132   Analysis (PCoA) revealed that bacterial composition was strongly affected by ecosystem type

(Fig. S4), which was supported by permutational multivariate analysis of variance

134   (PERMANOVA) using both taxonomic ($R^2 = 0.35$, $F_{3,50} = 9.33$, $P = 0.001$) and phylogenetic (R^2

$= 0.65$, $F_{3,50} = 31.8$, $P = 0.001$) distance matrices. However, multiple lines of evidence suggest

136   that relic DNA had no appreciable effect on the observed bacterial composition. First, the intact

and total DNA pools were significantly and highly correlated with one another when we

138   performed a Mantel test using Bray-Curtis distances, which reflect taxonomic dissimilarity ($P =$

0.001, $r = 0.959$), and UniFrac distances, which capture phylogenetic dissimilarity ($P = 0.001$, r

140   $= 0.996$). Second, we tested for the effect of relic DNA on beta-diversity by calculating centroid

distance ratios (see Methods). If the centroid distance ratio was > 1, we concluded that relic

142   DNA inflated beta-diversity; if the distance ratio was < 1, we concluded that relic DNA

homogenized beta-diversity. Relic DNA had no effect on the centroid distance ratios based on

144   the observation that the 95 % confidence intervals overlapped with 1.0 (Fig. 4). Furthermore, the

95 % confidence intervals for the centroid distance ratios overlapped across ecosystem types,

146   indicating that the contribution of relic DNA to beta-diversity was unaffected by different taxa or

environmental conditions in the distinct microbial habitats. Last, we used simple linear

148   regression to test our model predictions that bias in estimates of community composition would

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increase with increasing relic DNA pool size (see Fig. S1). The proportion of relic DNA in a

150   sample had no effect on the slopes for centroid distance ratios regardless of whether they were

calculated using taxonomic ($P = 0.48$) or phylogenetic ($P = 0.75$) distance matrices. Similarly,

152   the intercepts for these regression relationships were not significantly different from 1 ($P > 0.3$)

(Fig. S5). These empirical findings are consistent with simulations predicting that in order for

154   bias to arise, there must be differences in the diversity between the intact and relic DNA pools

(Fig. S1).

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DISCUSSION

158   Rarely, if ever, are biological communities completely censused. As a result, estimates of

diversity are often based on incomplete sampling, which introduces uncertainty and potential

160   bias. For microbiologists using cultivation-independent approaches, diversity estimation is

further complicated by the accumulation and persistence of relic DNA, which may have an

162   abundance distribution that is distinct from that of the sequences contained in viable cells. In

such cases, our simulation models predict that microbial diversity can be overestimated or

164   underestimated depending on the size, richness, and evenness of the relic DNA pool (Fig. 1b-c).

We tested our model predictions by analyzing the intact and total DNA in a range of ecosystems

166   with relic DNA pool sizes that could bias estimates of bacterial diversity. We found that relic

DNA had minimal to no effect on commonly estimated measures of taxonomic and phylogenetic

168   diversity, suggesting that the compositional turnover of relic and intact DNA may approach

steady state conditions in a range of ecosystems despite different environmental conditions and

170   divergent microbial assemblages (Fig. S4). In the following sections, we discuss scenarios where

relic DNA should and should not lead to bias in the estimation of microbial diversity.

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172   Relic DNA should have no effect on estimates of microbial diversity when the

composition of sequences in the intact DNA pool and the relic DNA pool are at steady state.

174   Such conditions will be met when the taxon-specific production of relic DNA (via death) equals

the taxon-specific loss of relic DNA (via degradation), regardless of whether the absolute rates of

176   these processes are fast or slow (Fig. 1). When these assumptions are met, the diversity of the

relic and intact DNA pools should be identical. Therefore, sampling from the relic DNA pool

178   should not bias estimates of diversity in the intact DNA pool, even if relic DNA makes up a large

portion of the total DNA pool. Despite sampling a range of habitats with attributes that

180   reportedly affect the turnover of relic DNA, our data suggest that measures of taxonomic and

phylogenetic alpha- and beta-diversity were unbiased by relic DNA even when it accounted for >

182   80 % of the total DNA (Figs. S3 and S5). Similar findings are reported elsewhere. For example,

there was no bias in the estimate of bacterial richness for a soil sample that contained nearly 80

184   % relic DNA [20]. Similarly, more than 90 % of the DNA recovered in lung tissues was found to

be sensitive to DNase, yet the diversity of sequenced bacteria in the enzymatically treated and

186   untreated samples was the same [19].

Nevertheless, our model predicts that bias can arise when there are different species

188   abundance distributions (SADs) for intact and relic DNA pools (Fig. 1b-c, Fig. 2S). These

predictions are consistent with some reports demonstrating that relic DNA can inflate estimates

190   of bacterial and fungal diversity [20,31]. While most studies have emphasized the potential to

overestimate diversity, our model predicts that relic DNA pools that are low in richness or

192   dominated by only a few taxa can also lead to the underestimation of richness, which has been

observed in some samples [20]. Such findings suggest that the compositional turnover of intact and

194   relic DNA pools can become decoupled. There are a number of scenarios where deviations from

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steady-state conditions may arise. First, the DNA associated with certain taxa may be

196   preferentially preserved if it is contained in soil aggregates or biofilms that physically protect

relic DNA from enzymes or other conditions that would otherwise lead to more rapid

198   degradation. Second, the immigration of taxa that are poorly adapted to local conditions may die

and enrich the relic DNA pool with sequences that are dissimilar to sequences found in the local

200   community. A similar effect could arise when dead bacteria are transported across ecosystem

boundaries, a phenomenon that occurs, for example, when marine snow is exported from surface

202   waters to marine sediments [32]. Furthermore, any abiotic or biotic perturbation that removes a

substantial amount of living biomass could lead to divergence in the composition of sequences in

204   the intact and relic DNA pool. For example, virulent phage can dramatically reduce the

abundance of bacterial prey and in the process release large quantities of DNA into the

206   environment, which could bias estimates of microbial diversity [31]. Last, although not fully

explored here, shifts in rank abundance of taxa that are independent of richness or evenness

208   could results in biased estimates of microbial diversity.

Our understanding of the microbial biosphere has been transformed by the development

210   and application of molecular-based cultivation-independent techniques. The ability to rapidly

obtain millions of gene sequences from a range of environments has yielded valuable insight into

212   the processes that regulate community assembly and function [25,26], and has also paved the way

for the discovery of new metabolisms [27], tests for unifying patterns of biodiversity [28], and an

214   updated tree of life [29]. There are limitations, however, associated with culture-independent

techniques, which include inefficient nucleic-acid extraction methods [29] and "universal" primers

216   that over-represent some taxonomic groups while overlooking others [30]. Sequencing of relic

DNA is another important concern, which can potentially lead to the overestimation or

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218 underestimation of microbial diversity. However, this bias requires the decoupling of processes

that regulate the compositional turnover of the relic and intact DNA pools. While some recent

220 evidence suggests this can arise [20], our results from diverse ecosystems suggest that relic DNA

contributes minimally to the characterization of microbial community structure.

222

METHODS

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Relic DNA Model — We used sampling-based simulations to model the mixing of intact and

226 relic DNA pools. For each simulation, we sampled a regional species pool consisting of 10,000

taxa with a lognormal abundance distribution [21]. The intact community consisted of 1,000,000

228 individuals sampled from this regional pool. We then combined this intact community with a

relic community at proportions from 0.01 to 0.96. In the first set of simulations, we altered the

230 evenness of the regional pool from which the relic community was sampled. We altered the

evenness by changing the scale parameter of the lognormal distribution. To decrease the

232 evenness of the relic DNA pool, we increased the scale parameter from 0.98 to 2. To increase the

evenness of the relic DNA pool, we decreased the parameter from 0.98 to 0.1. In the second set

234 of simulations, we altered the richness of the regional pool from which the relic community was

sampled. We altered richness by increasing the magnitude of the regional pool while maintaining

236 the order of species and the scale parameter of the lognormal distribution. To increase the

richness of the relic DNA pool, we increased the size of the regional pool from 10,000 to 50,000

238 taxa. To decrease the richness of the relic DNA pool, we decreased the size of the regional pool

from 10,000 to 5,000 taxa. After mixing the intact and relic communities for both simulations,

240 we rarified the total community to 10,000 observations and calculated richness and Bray-Curtis

distances to estimate compositional differences between the intact and total DNA pools. To

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242   estimate contribution of relic DNA to diversity, we calculate ratios (total DNA / intact DNA) for

richness and Bray-Curtis distances. All simulations and estimations were performed in the R

244   statistic environment (v 3.3.2)[46] using the vegan package as well as custom functions.

246   **Sample collection and DNA pools—** We collected samples from a range of environmental and

host-associated ecosystems. First, we sampled sediments and surface water from lakes near the

248   Michigan State University, W.K. Kellogg Biological Station (KBS) in Hickory Corners,

Michigan, USA. Soils were sampled from the main sites and surrounding areas at the KBS Long-

250   Term Ecological Research site [33]. We also collected fresh feces as representative gut microbiome

samples from cows, dogs, horses, rabbits, and humans. In each of these ecosystem types, we

252   obtained samples from 6 - 8 independent sites. After transporting samples to the laboratory, we

added DNase to an aliquot of a sample to remove relic DNA. Our method was based on

254   procedures that have previously been used to quantify relic DNA in marine sediments [24], host

tissue [19], and drinking-water biofilms [23]. The DNase-based method has proven to be effective not

256   only at removing extracellular DNA, but also DNA that is contained inside of dead cells [23].

Furthermore, it has been shown that DNase does not affect the integrity of living cells [22,23]. Thus,

258   we assumed that the remaining "intact DNA" from the enzymatically treated aliquot was

contained in potentially viable cells. We used another aliquot without DNase from the same

260   sample as a control, which we refer to as "total DNA". For aqueous samples, we filtered 250 mL

samples onto 47 mm 0.2 µm Supor filter (Pall Corporation) at 10 mm Hg of vacuum pressure,

262   cut the filter in half and randomly assigned one half to a DNase treatment and used the other half

as the control. For non-aquatic samples (gut, sediment, and soils), 0.25 g aliquots were

264   transferred into two 2 mL microcentrifuge tubes. We then added 440 mL of a DNase buffer

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(382.5 µL molecular-grade H_2O, 5 µL of 1 M $MgCl_2$, 2.5 µL of bovine serum albumin BSA [10

266   mg/mL], and 50 µL of 0.5 M Tris HCl [pH = 7.5]) to the microcentrifuge tubes and randomly

assigned one to a DNase treatment and the other to a DNase control. Prior to analyzing samples,

268   we conducted an experiment to determine the optimal DNase concentration for effective

hydrolysis (see Fig. S6). For samples receiving the DNase treatment, we added 40 µL of 10

270   U/µL DNase (Roche, Indianapolis, IN) and 20 µL of ultrapure H_2O to the 2 mL microcentrifuge

containing buffer while the control received 60 µL of ultrapure H_2O. For each sample, we

272   measured pH using a micro pH probe (Orion 9110DJWP, Thermo Scientific) and adjusted to

7.3 - 7.7, which is in the optimum range for DNase. We then incubated the microcentrifuge tubes

274   horizontally at 37 ºC for 60 min to ensure proper mixing and enzymatic activity. To stop the

DNase reaction, we added 25 µL of 0.5 M EDTA to each tube and incubated at 75 ºC for 10 min.

276   The contents of the microcentrifuge tubes were then transferred to 15 mL Falcon tubes

containing 0.1 mm glass beads. We added 1 mL cetyltrimethylammonium bromide (1X, CTAB)

278   buffer to each Falcon tube to precipitate proteins, lipids, and polysaccharides. We then initiated

DNA extraction by adding 1 mL of phenol:chloroform:isoamylalcohol (25:24:1) to the 15 mL

280   Falcon tube. After vortexing for 10 min, we centrifuged the samples at 7,000 x g for 10 min. We

transferred the top aqueous layer to a new tube, added an equal volume of

282   chloroform:isoamylalcohol (24:1), and centrifuged at 7,000 x g for 5 min. We transferred 400 µL

of the top aqueous layer to a new sterile 1.5 mL tube and isolated DNA using the MO BIO

284   PowerLyzer PowerSoil DNA Isolation Kit. The resulting purified DNA was stored at -80 °C.

286   **Contribution of relic DNA to bacterial abundance** — We used 16S rRNA gene copy numbers

generated from quantitative PCR (qPCR) assays [34] to estimate the proportion of relic DNA in a

288   sample as 1 - (intact DNA / total DNA). The 30 µL qPCR reactions contained 1 µL of DNA

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template, 0.5 μL of each primer (10 μmol/L), 14.5 μL of nuclease-free H_2O, and 13.5 μL of 5

290   Prime 2.5x Real MasterMix SYBR ROX (5 Prime, Inc. Gaithersburg, MD, USA). We amplified

a 200 base-pair fragment of the 16S rRNA gene with Eub 338 (forward) and Eub518 (reverse)

292   primers [35]. PCR assays were performed with an Eppendorf Mastercycler Realplex² system using

previously reported thermal cycle conditions [35]. We generated qPCR standards from bacterial

294   genomic DNA (*Micrococcus* sp.) using the TOPO TA Cloning Kit (Invitrogen). We extracted

plasmids from transformed cells [36], and used the M13 forward and reverse primers to generate

296   PCR products. The PCR products were quantified and used to generate a standard curve

capturing a range of $10^2 – 10^7$ gene copies per μL. The coefficients of determination (r^2) for our

298   assays ranged from 0.96 and 0.99, while amplification efficiencies fell between 93 and 99 %.

Based on melting curve analyses, we found no evidence for primer dimers or non-specific

300   amplification.

Contribution of relic DNA to bacterial diversity

Community sequencing — We estimated the contribution of relic DNA to bacterial diversity

304   using high-throughput sequencing of the 16S rRNA gene. Specifically, we amplified the V4

region of the 16S rRNA gene from the intact and total DNA pools of each sample using

306   barcoded primers (515F and 806R) designed to work with the Illumina MiSeq platform [37]. We

cleaned the sequence libraries using the AMPure XP purification kit, quantified the resulting

308   products using the QuantIt PicoGreen kit (Invitrogen), and pooled libraries at equal molar ratios

(final concentration: 20 ng per library). We then sequenced the pooled libraries with the Illumina

310   MiSeq platform using paired end reads (Illumina Reagent Kit v2, 500 reaction kit) at the Indiana

University Center for Genomics and Bioinformatics Sequencing Facility. Paired-end raw 16S

312   rRNA sequence reads were assembled into contigs using the Needleman algorithm [38]. We

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obtained a total of 12,916,632 16S rRNA sequences from 42 samples representing 21 sites. After

314   quality trimming with a moving average quality score (window 50 bp, minimum quality score

35), we aligned the sequences to the Silva Database (version 123) using the Needleman

316   algorithm. Chimeric sequences were removed using the UCHIME algorithm [39]. After this

filtering, there was an average (± SEM) of 222,701 ± 9,560 sequences per site. We created

318   operational taxonomic units (OTUs) by first splitting the sequences based on taxonomic class

(using the RDP taxonomy) and then binning sequences into OTUs based on 97% sequence

320   similarity. Our depth of sequencing led to a high degree of coverage across samples (minimum

Good's Coverage = 0.98). For phylogenetic analysis, we picked representative sequences for

322   each OTU by using the most abundant unique sequence. We used FastTree [40] to generate a

phylogenetic tree from the representative sequences using the generalized time-reversible model

324   of nucleotide evolution. We calculated phylogenetic distances using weighted UniFrac distances

[41]. All initial sequence processing was completed using the software package mothur (version

326   1.38.1) [42].

328   *Alpha Diversity* — We estimated the effects of relic DNA on richness, evenness, and

phylogenetic diversity for the intact and total DNA pools with a sample. To estimate the number

330   of OTUs (i.e., richness), we used a resampling approach that subsampled each sample to an

equal number of sequences per sample and summed the number of OTUs that were represented

332   [43]. Briefly, we subsampled to 30,000 observations, resampled 999 additional times, and then

calculated the average richness estimates (± SEM) for each sample. To estimate the equitability

334   in abundance among taxa in a sample (evenness), we used the same resampling approach and

calculated average evenness estimates (± SEM) using Simpson's Evenness index [44]. To test

336   whether relic DNA affected the phylogenetic diversity within a sample, we subsampled

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communities to 30,000 observations and then calculated Faith's D statistic, which sums the

338   branch lengths for each species found in a sample from the root to the tip of the phylogenetic tree

[45]. All estimations were performed in the R statistic environment (v 3.3.2) [46] using the vegan,

340   ape, ade4, picante, and plyr packages, along with custom functions.

342   *Beta Diversity* — We estimated the effects of relic DNA on between-sample (i.e., beta) diversity

by comparing the taxonomic and phylogenetic diversity of bacterial communities in the intact

344   and total DNA pools. First, we conducted a Principal Coordinates Analysis (PCoA) on $\log_{10}$-

transformed relative abundance data to visualize the effects of relic DNA removal (via DNase

346   treatment) on bacterial community composition within and among ecosystem types. The PCoA

was performed with Bray-Curtis and UniFrac distances to assess taxonomic and phylogenetic

348   effects, respectively. In addition, we used PERMANOVA to test for differences in taxonomic

and phylogenetic composition based on ecosystem type for the total DNA pool. Second, we

350   conducted a Mantel test to assess the correlation between the community resemblance matrices

(either Bray-Curtis or UniFrac) represented by the intact and total DNA pools. Last, we tested

352   whether relic DNA altered beta-diversity within an ecosystem type by comparing centroid

distances. To calculate this metric of sample dispersion, we determined the centroid from a

354   PCoA with either Bray-Curtis or UniFrac distances for the total DNA pool for all sites within a

given ecosystem type. We then measured the Euclidean distances between the centroid and all

356   samples (total and intact) to determine the centroid distances.

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478

FIGURE CAPTIONS

480

Figure 1 | A model of relic DNA dynamics. **a** The amount of relic DNA in a microbial

482   environment is determined by inputs associated with the mortality of viable individuals with

intact DNA and by losses associated with the degradation of relic DNA. If the diversity of

484   sequences contained in the relic DNA pool is sufficiently different from that in the intact DNA

pool, then relic DNA may bias estimates of microbial biodiversity (as indicated by different

486   colored boxes) when sampling from the total (intact + relic) DNA pool. We developed a

sampling-based simulation model that created communities populated with individuals with

488   intact DNA sampled from a lognormal species abundance distribution. We then mixed the intact

community with relic individuals so that the relic contribution to total community ranged from

490   0.01 to 0.96. The relic community was also populated with individuals sampled from a

lognormal species distribution, but which varied in (**b**) evenness (*E*) or (**c**) richness (*S*). To

492   quantify bias in diversity, we calculated "richness ratios" which reflect the number of species in

the total DNA pool (intact + relic) divided by the number of species in the intact DNA pool.

494   When values richness ratios = 1, relic DNA has no effect on diversity; when richness ratios > 1,

relic DNA leads to overestimates of diversity; richness ratios <1, relic DNA lead to

496   underestimation of diversity.

498   **Figure 2 | Proportion of bacterial relic DNA in different ecosystem types.** We quantified the

amount of intact DNA in a sample after removing relic DNA with a DNase treatment. We then

500   estimated the proportion of relic DNA as 1 - (intact DNA / total DNA), where the total DNA

concentration was quantified without DNase treatment. Relic DNA constituted an appreciable

22

502   portion of the total DNA pool, but was not affected by the ecosystem type from which the

sample was collected (gut, soil, sediment, and water). Data are represented as means ± 95%

504   confidence intervals.

506   **Figure 3 | Bias of relic DNA on within-sample bacterial diversity in different ecosystem**

types. a-c We tested for the effects of bias caused by relic DNA by calculating diversity ratios

508   for (**a**) richness, (**b**) evenness, and (**c**) phylogenetic diversity. The ratios reflect the diversity of

the total DNA pool (intact + relic) divided by the diversity of the intact DNA pool. Relic DNA

510   did not bias any measures of diversity in any of the ecosystem types. Data are represented as

means ± 95% confidence intervals. Richness was calculated as the number of operational

512   taxonomic units (97% sequence similarity of the 16S rRNA gene), evenness was calculated using

Simpson's evenness index, and phylogenetic diversity was calculated using Faith's *D* index.

514

Figure 4 | Bias of relic DNA on the among-sample bacterial diversity in different ecosystem types. a-b We tested for the effects of bias caused by relic DNA by calculating a beta-diversity ratio based on centroid distances. Centroid distances were estimated after performing Principle Coordinates Analyses (PCoA) using taxonomic (**a**) and phylogenetic (**b**) distance metrics (Bray-Curtis and UniFrac, respectively). The centroid distance ratio was calculated on each sample within an ecosystem type and reflects the composition of the total DNA pool (intact + relic) relative to the intact DNA pool. Relic DNA had no effect on beta-diversity for any of the ecosystem types sampled. Data are represented as means ± 95% confidence intervals.
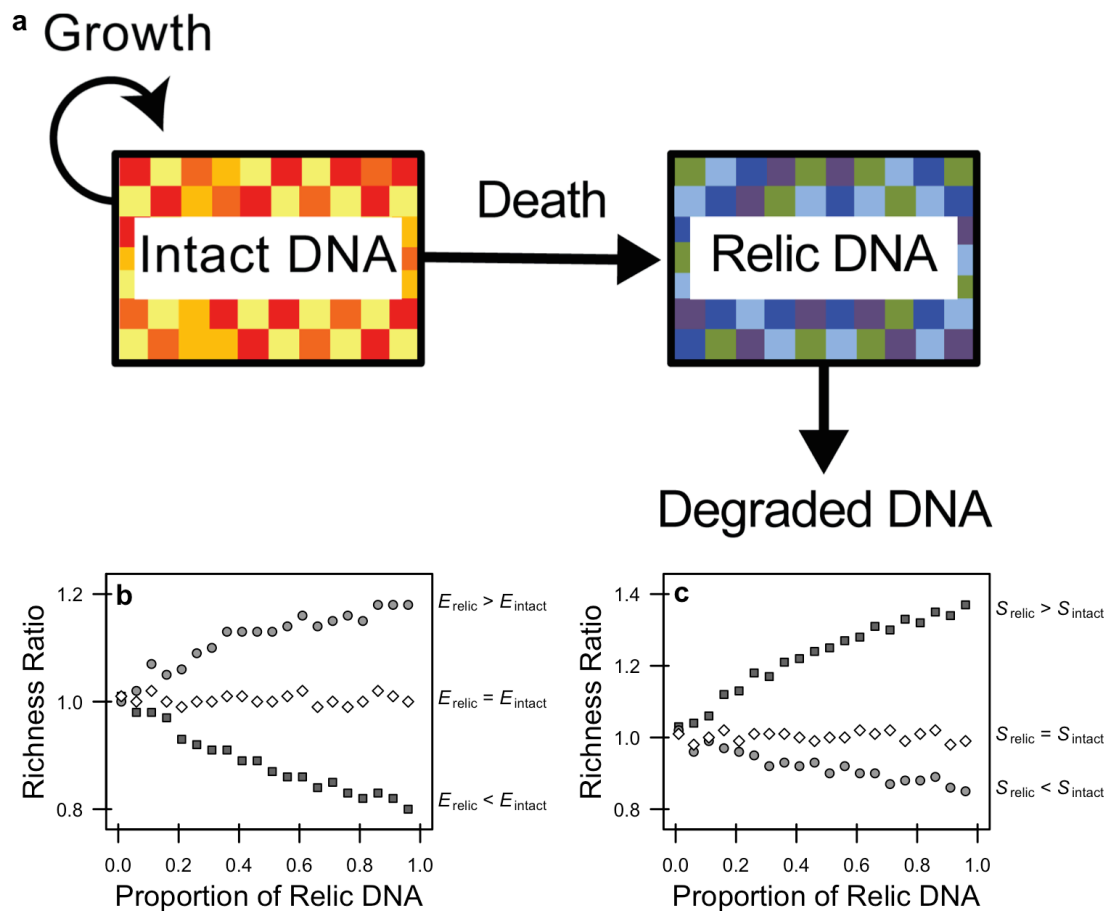
516   **Fig. 1.**

Figure 1 | A model of relic DNA dynamics. a The amount of relic DNA in a microbial environment is determined by inputs associated with the mortality of viable individuals with intact DNA and by losses associated with the degradation of relic DNA. If the diversity of sequences contained in the relic DNA pool is sufficiently different from that in the intact DNA pool, then relic DNA may bias estimates of microbial biodiversity (as indicated by different colored boxes) when sampling from the total (intact + relic) DNA pool. We developed a sampling-based simulation model that created communities populated with individuals with intact DNA sampled from a lognormal species abundance distribution. We then mixed the intact community with relic individuals so that the relic contribution to total community ranged from 0.01 to 0.96. The relic community was also populated with individuals sampled from a lognormal species distribution, but which varied in (**b**) evenness (E) or (**c**) richness (S). To quantify bias in diversity, we calculated "richness ratios" which reflect the number of species in the total DNA pool (intact + relic) divided by the number of species in the intact DNA pool. When values richness ratios = 1, relic DNA has no effect on diversity; when richness ratios > 1, relic DNA leads to overestimates of diversity; richness ratios <1, relic DNA lead to underestimation of diversity.
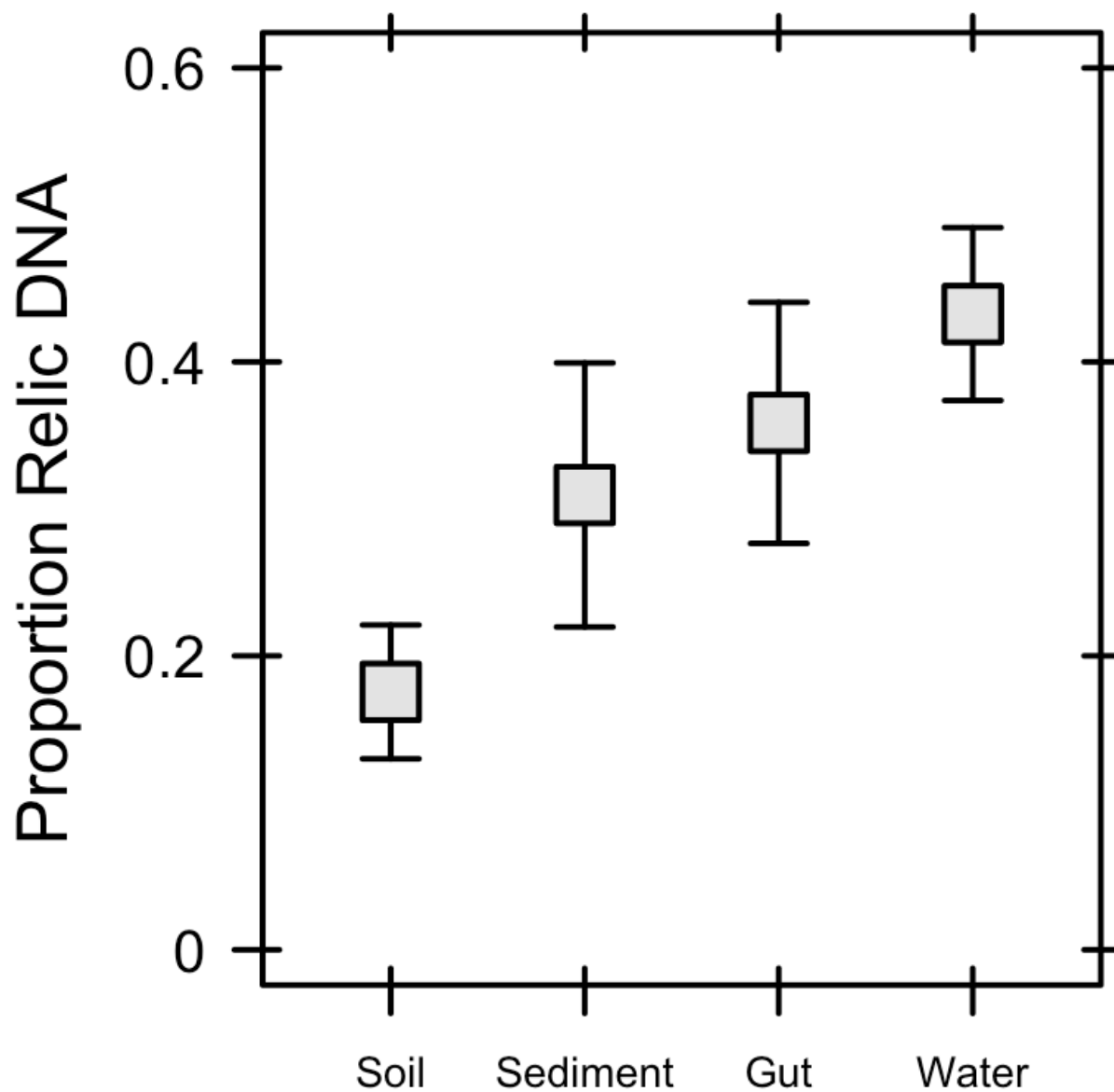
518   **Fig. 2.**

Figure 2 | Proportion of bacterial relic DNA in different ecosystem types. We quantified the amount of intact DNA in a sample after removing relic DNA with a DNase treatment. We then estimated the proportion of relic DNA as 1 - (intact DNA / total DNA), where the total DNA concentration was quantified without DNase treatment. Relic DNA constituted an appreciable portion of the total DNA pool, but was not affected by the ecosystem type from which the sample was collected (gut, soil, sediment, and water). Data are represented as means ± 95% confidence intervals.
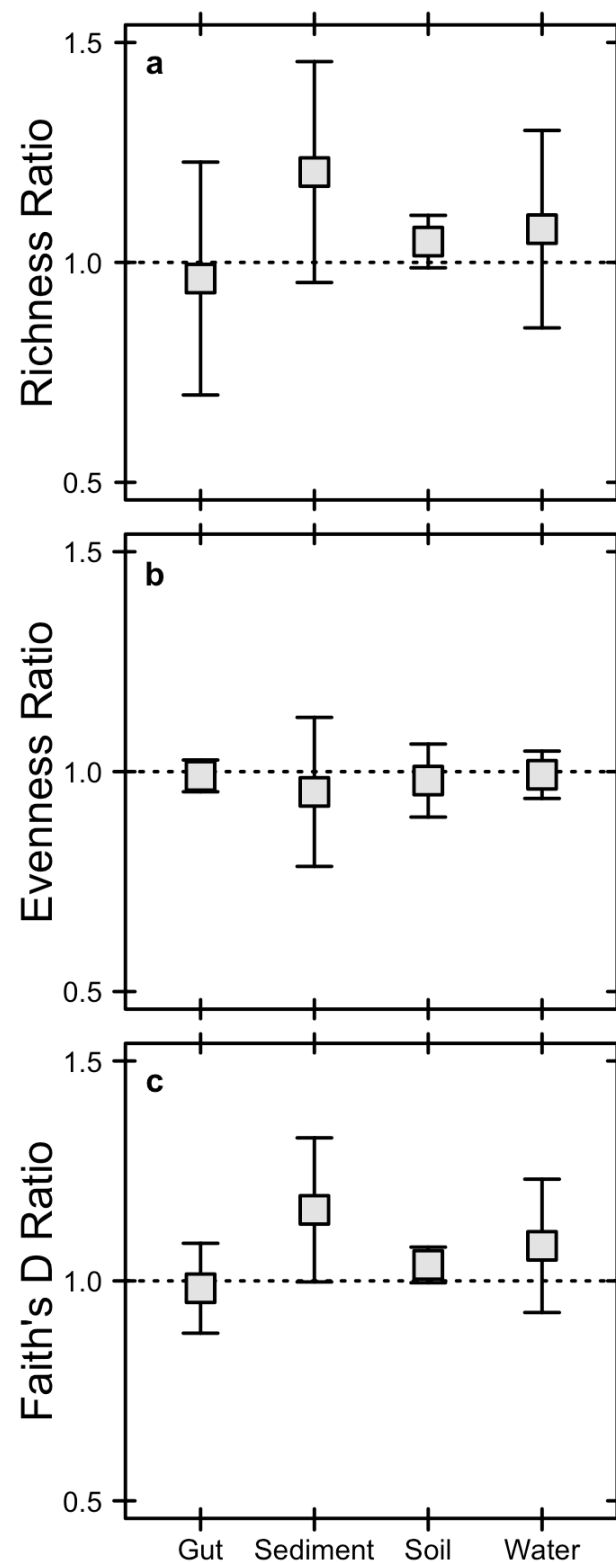
520   **Fig. 3.**

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Figure 3 | Bias of relic DNA on within-sample bacterial diversity in different ecosystem types. a-c We tested for the effects of bias caused by relic DNA by calculating diversity ratios for (**a**) richness, (**b**) evenness, and (**c**) phylogenetic diversity. The ratios reflect the diversity of the total DNA pool (intact + relic) divided by the diversity of the intact DNA pool. Relic DNA did not bias any measures of diversity in any of the ecosystem types. Data are represented as means ± 95% confidence intervals. Richness was calculated as the number of operational taxonomic units (97% sequence similarity of the 16S rRNA gene), evenness was calculated using Simpson's evenness index, and phylogenetic diversity was calculated using Faith's *D* index.
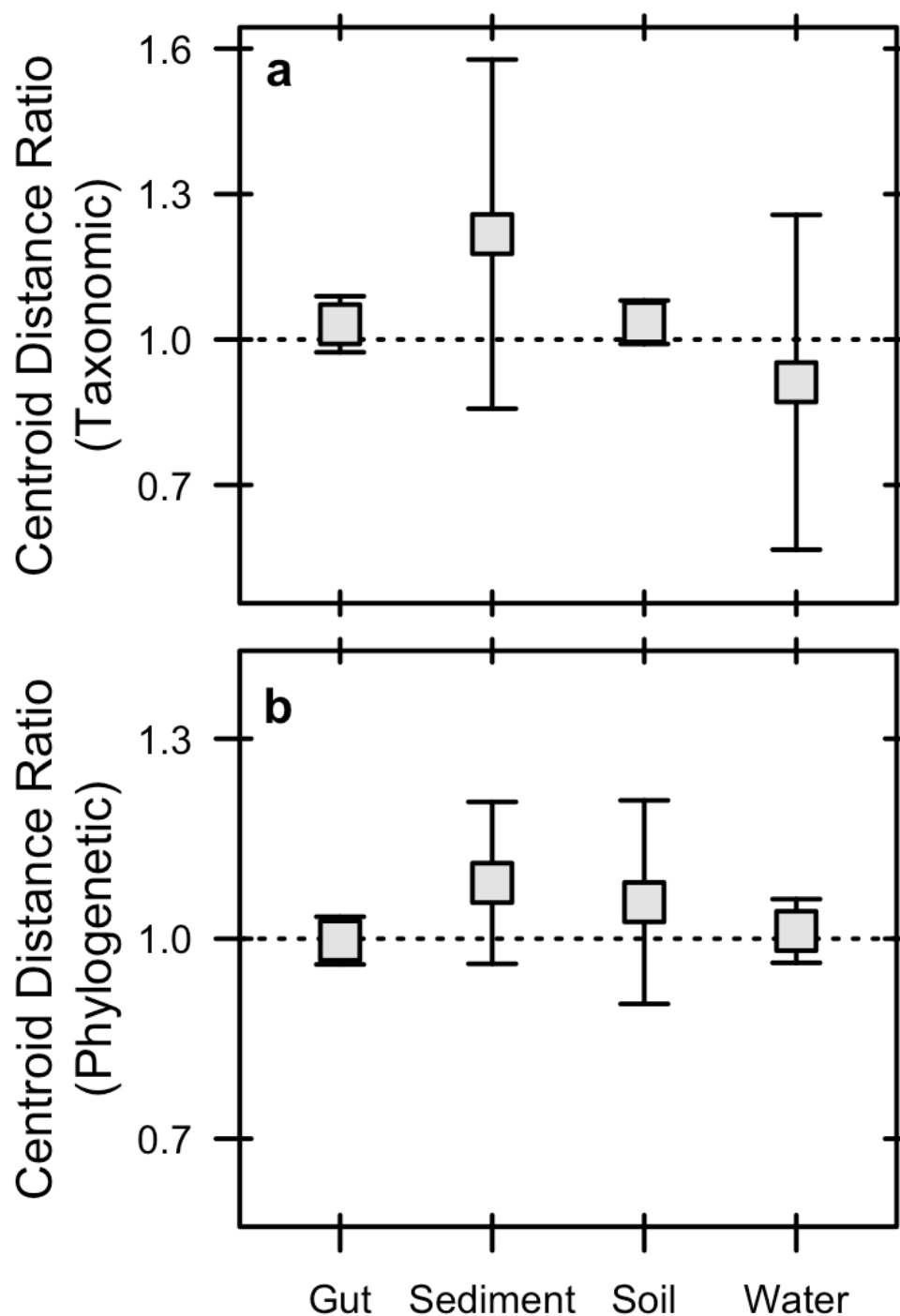
524   **Fig. 4.**

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Figure 4 | Bias of relic DNA on the among-sample bacterial diversity in different ecosystem types. a-b We tested for the effects of bias caused by relic DNA by calculating a beta-diversity ratio based on centroid distances. Centroid distances were estimated after performing Principle Coordinates Analyses (PCoA) using taxonomic (**a**) and phylogenetic (**b**) distance metrics (Bray-Curtis and UniFrac, respectively). The centroid distance ratio was calculated on each sample within an ecosystem type and reflects the composition of the total DNA pool (intact + relic) relative to the intact DNA pool. Relic DNA had no effect on beta-diversity for any of the ecosystem types sampled. Data are represented as means ± 95% confidence intervals.