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## **No general relationship between mass and temperature in endotherm species**

Kristina Riemer<sup>1\*</sup>, Robert P Guralnick<sup>2</sup>, Ethan White<sup>1,3</sup>

Bergmann's rule is a widely accepted biogeographic rule that individuals within a species are smaller in warmer environments. While there are many single-species studies and integrative reviews documenting this pattern, a data-intensive approach has not been used to determine the generality of this pattern. We assessed the strength and direction of the relationship between temperature and individual mass for almost 1,000 bird and mammal species. The majority of species did not have a strong relationship between temperature and mass. Most species had non-significant correlations with coefficients near zero. These results suggest that Bergmann's rule is not general and temperature is not a dominant driver of biogeographic variation in mass. Further understanding size variation will require integrating multiple processes that influence size. The lack of dominant temperature forcing weakens the justification for the hypothesis that global warming could result in widespread decreases in body size.

<sup>1</sup>Department of Wildlife Ecology and Conservation, 110 Newins-Ziegler Hall, PO Box 110430, University of Florida, Gainesville, FL 32611-0430, USA

<sup>2</sup>Department of Natural History, University of Florida, Gainesville, FL 32611

<sup>3</sup>Informatics Institute, 432 Newell Drive, PO Box 115585, University of Florida, Gainesville, FL 32611-8545

Correspondence and requests for materials should be addressed to K.R. (email: [kristina.riemer@weecology.org](mailto:kristina.riemer@weecology.org))

48 Bergmann's rule describes a negative relationship between body mass and temperature  
49 across space that is believed to be common in endothermic species<sup>1-5</sup>. Many hypotheses have  
50 been proposed to explain this pattern<sup>6-8</sup> including the heat loss hypothesis, which argues that the  
51 higher surface area to volume ratio of smaller individuals results in improved heat dissipation in  
52 hot environments<sup>1</sup>. Though originally described for closely-related species<sup>6</sup>, the majority of  
53 studies have focused on the intraspecific form of Bergmann's rule<sup>9,10</sup> by assessing trends in  
54 individual size within a species<sup>11-13</sup>. Bergmann's rule has been questioned both empirically and  
55 mechanistically<sup>14-17</sup> but the common consensus from recent reviews is that the pattern is  
56 general<sup>7,8,18,19</sup>.

57 It has recently been suggested that this negative relationship between mass and  
58 temperature could result in decreasing individual size across species in response to climate  
59 change<sup>20</sup> and that this may be a “third universal response to warming”<sup>21</sup>. The resulting shifts in  
60 size distributions could significantly alter ecological communities<sup>22</sup>, especially if the rate of size  
61 decrease varies among species<sup>20</sup>. While there is limited empirical research on body size  
62 responses to changes in temperature through time (but see refs 17, 23, 24), the apparent  
63 generality of Bergmann's rule across space indicates the likelihood of a similar relationship in  
64 response to temperature dynamics.

65 The generality of Bergmann's rule is based on many individual studies that analyze  
66 empirical data on body size across an environmental gradient (e.g., refs 11, 25-28) and reviews  
67 that compile and evaluate the results from these studies<sup>7,8,19</sup>. Most individual studies of  
68 Bergmann's rule are limited by: 1) analyzing only one or a few species (e.g., ref 11); 2) using  
69 small numbers of observations (e.g., ref 26); 3) only including data at the small scales typical of  
70 ecological studies (e.g., ref 28); 4) using latitude instead of directly assessing temperature (e.g.,  
71 ref); and 5) focusing on statistical significance instead of the strength of the relationship (e.g., ref

72 27). The reviews tabulate the results of these individual studies and assess patterns in the  
73 direction and significance of relationships across species. Such aggregation of published results  
74 allows for a more general understanding of the pattern but, in addition to limitations of the  
75 underlying studies, the conclusions may be influenced by publication bias and selective reporting  
76 where studies or individual analyses that do not support Bergmann's rule are published less  
77 frequently<sup>29</sup>.

78 A data-intensive approach to analyzing Bergmann's rule, evaluating the pattern using  
79 large amounts of broad scale data, has the potential to overcome existing limitations in the  
80 literature and provides a new perspective on the generality of Bergmann's rule. Understanding  
81 the generality of the temperature-mass relationship has important implications for how size will  
82 respond to climate change. We use data from Vertnet<sup>30</sup>, a large compilation of digitized museum  
83 records that contains over 700,000 globally distributed individual-level size measures, to  
84 evaluate the intraspecific relationship between temperature and mass for 960 mammal and bird  
85 species. The usable data include about 275,000 individuals with an average of about 300  
86 individuals per species, and the individuals of each species analyzed span at least 20 years and  
87 five latitudinal degrees. This approach reduces or removes many of the limitations to previous  
88 approaches and the results suggest that Bergmann's rule is not a strong or general pattern.

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## 90 **RESULTS**

91 Most of the species in this study showed weak non-significant relationships between  
92 temperature and mass (Fig. 1 and 2). The distribution of correlation coefficients was centered  
93 near zero with a mean correlation coefficient of -0.05 across species (Fig. 2A). Relationships for  
94 most species (78%) were not significantly different from zero, while 15% of species'  
95 relationships were significant and negative and 7% were significant and positive (Fig. 2A).

96 Temperature explained less than 10% of variation in mass (i.e.,  $-0.316 < r < 0.316$ ) for 87% of  
97 species, and less than 25% of variation in mass in nearly all species (97%; i.e.,  $-0.5 < r < 0.5$ ),  
98 indicating that temperature explained very little of the observed variation in mass for most  
99 species (Fig. 2A).

100 The weak, non-directional relationships indicated by the distribution of correlation  
101 coefficients are consistent across taxonomic groups and temporal lags. Correlation coefficient  
102 distributions for both endotherm classes, mammals and birds, (Fig. 2B) are similar to the  
103 distribution for all species (Fig. 2A). Similarly, there are no unusually strong or directional  
104 correlation coefficient distributions among any of the 30 orders analyzed (Fig. 3). Correlation  
105 coefficient distributions for temperature-mass relationships using lagged temperatures were  
106 similar to those using temperature from the collection year (Fig. 4; Supplementary Fig. 1),  
107 indicating that there was not a meaningful temporal lag effect on the response of species' masses  
108 to temperature. Correlation coefficients were not generally influenced by sample size (Fig. 5A),  
109 extent of variation in temperature or mass (Fig. 5B-C), species' average mass (Fig. 5D), or  
110 species' average latitude (Fig. 5E). While temperature is considered the actual driver, some  
111 studies use latitude as a proxy when evaluating variation in size<sup>1,31</sup>; results did not differ  
112 qualitatively when latitude was used instead of temperature (Supplementary Fig. 2). Results were  
113 robust to a variety of decisions and stringencies about how to filter the size data (Supplementary  
114 Fig. 3 and 4).

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## 116 **DISCUSSION**

117 In contrast to conventional wisdom and several recent review papers, our analysis of  
118 nearly 1,000 species shows little to no support for a negative temperature-mass relationship that  
119 is sufficiently strong or common to be considered a biogeographic rule. For most bird and

120 mammal species there was no significant change in mass across a temperature gradient and  
121 temperature explained minimal intraspecific variation in mass (Fig. 2A). This was true regardless  
122 of taxonomic group (Fig. 2 and 3), temporal lag in temperature (Fig. 4), species' size, location, or  
123 sampling intensity or extent (Fig. 5). These results are consistent with two previous studies that  
124 examined museum specimen size measurements across latitude. The first study showed that 22  
125 out of 47 North American mammal species studied had no relationship between latitude and  
126 length, and 10 of the 25 significant relationships were opposite the expected direction<sup>14</sup>. The  
127 second found a similar proportion of non-significant results (42/87), but a lower proportion of  
128 significant relationships that opposed the rule (9/45) for carnivorous mammals<sup>32</sup>. While a greater  
129 proportion of species had significant negative relationships than positive in both our study and  
130 the two previous studies, the fraction of significant negative relationships tended to be small. In  
131 combination with these two smaller studies, our results suggest that there is little evidence for a  
132 strong or general Bergmann's rule when analyzing raw data instead of summarizing published  
133 results.

134 Our results are inconsistent with recent reviews, which have reported that the majority of  
135 species conform to Bergmann's rule<sup>7,8,19</sup>. While these reviews had meaningful proportions of  
136 results that were either non-significant or opposite of Bergmann's rule, the proportion of  
137 significant results in support of Bergmann's rule was higher and therefore resulted in conclusions  
138 that supported the generality of the temperature-mass relationship. Generalizing from results in  
139 the published literature involves the common challenges of publication bias and selective  
140 reporting<sup>29</sup>. In addition, because the underlying Bergmann's rule studies typically report minimal  
141 statistical information, often providing only relationship significance or direction instead of p-  
142 values or correlation coefficients<sup>19</sup>, proper meta-analyses and associated assessments of  
143 biological significance are not possible. While several reviews found no evidence for publication

144 bias using limited analyses<sup>7,32</sup>, the notable differences between the conclusions of our data-  
145 intensive approach and those from reviews suggests that publication bias in papers about  
146 Bergmann's rule warrants further investigation. These differences also demonstrate the value of  
147 data-intensive approaches in ecology for overcoming potential weaknesses and biases in the  
148 published literature. Directly analyzing large quantities of data from hundreds of species allows  
149 us to assess the generality of patterns originally reported in smaller studies while avoiding the  
150 risk of publication bias. This approach also makes it easier to integrate other factors that  
151 potentially influence size into future analyses. The new insight gained from this data-intensive  
152 approach demonstrates the value of investing in large compilations of ecologically-relevant  
153 data<sup>33</sup> and the proper training required to work with these datasets<sup>34</sup>.

154         The original formulation of Bergmann's rule, and the scope of our conclusions, apply  
155 only to endotherms. However, negative temperature-mass relationships have also been  
156 documented in ectotherms, with the pattern referred to as the size-temperature rule<sup>35–37</sup>. In  
157 contrast to the hypotheses for Bergmann's rule, which are based primarily on homeostasis<sup>21</sup>, the  
158 size-temperature rule in ectotherms is thought to result from differences between growth and  
159 development rates<sup>38</sup>. The current version of Vertnet did not have sufficient amounts of ectotherm  
160 size data to support strong conclusions about the ectotherm size-temperature rule. However, the  
161 seven amphibian and reptile species with sufficient data showed weak relationships similar to  
162 endotherms (Supplementary Fig. 5). Future work exploring the ectotherm size-temperature rule  
163 in natural systems using data-intensive approaches is necessary for understanding the generality  
164 of this pattern.

165         A number of processes have been suggested to produce negative temperature-mass  
166 relationships, including heat loss, starvation, resource availability, migratory ability, and  
167 phylogenetic constraints<sup>6</sup>. Most of the proposed hypotheses have not been tested sufficiently to

168 allow for strong conclusions to be drawn about their potential to produce Bergmann's rule<sup>6,8,17</sup>  
169 and the widely studied heat loss hypothesis has been questioned for a variety of reasons<sup>6,8,14,39,40</sup>.  
170 While no existing hypotheses have been confirmed to be operating, it is possible that some  
171 processes are producing negative relationships between size and temperature. The lack of a  
172 strong relationship does not preclude processes that result in a negative temperature-mass  
173 relationship, but it does suggest that these processes are weak relative to other factors that  
174 influence intraspecific size.

175         The relative importance of the many factors that can influence size within a species is as  
176 yet unknown. Size is affected by abiotic factors such as humidity and resource availability<sup>17</sup>,  
177 characteristics of individuals like clutch size<sup>41</sup>, and community context, including if and what  
178 kinds of gaps there are in size-related niches<sup>42</sup> and the trophic effects of primary productivity on  
179 consumer size<sup>20</sup>. Temperature itself can have indirect effects on size, such as via habitat changes  
180 in water flow or food availability, that result in size responses opposite of Bergmann's rule<sup>21</sup>.  
181 Anthropogenic influences have been shown to influence the effect of temperature on size<sup>43</sup>, and  
182 similar impacts of dispersal, extinctions, and the varying scales of climate change have been  
183 proposed<sup>44</sup>. While our work provides some weak support for temperature having a negative  
184 effect on animal body size, given that more species have negative significant relationships than  
185 positive, it appears that some combination of other factors more strongly drives intraspecific size  
186 variation for most taxa.

187         The lack of evidence for temperature as a primary determinant of size variation in  
188 endotherm species calls into question the hypothesis that decreases in organism size may  
189 represent a third universal response to global warming. The potentially general decline in size  
190 with warming was addressed by assessments that evaluated dynamic body size responses to  
191 temperature using similar approaches to the Bergmann's rule reviews discussed above<sup>17,20,21</sup>.

192 These temporal reviews had similar results to those for spatial relationships, but the conclusions  
193 of these studies clearly noted the variability in body size responses and the need for future data-  
194 intensive work<sup>20,21</sup> using broader temperature ranges<sup>17</sup> to fully assess the temperature-size  
195 relationship.

196 Our results in combination with those from other studies suggest that much of the  
197 observed variation in size is not explained simply by temperature. While there is still potential  
198 for the size of endotherms, and other aspects of organismal physiology and morphology, to  
199 respond to both geographic gradients in temperature and climate change, these responses may  
200 not be as easily explained solely by temperature as has been suggested. This requires that future  
201 attempts to explain variation in the size of individuals across space or time use an integrative  
202 approach to include the influence of multiple factors, and their potential interactions, on  
203 organism size. This will be facilitated by analyzing spatiotemporal data similar to that used in  
204 this study, which includes wide ranges of time, space, and environmental conditions for large  
205 numbers of species and individuals. This data-intensive approach provides a unique perspective  
206 on the general responses of bird and mammal species to temperature, and has potential to assist  
207 in further investigation of the complex combinations of factors that determine biogeographic  
208 patterns of endotherm size and how species respond to changes in climate.

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## 210 **METHODS**

### 211 **Data**

212 Organismal data were obtained from Vertnet, a publicly available data platform for  
213 digitized specimen records from museum collections primarily in North America but that provide  
214 global data<sup>30</sup>. Body mass is routinely measured when organisms are collected, with relatively  
215 high precision and consistent methods, by most field biologists, whose intent is to use those



216 organisms for research and preservation in natural history collections<sup>45,46</sup>. These measurements  
217 are included on written labels and ledgers associated with specimens, which are digitized and  
218 mobilized in standard formats, e.g., Darwin Core<sup>47</sup>. In addition to other trait information, mass  
219 has recently been extracted from Darwin Core formatted records published in Vertnet and  
220 converted to a more usable form<sup>48</sup>. This crucial step reduces variation in how these  
221 measurements are reported by standardizing the naming conventions and harmonizing all  
222 measurement values to the same units<sup>48</sup>. We downloaded the entire September 2016 dataset  
223 snapshots for Mammalia, Aves, Amphibia, and Reptilia<sup>49-52</sup> using the Data Retriever<sup>53</sup> and  
224 filtered for those records that had mass measurements available. Fossil specimen records with  
225 mass measurements were removed.

226         We only analyzed species with at least 30 georeferenced individuals whose collection  
227 dates spanned at least 20 years and collection locations at least five degrees latitude, in order to  
228 ensure sufficient sample size and spatiotemporal extent to accurately represent each species'  
229 temperature-mass relationship. We selected individual records with geographic coordinates for  
230 collection location, collection dates between 1900 and 2010, and species-level taxonomic  
231 identification, which were evaluated to assure no issues with synonymy or clear taxon concept  
232 issues. To minimize inclusion of records of non-adult specimens, we identified the smallest mass  
233 associated with an identified adult life stage category for each species and removed all records  
234 with mass values below this minimum adult size. Results were not qualitatively different due to  
235 either additional filtering based on specimen lifestage (Supplementary Fig. 3) or removal of  
236 outliers (Supplementary Fig. 4). Temperatures were obtained from the Udel\_AirT\_Precip global  
237 terrestrial raster provided by NOAA from their website at <http://www.esrl.noaa.gov/psd/>, a 0.5  
238 by 0.5 decimal degree grid of monthly mean temperatures from 1900 to 2010<sup>54</sup>. For each  
239 specimen, the mean annual temperature at its collection location was extracted for the year of

240 collection.

241 This resulted in a final dataset containing records for 274,652 individuals from 960 bird  
242 and mammal species<sup>55-104</sup>. The average number of individuals per species was 286, ranging from  
243 30 to 15,415 individuals. The species in the dataset were diverse, including volant, non-volant,  
244 placental, and marsupial mammals, and both migratory and non-migratory birds. There were  
245 species from all continents except Antarctica, though the majority of the data were concentrated  
246 in North America (Fig. 1A). The distribution of the species' mean masses was strongly right-  
247 skewed, as expected for broad scale size distributions<sup>105</sup>, with 74% of species having average  
248 masses less than 100 g. Size ranged from very small (3.7 g desert shrew *Notiosorex crawfordi*  
249 and 2.6 g calliope hummingbird *Stellula calliope*) to very large (78 kg California sea lion  
250 *Zalophus californianus* and 5.8 kg wild turkey *Meleagris gallopavo*). These specimen data and  
251 code have been deposited online in the Dryad Data Repository (<http://datadryad.org/>).

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### 253 **Analysis**

254 We fit the intraspecific relationship between mean annual temperature and mass for each  
255 species with ordinary least squares linear regression (e.g., Fig. 1B-D; Supplementary Fig. 6)  
256 using the statsmodels.formula.api module in Python<sup>106</sup>. The strength of the relationship was  
257 evaluated using the correlation coefficient and its significance. For significance testing, we  
258 controlled for the large number of tests with false discovery rate control<sup>107</sup> implemented in the  
259 stats package in R<sup>108</sup>, and used the standard alpha cut-off of 0.05. False discovery rate control  
260 maintains the Type I error rate (proportion of false positives) across all tests at the chosen value  
261 of alpha and therefore gives an accurate estimate of the number of significant relationships<sup>107</sup>.

262 We investigated various potential correlates of the strength of Bergmann's rule. Because  
263 it has been argued that Bergmann's rule is exhibited more strongly by some groups than others<sup>14</sup>,

264 we examined correlation coefficient distributions within each class and order. As a temporal lag  
265 in size response to temperature is likely, we assessed species' temperature-mass relationships  
266 using temperatures from 1 to 50 years prior to collection year. We also examined the relationship  
267 between species' correlation coefficients and five variables to understand potential statistical and  
268 biological influences on the results. We did so with the number of individuals, temperature  
269 range, and mass range to determine if the relationship was stronger when more data points or  
270 more widely varying values were available. Because it has been argued that Bergmann's rule is  
271 stronger in larger species<sup>109</sup> and at higher latitudes<sup>4,43</sup>, we examined variability with both mean  
272 mass and mean latitude for each species. We also conducted all analyses using latitude instead of  
273 mean annual temperature.

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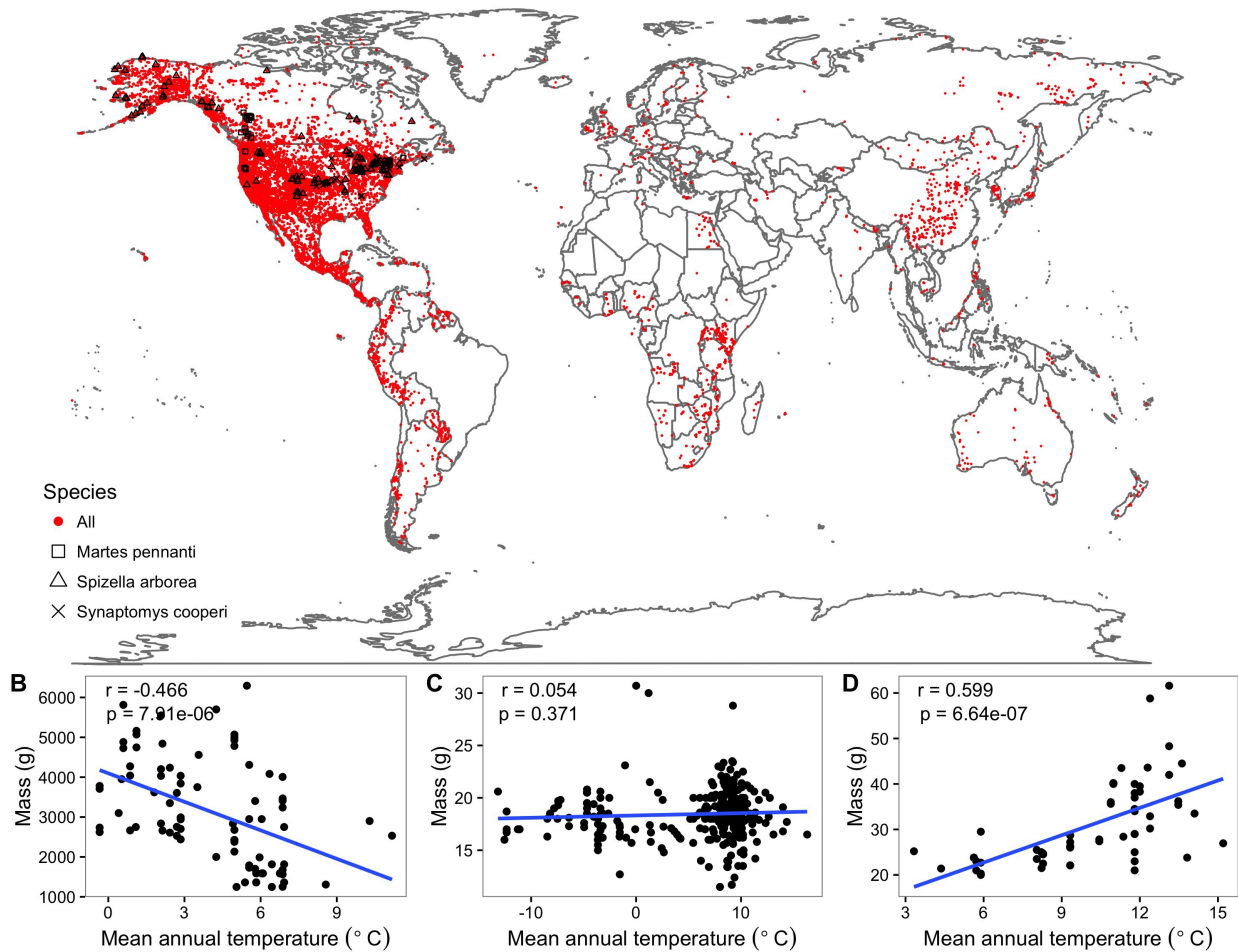
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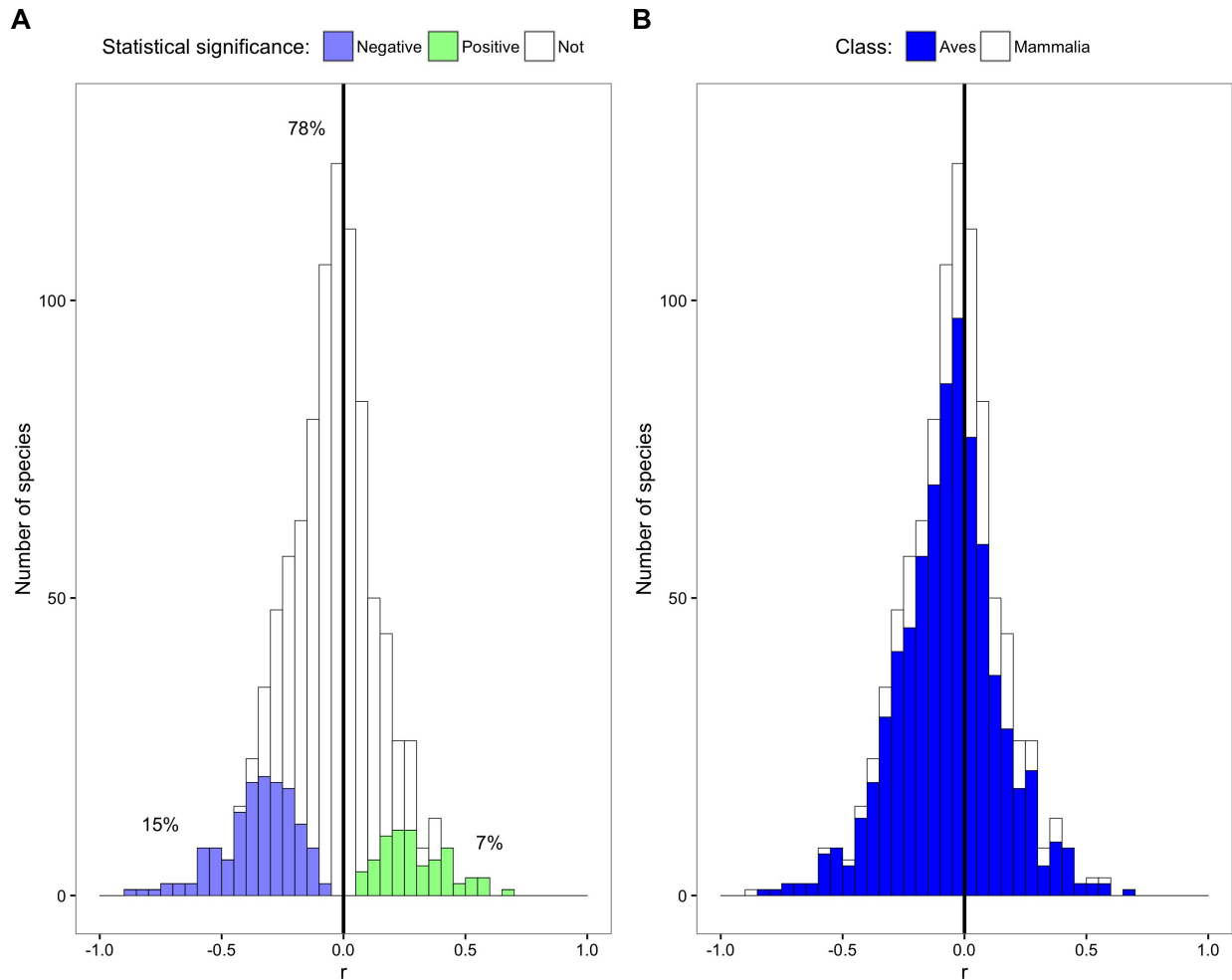
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291 **Figure 1.** (A) Spatial collection locations of all individual specimens. All species shown with red  
292 circles except three species, whose relationships between mean annual temperature and mass are  
293 shown at bottom (B-D), are marked with black symbols. These species were chosen as  
294 representative of the variability in relationship strength and direction exhibited by the 978  
295 species from the study: *Martes pennanti* had a negative relationship with temperature explaining  
296 a substantial amount of variation in mass (B; black square); *Spizella arborea* had no directional  
297 relationship between temperature and mass with temperature having little explanatory power (C;  
298 black triangle); *Synaptomys cooperi* had a strong positive temperature-mass relationship with a  
299 correlation coefficient ( $r$ ) in the 99<sup>th</sup> percentile of all species' values (D; black X). Intraspecific  
300 temperature-mass relationships are shown with black circles for all individuals and ordinary least  
301 squares regression trends as blue lines. Linear regression correlation coefficients and p-values in  
302 upper left hand corner of figure for each species.

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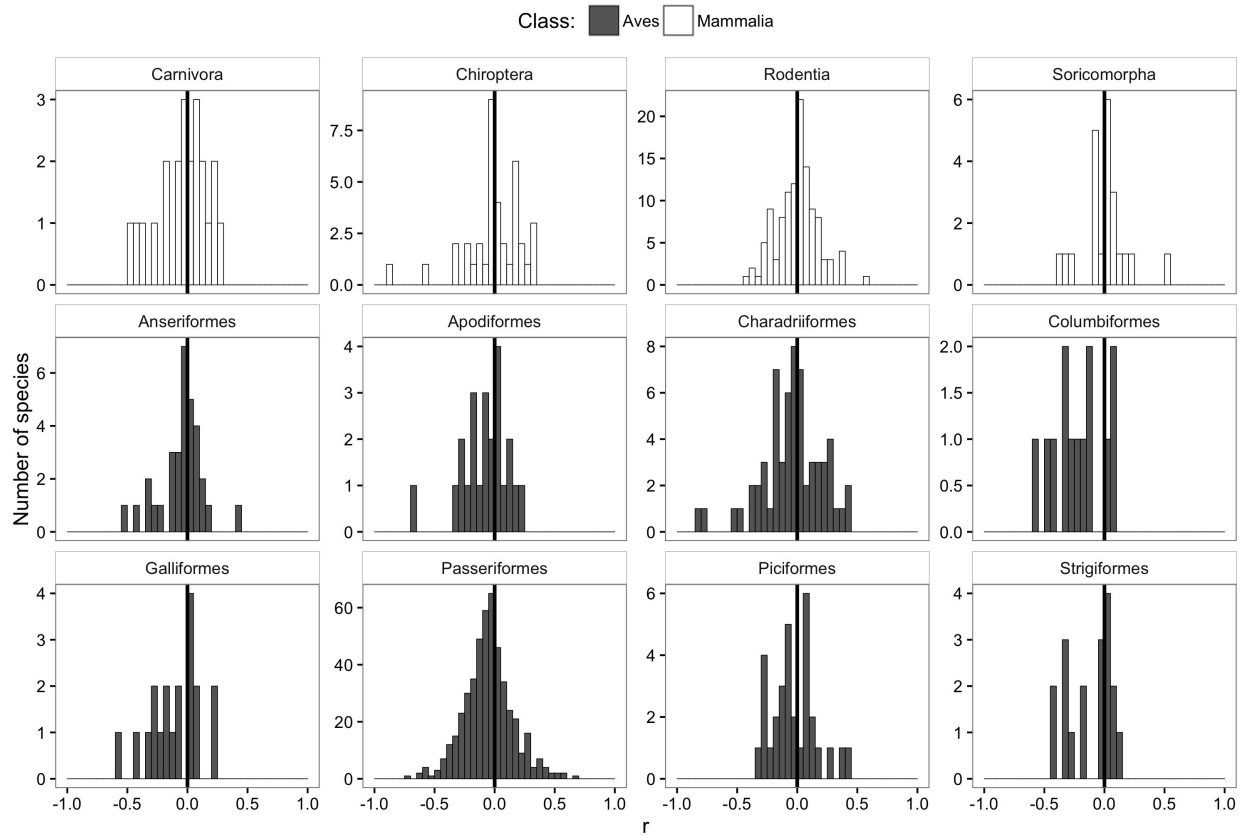
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310 **Figure 2.** (A) Stacked histogram of correlation coefficients (r) for all species' intraspecific  
311 temperature-mass relationships. Colored bars are proportion of species with statistically  
312 significant relationships, both negative (purple) and positive (green), while white bars indicate  
313 proportion of species with relationship slopes that are not significantly different from zero.  
314 Percentages correspond to proportion of species in each group. (B) Stacked histogram of all  
315 species' correlation coefficients with bar color corresponding to taxonomic class. Dark vertical  
316 lines are correlation coefficients of zero.

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330 **Figure 3.** Stacked histograms of correlation coefficients ( $r$ ) from intraspecific temperature-mass  
331 relationships for each taxonomic order represented by more than ten species, with order shown  
332 above histogram. Height of y-axis varies depending on number of species. Bar color indicates  
333 taxonomic class. Dark vertical lines are correlation coefficients of zero. Trends for the remaining  
334 22 orders are similar.

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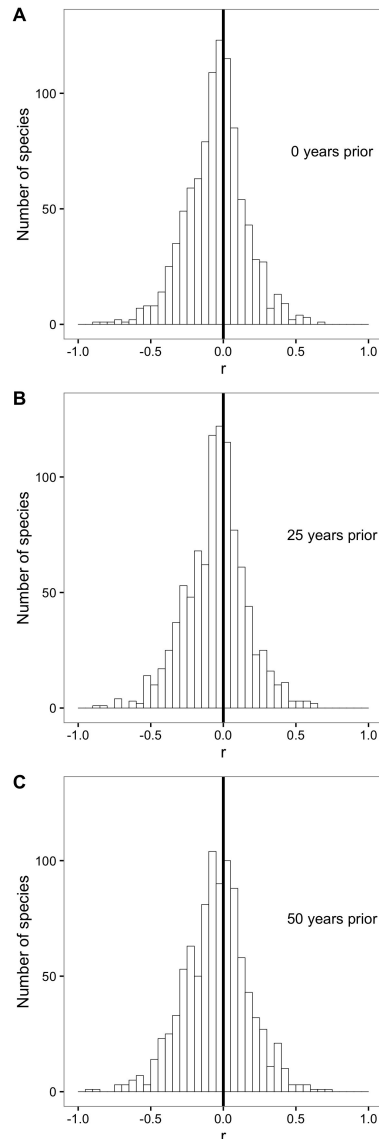
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353 **Figure 4.** Histograms of correlation coefficients ( $r$ ) for all species' intraspecific temperature-  
354 mass relationships with mean annual temperature from (A) the year in which individuals were  
355 collected, (B) 25 years prior to collection year, and (C) 50 years prior to collection year. Dark  
356 vertical lines are correlation coefficients of zero.

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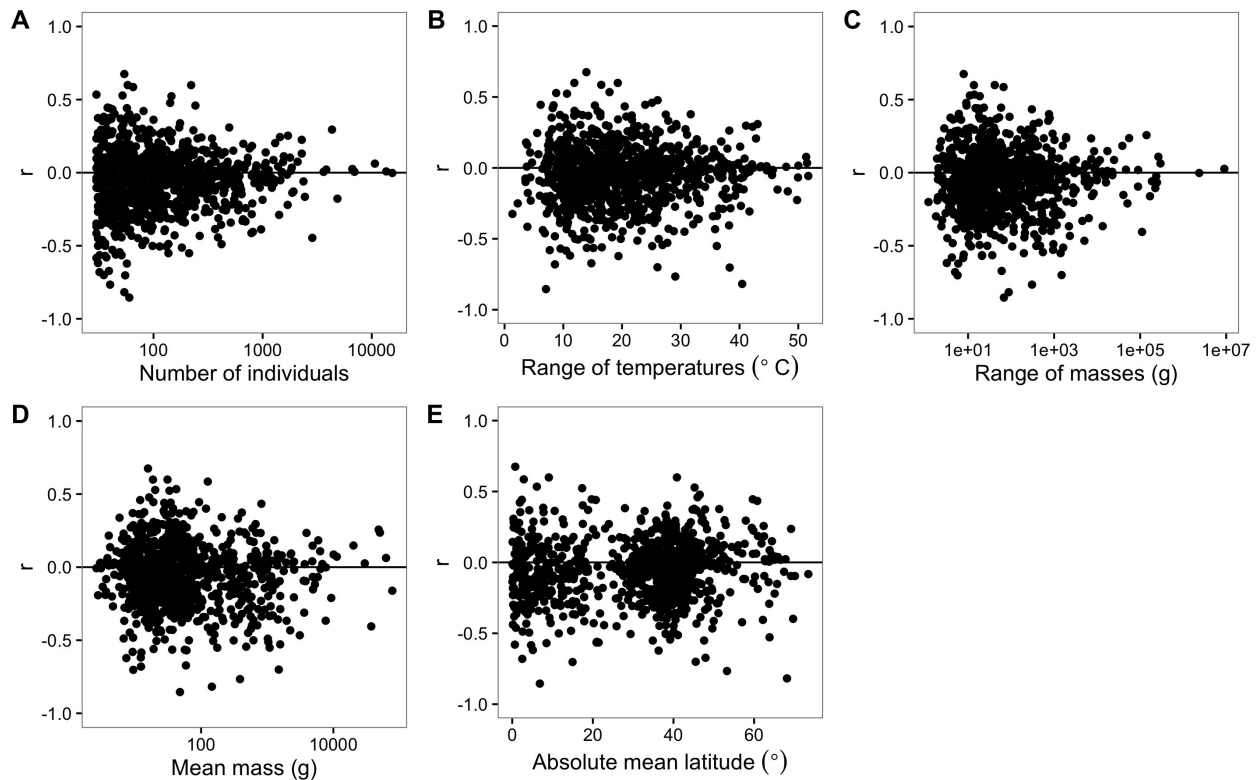
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369 **Figure 5.** Variation in all species' correlation coefficients ( $r$ ) across the following variables for  
370 each species: (A) number of individuals, (B) collection year temperature range, (C) mass range,  
371 (D) mean mass, and (E) absolute mean latitude. Horizontal lines are correlation coefficients of  
372 zero. The x-axes of some plots (A, C, D) are on a log scale to better show spread of values.

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#### **Author contributions**

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K.R. and E.P. conceived the study and analyzed the data, K.R. and R.P.G. procured the data, and all authors collaborated in manuscript preparation.

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#### **Competing financial interests**

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